Legislative Council,
General Assembly
State of Delaware

Title 24

Professions and Occupations

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Chapter 1
BOARD OF ACCOUNTANCY

§ 101 Objectives and functions.

The primary objective of the Board of Accountancy, to which all other objectives and purposes are secondary, is to protect the general public (specifically those persons who are direct recipients of services regulated by this chapter) from unsafe practices, including incompetent auditing, accounting and tax services rendered by certificate and permit holders, and from occupational practices which tend to reduce competition or fix the price of services rendered. Secondary objectives of the Board include maintaining minimum standards of competency in accounting, auditing and tax services rendered by certificate and permit holders and maintaining minimum standards in the delivery of such services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence, shall monitor complaints brought against practitioners regulated by the Board, adjudicate such complaints at formal hearings, promulgate rules and regulations, and impose sanctions where necessary against practitioners.

(71 Del. Laws, c. 139, § 1.)

§ 102 Definitions.

The following definitions shall apply, unless the definition is inappropriate for the context:

(1) "AICPA" means the American Institute of Certified Public Accountants.

(2) "Attest" means providing the following services:

a. Any audit or other engagement to be performed in accordance with the Statements on Auditing Standards (SAS);

b. Any review of a financial statement to be performed in accordance with the Statements on Standards for Accounting and Review Services (SSARS);

c. Any examination of prospective financial information to be performed in accordance with the Statements on Standards for Attestation Engagements (SSAE);

d. Any engagement to be performed in accordance with the standards of the PCAOB; and

e. Any examination, review, or agreed upon procedures engagement to be performed in accordance with the SSAE, other than an examination described in paragraph (2)c. of this section.

(3) "Board" means the Delaware State Board of Accountancy.

(4) "Certificate" means a certificate of "certified public accountant" issued by the Board pursuant to this chapter or the prior law of this State, or a corresponding certificate of certified public accountancy issued after examination under the law of any other state.

(5) "Certified public accountant" means the holder of a permit to practice certified public accountancy.

(6) "Certified public accounting" or "the practice of certified public accountancy" means the performance, or offer to perform, for a client or a potential client, by a person or firm holding itself out to the public as a CPA permit holder, of 1 or more kinds of services involving the use of accounting or auditing skills, including the issuance of reports or financial statements, or of 1 or more kinds of management advisory, financial advisory or consulting services, or the preparation of tax returns or the furnishing of advice on tax matters.

(7) "Client" means a person or entity that agrees with a permit holder or permit holder's employer to receive any professional service.

(8) "Compilation" means providing a service to be performed in accordance with, and as defined by, Statements on Standards for Accounting and Review Services (SSARS).

(9) "Division" means the State of Delaware Division of Professional Regulation.

(10) "Firm" means a sole proprietorship, partnership, corporation or any other entity authorized under Delaware law or a similar statute of another state.

(11) "Licensee" means an individual or firm licensed under this title or under corresponding law in another jurisdiction.

(12) "NASBA" means the National Association of State Boards of Accountancy.

(13) "Nonpublic entity" means an entity other than one whose securities trade in a public market either on a stock exchange (domestic or foreign) or in the over-the-counter market, including securities quoted only locally or regionally, or an entity that makes a filing with a regulatory agency in preparation for the sale of any of its securities in a public market.

(14) "PCAOB" means the Public Company Accounting Oversight Board.

(15) "Peer review" means a board-approved study, appraisal, or review of 1 or more aspects of the attest and compilation services rendered by an individual or firm permit holder performed by a person or persons who hold Delaware permits or are duly licensed in another jurisdiction and who are not affiliated with the individual or firm permit holder being reviewed.

(16) "Permit" or "permit to practice" means a permit issued by the Board to practice either public accountancy or certified public accountancy.

(17) "Principal place of business" means the office location designated by the licensee.

(18) "Public accountant" means the holder of a permit to practice public accountancy.

(19) "Public accounting" or "practice of public accountancy" means the performance, or offer to perform, for a client or a potential client, by a person or firm holding itself out to the public as a permit holder, of 1 or more kinds of services involving the use of

accounting or auditing skills, including the issuance of reports or financial statements, or of 1 or more kinds of management advisory, financial advisory or consulting services, or the preparation of tax returns or the furnishing of advice on tax matters.

(20) "Regulation" means any rule or regulation duly adopted by the Board.

(21) "Report" when used with reference to any attest or compilation service, means an opinion, report, or other form of language that states or implies assurance as to the reliability of the attested information or compiled financial statements and that also includes or is accompanied by any statement or implication that the person or firm issuing it has special knowledge or competence in accounting or auditing. Such a statement or implication of special knowledge or competence may arise from use by the issuer of the report of names or titles indicating that the person or firm is an accountant or auditor, or from the language of the report itself. The term "report" includes any form of language which disclaims an opinion when such form of language is conventionally understood to imply any positive assurance as to the reliability of the attested information or compiled financial statements referred to and/or special competence on the part of the person or firm issuing such language; and it includes any other form of language that is conventionally understood to imply such assurance and/or such special knowledge or competence.

(22) "State" means any state of the United States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, the Commonwealth of the Northern Mariana Islands, and Guam; except that "this State" means the State of Delaware.

(23) "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to accountancy.

(60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 71 Del. Laws, c. 139, § 1; 74 Del. Laws, c. 262, § 1; 75 Del. Laws, c. 128, § 1; 76 Del. Laws, c. 418, § 1; 80 Del. Laws, c. 247, § 1; 85 Del. Laws, c. 112, § 1.)

§ 103 Board of Accountancy; appointments; qualifications; term; vacancies.

(a) There is created a State Board of Accountancy which shall administer and enforce this chapter.

(b) The Board shall consist of 9 members who are residents of this State and are appointed by the Governor, as follows:

(1) Five certified public accountants, all of whom must hold active permits to practice certified public accountancy;

(2) One public accountant who holds a valid permit to practice public accountancy;

(3) Two members from the public at large; and

(4) One public member who is employed full time in the field of post-secondary accounting education.

(c) To serve on the Board, the public members referred to in paragraph (b)(3) of this section, shall not be nor ever have been a certified public accountant or public accountant, nor a member of the immediate family of a certified public accountant or public accountant; shall not be nor ever have been employed by a person or firm which provides certified public accounting or public accounting services; shall not have a material financial interest in the providing of goods and services to any person or firm which provides accounting services; nor have been engaged in an activity directly related to accounting services. The public members shall be accessible to inquiries, comments and suggestions from the general public.

(d) Except as provided in subsection (e) of this section, each member shall serve for a term of 3 years and may succeed himself or herself for 1 additional term. Each term of office shall expire on the date specified in the appointment; however, the board member shall remain eligible to participate in board proceedings unless and until replaced by the Governor.

(e) A person who has never served on the Board may be appointed to the Board for 2 consecutive terms, but no such person shall thereafter be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board or who has served on the Board for 6 years within any 9-year period shall again be appointed to the Board until an interim period of at least 3 years has expired since such person last served.

(f) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (e) of this section, unless such amendment or revision amends this section to permit such an appointment.

(g) No member of the Board of Accountancy, while serving on the Board, shall be an officer (president, chairperson, president-elect, vice president, secretary or treasurer) of a professional accounting organization, including the American Institute of Certified Public Accountants (AICPA), the Delaware Society of Certified Public Accountants (DSCPA), the National Society of Public Accountants (NSPA), the Delaware Association of Public Accountants (DAPA) or any other professional accounting association.

(h) The provisions set forth for "employees" in Chapter 58 of Title 29 shall apply to members of the Board and to all agents appointed or otherwise employed by the Board.

(i) Any member who is absent without adequate reason for 3 consecutive meetings or fails to attend at least half of all regular business meetings during any calendar year shall be guilty of neglect of duty.

(j) Each member of the Board shall be compensated at an appropriate and reasonable level as determined by the Division and may be reimbursed for meeting-related travel expenses at the State's approved rate.

(27 Del. Laws, c. 98, § 2; Code 1915, § 980; 32 Del. Laws, c. 48, § 2; Code 1935, § 1091; 46 Del. Laws, c. 110, § 1; 24 Del. C. 1953, § 101; 50 Del. Laws, c. 167, § 1; 55 Del. Laws, c. 193; 60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 67 Del. Laws, c. 368, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 139, § 1; 76 Del. Laws, c. 418, § 2; 80 Del. Laws, c. 247, § 1.)

§ 104 Officers; meetings; conduct of business; quorum; absences.

(a) The Board shall hold a regularly scheduled business meeting at least once in each quarter of a calendar year and at such other times

as the president may deem necessary, or at the request of a majority of Board members.

(b) The Board shall elect annually from its members a president and a secretary. Each officer shall serve for 1 year and shall not succeed himself or herself for more than 2 consecutive years.

(c) A majority of members of the Board shall constitute a quorum for the transaction of all business and no disciplinary action shall be taken without the affirmative vote of at least 5 members.

(d) Minutes of all meetings shall be recorded and copies shall be maintained by the Division. At any hearing in which evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(27 Del. Laws, c. 98, § 2; Code 1915, § 980; 32 Del. Laws, c. 48, § 2; Code 1935, § 1091; 46 Del. Laws, c. 110, § 1; 24 Del. C. 1953, § 101; 50 Del. Laws, c. 167, § 1; 55 Del. Laws, c. 193; 60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 139, § 1.)

§ 105 Powers and duties.

(a) The Board of Accountancy shall have the authority to:

(1) Formulate rules and regulations, with appropriate notice to those affected. Each rule or regulation shall implement or clarify a specific section of this chapter. All rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act, Chapter 101 of Title 29;

(2) Designate the application form to be used by all applicants and to process all applications;

(3) Designate an examination to be taken by persons applying for a permit, as follows:

a. The Board shall adopt the Uniform Certified Public Accountant Examination as the national examination to be taken by all applicants for licensure as certified public accountants and use the advisory grading service of the American Institute of Certified Public Accountants (AICPA), or its successor organization.

b. The Board shall adopt the examination recognized by the National Society of Public Accountants (NSPA) as the national examination to be taken by applicants for permits as public accountants and use the advisory grading service of the NSPA.

c. The Division shall have the power to review, approve and execute all contracts for examination services;

(4) Provide notice and information to applicants regarding their applications;

(5) Designate the requirements for the issuance of permits to practice consistent with the provisions of this chapter;

(6) [Repealed].

(7) Issue permits to practice to individuals and firms who meet the qualifications of this chapter;

(8) Require the completion of continuing education requirements for all licensees;

(9) Evaluate certified records to determine whether an applicant for a permit to practice, who has been previously licensed or certified, or who has held a certificate and/or permit in another jurisdiction, has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against such applicants for such acts or offenses;

(10) Refer all complaints from licensees and the public concerning accountants and the practice of public accounting or certified public accounting, or concerning practices of the Board or of the profession, or concerning the unlicensed practice of public accountancy or certified public accountancy, to the Division for investigation pursuant to § 8735 of Title 29 and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint. Such member shall recuse himself or herself from the deliberations on the complaint;

(11) Conduct hearings and issue orders in accordance with procedures established pursuant to this chapter, the Administrative Procedures Act (Chapter 101 of Title 29) and § 8735 of Title 29. Where such provisions conflict with the provisions of this chapter, this chapter shall govern. The Board shall determine whether or not a person or firm shall be subject to a disciplinary hearing, and, if so, shall conduct such hearing in accordance with this chapter, the Administrative Procedures Act (Chapter 101 of Title 29) and § 8735 of Title 29;

(12) Where it has been determined, after a disciplinary hearing, that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty after time for appeal has lapsed;

(13) Bring proceedings in the courts for the enforcement of this chapter;

(14) To become a member of the National Association of State Boards of Accountancy or its successor organization and to pay such dues which that association shall establish; to send delegates to its meetings; and to assist members attending that association's meetings; and

(15) To require all applicants for permits to practice to obtain a passing grade on the Code of Ethics examination administered and graded by the American Institute of Certified Public Accountants (AICPA).

(b) The Board of Accountancy shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of accountancy.

(27 Del. Laws, c. 98, § 3; Code 1915, § 982; 32 Del. Laws, c. 48, §§ 2, 3; Code 1935, § 1093; 24 Del. C. 1953, § 106; 50 Del. Laws, c. 167, § 3; 55 Del. Laws, c. 193; 60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 139, § 1; 74 Del. Laws, c. 262, § 2; 76 Del. Laws, c. 418, § 3; 80 Del. Laws, c. 247, § 1.)

§ 106 Certificate or permit required.

(a) The use of the title or designation "certified public accountant" or the abbreviation "CPA" or any other title, designation, words, letters, abbreviation, sign, card or device tending to indicate that a person is a certified public accountant shall be limited to a person who holds an active permit to practice issued by the Board pursuant to this chapter or issued under the laws of another jurisdiction. Holders of certificates only, who have never held a Delaware permit to practice, may not use the title or designation "certified public accountant" or the abbreviation "CPA" or any other title, designation, words, letters, abbreviation, sign, card or device tending to indicate that a person is a certified public accountant. Up to and including June 30, 2017, holders of certificates only who have held a Delaware permit to practice which has since lapsed may use the title or designation "certified public accountant" or the abbreviation "CPA" or any other title, designation, words, letters, abbreviation, sign, card or device tending to indicate that a person is a certified public accountant; provided that it is clearly indicated that such person is not holding himself or herself out as a practicing certified public accountant. Upon proper notification to the Board, holders of CPA permits to practice who no longer provide any type of service or advice involving the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills and who no longer meet the continuing education requirement under § 108 of this title must place the word "inactive" adjacent to their CPA title.

(b) The use of the title or designation "public accountant" or the abbreviation "PA" or any other title, designation, words, letters, abbreviation, sign, card or device tending to indicate that such person is a public accountant shall be limited to a person who holds a valid permit to practice public accountancy.

(27 Del. Laws, c. 98, § 1; Code 1915, § 981; Code 1935, § 1092; 24 Del. C. 1953, § 103; 55 Del. Laws, c. 193; 60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 139, § 1; 75 Del. Laws, c. 128, § 2; 80 Del. Laws, c. 247, § 1.)

§ 107 Requirements and qualifications for a permit to practice as a certified public accountant.

(a) Each person who is engaged in the practice of certified public accountancy and whose principal place of business is in this State, whether as a principal or employee of a firm, shall be required to obtain and maintain a valid permit to practice certified public accountancy. The permit to practice as a certified public accountant shall be granted to persons who meet the education, experience, lack of disqualifying conviction and examination requirements of the following subsections of this section and rules adopted thereunder and who make application therefore pursuant to § 108 of this title.

(b) (1) The applicant has not been convicted of a crime that is substantially related to the practice of accountancy; however, if after consideration of the factors set forth under § 8735(x)(3) of Title 29, through a hearing or review of documentation, the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this subsection.

(2) Notwithstanding the limitation in § 8735(x)(4)d. of Title 29, the Board may consider convictions for financial sector crimes such as bribery, embezzlement, identity theft, racketeering, money laundering, terrorist financing, fraud (including fraudulent financial reporting) and tax evasion regardless of the time that has passed since conviction.

(3)-(4) [Repealed.]

(c) The applicant has completed at least 1 of the following:

(1) A post-baccalaureate or higher degree conferred by an accredited college or university acceptable to the Board, the total educational program to include an accounting concentration or equivalent as determined by Board rule to be appropriate.

(2) At least 150 semester hours of college education including a baccalaureate or higher degree conferred by an accredited college or university acceptable to the Board, the total educational program to include an accounting concentration or equivalent as determined by Board rule to be appropriate.

(3) A baccalaureate degree conferred by an accredited college or university acceptable to the Board, the total educational program to include an accounting concentration or equivalent as determined by Board rule to be appropriate.

(d) The applicant has successfully passed the Uniform Certified Public Accountant Examination and/or such successor examinations as may be required to qualify for a permit to practice, provided that the applicant may not sit for said exams until that applicant has successfully completed a baccalaureate or higher degree conferred by an accredited college or university acceptable to the Board, the total educational program to include an accounting concentration or equivalent as determined by Board rule to be appropriate.

(e) The applicant has successfully passed the AICPA self-study course and examination in professional ethics.

(f) The applicant has had 1 year of experience if applying for a permit based on the educational requirement under paragraph (c)(1) or (c)(2) of this section, and the applicant has had 2 years of experience if applying for a permit based on the educational requirement under paragraph (c)(3) of this section. The experience required by this subsection shall include providing any type of service or advice involving the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills all of which was supervised by a United States certified public accountant, meeting requirements prescribed by the Board by rule. This experience would be acceptable if it was gained through employment in government, industry, academia or public practice.

(27 Del. Laws, c. 98, § 3; Code 1915, § 982; 32 Del. Laws, c. 48, §§ 2, 3; Code 1935, § 1093; 45 Del. Laws, c. 82, § 1; 24 Del. C. 1953, § 104; 50 Del. Laws, c. 167, § 2; 55 Del. Laws, c. 193; 58 Del. Laws, c. 511, § 49; 60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 139, § 1; 72 Del. Laws, c. 468, § 1; 74 Del. Laws, c. 262, § 3; 75 Del. Laws, c. 128, § 3; 75 Del. Laws, c. 436, § 1; 76 Del. Laws, c. 418, §§ 4-12; 77 Del. Laws, c. 199, § 1; 78 Del. Laws, c. 44, §§ 1, 2; 80 Del. Laws, c. 247, § 1; 83 Del. Laws, c. 433, § 3; 85 Del. Laws, c. 112, § 2.)

§ 108 Substantial equivalency [Transferred].

76 Del. Laws, c. 418, § 13; Del. Laws, c. 247, § 1

§ 108 Issuance and renewal of CPA permits to practice and maintenance of competency; reciprocity.

(a) The Board shall grant or renew permits to practice to persons who make application and demonstrate 1 of the following:

(1) Their qualifications, including where applicable the qualifications prescribed by § 107 of this title, are in accordance with the following subsections of this section.

(2) They are eligible under the practice privileges standard set out in § 109(a)(1) of this title.

(b) Permits to practice shall be initially issued, and renewed, for periods of not more than 2 years but in any event shall expire on June 30 of the odd-numbered year following issuance or renewal. Applications for such permits to practice shall be made in such form, and in the case of applications for renewal, between such dates, as the Board shall by rule specify, and the Board shall grant or deny any such application no later than 120 days after the application is filed in proper form.

(c) (1) Reciprocal permits to practice shall be issued to applicants who are eligible under the practice privileges standard set out in § 109(a) of this title.

(2) With regard to applicants that do not qualify for reciprocity under the practice privileges standard set out in § 109 of this title, the Board shall issue a permit to practice to a holder of a certificate, license or permit issued by another state upon a showing upon a showing of all of the following:

a. The applicant passed the Uniform CPA Examination.

b. The applicant had 4 years of experience of the type described in § 107(f) of this title or meets comparable requirements prescribed by the Board by rule, after passing the examination upon which the applicant's certificate was based and within the 10 years immediately preceding the application.

c. If the applicant's certificate, license or permit was issued more than 4 years prior to the application for issuance of an initial certificate under this section, that the applicant has fulfilled the requirements of continuing professional education that would have been applicable under subsection (d) of this section.

(d) Permits to practice shall be renewed biennially. An applicant for renewal of a permit under this section shall show that the applicant has completed no less than 80 hours of continuing professional education as determined by Board rule during the 2-year renewal period that has elapsed since the last biennial renewal date. If an applicant's initial permit to practice was issued less than 2 years prior to the renewal date, the applicant must fulfill the following continuing professional educational requirements:

(1) No continuing education requirement if initial permit was issued less than 1 year prior to the renewal date; or

(2) A prorated continuing professional education requirement as determined by Board rule if initial permit was issued 1 year or more, but less than 2 years, prior to the renewal date.

(e) For renewal of a permit to practice under this section, each licensee shall participate in a program of learning designed to maintain professional competency. Such program of learning must comply with rules adopted by the Board. The Board may by rule create an exception to this requirement for permit holders who do not perform or offer to perform 1 or more kinds of services involving the use of accounting or auditing skills, including issuance of reports on financial statements or of 1 or more kinds of management advisory, financial advisory or consulting services, or the preparation of tax returns or the furnishing of advice on tax matters, and who make application for inactive status to the Board. Licensees granted such an exception by the Board must place the word "inactive" adjacent to their CPA title on any business card, letterhead or other document or device with the exception of their CPA permit to practice, on which their CPA title appears. Holders of an inactive permit to practice certified public accountancy may apply to have their permit to practice reinstated pursuant to Board rule.

(f) Applicants for initial issuance or renewal of permits to practice under this section shall in their applications list all states in which they have applied for or hold certificates, licenses or permits and list any past denial, revocation or suspension of a certificate, license or permit, and each holder of or applicant for a permit to practice under this section shall notify the Board in writing, within 30 days after its occurrence, of any issuance, denial, revocation or suspension of a certificate, license or permit by another state.

(g) Holders of expired permits to practice as of July 1, 2016, may apply to have their permit to practice reinstated upon a showing that the applicant has completed no less than 80 hours of continuing professional education as determined by Board rule during the 2-year renewal period ending June 30, 2017. Any holder of an expired permit to practice who fails to reinstate that holder's permit by June 30, 2017, shall be required to meet all of the requirements for the initial issuance of a permit to practice pursuant to this section.

(80 Del. Laws, c. 247, § 1; 70 Del. Laws, c. 186, § 1; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 112, § 3.)

§ 109 Practice privileges.

(a) (1) An individual whose principal place of business is not in this State and who holds a valid license in good standing as a certified public accountant from any state shall have all the privileges of permit holders of this State without the need to obtain a permit under § 108 of this title, provided that the individual has obtained a baccalaureate or higher degree conferred by an accredited college or university acceptable to the Board, the total educational program to include an accounting concentration or equivalent as determined by Board rule to be appropriate; has successfully passed the Uniform Certified Public Accountant Examination or a successor examination; and has attained 1 year of experience of the type described in § 107(f) of this title. Notwithstanding any other provision of law, an individual who offers or

renders professional services, whether in person, by mail, telephone or electronic means, under this section shall be granted practice privileges in this State and no notice or other submission shall be provided by any such individual. Such an individual shall be subject to the requirements in paragraph (a)(3) of this section.

(2) An individual whose principal place of business is not in this State and who holds, and as of December 31, 2024, held, a valid license as a certified public accountant from any state and who, as of December 31, 2024, had practice privileges in this State under this section, shall continue to have all the privileges of permit holders of this State without the need to obtain a permit under § 108 of this title. Notwithstanding any other provision of law, an individual who offers or renders professional services, whether in person, by mail, telephone or electronic means, under this section shall be granted practice privileges in this State and no notice or other submission shall be provided by any such individual. Such an individual shall be subject to the requirements in paragraph (a)(3) of this section.

(3) An individual licensee of another state exercising the privilege afforded under this section and the firm which employs that licensee hereby simultaneously consent, as a condition of the grant of this privilege:

a. To the personal and subject matter jurisdiction and disciplinary authority of the Board;

b. To comply with this chapter and the Board's rules;

c. That in the event the license from the state of the individual's principal place of business is no longer valid, the individual will cease offering or rendering professional services in this State individually and on behalf of a firm; and

d. To the appointment of the State Board which issued their license as their agent upon whom process may be served in any action or proceeding by this Board against the licensee.

(b) A permit holder of this State offering or rendering services or using that permit holder's CPA title in another state shall be subject to disciplinary action in this State for an act committed in another state for which the permit holder would be subject to discipline for an act committed in the other state. The Board shall be required to investigate any complaint made by the board of accountancy of another state.

(c) Practice within this State by individuals who qualify for the practice privilege under this section shall not obligate a firm that does not maintain an office in this State to obtain a firm permit under § 111 of this title.

(76 Del. Laws, c. 418, § 13; 80 Del. Laws, c. 247, § 1; 85 Del. Laws, c. 112, § 4.)

§ 110 Requirements for permits to practice public accountancy.

(a) Each person who intends to be or is engaged in the practice of public accountancy in this State, whether as a principal of a firm or an employee of a firm, shall be required to obtain and maintain a valid permit to practice public accountancy. The Board shall grant and/or renew permits to persons who make application and demonstrate their qualifications in accordance with this section.

(b) Permits shall be issued and renewed for periods of 2 years.

(c) An applicant for initial issuance of a permit under this section must show all of the following:

(1) The applicant has not been convicted of a crime that is substantially related to the practice of accountancy; if however, after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation, the Board determines that the granting of a waiver would not create an unreasonable risk to public safety, the Board shall, by an affirmative vote of a majority of the quorum, waive this waive this paragraph (c)(1);

a.-d. [Repealed.]

(2) Holds, at a minimum, an associate degree, conferred by an accredited college or university, or a degree from an accredited 2-year college with a concentration in accounting, or what the Board determines to be substantially the equivalent of such concentration;

(3) Has passed either all parts of the examination recognized by the National Society of Public Accountants or both the Financial Accounting and Reporting (FAR) and Auditing and Attestation (AUD) portions of the Uniform Certified Public Accountant Examination;

(4) Has successfully passed the AICPA self-study course and examination in professional ethics; and

(5) Has not engaged in any acts or offenses that would be grounds for disciplinary action under this chapter and has no disciplinary proceedings or unresolved complaints pending against the applicant in any jurisdiction where the individual has been or currently holds a permit to practice. Each holder of or applicant for a permit under this section shall notify the Board in writing, within 30 days after its occurrence, of any issuance, denial, revocation or suspension of a permit by another state.

(d) An applicant for renewal of a permit under this section shall show that the applicant has completed no less than 80 hours of continuing professional education as determined by Board rule during the 2-year renewal period that has elapsed since the last biennial renewal date. If an applicant's initial permit to practice was issued less than 2 years prior to the renewal date, the applicant must fulfill the following continuing professional education requirements:

(1) No continuing education requirement if initial permit was issued less than 1 year prior to the renewal date; or

(2) A prorated continuing professional education requirement as determined by Board rule if initial permit was issued 1 year or more, but less than 2 years, prior to the renewal date.

(e) No new permits to practice public accountancy shall be issued after December 31, 2016. Applications for reciprocal public accountant permits to practice will be accepted from public accountants who hold an active public accountant permit in good standing in another jurisdiction and who relocate to Delaware and identify Delaware as their primary residence. The Board may, by rule, require continuing education as a condition to issuance of the reciprocal permit.

(65 Del. Laws, c. 167, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 139, § 1; 76 Del. Laws, c. 418, § 15; 80 Del. Laws, c. 247, § 1; 83 Del. Laws, c. 433, § 3.)

§ 111 Requirements for permits to practice by firms.

(a) Each firm with an office in this State and which intends to be or is engaged in the practice of certified public accountancy or the practice of public accountancy in this State shall be required to obtain and maintain a valid permit to practice. The Board shall grant or renew permits to firms that make application and demonstrate their qualifications in accordance with this section.

(b) A firm that does not have an office in this State may engage in the practice of certified public accountancy in this State through an individual practicing pursuant to the practice privilege afforded by § 109 of this title without obtaining a permit under this section or otherwise notifying the Board.

(c) Permits shall be renewed biennially.

(d) An applicant for initial issuance or renewal of a CPA firm permit to practice under this section shall be required to show that:

(1) Notwithstanding any other provision of law, a simple majority of the ownership of the firm, in terms of financial interests and voting rights, of all partners, officers, shareholders, members or managers, belongs to holders of a CPA certificate, CPA permit, or CPA license who are licensed in some state. All partners, officers, shareholders, members or managers, whose principal place of business is in this State, and who perform certified public accounting services in this State hold a valid permit to practice under § 108 of this title, or the corresponding provision of prior law. Although firms may include nonlicensee owners, the firm and its ownership must comply with applicable rules promulgated by the Board.

(2) Any certified public accounting firm as defined in this title may include nonlicensee owners provided that:

a. The firm designated a permit holder of this State who is responsible for the proper registration of the firm and identifies that individual to the Board.

b. All nonlicensee owners are active individual participants in the certified public accounting firm or affiliated entities.

c. The firm complies with such other requirements as the Board may impose by rule.

(e) An applicant for initial issuance or renewal of a PA firm permit to practice under this section shall be required to show that:

(1) Notwithstanding any other provision of law, a simple majority of the ownership of the firm, in terms of financial interests and voting rights, of all partners, officers, shareholders, members or managers, belongs to holders of permits to practice under § 110 of this title. All partners, officers, shareholders, members or managers, whose principal place of business is in this State, and who perform public accounting services in this state hold a valid permit to practice under § 110 of this title. Although firms may include nonlicensee owners, the firm and its ownership must comply with applicable rules promulgated by the Board.

(2) Any public accounting firm as defined in this title may include nonlicensee owners provided that:

a. The firm designated a permit holder of this State who is responsible for the proper registration of the firm and identifies that individual to the Board.

b. All nonlicensee owners are active individual participants in the public accounting firm or affiliated entities.

c. The firm complies with such other requirements as the Board may impose by rule.

(f) For purposes of this section, the employees of a public accounting firm with its principal office or offices outside of this State must obtain a permit for those employees who work in excess of 80 hours in this State or who work for a client or clients located in this State. However, any firm which is engaged to practice public accountancy in this State, for even 1 hour, is required to obtain a permit. Every principal of a public accounting firm who is responsible for the accounting work in this State shall obtain an individual permit to practice under § 110 of this title.

(g), (h) [Repealed].

(i) An applicant for initial issuance or renewal of a permit to practice under this section shall be required to register each office of the firm within this State with the Board and to affirm that all attest and compilation services rendered in this State are under the charge of a person holding an active permit to practice issued under this chapter or qualifies for the practice privilege under § 109 of this title.

(j) An applicant for initial issuance or renewal of permits under this section shall in his or her application list all states in which they have applied for or hold permits as CPA firms and list any past denial, revocation or suspension of a permit by any other state, and each holder of or applicant for a permit under this section shall notify the Board in writing, within 30 days after its occurrence, of any change in the identities of partners, officers, shareholders, members or managers whose principal place of business is in this State, any change in the number or location of offices within this State, any change in the identity of the persons in charge of such offices, and any issuance, denial, revocation or suspension of a permit by any other state.

(k) An applicant for initial issuance or renewal of permits under this section shall in his or her application list all states in which they have applied for or hold permits as PA firms and list any past denial, revocation or suspension of a permit by any other state, and each holder of or applicant for a permit under this section shall notify the Board in writing, within 30 days after its occurrence, of any change in the identities of partners, officers, shareholders, members or managers whose principal place of business is in this State, any change in the number or location of offices within this State, any change in the identity of the persons in charge of such offices, and any issuance, denial, revocation or suspension of a permit by any other state.

(*l*) Firms which fall out of compliance with the provisions of this section due to changes in ownership or personnel, after receiving or renewing a permit, shall take corrective action to bring the firm back into compliance within 60 days. Failure to bring the firm back into compliance within 60 days will result in the suspension or revocation of the firm permit.

(m) Effective July 1, 2017, the Board shall by rule require as a condition to renewal of permits under this section, that firms that provide

attest and/or compilation services be enrolled in a board-approved peer review program and comply with the applicable standards and guidance of that program, provided that any such rule:

(1) Shall be promulgated reasonably in advance of the time when it first becomes effective;

(2) Shall include reasonable provision for compliance by a firm showing that it has, within the preceding 3 years, undergone a peer review. Such compliance requirement shall first be effective for the renewal period ending June 30, 2019; and

(3) Shall require, with respect to any organization administering peer review programs, that it be subject to evaluations by the Board or its designee, to periodically assess the effectiveness of the peer review program under its charge.

(65 Del. Laws, c. 167, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 139, § 1; 75 Del. Laws, c. 128, § 5; 76 Del. Laws, c. 418, § 16; 80 Del. Laws, c. 247, § 1.)

§ 112 Professional responsibilities.

While § 111(a) of this title requires firms to obtain permits to practice, and § 102(10) of this title defines "firm" to include valid partnerships and corporations, this chapter shall not be interpreted to alter professional responsibility standards. All firms and accountants practicing in firms shall continue to be bound by professional responsibility standards no less stringent than those stated in § 608 of Title 8.

(71 Del. Laws, c. 139, § 1; 80 Del. Laws, c. 247, § 1.)

§ 113 Examinations.

The Board may, by regulation, prescribe the terms and conditions for granting credit to a candidate for a permit to practice certified public accountancy or an applicant for a permit to practice public accountancy based on the candidate's or applicant's satisfactory completion of an examination in any 1 or more of the subjects of the Uniform Certified Public Accountant Examination, the examination recognized by the National Society of Public Accountants and any written examination in any other subject or subjects given by the Board or by the licensing authority in any other jurisdiction.

(27 Del. Laws, c. 98, § 3; Code 1915, § 982; 32 Del. Laws, c. 48, §§ 2, 3; Code 1935, § 1093; 24 Del. C. 1953, § 105; 55 Del. Laws, c. 193; 60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 139, § 1; 80 Del. Laws, c. 247, § 1.)

§ 114 [Reserved.]

27 Del. Laws, c. 98, § 4; Code 1915, § 983; Code 1935, § 1094; 45 Del. Laws, c. 82, § 2; 24 Del. C. 1953, § 107; 55 Del. Laws, c. 193; 60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 71 Del. Laws, c. 139, § 1; 75 Del. Laws, c. 128, § 6; repealed by 80 Del. Laws, c. 247, § 1, effective May 25, 2016.

§ 115 Prohibited acts; limitation of services.

(a) No person or firm shall perform compilation, review or audit services, as defined by the American Institute of Certified Public Accountants (AICPA), except holders of a valid permit to practice.

(b) Audit services provided by holders of permits to practice public accountancy shall be limited to services for nonpublic entities.

(65 Del. Laws, c. 167, § 1; 71 Del. Laws, c. 139, § 1.)

§ 116 Complaints.

(a) The Board or any aggrieved person may file a complaint against any individual or firm holding a permit to practice or any certificate holder. All complaints shall be received and investigated by the Division in accordance with § 8735 of Title 29, and the Division shall be responsible for issuing a final written report at the conclusion of its investigation. The Division may refer a complaint against an individual practicing under § 109 of this title to the state of licensure for handling.

(b) When it is determined that an individual or firm is engaged in the practice of certified public accountancy or public accountancy without having first obtained the appropriate permit, the Board shall apply to the Division of Professional Regulation to issue a cease and desist order.

(c) Any complaints involving allegations of unprofessional conduct or incompetence shall be investigated by the Division of Professional Regulation.

(71 Del. Laws, c. 139, § 1; 76 Del. Laws, c. 418, § 17; 80 Del. Laws, c. 247, § 1.)

§ 117 Unprofessional conduct.

An individual holding a certificate and any individual or firm holding a permit to practice shall be subject to those disciplinary actions set forth in § 118 of this title if, after a hearing, the Board finds that the individual or firm:

(1) Has employed or knowingly cooperated in fraud or material deception in order to acquire or renew a certificate or permit to practice or be otherwise authorized to practice accountancy; or impersonated another person holding a certificate or permit to practice; or allowed another person to use the individual's certificate or permit to practice; or aided or abetted a person not holding a certificate or permit to practice to represent himself or herself as holding a certificate or permit to practice;

(2) Has engaged in an act of fraud or gross negligence in the practice of accounting or engaged in dishonorable, unethical or unprofessional conduct intended to or likely to deceive, defraud or harm the public;

(3) Has been found guilty of or has entered a plea of guilty or nolo contendere to a crime that is substantially related to the practice of accountancy;

(4) Has been subject to disciplinary sanction or censured or has had the individual's certificate or permit to practice revoked or suspended in any other state for any cause other than failure to pay an annual registration fee;

(5) Has been subject to disciplinary sanction or censured or has had the individual's right to practice revoked before any state or federal agency; or

(6) Has violated a lawful provision of this chapter or any lawful regulation or rule of professional conduct established thereunder.

(27 Del. Laws, c. 98, § 5; Code 1915, § 984; Code 1935, § 1095; 24 Del. C. 1953, § 108; 55 Del. Laws, c. 193; 60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 139, § 1; 74 Del. Laws, c. 262, § 4; 76 Del. Laws, c. 418, § 18; 80 Del. Laws, c. 247, § 1.)

§ 118 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions or take any of the following actions, singly or in combination, when it finds that 1 or more of the conditions or violations set forth in § 117 of this title applies to a certificate or permit holder or to an individual or firm with practice privileges under § 109 of this title:

(1) Issue a letter of reprimand;

(2) Censure the certificate or permit holder or individual or firm with practice privileges under § 109 of this title;

(3) Suspend the certificate or permit to practice or the practice privilege of the individual or firm;

(4) Place the certificate or permit holder or the practice privilege holder on probationary status and require him or her to:

a. Report regularly to the Board upon the matters which are the basis of the probation;

b. Limit all practice and professional activities to those areas prescribed by the Board; and/or

c. Continue or renew his or her professional education until the required degree of skill has been attained in the areas which are the basis of the probation;

(5) Revoke the certificate or permit to practice or practice privilege of an individual or firm;

(6) Impose a fine of up to $10,000 for each offense, at the discretion of the Board; and/or

(7) Require the certificate or permit holder or practice privilege holder or firm to reimburse the Division for the cost of the investigation, including but not limited to, legal assistance, public hearings, materials, human resources, contractual assistance and appropriate salary and overtime pay for all state employees involved notwithstanding merit system laws or regulations to the contrary.

(b) The Board may refuse or reject an applicant for a permit to practice if, after a hearing, the Board finds that an applicant has misstated or misrepresented a material fact in connection with the applicant's application; has violated any section of the AICPA Code of Professional Conduct; or practiced public accountancy or certified public accountancy without being registered in accordance with this chapter.

(c) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such actions have been remedied.

(d) Upon an application (or petition), in writing, and after notice and a hearing, the Board may issue a new permit to practice or a practice privilege to a person or firm whose certificate or permit or practice privilege has been revoked or suspended or modify the terms of any suspension.

(e) In the event of a formal or informal complaint concerning the activity of a certificate or permit holder that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's certificate or permit, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a certificate or permit may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose certificate or permit has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's certificate or permit.

(60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 139, § 1; 76 Del. Laws, c. 418, §§ 19, 20; 79 Del. Laws, c. 213, § 2; 80 Del. Laws, c. 247, § 1.)

§ 119 Board hearings; procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29, alleging violation of § 117 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing conducted in accordance with this chapter, § 8735 of Title 29 and the Administrative Procedures Act, Chapter 101 of Title 29.

(b) Upon reaching its conclusion of law and determining an appropriate sanction, if any, the Board shall issue a written decision and order in accordance with § 10128 of Title 29. The order must restate the factual findings, but need not summarize the evidence presented. The decision and order may be issued over the signature of only the President or other officer of the Board. The decision and order must be sent by certified mail, return receipt requested, to the subject of the complaint.

(c) [Repealed.]

(60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 71 Del. Laws, c. 139, § 1; 80 Del. Laws, c. 247, § 1.)

§ 120 Ownership of working papers.

(a) All statements, records, schedules, working papers and memoranda made by a permit holder or a partner, shareholder, officer, director or employee of a permit holder, incident to or in the course of rendering services to a client, except the reports submitted by the permit holder to the client, and except for records that are part of the client's records, shall be and remain the property of the permit holder in the absence of an express agreement between the permit holder and the client to the contrary. No such statement, record, schedule, working paper or memorandum shall be sold, transferred or bequeathed without the consent of the client or the client's personal representative or assignee, to anyone other than 1 or more surviving partners or stockholders or new partners or stockholders of the permit holder or any combined or merged firm or successor in interest to the permit holder. Nothing in this section should be construed as prohibiting any temporary transfer of working papers or other material necessary in the course of carrying out quality reviews.

(b) A permit holder shall furnish to permit holder's client or former client, upon request and reasonable notice:

(1) A copy of the permit holder's working papers, to the extent that such working papers include records that would ordinarily constitute part of the client's records and are not otherwise available to the client; or

(2) Any accounting or other records belonging to or obtained from or on behalf of the client that the permit holder removed from the client's premises or received for the client's account; the permit holder may make and retain copies of such documents of the client when they form the basis for work done by the permit holder.

(c) Nothing herein shall require a permit holder to keep any working paper beyond the period prescribed in any other applicable statute.

(24 Del. C. 1953, § 110; 55 Del. Laws, c. 193; 60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 139, § 1.)

§ 121 Violations; penalties.

(a) Where the Board has determined, upon notice and hearing pursuant to Chapter 101 of Title 29, that a person is engaged in the practice of certified public accountancy or public accountancy, or is representing himself or herself to the public as the recipient of a certificate or permit, or is holding himself or herself out as being authorized to practice certified public accountancy or public accountancy, without having lawfully obtained a certificate or permit, or without having a practice privilege under § 109 of this title, or otherwise wrongfully uses such title or any similar title to practice certified public accountancy or public accountancy, or continues to practice certified public accountancy or public accountancy after the revocation or suspension of a practice privilege in this State or after the revocation, suspension or expiration of a certificate or permit from this State or another state, the Board may apply to the Division of Professional Regulation to issue a cease and desist order.

(b) Where the Board has determined, upon notice and hearing pursuant to Chapter 101 of Title 29, that a firm is improperly holding itself out as being authorized to practice certified public accountancy or public accountancy, the Board may apply to the Division of Professional Regulation to issue a cease and desist order.

(c) [Repealed.]

(d) Any person or entity who violates any provisions of this chapter or any rules or regulations promulgated hereunder, shall be liable for a civil penalty as set forth in § 118(a)(6) of this title. Where a partnership or corporation engages in the practice of certified public accountancy or public accountancy in violation of this chapter, or any rules or regulations promulgated hereunder, every partner of such partnership and every officer, director, shareholder or principal of such corporation, shall be deemed to have committed the violation in question. Nothing in this section shall be construed to prevent prosecution under, or be inconsistent with, Chapter 5 of Title 11.

(27 Del. Laws, c. 98, § 7; Code 1915, § 986; Code 1935, § 1097; 24 Del. C. 1953, § 114; 55 Del. Laws, c. 193; 60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 139, § 1; 76 Del. Laws, c. 418, § 21; 80 Del. Laws, c. 247, § 1.)

§ 122 Status of existing certificates preserved.

(a) Any person legally authorized to practice as a certified public accountant in this State as of July 1, 1985, shall thereafter possess the same rights and privileges as persons to whom permits to practice certified public accountancy shall be issued pursuant to this chapter, subject, however, to the power of the Board, as provided in this chapter, to suspend or revoke the certificate and/or permit to practice of such person or censure or reprimand such person for any of the causes set forth in this chapter.

(b) Any person who holds a valid certificate issued under this chapter and regulations of the Board in effect on or before June 30, 1985, shall be deemed to have sufficient education and experience to satisfy the experience requirement of § 107 (c) of this title.

(c) Any firm holding a valid permit to practice as of December 31, 2015, that would otherwise not quality for renewal solely based on § 111(d) of this title shall nevertheless be eligible for renewal.

(d) [Repealed.]

(60 Del. Laws, c. 198, § 1; 65 Del. Laws, c. 167, § 1; 71 Del. Laws, c. 139, § 1; 75 Del. Laws, c. 128, §§ 7, 10; 80 Del. Laws, c. 247, § 1.)

§ 123 Status of existing public accountants preserved.

Any person legally authorized to practice as a public accountant in this State as of June 30, 1985, or who had a permit to practice public accountancy on that date shall thereafter possess the same rights and privileges as persons to whom permits to practice public accountancy

shall be issued pursuant to § 110 of this title, subject, however, to the power of the Board, as provided in this chapter, to suspend or revoke the permit of such person or censure or reprimand such person for any of the causes set forth in this chapter.

(65 Del. Laws, c. 167, § 1; 66 Del. Laws, c. 132; 71 Del. Laws, c. 139, § 1.)

§ 124 Renewals.

In the event that a permit holder fails to timely renew a permit, such permit can be reinstated within a period of 1 year from the lapse of the permit to practice, at the discretion of the Board, upon payment of the regular renewal fee plus an additional late renewal processing fee established by the Division of Professional Regulation, and upon the permit holder furnishing proof of compliance with all other permit requirements established by the Board, including proof that the permit holder has met the continuing education requirements established by the Board.

§§ 125, 126 [Reserved.]

§ 127 Permitted titles and safe harbor language.

(a) Notwithstanding any provision to the contrary contained herein, an individual who is not a licensee of the Board shall not be prohibited the use of the following titles: the unadorned word “Accountant”; “Enrolled Agent” or initials “E.A.”; “Accredited business Advisor” or the initials “A.B.A.”; “Accredited Tax Preparer” or the initials “A.T.P.”; “Accredited Tax Advisor” or the initials “A.T.A.”

(b) Notwithstanding any provision to the contrary contained herein, an individual who is not a licensee of the Board shall not be prohibited the use of the following language in connection with financial statements:

“I (we) have prepared the accompanying (financial statements) of (name of entity) as of (time period) for the (period) then ended. This presentation is limited to preparing in the form of financial statements information that is the representation of management (owners).

“I (we) have not audited or reviewed the accompanying financial statements and accordingly do not express an opinion or any other form of assurance on them.”

(76 Del. Laws, c. 418, § 23.)

Chapter 2
LANDSCAPE ARCHITECTS

§ 200 Objectives of board.

The primary objective of the Board of Landscape Architecture, to which all other objectives and purposes are secondary, is to protect the general public (specifically those persons who are direct recipients of services regulated by this chapter) from unsafe practices, and from occupational practices which tend to reduce competition or fix the price of services rendered. The secondary objectives of the Board are to maintain minimum standards of practitioner competency, and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence, shall monitor complaints brought against practitioners regulated by the Board, shall adjudicate at formal complaints hearings, shall promulgate rules and regulations, and shall impose sanctions where necessary against practitioners.

(63 Del. Laws, c. 461, § 1; 67 Del. Laws, c. 385, § 1.)

§ 201 Definitions.

The following words, terms and phrases, when used in this chapter, shall have the meaning ascribed to them except where the context clearly indicates a different meaning:

(1) "Board" shall mean the Delaware State Board of Landscape Architecture.

(2) "Landscape architect" shall mean a person who, on the basis of demonstrated knowledge acquired by professional education or practical experience, or both, has been granted and holds a current certificate entitling the person to use the designation "landscape architect" and practices landscape architecture in this State under the authority of this chapter.

(3) a. "Landscape architecture" shall mean any service or creative work the adequate performance of which requires landscape architectural education, training and experience. It shall mean the performance of professional services such as consultation, investigation, research, planning, design, preparation of drawings, specifications and contract documents, and responsible supervision or construction management in connection with the development of land areas where, and to the extent that the dominant purpose of such services is: The preservation, enhancement or determination of proper land uses, natural land features, wetlands and environmentally sensitive plant and animal communities, naturalistic and aesthetic values; the determination of settings, circulation systems, and hard scaping structures, grounds and approaches for buildings and structures or other improvements; the determination of environmental problems of land relating to erosion, flooding, blight and other hazards; the shaping and contouring of land and water forms; the setting of grades, determination of drainage and providing for storm drainage systems where such systems do not require structural design of system components and determination of landscape irrigation.

b. "Landscape architecture" shall include the design of such tangible objects and features as are necessary to the purpose outlined herein but shall not include the design of buildings, structures and utilities with separate and self-contained purposes such as are ordinarily included in the practice of architecture or engineering.

(4) "Substantially related" means the nature of criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to landscape architecture.

(60 Del. Laws, c. 190, § 1; 67 Del. Laws, c. 385, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 5; 74 Del. Laws, c. 336, § 1.)

§ 202 License required.

No person shall hold oneself out to the public as being a licensed landscape architect, or use in connection with one's name or otherwise assume, use or advertise any title or description intending to convey the impression that one is a licensed landscape architect, unless such person has been licensed under this chapter.

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 2; 67 Del. Laws, c. 385, § 1; 70 Del. Laws, c. 186, § 1.)

§ 203 Board of Landscape Architecture — Appointment; composition; qualifications; term of office; suspension or removal; compensation.

(a) The Board of Landscape Architecture shall consist of 5 members appointed by the Governor: 3 professional members who shall be licensed landscape architects; and 2 public members. To serve on the Board, a public member shall not be nor ever have been a landscape architect, nor a member of the immediate family of a landscape architect; shall not have been employed by a landscape architect; shall not have had a material financial interest in the providing of goods and services to landscape architect; and shall not have been engaged in an activity directly related to landscape architecture. Such public member shall be accessible to inquiries, comments and suggestions from the general public.

(b) Each member shall serve for a term of 3 years, and may successively serve 1 additional term; provided however, that where a member was initially appointed to fill a vacancy, such member may successively serve for only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member. Each term of office shall expire on the date specified in the appointment, however, the Board member shall remain eligible to participate in Board proceedings unless and until replaced by the Governor.

(c) A person who has never served on the Board may be appointed to the Board 2 consecutive times, but no such person shall thereafter

be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board, or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(d) Any act or vote by a person appointed in violation of subsection (c) of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (c) of this section, unless such amendment or revision amends this section to permit such an appointment.

(e) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance or malfeasance. A member subject to disciplinary proceedings shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(f) No member of the Board of Landscape Architecture, while serving on the Board, shall be a president, chairperson or other official of a professional association of landscape architects.

(g) The provisions set forth for "employees" in Chapter 58 of Title 29 shall apply to all members of the Board, and to all agents appointed by or otherwise employed by the Board.

(h) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 3; 67 Del. Laws, c. 368, § 2; 67 Del. Laws, c. 385, § 1; 68 Del. Laws, c. 91, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 309, § 1; 81 Del. Laws, c. 85, § 1.)

§ 204 Board of Landscape Architecture — Officers; meetings; quorum.

(a) In January of each year the members shall elect, from among their Board, a President, a Secretary and a Treasurer. Each officer shall serve for 1 year, and shall not successively serve for more than 2 consecutive terms.

(b) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, and at such other times as the President deems necessary; or at the request of a majority of Board members. Special or emergency meetings may be held without notice provided a quorum is present.

(c) A majority of members shall constitute a quorum, and no action shall be taken without the affirmative vote of at least 3 members. Any member who fails to attend 3 consecutive meetings, or who fails to attend at least $^1/_2$ of all regular business meetings during any calendar year, shall automatically upon such occurrence be deemed to have resigned from office and a replacement shall be appointed.

(d) Minutes of all meetings shall be recorded, and copies shall be maintained by the Division of Professional Regulation. At any hearing where evidence is presented, such hearing shall be recorded and transcribed by the Division.

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 4; 64 Del. Laws, c. 117, § 1; 65 Del. Laws, c. 355, § 1; 67 Del. Laws, c. 385, § 1; 68 Del. Laws, c. 91, § 2; 70 Del. Laws, c. 186, § 1.)

§ 205 Powers and duties.

(a) The Board shall have authority to:

(1) Formulate rules and regulations relating to official seals and other matters, with appropriate notice to those affected, where such notice can reasonably be given. Each rule or regulation shall implement or clarify a specific section of this chapter;

(2) Designate the application form to be used by all applicants for licensure, subject to the approval of the Director of the Division of Professional Regulation, and to process all applications;

(3) Designate a written national examination, prepared by either the national professional association or by a recognized legitimate national testing service. The examination shall be prepared for testing on a national basis, and not specifically prepared at the request of the Board for its individual use. The national examination shall be taken by persons applying for licensure, except applicants who qualify for licensure by reciprocity;

(4) Provide for the administration of all examinations, including notice and information to applicants;

(5) Under such conditions as are permitted by the national testing service, administer the uniform national examination, or another nationally-administered examination for those applicants who have been unable to take it at the school or college of landscape architecture, or elsewhere;

(6) Grant licenses to all persons who meet the qualifications for licensure;

(7) Refer complaints received from practitioners and from the public, concerning practitioners or practices of the profession, to the Division of Professional Regulation for investigation pursuant to § 8735 of Title 29;

(8) If an investigation under § 8735 of Title 29 indicates that a disciplinary hearing is appropriate, to conduct such hearing in accordance with this chapter; with the provisions of § 8735(h) of Title 29; and with the applicable provisions of the Administrative Procedures Act [Chapter of 101 of Title 29];

(9) Where it has been determined after a disciplinary hearing that penalties or sanctions should be imposed, to designate and impose the appropriate penalties or sanctions after time for appeal has lapsed;

(10) Bring proceedings in the courts for the enforcement of this chapter;

(11) Maintain complete records relating to meetings, applications, examinations, rosters, changes in the Board's rules and regulations, complaints, hearings and other matters within the Board's jurisdiction, except for those matters or subjects where the records are maintained by the Division of Professional Regulation;

(12) Formulate rules and regulations relating to continuing education requirements for practitioners; and

(13) Require, by subpoena, the attendance and testimony of witnesses and the production of papers, records and other documentary evidence.

(b) The authority, powers and duties of the Board set forth in this section shall not be construed to include the computation or collection of fees and charges; formulation or administration of examinations; reimbursement of expenses; rules and regulations concerning public meetings; investigation of complaints; or any other powers or duties reserved to the Division of Professional Regulation in accordance with § 8735 of Title 29.

(c) The Board of Landscape Architecture shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of landscape architecture.

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 5; 67 Del. Laws, c. 385, § 1; 68 Del. Laws, c. 91, § 3; 70 Del. Laws, c. 309, § 2; 74 Del. Laws, c. 262, § 6.)

§ 206 Qualifications of applicant; report to Attorney General; judicial review.

(a) An applicant who is applying for licensure under this chapter shall have 1 of the following qualifications:

(1) Graduated from a school or college of landscape architecture approved or accredited by the National Council of Landscape Architectural Registration Boards, the American Society of Landscape Architects Landscape Architectural Accreditation Board, or other legitimate national association of landscape architects and acquired at least 2 years of professional experience in the practice of landscape architecture acceptable to the Board under the direct supervision of a licensed landscape architect.

(2) Completed 2 years of courses in landscape architecture acceptable to the Board taken from a school or college of landscape architecture approved or accredited by the National Council of Landscape Architectural Registration Boards, the American Society of Landscape Architects Landscape Architectural Accreditation Board, or other legitimate national association of landscape architects and acquired 4 years of professional experience in the practice of landscape architecture acceptable to the Board under the direct supervision of a licensed landscape architect.

(b) An applicant shall be required to pass the uniform national examination, prepared and graded by the National Council of Landscape Architectural Registration Boards.

(c) An applicant may not have been convicted of a crime that is substantially related to the practice of landscape architecture; if however, after considering the factors set forth in § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this subsection.

(1)-(4) [Repealed.]

(d) Each applicant shall provide such information as may be required on an application form designed and furnished by the Board. No application form shall require a picture of the applicant; require information relating to citizenship, place of birth, length of state residency; nor require personal references.

(e) Where the Board has found to its satisfaction that an application has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(f) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification; has imposed higher or different standards for that person than for other applicants or licensees; or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 6; 67 Del. Laws, c. 385, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 7; 74 Del. Laws, c. 336, §§ 2-4; 75 Del. Laws, c. 436, § 2; 77 Del. Laws, c. 199, § 2; 78 Del. Laws, c. 44, §§ 3, 4; 80 Del. Laws, c. 205, § 1; 83 Del. Laws, c. 433, § 4.)

§ 207 Examination.

(a) The Board shall, in the same month of each year, or at such times as are determined by the testing service, administer the uniform national examination, prepared and graded by the National Council of Landscape Architectural Registration Boards or such portions of the uniform national examination as it deems necessary. Such written examination shall be obtained from, and corrected by, the National Council of Landscape Architectural Registration Boards, or similar national testing service. Where an applicant has failed to pass the examination, but has successfully completed or passed certain portions or sections which the applicant previously failed, the applicant shall be permitted to retake only those certain portions or sections of the examination the applicant has failed, if the testing service permits such partial examination. In the event the applicant fails the second time to successfully complete or pass the examination, the Board may require that such applicant again take the complete examination.

(b) In the event an applicant has already taken and passed the uniform national examination, the certificate from the National Council of Landscape Architectural Registration Boards acknowledging same shall be accepted, and no further state examination shall be necessary.

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 7; 67 Del. Laws, c. 385, § 1; 70 Del. Laws, c. 186, § 1.)

§ 208 Reciprocity.

Where the applicant is already licensed in another state, the Board shall accept a certificate or other evidence of the examination score issued by the National Council of Landscape Architectural Registration Boards that the applicant has successfully completed or passed the

uniform national examination, or the certificate or other evidence of successful completion of a similar national testing service for its national examination for landscape architects, in lieu of all other requirements for licensure provided for in this chapter. Upon receipt of an application for reciprocity, the Board shall contact each board which has previously licensed the applicant, to determine whether or not there are disciplinary proceedings or unresolved complaints pending against the applicant. In the event there is a disciplinary proceeding or unresolved complaint pending, the applicant shall not be licensed until the proceeding or complaint has been resolved. An application for licensure by reciprocity shall be accompanied by full payment of the reciprocity fee.

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 8; 67 Del. Laws, c. 385, § 1; 70 Del. Laws, c. 186, § 1.)

§ 209 [Repealed.]

§ 210 Renewal; inactive status; reinstatement.

(a) [Repealed.]

(b) Each license shall be renewed biennially, in such manner as is determined by the Division of Professional Regulation. The Board shall in its rules and regulations, determine the period of time within which a practitioner may still renew a license, notwithstanding the fact that such practitioner has failed to renew on or before the renewal date; provided, however, that such period shall not exceed l year. The Board shall charge for each month or quarter during such "late renewal period" a late fee which, at the end of such "late period" will be twice the sum of the unpaid renewal fee. At the expiration of the period designated by the Board, the license shall be deemed to be lapsed and not renewable unless the former licensee reapplies under the same conditions which govern reciprocity; provided, however, that the former licensee shall also pay a reinstatement fee in an amount which is 3 times the amount of the reciprocity fee.

(c) Any licensee may, upon a written request, be placed in an inactive status. The renewal fee of such person shall be prorated in accordance with the amount of time such person was inactive. Such person may reenter practice upon notification to the Board of the intent to do so.

(d) A former licensee who has been penalized for the violation of a provision of this chapter, or whose license has been suspended or revoked, and who subsequently is permitted to apply for reinstatement shall apply for a new license, successfully complete the uniform national examination and shall pay all appropriate fees therefor.

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 10; 65 Del. Laws, c. 355, § 1; 67 Del. Laws, c. 385, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 336, § 5; 80 Del. Laws, c. 205, § 2.)

§ 211 Complaints.

All filings and investigations of complaints by practitioners or members of the public which concern any aspect of the practice of landscape architecture shall be presented and processed pursuant to § 8735(h) of Title 29.

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 11; 65 Del. Laws, c. 355, § 1; 67 Del. Laws, c. 385, § 1.)

§ 212 Practice by corporations and partnerships.

(a) The privilege of engaging in the practice of landscape architecture is personal, based upon the qualifications of the individual evidenced by registration and is not transferable. All final drawings, specifications, plans, reports or other papers or documents involving the practice of landscape architecture, as defined within this chapter, when issued or filed for public record, shall be dated and bear the signature and seal of the landscape architect or landscape architects who prepared or approved same.

(b) Nothing in subsection (a) of this section shall be construed as preventing the formation of business entities, provided that such entities are authorized under Delaware law or the laws of another state, as a vehicle for the practice of or offer to practice landscape architecture for others by individual landscape architects licensed under this chapter through a business entity, or the offering or rendering of landscape architectural services by a business entity through landscape architects licensed under this chapter, provided that:

(1) One or more of the officers, partners, members, managers, or principals is designated as being responsible for any services in the practice of landscape architecture on behalf of their business entity and is a licensed landscape architect under this chapter;

(2) All personnel of said business entity, who act in its behalf as landscape architects in this State or for clients located in this State are licensed under this chapter; and

(3) Said business entity has been issued a certificate of authorization by the Board as herein provided.

(c) A business entity desiring a certificate of authorization shall file with the Board an application, on forms provided by the Board, listing relevant information, including the names and addresses of officers, partners, members, managers or principals of the business entity and also of the individual or individuals duly licensed to practice landscape architecture in this State who shall be in responsible charge of the practice of landscape architecture in compliance with paragraph (b)(1) of this section and any other information required by the Board, accompanied by the appropriate fee. A certificate of authorization shall be renewed biennially in such manner as is determined by the Division, and upon payment of the appropriate fee and submission of a renewal form provided by the Division. In the event there should be a change in the information provided on the application for a certificate of authorization, notification of such change shall be provided to the Board in writing within 30 days of the effective date of such change.

(d) No such business entity shall be relieved of responsibility for the conduct or acts of its agents, employees, officers, partners, members or principals by reason of its compliance with this section, nor shall any individual be relieved of responsibility for landscape architectural services performed by reason of employment or relationship with such business entity. All business entities and landscape

architects practicing through such entities shall be bound by professional standards no less stringent than those stated in § 608 of Title 8.

(e) The Board may revoke, suspend or cancel a certificate of authorization, if after a hearing, the Board determines that any officer, partner, member, manager, principal, or employee has violated any lawful provision of this chapter, or any lawful regulation established thereunder.

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 12; 67 Del. Laws, c. 385, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 336, §§ 6-8.)

§ 213 Grounds for discipline; procedure.

(a) Practitioners regulated under this chapter shall be subject to those disciplinary actions set forth in § 214 of this title if, after a hearing, the Board finds:

(1) That the practitioner has employed or knowingly cooperated in fraud or material deception in order to be licensed, or be otherwise authorized to practice landscape architecture;

(2) Illegal, incompetent or negligent conduct in the practice of landscape architecture;

(3) Excessive use or abuse of drugs (including alcohol, narcotics or chemicals);

(4) That the practitioner has been convicted of a crime that is substantially related to the practice of landscape architecture;

(5) That the practitioner, as a landscape architect or otherwise in the practice of the profession, knowingly engaged in an act of consumer fraud or deception, engaged in the restraint of competition or participated in price-fixing activities;

(6) That the practitioner has violated a lawful provision of this chapter, or any lawful regulation established thereunder.

(b) A practitioner shall be subject to nondisciplinary remedial action if, after a hearing, the Board finds that there is a danger to the health, safety and welfare of the public due to:

(1) Physical illness or loss of motor skill, including but not limited to deterioration through the aging process;

(2) Temporary emotional disorder or mental illness; or

(3) Permanent emotional disorder or mental illness.

(c) If a practitioner's physical or mental capacity to practice safely is at issue in a nondisciplinary remedial proceeding, the Board may order the practitioner to submit to a reasonable physical or mental examination. Failure to comply with a lawful order to submit to a physical or mental examination shall render a practitioner liable to temporary suspension or revocation of license in accordance with § 214 of this title.

(d) Where a practitioner fails to comply with the Board's request that the practitioner submit to an examination or attending a hearing, the Board may petition the Superior Court to order such examination or attendance, and the said Court or any judge assigned thereto shall have jurisdiction to issue such order.

(e) Subject to subchapter IV of Chapter 101 of Title 29, no license shall be restricted, suspended or revoked by the Board; and no practitioner's right to practice shall be limited by the Board, until such practitioner has been given notice, and an opportunity to be heard in accordance with the Administrative Procedures Act [29 Del. C. § 10101 et seq.].

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 13; 67 Del. Laws, c. 385, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 8.)

§ 214 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that one of the conditions or violations set forth in § 213 of this title applies to a practitioner regulated by this chapter:

(1) Issue a letter of reprimand;

(2) Censure a practitioner;

(3) Place a practitioner on probationary status, and require the practitioner to:

a. Report regularly to the Board upon the matters which are the basis of the probation;

b. Limit all practice and professional activities to those areas prescribed by the Board; and/or

c. Continue or renew the practitioner's professional education until the required degree of skill has been attained in those areas which are the basis of the probation;

(4) Suspend any practitioner's license;

(5) Revoke any practitioner's license.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such action have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has

been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(d) Where a license has been suspended due to a disability of the licensee, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee is able to practice with reasonable skill and safety.

(e) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 14; 67 Del. Laws, c. 385, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 336, § 9; 79 Del. Laws, c. 213, § 2.)

§ 215 Hearing procedures.

(a) Upon the receipt of a complaint, the Board shall determine what action, if any, it shall take. If the Board decides not to take any further action, and the complainant is known to the Board, the Board shall forward by letter to the complainant its reasons for not taking further action. Where the Board has determined to take further action, the matter shall be heard by the Board within 3 months from the date on which the complaint was received. The Board shall fix the time and place for a full hearing of the matter, and shall cause a copy of the complaint, together with a notice of the time and place fixed for the hearing, to be personally delivered or served upon the practitioner at least 30 days before the date fixed for the hearing. In cases where the practitioner cannot be located or where personal service cannot be effected, substitute service shall be effected in the same manner as with civil litigation.

(b) All hearings shall be informal without use of the rules of evidence. If the Board finds, by a majority vote of all members, that the complaint has merit, the Board shall take such action permitted under this chapter as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. A copy of the decision shall be mailed immediately to the practitioner. The Board's decision shall become effective on the thirtieth day after the date it is mailed or served on the practitioner, unless there is an appeal by the practitioner to the Superior Court within that time.

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 15; 67 Del. Laws, c. 385, § 1.)

§ 216 Practicing without a license; penalties.

(a) Where the Board has determined that a person is practicing landscape architecture within the State without having lawfully obtained a license therefor, or that a person previously licensed is unlawfully practicing although the person's license has been suspended or revoked, the Board shall formally warn such person. If the offense continues, the Board shall make a formal complaint to the Attorney General. The complaint shall include all evidence known to, or in the possession of, the Board.

(b) Where the Board has placed a practitioner on probationary status under certain restrictions or conditions, and the Board has determined that such restrictions or conditions are being or have been violated by the practitioner, it may, after a hearing on the matter, suspend or revoke the practitioner's license.

(c) Where a person not currently licensed as a landscape architect is convicted of unlawfully practicing landscape architecture in violation of this chapter such offender shall, upon the first offense, be fined $50, and shall pay all costs; provided, however, that where it is alleged that such violation has resulted in injury to any person, the offender shall be charged and tried under the applicable provisions of Title 11.

(d) Where a person previously convicted of unlawfully practicing landscape architecture is convicted a second or subsequent time of such offense, the fine assessed against such person shall be increased by $250 for each subsequent offense thereafter.

(60 Del. Laws, c. 190, § 1; 63 Del. Laws, c. 461, § 16; 67 Del. Laws, c. 385, § 1; 70 Del. Laws, c. 186, § 1.)

§§ 217-219 [Repealed.]

Chapter 3
ARCHITECTURE

§ 301 Objectives and functions.

The primary objective of the Board of Architects, to which all other objectives and purposes are secondary, is to protect the general public (including those persons who are direct recipients of services regulated by this chapter) from unsafe practices, and from occupational practices which tend to reduce competition or fix the price of services rendered. The secondary objectives of the Board are to maintain minimum standards of architect competency, and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against architects regulated by the Board; shall adjudicate at formal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against architects.

(64 Del. Laws, c. 1, § 1; 68 Del. Laws, c. 144, § 1.)

§ 302 Definitions.

The following words, terms and phrases, when used in this chapter, shall have the meanings ascribed to them in this section, except where the context clearly indicates a different meaning:

(1) "Architect" shall mean any person who engages in the practice of architecture as hereinafter defined.

(2) The "Board" shall mean the Board of Architects established by § 8735(a)(3) of Title 29 and this chapter.

(3) "Certificate of registration" shall mean any document which indicates that a person is currently registered with the Board of Architects.

(4) "Direct supervision" shall mean that degree of supervision by a person overseeing the work of another whereby the supervisor has both control over and detailed professional knowledge of the work prepared under the person's supervision.

(5) "Practice of architecture" shall mean the rendering or offering to render those services, hereinafter described, in connection with the design and construction, enlargement or alteration of a structure or group of structures which have as their principal purpose human habitation or use, and the utilization of space within and surrounding such structures; the services referred to include planning, preparing studies, designs, drawings, specifications and other technical submissions and furnishing administration of construction contracts.

(6) "Registered architect" shall mean an architect holding a current certificate of registration.

(7) "Substantially related" means the nature of criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of architecture.

(8) "Technical submissions" shall mean designs, drawings, specifications, studies and other technical reports prepared in the course of practicing architecture.

(62 Del. Laws, c. 344, § 1; 64 Del. Laws, c. 1, § 1; 65 Del. Laws, c. 355, § 1; 68 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 9.)

§ 303 Registration to practice; construction of chapter.

(a) The right to engage in the practice of architecture shall be deemed a personal right, based upon the qualifications of the individual as evidenced by a certificate of registration, which shall not be transferable. No person shall engage in the practice of architecture in this State or otherwise hold oneself out to the public as being an architect, or use in connection with the person's name, or otherwise assume, use or advertise any title or description intending to convey the impression that the person is an architect, unless such person has a certificate of registration.

(b) The provisions of this chapter shall not be construed to prevent, nor to affect:

(1) The preparation of technical submissions or the administration of construction contracts by an employee or subordinate of a person or organization lawfully engaged in the practice of architecture, providing such work is done under the direct responsibility and supervision of such person or organization;

(2) The practice of architecture by a person licensed in this State as a professional engineer, when such practice is incidental to what may be properly considered an engineering project;

(3) The practice of landscape architecture by a landscape architect, regardless of whether the practice of landscape architecture shall continue to be licensed under the Delaware Code;

(4) A nonresident, who holds a certificate to practice architecture in the state in which the person resides, and/or in addition holds the certification issued by the National Council of Architectural Registration Boards, from agreeing to perform or holding the person's self out as able to perform any of the professional services involved in the practice of architecture; provided that the person shall not perform any of the professional services involved in the practice of architecture until registered as provided in subsection (a) of this section; and further provided that the person notifies the Board in writing if the person, prior to registration, engages in any of the activities permitted by this paragraph;

(5) Any of the activities that, apart from this exemption, would constitute the practice of architecture, if performed in connection with any of the following:

a. Single and 2-family dwellings, and any sheds, storage buildings and garages incidental to such dwellings;

b. Farm buildings, including barns, silos, sheds or housing for farm equipment and livestock, provided such structures are designed to be occupied by no more than 10 persons; or

c. Any alteration, renovation or remodeling of a structure when such alteration, renovation or remodeling does not affect structural or other safety features of the structure and when the work contemplated by the design does not require the issuance of a permit under applicable building codes;

(6) The preparation of submissions to architects by the manufacturer, supplier, installer, or others of any materials, components, or equipment incidental to the architect's design of the entire project that describe or illustrate the use of such items;

(7) The preparation of any details or shop drawings required of the contractor by the terms of the construction documents;

(8) The management of construction contracts by persons engaged in contracting work;

(9) The preparation of technical submissions or the administration of construction contracts by persons acting under the responsible control of a registered architect;

(10) Officers and employees of the United States of America from engaging in the practice of architecture as employees of said United States of America;

(11) A person who holds the certification issued by the National Council of Architectural Registration Boards (NCARB) but who is not currently registered in the jurisdiction, from offering to provide the professional services involved in the practice of architecture, provided that the person shall not perform any of the professional services involved in the practice of architecture until registered as hereinbefore provided, and further provided that he or she notifies the Board in writing that:

a. The person holds a NCARB certificate and is not currently registered in the jurisdiction, but will be present in Delaware for the purpose of offering to provide architectural services;

b. The person will deliver a copy of the notice referred to in paragraph (b)(11)a. of this section to every potential client to whom the person offers to render architectural services; and

c. The person will provide the Board with a statement of intent that the person will apply immediately to the Board for registration, if selected as the architect for a project in Delaware;

(12) A person who holds the certification issued by the National Council of Architectural Registration Boards but who is not currently registered in the jurisdiction from seeking an architectural commission by participating in an architectural design competition for a project in Delaware, provided that the person notifies the Board in writing that:

a. The person holds an NCARB certificate and is not currently registered in the jurisdiction, but will present in Delaware for the purpose of participating in an architectural design competition;

b. The person will deliver a copy of the notice referred to in paragraph (b)(11)a. of this section to every person conducting an architectural design competition in which the person participates; and

c. The person will provide the Board with a statement of intent that the person will apply immediately to the Board for registration, if selected as the architect for the project;

(13) A person who is not currently registered in Delaware, but who is currently registered in another United States or Canadian jurisdiction, from providing uncompensated (other than reimbursement of expenses) professional services at the scene of an emergency at the request of a public officer, public safety officer, or municipal or county building inspector acting in an official capacity. "Emergency" shall mean earthquake, eruption, flood, storm, hurricane, or other catastrophe that has been designated as a major disaster or emergency by the President of the United States or the Governor or other duly authorized office of the State of Delaware;

(14) An individual registered and practicing in a nation other than the United States or Canada (a "foreign architect") from practicing in this jurisdiction, so long as such practice is in strict accordance with the provisions of this subsection:

a. The foreign architect must show that such foreign architect holds a current registration in good standing which allows such foreign architect to use the title "architect" and to engage in the "unlimited practice of architecture" (defined as the ability to provide services on any type building in any state, province, territory, or other political subdivision of the foreign architect's national jurisdiction).

b. The foreign architect must show that a bilateral agreement exists between the NCARB and the national registration authority of the foreign architect's national jurisdiction.

c. An architect registered in this jurisdiction shall take responsible control over all aspects of the architectural services for said project.

d. The foreign architect may not seek, solicit, or offer to render architectural services in this jurisdiction, except with the material participation of the architect referred to in paragraph (b)(14)c. of this section above.

e. Promptly after the foreign architect has been selected to provide architectural services for a project within this jurisdiction, the architect referred to in paragraph (b)(14)c. of this section above must file a statement with the Board:

1. Identifying the foreign architect;

2. Describing the project; and

3. Describing the foreign architect's role.

f. In all aspects of offering or providing architectural services within this jurisdiction, the foreign architect must use the title "[x], a

foreign architect in consultation with [y], an architect registered in Delaware";

(15) A person currently employed under the responsible control of an architect, and who maintains in good standing a National Council of Architectural Registration Boards record, from using the title "intern architect" or "architectural intern" in conjunctions with the person's current employment. Such person may not engage in the practice of architecture except to the extent permitted by other provisions of this chapter.

(c) The owner of any real property who allows a project to be constructed on such real property shall be engaged in the practice of architecture unless the owner shall have employed or shall have caused others to have employed a registered architect and/or a registered engineer to furnish construction contract administration services with respect to such project.

(1) For purposes of this section, the following terms shall have the following meanings:

a. "Building official" shall mean the person appointed by the municipality or State subdivision having jurisdiction over the project to have principal responsibility for the safety of the project as finally built.

b. "Construction contract administration services" shall comprise at least the following services:

1. Visiting the construction site on a regular basis as is necessary to determine that the work is proceeding generally in accordance with the technical submissions submitted to the building official at the time the building permit was issued;

2. Processing shop drawings, samples, and other submittals required of the contractor by the terms of construction contract documents; and

3. Notifying an owner and the building official of any code violations, changes which affect code compliance, the use of any materials, assemblies, components or equipment prohibited by a code, major or substantial changes between such technical submissions and the work in progress, or any deviation from the technical submissions which he or she identifies as constituting a hazard to the public, which he or she observes in the course of performing his or her duties.

c. "Owner" shall mean with respect to any real property any of the following persons:

1. The holder of a mortgage secured by such property;

2. The holder, directly or indirectly, of an equity interest in such real property exceeding 10 percent of the aggregate equity interest in such real property;

3. The record owner of such real property; or

4. The lessee of all or any portion of such real property when the lease covers all of that portion of such real property upon which the project is being constructed, the lessee has significant approval rights with respect to the project, and the lease, at the time the construction of the project begins, has a remaining term of not less than 10 years.

d. "Project" shall mean the construction, enlargement, or alteration of a building, other than a building exempted by the provisions of paragraph (b)(5) of this section.

(2) If the registered architect who sealed the technical submissions which were submitted to the building official at the time the building permit was issued has not been employed to furnish construction contract administration services at the time such registered architect issues such technical submissions, the registered architect shall note on such technical submissions that the registered architect has not been so employed. If the registered architect is not employed to furnish construction contract administration services when construction of the project begins, the registered architect shall file, not later than 30 days after such construction begins, with the Board and with the building official, on a form prescribed by the Board, a notice setting forth the names of the owner or owners known to the registered architect, the address of the project, and the name, if known to the registered architect, of the registered architect employed to perform construction contract administration services. If the registered architect believes that no registered architect has been so employed, the registered architect shall so state on the form. Any registered architect who fails to place the note on that registered architect's technical submissions or to file such notice, as required by this paragraph, shall have violated the provisions of this chapter and shall be subject to disciplines as set forth herein.

(3) If the Board determines, with respect to a particular project or class of projects, that the public is adequately protected without the necessity of a registered architect performing construction contract administration services, the Board may waive the requirements of this subsection with respect to such project or class of projects.

(64 Del. Laws, c. 1, § 1; 68 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 261, §§ 1, 2.)

§ 304 Board of Architects.

(a) The Board of Architects shall consist of 9 members appointed by the Governor: 5 professional members who shall be registered architects; and 4 public members. To serve on the Board, a public member shall not be, nor ever have been, employed by a person or firm which provides construction services, nor a member of the immediate family of an architect or engineer; shall not have been employed by a person or firm which provides construction services (which shall include architects and architectural businesses, engineers and engineering businesses, and any other occupation involved in the design of structures); shall not have had a material financial interest in the providing of goods and services to any person or firm which provides construction services; nor have been engaged in an activity directly related to the design of buildings. No person shall be a public member if a member of such person's immediate family is an architect, or is an employee of an architect; or if a member of such person's immediate family has a material or financial interest in the providing of goods or services to architects. Each public member shall be accessible to inquiries, comments and suggestions from the general public.

(b) Each member shall serve for a term of 3 years, and may successively serve 1 additional term; provided, however, that where a

member was initially appointed to fill a vacancy, such member may successively serve only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member. Each term of office shall expire on the date specified in the appointment, and the member shall no longer be eligible to participate in Board proceedings unless lawfully appointed. Current Board members, who will have served as members for 10 years or more at the end of their current terms, will not be eligible for reappointment to the Board.

(c) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance or malfeasance. A member subject to disciplinary proceedings shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(d) No member of the Board of Architects, while serving on the Board, shall be a president, chairperson or other official of a professional architect association.

(e) The provisions set forth for "employees" in § 5805 of Title 29 shall apply to all members of the Board, and to all agents appointed, or otherwise employed, by the Board.

(f) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(38 Del. Laws, c. 60, §§ 1-3, 11; Code 1935, §§ 891-893, 901; 24 Del. C. 1953, §§ 301, 309; 62 Del. Laws, c. 344, § 1; 64 Del. Laws, c. 1, § 1; 67 Del. Laws, c. 368, § 3; 68 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 85, § 2.)

§ 305 Officers; conduct of business.

(a) In the same month of each year, the members shall elect, from among their number, a president and a secretary. A member may serve as an officer of the Board for not more than 2 consecutive years.

(b) The Board shall hold a regularly scheduled business meeting at least once in each quarter of a calendar year and at such other times as the president may deem necessary, or at the request of a majority of Board members. A majority of members shall constitute a quorum; and no action shall be taken without the affirmative vote of at least 5 members. Any member who fails to attend 3 consecutive meetings, or who fails to attend at least $^1/_2$ of all regular business meetings during any calendar year, shall automatically, upon such occurrence, be deemed to have resigned from office and a replacement shall be appointed.

(c) Minutes of all meetings shall be recorded, and copies of the minutes shall be maintained by the Division of Professional Regulation. At any hearing in which evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(d) The Division of Professional Regulation shall annually compute the average expenses incurred in duplicating the following materials: The meeting schedule for the Board, notice of next business meeting and the minutes of Board meetings. Upon initial registration and each registration renewal, each registered architect shall receive a list of materials available from the Division, and the price charged to receive each for 1 year. Each item shall be offered to the registered architect and to members of the public at a cost set by the Division of Professional Regulation.

(38 Del. Laws, c. 60, §§ 1, 5-7; Code 1935, §§ 891, 895-897; 24 Del. C. 1953, §§ 303-305; 56 Del. Laws, c. 399, § 1; 62 Del. Laws, c. 344, § 1; 64 Del. Laws, c. 1, § 1; 65 Del. Laws, c. 355, § 1; 68 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1.)

§ 306 Powers and duties.

(a) The Board of Architects shall have the authority to:

(1) Promulgate rules of conduct governing the practice of architects, including rules and regulations concerning continuing education, and/or misrepresentations, conflicts of interest, disability, violations of law or other unprofessional conduct. Each rule or regulation shall implement or clarify a specific section of this chapter;

(2) Designate the application form to be used by all applicants for certificates of registration, and to process all applications;

(3) Designate a written examination to be taken by persons applying for a certificate of registration. The Board shall adopt the examinations of the National Council of Architectural Registration Boards, or a comparable alternative national or regional examination, if a national examination is not available;

(4) Provide for the administration of all examinations, including notice and information to applicants. The Board shall adopt the administration and grading procedures of the National Council of Architectural Registration Boards, or of a comparable alternative national or regional examination if a national examination is not available;

(5) Designate the requirements for the issuance of a certificate of registration consistent with the other provisions of this chapter;

(6) Grant certificates of registration to all persons who meet the qualifications for registration and who otherwise satisfy the requirements set forth in this chapter;

(7) Refer all complaints from registered architects and from the public concerning architects, or concerning practices of the Board or of the profession, to the Division of Professional Regulation for investigation pursuant to § 8735 of Title 29;

(8) Hold hearings and take such actions as are permitted under the provisions of the Administrative Procedures Act, Chapter 101 of Title 29. Where such provisions conflict with the provisions of this chapter, this chapter shall govern. The Board shall determine whether or not an architect shall be subject to a disciplinary hearing, and if so, shall conduct such hearing in accordance with this chapter and the Administrative Procedures Act;

(9) Where it has been determined after a disciplinary hearing, that penalties or sanction should be imposed, to designate and impose

the appropriate sanction or penalty after time for appeal has lapsed;

(10) Bring proceedings in the courts for the enforcement of this chapter;

(11) In cooperation with Division of Professional Regulation, to maintain complete records relating to meeting minutes, applications, examinations, rosters, changes and additions to the rules and regulations, complaints, hearings and such other matters as the Board shall determine. The Division of Professional Regulation shall have the power to review, approve and execute all contracts for examination services;

(12) The Board may require by subpoena the attendance and testimony of witnesses and the production of papers, records or other documentary evidence.

(b) The Board of Architects shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of architecture.

(64 Del. Laws, c. 1, § 1; 68 Del. Laws, c. 144, § 1; 74 Del. Laws, c. 262, § 10.)

§ 307 Application procedures.

(a) An applicant who is applying for initial registration under this chapter shall have the following qualifications:

(1) The applicant shall hold a National Architectural Accrediting Board (NAAB) accredited professional degree in architecture or shall have completed such other education as the Board deems equivalent. The Board shall adopt accreditation decisions of the NAAB and shall adopt regulations governing education or continuing education published from time to time by the National Council of Architectural Registration Boards;

(2) The applicant shall have completed practical training in architectural work acceptable to the Board.

(b) Each applicant shall provide such information as may be required on an application form designed and furnished by the Board.

(c) The Board may refuse or reject an applicant if, after a hearing, the Board finds that the applicant has:

(1) Been convicted of committing a crime that is substantially related to the practice of architecture; if however, after considering the factors set forth in § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board shall, by an affirmative vote of a majority of the quorum, waive this paragraph (c)(1);

a.-d. [Repealed.]

(2) Misstated or misrepresented a fact in connection with an application;

(3) Been found guilty of a violation of any of the Requirements for Business and Professional Conduct required of architects and as set forth in statutes or regulations;

(4) Practiced architecture without being registered in violation of the registration laws of Delaware. Notwithstanding such a finding, the Board may allow registration of such applicant if the applicant presents to the Board suitable evidence of reform.

(d) Where the Board has found to its satisfaction that an application has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(e) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification, has imposed higher or different standards for the person than for other applicants, or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(f) [Repealed.]

(38 Del. Laws, c. 60, §§ 13, 15; Code 1935, §§ 903, 905; 24 Del. C. 1953, §§ 311, 313; 56 Del. Laws, c. 399, § 2; 62 Del. Laws, c. 344, § 1; 64 Del. Laws, c. 1, § 1; 68 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 11; 75 Del. Laws, c. 261, § 3; 75 Del. Laws, c. 436, § 3; 77 Del. Laws, c. 199, § 3; 78 Del. Laws, c. 44, §§ 5, 6; 80 Del. Laws, c. 206, § 1; 83 Del. Laws, c. 433, § 5.)

§ 308 Examination of applicants.

(a) An applicant for initial registration under this chapter shall be required to pass an examination adopted by the Board and graded by a national testing administrator. The Board, or its agent, shall, at least once per year, provide for the administration and grading of such examination. Where an applicant has failed to pass the examination, but has successfully completed and passed certain portions or sections of the examination, the applicant shall in the next subsequent examination be tested only for those portions or section which the applicant has previously failed.

(b) The Board may exempt from the written examination requirement an applicant who holds a certification issued by the National Council of Architectural Registration Boards.

(64 Del. Laws, c. 1, § 1; 68 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1; 80 Del. Laws, c. 206, § 2.)

§ 309 Reciprocity.

(a) Upon payment of the application fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who:

(1) Holds a National Council of Architectural Boards (NCARB) certificate; or

(2) Presents proof of current registration in good standing in another jurisdiction whose standards for licensure are substantially similar to those of this State; or

(3) Is licensed in a jurisdiction whose standards for licensure are not substantially similar to those of this State but who has held an

active license in good standing in that jurisdiction for a minimum of 5 years and holds a National Architectural Accrediting Board (NAAB) accredited professional degree in architecture or such other education as the Board deems equivalent; or

(4) Is licensed in a jurisdiction whose standards for licensure are not substantially similar to those of this State but who has held an active license in good standing in that jurisdiction for a minimum of 13 years.

(b) An applicant who is applying for licensure by reciprocity must also meet the requirements of § 307(c) of this title.

(64 Del. Laws, c. 1, § 1; 68 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1; 80 Del. Laws, c. 206, § 3.)

§ 310 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board as well as the proportional expenses incurred by the Division of Professional Regulation in its services on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each calendar year the Division of Professional Regulation, or any state agency acting in its behalf, shall compute for each separate service or activity the appropriate Board fees for the coming year.

(38 Del. Laws, c. 60, § 16; Code 1935, § 906; 24 Del. C. 1953, § 314; 53 Del. Laws, c. 108, § 3; 57 Del. Laws, c. 474; 62 Del. Laws, c. 344, § 1; 64 Del. Laws, c. 1, § 1; 65 Del. Laws, c. 355, § 1; 68 Del. Laws, c. 144, § 1.)

§ 311 Issuance and renewal of certificate of registration.

(a) Each applicant who meets the requirements of §§ 307 and 308 of this title, or the requirements of § 309 of this title, and who pays the fee established under § 310 of this title, shall be issued a certificate of registration.

(b) Each certificate of registration shall be renewed biennially, in such manner as is determined by the Division of Professional Regulation. The Board shall, in its rules and regulations, determine the period of time within which a registered architect may still renew a license, notwithstanding the fact that such registered architect has failed to renew on or before the renewal date; provided, however, that such period shall not exceed 1 year. The Board shall charge, for each month or quarter during such "late renewal period," a late fee which at the end of such "late period" shall be twice the sum of the unpaid renewal fee. At the expiration of the period designated by the Board, the certification of registration of the registered architect shall be deemed to be lapsed and not renewable, unless the former Delaware registered architect, without further examination, files an application under the same conditions which govern the application for reciprocity pursuant to § 309 of this title, provided, however, that the former registered Delaware architect shall also pay a reinstatement fee in the amount which is 3 times the amount of the annualized reciprocity fee, as determined by the Division.

(38 Del. Laws, c. 60, § 18; Code 1935, § 908; 24 Del. C. 1953, § 316; 62 Del. Laws, c. 344, § 1; 64 Del. Laws, c. 1, § 1; 65 Del. Laws, c. 355, § 1; 68 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 261, § 4.)

§ 312 Certificate of registration [Repealed].

68 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1; repealed by 82 Del. Laws, c. 8, § 1, effective Apr. 9, 2019.

§ 312A Certificate of authorization.

(a) The privilege of engaging in the practice of architecture is personal, based upon the qualifications of the individual evidenced by registration and is not transferable. All final drawings, specifications, plans, reports, or other papers or documents involving the practice of architecture, as defined within this chapter, shall be dated and bear the signature and seal of the architect or architects who prepared or directly supervised the same.

(b) Nothing in subsection (a) of this section shall be construed as preventing the formation of business entities, provided that such entities are authorized under Delaware law or the laws of another state, as a vehicle for the practice of or offer to practice architecture for others by individual architects registered under this chapter through a business entity, or the offering or rendering of architectural services by a business entity through architects registered under this chapter, provided that:

(1) One or more of the officers (if a corporation), partners (if a partnership), members or managers (if a limited liability company or publicly owned corporation), is designated as being responsible for any services in the practice of architecture on behalf of their respective business entity and is a registered architect under this chapter;

(2) All personnel of said business entity, who act in its behalf as architects in this State or for clients located in this State are registered under this chapter; and

(3) Said business entity has been issued a certificate of authorization by the Board as herein provided.

(c) A business entity desiring a certificate of authorization shall file with the Board an application, on forms provided by the Board, listing relevant information, including the names, addresses, and license or registration numbers of directors, officers, partners, members or managers of the business entity and also of the individual or individuals duly registered to practice architecture in this State who shall be in responsible charge of the practice of architecture through the business entity in compliance with paragraph (b)(3) of this section above, and any other information required by the Board, accompanied by the appropriate fee as determined by the Division of Professional Regulation. A certificate of authorization may be renewed biennially by submission of the required information and fee. In the event that there should be a change in the information provided on the application for a certificate of authorization, notification of such change shall be provided to the Board in writing within 30 days of the effective date of such change. If all the requirements of this section are met, the Board shall issue a certification of authorization to such business entity, and that business entity shall be authorized to contract for and to collect fees for

architectural services.

(d) An applicant for initial issuance or renewal of a certificate of authorization shall be required to register each office of the business entity within this State with the Board and show that each office is under the charge and supervision of an individual holding a valid certificate of registration under this chapter.

(e) No such business entity shall be relieved of responsibility for the conduct or acts of its agents, employees, officers, partners, members or principals by reason of its compliance with this section, nor shall any individual be relieved of responsibility for architectural services performed by reason of employment or relationship with such business entity. All business entities and architects practicing through such entities shall be bound by professional responsibility standards no less stringent than those stated in § 608 of Title 8.

(f) The Board may revoke, suspend or cancel a certification of authorization, if after a hearing, the Board determines that any officer, partner, member, manager, principal or employee has violated any lawful provision of this chapter, or any lawful regulation established thereunder.

(77 Del. Laws, c. 225, § 1.)

§ 313 Seal.

Every registered architect shall have a seal of a design authorized by the Board by regulation. All technical submissions prepared by such architect, or under the architect's direct supervision, shall be stamped with the impression of the architect's seal. No registered architect shall impress the seal on any technical submissions unless they were prepared under the architect's direct supervision.

(68 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1.)

§ 314 Violations; grounds for professional discipline.

(a) An architect or holder of a certificate of authorization shall be subject to those disciplinary actions set forth in § 315 of this title, if, after a hearing, the Board finds that the architect or holder of a certificate of authorization has:

(1) Employed or knowingly cooperated in fraud or material deception in order to acquire a certificate of registration, or be otherwise authorized to practice architecture;

(2) Engaged in illegal, incompetent or negligent conduct in the practice of architecture;

(3) As an architect or otherwise in the practice of the profession, knowingly engaged in an act of consumer fraud or deception, engaged in the restraint of competition or participated in price-fixing activities; or

(4) Violated a lawful provision of this chapter, or any lawful regulation established thereunder.

(b) An architect shall be subject to nondisciplinary remedial action if, after a hearing, the Board finds that there is a danger to the health, safety and welfare of the public due to:

(1) Physical illness or loss of motor skill, including but not limited to deterioration through the aging process;

(2) Temporary emotional disorder or mental illness; or

(3) Permanent emotional disorder or mental illness.

(c) If an architect's physical or mental capacity to practice safely is at issue in a non-disciplinary remedial proceeding, the Board may order the architect to submit to a reasonable physical or mental examination. Failure to comply with such an order shall render a registered architect liable to temporary suspension or revocation of the architect's certificate of registration in accordance with § 315 of this title, notwithstanding the Board's power to compel such attendance under subsection (d) of this section.

(d) Where an architect fails to comply with the Board's request that the architect submit to an examination or attend a hearing, the Board may petition the Superior Court to order such examination or attendance, and the said court or any judge assigned thereto shall have jurisdiction to issue such order.

(e) No certificate of registration or certificate of authorization shall be restricted, suspended or revoked by the Board, and no registered architect's right to practice shall be limited by the Board, until such registered architect or holder of a certificate of authorization has been given notice and an opportunity to be heard in accordance with § 316 of this title.

(38 Del. Laws, c. 60, § 19; Code 1935, § 909; 24 Del. C. 1953, § 317; 62 Del. Laws, c. 344, § 1; 64 Del. Laws, c. 1, § 1; 68 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 225, §§ 2-5.)

§ 315 Remedial actions and disciplinary sanctions.

(a) The Board may impose any of the following sanctions or take any of the following actions, singly or in combination, when it finds that 1 of the conditions or violations set forth in § 314 of this title applies to an architect or holder of a certificate of authorization:

(1) Issue a letter of reprimand;

(2) Censure the architect or holder of a certificate of authorization;

(3) Place the architect or holder of a certificate of authorization on probationary status, and require the architect or holder of a certificate of authorization to:

a. Report regularly to the Board upon the matters which are the basis of the probation;

b. Limit all practice and professional activities to those areas prescribed by the Board; and/or

c. Continue or renew the architect's professional education until the required degree of skill has been attained in those areas which are the basis of the probation;

(4) Suspend a registered architect's certificate of registration or suspend a certificate of authorization;

(5) Revoke a registered architect's certificate of registration or revoke a certificate of authorization;

(6) Issue cease and desist orders;

(7) Seek injunctions; and/or

(8) Seek judicial enforcement of civil fines imposed by the Board.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such actions have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(d) Where a certificate of registration has been suspended due to a disability of the registered architect, the Board may reinstate such certification of registration if, after a hearing, the Board is satisfied that the registered architect is able to practice with reasonable skill and safety.

(e) As a condition to reinstatement of a suspended certificate of registration, or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(38 Del. Laws, c. 60, § 20; Code 1935, § 910; 24 Del. C. 1953, § 318; 62 Del. Laws, c. 344, § 1; 64 Del. Laws, c. 1, § 1; 68 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 225, §§ 6-11; 79 Del. Laws, c. 213, § 2.)

§ 316 Board hearings; procedure.

(a) Upon the receipt of a formal complaint from the Attorney General's office, the Board shall fix the time and place of a full hearing of the matter, and it shall be scheduled to be heard as soon as practicable. The Board shall cause a copy of the complaint, together with a notice of the time and place fixed for the hearing, to be personally delivered or served upon the architect at least 20 days before the date fixed for the hearing. In cases where the architect cannot be located or where personal services cannot be effected, substitute service shall be effected in the same manner as with civil litigation.

(b) All hearings shall be informal without use of the rules of evidence. If the Board finds, by a majority vote of all members, that the complaint has merit, the Board shall take such action permitted under this chapter as it deems necessary. The Board's decision shall be in writing and shall include its reason for such decision. A copy of the decision shall be mailed by registered mail immediately to the complainant, and to the architect. The Board's decision shall become effective on the thirtieth day after the day it is received by the architect, unless there is an appeal to the Superior Court within that time.

(c) Where either the complainant or the architect is in disagreement with the action of the Board, either person may appeal the Board's decision to the Superior Court within 30 days of the receipt of the Board's decision. Upon such appeal, the Court shall hear the evidence "de novo." The filing of an appeal shall act as a stay of the Board's decision, pending final determination of the appeal.

(64 Del. Laws, c. 1, § 1; 68 Del. Laws, c. 144, § 1.)

§ 317 Penalties.

(a) Where the Board has determined, upon notice and hearing pursuant to Chapter 101 of Title 29 that a person is engaged in the practice of architecture regulated by this chapter without having lawfully obtained a license or that a person previously licensed under this chapter is engaged in a practice regulated by this chapter notwithstanding that the person's license has been suspended or revoked, the Board may issue a cease and desist order. In addition to the power to issue a cease and desist order, the Board may seek an injunctive order prohibiting such unlawful practice and/or seek the imposition of other civil penalties defined by this chapter.

(b) Upon notice and hearing pursuant to Chapter 101 of Title 29, the Board may fine any person who violates such cease and desist order not less than $100 or more than $1000. Each day a violation continues may be deemed a separate offense in the Board's discretion.

(c) Where the Board has placed a registered architect on probationary status under certain restrictions or conditions, and the Board has determined that such restrictions or conditions are being or have been violated by the registered architect, the Board may, after a hearing on the matter, suspend or revoke the registered architect's certificate of registration.

(d) Any person who violates any provisions of this chapter or any rules or regulations promulgated hereunder shall be liable for a civil penalty of not more than $5,000 for the first offense; and not more than $10,000 for the second and each subsequent offense, which penalty may be sued for, and recovered by, the Board. Nothing in this section shall be construed to prevent prosecution under, or be inconsistent with, Chapter 5 of Title 11.

(38 Del. Laws, c. 60, § 14; Code 1935, § 904; 24 Del. C. 1953, § 312; 62 Del. Laws, c. 344, § 1; 64 Del. Laws, c. 1, § 1; 68 Del. Laws, c.

144, § 1; 75 Del. Laws, c. 261, §§ 5, 6; 77 Del. Laws, c. 225, §§ 12, 13.)

Chapter 4

Barbers [Repealed].

Subchapter I

General Provisions [Repealed].

§§ 401-416 Definitions; State Board of Examiners of Barbers; appointment; qualifications; terms of office; vacancies; oaths; organization; meetings; quorum; annual report; receipts; disbursements; rules and regulations; posting; revocation of license; hearing; examination of shops; failure to keep shops sanitary; examination of applicants; notice; registration in lieu of examination; certificates; renewals; fees; examination fee; qualification; certificate; renewal fee; apprentices; limitation on number; qualifications; card; register; open to public; following occupation of barber without certificate unlawful; saving clause; establishing new barbershop; fee; Sunday closing; penalty; penalties; jurisdiction [Repealed].

Repealed by 69 Del. Laws, c. 178, § 1, effective Feb. 4, 1994.

Subchapter II

Barber Schools [Repealed].

§§ 421-432 Qualifications for registration; equipment requirements for barber schools; personal qualifications; student information; student barber sign; general regulations pertaining to schools; rules and regulations governing the operation and conduct of barber schools; examination by Board; licensing of students; curriculum requirements; fees; definitions; barber instruction in public schools [Repealed].

Repealed by 69 Del. Laws, c. 178, § 1, effective Feb. 4, 1994.

Chapter 5
PODIATRY

Subchapter I

Board of Podiatry

§ 501 Objectives.

The primary objective of the Board of Podiatry, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices that tend to reduce competition or fix the price of services rendered.

The secondary objectives of the Board are to maintain minimum standards of practitioner competency and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence, shall monitor complaints brought against practitioners regulated by the Board, shall adjudicate at formal hearings, shall promulgate rules and regulations, and shall impose sanctions where necessary against licensed practitioners.

(64 Del. Laws, c. 39, § 1; 72 Del. Laws, c. 213, § 1.)

§ 502 Definitions.

The following words, terms and phrases, when used in this chapter, shall have the meanings ascribed to them under this section, except where the context clearly indicates a different meaning:

(1) “Board” shall mean the State Board of Podiatry established in this chapter.

(2) “Diagnosis” shall mean the ascertainment of a disease or ailment by its general symptoms.

(3) “Division” shall mean the State Division of Professional Regulation.

(4) “Electrical treatment” shall mean the administration of electricity to the foot and ankle by means of electrodes, machinery, rays and the like.

(5) “Electronic prescription” means a prescription that is generated on an electronic application and transmitted as an electronic data file.

(6) “Excessive use or abuse of drugs” shall mean any use of narcotics, controlled substances or illegal drugs without a prescription from a licensed physician or the abuse of alcoholic beverages such that it impairs a person’s ability to perform the work of a podiatrist.

(7) “Housing status” means as defined in § 204 of Title 19.

(8) “Manipulative treatment” shall mean the use of the hand or machinery in the operation of or working upon the foot and its articulations.

(9) “Mechanical treatment” shall mean the application of any mechanical appliance made of steel, leather, felt or any material to the foot or the shoe for the purpose of treating any disease, deformity or ailment.

(10) “Medical treatment” shall mean the application to or prescription for the foot and ankle of medicine, pads, adhesives, felt, plasters or any medicinal agency.

(11) “Podiatrist” shall mean a person who is qualified to practice podiatry and is licensed under this chapter.

(12) “Practice of podiatry” shall mean the diagnosis and the medical, surgical, mechanical, manipulative and electrical treatment of all ailments of the foot and ankle. As appropriate in regulation, these services may be performed with the use of telemedicine. Podiatry may also include participation in telehealth, as further defined in regulation. Amputation of the foot shall be restricted to state-licensed podiatrists who have completed an American Podiatric Medical Association accredited surgical residency program acceptable to the Board and have current amputation privileges, or have fulfilled the credentialing criteria of the surgical committee of the Joint Committee on Accreditation of Hospitals accredited hospital where the amputation is to be performed.

(13) “Protective hairstyle” includes braids, locks, and twists.

(14) “Race” includes traits historically associated with race, including hair texture and a protective hairstyle.

(15) “State” shall mean the State of Delaware.

(16) “Substantially related” means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to podiatry.

(17) “Surgical treatment” shall mean the use of any cutting instrument to treat a disease, ailment or condition.

(33 Del. Laws, c. 66, § 2; 40 Del. Laws, c. 108, § 2; Code 1935, § 5385; 24 Del. C. 1953, § 501; 53 Del. Laws, c. 315, § 1; 61 Del. Laws, c. 356, § 1; 64 Del. Laws, c. 39, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 213, § 1; 74 Del. Laws, c. 262, § 12; 80 Del. Laws, c. 80, § 7; 82 Del. Laws, c. 75, § 1; 82 Del. Laws, c. 261, §§ ?5, 16; 83 Del. Laws, c. 13, §§ ? 15, 16; 83 Del. Laws, c. 52, § 7; 84 Del. Laws, c. 429, § 4.)

§ 503 Board of Podiatry; appointments; composition; qualifications; term; vacancies; suspension or removal; unexcused absences; compensation.

(a) There is created a State Board of Podiatry that shall administer and enforce this chapter.

(b) The Board shall consist of 5 members, appointed by the Governor, who are residents of this State: 3 shall be podiatrists licensed under this chapter and 2 shall be public members. The public members shall not be, nor ever have been, podiatrists, nor members of the immediate family of a podiatrist; shall not have been employed by a podiatrist or a company engaged in the practice of podiatry; shall not have a material interest in the providing of goods and services to podiatrists; nor have been engaged in an activity directly related to podiatry. The public members shall be accessible to inquiries, comments and suggestions from the general public.

(c) Except as provided in subsection (d) of this section, each member shall serve a term of 3 years, and may serve 1 additional term in succession. Each term of office shall expire on the date specified in the appointment; however, the Board member shall remain eligible to participate in Board proceedings unless or until replaced by the Governor. Persons who are members of the Board on July 20, 1999, shall complete their terms.

(d) A person who has never served on the Board may be appointed to the Board for 2 consecutive terms, but no such person shall thereafter be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board or who has served on the Board for 6 years within any 9-year period shall again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(e) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless such an amendment or revision amends this section to permit such an appointment.

(f) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetency or neglect of duty. A member subject to disciplinary hearing shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(g) No member of the Board, while serving on the Board, shall hold elective office in any professional association of podiatrists; this includes a prohibition against serving as head of the professional association's Political Action Committee (PAC).

(h) The provisions set forth in Chapter 58 of Title 29 shall apply to all members of the Board.

(i) Any member who is absent without adequate reason for 3 consecutive meetings or fails to attend at least $^{1}/_{2}$ of all regular business meetings during any calendar year shall be guilty of neglect of duty.

(j) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition, shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(33 Del. Laws, c. 66, §§ 3, 14; 40 Del. Laws, c. 108, §§ 3, 17; Code 1935, §§ 5386, 5398; 42 Del. Laws, c. 159, § 1; 24 Del. C. 1953, §§ 502, 503; 53 Del. Laws, c. 315, § 1; 61 Del. Laws, c. 356, § 1; 64 Del. Laws, c. 39, § 1; 67 Del. Laws, c. 368, § 4; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 213, § 1; 81 Del. Laws, c. 85, § 3; 83 Del. Laws, c. 193, § 1.)

§ 504 Organization; meetings; officers; quorum.

(a) The Board shall hold a regularly scheduled business meeting at least once in each calendar year and at such times as the President deems necessary, or at the request of a majority of the Board members.

(b) The Board annually shall elect a president and secretary. Each officer shall serve for 1 year, and shall not succeed oneself for more than 2 consecutive terms.

(c) A majority of the members shall constitute a quorum for the purpose of transacting business, and no disciplinary action shall be taken without the affirmative vote of at least 3 members.

(d) Minutes of all meetings shall be recorded, and the Division of Professional Regulation shall maintain copies. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(33 Del. Laws, c. 66, § 3; 40 Del. Laws, c. 108, § 3; Code 1935, § 5386; 42 Del. Laws, c. 159, § 1; 24 Del. C. 1953, § 502; 53 Del. Laws, c. 315, § 1; 61 Del. Laws, c. 356, § 1; 64 Del. Laws, c. 39, § 1; 65 Del. Laws, c. 355, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 213, § 1; 83 Del. Laws, c. 193, § 2; 84 Del. Laws, c. 42, § 1.)

§ 505 Records.

The Division of Professional Regulation shall keep a register of all approved applications for license as a podiatrist and complete records relating to meetings of the Board, examinations, rosters, changes and additions to the Board's rules and regulations, complaints, hearings and such other matters as the Board shall determine. Such records shall be prima facie evidence of the proceedings of the Board.

(72 Del. Laws, c. 213, § 1.)

§ 506 Powers and duties; immunity.

(a) The Board of Podiatry shall have authority to:

(1) Formulate rules and regulations with appropriate notice to those affected; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act [Chapter 101 of Title 29] of this State. Each rule or regulation shall implement or clarify a specific section of this chapter.

(2) Designate the application form to be used by all applicants and to process all applications.

(3) Designate the written, standardized examination on podiatry administered by the National Board of Podiatric Medical Examiners (NBPME) and the Podiatric Medical Licensing Examination for States (PMLEXIS), to be taken by all persons applying for licensure.

(4) Evaluate the credentials of all persons applying for a license to practice podiatry in this State, in order to determine whether such

persons meet the qualifications for licensing set forth in this chapter.

(5) Grant licenses to and renew licenses of all persons who meet the qualifications for licensure, including those persons who apply for temporary licensure.

(6) Establish by rule and regulation continuing education standards required for license renewal.

(7) Evaluate certified records to determine whether an applicant for licensure who previously has been licensed, certified or registered in another jurisdiction has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against such applicant for such acts or offenses.

(8) Refer all complaints from licensees and the public concerning licensed podiatrists or concerning practices of the Board or of the profession to the Division for investigation pursuant to § 8735 of Title 29 and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint.

(9) Conduct hearings and issue orders in accordance with procedures established pursuant to Chapter 101 of Title 29.

(10) Where it has been determined after a hearing that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty after time for appeal has lapsed.

(b) The members of the Board shall not be subject to and shall be immune from claims, suit, liability, damages or any other recourse, civil or criminal, arising from any act, proceeding, decision or determination undertaken or performed, or recommendation made, so long as such member of the Board acted in good faith and without malice in carrying out the responsibilities, authority, duties, powers and privileges of the offices conferred by law upon them under this chapter, or any other provisions of the Delaware or federal law or rules or regulations or duly adopted rule or regulation of the Board. Good faith is presumed unless otherwise proven, and malice is required to be proven by the complainant.

(c) A member of the Board may not discriminate against any applicant or person holding or applying for a certificate to practice podiatric medicine by reason of sex, race, color, creed, national origin, housing status, or any other basis protected under Chapter 45 of Title 6.

(d) No member shall participate in any action of the Board involving directly or indirectly any person related in any way by blood or marriage to said member.

(e) The Board of Podiatry shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of podiatry.

(61 Del. Laws, c. 356, § 1; 64 Del. Laws, c. 39, § 1; 67 Del. Laws, c. 212, §§ 1, 2; 72 Del. Laws, c. 213, § 1; 74 Del. Laws, c. 262, § 13; 84 Del. Laws, c. 429, § 5; 85 Del. Laws, c. 144, § 11.)

Subchapter II

License

§ 507 License required.

(a) No person shall engage in the practice of podiatry or hold himself or herself out to the public in this State as being qualified to practice podiatry; or use in connection with that person's name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the person is qualified to practice podiatry, unless such person has been duly licensed under this chapter.

(b) Whenever a license to practice as a podiatrist in this State has expired or been suspended or revoked, it shall be unlawful for the person to practice podiatry in this State.

(64 Del. Laws, c. 39, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 213, § 1.)

§ 508 Qualifications of applicant; report to Attorney General; judicial review.

(a) An applicant who is applying for licensure as a podiatrist under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that such person:

(1) Has received a degree of "Doctor of Podiatric Medicine" or its equivalent from a college or school approved by the Council on Podiatric Education of the American Podiatric Medical Association, or the successor of such Council.

(2) Has satisfactorily completed a hospital residency program approved by the Council on Podiatric Medical Education.

(3) Has satisfactorily completed the Podiatric Medical Licensing Examination for States (PMLEXIS); the minimum passing score shall be that score recommended by the testing service providing the examination.

(4) Shall not have been the recipient of any administrative penalties regarding the applicant's practice of podiatry, including but not limited to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, and/or has not entered into any "consent agreements" which contain conditions placed by a Board on the applicant's professional conduct and practice, including any voluntary surrender of a license. The Board may determine, after a hearing, whether such administrative penalty is grounds to deny licensure.

(5) Shall not have any impairment related to drugs, alcohol or a finding of mental incompetence by a physician that would limit the applicant's ability to undertake the practice of podiatry in a manner consistent with the safety of the public.

(6) Does not have a criminal conviction record, nor pending criminal charge relating to an offense that is substantially related to the

practice of podiatry. Applicants who have criminal conviction records or pending criminal charges shall request appropriate authorities to provide information about the record or charge directly to the Board. If after considering the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(6). A waiver may not be granted for a conviction of a felony sexual offense.

a.-d. [Repealed.]

(7) Has not engaged in any of the acts or offenses that would be grounds for disciplinary action under this chapter, and has no disciplinary proceedings or unresolved complaints pending against the applicant in any jurisdiction where the applicant has previously been or currently is licensed.

(8) Notwithstanding the time limitation in § 8735(x)(4) of Title 29, has not been convicted of a felony sexual offense.

(9) Has submitted, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

b. A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Board of Podiatry shall be the screening point for the receipt of said federal criminal history records.

c. An applicant may not be licensed to practice podiatric medicine until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction that is substantially related to the practice of podiatry may not be licensed by the Board unless a waiver is granted pursuant to paragraph (a)(6) of this section.

(10) Has submitted to the Board a sworn or affirmed statement that the applicant is, at the time of application, physically and mentally capable of engaging in the practice of podiatric medicine according to generally accepted standards, and submit to such examination as the Board may deem necessary to determine the applicant's capability.

(b) Where the Board has found to its satisfaction that an applicant has been intentionally fraudulent, or that the applicant has supplied false information, the Board shall report its findings to the Attorney General for further action.

(c) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification; has imposed higher or different standards for the applicant than for other applicants or licensees; or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(d) All individuals licensed to practice podiatric medicine in this State shall be required to be fingerprinted by the State Bureau of Identification, at the licensee's expense, for the purposes of performing subsequent criminal background checks. Licensees shall submit by January 1, 2016, at the applicant's expense, fingerprints and other necessary information in order to obtain a criminal background check.

(33 Del. Laws, c. 66, § 9; 40 Del. Laws, c. 108, § 4; Code 1935, § 5387; 42 Del. Laws, c. 160, § 1; 24 Del. C. 1953, § 504; 53 Del. Laws, c. 108, § 4; 53 Del. Laws, c. 315, § 1; 58 Del. Laws, c. 511, § 51; 61 Del. Laws, c. 356, § 1; 64 Del. Laws, c. 39, § 1; 67 Del. Laws, c. 212, § 3; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 213, § 1; 74 Del. Laws, c. 262, § 14; 75 Del. Laws, c. 436, § 4; 77 Del. Laws, c. 199, § 4; 78 Del. Laws, c. 44, §§ 7, 8; 79 Del. Laws, c. 277, § 1; 80 Del. Laws, c. 50, § 1; 83 Del. Laws, c. 433, § 6.)

§ 509 Examination.

In the event an applicant for licensure has not successfully completed the PMLEXIS examination, or its successor, required by this chapter, the Board shall administer or authorize the administration of such examination provided the applicant has received a degree of "Doctor of Podiatric Medicine" as described in § 508(a)(1) of this title. All examinations shall be graded by the testing service providing the examinations. The passing score shall be established by the testing agency.

(33 Del. Laws, c. 66, §§ 4, 6; 40 Del. Laws, c. 108, §§ 5, 6; Code 1935, § 5388; 24 Del. C. 1953, § 505; 53 Del. Laws, c. 108, § 5; 53 Del. Laws, c. 315, § 1; 61 Del. Laws, c. 356, § 1; 64 Del. Laws, c. 39, § 1; 67 Del. Laws, c. 212, § 6; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 213, § 1.)

§ 510 Reciprocity.

(a) Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who shall present proof of current licensure in "good standing" in another state, the District of Columbia or territory of the United States, whose standards for licensure are substantially similar to those of this State. A license in "good standing" is defined in § 508(a)(4)-(7) of this title.

(b) An applicant, who is licensed or registered in a state whose standards are not substantially similar to those of this State, shall have practiced for a minimum of 5 years after licensure, provided however that the applicant meets all other qualifications for reciprocity in this section.

(c) An applicant, who has received a degree of "Doctor of Podiatric Medicine" or its equivalent from a foreign school, college or university, shall submit a certified copy of the applicant's school, college or university record for evaluation by the Board.

(d) In the event that a disciplinary proceeding or unresolved complaint is pending, the applicant shall not be licensed in this State until the proceeding or complaint has been resolved. Applicants for licensure in this State shall be deemed to have given consent to the release of such information and to waive all objections to the admissibility of such information as evidence at any hearing or other proceeding to which the applicant may be subject.

(e) Each application for licensure shall be accompanied by payment of the application fee.

(64 Del. Laws, c. 39, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 213, § 1.)

§ 511 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division in its service on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each licensure biennium, the Division, or any other State agency acting in its behalf, shall compute, for each separate service or activity, the appropriate Board fees for the licensure biennium.

(64 Del. Laws, c. 39, § 1; 65 Del. Laws, c. 355, § 1; 72 Del. Laws, c. 213, § 1.)

§ 512 Issuance and renewal of licenses.

(a) The Board shall issue a license to each applicant, who meets the requirements of this chapter for licensure as a podiatrist and who pays the fee established under § 511 of this title.

(b) Each license shall be renewed biennially, in such manner as is determined by the Division, and upon payment of the appropriate fee and submission of a renewal form provided by the Division, and proof that the licensee has met the continuing education requirements established by the Board.

(c) The Board, in its rules and regulations, shall determine the period of time within which a licensed podiatrist may still renew the licensed podiatrist's license, notwithstanding the fact that such licensee has failed to renew on or before the renewal date, provided however that such period shall not exceed 1 year.

(d) A licensee, upon written request, may be placed in an inactive status for no more than 5 years. Such person who desires to reactivate the licensee's license shall complete a Board-approved application form, submit a renewal fee set by the Division, and submit proof of fulfillment of continuing education requirements in accordance with the Board's rules and regulations.

(Code 1935, § 5398A; 43 Del. Laws, c. 240, § 1; 24 Del. C. 1953, § 509; 53 Del. Laws, c. 108, § 6; 53 Del. Laws, c. 315, § 1; 61 Del. Laws, c. 356, § 1; 64 Del. Laws, c. 39, § 1; 65 Del. Laws, c. 355, § 1; 67 Del. Laws, c. 212, § 4; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 213, § 1.)

§ 513 Temporary license.

(a) The Board, at its discretion, may issue a temporary license to practice podiatry in this State to a podiatrist, who is licensed or otherwise legally qualified to practice podiatry in any state of the United States or other jurisdiction, and who meets the following conditions:

(1) The applicant is entering this State for the purpose of taking charge of the practice of a person licensed to practice podiatry in this State during such licensee's temporary illness or absence from this State; and

(2) The applicant shall complete an application, pay the appropriate fee established by the Division, and shall present proof of current licensure in "good standing" in the state or states where the applicant currently is and/or has been licensed. A license in "good standing" is defined in § 508(a)(4)-(7) of this title, and such applicant shall comply with the provisions of § 510(d) of this title.

(b) The person licensed to practice medicine in this State shall make the licensee's request to the Board in writing and shall submit a certified statement that the purpose of the temporary license is to take charge of the licensee's practice of podiatry in this State during a temporary illness or absence from this State.

(c) Such temporary license shall be effective for not less than 2 weeks nor for more than 3 months from date of issuance.

(d) The Board may also issue a temporary license to practice as a podiatric physician to an applicant who is participating in a residency program in this State and who is an otherwise qualified applicant for licensure in this State. The temporary license for such residency program participant will be valid for the period of residency and will expire immediately upon completion of or withdrawal from the residency or 24 months from issuance whichever is earlier. Such temporary license may be renewed once only for an additional 24 months and any such renewed license shall also expire immediately upon completion of or withdrawal from the residency program.

(67 Del. Laws, c. 212, § 5; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 213, § 1; 75 Del. Laws, c. 231, §§ 1, 2.)

§ 514 Complaints.

(a) All complaints shall be received and investigated by the Division in accordance with § 8735 of Title 29, and the Division shall be responsible for issuing a final written report at the conclusion of its investigation.

(b) When it is determined that an individual is engaging, or has engaged, in the practice of podiatry, or is using the title "podiatrist" and is not licensed under the laws of this State, the Board shall apply to the Office of the Attorney General to issue a cease and desist order.

(c) The Division shall have the authority to conduct inspections upon receipt of any complaint in connection with § 515(a)(8) of this title or upon the occurrence of an adverse event as defined in § 122(3)y.3.A. of Title 16 and, as applicable, to refer such information to the Department of Health and Social Services pursuant to § 122(3)y. of Title 16. In connection herewith, the Division may share information with the Department of Health and Social Services in accordance with applicable law.

(64 Del. Laws, c. 39, § 1; 65 Del. Laws, c. 355, § 1; 72 Del. Laws, c. 213, § 1; 78 Del. Laws, c. 15, § 8.)

§ 515 Grounds for discipline.

(a) A practitioner licensed under this chapter shall be subject to disciplinary actions set forth in § 516 of this title, if, after a hearing, the Board finds that the podiatrist:

(1) Has employed or knowingly cooperated in fraud or material deception in order to acquire a license as a podiatrist; has impersonated another person holding a license, or allowed another person to use the podiatrist's license, or aided or abetted a person not licensed as a podiatrist to represent himself or herself as a podiatrist.

(2) Has illegally, incompetently or negligently practiced podiatry.

(3) Has been convicted of any offense, the circumstances of which substantially relate to the practice of podiatry. A copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence therefor.

(4) Has excessively used or abused drugs either in the past 3 years or currently.

(5) Has engaged in an act of consumer fraud or deception; engaged in the restraint of competition; or participated in price-fixing activities.

(6) Has violated a lawful provision of this chapter, or any lawful regulation established thereunder.

(7) Has had his or her license as a podiatrist suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record; and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every person licensed as a podiatrist in this State shall be deemed to have given consent to the release of this information by the Board of Podiatry, or other comparable agencies in another jurisdiction and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses.

(8) Has maintained a facility in an unsanitary or unsafe condition. For purposes of this section, "facility" shall have the same meaning as defined in § 122(3)y.3.C. of Title 16.

(9) Has failed to notify the Board that the podiatrist's license as a podiatrist in another state has been subject to discipline, or has been surrendered, suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof; or,

(10) Has a physical condition such that the performance of podiatry is or may be injurious or prejudicial to the public.

(b) Subject to the provisions of this chapter and subchapter IV of Chapter 101 of Title 29, no license shall be restricted, suspended or revoked by the Board, and no practitioner's right to practice podiatry shall be limited by the Board until such practitioner has been given notice, and an opportunity to be heard, in accordance with the Administrative Procedures Act [Chapter 101 of Title 29].

(c) The Division shall have the authority to conduct inspections upon receipt of any complaint in connection with paragraph (a)(8) of this section or upon the occurrence of an adverse event as defined in § 122(3)y.3.A. of Title 16 and, as applicable, refer such information to the Department of Health and Social Services pursuant to 122(3)y. of Title 16. In connection herewith, the Division may share information with the Department of Health and Social Services in accordance with applicable law.

(64 Del. Laws, c. 39, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 213, § 1; 74 Del. Laws, c. 262, § 15; 78 Del. Laws, c. 15, §§ 9, 10.)

§ 516 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 of the conditions or violations set forth in § 515 of this title applies to a practitioner regulated by this chapter:

(1) Issue a letter of reprimand.

(2) Censure a practitioner.

(3) Place a practitioner on probationary status, and require the practitioner to:

a. Report regularly to the Board upon the matters, which are the basis of the probation.

b. Limit all practice and professional activities to those areas prescribed by the Board.

(4) Suspend any practitioner's license.

(5) Revoke any practitioner's license.

(6) Impose a monetary penalty not to exceed $500 for each violation.

(7) The Board shall permanently revoke the certificate to practice podiatric medicine of a person who is convicted of a felony sexual offense.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies, which required such action, have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has

been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(33 Del. Laws, c. 66, §§ 12, 13; 40 Del. Laws, c. 108, §§ 11-13; Code 1935, §§ 5393, 5394; 24 Del. C. 1953, §§ 510, 511; 53 Del. Laws, c. 315, § 1; 61 Del. Laws, c. 356, § 1; 64 Del. Laws, c. 39, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 213, § 1; 79 Del. Laws, c. 213, § 2; 79 Del. Laws, c. 277, § 1.)

§ 517 Hearing procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29, alleging violation of § 515 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings shall be informal without use of rules of evidence. If the Board finds, by a majority vote of all members, that the complaint has merit, the Board shall take such action permitted under this chapter, as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. The Board's decision shall be mailed immediately to the practitioner.

(c) Where the practitioner is in disagreement with the action of the Board, the practitioner may appeal the Board's decision to the Superior Court within 30 days of service, or of the postmarked date of the copy of the decision mailed to the practitioner. Upon such appeal the Court shall hear the evidence on the record. Stays shall be granted in accordance with Chapter 101 of Title 29.

(64 Del. Laws, c. 39, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 213, § 1.)

§ 518 Reinstatement of a suspended license; removal from probationary status.

(a) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension and/or the probation.

(b) Where a license or registration has been suspended due to the licensee's inability to practice pursuant to this chapter, the Board may reinstate such license, if, after a hearing, the Board is satisfied that the licensee is again able to perform the essential functions of a podiatrist, with or without reasonable accommodations; and/or, there is no longer a significant risk of substantial harm to the health and safety of the individual or others.

(c) Applicants for reinstatement must pay the appropriate fees and submit documentation required by the Board as evidence that all the conditions of a suspension and/or probation have been met. Proof that the applicant has met the continuing education requirements of this chapter may also be required, as appropriate.

(d) [Repealed.]

(72 Del. Laws, c. 213, § 1; 82 Del. Laws, c. 8, § 2.)

§ 518A Prescription requirements.

(a) No written prescription shall be prescribed if it does not contain the following information clearly written, clearly hand printed, electronically printed, or typed:

(1) The name, address and phone number of the prescriber;

(2) The name and strength of the drug prescribed;

(3) The quantity of the drug prescribed;

(4) The directions for use of the drug;

(5) Date of issue.

(b) Notwithstanding any other provision of this section or any other law to the contrary, no person licensed under this chapter shall issue any prescription unless such prescription is made by electronic prescription from the person issuing the prescription to a pharmacy in accordance with regulations established by the Board, except for prescriptions issued:

(1) By a veterinarian.

(2) In circumstances where electronic prescribing is not available due to temporary technological or electrical failure, as set forth in regulation established by the Board.

(3) By a practitioner to be dispensed by a pharmacy located outside the State, as set forth in regulations established by the Board.

(4) When the prescriber and dispenser are the same entity.

(5) That include elements that are not supported by the most recently implemented version of the National Council for Prescription Drug Programs Prescriber/Pharmacist Interface SCRIPT Standard.

(6) By a practitioner for a drug that the Federal Food and Drug Administration requires the prescription to contain certain elements that are not able to be accomplished with electronic prescribing.

(7) By a practitioner allowing for the dispensing of a nonpatient specific prescription pursuant to a standing order, approved protocol for drug therapy, collaborative drug management or comprehensive medication management, in response to a public health emergency, or other circumstances where the practitioner may issue a nonpatient specific prescription.

(8) By a practitioner prescribing a drug under a research protocol.

(9) By practitioners who have received a waiver or a renewal thereof for a specified period determined by the Board, not to exceed 1

year, from the requirement to use electronic prescribing, pursuant to regulations established by the Board, due to economic hardship, technological limitations that are not reasonably within the control of the practitioner, or other exceptional circumstance demonstrated by the practitioner.

(10) By a practitioner under circumstances where, notwithstanding the practitioner's present ability to make an electronic prescription as required by this subsection, such practitioner reasonably determines that it would be impractical for the patient to obtain substances prescribed by electronic prescription in a timely manner, and such delay would adversely impact the patient's medical condition.

(c) A pharmacist who receives a written, oral or faxed prescription is not required to verify that the prescription properly falls under 1 of the exceptions under subsection (b) of this section, from the requirement to electronically prescribe. Pharmacists may continue to dispense medications from otherwise valid written, oral or fax prescriptions that are otherwise legal.

(75 Del. Laws, c. 161, § 1; 82 Del. Laws, c. 75, § 1.)

Subchapter III

Other Provisions

§ 519 Exemptions.

(a) Nothing in this chapter shall be construed to prevent:

(1) Persons who are licensed to practice podiatry in any other state, district or foreign country from entering this State, as practicing podiatrists, to consult with a podiatrist of this State. Such consultation shall be limited to examination, recommendation and testimony in litigation.

(2) Any student of an accredited school or college of podiatry from receiving practical training under the personal supervision of a licensed podiatrist in this State.

(3) Any person from completing a Council on Podiatric Medical Education-approved hospital residency program in this State.

(4) Any podiatrist or surgeon, commissioned by any of the armed forces of the United States or by the United States Public Health Service, from practicing podiatry on the podiatrist's or surgeon's designated facility in this State.

(5) Any physician licensed in this State from practicing podiatry in this State.

(b) This chapter shall not prohibit the fitting, recommending or sale of corrective shoes, arch supports or similar mechanical appliances by retail dealers or manufacturers. However, no representative of a dealer or manufacturer shall be permitted to medically diagnose, treat or prescribe for any foot or ankle ailment, disease or deformity, unless such person is licensed to practice podiatry in this State.

(72 Del. Laws, c. 213, § 1; 70 Del. Laws, c. 186, § 1; 80 Del. Laws, c. 50, § 1.)

§ 520 Penalty.

A person not currently licensed as a podiatrist under this chapter, when engaging in the practice of podiatry, or using in connection with that person's name, or otherwise assuming or using any title or description conveying, or tending to convey the impression that the person is qualified to practice podiatry, shall be guilty of a misdemeanor. Upon the first offense, the person shall be fined not less than $500 dollars nor more than $1,000 dollars for each offense. For a second or subsequent conviction, the fine shall be not less than $1,000 nor more than $2,000 for each offense. Justice of the Peace Court shall have jurisdiction over all violations of this chapter.

(64 Del. Laws, c. 39, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 213, § 1.)

§ 521 Accreditation of facilities where office-based surgeries are performed.

No person licensed under this chapter shall perform any office-based surgery, as defined in § 122(3)y. of Title 16, in a facility unless it is accredited or licensed in accordance with § 122(3)z. of Title 16. For purposes of this section, "facility" and "office-based surgery" mean as defined in § 122(3)y. of Title 16.

(78 Del. Laws, c. 80, § 5; 81 Del. Laws, c. 417, § 2.)

§ 522 Treatment or examination of minors.

(a) A parent, guardian or other caretaker, or an adult staff member, shall be present when a person licensed under this chapter provides outpatient treatment to a minor patient who is disrobed or partially disrobed or during an outpatient physical examination regardless of sex of the licensed person and patient, except when rendering care during an emergency. When using an adult staff member to observe the treatment or examination, the adult staff member shall be of the same gender as the patient when practicable. The minor patient may decline the presence of a third person only with consent of a parent, guardian or other caretaker. The minor patient may request private consultation with the licensee without the presence of a third person after the physical examination.

(b) When a minor patient is to be disrobed, or partially disrobed during a physical examination, a person licensed under this chapter shall provide notice to the person providing consent to treatment of the rights under this section. The notice shall be provided in written form or be conspicuously posted in a manner in which minor patients and their parent, guardian or other caretaker are made aware of the notice. In circumstances in which the posting or the provision of the written notice would not convey the right to have a chaperone present, the person licensed shall use another means to ensure that the person understands the right under this section.

(c) For the purposes of this section, "minor" is defined as a person 15 years of age or younger, and "adult staff member" is defined as a

person 18 years of age or older who is acting under the direction of the licensed person or the employer of the licensed person or who is otherwise licensed under this chapter.

(d) The person licensed under this chapter that provides outpatient treatment to a minor pursuant to this section shall, contemporaneously with such treatment, note in the child's medical record the name of each person present when such treatment is being provided.

(79 Del. Laws, c. 169, § 1.)

Chapter 6

Cosmetologists [Repealed].

§§ 601-626 Definitions; State Board of Cosmetology; appointment; qualifications; term of office; vacancies; powers; meetings; organization; oath; quorum; records of Board; qualifications for admission to examination, licensing and registration; registration of salons and schools; requirements of a school; application for examination; admission to examination; examinations; certificates or licenses; fees; persons called to aid of Board; curriculum committee; reciprocity; exemption of present practitioners from examination; powers and duties of the Board; hearings; hearing may be held by majority of the Board; sanitary rules; temporary licenses; services and activities exempted from chapter; display of certificates or licenses; renewal of certificates or licenses; duration and renewal of certificates; penalty; appeals; cosmetology instruction in public schools [Repealed].

Repealed by 69 Del. Laws, c. 178, § 1, effective Feb. 4, 1994.

Chapter 7
BOARD OF CHIROPRACTIC

§ 700 Purpose of chapter; objectives of board.

The primary objective of the Board of Chiropractic, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

The secondary objectives of the Board are to maintain minimum standards of practitioner competency and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at formal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against licensees or former licensees.

Throughout the history of chiropractic, there have been distinct philosophical approaches to chiropractic. No action, rule, standard or requirement shall be imposed or interpreted which would restrict a practitioner from licensure as long as the practitioner complies with this chapter.

(64 Del. Laws, c. 413, § 2; 70 Del. Laws, c. 514, § 1.)

§ 701 Chiropractic defined; limitation of chiropractic license.

(a) For purposes of this chapter:

(1) “Board” means the State Board of Chiropractic.

(2) “Chiropractic” means a system of health care based on the principle that interference with the transmission of nerve impulses may cause pain or disease.

(3) “Chiropractic supportive care” means continuous, interval-based treatment that is medically necessary for patients diagnosed with chronic pain or disease, which maintains function or prevents or slows deterioration. “Chiropractic supportive care” includes treatment for patients who must resume care because the patient’s chronic pain or disease regresses or worsens after the withdrawal of treatment.

(4) “Chiropractor” or “doctor of chiropractic” or “chiropractic physician” means an individual licensed to practice chiropractic under this chapter.

(5) “Housing status” means as defined in § 204 of Title 19.

(6) “Medically necessary” means providing health-care services or products that a prudent physician would provide to a patient for the purpose of diagnosing or treating an illness, injury, disease or its symptoms in a manner that is all of the following:

a. In accordance with generally-accepted standards of chiropractic practice.

b. Consistent with the symptoms or treatment of the condition.

c. Not solely for anyone’s convenience.

(7) “Physician” means an individual who possesses a valid State of Delaware license as either of the following:

a. A doctor of chiropractic.

b. A physician, under Chapter 17 of this title.

(8) “Protective hairstyle” includes braids, locks, and twists.

(9) “Race” includes traits historically associated with race, including hair texture and a protective hairstyle.

(10) “Substantially related” means the nature of criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of chiropractic.

(b) The practice of chiropractic includes the use of recognized diagnostic and treatment methods, as taught by chiropractic colleges or approved by the Board, and includes all of the following:

(1) Diagnosing and locating misaligned, fixated, or displaced vertebrae, including subluxation complex and other neuromusculoskeletal and soft tissue structures, using x-rays and other diagnostic test procedures.

(2) Treatment, through manipulation or adjustment of the spine and other neuromusculoskeletal and soft tissue structures.

(3) Chiropractic supportive care.

(4) The use of adjunctive procedures not otherwise prohibited by this chapter.

(c) Except as otherwise provided in this chapter, the practice of chiropractic does not include the use of any of the following:

(1) Prescription medications.

(2) Surgery.

(3) Obstetrical or gynecological examinations or treatment.

(d) Chiropractors shall perform all examinations and procedures in accordance with the protocol and procedures as taught by chiropractic colleges or approved by the Board.

(41 Del. Laws, c. 261, § 8; 24 Del. C. 1953, § 701; 70 Del. Laws, c. 514, § 2; 80 Del. Laws, c. 80, § 8; 82 Del. Laws, c. 261, §§ ?6, 16; 83 Del. Laws, c. 13, §§ ? 17, 18; 83 Del. Laws, c. 52, § 8; 83 Del. Laws, c. 433, § 7; 83 Del. Laws, c. 526, § 1; 84 Del. Laws, c. 429, § 6.)

§ 702 Composition; terms; suspension or removal; qualifications; vacancies; compensation.

(a) There is created a State Board of Chiropractic, which shall administer and enforce this chapter, and which shall consist of 7 members appointed by the Governor, who are residents of this State; 4 of whom shall be licensed to practice chiropractic in this State; and 3 public members. A public member shall not be nor ever have been a chiropractor, nor a member of the immediate family of a chiropractor; shall not have been employed by a chiropractor; shall not have had a material financial interest in the providing of goods and services to chiropractors; nor have been engaged in an activity directly related to chiropractic. Such public member shall be accessible to inquiries, comments and suggestions from the general public.

(b) Except as provided in subsection (c) of this section, each member shall serve for a term of 3 years, and may succeed himself or herself for 1 additional term; provided, however, that where a member was initially appointed to fill a vacancy, such member may succeed himself or herself for only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member. Persons who are members of the Board on July 17, 1996, shall complete their terms of office.

(c) A person who has never served on the Board may be appointed to the Board 2 consecutive times, but no such person shall thereafter be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board, or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(d) Any act or vote by a person appointed in violation of subsection (c) of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (c) of this section, unless such amendment or revision amends this section to permit such an appointment.

(e) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance or malfeasance. A member subject to disciplinary proceedings shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(f) No member of the Board of Chiropractic, while serving on the Board, shall be an officer of a local or state professional chiropractic association or have a financial interest in any chiropractic college or school.

(g) Vacancies occurring on the Board by reason of the death of any member or the member's incapacity, neglect or refusal to act or by removal or in any other way, including those specified in this chapter shall be filled by the Governor.

(h) Each Board member shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(i) The provisions set forth for "employees" in Chapter 58 of Title 29 shall apply to all members of the Board and to all agents appointed or otherwise employed by the Board.

(41 Del. Laws, c. 261, §§ 1, 2; 24 Del. C. 1953, §§ 702, 703; 64 Del. Laws, c. 413, § 3; 67 Del. Laws, c. 368, § 5; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 514, §§ 3-6; 81 Del. Laws, c. 85, § 4.)

§ 703 Officers; meetings; quorum.

(a) The Board annually shall elect a president and secretary. Each officer shall serve for 1 year and shall not succeed himself or herself in the same office.

(b) The Board shall hold a regularly scheduled business meeting at least once in each quarter of a calendar year and at such other times as the President deems necessary, or at the request of a majority of Board members.

(c) A majority of the members shall constitute a quorum for the purpose of transacting business. No disciplinary action shall be taken without the affirmative vote of 4 members of the Board. Any member who fails to attend 3 consecutive meetings, or who fails to attend at least 1/2 of all regular business meetings during any calendar year, shall automatically upon such occurrence be deemed to have resigned from office and a replacement shall be appointed.

(d) Minutes of all meetings shall be recorded, and copies of the minutes shall be maintained by the Division of Professional Regulation. At any hearing where evidence is presented, the hearing shall be recorded. Transcripts shall be made at the request and expense of any party.

(41 Del. Laws, c. 261, § 3; 24 Del. C. 1953, § 704; 50 Del. Laws, c. 325, § 1; 64 Del. Laws, c. 413, § 3; 65 Del. Laws, c. 331, §§ 1, 2; 65 Del. Laws, c. 355, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 514, §§ 7, 41.)

§ 704 Complaints.

All complaints shall be received and investigated by the Division of Professional Regulation in accordance with § 8735 of Title 29. The Division shall be responsible for issuing a final written report at the conclusion of its investigation. The Board president shall assign a member of the Board to assist the Division of Professional Regulation with the investigation of the technical aspects of the complaint and shall not discuss any matter of fact or law regarding such investigation with any Board member prior to the final decision of the Board. Such member shall recuse himself or herself from the deliberations on the complaint at any hearing regarding the complaint. If it is determined that a practitioner should be subject to a disciplinary hearing, the Board shall conduct such hearing in accordance with this chapter and the Administrative Procedures Act [Chapter 101 of Title 29].

When it is determined that an individual is engaging in the practice of chiropractic or is using the title "chiropractor" and is not licensed under the laws of this State, the Board shall notify the office of the Attorney General for appropriate action.

(64 Del. Laws, c. 413, § 3; 65 Del. Laws, c. 331, § 3; 65 Del. Laws, c. 355, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 514, § 8.)

§ 705 Hearing; procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29, alleging violation of this chapter, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings shall be informal without use of the rules of evidence. If the Board finds, by a majority vote of all members, that the complaint has merit, the Board shall take such action permitted under this chapter as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. A copy of the decision shall be mailed immediately to the complainant, and to the practitioner. The Board's decision shall become effective on the thirtieth day after the date it is mailed or served on the practitioner.

(c) Where either the complainant or the practitioner is in disagreement with the action of the Board, either person may appeal the Board's decision to the Superior Court within 30 days of service, or of the postmarked date of the copy of the Board's decision. Upon such appeal, the Court shall hear the evidence on the record, and any stay of the Board's decision shall be granted according to the Administrative Procedures Act [Chapter 101 of Title 29].

(64 Del. Laws, c. 413, § 3; 65 Del. Laws, c. 331, §§ 4-6; 70 Del. Laws, c. 135, §§ 1, 2; 70 Del. Laws, c. 514, § 9.)

§ 706 Powers and duties; immunity.

(a) The Board shall have the authority and the duty to:

(1) Formulate rules and regulations with appropriate notice to those affected where such notice can reasonably be given;

(2) Designate the application form to be used by applicants, and to process all applications;

(3) Grant licenses to, and renew licenses of, all persons who meet the qualifications for licensure;

(4) Designate the national written examination to be taken by all persons applying for licensure, except those applicants who qualify for licensure by reciprocity;

(5) Grant licenses to all persons who meet the qualifications for licensure;

(6) Refer all complaints from practitioners and the public to the Division of Professional Regulation for investigation pursuant to § 8735 of Title 29; and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint;

(7) Investigate complaints of unauthorized practice of chiropractic;

(8) Determine whether or not a practitioner shall be the subject of a disciplinary hearing, and if so, to conduct such hearing in accordance with this chapter and the Administrative Procedures Act [Chapter 101 of Title 29];

(9) Where it has been determined after a disciplinary hearing that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty after time for appeal has lapsed;

(10) Provide for the rules for continuing chiropractic education; and

(11) Bring proceedings in the courts for the enforcement of this chapter.

(b) The Board may require by subpoena the attendance and testimony of witnesses and production of paper, records or other such evidence.

(c) The members of the Board shall not be subject to, and shall be immune from, claims, suits, liability, damages or any other recourse, civil or criminal, arising from any act or proceeding, decision or determination undertaken or performed, or recommendation made, so long as such member of the Board acted in good faith and without malice in carrying out the responsibilities, authority, duties, powers and privileges of the offices conferred by law upon them under this chapter or any other provisions of the Delaware or federal law or rules or regulations or duly adopted rule or regulation of the Board. Good faith is presumed unless otherwise proven and malice is required to be proven by the complainant.

(d) A member of the Board may not discriminate against any applicant or person holding or applying for a license to practice chiropractic by reason of sex, race, color, creed, national origin, housing status, or any other basis protected under Chapter 45 of Title 6.

(e) No member shall participate in any action of the Board involving directly or indirectly any person related in any way by blood or marriage to said member.

(f) The Board of Chiropractic shall promulgate regulations specifically identifying those crimes, which are substantially related to the practice of chiropractic.

(41 Del. Laws, c. 261, § 7; 24 Del. C. 1953, § 706; 64 Del. Laws, c. 413, § 3; 70 Del. Laws, c. 514, §§ 10-14; 72 Del. Laws, c. 125, §§ 1, 2; 74 Del. Laws, c. 262, § 16; 84 Del. Laws, c. 429, § 7; 85 Del. Laws, c. 144, § 12.)

§ 707 Qualifications of applicant; report to Attorney General; judicial review.

(a) An applicant who is applying for licensure as a doctor of chiropractic under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that such person:

(1) Has received a degree of "Doctor of Chiropractic" from a school or college fully accredited by an accrediting agency recognized by the U.S. Department of Education.

(2) Shall provide proof satisfactory to the Board that the applicant has successfully passed Parts I, II, III, IV and the physiotherapy section of the National Board of Chiropractic Examiners' examination.

(3) Has not been the recipient of any administrative penalties regarding the applicant's practice of chiropractic, including fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary

limitations and has not entered into any "consent agreements" which contain conditions placed by a Board on the applicant's professional conduct and practice, including any voluntary surrender of a license. The Board may determine, after a hearing, whether such administrative penalty is grounds to deny licensure.

(4) Does not have any impairment related to drugs, alcohol, or a finding of mental incompetence by a physician qualified by specialty or experience to make a professional diagnosis regarding mental capacity, that would limit the applicant's ability to undertake the practice of chiropractic in a manner consistent with the safety of the public.

(5) Does not have a criminal conviction record, nor pending criminal charge relating to an offense that is substantially related to the practice of chiropractic. If however, after considering the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(5). Applicants who have criminal conviction records or pending criminal charges shall require appropriate authorities to provide information about the record or charge directly to the Board.

a.-d. [Repealed.]

(6) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, has not been convicted of a felony sexual offense.

(7) Has submitted, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

b. A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Board of Chiropractic shall be the screening point for the receipt of said federal criminal history records.

c. An applicant may not be licensed to practice chiropractic until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction related to the practice of chiropractic may not be licensed by the Board unless a waiver is granted pursuant to paragraph (a)(5) of this section.

(b) An applicant, who has received a degree of "Doctor of Chiropractic" or its equivalent from a foreign school, college or university, shall submit a certified copy of the applicant's school, college or university record for evaluation by the Board.

(c) Where the Board has found to its satisfaction that an applicant has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(d) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification; has imposed higher or different standards for the applicant than for other applicants or licensees; or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(e) All individuals licensed to practice chiropractic in this State shall be required to be fingerprinted by the State Bureau of Identification, at the licensee's expense, for the purposes of performing subsequent criminal background checks. Licensees shall submit by January 1, 2016, at the applicant's expense, fingerprints and other necessary information in order to obtain a criminal background check.

(41 Del. Laws, c. 261, § 3; 24 Del. C. 1953, § 707; 50 Del. Laws, c. 325, § 2; 53 Del. Laws, c. 147, § 1; 64 Del. Laws, c. 413, § 3; 65 Del. Laws, c. 331, § 7; 69 Del. Laws, c. 398, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 514, §§ 15-17; 72 Del. Laws, c. 125, § 3; 74 Del. Laws, c. 262, §§ 17, 18; 75 Del. Laws, c. 436, §§ 5, 6; 77 Del. Laws, c. 199, §§ 5, 6; 78 Del. Laws, c. 44, §§ 9, 10; 79 Del. Laws, c. 277, § 2; 83 Del. Laws, c. 433, § 7; 83 Del. Laws, c. 526, § 2; 84 Del. Laws, c. 233, § 50.)

§ 708 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division of Professional Regulation in its services on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for an activity not specified in this chapter. The application fee shall not be combined with any other fee or charge, except as specifically set forth herein. At the beginning of each calendar year the Division of Professional Regulation, or any other state agency acting in its behalf, shall compute, for each separate service or activity, the appropriate fee for the coming year.

(41 Del. Laws, c. 261, § 4; 24 Del. C. 1953, § 709; 53 Del. Laws, c. 108, § 7; 64 Del. Laws, c. 413, § 3; 65 Del. Laws, c. 331, § 10; 65 Del. Laws, c. 355, § 1; 70 Del. Laws, c. 514, § 21; 72 Del. Laws, c. 125, § 6.)

§ 709 Issuance of license; renewal; inactive status; reinstatement.

(a) Each person, who has been admitted to practice in this State by reciprocity, or who has otherwise qualified for a license shall, prior to practicing in this State, file for and obtain an occupational license from the Division of Revenue in accordance with Chapter 23 of Title 30. The Board shall forthwith issue a license to each person who has qualified for same under this chapter and who has complied with all rules and regulations of the Board.

(b) Upon payment of the renewal fee and upon submission of proof of satisfactory completion of continuing education requirements, each license shall be renewed biennially in such manner as is determined by the Division of Professional Regulation. The Board shall, in its rules and regulations, determine the period of time within which a practitioner may still renew the license; provided, however, that such period shall not exceed 1 year. At the expiration of the period designated by the Board, the license shall be deemed to be lapsed and not renewable, unless the former licensee reapplies under the same conditions which govern reciprocity; provided, however, that the former licensee shall also pay a reinstatement fee in an amount which is determined by the Division of Professional Regulation.

(c) Any licensee may, upon the licensee's written request, be placed in an inactive status not to exceed 5 years. The renewal fee of such person shall be prorated in accordance with the amount of time such person was inactive. Such person may reenter practice upon notification to the Board of that person's intent to do so, provided said person has satisfied all continuing education requirements prescribed by the Board.

(d) A former licensee who has been penalized for the violation of a provision of this chapter, or whose license has been suspended or revoked, and who subsequently is permitted to apply for reinstatement shall apply for a new license, successfully complete the examination required by the Board, and shall pay all appropriate fees before the former licensee may be relicensed.

(41 Del. Laws, c. 261, § 4; 24 Del. C. 1953, § 710; 53 Del. Laws, c. 108, § 8; 64 Del. Laws, c. 413, § 3; 65 Del. Laws, c. 331, §§ 11, 12; 65 Del. Laws, c. 355, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 514, §§ 22-25; 72 Del. Laws, c. 125, §§ 4, 6.)

§ 710 Reciprocity.

(a) Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant, who shall present proof of current licensure in good standing in another state, the District of Columbia or territory of the United States, whose standards for licensure are substantially similar to those of this State.

(b) An applicant, who is currently licensed in another state, the District of Columbia or territory of the United States, whose standards for licensure are not substantially similar to those of this State, shall present proof of current licensure in good standing in another state, the District of Columbia or territory of the United States, and in addition the applicant shall meet 1 of the following criteria:

(1) Shall have graduated from an accredited or Board-approved school of chiropractic after July 1, 1997, and shall provide documentation of successful completion of Parts I, II, II, IV and the physiotherapy section of the National Board of Chiropractic Examiners' examination;

(2) Shall have graduated from an accredited or Board-approved school of chiropractic, prior to July 1, 1997, but after January 31, 1991, and shall provide documentation of successful completion of Parts I, II, III of the National Board of Chiropractic Examiners' examination; or

(3) Shall have graduated from an accredited or Board-approved school of chiropractic, prior to January 31, 1991, and shall provide documentation of successful completion of Parts, I, II, III of the National Board of Chiropractic Examiners' examination; or Parts I and II of the National Board of Chiropractic Examiners' examination and the Special Purpose Examination for Chiropractic (SPEC) approved by the National Board of Chiropractic Examiners.

(c) Notwithstanding the provisions of paragraph (b)(1), (2), or (3) of this section, if the applicant has successfully passed those examinations that were available at the time of the applicant's graduation from a board-approved school of chiropractic and application for original licensure, the Board shall accept proof of successful completion of those examinations in lieu of the provisions of paragraph (b)(1), (2), or (3) of this section. If no examinations were available at the time of the applicant's graduation from a board-approved school of chiropractic, the Board shall accept proof of active practice in another jurisdiction for the past 5 years in lieu of the provisions of paragraph (b)(1), (2), or (3) of this section.

(d) For all applicants licensure in good standing is defined in § 707(a)(3), (4), and (5) of this title. The applicant is responsible for providing proof of licensure in good standing in all states in which that applicant is or has been licensed.

(41 Del. Laws, c. 261, § 5; 24 Del. C. 1953, § 711; 53 Del. Laws, c. 108, § 9; 64 Del. Laws, c. 413, § 3; 65 Del. Laws, c. 331, § 13; 67 Del. Laws, c. 24, § 8; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 514, § 26; 72 Del. Laws, c. 125, §§ 5, 6; 75 Del. Laws, c. 436, § 7; 79 Del. Laws, c. 169, § 3.)

§ 711 Grounds for discipline; procedure; sanctions.

(a) Practitioners regulated under this chapter shall be subject to those disciplinary actions set forth in this section if, after a hearing, the Board finds the practitioner guilty of unprofessional conduct as defined in subsection (b) of this section.

(b) Unprofessional conduct is hereby defined as any of the following acts:

(1) Use of any false, fraudulent or forged statement or document or use of any fraudulent, deceitful, dishonest or unethical practice in connection with any licensing requirements of this chapter;

(2) Conviction of a crime that is substantially related to the practice of chiropractic;

(3) Any dishonorable or unethical conduct likely to deceive, defraud or harm the public;

(4) The wilful violation of the confidential relations and communications of a patient;

(5) The practitioner has employed or knowingly cooperated in fraud or materiel deception in order to be licensed, or be otherwise authorized to practice chiropractic;

(6) Has excessively used or abused drugs (including alcohol, narcotics or chemicals);

(7) Practice of chiropractic under a false or assumed name;

(8) Use, distribution or prescription for use of dangerous or narcotic drugs;

(9) Solicitation or acceptance of a fee from a patient or other person by fraudulent representation that a manifestly incurable condition can be permanently cured;

(10) Knowing or intentional performance of any act which, unless authorized by the Board of Chiropractic, assists an unlicensed and unauthorized person to practice chiropractic;

(11) The failure to provide adequate supervision to a person working under the practitioner's direction;

(12) Misconduct, incompetence or gross negligence in the practice of chiropractic;

(13) Wilful failure to divulge to the Board or any committee or representative thereof, upon its request, information relevant to authorization or competence to practice chiropractic;

(14) The violation of this chapter or the violation of an order or regulation of the Board;

(15) Charging a grossly exorbitant fee for professional services rendered;

(16) Suspension, revocation or refusal to grant a license to practice chiropractic or other disciplinary action taken by the appropriate licensing authority in another state or territory; provided, however, that the underlying grounds for such action in another state or territory have been presented to the Board by either certified record or live testimony and the Board has determined that the facts found by the appropriate authority in the other state constitute unprofessional conduct as that term is defined in paragraphs (b)(1) through (15) of this section;

(17) Engaging directly or indirectly in the division, transferring, signing, rebating or refunding of fees received for professional services or profiting by means of a credit or other valuable consideration such as wages, an unearned commission, discount or gratuity with any person who referred a patient, or with any relative or business associate of the referring person. Nothing in this paragraph shall be construed as prohibiting the members of any regularly or properly organized entity recognized under Delaware law and comprised solely of chiropractors from making any division of their total fees among themselves as they determine by contract necessary to defray their joint operating costs. This paragraph shall not apply to chiropractic positions held by chiropractors employed by or contracted with a Delaware licensed medical doctor or doctor of osteopathy that works in the State a minimum of 10 hours per week. It will also not apply to any Delaware-licensed hospitals. The estate of a licensed chiropractor shall have 1 year to divest of the chiropractic business.

(c) Where a practitioner fails to comply with the Board's request that the practitioner attend a hearing, the Board may petition the Superior Court to order such attendance, and the said Court or any judge assigned thereto shall have the jurisdiction to issue such order.

(d) Subject to subchapter IV of Chapter 101 of Title 29, no license shall be restricted, suspended or revoked by the Board; and no practitioner's right to practice shall be limited by the Board, until such practitioner has been given notice, and an opportunity to be heard in accordance with the Administrative Procedures Act [Chapter 101 of Title 29].

(e) The Board may impose any of the following sanctions, partially, singly or in combination, when it finds that 1 of the conditions or violations set forth in subsection (b) of this section applies to a practitioner regulated under this chapter:

(1) Restrict the license of a practitioner;

(2) Publicly censure a practitioner;

(3) Issue a public letter of reprimand;

(4) Place a practitioner on probationary status, and require the practitioner to:

a. Report regularly to the Board upon the matters which are the basis for the probation;

b. Limit all practice and professional activities to those areas prescribed by the Board; and/or

c. Continue or renew the practitioner's professional education until the required degree of skill has been attained in those areas which are the basis of the probation;

(5) Suspend any practitioner's license;

(6) Revoke a practitioner's license; or

(7) The Board shall permanently revoke the license to practice chiropractic of a person who is convicted of a felony sexual offense.

(f) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such action have been remedied.

(g) Where the Board has placed a practitioner on probationary status under certain restrictions or conditions, and the Board has determined that such restrictions or conditions are being or have been violated by the practitioner it may, after a hearing on the matter, suspend or revoke the practitioner's license.

(h) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(i) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(41 Del. Laws, c. 261, § 7A; 42 Del. Laws, c. 199, § 2; 24 Del. C. 1953, § 712; 50 Del. Laws, c. 325, § 3; 64 Del. Laws, c. 413, § 3; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 514, §§ 27-34; 72 Del. Laws, c. 125, § 6; 74 Del. Laws, c. 262, § 19; 79 Del. Laws, c. 98, § 1; 79 Del. Laws, c. 213, § 2; 79 Del. Laws, c. 277, § 2; 83 Del. Laws, c. 433, § 7.)

§ 712 License to practice.

(a) Except as provided under subsection (b) of this section, no person may practice chiropractic in this State who has not been licensed in accordance with this chapter.

(b) Under rules and regulations adopted by the Board under this section and § 717 of this title, an individual licensed to practice chiropractic in any other state, district, or foreign country may consult with a chiropractor of this State licensed under this chapter. Consultations under this subsection are limited to examination, recommendation, or testimony in litigation.

(41 Del. Laws, c. 261, § 6; 42 Del. Laws, c. 199, § 1; 24 Del. C. 1953, § 713; 64 Del. Laws, c. 413, § 3; 70 Del. Laws, c. 514, §§ 35, 36; 72 Del. Laws, c. 125, § 6; 83 Del. Laws, c. 526, § 3.)

§ 713 Practicing without license; penalties.

(a) No person shall represent himself or herself to the public as a doctor of chiropractic, or display any sign or advertise in any manner as being a doctor of chiropractic or chiropractic physician without first obtaining from the Board the license or licenses required under this chapter.

(b) Where the Board has determined that person is practicing chiropractic within this State without having lawfully obtained a license therefor, or that a person previously licensed is unlawful practicing although the person's license has been suspended or revoked, the Board shall formally warn such person in writing. If the offense continues, the Board shall make a formal complaint to the Attorney General. The complaint shall include all evidence known to, or in the possession of, the Board.

(c) Where a person not currently licensed as a chiropractic is tried and convicted of unlawfully practicing chiropractic in violation of this chapter such offender shall, upon the first offense, be fined $500 or imprisoned not less than 1 month nor more than 1 year, or both, and upon a second or any subsequent offense, shall be fined not less than $1,000 and imprisoned not less than 6 months nor more than 1 year, or both, and shall pay all cost; provided, however, that where it is alleged that such violation has resulted in injury to any person, the offender shall be charged and tried under the applicable provisions of Title 11.

(d) The Superior Court shall have jurisdiction of violations of this chapter.

(41 Del. Laws, c. 261, § 9A; 42 Del. Laws, c. 199, § 3; 24 Del. C. 1953, § 714; 64 Del. Laws, c. 413, § 3; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 514, §§ 37, 38; 72 Del. Laws, c. 125, § 6.)

§ 714 Chiropractic practitioners subject to regulation.

Chiropractic practitioners shall observe and be subject to all state and municipal regulations relating to the control of contagious and infectious diseases and any and all matters pertaining to public health, reporting to the proper health officer the same as other practitioners.

(41 Del. Laws, c. 261, § 9; 24 Del. C. 1953, § 715; 72 Del. Laws, c. 125, § 6.)

§ 715 Filing false documents; penalty.

Whoever files or attempts to file as that person's own the diploma, certificate or license of another or a forged, false affidavit of identification or qualification is guilty of a felony and shall be fined not less than $500 nor more than $2,000 and imprisoned not more than 5 years.

(41 Del. Laws, c. 261, § 7A; 42 Del. Laws, c. 199, § 2; 24 Del. C. 1953, § 712; 50 Del. Laws, c. 325, § 3; 64 Del. Laws, c. 413, § 3; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 514, § 39; 72 Del. Laws, c. 125, § 6.)

§ 716 Chiropractors eligible for compensation from insurance; reimbursement at Medicare rate or comparable [For application of this section, see 85 Del. Laws, c. 251, § 4].

(a) (1) For purposes of disability insurance, standard health and accident, sickness, and all other such insurance plans, whether or not they are considered insurance policies, and contracts issued by health service corporations and health maintenance organizations, if the chiropractor is authorized by law to perform a particular service, all of the following apply:

a. The chiropractor is entitled to compensation for that chiropractor's services under the plan or contract.

b. The plan or contract is prohibited from placing annual or lifetime numerical limits on chiropractic visits for the treatment of the spine and other neuromusculoskeletal structures, including extremities.

c. 1. The plan or contract may not deny coverage for chiropractic supportive care on the basis that the chiropractic supportive care constitutes maintenance therapy.

2. Paragraph (a)(1)c.1. of this section applies to all policies, contracts, or certificates issued, renewed, modified, altered, amended, or reissued after December 31, 2023.

(2) This subsection applies to a plan of health insurance or health benefits delivered or issued under any of the following:

a. Title 18.

b. [Repealed.]

c. Section 505(3) of Title 31.

(3) Paragraphs (a)(1)a. and (a)(1)c. of this section apply to a plan of health insurance or health benefits delivered or issued under Chapter 52 of Title 29.

(4) This subsection may not be waived by contract. A contractual arrangement in conflict with this subsection or that purports to waive any requirements of this subsection is void.

(b) Nothing in this section prevents the operation of reasonable and nondiscriminatory cost containment or managed care provisions, including deductibles, coinsurance, allowable charge limitations, coordination of benefits and utilization review. Any copayment or coinsurance amount must be equal to or less than 25% of the fee due or to be paid to the chiropractor under the policy, contract, or certificate for the treatment, therapy, or service provided.

(c) The Insurance Commissioner shall adopt regulations necessary for the administration, effectuation, investigation, and enforcement of this section, including the establishment of appropriate utilization review standards.

(d) (1) For purposes of this subsection:

a. 1. "Carrier" means any entity that provides health insurance in this State.

2. "Carrier" includes an insurance company, health service corporation, health maintenance organization, and any other entity providing a plan of health insurance or health benefits subject to state insurance regulation under Title 18.

3. "Carrier" also includes any third-party administrator, as defined under § 102 of Title 18, or other entity that adjusts, administers, or settles claims in connection with health benefit plans.

4. "Carrier" does not mean a plan of health insurance or health benefits designed for issuance to persons eligible for coverage under Titles XVIII, XIX, and XXI of the Social Security Act (42 U.S.C. §§ 1395 et seq., 1396 et seq. and 1397aa et seq.), known as Medicare, Medicaid, or any other similar coverage under state or federal governmental plans.

b. "Medicare" means the federal Medicare Program (U.S. Public Law 89-97, as amended) (42 U.S.C. § 1395 et seq.).

(2) A carrier shall reimburse services provided by a chiropractor at a reimbursement rate that is not less than the Medicare reimbursement rate for comparable services.

(3) If a comparable Medicare reimbursement rate is not available, a carrier shall reimburse for services provided by a chiropractor at the rates generally available under Medicare for services such as office visits or prolonged preventive services.

(4) The Medicare reimbursement rate provisions under paragraphs (d)(2) and (d)(3) of this section do not apply to accident-only, specified disease, hospital indemnity, Medicare supplement, long-term care, disability income, or other limited benefit health insurance policies.

(5) This subsection may not be waived by contract. A contractual arrangement in conflict with this subsection or that purports to waive any requirements of this subsection is void.

(6) This subsection applies to an individual or group health insurance policy, plan, or contract that is delivered, issued for delivery, or renewed by a carrier on or after January 1, 2022.

(24 Del. C. 1953, § 717; 54 Del. Laws, c. 147, § 2; 69 Del. Laws, c. 168, § 1; 69 Del. Laws, c. 393, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 514, § 40; 72 Del. Laws, c. 125, § 6; 77 Del. Laws, c. 462, § 3; 78 Del. Laws, c. 165, § 1; 81 Del. Laws, c. 430, § 2; 83 Del. Laws, c. 136, § 1; 83 Del. Laws, c. 526, § 4; 85 Del. Laws, c. 251, § 1; 85 Del. Laws, c. 324, § 79.)

§ 717 Opinions and testimony.

(a) Any chiropractor who is duly licensed as a chiropractic practitioner under this chapter shall be deemed competent to offer opinions in the courts, administrative agencies and other tribunals of this State as to matters of causation, within the scope of chiropractic practice, provided the testimony is offered to a reasonable degree of chiropractic certainty and there is otherwise an adequate foundation for the admission of this testimony.

(b) Any chiropractor duly licensed under this chapter shall also be deemed competent to offer opinions in the courts, administrative agencies and other tribunals of this State as to matters of permanent impairment or disability, provided the testimony is within the scope of chiropractic practice, is offered to a reasonable degree of chiropractic certainty and there is otherwise an adequate foundation of the admission of this testimony.

(c) No Doctor of Chiropractic shall be permitted to offer chiropractic opinions for the purpose of determining eligibility for health insurance policy benefits relating to chiropractic care in the State unless the Doctor of Chiropractic is duly licensed and actively practicing in the State. For purposes of this subsection, a Doctor of Chiropractic shall be considered "actively practicing" if that Doctor of Chiropractic maintains an office in the State for treatment of patients and is engaged in the practice of chiropractic in the State more than an average of 10 hours per week. For purposes of this section "insurance policy" shall include without limitation all health plans and policies for the payment for, provision of or reimbursement for chiropractic or medical services, supplies or both issued by health insurers, health service corporations or managed care organizations.

(74 Del. Laws, c. 5, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 380, § 1.)

§ 718 Severability.

Should any section or provision of this chapter be decided by the court to be unconstitutional or invalid, such decision shall not affect the validity of this chapter as a whole or any other part thereof.

(75 Del. Laws, c. 380, § 2.)

§ 719 Treatment or examination of minors.

(a) A parent, guardian or other caretaker, or an adult staff member, shall be present when a person licensed under this chapter provides outpatient treatment to a minor patient who is disrobed or partially disrobed or during an outpatient physical examination involving the breasts, genitalia or rectum, regardless of sex of the licensed person and patient, except when rendering care during an emergency. When

using an adult staff member to observe the treatment or examination, the adult staff member shall be of the same gender as the patient when practicable. The minor patient may decline the presence of a third person only with consent of a parent, guardian or other caretaker. The minor patient may request private consultation with the licensee without the presence of a third person after the physical examination.

(b) When a minor patient is to be disrobed, partially disrobed or will undergo a physical examination involving the breasts, genitalia or rectum, a person licensed under this chapter shall provide notice to the person providing consent to treatment of the rights under this section. The notice shall be provided in written form or be conspicuously posted in a manner in which minor patients and their parent, guardian or other caretaker are made aware of the notice. In circumstances in which the posting or the provision of the written notice would not convey the right to have a chaperone present, the person licensed shall use another means to ensure that the person understands the right under this section.

(c) For the purposes of this section, “minor” is defined as a person 15 years of age or younger, and “adult staff member” is defined as a person 18 years of age or older who is acting under the direction of the licensed person or the employer of the licensed person or who is otherwise licensed under this chapter.

(d) The person licensed under this chapter that provides outpatient treatment to a minor pursuant to this section shall, contemporaneously with such treatment, note in the child’s medical record the name of each person present when such treatment is being provided.

(79 Del. Laws, c. 169, § 2.)

Chapter 9
DEADLY WEAPONS DEALERS

§ 901 License requirement.

No person shall engage in the business of selling any pistol or revolver, or stiletto, steel or brass knuckles, or other deadly weapon made especially for the defense of one's person without first having obtained a license therefor, which license shall be known as "special license to sell deadly weapons" or a state license under Chapter 9B of this title. No person licensed or unlicensed shall possess, sell or offer for sale any switchblade knife.

This section shall not apply to toy pistols, pocket knives or knives used for sporting purposes and in the domestic household, or surgical instruments or tools of any kind.

(26 Del. Laws, c. 15, § 1; Code 1915, § 257; Code 1935, § 231; 24 Del. C. 1953, § 901; 49 Del. Laws, c. 77; 66 Del. Laws, c. 184, § 1; 85 Del. Laws, c. 467, § 2.)

§ 902 Application and fee for license; duration; renewal.

(a) Whoever desires to engage in the business of selling any of the articles referred to in the first paragraph of § 901 of this title shall apply to the Department of State to obtain a license to conduct such business and shall pay an application fee of $50 to the Department. The license shall entitle the holder thereof to conduct such business until June 1 next succeeding its date. An application for renewal of such license shall be accompanied by a payment of $50 to the Department.

(b) (1) A licensee who engages in the sale of firearm ammunition must include with their initial application and application for renewal a description of their theft and loss prevention policies and procedures as well as a report of all ammunition losses or theft within the prior year. An applicant or licensee's description of theft and loss prevention policies is not a public record for purposes of the Freedom of Information Act, Chapter 100 of Title 29.

(2) So long as information that complies with paragraph (b)(1) of this section is submitted with the application, the content of the policies and procedures may not be a basis for denial of an initial license or a renewal.

(26 Del. Laws, c. 15, § 2; 27 Del. Laws, c. 30; Code 1915, § 258; Code 1935, § 232; 42 Del. Laws, c. 71, § 1; 24 Del. C. 1953, § 902; 49 Del. Laws, c. 346, § 3; 61 Del. Laws, c. 406, § 1; 65 Del. Laws, c. 379; 66 Del. Laws, c. 249, § 1; 75 Del. Laws, c. 88, § 17(2); 84 Del. Laws, c. 370, § 1.)

§ 903 Sale to persons under 21 or intoxicated persons.

No person shall sell to a person under the age of 21 or any intoxicated person any of the articles referred to in the first paragraph of § 901 of this title.

(26 Del. Laws, c. 15, § 3; Code 1915, § 259; 30 Del. Laws, c. 28, § 1; Code 1935, § 233; 42 Del. Laws, c. 71, § 2; 24 Del. C. 1953, § 903; 66 Del. Laws, c. 184, § 2.)

§ 903A Sale to individuals on the Voluntary Firearms Do-Not-Sell Registry [For application of this section, see 84 Del. Laws, c. 372, § 3].

(a) For purposes of this section:

(1) "Dealer" means as defined in § 904A of this chapter.

(2) "Firearm" means as defined under § 8571 of Title 11.

(3) "Registry" means the Voluntary Firearms Do-Not-Sell Registry created under Chapter 98 of Title 11.

(4) "Transfer" means as defined in § 1448B of Title 11.

(b) A licensee may not knowingly sell or transfer a firearm to an individual who is enrolled on the Voluntary Firearms Do-Not-Sell Registry in accordance with Chapter 98 of Title 11.

(c) An unlicensed person may not knowingly sell or transfer any firearm to an individual who is enrolled on the Registry. On behalf of the unlicensed person, a dealer holding a license under this chapter or a person licensed under Chapter 9B of this title must check the Registry when facilitating a sale or transfer of a firearm under § 904A of this title.

(84 Del. Laws, c. 372, § 2; 85 Del. Laws, c. 467, § 3.)

§ 904 Records [Effective until fulfillment of the contingency in 85 Del. Laws, c. 371, § 3].

(a) Any person desiring to engage in the business described in this chapter or Chapter 9B of this title shall keep and maintain in the place of business at all times a record in accordance with this section, or Chapter 9B of this title, if required, and all applicable federal laws and regulations. In such record the businessperson shall enter the date of the sale, the name and address of the person purchasing any deadly weapon, the number and kind of deadly weapon so purchased, the age of the purchaser, the mode of identification bearing a picture (except as provided in § 1448B(f) of Title 11) which includes a driver's license, and any other information as required by federal law and regulation. The record shall at all times be open for inspection by any judge, justice of the peace, law-enforcement officer, constable or other peace officer of this State.

(b) Any person engaging in the business described in this chapter shall keep and maintain a list of current employees including their names, former names used, dates of birth, physical descriptions and social security numbers. The required employee list and all attachments

thereto shall be considered confidential but shall, nevertheless, be open for inspection by any law-enforcement officer of this State or of any political subdivision of this State, within their respective jurisdiction, at any time, at the licensee's primary place of business and during the licensee's regular business hours. No person licensed under this chapter shall knowingly allow any employee who is a person prohibited from possessing a deadly weapon pursuant to § 1448 of Title 11 to facilitate a sale of a deadly weapon. All employers licensed to do business pursuant to this chapter shall, prior to employment and at least once during each calendar year thereafter, perform a telephonic criminal history record check of each employee utilizing the procedures set forth in § 1448A of Title 11 and shall make and maintain a record thereof using the State Bureau of Identification Criminal History Record Information and Mental Health Information Consent Form (Form 544). A copy of each such form shall be attached to the above required employee list for inspection upon the valid request of a law-enforcement officer of this State or of any political subdivision of this State, within their respective jurisdiction.

(c) Except as provided in § 951B of this title, any inspection by a judge, justice of the peace, law-enforcement officer, constable, or other peace officer of this State shall be reasonable under the circumstances existing at the time and shall only be made pursuant to and in furtherance of an open criminal investigation or during the course of a criminal prosecution.

(26 Del. Laws, c. 15, § 4; Code 1915, § 259; 30 Del. Laws, c. 28, § 1; Code 1935, § 233; 42 Del. Laws, c. 71, § 2; 24 Del. C. 1953, § 904; 61 Del. Laws, c. 406, §§ 2-4; 63 Del. Laws, c. 55, § 1; 66 Del. Laws, c. 184, § 3; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 149, § 1; 79 Del. Laws, c. 20, §§ 8, 8A; 85 Del. Laws, c. 467, § 4.)

§ 904 Records [Effective upon fulfillment of the contingency in 85 Del. Laws, c. 371, § 3].

(a) (1) A person desiring to engage in the business described in this chapter of Chapter 98 of this title must keep and securely maintain in the place of business at all times a record in accordance with this section or Chapter 9B of this title, if required and all applicable federal laws and regulations. The record include all of the following:

a. The date of the sale.

b. The name and address of the person purchasing any deadly weapon.

c. The quantity and kind of deadly weapon so purchased.

d. The age of the purchaser.

e. The mode of identification bearing a picture (except as provided in § 1448B(f) of Title 11) includes a driver's license.

f. A signed form acknowledging receipt of the Firearm Responsibilities Notice where required for a transaction under § 1448A of Title 11 or § 904A of this title.

g. Any other information required by federal law and regulation.

(2) The record must at all times be open for inspection by any judge, justice of the peace, law-enforcement officer, constable or other peace officer of this State in accordance with subsection (d) of this section.

(b) Any person engaging in the business described in this chapter shall keep and maintain a list of current employees including their names, former names used, dates of birth, physical descriptions and social security numbers. The required employee list and all attachments thereto shall be considered confidential but shall, nevertheless, be open for inspection by any law-enforcement officer of this State or of any political subdivision of this State, within their respective jurisdiction, at any time, at the licensee's primary place of business and during the licensee's regular business hours. No person licensed under this chapter shall knowingly allow any employee who is a person prohibited from possessing a deadly weapon pursuant to § 1448 of Title 11 to facilitate a sale of a deadly weapon. All employers licensed to do business pursuant to this chapter shall, prior to employment and at least once during each calendar year thereafter, perform a telephonic criminal history record check of each employee utilizing the procedures set forth in § 1448A of Title 11 and shall make and maintain a record thereof using the State Bureau of Identification Criminal History Record Information and Mental Health Information Consent Form (Form 544). A copy of each such form shall be attached to the above required employee list for inspection upon the valid request of a law-enforcement officer of this State or of any political subdivision of this State, within their respective jurisdiction.

(c) A licensee required to retain a signed form acknowledging receipt of the Firearm Responsibilities Notice must retain that record for no less than 3 years, after which the licensee may destroy that record. A licensee may not disclose, copy, or publish signed forms acknowledging receipt of a Firearm Responsibilities Notice except as required by law.

(d) Except as provided in § 952B of this title, an inspection by a judge, justice of the peace, law-enforcement officer, constable, or other peace officer of this State must be reasonable under the circumstances existing at the time and may only be made pursuant to and in furtherance of an open criminal investigation or during the course of a criminal prosecution, pursuant to a valid warrant or subpoena issued by a court of competent jurisdiction, or a valid investigative subpoena issued by the Attorney General.

(e) A person desiring to engage in the business described in this chapter must maintain the confidentiality and secure custody of all records required by this section.

(26 Del. Laws, c. 15, § 4; Code 1915, § 259; 30 Del. Laws, c. 28, § 1; Code 1935, § 233; 42 Del. Laws, c. 71, § 2; 24 Del. C. 1953, § 904; 61 Del. Laws, c. 406, §§ 2-4; 63 Del. Laws, c. 55, § 1; 66 Del. Laws, c. 184, § 3; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 149, § 1; 79 Del. Laws, c. 20, §§ 8, 8A; 85 Del. Laws, c. 371, § 2; 85 Del. Laws, c. 467, § 4.)

§ 904A Background checks for sales between unlicensed persons [Effective until fulfillment of the contingency in 85 Del. Laws, c. 371, § 3].

(a) For purposes of this section:

(1) "Dealer" means any person licensed as a deadly weapons dealer under this chapter and 18 U.S.C. § 921 et seq. or a person

licensed under Chapter 9B of this title.

(2) "Firearm" means as defined under § 8571 of Title 11.

(3) "Prospective buyer" includes a prospective transferee.

(4) "Prospective seller" includes a prospective transferor.

(5) "Transfer" means as defined under § 1448B of Title 11.

(6) "Unlicensed person" means as defined under § 1448B of Title 11.

(b) As a condition of its license, any dealer holding a license under this chapter shall facilitate the transfer of a firearm from any unlicensed person on the request of the unlicensed person, through the following procedure:

(1) The prospective buyer and prospective seller shall jointly appear at the place of business of the dealer, during the dealer's regular hours of business, and shall inform the dealer of their desire to avail themselves of this subsection.

(2) The dealer shall subject the prospective buyer to a background check under § 1448A of Title 11.

(3) If the background check under paragraph (b)(2) of this section reveals that the prospective buyer is prohibited from possessing, purchasing, or owning a firearm under § 1448 of Title 11, the dealer shall inform the prospective buyer and prospective seller of that fact and the transfer may not take place.

(4) The dealer shall maintain a record of all background checks conducted under this section in accordance with § 904 of this title.

(5) Any dealer who is asked to facilitate the transfer of a firearm under this section, may charge a reasonable fee for the service, which may not exceed $30 per background check performed under this section. Notwithstanding the foregoing, a fee may not be charged for the return of a firearm to its owner if the proposed transaction may not be immediately and legally completed as the result, or lack thereof, of a background check under this subsection.

(6) Failure or refusal on the part of the dealer to facilitate the transfer of a firearm under this subsection is adequate cause to suspend the license of the dealer for a period not to exceed 30 days per occurrence.

(7) Subject to subchapter IV of Chapter 101 of Title 29, a dealer's license may not be restricted, suspended, or revoked until the dealer has been given notice and an opportunity to be heard in accordance with the Administrative Procedures Act (Chapter 101 of Title 29).

(c) Nothing in this section, or any other section of this Code, authorizes or permits this State or any agency, department, or instrumentality thereof to establish any system for the registration of firearm owners, except with respect to persons prohibited from receiving a firearm under Chapter 5 of Title 11. Any such system of registration is expressly prohibited. Firearm owner information in the custody of a firearm dealer does not constitute a registry under this subsection.

(69 Del. Laws, c. 324, § 1; 79 Del. Laws, c. 20, § 9; 83 Del. Laws, c. 330, § 6; 85 Del. Laws, c. 467, § 5.)

§ 904A Background checks for sales between unlicensed persons [Effective upon fulfillment of the contingency in 85 Del. Laws, c. 371, § 3].

(a) For purposes of this section:

(1) "Dealer" means any person licensed as a deadly weapons dealer under this chapter and 18 U.S.C. § 921 et seq. or a person licensed under Chapter 9B of this title.

(2) "Firearm" means as defined under § 8571 of Title 11.

(3) "Prospective buyer" includes a prospective transferee.

(4) "Prospective seller" includes a prospective transferor.

(5) "Transfer" means as defined under § 1448B of Title 11.

(6) "Unlicensed person" means as defined under § 1448B of Title 11.

(b) As a condition of its license, any dealer holding a license under this chapter shall facilitate the transfer of a firearm from any unlicensed person on the request of the unlicensed person, through the following procedure:

(1) The prospective buyer and prospective seller shall jointly appear at the place of business of the dealer, during the dealer's regular hours of business, and shall inform the dealer of their desire to avail themselves of this subsection.

(2) The dealer shall do all of the following:

a. Subject the prospective buyer to a background check under § 1448A of Title 11.

b. Require the prospective buyer to sign a form acknowledging receipt of the Firearm Responsibilities Notice as published by the Department of Safety and Homeland Security under § 907 of this title.

c. Provide the prospective buyer a copy of the Firearm Responsibilities Notice.

(3) If the background check under paragraph (b)(2) of this section reveals that the prospective buyer is prohibited from possessing, purchasing, or owning a firearm under § 1448 of Title 11, the dealer shall inform the prospective buyer and prospective seller of that fact and the transfer may not take place.

(4) The dealer shall securely maintain a record of all background checks conducted and all signed forms acknowledging receipt of the Firearm Responsibilities Notice under this section in accordance with § 904 of this title.

(5) Any dealer who is asked to facilitate the transfer of a firearm under this section, may charge a reasonable fee for the service, which may not exceed $30 per background check performed under this section. Notwithstanding the foregoing, a fee may not be charged for the return of a firearm to its owner if the proposed transaction may not be immediately and legally completed as the result,

or lack thereof, of a background check under this subsection.

(6) Failure or refusal on the part of the dealer to facilitate the transfer of a firearm under this subsection is adequate cause to suspend the license of the dealer for a period not to exceed 30 days per occurrence.

(7) Subject to subchapter IV of Chapter 101 of Title 29, a dealer's license may not be restricted, suspended, or revoked until the dealer has been given notice and an opportunity to be heard in accordance with the Administrative Procedures Act (Chapter 101 of Title 29).

(c) Nothing in this section, or any other section of this Code, authorizes or permits this State or any agency, department, or instrumentality thereof to establish any system for the registration of firearm owners, except with respect to persons prohibited from receiving a firearm under Chapter 5 of Title 11. Any such system of registration is expressly prohibited. Firearm owner information in the custody of a firearm dealer does not constitute a registry under this subsection.

(69 Del. Laws, c. 324, § 1; 79 Del. Laws, c. 20, § 9; 83 Del. Laws, c. 330, § 6; 85 Del. Laws, c. 371, § 2; 85 Del. Laws, c. 467, § 5.)

§ 905 Ammunition display.

(a) A licensee may not sell, offer for sale, or display for sale or transfer ammunition in a manner that allows that ammunition to be accessible to a purchaser or transferee without the assistance of the vendor or an employee of the vendor. Ammunition displayed in an enclosed display case or behind a counter or other customer access prevention device is not considered accessible for purposes of this section and fulfils the theft and loss prevention requirement under § 902(b) of this title.

(b) A violation of this section is a civil offense. For a first offense the penalty is $500. For a second offense the penalty is $1,000. For a third or subsequent offense the penalty is $5,000.

(c) The Court of Common Pleas has jurisdiction over violations of this section.

(d) As used in this chapter, "ammunition" means as defined in § 1448 of Title 11.

(84 Del. Laws, c. 370, § 1.)

§ 906 Penalties.

A person who violates § § 901, 903, 904, or 904A of this title shall be fined not more than $500 or imprisoned not more than 6 months, or both.

(26 Del. Laws, c. 15, § 5; Code 1915, § 260; Code 1935, § 234; 24 Del. C. 1953, § 905; 66 Del. Laws, c. 184, § 4; 84 Del. Laws, c. 370, § 1.)

§ 907 Firearm Responsibilities Notice [Effective upon fulfillment of the contingency in 85 Del. Laws, c. 371, § 3].

(a) The Department of Safety and Homeland Security shall publish a Firearm Responsibilities Notice. The Firearm Responsibilities Notice must be written in plain, concise language and include information about all of the following:

(1) The responsibilities of a firearm owner if a firearm is lost or stolen, and the penalties for a violation of § 1461 of Title 11.

(2) The penalties for engaging in a firearms transaction on behalf of another (a straw purchase) and examples of what constitutes a straw purchase.

(3) The steps necessary to lawfully transfer a firearm to another person.

(4) The crime of wilfully and intentionally making or providing a false oral or written statement or furnishing or exhibiting any false identification intended or likely to deceive a deadly weapons dealer in connection with the purchase, transfer, or attempted purchase or transfer of a firearm.

(5) The importance of firearm safety.

(6) Prevention of suicide by firearm including the contact information for the national suicide prevention hotline.

(7) The importance of safe storage of firearms as well as a summary of Delaware's child access prevention laws and laws relating to the safe storage of firearms, including §§ 603 and 1456 of Title 11.

(8) Restrictions on firearms in sensitive locations.

(b) The Department of Safety and Homeland Security shall publish and make available along with the Firearm Responsibilities Notice required under this section, a form for signature that acknowledges receipt of a Firearm Responsibilities Notice by a person buying or otherwise acquiring a firearm. The form required under this subsection must have on it in clearly legible font the following statement: "I understand that by signing this form I may be exposing myself to criminal liability."

(c) The Department of Safety and Homeland Security must do all of the following:

(1) Annually consult with the Department of Justice and other stakeholders on revisions or updates to the Firearm Responsibilities Notice. Proposed revisions to the Firearm Responsibilities Notice shall be published in the Register of Regulations along with a means for submission of public comment on the revisions.

(2) Post the Firearm Responsibilities Notice to the Department's website.

(3) Provide the Firearm Responsibilities Notice free of charge to licensed importers, licensed manufacturers, and licensed dealers in the State that sell, transfer, or deliver firearms.

(85 Del. Laws, c. 371, § 2.)

Chapter 9B
Firearm Dealers [For application of this chapter, see 85 Del. Laws, c. 467, §§ 13, 14]
Subchapter I
General Provisions [For application of this subchapter, see 85 Del. Laws, c. 467, §§ 13, 14]

§ 901B Definitions [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

For the purposes of this chapter:

(1) "Ammunition" means as defined in 18 U.S.C. § 921.

(2) "Background check" means a fingerprint-based State and national criminal history record check completed by the SBI and the Federal Bureau of Investigation.

(3) "Bureau" means the federal Bureau of Alcohol, Tobacco, Firearms and Explosives.

(4) "Business location" means the building in which a licensee sells or transfers firearms.

(5) "Conviction" means as defined in § 222 of Title 11.

(6) "Deadly weapon" means as defined in § 222 of Title 11.

(7) "DOJ" means the Delaware Department of Justice.

(8) "DSP" means the Delaware State Police.

(9) "Federal firearms license" or "FFL" means a license under 18 U.S.C. § 923.

(10) "Felony" means a felony offense under the laws of this State or any other jurisdiction.

(11) "Firearm" means as defined in § 222 of Title 11. "Firearm" does not include any of the following:

a. An "antique firearm," as defined under 18 U.S.C. § 921.

b. A maritime flare gun. A "maritime flare gun" means a handheld nautical pyrotechnic emergency signaling device of the type required by the U.S. Coast Guard.

c. Construction tools such as powder-actuated concrete or steel hammer tools or powder-actuated fastening tools.

(12) "Firearm dealer" means a person that engages in the wholesale or retail sale or transfer of firearms, including a pawnbroker, and that has, or is required to have, a federal firearms license. A "firearm dealer" does not include a person that has sold or transferred 10 or fewer firearms within any calendar year that the person has engaged in selling or transferring firearms.

(13) "Fund" means the Firearm Licensing Fund under § 959B of this title.

(14) "Licensee" means the holder of a state license.

(15) "License fee" means the initial license fee for a state license or a renewal license fee for a state license.

(16) "Pawnbroker" means as defined under 18 U.S.C. § 921.

(17) "Person" means a partnership, corporation, company, association, firm, society, joint-stock company, or other legal entity, including each responsible person associated with that entity, or an individual.

(18) "Responsible person" means an individual who possesses, directly or indirectly, the power to direct or cause the direction of the management and policies of a partnership, corporation, company, association, firm, society, joint-stock company, or other legal entity, as that management and policy pertains to firearms.

(19) "SBI" means the State Bureau of Identification.

(20) "Sell" or "sale" means the actual approval of the delivery of a firearm in consideration of payment or a promise of payment, or the intention to deliver a firearm in consideration of payment or a promise of payment.

(21) "State license" means a license issued by the DSP that allows a firearm dealer to sell or transfer a firearm.

(22) "Trace request" means a request by the Bureau for information contained in the records required to be kept under Chapter 44 of Title 18 of the United States Code and the implementing federal regulations for determining the disposition of a firearm in the course of a bona fide criminal investigation.

(23) "Transfer" means as defined under § 1448B of Title 11.

(24) "Unlicensed person" means a person that is not a licensee or a federal firearms license holder.

(85 Del. Laws, c. 467, § 1.)

§ 902B Applicability [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) A person that does not meet the definition of "firearm dealer" is required to obtain a special license to sell deadly weapons if that person would be required to obtain that license under Chapter 9 of this title.

(b) A person that previously did not meet the definition of "firearm dealer" because that person sold or transferred 10 or fewer firearms but subsequently sells or transfers more than 10 firearms within a calendar year, must comply with § 903B and § 904B of this title within 1 calendar year of exceeding the threshold.

(c) Except as otherwise indicated, a firearm dealer shall comply with the provisions of Chapter 9 of this title with which a person licensed under Chapter 9 of this title must comply.

(85 Del. Laws, c. 467, § 1.)

Subchapter II

Licensure [For application of this subchapter, see 85 Del. Laws, c. 467, §§ 13, 14]

§ 903B License; general [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) Notwithstanding § 901 of this title, instead of a special license to sell deadly weapons, a firearm dealer must obtain a state license and comply with the provisions in this chapter to sell or transfer a firearm in this State.

(b) The DSP shall develop a standardized application for a firearm dealer to use to apply for or renew a state license.

(c) The DSP shall develop a standard state license certificate that shows a firearm dealer has obtained or renewed a state license.

(85 Del. Laws, c. 467, § 1.)

§ 904B Requirements for a state license [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) To obtain a state license, a firearm dealer must submit to the DSP all of the following:

(1) A completed application.

(2) The initial license fee under § 906B of this title.

(3) The identity of all responsible persons.

(4) If an individual, a copy of the applicant's valid government-issued photo identification.

(5) A copy of all responsible persons' valid government-issued photo identification.

(6) The business location or expected business location, and, when possible, proof of a lease or deed for the business location.

(7) All required documents for opening a business in the county or municipality in which the business is located or expected to be located.

(8) Proof of the liability insurance required under § 919B of this title.

(9) Proof of a valid federal firearms license.

(10) Any other information required by the DSP.

(b) If an individual, an applicant for a state license shall, at the applicant's expense, complete a background check.

(c) Each responsible person for the firearm dealer shall, at the responsible person's expense, complete a background check.

(d) For purposes of this section, the SBI must be the intermediary and the screening point for the receipt of each background check.

(e) A person holding a valid Delaware concealed carry permit pursuant to § 1441 of Title 11 is exempt from the background checks under subsections (b) and (c) of this section.

(f) The DSP shall grant a state license completed in accordance with subsections (a) through (c) of this section unless the applicant or any responsible person is ineligible under § 905B of this title.

(g) (1) Except as provided in paragraph (f)(2) or (f)(3) of this section, the DSP shall determine whether to grant an applicant's initial application for a state license within 60 calendar days after the filing of the application.

(2) Unless the applicant, if an individual, or a responsible person submits proof of a valid Delaware driver's license or a valid Delaware state identification card, the DSP has up to 90 calendar days to determine whether to grant an applicant's initial application for a state license.

(3) If the results of a background check are delayed or in the event of an electronic failure or similar emergency beyond the control of the DSP, the DSP shall immediately notify the applicant of the reason for, and estimated length of, the delay. After a notification under this paragraph (g)(3), the DSP shall make its determination on resolution of the delay, failure, or emergency.

(h) The results of a background check obtained under this section are valid for 2 calendar years for purposes of applying for an initial license or a license renewal under this chapter.

(85 Del. Laws, c. 467, § 1.)

§ 905B Ineligibility for a state license [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) A person is ineligible to obtain a state license if any of the following apply:

(1) The federal government, a state, or a subdivision of a state, has revoked, suspended, or denied the person's permit or license to sell, lease, transfer, purchase, or possess a firearm or ammunition unless either of the following:

a. The revocation, suspension, or denial was due to an incomplete or incorrect application.

b. It has been 5 or more years since the revocation, suspension, or denial.

(2) The person is a person prohibited from purchasing, owning, possessing, or controlling a deadly weapon or ammunition for a firearm under § 1448 of Title 11.

(3) The person does not hold a valid FFL.

(4) The person knowingly makes a false statement on that person's application for that person's state license or application to renew that person's state license.

(b) An individual is ineligible to serve as a responsible person if any of the following apply:

(1) The federal government, a state, or a subdivision of a state, has revoked, suspended, or denied the individual's permit or license to

sell, lease, transfer, purchase, or possess a firearm or ammunition unless either of the following:

a. The revocation, suspension, or denial was due to an incomplete or incorrect application.

b. It has been 5 or more years since the revocation, suspension, or denial.

(2) The individual is a person prohibited from purchasing, owning, possessing, or controlling a deadly weapon or ammunition for a firearm under § 1448 of Title 11.

(3) The individual is not a responsible person on the FFL.

(4) The individual knowingly makes a false statement on the application for a state license or application to renew a state license.

(c) Notwithstanding paragraph (a)(2) or (b)(2) of this section, a person subject to a temporary prohibition order under § 1448(a)(11) of Title 11 may, while the order is in effect, obtain or retain a state license if the person does all of the following:

(1) Designates a responsible person who is not ineligible to serve under subsection (b) of this section to operate the business operating under the state license while the order is in effect.

(2) On designation of a responsible person, ceases serving as a responsible person while the order is in effect.

(3) Complies with all requirements in the order.

(4) Maintains records of compliance with 27 C.F.R. § 555.57.

(85 Del. Laws, c. 467, § 1.)

§ 906B Licensure fees [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) In lieu of the fee in § 902(a) of this title, the license fees are as follows:

(1) The license fee for an initial state license is $300.

(2) The license fee for a license renewal is $250.

(b) The initial license fee may be prorated for applications received after January 1.

(85 Del. Laws, c. 467, § 1.)

§ 907B State license renewal [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) The DSP shall develop a standardized state license renewal application that requires an applicant to do all of the following:

(1) Certify, in writing and under the penalty of perjury, that that the licensee and any responsible person is not ineligible under § 905B of this title and is in compliance with all other requirements under this chapter and Chapter 9 of this title.

(2) Pay the license renewal fee under § 906B of this title.

(3) Provide all of the following data for the preceding calendar year:

a. Total number of trace requests received.

b. For each trace, the make, model, and caliber or gauge of the firearm, and the date of the sale or transfer.

c. Whether the licensee was inspected by the Bureau, and if so, copies of any report or letters received from the Bureau about the results of that inspection.

(b) Notwithstanding § 902(a) of this title, a licensee shall, by December 31 of each year, submit to the DSP the completed application under subsection (a) of this section to renew that licensee's state license.

(c) (1) Except as provided in § 908B of this title and if no longer valid under § 904B(h) of this title, each responsible person and the licensee, if the licensee is an individual, shall, at the responsible person's and licensee's expense, complete a background check.

(2) An individual is not required to obtain a background check under paragraph (c)(1) of this section if that individual has obtained a background check within the previous 2 calendar years under this section or § 904B(h) of this title.

(d) For purposes of this section, the SBI must be the intermediary and the screening point for the receipt of the background checks.

(e) The DSP shall notify the licensee by September 30 to remind licensees about the renewal application deadline.

(f) (1) A licensee that submits a timely and sufficient renewal application may continue to sell or transfer a firearm while the DSP considers that licensee's renewal application.

(2) The DSP may do 1 or more of the following to a licensee that does not submit a timely and sufficient renewal application:

a. Require the licensee to pay a late application fee.

b. Prohibit the licensee from selling or transferring a firearm until a renewal decision is made.

(3) For purposes of this subsection, a renewal application is "sufficient" if it includes on its face the information necessary to show that the licensee is eligible for renewal.

(g) The DSP shall decide whether to grant the renewal application no later than 60 calendar days after the date that the application is received.

(h) The DSP shall provide an updated state license certificate under § 903B(c) of this title for a licensee whose renewal application is approved.

(85 Del. Laws, c. 467, § 1.)

§ 908B Background check through the Rap Back System [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

If the "Rap Back System" as defined by § 8502 of Title 11 is available to SBI, then all of the following must occur:

(1) The DSP shall use all subsequent criminal history record information available through the Rap Back System for a licensee or

responsible person that had a background check performed under § 904B(b) or (c) of this title and was subject to a background check under § 907B(c) of this title.

(2) The biennial background checks under § 907B(c) of this title will be replaced by use of the Rap Back System.

(3) The DSP shall inform licensees that the biennial background checks are no longer required and that the Rap Back System will be used instead of the background checks under § 907B(c) of this title.

(85 Del. Laws, c. 467, § 1.)

Subchapter III

Business requirements [For application of this subchapter, see 85 Del. Laws, c. 467, §§ 13, 14]

§ 915B Location [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) Except as provided in subsection (d) of this section, a licensee may only sell or transfer a firearm in this State at the licensee's business location.

(b) A licensee shall do 1 of the following:

(1) Post at the business location the licensee's business hours or that business hours are by appointment.

(2) Report business hours or that business hours are by appointment to the DSP.

(c) Except as provided in subsection (d) of this section, a licensee may only sell or transfer a firearm in this State during the licensee's posted or reported business hours, or during an appointment.

(d) (1) A licensee may participate in and temporarily conduct business in this State at a location differing from the licensee's business location and at times differing from posted or reported business hours during a commercially sponsored gun show, sportsmen's show, outdoor show, or similar event, which are either sponsored by the licensee or for which the licensee pays a fee to the operator for the privilege of participating and conducting business at that event. A licensee may also participate in and conduct business during a gun show or similar event conducted by Delaware volunteer fire companies, veterans' organizations, and other nonprofit fraternal organizations.

(2) Paragraph (d)(1) of this section does not authorize a licensee to conduct business in or from a motor vehicle.

(e) Advertising that firearms are for sale does not constitute selling or transferring a firearm.

(85 Del. Laws, c. 467, § 1.)

§ 916B Security [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) A licensee's business location and any place that, for business purposes, the licensee stores or displays firearms or ammunition must be equipped with a functioning security alarm system that complies with regulations promulgated under subsection (c) of this section.

(b) (1) Subject to paragraphs (b)(2) and (b)(3) of this section, a licensee's business location must be equipped with a functioning digital surveillance system that complies with regulations adopted under subsection (c) of this section and operates in a manner that deters theft and enables the system to record all of the following:

a. The individuals involved in a firearms transaction.

b. All areas where firearms are handled, displayed, sold, or transferred.

c. Any entrance, access, or exit to all firearm storage areas.

d. Any entrance, access, or exit to the business location.

(2) In lieu of the recording requirements in paragraphs (b)(1)a. through (b)(1)d. of this section, a licensee whose business location is also a residential dwelling or a licensee that sells or transfers on average 50 or fewer firearms per calendar year is required to ensure that the digital surveillance system records the business interaction occurring within the business location any time the licensee:

a. Sells or transfers a firearm.

b. Attempts to sell or transfer a firearm.

c. Is otherwise interacting with a customer.

(c) The DSP shall adopt security regulations to establish requirements for the secure storage and display of firearms and ammunition to prevent unauthorized access. The DSP's regulations must include all of the following:

(1) Provisions about the location and manner that firearms and ammunition must be stored and displayed in both a temporary and a permanent business location.

(2) Specifications for any required secure rooms, safes, locking devices, and physical barriers.

(3) Building security requirements for any building in which firearms or ammunition are stored, displayed, sold, or transferred.

(4) Security alarm system specifications.

(5) Digital surveillance system specifications, and related specifications including all of the following:

a. Lighting requirements in surveilled areas.

b. Type of video and audio recordings required.

c. Any areas in addition to those identified in paragraph (b)(1) of this section that must be surveilled.

d. Notification of surveillance to the public.

(6) Security requirements specific to licensees with business locations that are also a residential dwelling.

(7) The length of time licensees must maintain the recordings required under this section.

(d) (1) The DSP shall consider industry standards and best practices when adopting regulations under subsection (c) of this section.

(2) The DSP shall engage stakeholders, national and Delaware associations, and advocacy groups with knowledge and expertise to develop and implement best practice guidance related to preventing straw purchases and retail theft.

(e) A licensee shall comply with all applicable security regulations adopted under subsection (c) of this section.

(f) It is not a violation of this section if the systems, features, devices, or media required by this section become temporarily inoperable through no fault of the licensee.

(85 Del. Laws, c. 467, § 1.)

§ 917B Access to a surveillance system and disclosure of security footage [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

A licensee may not allow access to the surveillance system or disclose surveillance recordings obtained under § 916B of this title to any person except as follows:

(1) A licensee shall allow access to the surveillance system and disclose surveillance recordings as follows:

a. As required by a search warrant.

b. In response to a court order.

c. As part of a DSP inspection of the licensee's business location.

(2) A licensee may allow access to the surveillance system and disclose surveillance recordings as follows:

a. In response to an insurance claim.

b. As needed to assist with an active law-enforcement investigation.

c. As needed for business or repair purposes.

d. As needed to defend against or to pursue a lawsuit or other legal action.

(85 Del. Laws, c. 467, § 1.)

§ 918B License display requirements [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

A licensee shall display the licensee's state license certificate or a copy of the certificate as follows:

(1) At the licensee's business location in the area where firearms are sold or transferred.

(2) In the area where firearms are sold or transferred when engaging in business at a temporary location under § 915B(d)(1) of this title.

(3) In a manner that allows the certificate or copy to be easily read.

(85 Del. Laws, c. 467, § 1.)

§ 919B Liability insurance required [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

A licensee shall carry a minimum of $1,000,000 of general liability insurance coverage, listing the licensed business as the certificate holder.

(85 Del. Laws, c. 467, § 1.)

§ 920B Other licensee duties [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) A licensee shall promptly notify the Bureau and DSP about any loss, theft, or unlawful transfer of any firearm or ammunition, including if caused by the licensee's employee, contractor, or volunteer, as soon as practicable and no later than 24 hours after the licensee knows or should have known about the loss, theft, or unlawful transfer.

(b) (1) A licensee shall promptly respond to a request from a law-enforcement agency, including requests for documents and records, no later than 24 hours after receiving the request.

(2) For purposes of this section, "law-enforcement agency" includes the DOJ.

(c) A licensee shall report to the DSP changes in any of the following within 14 calendar days of the change:

(1) Business location.

(2) Responsible persons.

(d) (1) A licensee shall establish and maintain a book or electronic database of firearms acquisitions and dispositions that includes all of the following records about each firearm:

a. The make, model, caliber or gauge, and serial number of the firearm.

b. Date of acquisition and date of sale or transfer.

c. Identity of the purchaser or transferee.

d. Purchase permit information.

e. Any additional information under § 904(a) of this title.

(2) The licensee shall account for all firearms acquired but not yet disposed of through an inventory check prepared once per month.

(3) A licensee shall have the book or database accessible in the licensee's business location.

(4) A licensee shall submit the records under paragraphs (d)(1)a. and (d)(1)b. of this section to the DSP, biannually, by every April 30 and October 30. The licensee shall submit only the records that they did not previously submit to the DSP under this paragraph (d)(4).

(5) The DSP shall adopt regulations regarding how long the records under this subsection must be retained and any required record formatting.

(e) A licensee shall maintain records of criminal firearm traces initiated by the Bureau, including all federal ATF Form 4473 transaction records, for at least 6 years.

(f) (1) A licensee shall collect and maintain the information under § 904(b) of this title, and the record must list and include the required information about all employees, contractors, and volunteers who perform the functions under § 931B(b)(1) of this title.

(2) The licensee does not need to collect and maintain records relating to the telephonic background check.

(g) A licensee shall back up all required records and maintain those records in either of the following ways:

(1) If using a paper-based system, back up monthly, and store in a secure container designed to prevent loss by fire, theft, or flood.

(2) If using an electronic record system, back up at the close of each business day, to an external server or over the internet.

(85 Del. Laws, c. 467, § 1.)

Subchapter IV

Training [For application of this subchapter, see 85 Del. Laws, c. 467, §§ 13, 14]

§ 930B Training course requirements [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) The DSP shall develop a training course or approve a training course for licensees, if an individual, responsible persons, and the licensees' employees, contractors, and volunteers.

(b) The training course under subsection (a) of this section must:

(1) Be available online.

(2) Include instruction on all of the following topics:

a. State and federal laws governing the sale and transfer of firearms and ammunition.

b. Recognizing and identifying straw purchasers, firearms trafficking, and fraudulent activity.

c. Indicators that a individual is attempting to purchase a firearm illegally.

d. Recognizing and identifying indicators that an individual intends to use a firearm for unlawful purposes.

e. Recognizing and identifying indicators that an individual intends to use a firearm for self harm.

f. Preventing theft or burglary of firearms and ammunition.

g. Responding to the circumstances in paragraphs (b)(2)b. through (b)(2)f. of this section, and any applicable reporting requirements.

h. Effectively teaching consumers rules about firearm safety, including the safe handling and storage of firearms.

i. Any other reasonable business practices DSP determines will deter firearm trafficking or the unlawful use of firearms.

(3) Include an examination component with at least 20 exam questions derived from the course material and intended to confirm that the participant understands the information covered.

(4) Structure the examination in such a way that a biennial participant is meaningfully tested.

(c) A participant must correctly answer at least 70% of the exam questions to successfully complete the training course.

(d) Participants who successfully complete the training course must be provided with a certificate of completion.

(e) The certificate of completion must clearly show the date that the training course was completed.

(85 Del. Laws, c. 467, § 1.)

§ 931B Training [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) A licensee, if the licensee is an individual, and all responsible persons shall successfully complete a training course under § 930B of this title biennially.

(b) (1) An employee, contractor, or volunteer working for a licensee shall, biennially, successfully complete the training course under § 930B of this title if that employee, contractor, or volunteer performs any of the following functions in the course of the employee's, contractor's, or volunteer's work for the licensee:

a. Handles firearms or ammunition.

b. Processes the sale or transfer of firearms or ammunition.

(2) Unless an employee, contractor, or volunteer has already successfully completed the biennial training requirement under this section within the past 2 calendar years, the employee, contractor, or volunteer shall successfully complete the training within 30 calendar days after that employee's, contractor's, or volunteer's first day of work for the licensee or within 30 calendar days after the employee's, contractor's, or volunteer's work duties change to entail the functions in paragraphs (b)(1)a. through (b)(1)b. of this section.

(85 Del. Laws, c. 467, § 1.)

§ 932B Certificate of training [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) A licensee shall maintain certificates of completion for each individual required under § 931B of this title to have successfully completed the training under § 930B of this title.

(b) A licensee shall make the certificates of completion available to the DSP during an on-site inspection of the licensee's business location.

(85 Del. Laws, c. 467, § 1.)

Subchapter V

Employee, contractor, and volunteer requirements [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14]

§ 938B Background checks required [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) A licensee shall require an employee, volunteer, or contractor who will perform the functions under § 931B(b)(1) of this title or will have access to the locations where firearms are stored or displayed to undergo a background check before the employee, contractor, or volunteer may perform the functions under § 931B(b)(1) of this title or have access to the locations where firearms are stored or displayed.

(b) A licensee shall require the employee, volunteer, or contractor to undergo a background check biennially.

(c) A licensee shall require a new employee, volunteer, or contractor who will perform the functions under § 931B(b)(1) of this title or will have access to the locations where firearms are stored or displayed to undergo a background check regardless of when that employee, volunteer, or contractor last had a background check completed.

(d) For purposes of this section, the SBI must be the intermediary and the screening point for the receipt of the background checks.

(e) A person holding a valid Delaware concealed carry permit pursuant to § 1441 of Title 11 is exempt from the background checks under subsections (b) and (c) of this section.

(f) If the "Rap Back System" as defined by § 8502 of Title 11 is available to SBI, then all of the following must occur:

(1) The DSP shall use all subsequent criminal history record information available through the Rap Back System for a current employee, contractor, or volunteer subject to a background check under this section.

(2) The biennial background checks under subsection (b) of this section will be replaced by use of the Rap Back System.

(3) The DSP shall inform licensees that the biennial background checks are no longer required and that the Rap Back System will be used instead of the background checks under this section.

(g) The DSP, based on the results of the background check or Rap Back System notifications, shall inform the licensee about whether the employee, contractor, or volunteer is eligible under § 939B of this title.

(h) The background check requirements under this section are in place of the background check requirements under § 904(b) of this title.

(85 Del. Laws, c. 467, § 1.)

§ 939B Other employee, contractor, or volunteer requirements [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

A licensee shall require an employee, contractor, or volunteer who performs the functions under § 931B(b)(1) of this title or has access to the locations where firearms are stored or displayed to satisfy all of the following:

(1) Be at least 18 years old.

(2) Be eligible to possess a firearm under the laws of this State and federal law.

(85 Del. Laws, c. 467, § 1.)

Subchapter VI

Penalties [For application of this subchapter, see 85 Del. Laws, c. 467, §§ 13, 14]

§ 945B Penalties; class C felony [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) The DSP shall revoke a state license if the licensee:

(1) No longer holds a valid FFL.

(2) Knowingly employs, contracts with, or allows as a volunteer a person in violation of § 931B, § 938B, or § 939B of this title.

(3) Is or becomes prohibited from possessing or purchasing firearms under any state or federal law.

(4) Is convicted of any of the following:

a. A felony.

b. Trafficking in firearms under 18 U.S.C. § 933 or aiding and abetting the trafficking of firearms under 18 U.S.C. § 2.

(b) The DSP shall revoke a licensee's state license if a licensee knows or should have known that a responsible person is in violation of subsection (a) of this section, and the licensee allows that responsible person to continue serving as a responsible person while that responsible person would otherwise be ineligible to do so.

(c) Except as provided in subsections (a) and (b) of this section, if the DSP finds that a licensee failed to comply with any other provisions of this chapter or any other State law, the DSP may do the following:

(1) For a first occurrence, issue a warning to the licensee that includes a description of the violation and the penalty for subsequent violations.

(2) For a subsequent occurrence, do any of the following:

a. Issue a warning under paragraph (c)(1) of this section.

b. Impose a civil penalty, of no less than $500 through $3,000, including costs.

c. Suspend the licensee's state license for a period not to exceed 6 months.

d. Revoke the licensee's state license.

(d) Before the DSP may impose a civil penalty, deny a license, suspend a license, or revoke a license under this section, the licensee must be given notice and the opportunity to be heard in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(e) (1) A firearms dealer whose state license is revoked solely because that person no longer holds a valid federal firearm license may apply for a new state license any time after that person obtains a valid FFL.

(2) A firearms dealer whose state license is revoked for knowingly employing, contracting with, or allowing as a volunteer a person in violation of § 931B, § 938B, or § 939B of this title may apply for a new state license no sooner than 5 years after the revocation date.

(f) A person required to obtain a license under § 903B of this title who sells or transfers a firearm without a license is guilty of a class C felony.

(85 Del. Laws, c. 467, § 1.)

Subchapter VII

State duties and authorities [For application of this subchapter, see 85 Del. Laws, c. 467, §§ 13, 14]

§ 950B DSP and DOJ duties and authorities [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) The DSP shall promulgate regulations in accordance with the Administrative Procedures Act, Chapter 101 of Title 29 to implement this chapter. The DSP may not alter the eligibility criteria for obtaining a license but may require the collection of additional information needed to verify an applicant's eligibility.

(b) The DSP shall report any violation of this chapter by a licensee to the Bureau.

(c) (1) The DSP shall biennially collect and report all of the following about the preceding 2 calendar years:

a. The number of license applications received, granted, and denied.

b. The number of on-site inspections conducted by the DSP and the number of licensees inspected.

c. The number of warnings issued to licensees and the basis for the issued warnings.

d. The number of civil penalties issued against licensees and the basis for the issued civil penalties.

e. The number of licenses suspended, and the number of licenses revoked, and the basis for each suspension or each revocation.

f. The total amount of license fees and civil penalties collected and deposited into the Fund under § 959B of this title.

g. The total amount spent on licensing costs and inspections under this chapter.

h. The number of stolen firearms reported by licensees.

i. The number of lost firearms reported by licensees.

j. The amount of stolen ammunition reported by licensees.

k. The amount of lost ammunition reported by licensees.

l. The total number of trace requests received reported by licensees.

m. The total number of Bureau inspections reported by licensees.

n. The total number of licenses that are not renewed excluding licenses that have been revoked or suspended.

(2) The report may include any of the following demographic information about individuals under paragraphs (c)(1)a. through (c)(1)e. of this section:

a. Race and ethnicity.

b. Sex.

c. Geographic location.

(3) The DSP shall submit the report biennially, no later than March 1, to all of the following:

a. The Secretary of the Senate, for distribution to all Senators.

b. The Chief Clerk of the House of Representatives, for distribution to all Representatives.

c. The Director and the Librarian of the Division of Legislative Services.

d. The Controller General of the Office of the Controller General.

(4) The DSP shall publish the report on the DSP's website no more than 90 calendar days after submission to the General Assembly under paragraph (c)(3) of this section.

(d) DSP or the DOJ may investigate a person's breach of this chapter.

(e) Except in accordance with judicial order or as otherwise provided by law, all documents, data, images, information, reports, and correspondence, and the information within, that are created, transmitted, received, stored, or maintained by DSP or the DOJ in accordance with this chapter are confidential and may not be disclosed or made known to any unauthorized person.

(85 Del. Laws, c. 467, § 1.)

§ 951B On-site inspection [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) The DSP shall conduct an on-site inspection of each licensee's business location at least once every 2 years to ensure compliance with this chapter and Chapter 9 of this title.

(b) In addition to the inspections under subsection (a) of this section, DSP may conduct reasonable periodic inspections of a licensee's place of business during the licensee's regular posted or reported business hours, or the hours of operation provided by the licensee in that licensee's FFL application to ensure compliance with this chapter and Chapter 9 of this title.

(c) The DSP may inspect licensee records to ensure compliance with this chapter and Chapter 9 of this title.

(d) A licensee shall allow the DSP to perform an inspection under this section.

(85 Del. Laws, c. 467, § 1.)

Subchapter VIII

Firearm Licensing Fund [For application of this subchapter, see 85 Del. Laws, c. 467, §§ 13, 14]

§ 959B Firearm Licensing Fund [For application of this section, see 85 Del. Laws, c. 467, §§ 13, 14].

(a) The Firearm Licensing Fund is created in the State Treasury.

(b) Any money, including civil penalties and late fees, collected under this chapter must be deposited into the Fund.

(c) Proceeds in the Fund must be used only for implementing Chapter 9 of this title and this chapter.

(d) The General Assembly shall expend the money in the Fund in accordance with subsection (c) of this section through appropriation incorporated in the State's annual appropriations act.

(e) Any unspent funds remaining at the end of the fiscal year do not revert to the General Fund and will carry over.

(85 Del. Laws, c. 467, § 1.)

Chapter 11
DENTISTRY AND DENTAL HYGIENE

Subchapter I

State Board of Dentistry and Dental Hygiene

§ 1100 Objectives.

The primary objective of the State Board of Dentistry and Dental Hygiene, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe and unprofessional practices.

The secondary objectives of the Board are to maintain minimum standards of practitioner competency and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at formal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against licensees or former licensees.

(71 Del. Laws, c. 31, § 1; 77 Del. Laws, c. 463, § 3.)

§ 1101 Definitions [For application of this section, see 85 Del. Laws, c. 417, § 2].

For purposes of this chapter:

(1) “Academic license” means a license issued under § 1132A of this title to a full-time director, chairperson, or attending faculty member of a hospital based dental, oral and maxillofacial surgery, or other specialty dental residency program for the purposes of teaching.

(2) “Board” means the State Board of Dentistry and Dental Hygiene established in this chapter.

(3) “Dental assistant” means any person not licensed to practice dentistry or dental hygiene in this State, who aids a dentist in the performance of generalized tasks, including chair-side aid, clerical work, reception, radiography, dental laboratory work, and any other such tasks delegated by the dentist.

(4) “Dental auxiliary personnel” means any person not licensed to practice dentistry in this State, who works in a dental office as either a dental assistant, dental hygienist, dental technician, or otherwise.

(5) “Dental hygiene assessment” means the identification of an individual’s health behaviors, attitudes, and oral health care status and needs for which a dental hygienist is educationally qualified and licensed to provide as defined by the Board.

(6) “Dental hygienist” means a person who is qualified to practice dental hygiene as prescribed in this chapter.

(7) “Dental technician” means any person not licensed to practice dentistry in this State, engaged in the business of constructing, altering, repairing or duplicating full dentures (“plates”), partial dentures, splints, orthodontic appliances, fixed bridges, or any other prosthetic appliances.

(8) “Dentist” means a person who is qualified to practice dentistry as prescribed in the chapter.

(9) “Direct supervision” means the dentist is physically present in the dental office or facility for the duration of the procedure, diagnoses the condition to be treated, authorizes the procedure, and examines the patient before the patient’s dismissal.

(10) “Division” means the Division of Professional Regulation.

(11) “Electronic prescription” means a prescription that is generated on an electronic application and transmitted as an electronic data file.

(12) “Excessive use or abuse of drugs” means any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed individual with valid prescriptive authority or the abuse of alcoholic beverage or prescription or nonprescription drugs, such that it impairs a person’s ability to perform the work of a dentist or dental hygienist.

(13) “Local anesthesia” means the elimination of sensations, especially pain, in 1 part of the body by the topical application or regional injection of a drug, and includes anesthesia administered using the infiltration technique and anesthesia administered using the nerve block technique.

(14) “Oral prophylaxis” means the supra- and subgingival removal of biofilm, dental calculus, and extrinsic stains from tooth and prosthetic structures to preserve oral health and prevent disease, along with the provision of patient education to promote effective oral hygiene practices and overall oral health.

(15) “Person” means a corporation, company, association, or partnership, as well as an individual.

(16) a. “Practice of dental hygiene” means the removal of calculus deposits, plaque and stains from all surfaces of the teeth, and making instrumental examinations of the oral cavity, and assembling all necessary information for use by the dentist in diagnosis and treatment planning, and the performance of such prophylactic or preventive measures in the case of teeth, including the application of chemicals to the teeth and periodontal tissues, designed and approved for the prevention of dental caries or periodontal disease, as the Board may authorize.

b. The “practice of dental hygiene” also includes all of the following:

1. Administering local anesthesia under the direct supervision of a licensed dentist and in accordance with requirements and standards that the Board shall establish by regulation.

2. Prescribing, administering, and dispensing topical agents as follows:

A. Administering and dispensing topical agents under the standing order of a dentist providing general supervision.

B. Prescribing, administering, and dispensing fluoride under the standing order of a dentist providing general supervision.

3. Applying sealants without the need for an examination by a dentist beforehand, provided the patient will be seen by a dentist within 12 months of receiving treatment.

4. Engaging in dental hygiene assessment and planning treatment within the scope of the authority of a dental hygienist as defined by the Board based on education and experience.

5. Providing direct access to prophylaxis without the need for an examination by a dentist beforehand, provided the patient will be seen by a dentist within 12 months of receiving treatment.

6. Exercising direct supervision of dental assistants as prescribed by regulations that the Board shall adopt.

c. The "practice of dental hygiene" does not include any other operation on the teeth or tissues of the mouth.

(17) "Practice of dentistry" means the evaluation, diagnosis, prevention, and treatment (nonsurgical, surgical or related procedures) of diseases, disorders, and conditions of the oral cavity, maxillofacial area, and the adjacent and associated structures and their impact on the human body provided by a dentist within the scope of the dentist's education, training, and experience, in accordance with the ethics of the profession and applicable law. A person is construed to practice dentistry who by verbal claim, sign, advertisement, opening of an office, or in any other way, including use of the words "dentist," "dental surgeon," the letters "D.D.S.," "D.M.D.," or other letters or titles, represents the person to be a dentist, or who holds oneself out as able to perform, or who does perform, dental services or work. A person is regarded as practicing dentistry who is a manager, proprietor, operator, or conductor of a place for performing dental operations or who for a fee, salary, or other reward paid, or to be paid either to oneself or to another person, performs or advertises to perform dental operations of any kind.

(18) "State" means the State of Delaware.

(19) "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of dentistry or dental hygiene.

(20) "Topical agents" means nonsystemic agents, including topical oral antimicrobial agents, fluoride, and desensitizing agents applied intraorally for the prevention and management of oral disease, for which a dental hygienist is educationally qualified and licensed to provide as defined by the Board.

(73 Del. Laws, c. 332, § 3; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 20; 75 Del. Laws, c. 436, § 8; 77 Del. Laws, c. 463, §§ 1, 2, 3; 79 Del. Laws, c. 261, § 1; 80 Del. Laws, c. 80, § 9; 81 Del. Laws, c. 79, § 37; 82 Del. Laws, c. 75, § 2; 82 Del. Laws, c. 261, §§ ?7, 16; 83 Del. Laws, c. 52, § 9; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 417, § 1.)

§ 1102 State Board of Dentistry and Dental Hygiene; appointments; qualifications; term; vacancies; suspension or removal; unexcused absences; compensation.

(a) There is created a State Board of Dentistry and Dental Hygiene which shall administer and enforce this chapter.

(b) The Board shall consist of 9 members, appointed by the Governor, who are residents of this State, 5 of whom shall be dentists licensed under this chapter and who have been actively practicing dentistry in this State for a period of 5 years immediately preceding appointment to the Board; 1 member shall be a dental hygienist who has been actively practicing dental hygiene in this State for a period of 5 years immediately preceding appointment to the Board; and 3 public members who shall have been residents of this State for a period of 5 years immediately preceding appointment to the Board. The public members shall not be, nor ever have been, dentists or dental hygienists, nor members of the immediate family of a dentist or dental hygienist; shall not have been employed by a dentist; and shall not have a material interest in the providing of goods and services to dentists or dental hygienists, nor have been engaged in an activity directly related to dentistry or dental hygiene. The public members shall be accessible to inquiries, comments and suggestions from the general public. No public member shall have been licensed in any health related field or be licensed to practice law. No person shall be eligible for appointment to the Board who is in any manner connected with or interested in any dental college or the dental department of any college or university or the dental supply business.

(c) Except as provided in subsection (d) of this section, each member shall serve a term of 3 years, and may succeed himself or herself for 1 additional term; provided, however, that where a member was initially appointed to fill a vacancy, such member may succeed himself or herself for only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member. Each term of office shall expire on the date specified in the appointment; however, the Board member shall remain eligible to participate in Board proceedings unless and until replaced by the Governor.

(d) A person who has never served on the Board may be appointed to the Board for 2 consecutive terms; but, no such person shall thereafter be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board or who has served on the Board for 6 years within any 9-year period shall again be appointed to the Board until an interim period of at least 1 year has expired since such person last served.

(e) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless such an amendment or

revision amends this section to permit such an appointment.

(f) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetency or neglect of duty. A member subject to disciplinary hearing shall be disqualified from Board business until the charge is adjudicated or otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(g) No member of the Board, while serving on the Board, shall hold elective office in any professional association of dentists or dental hygienists, including the Delaware State Dental Society and the Delaware Dental Hygienists' Association.

(h) The provisions set forth for "employees" in Chapter 58 of Title 29 shall apply to all members of the Board.

(i) Any member who is absent without adequate reason for 3 consecutive meetings or fails to attend at least 1/2 of all regular business meetings during any calendar year shall be guilty of neglect of duty.

(j) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel; and in addition, shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(k) A dentist and a dental hygienist from the same practice, or 2 dentists from the same practice, or 2 dental hygienists from the same practice, may not serve on the Board or the Dental Hygiene Advisory Committee at the same time.

(11 Del. C. 1953, §§ 1101-1103, 1005; 58 Del. Laws, c. 497, § 1; 59 Del. Laws, c. 547, § 7; 60 Del. Laws, c. 449, § 2; 61 Del. Laws, c. 179, §§ 1, 2; 61 Del. Laws, c. 334, §§ 4, 6; 63 Del. Laws, c. 290, § 8; 65 Del. Laws, c. 494, § 2; 67 Del. Laws, c. 130, § 8; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 266, §§ 3, 4; 70 Del. Laws, c. 451, §§ 1, 2; 71 Del. Laws, c. 31, § 1; 73 Del. Laws, c. 332, § 3; 77 Del. Laws, c. 463, §§ 3, 4; 81 Del. Laws, c. 85, § 5.)

§ 1103 Organization; meetings; officers; quorum.

(a) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year and at such times as the President deems necessary, or at the request of a majority of the Board members.

(b) The Board annually shall elect a President and Secretary. Each officer shall serve for 1 year and shall not succeed himself or herself for more than 2 consecutive terms.

(c) A majority of the members shall constitute a quorum for the purpose of transacting business. No disciplinary action shall be taken without the affirmative vote of 5 members of the Board.

(d) When members of the Dental Hygiene Advisory Committee participate in voting on matters listed in § 1105(c)(1)-(5) of this title, the Board composition shall be 12 voting members, so that 7 members shall constitute a quorum.

(e) Minutes of all meetings shall be recorded and copies shall be maintained by the Division of Professional Regulation. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(17 Del. Laws, c. 496, § 3; 21 Del. Laws, c. 242, § 2; 26 Del. Laws, c. 133, § 1; Code 1915, § 888; 38 Del. Laws, c. 48, § 2; Code 1935, § 969; 42 Del. Laws, c. 93, § 1; 24 Del. C. 1953, § 1104; 65 Del. Laws, c. 210, §§ 3, 4, 12; 67 Del. Laws, c. 366, § 2; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 31, § 1; 73 Del. Laws, c. 332, § 3; 77 Del. Laws, c. 463, § 5.)

§ 1104 Records.

The Division of Professional Regulation shall keep a register of all approved applications for license as a dentist or a dental hygienist and complete records relating to meetings of the Board, examinations, rosters, changes and additions to the Board's rules and regulations, complaints, hearings and such other matters as the Board shall determine. Such records shall be prima facie evidence of the proceedings of the Board.

(Code 1915, § 890; 38 Del. Laws, c. 48, § 2; Code 1935, § 971; 24 Del. C. 1953, § 1106; 65 Del. Laws, c. 210, § 12; 71 Del. Laws, c. 31, § 1; 73 Del. Laws, c. 332, § 3.)

§ 1105 Dental Hygiene Advisory Committee.

(a) There is created a State Dental Hygiene Advisory Committee which shall serve the Board on matters pertaining to the policy and practice of dental hygiene.

(b) The Committee shall consist of 3 licensed dental hygienists, appointed by the Governor, who are residents of this State and who have been actively practicing dental hygiene in this State for 2 years immediately preceding appointment to the Committee.

(1) No person shall be eligible for appointment to the Committee who is in any manner connected with or who has an interest in any dental hygiene college or the dental hygiene department of any college or university or any commercial dental enterprise.

(2) Each member shall serve a term of 3 years and remain eligible to participate in proceedings unless and until replaced by the Governor.

(3) All terms shall be staggered so that 1 new member is added and 1 member is retired each year.

(4) A member of the Committee shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetency or neglect of duty.

(5) No member of the Committee shall hold elective office in any professional association of dental hygienists.

(6) Each member of the Committee shall be reimbursed, according to the policy of the Division of Professional Regulation, for all expenses involved in each meeting, including travel; and in addition, shall receive $50 for each meeting attended but not more than $500 in any calendar year. After 10 meetings have been attended, the member shall not be compensated for any subsequent meetings attended in that year.

(7) No 2 dental hygienists from the same practice may serve on the Advisory Committee at the same time.

(c) The Committee shall participate with members of the Board in:

(1) Voting on the qualifications of candidates who apply for licensure to practice dental hygiene;

(2) Voting on the composition of the state dental hygiene clinical/practical examination;

(3) Voting on the requirements for renewal of dental hygiene licenses;

(4) Voting on disciplinary actions involving hygienists; and

(5) Voting on other matters involving the policy and "practice of dental hygiene" as defined in § 1101 of this title and further defined in the Board's rules and regulations. The Committee shall not vote on matters involving changing the scope of practice as defined in § 1101(16) of this title.

(65 Del. Laws, c. 210, § 16; 71 Del. Laws, c. 31, § 1; 73 Del. Laws, c. 332, § 3; 77 Del. Laws, c. 463, §§ 6-8; 79 Del. Laws, c. 261, § 1; 80 Del. Laws, c. 80, § 9; 82 Del. Laws, c. 75, § 2; 83 Del. Laws, c. 52, § 9; 85 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 417, § 1.)

§ 1106 Powers and duties of the Board.

(a) The State Board of Dentistry and Dental Hygiene has the authority to:

(1) Formulate rules and regulations, with appropriate notice to those affected; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act [Chapter 101 of Title 29] of this State. Each rule or regulation shall implement or clarify a specific section of this chapter;

(2) Designate the application form to be used by all applicants, and to process all applications;

(3) Examine candidates for licensure subject to § 8735(d)(5) of Title 29;

a. Designate the written, standardized examination administered by the National Board of Dental Examiners to be taken by all persons applying for licensure;

b. Prepare and administer a practical examination in dentistry and dental hygiene;

c. Designate a written jurisprudence examination on the Delaware laws pertaining to dentistry to be taken by all persons applying for licensure;

(4) Provide for the administration of all applicable examinations, including notice and information to applicants;

(5) Evaluate the credentials of all persons applying for a license to practice dentistry and dental hygiene in order to determine whether such persons meet the qualifications for licensing set forth in this chapter;

(6) Grant licenses to and renew licenses of all persons who meet the qualifications for licensure and/or renewal of licenses;

(7) Establish by rule and regulation continuing education standards required for license renewal for dentists and dental hygienists;

(8) Evaluate certified records to determine whether an applicant for licensure who has been previously licensed, certified or registered in another jurisdiction to practice dentistry or dental hygiene has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against such applicants for such acts or offenses;

(9) Refer all complaints from licensees and the public concerning licensed dentists and dental hygienists or concerning practices of the Board or of the profession to the Division of Professional Regulation for investigation pursuant to § 8735 of Title 29 and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint;

(10) Conduct hearings and issue orders in accordance with procedures established pursuant to this chapter, Chapter 101 of Title 29 and § 8735 of Title 29. Where such provisions conflict with the provisions of this chapter, this chapter shall govern. The Board shall determine whether or not a dentist or dental hygienist shall be subject to a disciplinary hearing and, if so, shall conduct such hearing in accordance with this chapter and the Administrative Procedures Act [Chapter 101 of Title 29];

(11) Where it has been determined after a disciplinary hearing that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty after time for appeal has lapsed;

(12) Working in conjunction with the Board of Directors of the Delaware Institute of Dental Education and Research, develop programs to encourage and allow dentists to practice in under-served areas of the State, as designated by the Delaware Health Care Commission, in lieu of hospital-based residency training as a condition of licensure;

(13) Issue a volunteer license to an individual who is duly licensed as a dentist or dental hygienist in this State or to any individual who has ever been so licensed provided proof of continued competence is provided to the satisfaction of the Board. Such individuals shall certify on the license application that the individual will perform no dental or dental hygiene services for any direct compensation and that the individual volunteers that individual's time exclusively in a nonprofit dental clinic or nonprofit dental service designated by the Delaware Health Care Commission and approved by the Delaware State Board of Dentistry and Dental Hygiene. A volunteer license shall be issued at no charge to a qualified individual approved by the Board. All other costs associated with meeting the requirements for such license will remain the responsibility of the applicant. The applicant for a volunteer license shall be responsible for completing the continuing education required for an active Delaware licensee by the Board and shall adhere to all standards of practice and supervision required of a Delaware licensed dentist or dental hygienist. Any dentist or dental hygienist having a volunteer license shall not practice dentistry or dental hygiene in this State in any setting other than in an approved nonprofit dental clinic or nonprofit dental service.

(14) Define 3 levels of supervision by rule and regulation.

(15) Establish by rule and regulation the requirement to and standards for permits that authorize dentists to administer anesthetic

agents.

(16) Issue subpoenas requiring the production of and receive information regarding changes in hospital privileges as a result of disciplinary or other adverse action taken by a hospital, or regarding disciplinary or other adverse action taken by a dental society against any person certified under this chapter to practice dentistry or dental hygiene.

(17) Establish by rule and regulation the requirement to and standards for permits that authorize dental hygienists to administer local anesthesia under the direct supervision of a licensed dentist.

(b) The State Board of Dentistry and Dental Hygiene shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of dentistry and dental hygiene.

(Code 1915, § 891; 38 Del. Laws, c. 48, § 2; Code 1935, § 972; 24 Del. C. 1953, § 1107; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 31, § 1; 72 Del. Laws, c. 481, § 1; 73 Del. Laws, c. 332, § 3; 74 Del. Laws, c. 262, § 21; 75 Del. Laws, c. 104, § 1; 77 Del. Laws, c. 463, §§ 3, 9-13; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 167, § 2.)

§§ 1107-1110 [Reserved.]

Subchapter II

Dentistry and Dental Hygiene

§ 1121 License required.

(a) No person shall practice dentistry or dental hygiene or hold oneself out to the public in this State as being qualified to practice dentistry or dental hygiene or use in connection with the person's name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the person is qualified to practice dentistry or dental hygiene unless such person has been duly licensed under this chapter.

(b) A dental hygienist licensed under this chapter shall practice dental hygiene only under the general supervision of a licensed dentist, in the office of the licensed dentist, or in any public school or other public institution of this State, except that a dental hygienist may only administer local anesthesia under the direct supervision of a licensed dentist.

(c) A licensed dental hygienist may practice under the general supervision of the State Dental Director, or the State Dental Director's designee, who shall be a licensed Delaware dentist, in schools and state institutions. A licensed dental hygienist may also practice under the general supervision of the State Dental Director, or the State Dental Director's designee, who shall be a Delaware licensed dentist, in federally qualified health centers, nonprofit organizations, and other locations as designated by the Delaware Health Care Commission in consultation with Delaware Institute for Dental Education and Research. The protocols under which hygienists practice in these settings will be established by the State Dental Director and shall be subject to the approval of the Delaware State Board of Dentistry and Dental Hygiene. In any setting, a dental hygienist may only administer local anesthesia under the direct supervision of a licensed dentist.

(d) The State Dental Director must be a Delaware licensed dentist or have a community health license under § 1132B of this title. The State Director is an employee of a government-operated dental clinic.

(e) Whenever a license to practice as a dentist or dental hygienist in this State has expired or has been suspended or revoked, it shall be unlawful for the person to practice dentistry or dental hygiene in this State.

(73 Del. Laws, c. 332, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 463, § 3; 83 Del. Laws, c. 420, § 1; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 167, § 3.)

§ 1122 Qualifications of applicant for Delaware-specific dental license; report to Attorney General.

(a) An applicant who is applying for licensure as a dentist under this section shall submit evidence, verified by oath and satisfactory to the Board, that the applicant meets all of the following:

(1) Has received a degree in dentistry from an accredited dental college or university accredited by the Commission on Dental Accreditation of the American Dental Association.

(2) Before matriculating in a dental college or university, has completed at least 2 years of undergraduate study in an accredited college or university.

(3) Meets 1 of the following:

a. Has acquired 1 year's experience as a dental intern within a general practice residency accredited by the Commission on Dental Accreditation of the American Dental Association ("CODA").

b. Has completed a CODA-approved specialty residency with those specific rotations identified in the Board's rules and regulations.

(4) Has achieved a passing score on the Delaware jurisprudence and National Board of Dental Examiners' examinations.

(5) Has successfully completed the Delaware practical examination under § 1123 of this title.

(b) An applicant who is applying for licensure as a dental hygienist under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that such person has:

(1) Graduated from high school or has received a general equivalency diploma (G.E.D.).

(2) Graduated from a dental hygiene college or university program accredited by the Commission on Dental Accreditation of the American Dental Association of at least 2 academic years' duration; or

(3) Graduated, prior to 1953, from a dental hygiene program of at least 1 year's duration, which program had been approved by the Board at the time of the person's graduation; and

(4) Achieved the passing score on all examinations prescribed by the Board.

(c) All applicants shall have complied with the following conditions:

(1) Shall submit proof of current certification in cardiopulmonary resuscitation (CPR) technique in accordance with regulations adopted by the Board.

(2) Shall not have been the recipient of any administrative penalties regarding the applicant's practice of dentistry or dental hygiene, including but not limited to fines, formal reprimands, license suspension or revocation (except for license revocations for nonpayment of license renewal fees), or probationary limitations, or have entered into any "consent agreements" which contain conditions placed by a Board on the applicant's professional conduct and practice, including any voluntary surrender of a license while under investigation the Board may determine, after a hearing or based on the documentation submitted, whether such administrative penalty is grounds to deny licensure.

(3) Shall not have any impairment related to drugs or alcohol that would limit the applicant's ability to undertake the practice of dentistry or dental hygiene in a manner consistent with the safety of the public.

(4) Does not have a criminal conviction for a crime substantially related to the practice of dentistry or dental hygiene. If however, after considering the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation, the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board shall, by an affirmative vote of a majority of the quorum, waive this paragraph (c)(4). No waiver may be granted for a conviction of a felony sexual offense. A conviction for a felony sexual offense shall be considered, notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29.

a.-e. [Repealed.]

(5) Shall not have engaged in any of the acts or offenses that would be grounds for disciplinary action under this chapter, and shall not have been the recipient of any administrative penalties regarding that applicant's practice as a dentist or dental hygienist, including but not limited to fines, formal reprimands, license suspensions or revocation, (except for license revocations for nonpayment of license renewal fees), probationary limitations, nor entered into any consent agreements which contain conditions placed by a Board on that applicant's professional conduct and practice, including any voluntary surrender of a license. The Board may determine after a hearing or review of documentation whether such administrative penalty is grounds to deny licensure.

(6) Submit, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

b. A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Board of Dentistry and Dental Hygiene shall be the screening point for the receipt of said federal criminal history records.

c. An applicant may not be certified to practice dentistry or dental hygiene until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction related to the practice of dentistry or dental hygiene may not be certified by the Board unless a waiver is granted pursuant to paragraph (c)(4) of this section.

(7) Shall submit to the Board a sworn or affirmed statement that the applicant is, at the time of application, physically and mentally capable of engaging in the practice of medicine according to generally accepted standards, and submit to such examination as the Board may deem necessary to determine the applicant's capability.

(d) Where the Board has found to its satisfaction that an applicant has been intentionally fraudulent, or that false information has been intentionally supplied, the Board shall deny the application and report its findings to the Attorney General for further action.

(e) All individuals licensed to practice dentistry and dental hygiene in this State shall be required to be fingerprinted by the State Bureau of Identification, at the licensee's expense, for the purposes of performing subsequent criminal background checks. Licensees shall submit by January 1, 2016, at the applicant's expense, fingerprints and other necessary information in order to obtain a criminal background check.

(73 Del. Laws, c. 332, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 22; 75 Del. Laws, c. 436, § 9; 77 Del. Laws, c. 199, § 7; 77 Del. Laws, c. 463, §§ 14-17; 78 Del. Laws, c. 44, §§ 11-13; 78 Del. Laws, c. 147, § 1; 79 Del. Laws, c. 277, § 3; 80 Del. Laws, c. 314, § 1; 83 Del. Laws, c. 420, § 2; 83 Del. Laws, c. 433, § 8.)

§ 1123 Examinations.

(a) (1) An applicant applying for licensure by examination shall successfully pass, with a score established by the Board, a validated practical examination prepared and administered by the Board.

(2) The examination required under paragraph (a)(1) of this section must be validated as to content and scoring by a member of the faculty of an accredited school of dentistry who meets all of the following:

a. Is not licensed to practice dentistry in this State.

b. Is selected by the Division and the Board.

(3) An applicant who has failed the practical examination 3 times may not take the examination again unless the applicant can provide evidence of mitigating circumstances to the satisfaction of the Board.

(b) An applicant applying for licensure by examination shall submit evidence, verified by oath satisfactory to the Board, that the person meets the following:

(1) If applying for licensure as a dentist, that the person meets all of the following:

a. Has received a degree in dentistry from a dental college or university accredited by the Commission on Dental Accreditation of the American Dental Association.

b. Has submitted proof satisfactory to the Board that the applicant has successfully completed the National Board of Dental Examiners' examinations in dentistry with a passing score established by the Board.

c. Has successfully passed a written jurisprudence examination on Delaware laws pertaining to dentistry.

(2) If applying for licensure as a dental hygienist, that the person meets all of the following:

a. Has fulfilled the requirements under § 1122(b) of this title.

b. Has submitted proof satisfactory to the Board that the applicant has successfully passed the National Board of Dental Examiners' examination in dental hygiene with a passing score established by the Board.

c. Has successfully passed a written jurisprudence examination on Delaware laws pertaining to dental hygiene.

(3) [Repealed.]

(c) All examinations under this section must be approved by the Division and the Board.

(73 Del. Laws, c. 332, § 1; 74 Del. Laws, c. 61, § 1; 77 Del. Laws, c. 463, § 18; 83 Del. Laws, c. 420, § 3.)

§ 1124 Dentists and dental hygienists licensed in other jurisdictions.

(a) On payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to practice dentistry or dental hygiene to each applicant who presents proof of current licensure in "good standing" in another state, the District of Columbia, or territory of the United States and who meets all of the following criteria:

(1) Has maintained the applicant's license in "good standing" and has satisfied all requirements of § 1122(c)(2) through (c)(7) of this title.

(2) For licensure as a dental hygienist, meet all of the following:

a. Has practiced for a minimum of 3 of the last 5 years in the state in which the applicant currently is or has been licensed.

b. Has passed the examinations required under § 1123(b)(2)b. and (b)(2)c. of this title.

(3) For licensure as a dentist, meet all of the following:

a. Has received a degree in dentistry from an accredited dental college or university accredited by the Commission on Dental Accreditation of the American Dental Association.

b. Has submitted proof that the applicant has had 3 years of active dental practice in another state, the District of Columbia, or a territory of the United States.

c. The requirements under § 1122(a)(1), (a)(2), (a)(4), and (a)(5) of this title.

(4) [Repealed.]

(5) Has submitted proof of a valid certification in cardiopulmonary resuscitation (CPR) technique in accordance with regulations adopted by the Board.

(b) [Repealed.]

(c) An applicant for licensure under this section shall have remained academically current, through continuing education or otherwise, as determined by the Board.

(73 Del. Laws, c. 332, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 436, § 10; 77 Del. Laws, c. 463, §§ 19-21; 83 Del. Laws, c. 420, § 4.)

§ 1125 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division in its service on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each licensure biennium, the Division, or any other state agency acting in its behalf, shall compute, for each separate service or activity, the appropriate Board fees for the licensure biennium.

(73 Del. Laws, c. 332, § 1.)

§ 1126 Issuance and renewal of licenses; replacement of licenses.

(a) The Board shall issue a license to each applicant who meets the requirements of this chapter for licensure as a dentist or dental hygienist and who pays the fee established in § 1125 of this title.

(b) Each license shall be renewed biennially, in such manner as is determined by the Division, upon payment of the appropriate fee and submission of a renewal form provided by the Division, and proof that the licensee has met the continuing education requirements established by the Board and proof that the licensee has not been convicted of a crime substantially related to the practice of dentistry or dental hygiene unless a waiver is granted pursuant to § 1122(c)(4) of this title.

(c) The Board, in its rules and regulations, shall determine the period of time within which a licensed dentist or dental hygienist may still renew his or her license, notwithstanding the fact that such licensee has failed to renew on or before the renewal date, provided however that such period shall not exceed 1 year. Any licensee who fails to renew on or before the renewal date, and any allowable extensions, not to exceed 1 year, will be considered a new applicant.

(d) Any person whose license or certificate has expired for failure to make biennial registration over a period of more than 5 years and who has not been in the active full-time practice or dentistry or dental hygiene in another state or territory of the United States during the previous 5 years is required, in addition to applying as a new applicant, to submit to reexamination or other formal assessment of competency as approved by the Board.

(e) All individuals licensed under this chapter, upon written request, may be placed in an inactive status in accordance with the Board's rules and regulations. Such individual may reenter practice upon written notification to the Board of the intent to do so and completion of continuing education as required in the Board's rules and regulations. The Board may establish by regulation provisions for resuming active status.

(f), (g) [Repealed.]

(73 Del. Laws, c. 332, § 1; 77 Del. Laws, c. 199, § 8; 77 Del. Laws, c. 463, §§ 22-26; 80 Del. Laws, c. 314, § 2; 82 Del. Laws, c. 8, § 3.)

§ 1127 Complaints.

(a) All complaints shall be received and investigated by the Division of Professional Regulation in accordance with § 8735 of Title 29, and the Division shall be responsible for issuing a final written report at the conclusion of its investigation.

(b) When it is determined that an individual is engaging or has engaged in the practice of dentistry or dental hygiene or is using the title "dentist" or "dental hygienist," and is not licensed under the laws of this State, the Board shall report to the office of the Attorney General for appropriate action.

(c) The Division shall have the authority to conduct inspections upon receipt of any complaint in connection with § 1128(12) of this title or upon the occurrence of an adverse event as defined in § 122(3)y.3.A. of Title 16 and, as applicable, refer such information to the Department of Health and Social Services pursuant to § 122(3)y. of Title 16. In connection herewith, the Division may share information with the Department of Health and Social Services in accordance with applicable law.

(73 Del. Laws, c. 332, § 1; 78 Del. Laws, c. 15, § 6.)

§ 1128 Grounds for discipline.

A practitioner licensed under this chapter shall be subject to disciplinary actions set forth in § 1129 of this title, if, after a hearing, the Board finds that the dentist or dental hygienist:

(1) Has employed or knowingly cooperated in fraud or material deception in order to acquire a license as a dentist or dental hygienist, has impersonated another person holding a license or has allowed another person to use the practitioner's license, or has aided or abetted a person not licensed as a dentist or dental hygienist to represent himself or herself as a dentist or dental hygienist;

(2) Has practiced dentistry or dental hygiene in an incompetent or grossly negligent manner or has otherwise been guilty of misconduct or unprofessional conduct. In addition to such acts or omissions as the Board may define as unprofessional conduct by rules and regulations, unprofessional conduct shall include, but shall not be limited to, practicing in a corporation or other business entity which actually limits or restricts the exercise and application of professional judgment by the dentist or dental hygienist to the detriment of the dentist's or dental hygienist's patients;

(3) [Repealed.]

(4) Has been convicted of any offense the circumstances of which substantially relate to the practice of dentistry or dental hygiene. A copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence thereof;

(5) Has engaged in an act of consumer fraud or deception, engaged in the illegal restraint of competition, or participated in illegal price-fixing activities;

(6) Has violated a provision of this chapter or any regulation established thereunder;

(7) Has had the practitioner's license as a dentist or dental hygienist suspended or revoked, or has had other disciplinary action taken against the dentist or dental hygienist by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record, and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts prohibited by this chapter. Every person licensed as a dentist or dental hygienist in this State shall be deemed to have given consent to the release of this information by the Board or other comparable agency in another jurisdiction and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses;

(8) Has failed to notify the Board that the practitioner's license as a dentist or dental hygienist in another state has been subject to discipline or has been surrendered, suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof;

(9) Has a physical condition such that the performance of dentistry or dental hygiene is or may be injurious or prejudicial to the public;

(10) Has had the practitioner's United States Drug Enforcement Administration (DEA) privileges restricted or revoked;

(11) Has engaged in the excessive use or abuse of drugs;

(12) Has maintained a facility in an unsanitary or unsafe condition. For purposes of this section, "facility" shall have the same meaning as defined in § 122(3)y.3.C. of Title 16;

(13) Has been convicted of a felony sexual offense;

(14) Has failed to report child abuse or neglect as required by § 903 of Title 16, or any successor thereto; or

(15) Has failed to report to the Division of Professional Regulation as required by § 1131A of this title.

(73 Del. Laws, c. 332, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 23; 78 Del. Laws, c. 15, § 7; 78 Del. Laws, c. 147, § 2.)

§ 1129 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 of the conditions or violations set forth in § 1128 of this title applies to a practitioner regulated by this chapter:

(1) Issue a letter of reprimand;

(2) Censure a practitioner;

(3) Place a practitioner on probationary status and require the practitioner to:

a. Report regularly to the Board upon the matters which are the basis of the probation;

b. Limit all practice and professional activities to those areas prescribed by the Board;

(4) Suspend any practitioner's license;

(5) Revoke any practitioner's license;

(6) Impose a monetary penalty not to exceed $1,000 for each violation;

(7) Take such other disciplinary action as the Board may deem necessary and appropriate;

(8) The Board shall permanently revoke the certificate to practice dentistry or dental hygiene of a person who is convicted of a felony sexual offense.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such action have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(d) As a condition to reinstatement of a suspended license or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(e) As a condition to reinstatement of a suspended license or removal from probationary status, the Board may reinstate such license if after a hearing the Board is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension and/or the probation and can practice dentistry or dental hygiene with reasonable skill and safety to the public.

(f) Applicants for reinstatement shall pay the appropriate fees and submit documentation required by the Board as evidence that all the conditions of a suspension or probation have been met. Proof that the applicant has met the continuing education requirements of this chapter may also be required, as appropriate.

(73 Del. Laws, c. 332, § 1; 77 Del. Laws, c. 463, §§ 27-29; 78 Del. Laws, c. 147, § 3; 79 Del. Laws, c. 213, § 2.)

§ 1130 Hearing procedures.

(a) If a complaint is filed with the Board by the office of the Attorney General pursuant to § 8735 of Title 29 alleging violation of § 1128 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) The technical rules of evidence shall not apply to hearings before the Board. If the Board finds, by a majority vote of all members hearing the case, that the complaint has been established by a preponderance of evidence, the Board shall take such action permitted under this chapter as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. The Board's decision shall be mailed immediately to the practitioner.

(c) Where the practitioner is in disagreement with the action of the Board, the practitioner may appeal the Board's decision to the Superior Court.

(73 Del. Laws, c. 332, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1131 Duty to self-report.

(a) A licensee shall self-report to the Board:

(1) Any arrest or the bringing of an indictment or information charging the licensee with a crime substantially related to the practice of dentistry and dental hygiene as defined in the Board's rules and regulations.

(2) The conviction of the licensee, including any verdict of guilty or plea of guilty or no contest, of any crime substantially related to the practice of dentistry and dental hygiene as defined by the Board in its rules and regulations.

(b) The report required by this section shall be made in writing within 30 days of the date of the arrest, bringing of the indictment or information or of the conviction.

(c) Failure to make a report required by this section constitutes grounds for discipline under § 1128 of this title.

(77 Del. Laws, c. 463, § 31.)

§ 1131A Duty to report conduct that constitutes grounds for discipline or inability to practice.

(a) Every person to whom a license to practice has been issued under this chapter has a duty to report to the Division of Professional Regulation in writing information that the licensee reasonably believes indicates that any other practitioner licensed under this chapter or any other healthcare provider has engaged in or is engaging in conduct that would constitute grounds for disciplinary action under this chapter or the other healthcare provider's licensing statute.

(b) Every person to whom a license to practice has been issued under this chapter has a duty to report to the Division of Professional Regulation in writing information that the licensee reasonably believes indicates that any other practitioner licensed under this chapter or any other healthcare provider may be unable to practice with reasonable skill and safety to the public by reason of mental illness or mental incompetence; physical illness; including deterioration through the aging process or loss of motor skill; or excessive abuse of drugs, including alcohol.

(c) Every person to whom a license to practice has been issued under this chapter has a duty to report to the Division of Professional Regulation any information that the reporting person reasonably believes indicates that a person certified and registered to practice medicine in this State is or may be guilty of unprofessional conduct or may be unable to practice medicine with reasonable skill or safety to patients by reason of mental illness or mental incompetence; physical illness, including deterioration through the aging process or loss of motor skill; or excessive use or abuse of drugs, including alcohol.

(d) All reports required under subsections (a), (b) and (c) of this section must be filed within 30 days of becoming aware of such information. A person reporting or testifying in any proceeding as a result of making a report pursuant to this section is immune from claim, suit, liability, damages, or any other recourse, civil or criminal, so long as the person acted in good faith and without gross or wanton negligence; good faith being presumed until proven otherwise, and gross or wanton negligence required to be shown by the complainant.

(78 Del. Laws, c. 147, § 4.)

§ 1131B Treatment or examination of minors.

(a) A parent, legal guardian or other caretaker, or adult staff member, shall be present when a person licensed or certified to practice dentistry or dental hygiene under this chapter provides services to a minor, regardless of the sex of the licensed or certified person and minor, whenever a door to the treatment room is required to be closed or any time the minor is sedated.

(b) When a minor is to receive services, the person licensed or certified to practice dentistry or dental hygiene under this chapter shall provide notice to the parent, legal guardian or other caretaker of the rights under subsection (a) of this section. The notice shall be provided in written form and shall be posted conspicuously in the location where services will be provided.

(c) For the purposes of this section, "minor" is defined as a person 15 years of age or younger, "adult staff member" is defined as a person 18 years of age or older who is acting under the direction of the licensed person or the employer of the licensed person or who is otherwise licensed under this chapter.

(d) The person licensed under this chapter that provides treatment to a minor pursuant to this section shall, contemporaneously with such treatment, note in the patient's record the name of each person present when such treatment is being provided.

(79 Del. Laws, c. 169, § 4.)

§ 1132 Limited license — Fee.

(a) Upon completion of an application approved by the Board and payment of a fee established by the Division, the Board may issue a limited license to an applicant for licensure as a dentist who has fulfilled the requirements of § 1122(a)(1) and (2) of this title, and who furnishes proof satisfactory to the Board that the applicant has been appointed a dental intern in a hospital or other institution maintained by this State, by a county or municipality thereof, or in a hospital or dental infirmary incorporated under the laws of this State.

(b) The limited license shall entitle the applicant to practice dentistry only in the hospital or other institution designated on the license and only on bona fide patients of the hospital or institution and under the direction of a licensed dentist employed therein or on the staff thereof.

(c) The applicant for limited license shall comply with the provisions of § 1122(c)(1)-(7) of this title.

(d) The holder of a limited license shall be bound by all other applicable provision of this chapter.

(e) The limited license shall be renewed annually.

(73 Del. Laws, c. 332, § 1; 77 Del. Laws, c. 463, § 32.)

§ 1132A Academic license — Director or chairperson of a hospital dental or hospital oral and maxillofacial surgery residency program.

(a) On completion of an application approved by the Board and payment of a fee established by the Division, in accordance with § 1125 of this title, the Board may issue an academic license to an applicant for licensure as a dentist who has fulfilled the following requirements:

(1) Meets the requirements of § 1122(a)(1), (2), and (3) of this title.

(2) Presents proof of current licensure in "good standing" in another state, the District of Columbia, or territory of the United States.

(3) The applicant furnishes proof satisfactory to the Board that the applicant is "Board Certified" or is "Board Eligible" in general dentistry or in a specialty of dentistry.

(4) The applicant furnishes proof satisfactory to the Board that the applicant has been appointed a full-time director, chairperson, or an attending faculty member of a hospital based dental, oral and maxillofacial surgery, or other dental specialty residency program of a hospital system that is based in Delaware and that is accredited by, is establishing, or has received Initial Accreditation by the Commission on Dental Accreditation of the American Dental Association (CODA) for the purposes of teaching.

(b) The academic license shall entitle the applicant to practice dentistry or oral and maxillofacial surgery only in the institution designated on the license and on bona fide patients in an academic setting for teaching purposes.

(c) The applicant for this academic license shall comply with the provisions of § 1122(a)(1)-(3), (c)(1)-(7) and (d) of this title.

(d) The holder of an academic license shall be bound by all other applicable provisions of this chapter.

(e) The academic license shall be renewed biennially.

(f) If the applicant was in the process of acquiring a "Board Certification" or "Board Eligible" when the academic license was granted, the applicant must obtain full "Board Certification" in general dentistry or in a specialty of dentistry within 5 years. If this "Board Certification" is not obtained then the Board shall not approve renewal of this academic license.

(g) If the applicant is an appointee to a hospital-based dental or specialty residency program undergoing initial CODA accreditation, the program must complete full accreditation within 2 years. If the program does not have CODA accreditation after 2 years, the Board may approve an extension of the academic license after review of program status.

(h) An individual who received an academic license under this section before June 19, 2014, may retain the academic license if the license remains in good standing and is renewed consecutively.

(i) This academic license will become a full license immediately once the dentist fulfills the requirements of § 1122(a)(4) of this title.

(j) The Board shall promulgate rules regarding issuance of these academic licenses to ensure adequate numbers of educational faculty for resident training and to maintain CODA accreditation as interpreted by the Board.

(77 Del. Laws, c. 205, § 1; 77 Del. Laws, c. 463, § 33; 79 Del. Laws, c. 261, § 1; 83 Del. Laws, c. 420, § 5.)

§ 1132B Community health license to care for underserved populations — Dentists practicing in federally-qualified health centers ("FQHCs") or in government-operated dental clinics.

(a) On completion of an application approved by the Board and payment of a fee established by the Division, the Board shall issue a community health license to care for underserved populations to an applicant who furnishes proof satisfactory to the Board that the applicant has contracted to be an employee with a federally-qualified health center ("FQHC") or a government-operated dental clinic, for purposes of providing care to traditionally underserved populations.

(b) A community health license to care for underserved populations entitles the applicant to practice dentistry as follows:

(1) On individuals served by the FQHC, including during community or outreach events serving underserved populations, or on the staff of the FQHC.

(2) For a government-operated dental clinic.

(c) To obtain a community health license to care for underserved populations at an FQHC or government-operated dental clinic, an individual must meet all of the following requirements:

(1) Has received a degree in dentistry from an accredited dental college or university accredited by the Commission on Dental Accreditation of the American Dental Association.

(2) Before matriculating in a dental college or university, has completed at least 2 years of undergraduate study in an accredited college or university.

(3) Achieve a passing score on the Delaware jurisprudence and National Board of Dental Examiners' examinations.

(4) Does 1 of the following:

a. Takes and passes the Delaware practical examination under § 1123 of this title.

b. Takes and passes a nationally-recognized dental exam given by 1 of the following or a nationally-recognized regional board exam that the Board deems substantially similar to the following:

1. The Council of Interstate Testing Agencies ("CITA").

2. The Central Regional Dental Testing Services ("CRDTS").

3. The Commission on Dental Competency Assessments ("CDCA"), formerly the North East Regional Board of Dental Examiners ("NERB").

4. The Southern Regional Testing Agency ("SRTA").

5. The Western Regional Examining Board ("WREB").

(d) (1) A community health license to care for underserved populations becomes a license under § 1122 of this title once the holder submits proof to the Board that the holder completed 3600 hours over 2 years at an FQHC or a government-operated dental clinic. Hours are limited to a maximum of 40 hours per week, of which at least 32 hours per week must be in providing care for patients at the approved site and only up to 8 hours per week may be for performing clinical-related administrative activities.

(2) If an applicant seeks a license under § 1122 of this title before completing 2 years of service at an FQHC or a government-operated dental clinic, the applicant must comply with §§ 1122(a)(3) and 1123 of this title.

(3) If the requirements of this subsection are not fulfilled, the Board may deny a license under § 1122 of this title.

(e) The holder of a community license to care for underserved populations shall comply with all other applicable provisions of this chapter.

(f) If a holder of a community health license to care for underserved populations continues to practice in compliance with subsection (b) of this section, the license is renewable and shall be renewed biennially by the Board.

(79 Del. Laws, c. 160, § 1; 83 Del. Laws, c. 232, § 1; 83 Del. Laws, c. 420, § 6; 84 Del. Laws, c. 3, § 1.)

Subchapter III

Other Provisions

§ 1133 Exemptions.

Nothing in this chapter shall be construed to prevent:

(1) A licensed dentist or dental hygienist serving in any branch of the United States Armed Services, Veterans' Administration or Public Health Service from discharging the dentist's or dental hygienist's official duties;

(2) A licensed physician or surgeon from extracting teeth or treating pathological conditions of the mouth, teeth or oral tissues, or from radiographing such tissues, unless such person practices dentistry as a specialty;

(3) A lawful practitioner of dentistry in another state, the District of Columbia or a territory of the United States from making a clinical demonstration for educational purposes before a dental society, convention, association of dentists or dental college or performing duties in connection with a specific case on which the practitioner may have been called to this State by a legally qualified practitioner of dentistry of this State.

(4) A practitioner of dentistry who maintains a lawful dental license to practice in another state, the District of Columbia or a territory of the United States from making a clinical demonstration in connection with the lawful research and development of dental product or dental products manufactured by a dental manufacturer complying with guidelines set forth by the United States Food and Drug Administration.

(5) A person not currently licensed as a dentist under this chapter from owning or operating a nonprofit, tax-exempt organization as described in §§ 501(c)(3), 509(a)(1) and 170(b)(1)(A)(iii) of the Internal Revenue Code (26 U.S.C. §§ 501(c)(3), 509(a)(1), and 170(b)(1)(A)(iii)) so long as such person is not otherwise practicing dentistry.

(73 Del. Laws, c. 332, § 2; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 463, § 34; 80 Del. Laws, c. 259, § 1.)

§ 1134 Penalty.

A person not currently licensed as a dentist or dental hygienist under this chapter who engages in the practice of dentistry or dental hygiene or uses in connection with that person's name, or otherwise assumes or uses, any title or description conveying, or tending to convey, the impression that the person is qualified to practice dentistry or dental hygiene shall be guilty of a misdemeanor. Upon the first offense, the person shall be fined not less than $500 nor more than $1,000 for each offense. For a second or subsequent conviction, the fine shall be not less than $1,000 nor more than $2,000 for each offense. Justice of the Peace Courts shall have jurisdiction over all violations of this section.

(73 Del. Laws, c. 332, § 2; 70 Del. Laws, c. 186, § 1.)

§ 1135 Certain unlawful acts; supervision by dentists.

(a) No person shall repair, construct, adjust or alter any appliance, denture or dental restoration except under the authorization and responsibility of a licensed practitioner of dentistry as defined by this chapter.

(b) Dentists may have direct supervision of dental assistants.

(73 Del. Laws, c. 332, § 2; 77 Del. Laws, c. 463, § 35.)

§ 1136 Prescriptions for dentists.

Pharmacists licensed by this State may fill prescriptions of dentists licensed by this State for any drug necessary in the practice of dentistry, dental surgery or oral surgery.

(73 Del. Laws, c. 332, § 2.)

§ 1137 Prescription requirements.

(a) No written prescription shall be prescribed if it does not contain the following information clearly written, clearly hand printed, electronically printed, or typed:

(1) The name, address and phone number of the prescriber;

(2) The name and strength of the drug prescribed;

(3) The quantity of the drug prescribed;

(4) The directions for use of the drug;

(5) Date of issue.

(b) Notwithstanding any other provision of this section or any other law to the contrary, no person licensed under this chapter shall issue any prescription unless such prescription is made by electronic prescription from the person issuing the prescription to a pharmacy in accordance with regulations established by the Board, except for prescriptions issued:

(1) By a veterinarian.

(2) In circumstances where electronic prescribing is not available due to temporary technological or electrical failure, as set forth in regulation established by the Board.

(3) By a practitioner to be dispensed by a pharmacy located outside the State, as set forth in regulations established by the Board.

(4) When the prescriber and dispenser are the same entity.

(5) That include elements that are not supported by the most recently implemented version of the National Council for Prescription Drug Programs Prescriber/Pharmacist Interface SCRIPT Standard.

(6) By a practitioner for a drug that the Federal Food and Drug Administration requires the prescription to contain certain elements that are not able to be prescribed with electronic prescribing.

(7) By a practitioner allowing for the dispensing of a nonpatient specific prescription pursuant to a standing order, approved protocol for drug therapy, collaborative drug management or comprehensive medication management, in response to a public health emergency, or other circumstances where the practitioner may issue a nonpatient specific prescription.

(8) By a practitioner prescribing a drug under a research protocol.

(9) By practitioners who have received a waiver or a renewal thereof for a specified period determined by the Board, not to exceed 1 year, from the requirement to use electronic prescribing, pursuant to regulations established by the Board, due to economic hardship, technological limitations that are not reasonably within the control of the practitioner, or other exceptional circumstance demonstrated by the practitioner.

(10) By a practitioner under circumstances where, notwithstanding the practitioner's present ability to make an electronic prescription as required by this subsection, such practitioner reasonably determines that it would be impractical for the patient to obtain substances prescribed by electronic prescription in a timely manner, and such delay would adversely impact the patient's medical condition.

(c) A pharmacist who receives a written, oral or faxed prescription is not required to verify that the prescription properly falls under 1 of the exceptions under subsection (b) of this section, from the requirement to electronically prescribe. Pharmacists may continue to dispense medications from otherwise valid written, oral or fax prescriptions that are otherwise legal.

(75 Del. Laws, c. 161, § 2; 82 Del. Laws, c. 75, § 2.)

§ 1138 Accreditation of facilities where office-based surgeries are performed.

No person licensed under this chapter shall perform any office-based surgery, as defined in § 122(3)y. of Title 16, in a facility unless such facility is accredited or licensed in accordance with § 122(3)z. of Title 16. For purposes of this section, "facility" and "office-based surgery" mean as defined in § 122(3)y. of Title 16.

(78 Del. Laws, c. 80, § 4; 81 Del. Laws, c. 417, § 3.)

§§ 1151-1159 [Reserved.]

Subchapter IV

Violations and Penalties; Enforcement

§ 1171 Name used in practice of dentistry.

(a) Any dentist who practices or offers to practice dentistry or dental surgery may use a trade name that is not false, misleading or deceptive provided that the proper name of 1 of the owners, all of whom must be dentists, is used in conjunction with the trade name.

(b) Advertisements in any medium shall include the name, as it appears on the current biennial renewal certificate, and the degree — D.D.S. or D.M.D. — of at least 1 licensed dentist who is an owner of the dental facility.

(c) A directory listing all of the names of the dentists practicing at that location shall be prominently displayed in the entrance or reception area of the dental facility.

(d) The names of dentists who have practiced under the trade name shall be maintained in the records of the dental facility for at least 5 years following their departure from the practice.

(e) The use of the name of a dentist no longer actively associated with the practice may not be continued for longer than 1 year.

(f) A trade name may not include the word “clinic” unless the name designates a public or nonprofit facility.

(g) A trade name may not include the word “institute” unless the name designates an educational or research facility.

(h) A trade name may not, by the use of plurals or otherwise, misrepresent the number of dentists practicing at a facility.

(Code 1915, § 892T; 38 Del. Laws, c. 48, § 2; Code 1935, § 993; 24 Del. C. 1953, § 1171; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 463, § 36.)

§ 1172 Unlawful acts; penalty.

Whoever employs a person who is not a licensed dentist to perform dental operations as defined in this chapter or permits such persons to practice dentistry in the employer’s office; or whoever practices dentistry under a false name or assumes a title or appends or prefixes to that person’s name letters which falsely represent the person as having a degree from a chartered dental college, or makes use of the words “dental college” or “dental school” or equivalent words when not lawfully authorized so to do, or impersonates another at an examination held by the Board, or knowingly makes a false application or a false representation in connection with such examination; shall be fined not less than $100 nor more than $200.

(Code 1915, § 892Y; 38 Del. Laws, c. 48, § 2; Code 1935, § 998; 24 Del. C. 1953, § 1172; 70 Del. Laws, c. 186, § 1.)

§ 1173 Failure to display dentistry license; penalty [Repealed].

Code 1915, § 892W; 38 Del. Laws, c. 48, § 2; Code 1935, § 996; 24 Del. C. 1953, § 1173; 70 Del. Laws, c. 186, § 1; repealed by 82 Del. Laws, c. 8, § 3, effective Apr. 9, 2019.

§ 1174 Practice of dentistry after cancellation of registration.

Any person whose registration as a practitioner of dentistry has been cancelled under this chapter shall be deemed an unregistered person and subject as such to the penalties prescribed for the practice of dentistry by persons who are not duly registered.

(Code 1915, § 892S; 38 Del. Laws, c. 48, § 2; Code 1935, § 992; 24 Del. C. 1953, § 1174.)

§ 1175 Filing of false documents or forged affidavits; penalty.

Whoever files or attempts to file as that person’s own the diploma, certificate or license of another or a forged, false affidavit of identification or qualification is guilty of a felony and shall be fined not less than $1,000 nor more than $5,000 and imprisoned not more than 5 years.

(Code 1915, § 892L; 38 Del. Laws, c. 48, § 2; Code 1935, § 985; 24 Del. C. 1953, § 1175; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 463, § 37.)

§ 1176 Certain specific offenses; penalty.

Repealed by 77 Del. Laws, c. 463, § 46, effective July 30, 2010.

§ 1177 Sale or offer to sell, procurement, alteration or use of diploma, license or certificate; penalty.

Whoever sells or offers to sell a diploma conferring a dental degree or a license or a certificate granted pursuant to this chapter or procures such diploma or license or certificate with intent to use the same as evidence of the right to practice dentistry as defined by law, by a person other than the 1 to whom such diploma was issued or to whom such license was granted or any person who, with fraudulent intent, alters such diploma or license or certificate or attempts to use the same shall be fined not less than $1,000 nor more than $5,000.

(Code 1915, § 892X; 38 Del. Laws, c. 48, § 2; Code 1935, § 997; 24 Del. C. 1953, § 1177; 77 Del. Laws, c. 463, § 38.)

§ 1178 Practice without registration or certificate; separate offenses; penalty.

Whoever practices or attempts to practice dentistry or dental hygiene within this State without having been licensed to practice dentistry or dental hygiene or during the period of suspension or revocation of such license previously granted shall be fined not less than $500 nor more than $1,000, or imprisoned not less than 1 month nor more than 1 year, or both, and, upon a second or any subsequent offense, shall be fined not less than $1,000 nor more than $5,000 and imprisoned not less than 6 months nor more than 1 year.

Each act of practice or attempt to practice dentistry or dental hygiene in violation of this section shall be a separate offense.

(Code 1915, § 892P; 38 Del. Laws, c. 48, § 2; Code 1935, § 989; 24 Del. C. 1953, § 1178; 49 Del. Laws, c. 287; 65 Del. Laws, c. 210, § 12; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 463, §§ 39-41.)

§ 1179 Penalty in absence of specific designation.

Whoever violates any provision or law relating to the practice of dentistry or dental hygiene or the application for examination and licensing of dentists and registration of dental hygienists, for which no specific penalty has been prescribed, shall be fined not less than $500 nor more than $1,000.

(Code 1915, § 892Z; 38 Del. Laws, c. 48, § 2; Code 1935, § 999; 24 Del. C. 1953, § 1179; 65 Del. Laws, c. 210, § 12; 77 Del. Laws, c. 463, § 42.)

§ 1180 Second or subsequent offenses.

Whoever is convicted of a second or subsequent offense under § 1172, § 1173 [repealed], § 1177 or § 1179 of this title shall be punished by the maximum fine prescribed in such section or by imprisonment for not less than 10 nor more than 60 days or by both such fine and imprisonment.

(Code 1915, § 892AA; 38 Del. Laws, c. 48, § 2; Code 1935, § 1000; 24 Del. C. 1953, § 1180; 82 Del. Laws, c. 8, § 3.)

§ 1181 Enforcement of chapter; prosecution for violations.

(a) [Repealed.]

(b) All violations of the laws relating to the practice of dentistry and dental hygiene shall be prosecuted in courts of this State by the Attorney General or one of the Attorney General's deputies.

(17 Del. Laws, c. 496, § 7; 21 Del. Laws, c. 242, § 4; Code 1915, § 892; 38 Del. Laws, c. 48, § 2; Code 1935, § 973; 24 Del. C. 1953, § 1181; 65 Del. Laws, c. 210, § 12; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 463, §§ 43, 44.)

Subchapter V

General Provisions

§ 1191 Immunity of officials reviewing dental records and dentists' work; confidentiality of investigative records; liability of informants.

(a) The members of the State Board of Dentistry and Dental Hygiene of Delaware, dental ethics committee and dentists who are members of hospital and Delaware State Dental Society committees whose function is the review of dental records and of dentists' work with a view to quality of care and utilization of hospital facilities, home visits and office visits shall severally not be subject to, and shall be immune from, claim, suit, liability, damages or any other recourse, civil or criminal, arising from any act or proceeding, decision or determination undertaken, performed or reached in good faith and without malice by any such member or members acting individually or jointly in carrying out the responsibilities, authority, duties, powers and privileges of the offices conferred by law upon them under this chapter, or any other state law, or duly adopted rules and regulations of the aforementioned committees and hospitals, good faith being presumed until proven otherwise, with malice required to be shown by a complainant.

(b) The records and proceedings of any such committees or organizations as described in subsection (a) of this section shall be confidential records which shall not constitute public records or be available for general inspection by the public. All hearings on complaints shall be opened to the public only at the request of the respondent.

(c) No person who provides information to any such committees or organizations as described in subsection (a) of this section, or who testifies as a witness, shall be held liable in any cause of action arising out of the providing of such information or the giving of such testimony, provided that such person does so in good faith and without malice.

(59 Del. Laws, c. 368, § 1; 64 Del. Laws, c. 236, § 1; 77 Del. Laws, c. 463, § 3.)

§ 1192 Reports of dental malpractice actions.

The Prothonotary in each county of the State shall report to the Board the adjudication of any dental malpractice claim against a dentist which was filed in the Prothonotary's office or the settlement of any dental malpractice claim which was filed in the Prothonotary's office.

(65 Del. Laws, c. 210, § 13; 70 Del. Laws, c. 186, § 1.)

§ 1193 Limitation of action for dental malpractice.

No action for recovery of damages against a dentist, dental hygienist or dental assistant for personal injury, including death, allegedly suffered in the course of dental treatment, shall be brought after the expiration of 2 years from the date upon which such injury occurred; provided, however, that:

(1) Solely in the event of a personal injury the occurrence of which during such period of 2 years was unknown to and could not in the exercise of reasonable diligence have been discovered by the injured person, such action may be brought prior to the expiration of 3 years from the date upon which such injury occurred, and not thereafter; and

(2) A minor under the age of 6 years shall have until the latter time for bringing such an action as provided for in paragraph (1) of this section or until the minor's sixth birthday to bring an action.

(65 Del. Laws, c. 210, § 14.)

§ 1194 Appeal panel.

(a) Prior to the administration of the first practical examination each year by the Board, the Director of the Division of Professional Regulation shall appoint an appeal panel to hear appeals as a result of denial of licensure by the Board for failure of a practical examination. For appeals arising from the failure of the dental practical examination, the appeal panel shall consist of 2 dentists licensed to practice in this State and 1 public member. For appeals arising from the failure of the dental hygiene practical examination, the appeal panel shall consist of 1 dentist licensed to practice in this State, 1 dental hygienist and 1 public member.

(b) The licensed professional members of the respective panels shall have at least 3 years of experience practicing in their respective professions. A professional member shall not have served on the State Board of Dentistry and Dental Hygiene nor on the Dental Hygiene Advisory Committee for at least 5 years preceding the professional member's appointment to the panel; nor shall such professional member be an officer or other elected official in a professional association of dentists or dental hygienists. The public member shall not have been a dentist nor dental hygienist, nor a member of the immediate family of a dentist or dental hygienist, nor have been employed by a dentist, nor have a material interest in the providing of goods and services to dentists, nor have been engaged in an activity directly

related to dentistry or dental hygiene.

(c) Each member of the appeal panel shall serve for a term of 1 year and shall be eligible for 1 additional reappointment to the appeal panel.

(d) In the event an applicant for licensure has failed a practical examination administered by the State Board of Dentistry and Dental Hygiene, the applicant has the right to appeal the decision by the Board. Such appeal shall be filed in writing with the Director of the Division of Professional Regulation within 20 days of the date of notification by the Board. Upon receipt of the applicant's written appeal of the Board's decision, the Director shall convene the appeal panel within 30 days. The Director shall notify the appellant by certified mail of the date set for the hearing.

(e) The conduct of all hearings and the issuance of orders shall be in accordance with procedures established pursuant to this section, Chapter 101 of Title 29 and § 8735 of Title 29. Where such provisions conflict with the provisions of this section, this section shall govern.

(f) The applicant may appeal any denial of licensure by the panel to the Superior Court within 30 days' notice of denial. Such appeal shall be on the record.

(g) In the event that an appeal is remanded from Superior Court, such appeal will be heard by the original appeal panel.

(70 Del. Laws, c. 406, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 463, § 3.)

§ 1195 Dentist discontinuing practice or leaving state; death of a dentist; change of dentist and transfer of patient records; notification of patients.

(a) Every dentist licensed to practice under this chapter who is discontinuing a practice in this State or is leaving this State and who is not transferring patient records to another dentist shall notify that dentist's own patients of record by publishing a notice to that effect in a newspaper of daily circulation in the area where the dentist practices. The notice must be published at least 3 times over a 3-month period in advance of discontinuing the practice or leaving the State and must explain how a patient can procure that dentist's own patient records. All patients of record who have not requested their records 30 days before the closing of the practice shall be notified by first class mail by the dentist to permit the patients to procure their records. Any patient records that have not been procured within 7 years after the dentist discontinues practice or leaves the State may be permanently disposed of in a manner that ensures the confidentiality of the records.

(b) When a dentist licensed to practice under this chapter dies and has not transferred patient records to another dentist and has not made provisions for a transfer of patient records to occur upon the dentist's death, a personal representative of the dentist's estate shall notify the dentist's patients of record by publishing a notice to that effect in a newspaper of daily circulation in the area where the dentist practiced. The notice must be published at least 3 times over a 3-month period after the dentist's death and must explain how a patient can procure patient records. All patients of record who have not requested their records 30 days after publication shall be notified by first class mail by the personal representative of the estate to permit patients to procure their records. Any patient records that have not been procured within 7 years after the death of the dentist may be permanently disposed of in a manner that ensures confidentiality of the records.

(c) Whenever a patient changes from the care of 1 dentist to another dentist, the prior dentist shall transfer the records of the patient to the new dentist upon the written request of either the new dentist or the patient. If a patient changes care from 1 dentist to another dentist and fails to notify the prior dentist, or leaves the care of the prior dentist for a period of 7 years from the last entry date on the patient's record and fails to notify the prior dentist, or fails to request the transfer of records to the new dentist, then the prior dentist shall maintain said records for a period of 7 years from the last entry date in the patient's dental record after which time the records may be permanently disposed of in a manner that ensures confidentiality of the records.

(d) This section shall not apply to a dentist who has seen or treated a patient on referral from another dentist and who has provided a record of the diagnosis or treatment to another dentist, hospital or agency which has provided treatment for the patient.

(e) A dentist or the personal representative of the estate of a dentist who disposes of patient records in accordance with the provisions of this section is not liable for any direct or indirect loss suffered as a result of the disposal of a patient's records.

(75 Del. Laws, c. 72, § 1; 70 Del. Laws, c. 186, § 1.)

Subchapter VI

Volunteer License Provisions

§ 1196 Volunteer license, application procedures [Repealed].

76 Del. Laws, c. 309, § 1; repealed by 81 Del. Laws, c. 79, § 38, effective July 17, 2017.

§ 1197 Restrictions under the volunteer license for practicing dentistry [Repealed].

76 Del. Laws, c. 309, § 1; repealed by 81 Del. Laws, c. 79, § 38, effective July 17, 2017.

§ 1198 Volunteer license; maintenance requirements [Repealed].

76 Del. Laws, c. 309, § 1; repealed by 81 Del. Laws, c. 79, § 38, effective July 17, 2017.

§ 1199 Evaluation of volunteer license [Repealed].

76 Del. Laws, c. 309, § 1; repealed by 81 Del. Laws, c. 79, § 38, effective July 17, 2017.

§ 1199A Penalties, civil violation [Repealed].

76 Del. Laws, c. 309, § 1; repealed by 81 Del. Laws, c. 79, § 38, effective July 17, 2017.

Chapter 12

Security Alarm Systems and Protective Services [For application of this chapter, see 81 Del. Laws, c. 73, §? 7]

Subchapter I

Licensure [For application of this subchapter, see 81 Del. Laws, c. 73, §?7]

§ 1201 Definitions [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) "Compliance agent" means an individual employed by a licensed security alarm business with no physical presence within this State who serves in a management capacity within this State, and who ensures compliance of the security alarm business with the requirements of this chapter.

(b) "Department" means the Department of Safety and Homeland Security.

(c) "Director" means the officer in charge of the Professional Licensing Section of the Division.

(d) "Division" means the Division of the Delaware State Police.

(e) "Employee" means any person who performs services for wages or salary.

(f) "Licensee" means any person licensed to engage in the business of installing, servicing, selling, repairing, replacing, provision of monitoring or maintaining security alarm systems under this chapter.

(g) "Officer" means the president, vice president, secretary, treasurer, comptroller, partner, or owner.

(h) "Professional Licensing Section" means the Professional Licensing Section within the Division.

(i) "Security alarm business" means a partnership, corporation or other business entity engaged in the sales, installation, service, maintenance, repair, replacement or provision of monitoring services at a customer's home or customer's business. This term does not include a partnership, corporation or other business entity that is engaged in sales of security alarm systems in a retail store location, online or by telephone.

(j) "Security alarm system" means an assembly of equipment and devices arranged to signal the presence of a hazard requiring urgent attention and to which police are expected to respond. This term does not include an alarm installed in a vehicle or on someone's person.

(k) "Superintendent" means the Superintendent of the Division of the Delaware State Police or the Superintendent's designee.

(64 Del. Laws, c. 281, § 1; 64 Del. Laws, c. 377, § 1; 76 Del. Laws, c. 179, §§ 1-3; 81 Del. Laws, c. 73, § 1.)

§ 1202 License requirement [For application of this section, see 81 Del. Laws, c. 73, §7].

No person may operate a security alarm business without having obtained a license from the Professional Licensing Section pursuant to the requirements of this chapter. An occupational license issued by the Delaware Division of Revenue, pursuant § 2301 of Title 30 does not grant a security alarm business the right to operate within this State without obtaining a license under this chapter.

(64 Del. Laws, c. 281, § 1; 81 Del. Laws, c. 73, § 1.)

§ 1203 Duties and powers of Professional Licensing Section [For application of this section, see 81 Del. Laws, c. 73, §7].

The Professional Licensing Section shall have all of the following duties and powers:

(1) Adopt and, from time to time, revise such rules, regulations and standards not inconsistent with the law, as may be necessary to enable it to carry into effect this chapter.

(2) Deny or withdraw approval from applicants for failure to meet approved application procedures and other criteria.

(3) Conduct hearings upon request for denial, suspension or revocation of a security alarm business license or security alarm business employee license.

(4) Issue subpoenas and compel the attendance of witnesses, and administer oaths to persons giving testimony at hearings.

(5) Perform inspections by entering at all reasonable times upon any security alarm business or location of a compliance agent, whether public or private property, for the purpose of determining compliance with or violations of this chapter and the promulgated rules and regulations. The Professional Licensing Section shall give any security alarm business 48 hours to comply and schedule such an inspection.

(6) Have all the duties, powers and authority necessary to the enforcement of this chapter, as well as such other duties, powers and authority as it may be granted from time to time by the Superintendent.

(81 Del. Laws, c. 73, § 1.)

§ 1204 Security alarm business requirements [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) An applicant for a license to operate as a security alarm business in this State shall furnish all of the following information to the Professional Licensing Section:

(1) If an applicant for licensure is a sole proprietor, the full name, residential address or address of the place of business, and telephone number. If the sole proprietor will not be personally and actively in charge of the business, the sole proprietor shall provide

the same information for the person who will manage the business. The sole proprietor shall subscribe to, verify and swear to the application.

(2) If an applicant for licensure is a partnership, the true names, addresses and phone numbers of all the general partners, the name of the partner to be actively in charge of the business or the individual who the partnership appoints to manage the security alarm business. The designated partners or management appointees shall subscribe to, verify and swear to the application.

(3) If an applicant for licensure is a corporation, the true names, addresses and phone numbers of the officers and any other corporate officer or management appointee who will be actively involved in the security alarm business. The designated corporate officer or management appointee shall subscribe to, verify and swear to the application.

(4) If an applicant for licensure is an sole proprietor, partnership or corporation with no physical presence within the State, the applicant shall state the true name, address and telephone number of the compliance agent within this State who will maintain active security alarm business records, including personnel and training records, and supply them upon demand from the Professional Licensing Section within a 48-hour period. The compliance agent shall subscribe to, verify and swear to the application.

(5) A background statement providing the date of inception of the security alarm business or the intended date of inception, the nature of the security alarm business that is the subject of the application, and prior security alarm system work experience both within and outside of this State.

(6) If the applicant for licensure is an individual, the applicant's place of employment for the past 3 years including length of time at each position.

(7) A statement detailing whether an applicant has ever been denied a license or permit, or had a license or permit suspended or revoked, in any jurisdiction to engage in the security alarm business industry.

(8) A list of any felony or misdemeanor convictions in any jurisdiction for all applicants, officers, management appointees, or compliance agents listed on the application.

(9) The Director may require additional information that may reasonably be deemed necessary to determine whether the applicant or individual signing the application meets the requirements of this chapter.

(b) The Professional Licensing Section shall review each applicant, officer, management appointee or compliance agent for evidence of good character and organization illustrating a legitimate purpose. The Professional Licensing Section's review shall include all of the following:

(1) A record of arrests or convictions for crimes involving offenses against the person, dishonesty and fraud in relation to business of security alarm systems.

(2) A record of complaints filed with the Fraud and Consumer Protection Division of the Delaware Department of Justice or Better Business Bureau regarding the applicant, officers, management appointees or compliance agents.

(3) Evidence of a total lack of training or experience in the installation of security alarm systems by any person named in the application;

(4) Failure to obtain a bond as required by § 1211 of this title.

(64 Del. Laws, c. 281, § 1; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 252, § 11; 81 Del. Laws, c. 73, § 1.)

§ 1205 Security alarm business employee, licensee, compliance agent requirements [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) No security alarm business will be issued a license unless all officers, management appointees, or compliance agents who will be actively involved in the security alarm business submit to the State Bureau of Identification their name, Social Security number, race, sex, date of birth, height, weight, hair and eye color, address of legal residence and the provision of such other information as may be necessary to obtain a report of the person's entire criminal history record by the State Bureau of Identification and a report of the person's entire Federal criminal history pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544.

(b) Whoever wishes to be licensed under this chapter as an employee of a security alarm business must meet and maintain all of the following requirements:

(1) Must not have been convicted of a felony.

(2) Must not have been convicted of any misdemeanor involving theft or a theft-related offense, drug offense, or moral turpitude within the last 7 years and must also not have any of the following:

a. More than 2 of such misdemeanors during such the person's lifetime.

b. A misdemeanor conviction that occurred during or as a result of employment in a capacity regulated by this chapter.

(3) Must not have been adjudicated delinquent for conduct as a juvenile which if committed by an adult would constitute a felony, unless and until that person has reached their twenty-first birthday.

(4) If a veteran of any branch of the armed forces, must not have been dishonorably discharged.

(5) Must meet and maintain the qualifications in the rules and regulations promulgated by the Professional Licensing Section in carrying out the provisions of this chapter.

(64 Del. Laws, c. 281, § 1; 69 Del. Laws, c. 291, § 98(c); 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 252, § 12; 81 Del. Laws, c. 73, § 1.)

§ 1206 Renewal of license [For application of this section, see 81 Del. Laws, c. 73, §7].

Each license shall expire 2 years after the date of issuance.

(64 Del. Laws, c. 281, § 1; 81 Del. Laws, c. 73, § 1.)

§ 1207 Change in ownership or site of business; revocation of license [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) A new license is required whenever there is any change in the ownership, type of organization, or control of the licensed security alarm business that results in the creation of a new legal entity.

(b) In the event of any change in the ownership or type of organization or any change in the address of any office or location, the licensee shall notify the Director of such a change in writing within 14 days of the change. A licensee's failure to give such notification is sufficient cause for suspension or revocation of the security alarm business license.

(c) Each license shall be issued to the person named on the application and shall be valid only for the person named on the license. No license shall be assigned or otherwise transferred to another person, with the exception of a sole proprietorship or partnership that incorporates, where the initial licensee remains as a principal in the newly-formed corporation.

(d) Each new officer, management appointee, or compliance agent shall provide the Professional Licensing Section with the information required under § 1204(a) of this title.

(e) The Professional Licensing Section shall review each new applicant under subsection (d) of this section as provided under § 1204 of this title. If the applicant fails to meet the standards the license shall be revoked.

(64 Del. Laws, c. 281, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 110, § 138.)

§ 1208 Posting of license [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) Before any applicant shall exercise any rights under the license issued to the applicant, such license, or a certified copy thereof, shall be posted and at all times thereafter, while the same is in force, be displayed in a conspicuous place in the principal office and in each bureau, agency, subagency, office or branch office for which it is issued.

(b) No person holding any license approved by the Professional Licensing Section under this chapter may:

(1) Post or permit such license to be posted upon premises other than those described therein or to which it may be transferred pursuant to this chapter;

(2) Fail to maintain such license in a conspicuous place in such offices or places of business; or

(3) Knowingly alter, deface or destroy any such license or permit the alteration, defacement or destruction thereof.

(64 Del. Laws, c. 281, § 1; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 73, § 1.)

§ § 1209 Identification cards [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) The Professional Licensing Section shall issue identification cards to all persons licensed under this chapter.

(b) Any person operating a security alarm business shall provide the Professional Licensing Section with the name, address, Social Security number and 1 set of classifiable fingerprints recorded in the manner required by the Professional Licensing Section for each employee hired, except persons subject to subsection (g) of this section.

(c) No individual shall function as an employee of a security alarm business or perform the duties described in subsection (d) of this section without first obtaining the identification card required by this section.

(d) Officers, compliance agents, employees, and licensees of all security alarm businesses shall obtain an identification card if they are directly engaged in selling, installing, altering, servicing, moving, maintaining, repairing, replacing, monitoring, or responding to or causing others to respond to security alarm systems within this State.

(e) An identification card must be carried by any cardholder at all times such individual is engaged in the security alarm business and must be exhibited upon request.

(f) No identification card issued pursuant to this chapter shall be transferable.

(g) Officers, compliance agents, employees, and licensees who do not perform functions at an end-user's premises are not subject to the requirements of subsections (a) through (f) of this section if their duties are limited to selling security alarm systems at a retail store location, online, or by telephone.

(64 Del. Laws, c. 281, § 1; 79 Del. Laws, c. 62, § 1; 81 Del. Laws, c. 73, § 1.)

§ 1210 Employee identification cards — Renewal; notice of changes [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) Identification cards approved by the Professional Licensing Section shall expire and be renewable on the fifth anniversary of the date of birth of the applicant next following the date of its issuance. If the applicant's birth date is February 29, the identification card shall expire and be renewable on February 28 every fifth year.

(b) The Professional Licensing Section may refuse to renew an identification card for any grounds set forth in § 1213(a) of this title.

(c) A security alarm business shall notify the Professional Licensing Section within 10 days after the termination of employment of, or association of, any identification cardholder of such security alarm business.

(64 Del. Laws, c. 281, § 1; 73 Del. Laws, c. 370, § 1; 81 Del. Laws, c. 73, § 1.)

§ 1211 Issuance of license; bond [For application of this section, see 81 Del. Laws, c. 73, §7].

Upon notification of approval of an application, the newly-licensed security alarm business shall provide the Professional Licensing Section with all of the following at the time of receiving the license and prior to the license being operative:

(1) An occupational license issued by the Division of Revenue as evidence of the ability to conduct business in this State for all offices, bureaus, agencies or branches named in the application.

(2) A cash bond or evidence that the newly-licensed security alarm business is covered by a surety bond conditioned for the faithful and honest conduct of such business by the newly-licensed security alarm business, executed by a surety company authorized to do business in this State, in a reasonable amount to be fixed by the Professional Licensing Section for any person aggrieved by the misconduct of any person licensed under this chapter.

(64 Del. Laws, c. 281, § 1; 74 Del. Laws, c. 110, § 138; 81 Del. Laws, c. 73, § 1.)

§ 1212 Injunctions [For application of this section, see 81 Del. Laws, c. 73, §7].

Upon authority of the Superintendent, any person that has engaged in or is about to engage in any act or practice that constitutes a violation of any provision of this chapter or any promulgated rule or regulation, the Director may request the Attorney General to make an application to the Court of Chancery for an order enjoining such acts or practices or for an order directing compliance and, upon a showing by the Director that such person has engaged in any such act or practice, a permanent or temporary injunction, restraining order, or other order may be granted.

(81 Del. Laws, c. 73, § 1.)

§ 1213 Disciplinary proceedings; appeal [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) *Grounds. —*

Subject to the provisions of this chapter, the Superintendent may impose any of the sanctions listed in subsection (b) of this section, singly or in combination, when it finds an applicant, licensee, officer, compliance agent or employee is guilty of any of the following offenses:

(1) Conducting a security alarm business without a license.

(2) Working as an employee or licensee without an identification card.

(3) Lacking good character and legitimate purpose § 1204(b) of this title.

(4) Obtaining criminal charges pursuant to § 1205 of this title.

(5) Failing to obtain an identification card under § 1209 of this title.

(6) Failing to notify subscribers of a security alarm business of a suspension or revocation of its license.

(7) Failing to file a surety bond under § 1211 of this title.

(8) Failing to surrender a revoked license or identification card.

(9) Submitting false or fraudulent information on an application for a license or identification card.

(10) Violating any provision of this chapter or any promulgated rule or regulation.

(b) *Disciplinary sanctions. —*

(1) Permanently revoke a license or identification card.

(2) Suspend a license or identification card.

(3) Issue a letter of reprimand.

(4) Refuse to issue a license or identification card.

(5) Refuse to renew a license or identification card.

(6) Other discipline as considered appropriate and necessary.

(c) *Procedure. —*

(1) After receipt of written notice from the Professional Licensing Section of the Director's denial, suspension, or revocation of a license or identification card, the applicant, licensee, officer, compliance agent or employee shall be afforded a hearing before the Superintendent.

(2) The accused may be represented by counsel who shall have the right of examination and cross examination.

(3) Testimony before the Superintendent shall be under oath.

(4) A record of the hearing shall be made. At the request and expense of any party such record shall be transcribed with a copy to the other party.

(5) The Superintendent's decision shall be based upon sufficient legal evidence. If the charges are supported by such evidence, the Superintendent may sanction such a person as provided by subsection (b) of this section. A suspended license may be reissued upon a further hearing initiated at the request of the suspended licensee, officer, compliance agent or employee by written application to the Director.

(d) All decisions of the Superintendent shall be final and conclusive. Where applicant, licensee, officer, compliance agent or employee is in disagreement with the action of the Superintendent, the practitioner may appeal the Superintendent's decision to the Secretary of the Department within 30 days of service or the postmarked date of the copy of the decision mailed to the individual. The appeal shall be on the record to the Secretary as provided in the Administrative Procedures Act §§ 10142-10145 of Title 29.

(64 Del. Laws, c. 281, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 110, § 138; 81 Del. Laws, c. 73, § 1.)

§ 1214 Penalties [For application of this section, see 81 Del. Laws, c. 73, §7].

Whoever shall violate any part of § 1213 of this title or any provision of this chapter shall be subject to an administrative penalty not to exceed $500 or imprisonment for a period not to exceed 90 days or both.

(1) Assessment of an administrative penalty shall be determined by the nature, circumstances, extent and gravity of the violation, or violations, ability of the violator to pay, any prior history of such violations, the degree of culpability, economic benefit or savings (if any) resulting from the violation and such other matters as justice requires.

(2) In the event of nonpayment of the administrative penalty after all legal appeals have been exhausted, a civil action may be brought by the Superintendent in any court of competent jurisdiction, including any Justice of the Peace Court, for collection of the administrative penalty, including interest, attorneys' fees and costs and the validity and appropriateness of such administrative penalty shall not be subject to review.

(64 Del. Laws, c. 281, § 1; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 73, § 1.)

§ 1215 Notification of arrest [For application of this section, see 81 Del. Laws, c. 73, §7].

Any individual licensed under this chapter shall notify the Director within 5 days, excluding weekends and state holidays, of any arrest which could result in a misdemeanor or felony conviction. Failure to do so may result in the suspension or revocation of a license.

(81 Del. Laws, c. 73, § 1.)

Subchapter II

False Alarms [For application of this subchapter, see 81 Del. Laws, c. 73, § ?7]

§ 1221 Purpose [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) The purpose of this subchapter is to encourage security alarm system users and security alarm businesses to properly use and maintain the operational effectiveness of security alarm systems in order to improve the reliability of security alarm systems and reduce or eliminate false alarms.

(b) This subchapter governs security alarm systems intended to summon law-enforcement response, requires registration by security alarm system users, establishes a system of administration, and provides for the enforcement of penalties for violations of this section.

(76 Del. Laws, c. 179, § 4; 81 Del. Laws, c. 73, §§ 5, 6.)

§ 1222 Definitions [For application of this section, see 81 Del. Laws, c. 73, §7].

The following words and phrases, when used in this subchapter, shall have the meaning ascribed to them in this section, except where the context clearly indicates a different meaning:

(1) "Alarm" means a security alarm system signal that is created by the activation of a security alarm system.

(2) "Arming station" means a device that allows control of a security alarm system.

(3) "Automatic voice dialer" means any electrical, electronic, mechanical, or other device capable of being programmed to send a prerecorded voice message, when activated, over a telephone line, radio or other communication system, to a law-enforcement authority, public safety or emergency services agency requesting dispatch.

(4) "Cancellation" means the process where response to a security alarm system dispatch request is terminated when a security alarm business for the security alarm system site notifies the responding law-enforcement agency, prior to arrival at the security alarm system site, that there is not an existing situation at the security alarm system site requiring law-enforcement authority response.

(5) "Conversion" means the transaction or process by which a security alarm business begins the servicing and/or monitoring of a previously unmonitored security alarm system or a security alarm system previously serviced and/or monitored by another security alarm business.

(6) "Duress alarm" means a silent security alarm system signal generated by the entry of a designated code into an arming station in order to signal that the security alarm system user is being forced to turn off the system and requires law-enforcement response.

(7) "False alarm" means a security alarm system dispatch request to a law-enforcement authority, when no emergency of actual or threatened criminal activity requiring immediate response exists. This definition includes signals activated by negligence, accident, and mechanical failure; signals activated intentionally in nonemergency situations; and signals for which the actual cause is unknown. There is a rebuttable presumption that an alarm is false if personnel responding from a law-enforcement authority do not discover any evidence of unauthorized entry, criminal activity, or other emergency after following normal police procedures in investigating the incident. An alarm is not false if the security alarm system user proves that:

a. An individual activated the alarm based on a reasonable belief that an emergency or actual or threatened criminal activity requiring immediate response existed;

b. The security alarm system was activated by a violent condition of nature, including but not limited to tornadoes, floods, earthquakes and lightning, or by an electrical surge that caused physical damage to the system, as evidenced by testimony of a licensed security alarm system contractor who has conducted an on-site inspection and personally observed the damage to the system;

c. If the security alarm system user experienced a power outage, causing the alarm to activate upon restoration of power, as

evidenced by written documentation provided by Delmarva Power or other applicable provider; or,

d. Where there has been a cancellation as defined in paragraph (4) of this section.

(8) “Holdup alarm” means a silent alarm signal generated by the manual activation of a device intended to signal a robbery in progress.

(9) “Law-enforcement authority” means any authorized representative of a law-enforcement agency.

(10) “Local security alarm system” means any security alarm system, which is not monitored, that annunciates an alarm only at the security alarm system site.

(11) “Monitoring” means the process by which a security alarm business receives signals from a security alarm system and relays a security alarm system dispatch request for the purpose of summoning law enforcement to the security alarm system site.

(12) “Monitoring station” means an office or entity whereby a security alarm business conducts monitoring of security alarm systems for purposes of dispatch and notification. A monitoring station shall provide a toll-free, 24-hour telephone number for use by a responding law-enforcement agency.

(13) “Panic alarm” means an audible security alarm system signal generated by the manual activation of a device intended to signal a life threatening or emergency situation requiring law-enforcement response.

(14) “Person” means an individual, corporation, partnership, association, organization, or similar entity.

(15) “Responder” means an individual capable of reaching the security alarm system site within 30 minutes and having access to the security alarm system site, the code to the security alarm system, and the authority to approve repairs to the security alarm system.

(16) “Security alarm business” is as defined in § 1201 of this title.

(17) “Security alarm system” is as defined in § 1201 of this title.

(18) “Security alarm system administrator” means a person designated by the State with authority to administer, control and review false alarm reduction efforts and administer the provisions of this section.

(19) “Security alarm system dispatch request” means a notification to a law-enforcement authority that a security alarm system, either manual or automatic, has been activated at a particular security alarm system site.

(20) “Security alarm system registration” means authorization granted by the security alarm system administrator to a security alarm system user to operate a security alarm system.

(21) “Security alarm system site” means a single fixed premises or location served by a security alarm system or systems. Each unit, if served by a separate security alarm system in a multi-unit building or complex, shall be considered a separate security alarm system site.

(22) “Security alarm system user” means any person or entity, which has contracted for monitoring, repair, installation or maintenance services from a security alarm business for a security alarm system, or which owns or operates a security alarm system which is not monitored, maintained or repaired under contract.

(23) “Takeover” means the transaction or process by which a security alarm system user takes over control of an existing security alarm system, which was previously controlled by another security alarm system user.

(24) “Verify” means at least 2 attempts by a security alarm business, or its representative, to contact the security alarm system site and/or security alarm system user by telephone and/or other electronic means, whether or not actual contact with a person is made, to determine whether a security alarm system signal is valid before requesting law-enforcement dispatch, in an attempt to avoid an unnecessary security alarm system dispatch request.

(25) “Zones” means division of devices into which a security alarm system is divided to indicate the general location from which a security alarm system signal is transmitted.

(76 Del. Laws, c. 179, § 4; 81 Del. Laws, c. 73, §§ 2, 5, 6.)

§ 1223 Registration [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) A security alarm system user shall not operate, or cause to be operated, a security alarm system at its security alarm system site without obtaining a valid security alarm system registration. A separate security alarm system registration is required for each security alarm system site.

(b) The security alarm system registration application form must be submitted to the security alarm system administrator within 30 days after the security alarm system at a particular site has been activated or within 30 days after a security alarm system takeover. Failure to submit a timely application will result in a nonregistered security alarm system. Use of a nonregistered security alarm system shall be a violation of this chapter.

(c) Each security alarm system application must include the following information:

(1) The name, complete address (including apartment/suite number) and telephone numbers of the person who will be the registration holder and be responsible for the proper maintenance and operation of the security alarm system;

(2) The name and complete address of the security alarm system site, the classification of the security alarm system site as either residential (includes apartment, condominium, mobile home, etc.) or commercial, and the name, address and telephone number of the person responsible for that security alarm system site;

(3) For each security alarm system located at the security alarm system site, the classification of the security alarm system (i.e. burglary, holdup, duress, panic alarms, etc.) and for each classification whether such alarm is audible or silent;

(4) The mailing address, if different from the address of the security alarm system site;
(5) Any dangerous or special conditions present at the security alarm system site;
(6) The names and addresses of at least 2 individuals who are able to, and have agreed to:
a. Receive notification of a security alarm system activation at any time and who can respond to the security alarm system site and, upon request, gain access to the security alarm system site and deactivate the security alarm system if necessary; or,
b. Receive notification of a security alarm system activation at any time and who has access to the security alarm system user for purposes of deactivating the security alarm system, if necessary.
(7) Type of business conducted at a commercial security alarm system site;
(8) Signed certification from the security alarm system user stating the following:
a. The date of installation, conversion or takeover of the security alarm system, whichever is applicable;
b. The name, address and telephone number of the security alarm system inspection company or companies performing the security alarm system installation; conversion or takeover and of the security alarm system installation company responsible for providing repair service to the security alarm system;
c. The name, address and telephone number of the monitoring company if different from the security alarm system installation company;
d. That a set of written operating instructions for the security alarm system, including written guidelines on how to avoid false alarms, has been left with the applicant by the security alarm system installation company; and,
e. That the alarm installation company has trained the applicant in proper use of the security alarm system, including instructions on how to avoid false alarms.
(9) Acknowledgment that any delay in law-enforcement authority response time may be influenced by factors including, but not limited to priority of calls, weather conditions, traffic conditions, emergency conditions, staffing levels, etc.

(d) Any false statement of material fact made by an applicant for the purpose of obtaining a security alarm system registration shall be sufficient cause for refusal to issue a registration.

(e) A security alarm system registration shall not be transferable to another person or security alarm system site. A security alarm system user shall inform the security alarm system administrator of any change that alters any of the information listed on the security alarm system registration application within 5 days of such change.

(76 Del. Laws, c. 179, § 4; 81 Del. Laws, c. 73, § 6.)

§ 1224 Duties of the security alarm system user [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) A security alarm system user shall maintain the security alarm system site and the security alarm system in a manner that will minimize or eliminate false alarms.

(b) A security alarm system user shall maintain at each security alarm system site a set of written operating instructions for each security alarm system.

(c) A security alarm system user that is using a security alarm business for monitoring shall provide that security alarm business at least 2 different telephone numbers to verify an alarm.

(d) A security alarm system user shall adjust the mechanism or cause the mechanism to be adjusted so that an alarm signal audible on the exterior of a security alarm system site will sound for no longer than 10 minutes after being activated.

(e) A security alarm system user shall not use an automatic voice dialer.

(f) Violations of subsections (a), (b), (c) and (d) of this section shall result in an assessment of a civil penalty against the security alarm system user in the amount of $50. A violation of subsection (e) of this section shall result in the assessment of a civil penalty against the security alarm system user in the amount of $100.

(76 Del. Laws, c. 179, § 4; 81 Del. Laws, c. 73, §§ 5, 6.)

§ 1225 Duties of security alarm businesses [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) A security alarm business shall provide written and oral instructions to each of its security alarm system users for every security alarm system site on the proper use and operation of its security alarm systems. Such instructions shall specifically include all instructions necessary to turn the security alarm system on and off and instructions on the avoidance of false alarms.

(b) A security alarm business shall be responsible for the prevention of false alarms during installations, servicing, repairs and maintenance of security alarm systems.

(c) A security alarm business shall ensure that battery backup power is installed during new installations of security alarm systems.

(d) A security alarm business shall not use an automatic voice dialer.

(e) A security alarm business providing monitoring services shall attempt to verify an activated alarm signal by contacting at least 2 different telephone numbers provided by a security alarm system user who has authority to cancel the dispatch before a security alarm system dispatch request is made, unless the security alarm system administrator has waived the 2-call dispatch requirement. This subsection shall not apply to duress and holdup alarms.

(f) A security alarm business that issues security alarm system dispatch requests must maintain for a period of at 1 year from the date of a security alarm system dispatch request, records relating to security alarm system dispatch requests. Records must include name, address

and telephone number of the security alarm system user, the security alarm system zone or zones activated, the time of a security alarm system dispatch request and evidence of its efforts to verify. These records shall immediately be made available to the security alarm system administrator or any police officer at any time during normal business hours.

(g) A security alarm business providing monitoring services shall provide the relevant police department or departments with a toll-free telephone number for contacting monitoring station dispatchers and for obtaining information as provided in subsection (f) of this section.

(h) A security alarm business shall not make a security alarm system dispatch request if monitoring equipment indicates a security alarm system malfunction.

(i) After completion of the installation of a security alarm system, an employee of the security alarm business responsible for installing the security alarm system shall review with the security alarm system user a false alarm prevention checklist approved by the security alarm system administrator.

(j) A security alarm business responsible for monitoring a security alarm system at a registered security alarm system site shall not make a security alarm system dispatch request to a law-enforcement authority in response to a burglar alarm signal, excluding panic, duress and holdup signals, during the first 7 days following a security alarm system installation. The security alarm system administrator may grant a security alarm system user's request for an exemption from this waiting period based upon a determination that special circumstances substantiate the need for the exemption.

(k) A security alarm business responsible for monitoring a security alarm system at a registered security alarm system site shall:

(1) Report alarm signals by using telephone numbers designated by the security alarm system administrator;

(2) Communicate security alarm system dispatch requests to law-enforcement;

(3) Communicate cancellations to the law-enforcement authority;

(4) Ensure that all security alarm system users of security alarm systems equipped with a duress, holdup or panic alarm are given adequate training as to the proper use of the duress, holdup or panic alarm;

(5) Communicate any available information (north, south, front, back, floor, etc.) about the location on all alarm signals related to the security alarm system dispatch request;

(6) Communicate the type of alarm activation (silent or audible, interior or perimeter);

(7) Provide a security alarm system user registration number when requesting law-enforcement dispatch;

(8) After a security alarm system dispatch request, promptly advise the law-enforcement authority if the monitoring company knows that the security alarm system user or the responder is on the way to the security alarm system site;

(9) Attempt to contact the security alarm system user within 24 hours via mail, fax, telephone, or other electronic means when an security alarm system dispatch is made; and,

(10) Beginning June 10, 2012, monitoring companies must maintain for a period of at least 1 year from the date of the security alarm system dispatch request, records relating to security alarm system dispatch requests. Records must include the name, address and telephone number of the security alarm system user, the security alarm system zones or zones activated, the time of security alarm system dispatch request and evidence of an attempt to verify. The security alarm system administrator may request copies of such records for individually named security alarm system users. If the request is made within 60 days of an security alarm system dispatch request, the monitoring company shall furnish requested records within 3 business days of receiving the request. If the records are requested between 60 days to 1 year after security alarm system dispatch request, the monitoring company shall furnish the requested records within 30 days of receiving the request.

(11) A security alarm system installation company and/or monitoring company that purchases security alarm system accounts from another person shall notify the security alarm system administrator of such purchase and provide details as may be reasonably requested by the security alarm system administrator.

(76 Del. Laws, c. 179, § 4; 81 Del. Laws, c. 73, §§ ? 3, 5, 6.)

§ 1226 Duties and authority of the security alarm system administrator [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) The Department of Safety and Homeland Security, or its designee, shall:

(1) Designate a manner, form and telephone number for the communication of security alarm system dispatch requests; and

(2) Establish a procedure to accept cancellation of security dispatch requests, which shall be used by the security alarm system administrator to enforce the provisions of this section.

(b) The security alarm system administrator shall establish a procedure to record such information on security alarm system dispatch requests necessary to permit the security alarm system administrator to maintain records, including, but not limited to, the information listed below:

(1) Identification of the registration number for the security alarm system site;

(2) Identification of the security alarm system site;

(3) Date and time security alarm system dispatch request was received, including the name of the monitoring company and the monitoring operator name or number;

(4) Date and time of law-enforcement authority arrival at the security alarm system site;

(5) Zone and zone description, if available;

(6) Weather conditions;

(7) Name of security alarm system user's representative at the security alarm system site, if any;

(8) Identification of the responsible security alarm system installation company or monitoring company;

(9) If the law-enforcement authority was unable to locate the address of the security alarm system site; and,

(10) Cause of the alarm signal, if known.

(c) The security alarm system administrator shall establish a procedure for the notification of a false alarm to the security alarm system user. The notice shall include the following information:

(1) The date and time of law-enforcement authority response to the false alarm;

(2) A statement urging the security alarm system user to ensure that the security alarm system is properly operated, inspected and serviced in order to avoid false alarms and resulting fines.

(d) The security alarm system administrator may require a conference with a security alarm system user and the security alarm system installation company and/or monitoring company responsible for the repair or monitoring of the security alarm system to review the circumstances of each false alarm.

(e) The security alarm system administrator may require a security alarm system user to remove a holdup alarm that is a single action, nonrecessed button, if a false holdup alarm has occurred.

(76 Del. Laws, c. 179, § 4; 81 Del. Laws, c. 73, §§ ? 4, 6.)

§ 1227 False alarms [For application of this section, see 81 Del. Laws, c. 73, §7].

No security alarm system user shall cause, allow or permit the security alarm system to give 3 false alarms at a security alarm system site within a calendar year.

(76 Del. Laws, c. 179, § 4; 81 Del. Laws, c. 73, § 6.)

§ 1228 Penalties [For application of this section, see 81 Del. Laws, c. 73, §7].

A security alarm system user in violation of § 1227 of this title shall be subject to a civil penalty as follows:

(1) Fourth false alarm: $50 civil penalty;

(2) Fifth false alarm: $75 civil penalty;

(3) Sixth false alarm: $100 civil penalty;

(4) Seventh and any false alarm thereafter within a calendar year: $250 civil penalty for each offense.

(76 Del. Laws, c. 179, § 4; 81 Del. Laws, c. 73, § 6.)

§ 1229 Civil penalties and appeals [For application of this section, see 81 Del. Laws, c. 73, §7].

(a) *Summons and notice of violation. —*

A summons for payment of a violation of this subchapter may be executed by mailing such summons to the security alarm system user at the address where the security alarm system is located.

(b) *Payment. —*

Persons electing to pay a civil penalty shall make payments to the entity designated on the summons for payment. Procedure for payment under this section shall be by regulation of the Department of Safety and Homeland Security, or by regulation, code or ordinance of the applicable municipality or county.

(c) *Procedure to contest a violation. —*

A security alarm system user receiving a summons pursuant to this subchapter may request a hearing to contest the violation by notifying, in writing, the entity designated on the summons within 30 days of the date of the mailing of the summons. Upon receipt of a timely request for a hearing, an administrative hearing shall be scheduled pursuant to regulations set forth by the Department of Safety and Homeland Security and the security alarm system user shall be notified of the hearing date by first class mail. The hearing may be informal and shall be held in accordance with the regulations of the Department of Safety and Homeland Security. Costs for such hearing shall not be assessed against the prevailing party.

(d) *Appeal of administrative hearing. —*

Either party may elect to appeal an administrative decision to the Justice of the Peace Court, which shall have exclusive jurisdiction to hear the appeal. An appeal to the Justice of the Peace Court shall be the final right of appeal.

(e) *Failure to pay and successfully contest the violation. —*

If the security alarm system user fails to pay the civil penalty, to respond to the summons within the time specified on the summons, and/or to successfully contest the civil penalty, the Department of Safety and Homeland Security, or its designee, may establish procedures for the collection of these civil penalties, and may enforce the civil penalty by civil action in the Justice of the Peace Court, including seeking judgment and execution on a judgment against the security alarm system user.

(76 Del. Laws, c. 179, § 4; 81 Del. Laws, c. 73, § 6.)

§ 1230 Confidentiality [For application of this section, see 81 Del. Laws, c. 73, § 7].

In the interest of public safety, all information contained in and gathered through the security alarm system registration applications shall be confidential information.

(76 Del. Laws, c. 179, § 4; 81 Del. Laws, c. 73, § 6.)

Chapter 13
PRIVATE INVESTIGATORS AND PRIVATE SECURITY AGENCIES

§ 1301 Short title.

This chapter may be cited as the "Private Investigators and Private Security Agencies Act."
(69 Del. Laws, c. 285, § 1.)

§ 1302 Definitions.

As used in this chapter, unless the context requires a different definition:

(1) "Armed" shall mean any private security guard or armored car guard who carries or has immediate access to a firearm in the performance of that private security guard's or armored security guard's duties.

(2) "Armored car agency" shall mean any person that provides armed secured transportation and protection from 1 place or point to another place or point of money, currency, coins, bullion, securities, bonds, jewelry, negotiables or other valuables in a specially-equipped motor vehicle.

(3) "Armored car guard" shall mean an individual employed by an armored car agency to perform duties as described under the definition of armored car agency.

(4) "Board" shall mean the Delaware Board of Examiners of Private Investigators and Private Security Agencies.

(5) "Commissioned security guard" shall mean an individual employed to:

a. Safeguard and protect persons or property; or

b. Deter theft, loss or concealment of any tangible or intangible personal property on the premises the commissioned security guard is contracted to protect; and

c. Who carries or has access to a firearm in the performance of duties as provided under authority of the Board.

(6) "Compliance agent" shall mean any individual employed by a private security agency, private investigative agency, or armored car agency within the State of Delaware who serves within a management capacity within the State, and who ensures compliance of the business with the requirements of this chapter.

(7) "Computer forensic specialist" shall mean an individual who interprets, evaluates, tests, or analyzes preexisting data from computers, computer systems, networks, or other electronic media, provided to them by another where that person owns, controls, or possesses said computer, computer systems, networks or electronic media through the use of highly specialized expertise in recovery, authentication and analysis of electronic data or computer usage. A computer forensic specialist shall not be classified or within the definition of a private investigator.

(8) "Department" shall mean the Department of Safety and Homeland Security.

(9) "Director" shall mean the officer in charge of the Professional Licensing Section of the Division of the Delaware State Police.

(10) "Division" shall mean the Division of the Delaware State Police.

(11) "Event security staff" shall mean any individual employed by a private security agency to primarily perform crowd management, patron screening and event security at sports or entertainment venues with a minimum spectator capacity of 5,000 people.

(12) "Firearm" means as defined in § 222 of Title 11.

(13) "License" shall mean a method of regulation whereby businesses, sole proprietors, partnerships for a private security agency, private investigative agency, or armored car agency are required to be licensed by the Board.

(14) "Manager" shall mean in the case of a corporation, an officer or supervisor, or in the case of a partnership, a general or unlimited partner meeting the experience qualifications set forth in this chapter for a private security agency, private investigative agency or armored car agency.

(15) "Officer" shall mean the president, vice president, secretary, treasurer, comptroller, partner, owner or any other corporate title.

(16) "Person" shall mean an individual (sole proprietorship), firm, association, company, partnership, corporation, nonprofit organization, institution, or similar entity.

(17) "Private investigative agency" means any person who engages in the business or accepts employment to obtain or furnish information or to conduct investigations with reference to:

a. Crime or civil wrongs;

b. The identity, habits, conduct, business, occupation, honesty, integrity, credibility, knowledge, trustworthiness, efficiency, loyalty, activity, movement, whereabouts, affiliations, associations, transactions, acts, reputation or character of any person;

c. The location, disposition or recovery of lost or stolen property;

d. The cause or responsibility for fires, libels, losses, accidents, damages or injuries to persons or to property;

e. The securing of evidence to be used before any court, board, officer, or investigating committee.

(18) "Private investigator" shall mean an individual employed by a private investigative agency (even as a sole proprietor) to perform 1 or more duties as described under the definition of "private investigative agency."

(19) "Private security agency" shall mean any person engaging in the business of or undertaking to provide a private watchperson, guard or street patrol service on a contractual basis for another person and performing any 1 or more of the following or similar

functions:

a. Prevention of intrusion, entry, larceny, vandalism, abuse, fire, or trespass on private property;

b. Prevention, observation or detection of any unauthorized activity on private property;

c. Control, regulation or direction of the flow or movements of the public, whether by vehicle or otherwise, only to extent and for the time directly and specifically required to assure the protection of property; or

d. Supervises event security staff.

(20) "Private security guard" shall mean an individual employed by a private security agency to perform one or more duties as described under the definition of a private security agency.

(21) "Projectile weapon" means as defined in § 222 of Title 11.

(22) "Proprietary security" shall mean the in-house security department of any person, if the security department has as its general purpose the protection and security of its own property and grounds, and if it does not offer or provide security services to any other person.

(23) "Registration" shall mean a method of regulation whereby an individual employed by a private security agency, private investigative agency, or armored car agency as a security guard, private investigator or armored car guard is licensed and issued an identification card by the Professional Licensing Section.

(24) "Section" shall mean the Professional Licensing Section of the Delaware State Police.

(25) "Secretary" shall mean the Secretary of the Department of Safety and Homeland Security.

(26) "Superintendent" shall mean the Superintendent of the Division of the Delaware State Police or the appointed designee.

(69 Del. Laws, c. 285, § 2; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 423, §§ 1-4; 77 Del. Laws, c. 457, § 1; 80 Del. Laws, c. 176, § 1; 81 Del. Laws, c. 99, § 1; 84 Del. Laws, c. 525, § 45; 84 Del. Laws, c. 42, § 1.)

§ 1303 Board of Examiners of Private Investigative, Private Security and Armored Car Agencies; composition, qualifications, terms, vacancies; suspension or removal; compensation.

(a) The Delaware Board of Examiners of Private Investigative, Private Security and Armored Car Agencies shall administer and enforce this chapter.

(b) The Board is composed of the following members:

(1) The Superintendent;

(2) The Attorney General;

(3) Three members of the public who are residents of the State;

(4) Two members who are:

a. Licensed under this chapter;

b. Engaged for a period of 3 consecutive years as the owner or operator of a private investigative agency;

(5) Two members who are (i) licensed under this chapter; (ii) engaged for a period of 3 consecutive years as the owner or operator of a private security agency; or the owner or operator of an armored car agency.

(c) No person shall be eligible for appointment as a public member if the person or his or her spouse:

(1) Holds a license issued by a professional regulatory agency in the field of private security;

(2) Serves as an employee or within a management role at a business entity or other organization related to the field of private security, private investigative, or armored car agency;

(3) Holds a financial interest in a business entity or other organization related to the field of private security, private investigative, or armored car agency.

(d) No member of the Board may be an officer, employee or paid consultant of a trade association in the private security, private investigative or armored car industry. For the purpose of this section, "trade association" shall mean a nonprofit, cooperative, voluntarily joined association of business or professional competitors that is designed to assist its members and its industry or profession in dealing with mutual or professional problems in promoting their common interests.

(e) The Governor may suspend or remove a public member of the Board for failure to hold or maintain qualifications for appointment; failure to attend at least half of the regularly scheduled meetings held within a calendar year; and any misfeasance, nonfeasance, malfeasance, misconduct, incompetency or neglect of duty.

(f) The provisions of the State Employees', Officers' and Officials' Code of Conduct set forth in Chapter 58 of Title 29 apply to the members of the Board.

(g) Members serving by virtue of position may appoint a designee to serve in their stead and at their pleasure. All other members shall be appointed by the Governor for terms up to 3 years in order to continue a staggered basis so that no more than 3 members' terms shall expire in a year.

(h) Members not serving by virtue of position shall receive compensation at the rate of $50 per meeting attended; provided, however, no member shall receive compensation for the year in excess of $1500.

(69 Del. Laws, c. 285, § 3; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 347, § 1; 77 Del. Laws, c. 457, § 2; 81 Del. Laws, c. 99, § 2.)

§ 1304 Organization; meetings; officers; quorum; Executive Secretary.

(a) The Chair of the Board shall be the Superintendent.

(b) The Board shall hold regularly scheduled meetings at least 4 times in a calendar year, at other times the Chair considers necessary, or at the request of a majority of the Board.

(c) The Director shall designate an Executive Secretary who will be responsible for the performance of the regular administrative functions of the Board and other duties the Board may direct.

(d) A majority of the members of the Board constitutes a quorum for the purpose of transacting business; however, no disciplinary action may be taken without the affirmative vote of at least 5 members.

(e) The Board shall prepare information of interest to consumers or recipients of services regulated, under this chapter, describing the Board's procedures by which complaints are filed with and resolved by the Board. The Board shall make the information available to the general public and appropriate state agencies.

(69 Del. Laws, c. 285, § 3; 81 Del. Laws, c. 99, § 3.)

§ 1305 Board powers.

(a) The Board shall determine the qualifications of security guards, private investigators, armored car guards, private security agencies, private investigative agencies, and armored car agencies.

(b) The Board shall promulgate all rules and regulations necessary in carrying out the provisions of this chapter.

(c) The Board shall investigate alleged violations of any provision of this chapter or rule or regulation promulgated thereunder.

(d) The Board may deny, suspend or revoke any application, registration or license.

(e) The Board may conduct a criminal history background check pursuant to the procedures set forth in Chapter 85 of Title 11 for the purposes of licensing any individual pursuant to this chapter.

(69 Del. Laws, c. 285, § 3; 73 Del. Laws, c. 252, § 13; 75 Del. Laws, c. 372, § 2; 81 Del. Laws, c. 99, § 4.)

§ 1306 Records.

(a) The Section shall keep a register of all applications for security guards, private investigators, armored car guards, security guard agencies, private investigative agencies and armored car agencies, complete records relating to meetings of the Board, rosters, changes and additions to the Board's rules and regulations, hearings and such other matters as the Board shall determine. Such records shall be prima facie evidence of the proceedings of the Board.

(b) The Section pursuant to approval of the Board shall:

(1) Adopt and, from time to time, revise such rules and regulations and standards not inconsistent with the law as may be necessary to enable it to carry into effect this chapter;

(2) Deny or withdraw approval from applicants for failure to meet approved application procedures and other criteria;

(3) Oversee renewal applications and appropriate fees;

(4) Coordinate hearings upon request for denial, suspension or revocation of a license or identification card;

(5) Have the power to issue subpoenas and compel the attendance of witnesses, and administer oaths to persons giving testimony at hearings;

(6) Have all the duties, powers and authority necessary to the enforcement of this chapter, as well as such other duties, powers and authority as it may be granted from time to time by the Board.

(69 Del. Laws, c. 285, § 3; 81 Del. Laws, c. 99, § 5.)

§ 1307 Investigations, subpoenas and injunctions.

(a) (1) The Director shall have the authority to investigate any violations of this chapter or any rules or regulations adopted by the Board. The Section shall be responsible for issuing a final written report at the conclusion of its investigation.

(2) The Board may issue subpoenas to compel the production of pertinent books, accounts, records and documents.

(b) The Director shall have the power to enter at all reasonable times upon any private or public property for the purposes of determining whether or not there is compliance with, or violations of this chapter, or rules and regulations adopted by the Board.

(c) Upon a finding of a violation pursuant to the investigation, the Board shall cause a copy of the complaint, together with a notice of the time and place fixed for a hearing, to be served upon the practitioner at least 30 days before the date fixed for the hearing. In cases where the practitioner cannot be located or where personal service cannot be effected, substitute service shall be effected in the same manner as with civil litigation.

(d) (1) The Board may administer oaths and require testimony and evidence be given under oath.

(2) No witness shall refuse to obey a subpoena issued by the Board. Upon refusal, the Board may petition Superior Court to schedule a hearing to compel the witness to comply with the subpoena.

(e) During the investigation or upon a finding of violation of the provisions of this chapter or rule or regulation, the Board may request the Attorney General to make application to the Court of Chancery for an order enjoining such acts or practices or for an order directing compliance, and upon a showing by the Board of such a violation, a permanent or temporary injunction, restraining order or other order may be granted.

(69 Del. Laws, c. 285, § 3; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 99, § 6.)

§ 1308 Emergency suspension.

(a) The Director may, without notice or hearing, issue a suspension of a license or registration upon a finding that an emergency exists that requires immediate action to protect the health and safety of the public. Such suspension shall be effective immediately.

(b) Any person whose license has been suspended on an emergency basis, upon application to the Board, shall be afford a hearing within 30 days, but not more than 90 days. Upon the conclusion of the hearing, the suspension shall be continued, modified or revoked within 30 days of the hearing.

(69 Del. Laws, c. 285, § 3; 76 Del. Laws, c. 245, §§ 4, 5; 76 Del. Laws, c. 246, §§ 1, 2; 81 Del. Laws, c. 99, § 7.)

§ 1309 Change in membership or address or location of business; authorization of new location.

(a) In the event of any change in the membership of the firm, or in the officers or directors of any association or corporation, or any change in the address of any office or location of such business, the Director shall be notified in writing of such change within 14 days thereafter. Failure to give such notification shall be sufficient cause for suspension or revocation of the license.

(b) Upon written application to the Director setting forth a proposed change in the location of any office or place of business of the licensee as set forth in the license, the Director may authorize a new location for any such office or place of business. In such case, the licensee shall produce to the Director the license and all copies thereof to the end that the Director may either endorse thereon such change of location or issue a new license as of the same date as the original license in lieu of the license so surrendered.

(24 Del. C. 1953, § 1306; 50 Del. Laws, c. 301, § 1; 69 Del. Laws, c. 285, § 4; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 99, § 8.)

§ 1310 Notifications.

(a) Notification shall be made to the Section within 14 days after the change of address of any person licensed under this chapter.

(b) Any person licensed or issued an identification card under this chapter shall, excluding weekends and holidays, notify the Board within 5 days of any arrest which could result in a misdemeanor or felony conviction. Failure to report may result in the suspension or revocation of a license.

(c) Any person licensed under §§ 1318, 1319 and 1320 of this title shall report to the Board within 5 days of any instance of violation of this chapter or any rule or regulation by their employees licensed under this chapter.

(d) Any person licensed under §§ 1318, 1319 and 1320 of this title shall report to the Section a current address, telephone number and name of the employee managing the office. In the event there is not an office within the State, then the address, telephone number and name of the compliance agent for the business shall be reported. The compliance agent shall maintain all records including personnel for all agency business within the State and make them available to the Section upon demand within 48 hours.

(69 Del. Laws, c. 285, §§ 3, 4; 81 Del. Laws, c. 99, § 9.)

§ 1311 Insurance

Any person who applies for a license to own or operate a private investigations company, private security agency or armored car agency shall file with the Section a surety bond and certificate of liability insurance in the amount set forth by the Board.

(69 Del. Laws, c. 285, § 4; 81 Del. Laws, c. 99, § 10.)

§ 1312 License fee.

Any person may be charged an application fee as set forth by the Board. The fee shall not exceed $600 per year.

(69 Del. Laws, c. 285, § 4; 70 Del. Laws, c. 591, § 1; 81 Del. Laws, c. 99, § 11.)

§ 1313 License and identification card requirements and submission of fingerprints.

(a) No person shall act as a private investigator, private security guard or armored car guard or engage in the business of a private investigative agency, private security agency, or armored car agency without first obtaining a license or identification card from the Professional Licensing Section.

(b) No person shall be issued an identification card or license unless the individual and all officers submit to the State Bureau of Identification their name, social security number, age, race, sex, date of birth, height, weight, hair and eye color, address of legal residence and the other such information as may be necessary to obtain a report of the person's entire state criminal record history and entire federal criminal history pursuant the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544.

(69 Del. Laws, c. 285, § 4; 77 Del. Laws, c. 457, § 3; 80 Del. Laws, c. 176, § 2; 81 Del. Laws, c. 99, § 12.)

§ 1314. Security guard registration requirements.

Anyone who wishes to be licensed, under this chapter, as a noncommissioned security guard, must meet and maintain the following requirements:

(1) Must be at least 18 years of age;

(2) Must not have been convicted of any felony;

(3) Must not have been convicted of any misdemeanor involving a theft-related offense, drug offense, or moral turpitude, within the last 7 years, and:

a. There are no more than 2 of such misdemeanors during such person's lifetime; and

b. No misdemeanor conviction occurred during or as a result of employment in a capacity regulated by this chapter.

(4) Must not have been, as a juvenile, adjudicated delinquent for conduct which, if committed by an adult would constitute a felony,

unless and until that person has reached a twenty-first birthday;

(5) If served in the armed forces, must not have been dishonorably discharged;

(6) Must not be a member or employee of any law-enforcement organization, as defined by the Police Officer Standards and Training Commission;

(7) Must meet and maintain the qualifications set and approved by the Board of Examiners.

(69 Del. Laws, c. 285, § 3; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 99, § 13; 84 Del. Laws, c. 149, § 1; 84 Del. Laws, c. 42, § 1.)

§ 1315. Commissioned security guard registration requirements.

Anyone who wishes to be licensed as an armed security guard, under this chapter, must meet and maintain the same qualifications as a security guard and must be at least 21 years of age.

(69 Del. Laws, c. 285, § 3; 81 Del. Laws, c. 99, § 14.)

§ 1316 Private investigator registration requirements.

Anyone who wishes to be licensed as a private investigator, under this chapter, must meet and maintain the same qualifications as a security guard under § 1314 of this title, and must also be at least 21 years of age.

(69 Del. Laws, c. 285, § 3; 81 Del. Laws, c. 99, § 15.)

§ 1317. Armored car guard registration requirements.

Anyone who wishes to be licensed as an armored car guard, under this chapter, must meet and maintain the same qualifications as a commissioned security guard under § 1315 of this title.

(69 Del. Laws, c. 285, § 3; 81 Del. Laws, c. 99, § 16.)

§ 1318. Private security agency license requirements.

An applicant applying for a license, to own and operate a private security agency, shall have the following qualifications:

(1) Must be at least 25 years of age;

(2) Must have at least 4 years of experience as a manager in a bona fide licensed security agency or must have at least 5 years' investigative experience or must have been a police officer for any local, state or federal agency or the equivalent thereof who has graduated from a certified law-enforcement academy;

(3) Must not have been convicted of any felony;

(4) Must not have been convicted of any misdemeanor involving a theft-related offense, drug offense, or moral turpitude within the last 7 years, and:

a. There are no more than 2 of such misdemeanors during such person's lifetime; and

b. No misdemeanor conviction occurred during or as a result of employment in a capacity regulated by this chapter;

(5) Meet and maintain the qualifications set and approved by the Board of Examiners.

(69 Del. Laws, c. 285, § 3; 70 Del. Laws, c. 591, § 1; 81 Del. Laws, c. 99, § 17.)

§ 1319 Private investigative agency license requirements.

An applicant applying for a license to own and operate a private investigative business, shall have the same qualifications as a private security agency license and must also have at least 5 years' investigative experience or must have been a police officer for any local, state or federal agency or the equivalent thereof who has graduated from a certified law-enforcement academy.

(69 Del. Laws, c. 285, § 3; 70 Del. Laws, c. 591, § 1; 81 Del. Laws, c. 99, § 18.)

§ 1320. Armored car agency license requirements.

An applicant applying for a license, to own and operate an armored car guard agency, shall have the same qualifications of the private security agency license and must also:

(1) Have at least 4 years of experience as a manager in a bona fide armored car agency or 5 years as a police officer for any local, state or federal agency or the equivalent thereof who has graduated from a certified law-enforcement academy;

(2) Have been issued a license by the Banking Commissioner pursuant to § 3203 of Title 5.

(69 Del. Laws, c. 285, § 3; 81 Del. Laws, c. 99, § 19.)

§ 1321 Firearms or projectile weapons.

(a) It shall be unlawful for anyone licensed, under this chapter, to carry a concealed deadly weapon as defined in § 1442 of Title 11, unless they have been issued a concealed deadly weapons permit.

(b) It shall be unlawful for anyone licensed, under this chapter, to carry any type of weapon unless the Board has approved the use of such weapon and, if approved, the person has been trained in the use of such weapon, by a board-approved instructor.

(1) Private investigative, private security and armored car agencies shall be held responsible for monitoring all firearm or projectile weapon certification or recertification for their employees for compliance with promulgated rules and regulations.

(2) Private investigative, private security and armored car agencies must provide the Professional Licensing Section with documentation that employees are compliant with firearm or projectile weapon certification and recertification requirements of the

Board.

(3) The Board may revoke the ability to carry a weapon if a registration holder or agency fails to comply with promulgated rules and regulations.

(c) It shall be unlawful for an individual, employed as a security guard, to carry a firearm or projectile weapon during the course of performing their duties as a security guard, if they have not been issued a commissioned security guard license.

(d) It shall be unlawful for any person to hire or employ an individual or for any individual to accept employment, in the capacity of a security guard, to carry a firearm or projectile weapon in the course and scope of employment duties unless the security guard has been issued a commissioned security guard license.

(e) It shall be unlawful for a commissioned security guard to carry a firearm or projectile weapon unless:

(1) The security guard is engaged in the performance of duties as a security officer or is engaged in traveling directly to or from a place of assignment;

(2) The security guard is wearing a distinctive uniform indicating that the person is a security guard;

(3) Such uniform has a distinctive patch that indicates the company by whom the person is employed;

(4) The firearm or projectile weapon is in plain view; and

(5) The firearm or projectile weapon is the type of weapon that the commissioned security guard qualified with pursuant to this chapter.

(24 Del. C. 1953, § 1318; 50 Del. Laws, c. 301, § 1; 69 Del. Laws, c. 285, §§ 3, 7; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 99, § 20; 84 Del. Laws, c. 525, § 46.)

§ 1322 Posting of license.

(a) Before any applicant shall exercise any rights under the license issued to the applicant, such license, or a certified copy thereof, shall be posted and at all times thereafter while the same is in force be displayed in a conspicuous place in the principal office and in each bureau, agency, subagency, office or branch office for which it is issued.

(b) No person holding any license issued by the Board under this chapter shall:

(1) Post or permit such license to be posted upon premises other than those described therein or to which it may be transferred pursuant to this chapter;

(2) Fail to maintain such license in a conspicuous place in such offices or places of business; or

(3) Knowingly alter, deface or destroy any such license or permit the alteration, defacement or destruction thereof.

(24 Del. C. 1953, § 1309; 50 Del. Laws, c. 301, § 1; 69 Del. Laws, c. 285, § 5; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 99, § 21.)

§ 1323 Surrender of expired, revoked or suspended license; loss or destruction of license.

(a) Any person to whom the Board issued a registration or license under this chapter shall surrender such registration or license and all duplicate copies which have expired, or been revoked, suspended or surrendered.

(b) Any person issued a registration or license by the Board that is lost or destroyed must inform the Section and at the discretion of the Director, a duplicate may be issued.

(24 Del. C. 1953, § 1310; 50 Del. Laws, c. 301, § 1; 69 Del. Laws, c. 285, § 5; 81 Del. Laws, c. 99, § 22.)

§ 1324 Identification card.

Anyone required to be licensed under this chapter shall be issued, by the Board of Examiners, an identification card which shall expire and be renewable on the fifth anniversary date of the birth of the applicant next following the date of its issuance, unless the birth date is February 29, in which event the license shall expire and be renewable on February 28 every fifth year.

(69 Del. Laws, c. 285, § 4; 73 Del. Laws, c. 369, § 1.)

§ 1325 Possession of identification card.

Any person who has been issued an identification card by the Board of Examiners shall be required to have such card in their possession while in the performance of the person’s duties.

(69 Del. Laws, c. 285, § 4; 70 Del. Laws, c. 186, § 1.)

§ 1326 Identification card; offenses.

(a) For the purpose of identification of persons engaged in the conduct of a security guard or armored car guard, each such person shall carry and show when requested an identification card, which shall be issued by the Section. For the purpose of identification of employees of a private security agency or armored car agency, upon examination of employee’s statement and fingerprint cards, the Section shall furnish an identification card.

(b) No person licensed under this chapter or the officers shall wear, carry or accept any badge or shield purporting to indicate that such person is a security guard, armored car guard or that such person performs any such service, or may, while in uniform and while on the premises of the employer of the licensee where the security guard or armored car guard is so acting, wear a badge or shield inscribed by the specifications set forth in the rules and regulations of the Board.

(c) No person licensed under this chapter shall issue identification cards to any person other than a bona fide employee or shall sell, issue, rent, loan or distribute badges or membership cards indicating that the holder thereof is a security guard, armored car guard or is

engaged in the private security or armored car business to any person or persons other than those lawfully entitled to such identification cards.

(d) Any person to whom an identification card has been issued in accordance with this chapter, shall surrender the identification card to the Section:

(1) Upon termination of employment, unless for a security guard who transfers employment to another private security agency; or

(2) Upon suspension or revocation by the Board; or

(3) Upon emergency suspension by the Director.

(e) Identification cards approved by the Section shall expire and be renewable on the fifth anniversary of the date of birth of the applicant, next following the date of its issuance. If the date of birth is February 29, the identification card shall expire and be renewed on February 28 every fifth year, with the exception of the armored car identification cards which shall expire and be renewed every 2 years.

(f) The Section may refuse to renew an identification card for any grounds set forth in this chapter.

(g) A private investigative agency shall notify the Section within 10 days after the termination of employment or association of any identification card holder employed by the agency.

(h) Whoever violates this section shall be fined not more than $50.

(24 Del. C. 1953, § 1314; 50 Del. Laws, c. 301, § 1; 63 Del. Laws, c. 47, §§ 10, 11; 65 Del. Laws, c. 376, § 4; 69 Del. Laws, c. 285, § 6; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 99, § 23.)

§ 1327 Regulation of advertising.

(a) The Board shall promulgate such rules and regulations, upon notice to the public in general, as the Board deems necessary to avoid advertising techniques, cards or other forms of publication which will mislead the public as to any matter that relates to law enforcement. The Board shall further have the authority to order any business to comply with these rules and regulations.

(b) Failure to comply with any order of the Board pursuant to subsection (a) of this section shall be cause for suspension or revocation of the license.

(24 Del. C. 1953, § 1316; 50 Del. Laws, c. 301, § 1; 63 Del. Laws, c. 47, § 12; 69 Del. Laws, c. 285, § 6; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 99, § 24.)

§ 1328 Enforcement of chapter; jurisdiction.

All police agencies and law-enforcement officers of this State may carry out this chapter and enforce compliance therewith. Justices of the Peace Courts shall have jurisdiction over violations under this chapter.

(24 Del. C. 1953, § 1319; 50 Del. Laws, c. 301, § 1; 63 Del. Laws, c. 47, § 14; 69 Del. Laws, c. 285, § 7; 81 Del. Laws, c. 99, § 25.)

§ 1329 Disciplinary proceedings; appeal.

(a) *Grounds. —*

Subject to the provisions of this chapter, the Director pursuant to the authority of the Board may impose any of the following sanctions (subsection (b) of this section) singly or in combination when it finds a licensee or identification card holder is guilty of any offense described herein:

(1) Acting as a security guard, armored car guard or private investigator without an identification card; or

(2) Operating a private security agency, private investigative agency, or armored car agency without a license; or

(3) Failure to comply with firearms or projectile weapons requirements pursuant to § 1321 of this title; or

(4) Obtaining criminal charges or convictions pursuant to § 1314, § 1315, § 1316, § 1317, § 1318, § 1319, or § 1320 of this title; or

(5) Failure to comply with inspection and subpoena requests pursuant to § 1307 of this title; or

(6) Failure to notify the Professional Licensing Section of any arrests; or

(7) Failure to keep identification card, badge or shield on your person while in the performance of your specific duties; or

(8) Failing to surrender a suspended or revoked license, or identification card; or

(9) Submitting false or fraudulent information material to any application for a license or identification card; or

(10) Failure to abide by the Board's firearms or projectile weapons certification and recertification training requirements; or

(11) Using a firearms or projectile weapons instructor that has not been approved by the Board; or

(12) Violating any provision of this chapter or any rule or regulation promulgated by the Board.

(b) *Disciplinary sanctions. —*

(1) Permanently revoke a license, or identification card;

(2) Suspend a license or identification card;

(3) Issue a letter of reprimand;

(4) Refuse to issue a license, or identification card;

(5) Refuse to renew a license, or identification card;

(6) Issue an emergency suspension;

(7) Or otherwise discipline.

(c) *Procedure. —*

(1) After receipt of written notice from the Section of the Director's denial, suspension, emergency suspension, or revocation of a

license or identification card, the applicant or licensee shall be afforded a hearing before the Board.

(2) The accused may be represented by counsel who shall have the right of examination and cross examination.

(3) Testimony before the Board shall be under oath.

(4) A record of the hearing shall be made. At the request and expense of any party such record shall be transcribed with a copy to the other party.

(5) The decision of the Board shall be based upon sufficient legal evidence. If the charges are supported by such evidence, the Board may refuse to issue, or revoke or suspend a license or identification card, or otherwise discipline an individual. A suspended license or identification card may be reissued by Professional Licensing Section at the direction of the Board.

(d) All decisions of the Board shall be final and conclusive. Where the applicant, licensee or identification card holder is in disagreement with the action of the Board, the individual may appeal the Board's decision to the Secretary within 30 days of service or the postmarked date of the copy of the decision mailed to the individual. The appeal shall be on the record to the Secretary as provided in the Administrative Procedures Act, subchapter V of Chapter 101 of Title 29 (§ 10141 et seq. of Title 29).

(24 Del. C. 1953, § 1302; 50 Del. Laws, c. 301, § 1; 69 Del. Laws, c. 285, § 2; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 372, § 1; 81 Del. Laws, c. 99, § 26; 81 Del. Laws, c. 425, §§ ? 11, 12; 84 Del. Laws, c. 525, § 47.)

§ 1330 Penalties.

Whoever violates this chapter, except where another penalty is provided, shall be guilty of a misdemeanor and, if convicted, may be fined a civil or administrative penalty of not more than $10,000.

(1) Assessment of a civil or administrative penalty shall be determined by the nature, circumstances, extent and gravity of the violation, or violations, ability of the violator to pay, any prior history of such violations, the degree of culpability, economic benefit or savings (if any) resulting from the violation and such other matters as justice requires.

(2) In the event of nonpayment of the administrative penalty after all legal appeals have been exhausted, a civil action may be brought by the Board in any court of competent jurisdiction, including any Justice of the Peace Court, for collection of the administrative penalty, including interest, attorneys' fees and costs and the validity and appropriateness of such administrative penalty shall not be subject to review.

(69 Del. Laws, c. 285, § 3; 73 Del. Laws, c. 252, § 13; 75 Del. Laws, c. 372, § 2; 81 Del. Laws, c. 99, § 27.)

§ 1331 Surrender of expired, revoked or suspended licenses; penalty [Repealed].

24 Del. C. 1953, § 1310; 50 Del. Laws, c. 301, § 1; 69 Del. Laws, c. 285, § 5; repealed by 81 Del. Laws, c. 99, § 28, effective July 21, 2017.

§ 1332 Loss or destruction of license [Repealed].

24 Del. C. 1953, § 1311; 50 Del. Laws, c. 301, § 1; 69 Del. Laws, c. 285, § 5; 70 Del. Laws, c. 186, § 1; repealed by 81 Del. Laws, c. 99, § 28, effective July 21, 2017.

§ 1333 Identification card; wearing of badges or shields; offenses; surrender of card; penalty [Repealed].

24 Del. C. 1953, § 1314; 50 Del. Laws, c. 301, § 1; 63 Del. Laws, c. 47, §§ 10, 11; 65 Del. Laws, c. 376, § 4; 69 Del. Laws, c. 285, § 6; 70 Del. Laws, c. 186, § 1; repealed by 81 Del. Laws, c. 99, § 28, effective July 21, 2017.

§ 1334 Disclosure of information by employees prohibited; false report or statement to employer; penalty [Repealed].

24 Del. C. 1953, § 1315; 50 Del. Laws, c. 301, § 1; 69 Del. Laws, c. 285, § 6; 70 Del. Laws, c. 186, § 1; repealed by 81 Del. Laws, c. 99, § 28, effective July 21, 2017.

§ 1335 Regulation of advertising [Repealed].

24 Del. C. 1953, § 1316; 50 Del. Laws, c. 301, § 1; 63 Del. Laws, c. 47, § 12; 69 Del. Laws, c. 285, § 6; 70 Del. Laws, c. 186, § 1; repealed by 81 Del. Laws, c. 99, § 28, effective July 21, 2017.

§ 1336 Reciprocity; licenses under prior laws [Repealed].

24 Del. C. 1953, § 1317; 50 Del. Laws, c. 301, § 1; 69 Del. Laws, c. 285, § 6; repealed by 81 Del. Laws, c. 99, § 28, effective July 21, 2017.

§ 1337 Carrying of concealed weapons by licensees [Repealed].

24 Del. C. 1953, § 1318; 50 Del. Laws, c. 301, § 1; 69 Del. Laws, c. 285, § 7; 70 Del. Laws, c. 186, § 1; repealed by 81 Del. Laws, c. 99, § 28, effective July 21, 2017.

§ 1338 Enforcement of chapter; jurisdiction [Repealed].

24 Del. C. 1953, § 1319; 50 Del. Laws, c. 301, § 1; 63 Del. Laws, c. 47, § 14; 69 Del. Laws, c. 285, § 7; repealed by 81 Del. Laws, c. 99, § 28, effective July 21, 2017.

§ 1339 Violation of chapter as ground for revocation of license [Repealed].

24 Del. C. 1953, § 1320; 50 Del. Laws, c. 301, § 1; 69 Del. Laws, c. 285, § 7; repealed by 81 Del. Laws, c. 99, § 28, effective July 21, 2017.

§ 1340 Reports of convictions for violations of this chapter [Repealed].

24 Del. C. 1953, § 1321; 50 Del. Laws, c. 301, § 1; 69 Del. Laws, c. 285, § 8; repealed by 81 Del. Laws, c. 99, § 28, effective July 21, 2017.

§ 1341 Maintenance of office in state; manager; telephone listing [Repealed].

63 Del. Laws, c. 47, § 13; 69 Del. Laws, c. 285, § 9; 72 Del. Laws, c. 427, § 1; repealed by 81 Del. Laws, c. 99, § 28, effective July 21, 2017.

Chapter 14
BOARD OF ELECTRICAL EXAMINERS

Subchapter I

Board of Electrical Examiners

§ 1401 Objectives.

The primary objective of the Board of Electrical Examiners, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

The secondary objectives of the Board are to maintain minimum standards of practitioner competency; and, to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at formal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against licensed practitioners.

(64 Del. Laws, c. 476, § 1; 72 Del. Laws, c. 210, § 1.)

§ 1402 Definitions.

The following words, terms, and phrases, when used in this chapter shall have the meanings ascribed to them under this section, except where the context clearly indicates a different meaning:

(1) “Apprentice electrician” means a person who is licensed by the Board and whose principal occupation is the learning and assisting in the performance of electrical services.

(2) “Board” means the State Board of Electrical Examiners established in this chapter.

(3) “Cut-in-card” means the approval certificate sent to the power company by the inspection agency after an inspection has been completed, which authorizes the power company to turn on the electricity at the property.

(4) “Division” means the State Division of Professional Regulation.

(5) “Dwelling” means, for purposes of this chapter, any enclosure that affords habitable living space for a human being or human beings.

(6) “Electrical inspection agency” means the agency responsible for the oversight and the issuing of certificates of inspection for all electrical work performed in this State.

(7) “Excessive use or abuse of drugs” means any use of narcotics, controlled substances or illegal drugs without a prescription from a licensed physician, or the abuse of alcoholic beverage such that it impairs that person’s ability to perform the work of an electrician.

(8) “Full-time employee” means an individual, who is added to the company payroll and who has on file with the employer a W-4 form authorizing the employer to withhold taxes and who receives a wage or salary and who is under the supervision of a licensed electrician.

(9) “Homeowner” means, for purposes of this chapter, an individual who both owns and lives in that person’s home or dwelling.

(10) “Journeyperson electrician” means a person who is qualified and skilled to perform electrical work and who has met the requirements of § 1408 of this title to be licensed as a journeyperson electrician.

(11) “License” means the certificate issued by the Board that is evidence that the holder has met the requirements of this chapter.

(12) “Limited electrician” means a person licensed by the Board to plan, estimate, layout, perform or supervise the installation, erection or repair of any electrical conductor, molding, duct, raceway, conduit, machinery, apparatus, device or fixture, for the purpose of lighting, heating or power, in any structure which contains 4 or fewer dwelling units, as determined by the applicable building code.

(13) “Limited electrician special” means a person licensed by the Board to plan, estimate, layout, perform or supervise the installation, erection or repair of any electrical conductor, molding, duct, raceway, conduit, machinery, apparatus, device or fixture, for any of the following purposes: swimming pools, air conditioning, heating and oil burners, in any structure which contains 4 or fewer dwelling units, as determined by the applicable building code.

(14) “Master electrician” means a person, licensed by the Board, to plan, estimate, layout, perform or supervise the installation, erection or repair of any electrical conductor, molding, duct, raceway, conduit, machinery, apparatus, device or fixture for the purpose of lighting, heating or power in any structure.

(15) “Master electrician special” means a person, licensed by the Board, to plan, estimate, layout, perform or supervise the installation, erection or repair of any electrical conductor, molding, duct, raceway, conduit, machinery, apparatus, device or fixture for any 1 of the following purposes: swimming pools, electric signs, air conditioning, heating, refrigeration and oil burners, and overhead and underground primary distribution systems.

(16) “Person” means an individual, firm, partnership, corporation, association, joint stock company, limited partnership, limited liability company and any other legal entity and includes a legal successor of those entities.

(17) “Residential electrician” means a person who is qualified and skilled to perform residential electric work and who has met the

requirements of § 1408 of this title to be licensed as a residential electrician and who is subject to the restrictions imposed by § 1422A of this title.

(18) "School apprentice electrician" means an individual who is licensed by the Board and who is currently enrolled at a board-approved vocational school and who is engaged in learning and assisting the performance of electrical services.

(19) "Service" means, for purposes of this chapter, to repair or replace in kind.

(20) "State" means the State of Delaware.

(21) "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the work of an electrician.

(22) "Supervision" means that a licensed electrician shall be fully responsible for all electrical work performed under that licensed electrician's license.

(23) "Unlicensed practitioner" means any person, who engages in the occupational practices as defined in paragraph (12), (13), (14) or (15) of this section, and who has not been granted a license or a homeowner's permit by the Board.

(24 Del. C. 1953, § 1401; 55 Del. Laws, c. 423, § 1; 59 Del. Laws, c. 202, § 2; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1; 74 Del. Laws, c. 262, § 24; 78 Del. Laws, c. 191, §§ 1, 2; 81 Del. Laws, c. 290, § 1; 85 Del. Laws, c. 227, § 1.)

§ 1403 Board of Electrical Examiners; appointments; composition; qualifications; term; vacancies; suspension or removal; unexcused absences; compensation.

(a) There is created a State Board of Electrical Examiners, which shall administer and enforce this chapter.

(b) The Board shall consist of 9 members, appointed by the Governor, who are residents of this state: Five shall be electricians licensed under this chapter, 1 of whom may be a registered professional engineer with at least 6 years' experience in electrical planning and design, and 4 public members. The public members shall not be, nor ever have been, licensed electricians, nor members of the immediate family of a licensed electrician; shall not have been employed by an electrician or electrical contractor; shall not have a material interest in the providing of goods and services to electricians; nor have been engaged in an activity directly related to the electrical business. The public members shall be accessible to inquiries, comments and suggestions from the general public.

(c) Except as provided in subsection (d) of this section, each member shall serve a term of 3 years, and may succeed himself or herself for 1 additional term; provided, however, that where a member was initially appointed to fill a vacancy, such member may succeed himself or herself for only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member. Each term of office shall expire on the date specified in the appointment; however, the Board member shall remain eligible to participate in Board proceedings unless and until replaced by the Governor.

(d) A person, who has never served on the Board, may be appointed to the Board for 2 consecutive terms; but no such person shall thereafter be eligible for 2 consecutive appointments. No person, who has been twice appointed to the Board or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(e) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless such an amendment or revision amends this section to permit such an appointment.

(f) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetency or neglect of duty. A member subject to disciplinary hearing shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(g) No member of the Board, while serving on the Board, shall hold elective office in any professional association of electricians; this includes a prohibition against serving as head of the professional association's Political Action Committee (PAC).

(h) The provisions set forth in Chapter 58 of Title 29 shall apply to all members of the Board.

(i) Any member, who is absent without adequate reason for 3 consecutive meetings, or who fails to attend at least $^1/_2$ of all regular business meetings during any calendar year, shall be guilty of neglect of duty.

(j) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(24 Del. C. 1953, §§ 1402, 1403, 1404; 55 Del. Laws, c. 423, § 1; 59 Del. Laws, c. 202, §§ 3, 4; 65 Del. Laws, c. 231, § 1; 67 Del. Laws, c. 366, § 3; 69 Del. Laws, c. 376, § 1; 70 Del Laws, c. 186,, § 1; 72 Del. Laws, c. 210, § 1; 81 Del. Laws, c. 85, § 6.)

§ 1404 Organization; meetings; officers; quorum.

(a) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, and at such times as the president deems necessary, or, at the request of a majority of Board members.

(b) The Board annually shall elect a president, vice-president, secretary, a complaint officer and an education officer. Each officer shall serve for 1 year and shall not succeed himself or herself for more than 2 consecutive terms.

(c) A majority of the members shall constitute a quorum for the purpose of transacting business. No disciplinary action shall be taken without the affirmative vote of at least 5 members of the Board.

(d) Minutes of all meetings shall be recorded and the Division shall maintain copies of the minutes. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The person requesting the transcript shall incur the

cost of preparing any transcript.

(24 Del. C. 1953, § 1405; 55 Del. Laws, c. 423, § 1; 59 Del. Laws, c. 202, § 5; 65 Del. Laws, c. 231, § 2; 67 Del. Laws, c. 366, § 4; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1.)

§ 1405 Records.

The Division shall keep a register of all approved applications for license as a limited electrician, limited electrician special, master electrician and master electrician special, and complete records relating to meetings of the Board, examinations, rosters, changes and additions to the Board's rules and regulations, complaints, hearings and such other matters as the Board shall determine. Such records shall be prima facie evidence of the proceedings of the Board.

(24 Del. C. 1953, § 1408; 55 Del. Laws, c. 423, § 1; 59 Del. Laws, c. 202, § 6; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1.)

§ 1406 Powers and duties.

(a) The Board of Electrical Examiners shall have authority to:

(1) Formulate rules and regulations, with appropriate notice to those affected; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act [Chapter 101 of Title 29] of this State. Each rule or regulation shall implement or clarify a specific section of this chapter.

(2) Designate the application form to be used by all applicants and process all applications;

(3) Designate the written, standardized examination, approved by the Division, and administered and graded by the testing service, to be taken by all persons applying for licensure; except applicants who qualify for licensure by reciprocity;

(4) Evaluate the credentials of all persons applying for a license as limited electrician, limited electrician special, master electrician, master electrician special, journeyperson electrician, apprentice electrician, and school apprentice electrician in this State, in order to determine whether such persons meet the qualifications for licensing set forth in this chapter.

(5) Grant licenses to and renew licenses of all persons who meet the qualifications for licensure;

(6) Delegate authority to the Division to grant homeowners' permits to persons who qualify for such permits;

(7) Establish by rule and regulation continuing education standards required for license renewal;

(8) Evaluate certified records to determine whether an applicant for licensure, who previously has been licensed, certified or registered in another jurisdiction as an electrician, has engaged in any act or offense that would be grounds for disciplinary action under this chapter, and whether there are disciplinary proceedings or unresolved complaints pending against such applicant for such acts or offenses;

(9) Refer all complaints from licensees and the public concerning licensed electricians, or concerning practices of the Board, or of the profession, to the Division for investigation pursuant to § 8735 of Title 29; and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint;

(10) Conduct hearings and issue orders in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(11) Grant a license to, and renew the license of, a nonpracticing licensee, as defined in the Board's rules and regulations, provided the individual does not use the license to perform electrical installations or file inspections, and who in addition, submits proof of completion of biennial continuing education requirements.

(12) Require, if necessary, that a licensed electrician take over the work done by an unlicensed practitioner, or if the work is completed, that the work be inspected by a Board-licensed inspection agency; such work shall be inspected by the inspection agency within 5 working days after receipt of the Board's request.

(13) Designate and impose the appropriate sanction or penalty, after time for appeal has lapsed, when the Board determined after a hearing, that penalties or sanctions should be imposed.

(b) The Board shall require that all persons receiving a master electrician, master electrician special, limited electrician or limited electrician special license, display on the vehicles used in the performance of their work, the words "Licensed Electrician," and the number assigned to them, in not less than 3-inch letters and numbers.

(c) The Board of Electrical Examiners shall promulgate regulations specifically identifying those crimes which are substantially related to the work of an electrician.

(24 Del. C. 1953, § 1406; 55 Del. Laws, c. 423, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1; 74 Del. Laws, c. 257, § 1; 74 Del. Laws, c. 262, § 25; 78 Del. Laws, c. 191, §§ 3, 4; 85 Del. Laws, c. 227, § 1.)

Subchapter II

License

§ 1407 License required.

(a) No person shall engage in the practice of providing electrical services or hold himself or herself out to the public in this State as being qualified to act as a licensed electrician; or use in connection with that person's name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the person is qualified to act as a licensed electrician, unless such person has been duly

licensed under this chapter.

(b) Whenever a license to practice as an electrician in this State has expired or been suspended or revoked, it shall be unlawful for the person to act as an electrician in this State.

(24 Del. C. 1953, § 1421; 55 Del. Laws, c. 423, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1.)

§ 1408 Qualifications of applicant [For application of this section, see 81 Del. Laws, c. 290, § 5].

(a) An applicant, who is applying for licensure as an electrician under this chapter, shall submit evidence, verified by oath and satisfactory to the Board, that such person:

(1) For licensure as a master electrician shall have knowledge of electricity in the residential, commercial and industrial areas, and in addition shall have:

a. Six years' full-time experience under the supervision of a licensed master electrician; or

b. Eight thousand hours of full-time experience under the supervision of a licensed master electrician, plus 576 hours of related instruction, or other approved training verified by a certificate of completion of apprenticeship from any bona fide, registered apprenticeship program of any state; or

c. Four years' full-time experience under the supervision of a licensed master electrician and 2 years' of technical training.

(2) For licensure as limited electrician shall have knowledge of electricity in the residential area, and in addition shall have:

a. Three years' full-time experience under the supervision of a licensed electrician, master or limited; or

b. Four thousand hours of full-time experience under the supervision of a licensed electrician, master or limited, plus 288 hours of related instruction, or other approved training verified by a certificate of completion of apprenticeship from any bona fide, registered apprenticeship program of any state.

(3) For licensure as master electrician special shall have knowledge of electricity as it relates to the particular type or types of specialty, and in addition shall have:

a. Six years' full-time experience under the supervision of a licensed master electrician, or master electrician special in the applicable specialty; or

b. Eight thousand hours of full-time experience under the supervision of a licensed master electrician or master electrician special, plus 576 hours of related instruction, or other approved training in the applicable specialty, verified by a certificate of completion of apprenticeship from any bonafide, registered apprenticeship program of any state.

(4) For licensure as limited electrician special shall have knowledge of electricity as it relates to the particular type or types of specialty, and in addition shall have:

a. Three years' full-time experience under the supervision of a licensed master electrician, master electrician special or limited electrician special in the applicable specialty; or

b. Four thousand hours of full-time experience under the supervision of a licensed master electrician, master electrician special or limited electrician special, in the applicable specialty, plus successful completion of 288 hours of related instruction, or other approved training in a specialty verified by a certificate of completion of apprenticeship from any bonafide, registered apprenticeship program of any state.

(5) For licensure as a journeyperson electrician shall:

a. Be at least 20 years of age.

b. Shall either:

1. Successfully complete an apprenticeship program approved by the Board that includes passing a final exam for successful completion of such program; or

2. Have over 8,000 hours of full-time experience performing electrical work under the supervision of a licensed master electrician, master electrician special, limited electrician or limited electrician special.

c. The exam required for a journeyperson license in paragraph (a)(8) of this section shall not be required for any person that has successfully met the requirement of paragraph (a)(5)b.1. of this section.

(6) For licensure as a residential electrician shall:

a. Pass a residential electrician exam as determined by the Board, and which is approved by the Division; and

b. Have over 4,000 hours of full-time experience performing electrical work or have successfully completed a residential apprenticeship program approved by the Board. Work experience is applicable if acquired under the supervision of a licensed master electrician or limited electrician or while the applicant was individually licensed.

(7) a. For licensure as an apprentice electrician shall:

1. Be at least 18 years of age.

2. Be enrolled in an apprentice program approved by the Board.

3., 4. [Repealed.]

b. For licensure as a school apprentice electrician shall be enrolled in a board-approved vocational program at a vocational high school in this State.

(8) After fulfilling the applicable experience and/or training requirements of this section, a person applying for licensure as a master electrician, master electrician special, journeyperson electrician, limited electrician, and limited electrician special shall have achieved

the passing score on the written, standardized examination for licensure, with a passing score as determined by the Board in rules and regulations, and which is approved by the Division.

(9) Shall not have been the recipient of any administrative penalties regarding that person's practice as an electrician, including, but not limited, to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, and/or has not entered into any "consent agreements" which contain conditions placed by a Board on that person's professional conduct and practice, including any voluntary surrender of a license. The Board may determine after a hearing whether such administrative penalty is grounds to deny licensure.

(10) Shall not have any impairment related to drugs or alcohol that would limit the applicant's ability to act as an electrician in a manner consistent with the safety of the public.

(11) Does not have a criminal conviction record for an offense substantially related to providing electrical services. Applicants who have criminal conviction records shall request appropriate authorities to provide information about the record directly to the Board. If however, after considering the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, or during the time between meetings, the Board President or the President's designee, shall waive this paragraph (a)(11). No waiver may be granted for a conviction of a felony sexual offense.

a.-d. [Repealed.]

(12) Shall have no disciplinary proceedings or unresolved complaints pending against the person in any jurisdiction where the applicant has previously been or currently is licensed or registered.

(13) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, has not been convicted of a felony sexual offense.

(b) All evidence of experience shall be submitted on written affidavit forms provided by the Board.

(c) All evidence of education shall be submitted by written certification from the educational institution attended.

(d) Where the Board has found to its satisfaction that an applicant has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(e) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification; has imposed higher or different standards for the applicant than for other applicants or licensees; or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(f) An applicant may elect to postpone submitting the applicant's licensure fee and proof of general liability insurance after successfully completing the examination for licensure; but such postponement shall not exceed 12 months. If the applicant fails to activate that applicant's license within 12 months of passing the examination, the Board shall require that the applicant retake the examination.

(24 Del. C. 1953, § 1422; 55 Del. Laws, c. 423, § 1; 59 Del. Laws, c. 48, § 5; 59 Del. Laws, c. 202, § 7; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1; 73 Del. Laws, c. 128, §§ 1-6; 74 Del. Laws, c. 262, § 26; 75 Del. Laws, c. 436, § 11; 77 Del. Laws, c. 199, § 9; 78 Del. Laws, c. 44, §§ 14, 15; 78 Del. Laws, c. 191, §§ 5, 6; 79 Del. Laws, c. 86, §§ 1, 2; 81 Del. Laws, c. 290, § 2; 82 Del. Laws, c. 198, § 1; 83 Del. Laws, c. 433, § 9; 85 Del. Laws, c. 227, § 1.)

§ 1409 Reciprocity.

(a) Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant, who shall present proof of current licensure in "good standing" in another state, the District of Columbia or territory of the United States, whose standards for licensure are substantially similar to those of this State. A license in "good standing" is defined in § 1408(a)(9)-(12) of this title.

(b) An applicant, who is licensed in a state whose standards are not substantially similar to those of this State, shall have practiced for a minimum of 5 years after licensure; provided however, that the applicant meets all other qualifications for reciprocity in this section.

(24 Del. C. 1953, § 1426; 55 Del. Laws, c. 423, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1; 78 Del. Laws, c. 191, § 5; 81 Del. Laws, c. 290, § 2.)

§ 1410 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division in its service on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each licensure biennium, the Division, or any other state agency acting in its behalf, shall compute, for each separate service or activity, the appropriate Board fees for the licensure or biennium.

(24 Del. C. 1953, § 1424; 55 Del. Laws, c. 423, § 1; 57 Del. Laws, c. 607; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1.)

§ 1411 Issuance and renewal of licenses.

(a) The Board shall issue a license to each applicant, who meets all of the requirements of this chapter for licensure as an electrician, in the category applied for, and who pays the fee established under § 1410 of this title, and submits proof of general liability insurance as required by the Board.

(b) Each license, with the exception of apprentice electricians and school apprentice electricians, shall be renewed biennially, in such manner as is determined by the Division, and upon payment of the appropriate fee and submission of a renewal form provided by the Division, proof of general liability insurance as required by the Board, and proof that the licensee has met the continuing education

requirements established by the Board.

(1) Apprentice electricians licensed 5 years or more prior to July 1, 2026, shall not be eligible to renew past the license's current expiration date; however:

a. For good cause shown, the Board may, at its discretion, allow extensions of 1 year to enable the individual to complete an apprentice program approved by the Board.

b. Any such request must be made to the Board at least 60 days before the expiration date.

(2) Apprentice electricians licensed less than 5 years as of July 1, 2026, shall not be eligible to renew and the license's expiration date shall be extended to expire 5 years from the current issue date; however:

a. For good cause shown, the Board may, at its discretion, allow extensions of 1 year to enable the individual to complete an apprentice program approved by the Board.

b. Any such request must be made to the Board at least 60 days before the expiration date.

(3) Any apprentice electrician license issued on or after July 1, 2026, will expire after 5 years and may be extended for good cause shown to the Board.

a. For good cause shown, the Board may, at its discretion, allow extensions of 1 year to enable the individual to complete an apprentice program approved by the Board.

b. Any such request must be made to the Board at least 60 days before the expiration date.

(4) School apprentice electrician licenses need not be renewed. These licenses remain valid as long as the license holder is enrolled in a board-approved vocational program at a vocational high school in this State or has attained the age of 18, whichever is later.

(c) The Board, in its rules and regulations, shall determine the period of time within which a licensed electrician may still renew that licensed electrician's license, notwithstanding the fact that such licensee has failed to renew on or before the renewal date.

(d) A licensee, with the exception of a school apprentice, upon written request, may be placed in an inactive status in accordance with the Board's rules and regulations. Such person may reenter practice upon written request to the Board of the intent to do so, and completion of continuing education, as required in the Board's rules and regulations.

(24 Del. C. 1953, §§ 1425, 1427; 55 Del. Laws, c. 423, § 1; 59 Del. Laws, c. 202, §§ 8, 10; 64 Del. Laws, c. 476, § 7; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1; 85 Del. Laws, c. 227, § 1.)

§ 1412 Grounds for discipline.

(a) A practitioner licensed under this chapter shall be subject to disciplinary actions set forth in § 1414 of this title, if, after a hearing, the Board finds that the practitioner has:

(1) Employed, or knowingly cooperated in, fraud or material deception in order to acquire a license as an electrician; has impersonated another person holding a license, or allowed another person to use the practitioner's license, or aided or abetted a person not licensed as an electrician to represent himself or herself as a licensed electrician;

(2) Illegally, incompetently or negligently provided electrical services;

(3) Performed electrical work in a category for which the practitioner is not licensed;

(4) Been convicted of any offense, the circumstances of which substantially relate to the performance of electrical work. A copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence therefor;

(5) Excessively used or abused drugs;

(6) Engaged in an act of consumer fraud or deception of the public;

(7) Violated a lawful provision of this chapter, or any lawful rule or regulation established thereunder;

(8) Had the practitioner's license as an electrician suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record; and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every person licensed as an electrician in this State shall be deemed to have given consent to the release of this information by the Board, or other comparable agencies in another jurisdiction, and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses;

(9) Failed to notify the Board that the practitioner's license as an electrician in another state has been subject to discipline, or has been surrendered, suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof; or

(b) Subject to the provisions of subchapter IV of Chapter 101 of Title 29, no license shall be restricted, suspended or revoked by the Board, and no practitioner's right to practice as an electrician shall be limited by the Board until such practitioner has been given notice, and an opportunity to be heard, in accordance with the Administrative Procedures Act [Chapter 101 of Title 29].

(72 Del. Laws, c. 210, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 436, § 12.)

§ 1413 Complaints.

(a) All complaints shall be received and investigated by the Division in accordance with § 8735 of Title 29, and the Division shall be responsible for issuing a final written report at the conclusion of its investigation.

(b) When it is determined that an individual, not currently licensed by the Board, is engaging, or has engaged, in providing electrical services to the public, or is using the title "master electrician", "master electrician special", "limited electrician", "limited electrician

special", or other title implying that the individual is competent to provide electrical services, the Board shall apply to the Office of the Attorney General to issue a cease and desist order.

(64 Del. Laws, c. 476, § 6; 65 Del. Laws, c. 355, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1.)

§ 1414 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 of the conditions or violations set forth in § 1412 of this title applies to a practitioner or licensee regulated by this chapter:

(1) Issue a letter of reprimand.

(2) Censure a practitioner.

(3) Place a practitioner on probationary status, and require the practitioner to do 1 or more of the following:

a. Report regularly to the Board upon the matters, which are the basis of the probation.

b. Limit all practice and professional activities to those areas prescribed by the Board.

(4) Suspend any practitioner's license.

(5) Revoke any practitioner's license.

(6) Impose a monetary penalty:

a. Not to exceed $1,500 for each violation of § 1412(a)(2) and (a)(4) through (9) of this title; and

b. No less than $4,500 for violations of § 1412(a)(1) and (a)(3) of this title.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies, which required such action have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(24 Del. C. 1953, § 1428; 55 Del. Laws, c. 423, § 1; 59 Del. Laws, c. 202, § 11; 64 Del. Laws, c. 476, §§ 2, 3; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1; 79 Del. Laws, c. 213, § 2; 81 Del. Laws, c. 290, § 3; 83 Del. Laws, c. 230, § 1.)

§ 1415 Hearing procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29, alleging violation of § 1412 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings shall be informal without use of rules of evidence. If the Board finds, by a majority vote of all members, that the complaint has merit, the Board shall take such action permitted under this chapter, as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. The Board's decision shall be mailed immediately to the practitioner.

(c) Where the practitioner is in disagreement with the action of the Board, the practitioner may appeal the Board's decision to the Superior Court within 30 days of service, or of the postmarked date of the copy of the decision mailed to the practitioner. Upon such appeal the Court shall hear the evidence on the record. Stays shall be granted in accordance with § 10144 of Title 29.

(24 Del. C. 1953, § 1431; 55 Del. Laws, c. 423, § 1; 64 Del. Laws, c. 476, § 8; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1.)

§ 1416 Reinstatement of a suspended license; removal from probationary status.

(a) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension and/or the probation.

(b) Applicants for reinstatement must pay the appropriate fees and submit documentation required by the Board as evidence that all the conditions of a suspension and/or probation have been met. Proof that the applicant has met the continuing education requirements of this chapter may also be required, as appropriate.

(c) [Repealed.]

(24 Del. C. 1953, § 1429; 55 Del. Laws, c. 423, § 1; 59 Del. Laws, c. 202, §§ 12, 13; 64 Del. Laws, c. 476, § 4; 65 Del. Laws, c. 462, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1; 82 Del. Laws, c. 8, § 4.)

Subchapter III

Other Provisions

§ 1417 Homeowner's Permits.

(a) Any person, who plans to install that person's own internal wiring, electrical work or equipment, including the main breaker or fuse, in or about that person's own home, that is not for sale nor any part for rent, excluding swimming pools and hot tubs, shall obtain a homeowner's permit. Permits shall be valid for 1 year. Failure of the homeowner to obtain a final inspection of the homeowner's work shall be cause for the Board to cancel the homeowner's permit.

(b) Persons applying for a homeowner's permit shall submit a photo identification, copy of the deed to the home and title or contract of sale for the mobile home (if applicable).

(c) Application for a homeowner's permit shall be available at the Board office in Dover, or by mail. The Division shall issue the permit only to those persons who fulfill the requirements of this section.

(72 Del. Laws, c. 210, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1418 Partnership, firm or corporation; loss of license holder.

(a) If a partnership, firm or corporation suffers a loss of a license holder, the partnership, firm or corporation shall notify the Board in writing with supporting documentation within 7 days of the loss of a license holder.

(b) The Board shall schedule an emergency meeting within 10 days during which time the partnership, firm or corporation may continue to operate without a license holder provided the partnership, firm or corporation continues to employ the same personnel with the exception of the license holder.

(c) A person associated with the partnership, firm or corporation shall submit an application for a license to the Board, before the emergency meeting, for consideration by the Board at such meeting. At the emergency meeting the Board may issue a temporary license valid for 100 days dated from the date of notification by the partnership, firm or corporation.

(d) If approved at the emergency meeting, the applicant shall be scheduled for the next available examination.

(e) Regardless of the provisions of subsection (c) of this section, a temporary license shall expire when the Board receives notification of the results of the examination.

(f) If the partnership, firm or corporation allows the 100-day temporary license to expire without having a person obtain a license or having in their employ a person with a license, then said partnership, firm or corporation shall cease and desist immediately from all electrical work for which a license is required under this chapter.

(72 Del. Laws, c. 210, § 1.)

§ 1419 Exceptions.

(a) Nothing in this title shall be construed to prevent the performing of electrical work by:

(1) Any of the following individuals working in a manufacturing or industrial facility:

a. An electrical engineer who is recognized by their company as the person responsible for facility repairs, maintenance, or electrical additions, and who is registered with the Board, or a professional electrical engineer who is registered with the Board and who is licensed and listed on the Delaware Association of Professional Engineers;

b. An electrical engineer or electrical engineering technician, recognized by the manufacturing or industrial company as qualified, working in a laboratory environment conducting basic research and development;

c. An "in-house" electrical engineer, electrical engineering technician, or other person conducting research and development building and testing a custom panel designed by the company and not commercially available, provided that such exception shall not extend to the permanent installation of the equipment;

(2) The Department of Transportation, its agencies, offices and divisions, for all work performed by the Department, or under its supervision, and which is approved by the Department, for the installation, erection, construction, reconstruction and/or maintenance of drawbridges and traffic-control devices, including traffic signals, traffic signs and highway lighting;

(3) Persons working beyond the main breaker or fuse of 200 amps or less on structures used exclusively for agricultural purposes, except that the provisions of § 1420 of this title regarding certificates of inspection shall apply where new installations are involved;

(4) Any electric light or power company, electric railway company, steam railway company, diesel railway company, telegraph or telephone company, water or wastewater utility whose rates and services are regulated by the Delaware Public Service Commission, or any person performing the electrical work of such company or utility, when such work is a part of the plant or services used by the company in rendering its authorized service to the public, as further defined in rules and regulations of the Board;

(5) Any homeowner or homeowners who comply with the mandates of § 1417 of this title.

(b) Nothing in this chapter shall restrict any person from servicing equipment in the fields of heating, air conditioning, refrigeration or appliances.

(24 Del. C. 1953, § 1432; 55 Del. Laws, c. 423, § 1; 59 Del. Laws, c. 202, § 16; 62 Del. Laws, c. 342, § 1; 64 Del Laws, c. 476,, § 5; 65 Del. Laws, c. 355, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1; 78 Del. Laws, c. 191, § 7; 79 Del. Laws, c. 86, § 3; 79 Del. Laws, c. 407, § 1.)

§ 1420 Certificate of Inspection required; "cut-in-card".

(a) All electrical work performed in this State, unless specifically exempt, shall receive a certificate of inspection issued by a Board-

licensed inspection agency.

(b) All applications for inspections shall be filed with the inspection agency within 5 working days of the commencement of electrical work.

(c) Inspection agencies shall make all inspections within 5 working days of receipt of the application for inspection.

(d) No power company shall connect any current, light or power to any property without first obtaining from an inspection agency a permanent or temporary "cut-in-card," except in case of emergency when service may be restored by a licensed electrician prior to obtaining a "cut-in-card." The inspection agency shall issue a "cut-in-card" only for electrical work performed by a licensed electrician, except for work being done or which has been done by persons who are not required to obtain licenses under this chapter.

(24 Del. C. 1953, § 1433; 55 Del. Laws, c. 423, § 1; 59 Del. Laws, c. 202, § 17; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1.)

§ 1421 Electrical Inspection Agencies.

(a) All agencies, who intend to conduct electrical inspections in this State, shall apply for a license as an approved electrical inspection agency, complete a Board-approved application and submit to the Board proof of the following:

(1) Name or names, address or addresses, and telephone number or numbers for all office facilities located in this State, at least 1 office of which shall service all 3 counties;

(2) For all electrical inspectors employed by the inspection agency, proof of at least 7 years of experience in residential, commercial or industrial wiring;

(3) The passing grade obtained by each inspector on the following examinations, administered by a nationally recognized testing agency and approved by the Division: Electrical 1- and 2-family dwelling; electrical general, administered within 18 months of employment as an inspector; and electrical plan review, administered within 24 months of such employment.

(b) The Board may grant conditional approval of the inspection agency, not to exceed 6 months, after reviewing the credentials of the agency, evidence of general liability insurance and errors and omission insurance, as required by the Board's rules and regulations, and payment of the fee established by the Division. No electrical inspection agency shall conduct any electrical inspection in this State until it has at least 1 full-time, nationally-certified inspector on its payroll, who will conduct electrical inspections in this State.

(c) The Board may deny an application for licensure as an inspection agency; such denial shall be in writing and state the reason or reasons for such denial; and shall be provided by the Board to the inspection agency within 10 days of the decision. The inspection agency may appeal all denials of licensure to the Superior Court.

(d) After the Board has granted a conditional approval for the inspection agency and such approval has been in effect for at least 3 months, the Board may grant a license to the inspection agency, upon submission of certified proof of the following:

(1) All employees, officers or stockholders of the inspection agency shall not have any proprietary or pecuniary interest in any electrical contracting business located in this State;

(2) All employees, officers or stockholders of the inspection agency shall not have any proprietary or pecuniary interest in any manufacturer or seller of electrical appliances, machinery, wiring, electrical hardware or other electrical apparatus.

(3) All employees, officers or stockholders of the inspection agency shall not have any proprietary or pecuniary interest in any electric utility or company, municipal electrical department or other utility or company, which supplies electrical energy for industrial, residential or commercial use.

(e) All licensed electrical inspection agencies in this State shall file, and keep up to date, with the Board and keep open to public inspection at all times during normal business hours, and in each office, the addresses and telephone numbers of all offices, time of regular business hours for all offices, and a schedule with all rates and charges for services rendered by the agency.

(f) All licensed electrical inspection agencies in this State shall make inspections within 5 days of receipt of an application for inspection and shall issue a certificate of approval within 15 days after final inspection. All applications for inspection must be filed by a state-licensed electrician or by a person or persons specifically excepted by this chapter.

(g) All violations noted during an inspection shall be corrected within 15 days and reinspected by the same inspection agency. If not corrected, the inspection agency shall notify the utility concerned and the Board of such violations. The utility shall not provide service to the premises until the violation is corrected.

(h) All records of the licensed electrical inspection agencies shall be available for examination by the Division's investigators; the agency shall inform the Division of the location of all records.

(i) All licensed electrical inspection agencies in this State shall carry general liability insurance and errors and omission insurance of at least $1,000,000 each for claims of property damage or personal injury arising from faulty electrical work approved by the agency, or any of its employees, or other acts or omissions performed by the agency or any of its employees.

(j) All employees of all licensed electrical inspection agencies in this State shall be remunerated on a salary basis only and shall not be given commissions or other bonus incentives for volume of work performed.

(k) Each license shall be renewed annually upon payment of the appropriate fee, in such a manner as is determined by the Division.

(*l*) The Board may impose any of the sanctions available under § 1414 of this title on an electrical inspection agency if the agency is determined to be guilty of:

(1) Fraud or deceit in obtaining a license;

(2) An act of consumer fraud or deception of the public;

(3) Negligence, incompetency or misconduct in providing electrical inspection services; or

(4) Violation of any lawful provision of this chapter or any lawful rule or regulation established thereunder.

(24 Del. C. 1953, § 1434; 59 Del. Laws, c. 202, § 18; 59 Del. Laws, c. 396, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1; 73 Del. Laws, c. 128, §§ 7, 8.)

§ 1422 Apprentice electricians and school apprentice electricians.

(a) An apprentice electrician or school apprentice electrician must work under the direct onsite supervision of a licensed master electrician, master electrician special, limited electrician, limited electrician special, residential electrician or journeyperson electrician.

(b) A licensed electrician supervising an apprentice electrician or school apprentice electrician pursuant to subsection (a) of this section shall be responsible for the activities of the apprentice electrician or school apprentice electrician performing work in this State.

(78 Del. Laws, c. 191, § 8; 81 Del. Laws, c. 290, § 4; 85 Del. Laws, c. 227, § 1.)

§ 1422A Residential electricians

(a) A residential electrician license allows for a person to conduct residential electrical work without having to be under the direct onsite supervision of a licensed master electrician, master electrician special, limited electrician, limited electrician special or journeyperson electrician.

(b) Electricians with a residential electrician license are prohibited from performing any electrical work other than:

(1) Electrical work performed on or within a residential dwelling or building prior to the dwelling or building being connected to the electric grid, or

(2) Work to or beyond the breaker panel or fuse box in a residential dwelling or building.

(81 Del. Laws, c. 290, § 4.)

§ 1423 Duty to report.

(a) An owner, operator, manager, or supervisor of a business performing electrical services shall have a duty to report to the Board, if such owner, operator, manager, or supervisor has knowledge that a person working for or under his or her supervision is:

(1) Performing electrical work; and

(2) Does not have the proper license under subchapter II of this chapter.

(b) The report required pursuant to this section must be made in writing to the Board within 10 days of such owner, operator, manager, or supervisor having the required knowledge and shall contain the name of the person performing the electrical work without a license.

(c) An owner, operator, manager, or supervisor of a business performing electrical services must check to see if an employee or independent contractor has the proper license under subchapter II of this chapter before allowing such employee or independent contractor to perform electrical work for such owner, operator, manager or supervisor.

(79 Del. Laws, c. 86, § 4; 70 Del. Laws, c. 186, § 1.)

§ 1424 Penalty.

A person, not currently licensed as an electrician or exempt from licensure under this chapter, when guilty of performing electrical work, or using in connection with that person’s name, or otherwise assuming or using any title or description conveying, or tending to convey, the impression that the person is qualified to perform electrical work, such offender shall be guilty of a misdemeanor. Upon the first offense, the person shall be fined not less than $500 nor more than $1,500 for each offense. For a second or subsequent conviction, the fine shall be not less than $1,500 nor more than $2,300 for each offense. Justice of the Peace Courts shall have jurisdiction over all violations of this chapter.

(24 Del. C. 1953, § 1440; 55 Del. Laws, c. 423, § 1; 59 Del. Laws, c. 202, § 19; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 210, § 1; 78 Del. Laws, c. 191, § 8; 79 Del. Laws, c. 86, § 4; 81 Del. Laws, c. 290, § 4.)

§ 1425 Inspections.

An agent of the Division may inspect during business hours, without prior notice any person providing electrical services at any business or location to determine if such person has a proper license as required by this chapter.

(80 Del. Laws, c. 318, § 1.)

Chapter 15
HOTELS, RESTAURANTS AND PLACES OF ENTERTAINMENT

§ 1501 Exclusion of customers [Repealed].

15 Del. Laws, c. 194, § 1; Code 1915, § 3575; Code 1935, § 4066; 24 Del. C. 1953, § 1501; 70 Del. Laws, c. 186, § 1; repealed by 84 Del. Laws, c. 31, § 1, effective May 16, 2023.

§ 1502 Safekeeping of valuables.

Whenever the proprietor of any hotel, inn or boardinghouse provides a good, sufficient and secure safe or vault in the office or other convenient place in such hotel, inn or boardinghouse for the safe keeping of any money, goods, jewelry and valuables belonging to the guests and boarders of such hotel, inn or boardinghouse, and notifies the guests and boarders thereof, by placing in every lodging room and other conspicuous places printed cards or notices stating the fact that such safe or vault is provided in which such goods, jewelry and valuables may be deposited and that the proprietor or proprietors thereof will not be responsible for such money, goods, jewelry and valuables unless deposited in such safe or vault, and if such guest or boarder neglects to deposit such money, goods, jewelry or valuables in such safe or vault, the proprietor shall not be liable for any loss of such money, goods, jewelry or valuables sustained by such guest, by theft or otherwise.

(Code 1915, § 3575a; 32 Del. Laws, c. 192; Code 1935, § 4067; 24 Del. C. 1953, § 1502.)

§ 1503 Inspection of food preparation areas.

The food preparation area of any hotel, restaurant, place of business, institution or business which prepares or serves food for human consumption shall be subject to a state health inspection, without advance notice. For purposes of this section, a “food preparation area” shall include any kitchen, food storage area, locker or other area where food is prepared or kept prior to being served. For purposes of this section, the term “advance notice” shall mean any form of communication of a plan or schedule for inspection by any representative of the Department of Health and Social Services, prior to the inspection.

(62 Del. Laws, c. 149, § 1; 70 Del. Laws, c. 149, § 209.)

Chapter 16
ADULT ENTERTAINMENT ESTABLISHMENTS

Subchapter I

Adult Book Stores and Other Adult Entertainment Establishments

§ 1601 Purpose.

(a) It is the finding of the General Assembly that the health, safety and welfare of the people of the State are imperiled by the increasing incidence of the crimes of obscenity, prostitution and of offenses related thereto. The General Assembly finds that the foregoing crimes are principally facilitated by the widespread abuse of legitimate occupations and establishments, to wit, adult entertainment establishments. It is the further finding of the General Assembly that existing criminal penalties for the foregoing offenses have been rendered ineffective by the active concealment of the identities of the individuals who create, control and promote such businesses; by the failure of these individuals and businesses to exercise adequate control and supervision over the activities of their employees; and by the active promotion of prostitution and obscenity by these individuals and businesses for their own financial gain. It is the additional finding of the General Assembly that the health, safety and welfare of the people of the State are imperiled by the widespread operation of adult-oriented retail businesses without reasonable time, place and manner limitations on such businesses.

(b) To the end of furthering the substantial and compelling interest of the people of this State in being free of the crimes of obscenity, prostitution and its companion offenses, and in order to promote the health, safety and welfare, the General Assembly does hereby act.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, § 2; 77 Del. Laws, c. 168, § 1.)

§ 1602 Definitions.

As used in this chapter:

(1) “Adult” shall mean a person who has attained the age of 18.

(2) “Adult entertainment establishment” shall mean any commercial establishment, business or service, or portion thereof, which offers sexually-oriented material, devices, paraphernalia or specific sexual activities, services, performances or any combination thereof, or in any other form, whether printed, filmed, recorded or live. The term “adult entertainment establishment” shall include but not be limited to such activities as:

a. “Adult book stores” which shall mean any corporation, partnership or business of any kind which has as part of its stock books, magazines or other periodicals and which offers, sells, provides or rents for a fee:

1. Any sexually-oriented material, and which business restricts or purports to restrict admission to adults, within the meaning of this chapter, or to any class of adults;

2. Any sexually-oriented material which is available for viewing by patrons on the premises by means of the operation of movie machines or slide projectors; or

3. Any sexually-oriented material which has a substantial portion of its contents devoted to the pictorial depiction of sadism, masochism or bestiality.

4. [Repealed.]

b. “Adult motion picture theatres” which shall mean an enclosed building used for presenting film presentations which are distinguished or characterized by an emphasis on matter depicting, describing or relating to specific sexual activities for observation by patrons therein;

c. “Adult shows” or “adult peep shows” which shall include all adult shows, exhibitions, performances or presentations which contain acts or depictions of specific sexual activities;

d. “Conversation parlors,” “relaxation studios,” “health salons” or “call services” which shall mean any commercial business, enterprise or service which offers or which holds itself out as offering conversations or relaxation or any other services whereby any employee, attendant or patron is involved in specific sexual activities or representations thereof.

e. [Repealed.]

(3) “Adult-oriented retail establishment” shall mean any commercial establishment, business or service, or portion thereof, which offers as a substantial portion of their business sexually-oriented material, devices, or paraphernalia, but does not allow on-site displays of sexually-oriented materials or sexual activities.

(4) “Applicant” shall mean the person in whose name or on whose behalf a license under this chapter is requested.

(5) “Beastiality” shall mean sexual activity, actual or simulated, between a human being and an animal.

(6) “Commission” shall mean the Commission on Adult Entertainment Establishments.

(7) “Conviction” means a verdict of guilty by the trier of fact, whether judge or jury, or a plea of guilty or a plea of nolo contendere accepted by the court.

(8) “Licensee” shall mean the person to whom and in whose name a license is issued under this chapter.

(9) “Masochism” shall mean sexual gratification achieved by a person through, or the association of sexual activity with, submission

or subjection to physical pain, suffering, humiliation, torture or death.

(10), (11) [Repealed.]

(12) "Partner" shall include both a general and a limited partner.

(13) "Partnership" shall include both a general and a limited partnership.

(14) "Peace officer" shall include police officers, the Attorney General and the Attorney General's deputies and assistants.

(15) "Person" means a human being who has been born and is alive, and, where appropriate, a public or private corporation, an unincorporated association, a government or a governmental instrumentality.

(16) "Principal stockholder" shall mean a person who owns equity securities of the licensee, whether voting or nonvoting, preferred or common, in any amount equal to or greater than 10 percent of the total amount of equity securities of the licensee issued and outstanding.

(17) "Sadism" shall mean sexual gratification achieved through, or the association of sexual activity with, the infliction of physical pain, suffering, humiliation, torture or death upon another person or animal.

(18) "Sexually-oriented material" shall mean any book, article, magazine, publication or written matter of any kind, drawing, etching, painting, photograph, motion picture film or sound recording, which depicts sexual activity, actual or simulated, involving human beings or human beings and animals, or which exhibits uncovered human genitals or pubic region in a lewd or lascivious manner or which exhibits human male genitals in a discernibly turgid state, even if completely covered.

(19) "Specific sexual activities" shall be defined as including the following sexual activities and/or the exhibition of the following anatomical areas:

a. Human genitals in the state of sexual stimulation or arousal; or

b. Acts of human masturbation, sexual intercourse, sodomy, cunnilingus, fellatio or any excretory function, or representation thereof;

c. The fondling or erotic touching of human genitals, pubic region, buttocks or the female breasts; or

d. Less than completely opaquely covered:

1. Human genitals, pubic region;

2. Buttocks;

3. Female breasts below the top of the areola; or

4. Human male genitals in a discernable turgid state, even if completely and opaquely covered.

(20) [Repealed.]

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, §§ 3, 4; 63 Del. Laws, c. 13, § 1; 68 Del. Laws, c. 236, § 3; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 168, § 2.)

§ 1603 Commission on Adult Entertainment Establishments.

(a) The Commission on Adult Entertainment Establishments is hereby established. The Commission shall consist of 5 members who shall be appointed by the Governor and who shall be residents of this State. No person shall be a member if a member of such person's immediate family is licensed by the Commission, or is an employee of a licensee of the Commission; or if a member of such person's immediate family has a material or financial interest in the providing of goods or services to a licensee of the Commission.

(b) Members shall serve for terms of 3 years. The Chairperson shall be elected annually by vote of the members. In the event that a member of the Commission for any reason cannot complete a term of office, the Governor shall appoint another person to serve for the remainder of the term.

(c) A person who has never served on the Board may be appointed to the Board 2 consecutive times, but no such person shall thereafter be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board, or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(d) Any act or vote by a person appointed in violation of subsection (c) of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (c) of this section, unless such amendment or revision amends this section to permit such an appointment.

(e) Each member of the Commission shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(f) Three members of the Commission shall constitute a quorum to conduct business. In the absence of the Chairperson, an acting Chairperson shall be designated by the quorum of Commissioners present.

(g) A member of the Commission shall be suspended or removed by the Governor for misfeasance, nonfeasance or malfeasance. A member subject to disciplinary proceedings shall be disqualified from Commission business until the charge is adjudicated or the matter is otherwise concluded. A Commission member may appeal any suspension or removal to the Superior Court.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, § 5; 67 Del. Laws, c. 368, § 8; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 320, § 1; 77 Del. Laws, c. 168, § 3; 81 Del. Laws, c. 85, § 7.)

§ 1604 Duties and powers of Commission.

(a) The Commission shall issue, revoke and suspend licenses for operation of adult entertainment establishments, and for the operation

of adult-oriented retail businesses in accordance with this chapter.

(b) The Commission shall meet regularly as determined by the Commission or within 30 days, whichever comes sooner, after receipt of a completed application for a license, and shall conduct such special meetings and hearings as shall be necessary to implement this chapter.

(c) Each member of the Commission shall have the power to administer oaths, and to compel the attendance of witnesses and the production of documents and other tangible objects material to its proceedings by the issuance of subpoenas to carry out the purposes of this chapter.

(d) No findings of fact shall be made by the Commission except upon a hearing before at least 3 members, 3 of which shall concur in said finding. All findings of fact shall be written or recorded.

(e) The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Commission as well as the proportional expenses incurred by the Division of Professional Regulation in its services on behalf of the Commission. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each calendar year the Division of Professional Regulation, or any state agency acting in its behalf, shall compute for each separate service or activity the appropriate Commission fees for the coming year.

(f) All documents filed with the Commission and all records maintained shall become public, official and business records of the State and shall be admissible in evidence in any judicial proceeding in this State in accordance with the laws of Delaware applicable to the admissibility of such records.

(g) The Commission shall have the power to make such rules and regulations not inconsistent with the law as are necessary for their performance of its duties.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, § 6; 77 Del. Laws, c. 168, § 4.)

§ 1605 Records.

(a) The Commission shall maintain separate indexes relating to the licensing of adult entertainment establishments and adult-oriented retail businesses.

(b) The Commission shall maintain an alphabetized or a computerized index containing the full name or names, including nicknames or aliases, residential address or addresses, business address or addresses, social security number, driver's license number, a picture and the identity of any banks within or without the State wherein accounts are maintained, of every applicant and licensee under this chapter. The same information shall be provided for any other person whose signature appears upon any document comprising an application for license submitted under this chapter. Said index shall be kept current and shall indicate the eligibility of such persons as licensees under this chapter, and whether the signatures of such persons on an application for license preclude the issuance of a license based thereon.

(c) In carrying out its responsibilities, the Commission may submit names of applicants and those appearing in applications to the Department of Justice, State Police or Department of Homeland Security for the purpose of a record check.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, § 7; 77 Del. Laws, c. 168, § 5.)

§ 1606 Adult entertainment license requirement.

(a) No person shall engage in, carry on or participate in the operation of an adult entertainment establishment or adult-oriented retail business without first having been issued a license therefor by the Commission. Any adult entertainment establishment being operated without a license therefore is hereby declared to be a nuisance for purposes of Chapter 71 of Title 10.

(b) Whoever engages in the operation of an adult-oriented retail business in violation of this section shall be fined not more than $500 or imprisoned not more than 6 months, or both.

(c) Any person, and in the case of corporation this shall include its principal stockholders, board of directors, officers and persons engaged in the management of such establishment, who shall engage in, carry on or participate in the operation of an adult entertainment establishment in violation of this section shall be fined not more than $10,000 and imprisoned not more than 6 months, or both.

(d) A certificate, certified by a member of the Commission, that a diligent search of the Commission's records, those pertaining to licenses kept in conformity with this chapter, has failed to disclose the existence of a valid license for an adult entertainment establishment or adult-oriented retail establishment in question shall be prima facie evidence of a violation of this section.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, §§ 8-10; 77 Del. Laws, c. 168, § 6.)

§ 1607 License fee; term.

(a) No license for the operation of an adult-oriented retail business under this chapter, or renewal thereof, shall be issued unless the applicant shall have paid the nonrefundable application fee as provided in § 1613 of this title.

(b) No license for the operation of any adult entertainment establishment under this chapter, nor renewal thereof, shall be issued unless the applicant shall have paid the nonrefundable application fee as provided in § 1613 of this title.

(c) Nothing in this chapter, however, shall be construed to affect or impair in any manner the requirements of Title 30.

(d) Each license granted pursuant to this chapter shall be for a period of 1 year and may only be renewed by making a new application in the manner provided in this chapter.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, § 11; 63 Del. Laws, c. 284, § 1; 77 Del. Laws, c. 168, § 7.)

§ 1608 Transferability of license.

(a) Each license issued under this chapter shall be for the sole use and benefit of the licensee to whom it is issued and shall not be transferable.

(b) Whoever intentionally uses or permits the use, or attempts to use or permit the use of a license issued under this chapter by or on behalf of a person other than the licensee to whom said license shall be issued shall be fined not more than $500, or imprisoned for not more than 6 months, or both.

(61 Del. Laws, c. 122, § 1.)

§ 1609 Form and content of license.

(a) Every license issued under this chapter shall be signed by the signature or by the facsimile signature of the Chairperson of the Commission, shall bear in bold letters the date of issuance and termination and shall state the name and address of the licensee.

(b) Every license for the operation of an adult entertainment establishment shall describe the nature of the business or enterprise as appropriate within the meaning of § 1602(2) of this title, and the location of the premises at which such business is authorized. Where the licensee is a corporation, the license shall state the name and address of said corporation's registered agent in this State, and the name of its registered agent at such address.

(c) Every license for the operation of an adult-oriented retail establishment shall describe the location of the premises at which such business is authorized. Where the licensee is a corporation, the license shall state the name and address of said corporation's registered agent in this State, and the name of its registered agent at such address.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, § 12; 77 Del. Laws, c. 168, § 8.)

§ 1610 License tied to physical location; prohibited activities.

(a) No license issued under this chapter shall authorize the licensee to engage in or carry on the business of operating an adult entertainment establishment or adult-oriented retail establishment in any place other than the premises set forth in said license. In addition, each applicant or licensee seeking a license or renewal must affirmatively establish within their application that the location or proposed location of the place of business is in compliance with all applicable laws and ordinances. If a licensee changes the location of the licensee's place of business during the period for which the license was issued, the license shall be amended by making application in accordance with this chapter in making a new application, to authorize business at the new location, provided that said business is otherwise permitted at the new location by applicable law and ordinance.

(b) Any person, and in the case of a corporation this shall include its principal stockholders, board of directors, officers and persons engaged in the management of such establishment, who is the holder of a license issued under this chapter and who engages in, carries on or participates in the operation of the business of operating an adult entertainment establishment or adult-oriented retail establishment at a place other than that authorized by said license shall be fined not more than $500, or imprisoned for not more than 6 months, or both.

(c) (1) A new adult entertainment establishment may not operate in any of the following locations:

a. The same building as or in a separate building less than 1,500 feet from another adult entertainment establishment.

b. Within 500 feet of a residence, regardless of how the new adult entertainment establishment's property is zoned.

c. Within 2,800 feet of a church or school.

(2) A violation of this subsection is punishable by a fine in the amount of $5,000.

(3) Distances are to be measured from property line to property line.

(d) (1) A new adult-oriented retail establishment may not operate in any of the following locations:

a. The same building as or in a separate building less than 500 feet from another adult-oriented retail establishment.

b. Within 200 feet of a school bus stop or a residence, regardless of how the new adult-oriented retail establishment's property is zoned.

c. Within 500 feet of a church or school.

(2) Distances are to be measured from property line to property line.

(3) An adult-oriented retail establishment may not operate in a manner that allows sexually-oriented material, devices, or paraphernalia to be visible from outside of the establishment at any time, including during times any door to the business is open.

(4) A violation of this subsection is punishable by a fine in an amount not to exceed $5,000.

(e) Notwithstanding any provision of law to the contrary, no municipal corporation or county may adopt any ordinance or charter amendment with distance restrictions less than those provided in this section.

(f) (1) After July 23, 2019, a new adult entertainment establishment or a new adult-oriented retail establishment may not operate in a building in which an adult entertainment establishment or adult-oriented retail establishment has previously operated.

(2) A violation of this subsection is punishable by a fine in an amount not to exceed $5,000.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, § 13; 63 Del. Laws, c. 284, §§ 2, 3; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 452, § 1; 77 Del. Laws, c. 168, § 9; 82 Del. Laws, c. 149, § 1.)

§ 1611 Display of license [Repealed].

61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, § 14; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 168, § 10; repealed by 82 Del. Laws, c. 8, § 5, effective Apr. 9, 2019.

§ 1612 Massagist license application.

Repealed by 77 Del. Laws, c. 168, § 11, effective July 16, 2009.

§ 1613 Adult entertainment establishment or adult-oriented retail establishment license application.

(a) No license for the operation of an adult entertainment establishment or adult-oriented retail establishment shall be issued under this chapter unless the applicant has executed and filed with the Commission an application for license under oath on a form prepared by the Commission which is in compliance with this chapter.

(b) Every application for license for the operation of an adult entertainment establishment or adult-oriented retail establishment shall state the full name(s) of the applicant appearing pursuant to § 1615 of this title, including nickname(s) or alias(es), residential address(es), place(s) of employment, including address(es) and phone number(s), social security number, date of birth, driver's license number, a photograph of the applicant taken within 30 days of the application, federal employer's identification number and an address of the premises for which the application for license is made. Each application shall further provide the full name(s), including nickname(s) and alias(es), residential address(es), place of employment(s) including address(es) and phone number(s), date of birth, social security number and a recent photograph taken within 30 days of providing this information to the Commission of those persons employed by the adult entertainment establishment or adult-oriented retail establishment, and to specifically identify who is to be responsible for the day-to-day management of the adult entertainment establishment or adult-oriented retail establishment.

(c) Where the applicant is a corporation, no license shall be issued unless there first be filed with the Commission, as part of the application of license:

(1) A copy of the certificate of incorporation certified by the Secretary of State of the state of incorporation;

(2) Where the applicant is a foreign corporation within the meaning of § 371 of Title 8, a copy of the certificate of the Secretary of State prescribed by subsection (c) of that section;

(3) A certificate which shall bear the full name(s), including nicknames or aliases, place(s) of employment, including address(es) and phone number(s), social security number, date of birth, driver's license number and a photograph taken within 30 days of application of every director, officer and principal stockholder of the applicant; and

(4) The names and addresses of all holders of stock of the applicant as of a date 30 days or less prior to the date of application, which shall be certified as true and correct by an authorized director or officer of said corporation.

(d) Where the applicant is a partnership or other unincorporated association, no license shall be issued unless there is first filed with the Commission, as part of the application for license, a certificate which shall bear the full name(s), including nicknames or aliases, signature(s), place(s) of employment, including address(es) and phone number(s), social security number, date of birth, driver's license number and a photograph taken within 30 days of application of every partner or member.

(e) An application for license for the operation of an adult entertainment establishment shall include a certificate stating the full name(s), including nicknames or aliases, signature(s), residential address(es), place(s) of employment, including address(es) and phone number(s), date of birth, social security number, driver's license number and a photograph taken within 30 days of application of the person or persons who shall be responsible for the selection or procurement of all sexually-oriented material for each such establishment. This subsection shall not be construed to preclude the responsibility of any other person or persons for the procurement of sexually-oriented materials.

(f) Every application for a license for the operation of an adult entertainment establishment or adult-oriented retail establishment, or for renewal thereof, shall be accompanied by a nonrefundable fee in the amount as determined by the Division of Professional Regulation.

(g) No application for a license to operate an adult entertainment establishment or adult-oriented retail establishment shall be received by the Commission within 6 months following the date upon which an application to operate an adult entertainment establishment or adult-oriented retail establishment at the same location has been denied.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, §§ 15-17; 63 Del. Laws, c. 284, § 5; 71 Del. Laws, c. 469, § 1; 77 Del. Laws, c. 168, § 12; 82 Del. Laws, c. 9, § 1.)

§ 1614 Form of signature.

No signature of an applicant or licensee, or of any director, officer, principal stockholder or employee of an applicant or licensee, or of any partner associated with an applicant or licensee, which is required to be affixed to any document filed under this chapter, shall be a facsimile signature.

(61 Del. Laws, c. 122, § 1.)

§ 1615 Personal appearance required.

(a) No license shall be issued under this chapter except upon personal appearance of the applicant before a member of the Commission. The applicant shall affix the applicant's signature and Social Security number to the application for license in said member's presence and shall acknowledge under oath that said application for license is the applicant's act and deed and that the facts stated therein are true.

(b) Where the applicant is a corporation, subsection (a) of this section shall be satisfied by the appearance, signature and Social Security number of a director on behalf of the corporation in the same manner. Where the applicant is a partnership or other unincorporated association, subsection (a) of this section shall be satisfied by the appearance, signature and Social Security number of a general partner or member on behalf of the applicant.

(61 Del. Laws, c. 122, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1616 Grounds for denial of license.

The Commission after a hearing shall issue a license for the operation of an adult entertainment establishment or adult-oriented retail establishment for every applicant who shall have satisfactorily completed and filed an application for license as required by this chapter and shall have paid the required fee, provided that the Commission may refuse to license an applicant if the Commission has substantial evidence that would reasonably support a belief that a substantial objection to the granting of the license has been presented by the community within which the license is to operate, or that the granting of such license is otherwise not in the public interest. For the purposes of this subsection, the term "substantial objection" shall include:

(1) Any objection, or group of objections, presented to the Commission either individually or as a group, by persons who reside within the election district where the license is to operate and all contiguous election districts, sufficient to give the Commission reason to believe that a majority of the residents of the community within which the license is to operate oppose the issuance of the license; or

(2) Any objection, or group of objections, presented to the Commission either individually or as a group, the content of which gives the Commission reason to believe the quality of life of the community within which the license is to operate will be adversely affected by the granting of the license.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, § 18; 77 Del. Laws, c. 168, § 13.)

§ 1617 Grounds for refusal to issue a license; suspension; revocation.

(a) The Commission shall refuse to issue a license to any applicant, and shall revoke any license for the operation of an adult entertainment establishment or adult-oriented retail establishment, for any of the following reasons:

(1) An intentional misrepresentation or omission of any material fact required to be filed pursuant to this chapter;

(2) A transfer of a license in violation of § 1608(a) or § 1610(a) of this title; or the failure to comply with § 1622 or § 1623 of this title;

(3) A conviction for any of the following offenses, which are deemed to be substantially related to the operation of adult bookstores and adult entertainment establishments: lewdness, tax evasion, obscenity, prostitution, promoting prostitution, sexual assault, sexual misconduct, indecent exposure, incest, rape or sodomy, in this State or any other state or jurisdiction;

(4) A conviction of any director, officer, principal stockholder, manager, procurer, employee or independent contractor of the licensee or of a partner associated with the licensee for any of the following offenses, including conspiracy to commit any of the following offenses, which are deemed substantially related to the operation of adult bookstores and adult entertainment establishments: lewdness, tax evasion, obscenity, prostitution, promoting prostitution, sexual assault, sexual misconduct, indecent exposure, incest, rape or sodomy, in this State or any other state or jurisdiction, occurring on the licensed premises; or

(5) A conviction of any director, officer, principal stockholder, manager, procurer, employee or independent contractor of the licensee, or of a partner associated with the licensee, for any of the following offenses, including conspiracy to commit any of the following offenses, which are deemed substantially related to the operation of adult bookstores and adult entertainment establishments: lewdness, tax evasion, obscenity, prostitution, promoting prostitution, sexual assault, sexual misconduct, indecent exposure, incest, rape or sodomy, in this State or any other jurisdiction, not occurring on licensed premises, where said director, officer, principal stockholder, manager, procurer, employee or independent contractor, at the time of the conduct constituting the offense, was off the premises at the request or direction or pursuant to the authority of the licensee for the purpose of furthering the business of the licensee.

(b) The person or persons responsible for any intentional misrepresentation or omission of any material fact required to be filed pursuant to this chapter shall be fined $1,000, imprisoned for 30 days, or both. For the purpose of this subsection, a fact is deemed "material" when it could have affected the decision as to whether to grant or deny an application for license.

(c) The license for the operation of an adult entertainment establishment or adult-oriented retail establishment may be suspended by the Commission, for a period not to exceed 6 months, for any violation of this chapter not otherwise punishable by subsection (a) of this section, or § 1616 of this title.

(d) A waiver shall be granted, after application in a form prescribed by the Commission, of paragraphs (a)(3) through (a)(5) of this section if, after consideration of the factors set forth in § 8735(x)(3) of Title 29, the Commission determines that granting a waiver would not create an unreasonable risk to public safety.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, § 19; 63 Del. Laws, c. 285, §§ 1, 2; 68 Del. Laws, c. 133, §§ 3, 4; 68 Del. Laws, c. 158, §§ 1, 2; 72 Del. Laws, c. 452, § 2; 77 Del. Laws, c. 168, § 14; 83 Del. Laws, c. 433, § 10.)

§ 1618 Suspension of license to operate massage establishment or act as massagist; regulations imposing sanctions.

Repealed by 77 Del. Laws, c. 168, § 15, effective July 16, 2009.

§ 1619 Hearings.

(a) The Commission shall not suspend or revoke any license under this chapter except after a hearing where the licensee has been given at least 20 days' notice in writing, specifying the reason or reasons for such suspension or revocation and a date of the hearing.

(b) Any hearing pursuant to this section shall be at such time and place as the Commission shall prescribe, but no later than 20 days after the Commission is in receipt of a completed application or 20 days after a licensee has received notice of a proposed suspension or

revocation action. Failure of the person or persons to appear after receiving notice shall constitute a waiver of the right to appear in such hearing.

(c) Hearings shall be before a panel of no less than 3 Commissioners and the applicant or licensee shall be permitted the assistance of counsel at the applicant's or licensee's own expense, to present witnesses in the applicant's or licensee's own behalf and to cross-examine witnesses against the applicant or licensee. The proceedings shall be recorded either electronically or stenographically. The Commission shall make specific findings of fact based upon a preponderance of the evidence upon the concurring vote of no fewer than 3 Commissioners. The Commission shall give written notice, accompanied by its findings of fact and conclusions of law, of its action within 10 days of said hearing.

(d) The applicant or licensee shall have the right of appeal to the Superior Court upon filing notice of appeal within 20 days of the decision of the Commission. Such review shall be on the record and shall not be de novo, and the cost of transportation shall be borne by the appellant.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, § 20; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 168, § 16.)

§ 1620 Criminal background checks of applicants and employees.

An applicant for licensure as an adult entertainment establishment or adult-oriented retail establishment and all persons employed by the adult entertainment establishment or adult-oriented retail establishment shall submit, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

(1) A report of the individual's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

(2) A report of the individual's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Commission on Adult Entertainment Establishments shall be the screening point for the receipt of said federal criminal history records.

(78 Del. Laws, c. 44, § 80.)

§ 1621 Records; inspection of records.

(a) Every adult entertainment establishment which is licensed under this chapter shall maintain on the premises a record which shall state the name and address of every person, distributor, wholesaler or publisher from whom said establishment has received any sexually-oriented material, and the date such material was received, for purposes of sale, exhibition or dissemination on the premises after the effective date of this chapter.

(b) All records which are required to be maintained pursuant to this section shall be subject to inspection on demand by any peace officer or by the Commission or any member thereof.

(c) Violation of this section shall be punished by a fine of not more than $200 or by imprisonment for not more than 6 months, or both.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, § 21; 77 Del. Laws, c. 168, § 18.)

§ 1622 Change of management of adult entertainment establishment or adult-oriented retail establishment.

(a) An adult entertainment establishment or adult-oriented retail establishment shall notify the Commission in writing within 10 days of any change, containing the full name(s), including nicknames or aliases, residential address(es), place(s) of employment, including address(es) and phone number(s), Social Security number, date of birth, driver's license number and a photograph taken within 30 days of notification, of any change in the identity of the persons identified pursuant to § 1613(b) and (e) of this title.

(b) A violation of this section shall be punishable by a fine in the amount of $1,000.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 413, § 22; 77 Del. Laws, c. 168, § 19.)

§ 1623 Applicability of chapter.

This chapter shall apply with equal force and effect to businesses and enterprises in existence prior to the effective date of this chapter and to those undertaken thereafter. The information required of all applicants hereunder shall be supplied to the Commission by any business subject to this chapter previously licensed pursuant to § 2905 of Title 30 within 20 days after the effective date of this chapter if such business has more than 90 days remaining on its then existing license.

(61 Del. Laws, c. 122, § 1; 77 Del. Laws, c. 168, § 20.)

§ 1624 Inspections of massage establishments.

Repealed by 77 Del. Laws, c. 168, § 21, effective July 16, 2009.

§ 1625 Rules and prohibitions relating to adult entertainment establishments.

(a) No adult entertainment establishment shall be established in a shopping area containing 1 or more parcels of land owned by a common owner or owners and having in such area 4 or more retail stores.

(b) No adult entertainment establishment shall open to do business before 10:00 a.m., Monday through Saturday; and no adult entertainment establishment shall remain open after 10:00 p.m., Monday through Saturday. No adult entertainment establishment shall be open for business on any Sunday or a legal holiday as designated in § 501 of Title 1. This subsection shall not apply to any business which,

on or before January 1, 1997, was regulated under both this chapter and Title 4, and which is not an adult book store, conversation parlor or adult motion picture theater as the same are defined in this chapter.

(62 Del. Laws, c. 270, § 2; 63 Del. Laws, c. 69, § 1; 68 Del. Laws, c. 133, §§ 1, 2; 69 Del. Laws, c. 19, § 1; 71 Del. Laws, c. 160, § 1.)

§ 1626 Offenses.

Unless otherwise provided, all violations of this chapter are misdemeanors.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 270, § 1.)

§ 1627 Jurisdiction.

Exclusive jurisdiction for all criminal violations of this chapter shall be in the Superior Court.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 270, § 1.)

§ 1628 Words of gender or number.

Unless the context otherwise requires, words denoting the singular number may, and where necessary, shall be construed as denoting the plural number, and words denoting the plural number may, and where necessary, shall be construed as denoting the singular number, and words denoting the masculine gender may, and where necessary, shall be construed as denoting the feminine gender or the neuter gender.

(61 Del. Laws, c. 122, § 1; 62 Del. Laws, c. 270, § 1.)

§ 1629 Presence of minors prohibited; penalties.

(a) It shall be unlawful for an owner, manager, operator, procurer, employee or independent contractor of an adult entertainment establishment to knowingly admit or allow to remain on the premises of such establishment an individual under the age of 18 years.

(b) Any person who violates this section shall be fined in the amount of $1,000 for the first conviction, and in the amount of $5,000 for each subsequent conviction.

(c) It shall be an affirmative defense to a prosecution under this section that the minor presented to the accused identification, with a photograph of such minor affixed thereon, which identification sets forth information which would lead a reasonable person to believe such individual was 18 years of age or older.

(63 Del. Laws, c. 284, § 6; 77 Del. Laws, c. 168, § 22.)

Subchapter II

Adult Entertainment Establishments; Public Nuisances

§ 1631 Statement of purpose; findings.

(a) It is hereby found that there are certain commercial premises, buildings, structures or parts thereof which, by reason of the design and use of such premises, buildings or structures are conducive to the spread of communicable disease to persons frequenting such premises, buildings and structures; and also to the public health, safety and welfare. The General Assembly declares that the health, safety and welfare of all persons in this State should be protected through the application and enforcement of standards regulating such premises, buildings and structures, in order to eliminate the possibility of the spread of, or infection by, communicable diseases.

(b) The sexually transmittable disease of Acquired Immune Deficiency Syndrome, currently found to be irreversible and uniformly fatal, is found to be of particular danger to persons who frequent adult entertainment establishments or other premises, when they are in violation of state law. A high incidence of this and other communicable diseases is found to occur in discernable population groups. The risk factors for obtaining or spreading A.I.D.S. are associated with high-risk sexual conduct. The commercial premises, buildings and structures where persons might place themselves at risk of infection from this disease, or from any other communicable disease facilitated by high-risk sexual conduct, should as public policy be regulated and standards for the prevention of the spread of these communicable diseases should be established for the protection of the public health, safety and welfare.

(68 Del. Laws, c. 134, § 1.)

§ 1632 Definitions.

The following words, terms and phrases, when used in this subchapter, shall have the meanings ascribed to them in this section, except where the context clearly indicates a different meaning:

(1) “Booths, stalls or partitioned portions of a room or individual rooms” shall mean:

a. Enclosures specifically offered to persons for a fee, or as an incident to performing high-risk sexual conduct; or

b. Enclosures which are part of a business operated on the premises, which offers movies or other entertainment to be viewed within such enclosure, including enclosures wherein movies or other entertainment is dispensed for a fee.

The words “booths, stalls or partitioned portions of a room or individual rooms” shall not mean enclosures which are private offices used by an owner, manager or other person employed on the premises for attending to the tasks of such person’s employment, and which office or enclosure is not held out for use or hire to the public for the purpose of viewing movies or other entertainment for a fee, and which are not open to any persons other than employees.

(2) “Doors, curtains or portal partitions” shall mean full, complete, nontransparent closure devices constructed so that one outside cannot see or view activity taking place within the enclosure.

(3) “Hazardous site” shall mean any commercial premises, building, structure or any part thereof, which is a site of high-risk sexual conduct.

(4) “High-risk sexual conduct” shall mean:

a. Fellatio;

b. Anal intercourse; and/or

c. Vaginal intercourse with persons who engage in sexual acts for exchange of money.

(5) “Open to an adjacent public room so that the area inside is visible to persons in such adjacent room” shall mean either:

a. The absence of any door, curtain or portal partition; or

b. A door or other device which is made of clear, transparent material such as glass, plexiglass or other similar material meeting building code and safety standards, which permits the activity inside the enclosure to be viewed or seen by persons outside the enclosure.

(6) “Secretary” shall mean the Secretary of the Department of Health and Social Services.

(68 Del. Laws, c. 134, § 1.)

§ 1633 Building standards.

(a) No commercial building, structure, premises, part thereof or facilities therein, shall be so constructed, used, designed or operated for the purpose of engaging in, or permitting persons to engage in, sexual activities which include high-risk sexual conduct.

(b) No person shall own, operate, manage, rent, lease or exercise control over any commercial building, structure, premises or portion or part thereof, which contains:

(1) Partitions between subdivisions of a room, portion or part of a building, structure or premises having an aperture which is designed or constructed to facilitate sexual activity between persons on either side of the partition; or

(2) Booths, stalls, or partitioned portions of a room or individual rooms, used for the viewing of motion pictures or other forms of entertainment, having doors, curtains or portal partitions, unless such booths, stalls, partitioned portions of a room or individual rooms so used shall have at least one side open to an adjacent public room so that the area inside is visible to persons in adjacent public rooms. Such areas shall be lighted in a manner that the persons in the areas used for viewing motion pictures or other forms of entertainment are visible from the adjacent public rooms, but such lighting shall not be of such intensity as to prevent the viewing of the motion pictures or other offered entertainment.

(c) The standards set forth in this section shall not apply to buildings, structures and premises which are lawfully operating as hotels, motels, apartment complexes, condominiums or rooming houses.

(68 Del. Laws, c. 134, § 1.)

§ 1634 Department of Health and Social Services.

(a) The Department of Health and Social Services shall administer this subchapter and may adopt rules and regulations to facilitate its administration of this subchapter. In exercising the powers conferred by this or any other section of the Delaware Code or of this chapter relating to sexually related communicable diseases, the Department shall be guided by the most recent instructions, opinions and guidelines of the Center for Disease Control of the United States Department of Health and Human Services as the same relate to the spread of infectious diseases. Any rules or regulations which are adopted by the Department which relate to controlling the spread of sexually related communicable diseases shall also apply in the exercising of its powers authorized under this subchapter.

(b) In order to ascertain the source of certain infections, and reduce the spread of infection, the Secretary and all persons so authorized by the Secretary shall have full power and authority to inspect or cause to be inspected, and to issue orders regarding any commercial building, structure, premises or any part thereof, which may be a site of high-risk sexual conduct. If the Secretary determines that a hazardous site exists, the Secretary may:

(1) Notify the management, owner or tenant of the premises that the Secretary has reasonable belief that such premises, building or structure is a hazardous site; and

(2) Issue warnings to the management, owner or tenant of the premises to remedy those items cited or listed by the Secretary’s notice; and

(3) Once such notice and warnings have been issued, the Secretary, or any person designated by the Secretary, shall have the right to proceed in accordance with § 1635 of this title.

(68 Del. Laws, c. 134, § 1.)

§ 1635 Closure of certain public nuisances.

(a) After the Secretary has issued the notice and warning described in § 1634 of this title, the management, owner or tenant shall have 10 days to request a hearing before the Secretary or a hearing officer appointed by the Secretary, for a final determination as to whether or not the site is a hazardous site. If the management, owner or tenant of the premises does not, within 10 days of the notice, request a hearing, the Secretary shall then cause the premises to be posted with a warning advising the public that the premises have been declared a hazardous site. The Secretary shall then issue an order to the management, owner or tenant of such premises to take measures to bring the premises

into compliance with § 1633 of this title.

(b) If the management, owner or tenant of the premises requests a hearing, the hearing shall be held before the Secretary or a hearing officer approved by the Secretary at a date not more than 30 days after such request for a hearing. After considering all evidence, the Secretary or the hearing officer, as the case may be, shall make a determination as to whether or not the premises constitute a hazardous site. If the Secretary or hearing officer makes a determination that the premises constitute a hazardous site, the Secretary shall then issue an order and cause the premises, building or structure to be posted with a warning advising the public that the premises have been declared a hazardous site.

(c) If, after 30 days from issuance of the Secretary's order to the management, owner or tenant of the hazardous site, the Secretary determines that the measures to bring the premises into compliance, and to prevent high-risk sexual conduct have not been undertaken, the Secretary may declare the site to be a public nuisance, and:

(1) Order the abatement of the hazardous site as a public nuisance, which order shall be enforced by mandatory or prohibitory injunction in a court of competent jurisdiction; and/or

(2) May secure a court order for the closure of the premises constituting a hazardous site until the premises, building or structure is in compliance with the standards set forth in § 1633 of this title; or

(3) May, in compliance, with § 310 of Title 16, take such steps as are set forth therein for the abatement of a nuisance.

(d) The management, owner or tenant may, within 30 days of the Secretary's order, apply to the Superior Court for a civil trial de novo of any finding or findings made by the Secretary or hearing officer, and of any charges brought against said management, owner or tenant.

(68 Del. Laws, c. 134, § 1.)

Chapter 17
MEDICAL PRACTICE ACT
Subchapter I
General Provisions

§ 1701 Statement of purpose.

Recognizing that the practice of medicine and the practices of certain other healthcare professions are privileges and not natural rights, it is hereby considered a matter of policy in the interests of public health, safety, and welfare to provide laws covering the granting of those privileges and their subsequent use and control, and to provide regulations to the end that the public health, safety, and welfare are promoted and that the public is properly protected from the unprofessional, improper, unauthorized, or unqualified practice of medicine and practice of certain other healthcare professions and from unprofessional conduct by persons authorized to practice medicine or to practice certain other healthcare professions.

(60 Del. Laws, c. 462, § 1; 75 Del. Laws, c. 141, § 1.)

§ 1702 Definitions [For application of this section, see 85 Del. Laws, c. 253, § 23].

The following definitions apply to this chapter unless otherwise expressly stated or implied by the context:

(1) "Board" means the Board of Medical Licensure and Discipline.

(2) "Certificate to practice medicine" means the authorization awarded by the Board to a person who has been qualified to practice medicine in this State by meeting the requirements of this chapter.

(3) "Conversion therapy" means any practice or treatment that seeks to change an individual's sexual orientation or gender identity, as "sexual orientation" and "gender identity" are defined in § 710 of Title 19, including any effort to change behaviors or gender expressions or to eliminate or reduce sexual or romantic attractions or feelings toward individuals of the same gender. "Conversion therapy" does not mean any of the following:

a. Counseling that provides assistance to an individual who is seeking to undergo a gender transition or who is in the process of undergoing gender transition.

b. Counseling that provides an individual with acceptance, support, and understanding without seeking to change an individual's sexual orientation or gender identity.

c. Counseling that facilitates an individual's coping, social support, and identity exploration and development, including counseling in the form of sexual orientation-neutral interventions or gender identity-neutral interventions provided for the purpose of preventing or addressing unlawful conduct or unsafe sexual practices, without seeking to change an individual's sexual orientation or gender identity.

(4) "Division" means the Division of Professional Regulation.

(5) "Electronic prescription" means a prescription that is generated on an electronic application and transmitted as an electronic data file.

(6) "Executive Director" means the Executive Director of the Board of Medical Licensure and Discipline.

(7) "Fertility treatment" means any test, procedure, medication, surgery, or service to address or enhance an individual's ability to reproduce or get pregnant, including:

a. In vitro fertilization.

b. Intrauterine insemination.

c. Ovulation induction.

d. Embryo biopsy.

e. Cryopreservation and thawing of eggs, sperm, and embryos.

f. Cryopreservation of testicular or ovarian tissue.

g. Storage or disposal of oocytes, sperm, embryos, or tissue.

(8) "Healthcare institution" means a facility or agency licensed, certified, or otherwise authorized by law to provide, in the ordinary course of business, treatments, services, or procedures to maintain, diagnose, or otherwise affect a person's physical or mental condition.

(9) "Healthcare services" means any assessment, diagnosis, treatment, procedure, prescription, test, medication, advice, or other service by a healthcare professional who is licensed, certified, or otherwise legally authorized to provide care in this State, acting within the scope of that authorization. "Healthcare services" includes primary care, preventive care, the prescribing or administration of prescription drugs, therapeutic care, reproductive health services, mental health care, and surgical services.

(10) "Housing status" means as defined in § 204 of Title 19.

(11) "Medical group" means 1 or more physicians or other health-care practitioners who work together under the name of a professional corporation, a limited liability partnership, or other legal entity.

(12) "Medicine" means the science of restoring or preserving health and includes allopathic medicine and surgery, osteopathic

medicine and surgery, and all the respective branches of the foregoing.

(13) "Physician" means an allopathic doctor of medicine and surgery or a doctor of osteopathic medicine and surgery who is registered and certified to practice medicine pursuant to this chapter.

(14) "Practice of medicine" or "practice medicine" includes:

a. Advertising, holding out to the public, or representing in any manner that one is authorized to practice medicine in this State;

b. Offering or undertaking to prescribe, order, give, or administer any drug or medicine for the use of another person;

c. Offering or undertaking to prevent or to diagnose, correct, and/or treat in any manner or by any means, methods, or devices a disease, illness, pain, wound, fracture, infirmity, defect, or abnormal physical or mental condition of another person, including the management of pregnancy and parturition;

d. Offering or undertaking to perform a surgical operation upon another person;

e. Rendering a written or otherwise documented medical opinion concerning the diagnosis or treatment of a person or the actual rendering of treatment to a person within the State by a physician located outside the State as a result of transmission of the person's medical data by electronic or other means from within the State to the physician or to the physician's agent;

f. Rendering a determination of medical necessity or a decision affecting or modifying the diagnosis and/or treatment of a person;

g. Using the designation Doctor, Doctor of Medicine, Doctor of Osteopathy, physician, surgeon, physician and surgeon, Dr., M.D., or D.O., or a similar designation, or any combination thereof, in the conduct of an occupation or profession pertaining to the prevention, diagnosis, or treatment of human disease or condition, unless the designation additionally contains the description of another branch of the healing arts for which one holds a valid license in the State.

For the purposes of this chapter, in order that the full resources of the State are available for the protection of persons using the services of physicians, the act of the practice of medicine occurs where a person is located at the time a physician practices medicine upon the person.

(15) "Protective hairstyle" includes braids, locks, and twists.

(16) "Race" includes traits historically associated with race, including hair texture and a protective hairstyle.

(17) "Registration" means the entry of a certificate to practice medicine into the records of the Board of Medical Licensure and Discipline pursuant to the regulations of the Board.

(18) "Reproductive health services" are healthcare services and include all of the following:

a. "Abortion" as defined in § 1782 of this title.

b. "Termination of pregnancy" as authorized in § 1790 of this title.

c. Emergency contraception that is approved by the Federal Drug Administration and available over-the-counter, with a prescription, or dispensed consistent with the requirements of Chapter 25 of this title.

d. Services relating to pregnancy or the termination of pregnancy including medical, surgical, counseling, or referral services.

e. Fertility treatment.

(19) "Store and forward transfer" means the transmission of a patient's medical information either to or from an originating site or to or from the provider at the distant site, but does not require the patient being present nor must it be in real time.

(20) "Substantially related" means the nature of criminal conduct for which a person was convicted has a direct bearing on the person's fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of medicine, the work of a physician associate, of the practice of respiratory care.

(21) "Unauthorized practice of medicine" means the practice of medicine as defined in this section by a person not authorized under this chapter to perform an act set forth in that subsection, unless excepted by § 1703 of this title.

(22) "Viability" means the point in a pregnancy when, in a physician's good faith medical judgment based on the factors of a patient's case, there is a reasonable likelihood of the fetus's sustained survival outside the uterus without the application of extraordinary medical measures.

(60 Del. Laws, c. 462, § 1; 61 Del. Laws, c. 68, §§ 2, 3; 62 Del. Laws, c. 90, § 1; 62 Del. Laws, c. 112, § 1; 63 Del. Laws, c. 62, § 1; 65 Del. Laws, c. 490, § 1; 67 Del. Laws, c. 5, § 1; 67 Del. Laws, c. 434, § 1; 68 Del. Laws, c. 147, § 1; 68 Del. Laws, c. 152, § 1; 69 Del. Laws, c. 355, §§ 1, 2, 6; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 283, § 1; 74 Del. Laws, c. 262, § 27; 75 Del. Laws, c. 141, § 1; 77 Del. Laws, c. 319, § 1; 80 Del. Laws, c. 80, § 2; 81 Del. Laws, c. 35, § 1; 81 Del. Laws, c. 340, § 2; 82 Del. Laws, c. 75, § 3; 82 Del. Laws, c. 261, §§ ?4, 16; 83 Del. Laws, c. 13, §§ ? 19, 20; 83 Del. Laws, c. 52, § 5; 83 Del. Laws, c. 327, § 1; 84 Del. Laws, c. 422, § 1; 84 Del. Laws, c. 429, § 8; 85 Del. Laws, c. 102, § 1; 85 Del. Laws, c. 253, § 1.)

§ 1703 Nonapplicability of certain provisions [For application of this section, see 85 Del. Laws, c. 253, § 23].

Provisions of this chapter pertaining to the practice of medicine do not apply to:

(1) A person providing service in an emergency, where no fee or other consideration is contemplated, charged, or received;

(2) Physicians of any civilian or military branch of the United States government in the discharge of their official duties;

(3) Advanced practice nurses, chiropodists, chiropractors, dental hygienists, dentists, emergency medical technicians, optometrists, pharmacists, physical therapists, physician associates, podiatrists, practical nurses, professional nurses, psychologists, respiratory care practitioners, veterinarians, or persons engaged in other professions or occupations who are certified, licensed, or registered according to law and are acting within the scope of the activity for which they are certified, licensed, or registered;

(4) A person administering a lawful domestic or family remedy to a member of that person's family;

(5) A person fully certified, licensed, or otherwise authorized to practice medicine in another state of the United States who briefly renders emergency medical treatment or briefly provides critical medical service at the specific lawful direction of a medical institution or federal agency that assumes full responsibility for the treatment or service;

(6) A person who has earned a doctorate degree from a recognized college or university and who uses the designation of "Dr." in connection with that person's name or calls oneself "Doctor", except in matters related to medicine or health, in which case the type of doctorate held must be specified;

(7) The mechanical application of glasses;

(8) The practice of massage;

(9) The business of barbering, cosmetology, and manicuring;

(10) The practice of ritual circumcision performed pursuant to the requirements or tenets of a religion; provided, however, that a person certified and registered to practice medicine in this State certifies in writing to the Board that, in the person's opinion, the circumcision practitioner has sufficient knowledge and competence to perform a ritual circumcision according to accepted medical standards;

(11) The practice of healing by spiritual means in accordance with the tenets and practice of a religion by an accredited practitioner of the religion. In the practice of healing by spiritual means, an accredited practitioner may not use medical titles or other designations which imply or designate that the practitioner is certified to practice medicine in this State. A person engaged in the practice of healing by spiritual means may not perform surgical operations or prescribe medications, nor may a pharmacist or pharmacy honor a prescription drawn by the person. A person engaged in the practice of healing by spiritual means must observe all state and federal public health laws;

(12) A physician from another state or jurisdiction who is in this State to testify in a judicial or quasi judicial proceeding;

(13) The performing of delegated medical acts pursuant to subchapter VI of this chapter by a person who is licensed by the Board as a physician associate;

(14) A person rendering medical, surgical, or other health services who is functioning as a member of an organized emergency program which has been approved by the Board of Medical Licensure and Discipline; who has successfully completed an emergency medical course; and who is acting under the supervision and control of a person certified and registered to practice medicine in this State or in a state contiguous to this State;

(15) A licensed registered nurse making a pronouncement of death and signing all forms or certificates registering the death as permitted or required by the State, but only if the nurse is an attending nurse caring for a terminally ill patient:

a. In the patient's home or place of residence as part of a hospice program or a certified home healthcare agency program;

b. In a skilled nursing facility;

c. In a residential community associated with a skilled nursing facility;

d. In an extended care facility; or

e. In a hospice;

and only if the attending physician of record has agreed in writing to permit the attending licensed registered nurse to make a pronouncement of death in that case;

(16) The provisions of subchapter II, Chapter 27 of Title 16, the Uniform Anatomical Gift Act;

(17) A medical student who is engaged in training;

(18) A person performing health care acts pursuant to Chapter 94 of Title 16 and § 1921(a) of this title;

(19) Notwithstanding the provisions of § 1702(14)e. of this title, a physician licensed in another state or the District of Columbia may render a written or otherwise documented medical opinion to a person covered by the State Group Health Insurance Program pursuant to any second opinion or diagnosis evaluation program offered by the State Group Health Insurance Program without obtaining a certificate to practice medicine in this State.

(75 Del. Laws, c. 141, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 378, § 1; 77 Del. Laws, c. 319, § 1; 80 Del. Laws, c. 80, § 2; 81 Del. Laws, c. 340, § 2; 82 Del. Laws, c. 75, § 3; 83 Del. Laws, c. 52, § 5; 84 Del. Laws, c. 422, § 1; 84 Del. Laws, c. 429, § 8; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 102, § 1; 85 Del. Laws, c. 253, § 1.)

§ 1704 State requirement for services of a physician or surgeon.

If a law, rule, or regulation of this State requires the services or qualifications of a physician or surgeon, the requirement may be met only by a person registered and certified to practice medicine under this chapter.

(60 Del. Laws, c. 462, § 1; 62 Del. Laws, c. 417, § 1; 75 Del. Laws, c. 141, § 2.)

§ 1705 Accreditation of facilities where office-based surgeries are performed.

No person licensed under this chapter shall perform any office-based surgery, as defined in § 122(3)y. of Title 16, in a facility unless such facility is accredited or licensed in accordance with § 122(3)z. of Title 16. For purposes of this section, "facility" and "office-based surgery" mean as defined in § 122(3)y. of Title 16.

(78 Del. Laws, c. 80, § 3; 81 Del. Laws, c. 417, § 4.)

Subchapter II

The Board of Medical Licensure and Discipline

§ 1710 Composition [For application of this section, see 85 Del. Laws, c. 253, § 23] [Effective until July 17, 2028].

(a) The Board of Medical Licensure and Discipline has the sole authority in this State to issue certificates to practice medicine and is the State's supervisory, regulatory, and disciplinary body for the practice of medicine. The Board also has the sole authority in this State to issue authorizing documents to practice other specified professions or occupations regulated by this chapter, and to supervise, regulate, and discipline members of those professions and occupations.

(b) The Board consists of 16 voting members appointed by the Governor, which shall be composed of the following members:

(1) Eight persons certified and registered to practice medicine in this State, at least 1 of whom is an osteopathic physician, as follows:

a. Four have their primary place of practicing medicine in New Castle County;

b. Two shall have their primary place of practicing medicine in Kent County;

c. Two shall have their primary place of practicing medicine in Sussex County.

(2) Five public members.

(3) Two physician associates recommended by the Regulatory Council for Physician Associates.

(4) The Director of the Division of Public Health or, if the Director is not a licensed physician or advanced practice registered nurse, a licensed physician or advanced practice registered nurse designated by the Director and employed by the Division.

(c) A public member, except a physician associate, may not be nor may ever have been certified, licensed, or registered pursuant to this chapter; may not be the spouse of someone certified, licensed, or registered pursuant to this chapter; at the time of appointment may not be a member of the immediate family of someone certified, licensed, or registered pursuant to this chapter.

(d) The Medical Society of Delaware and the Delaware State Osteopathic Medical Society may submit lists of their resident members and any recommendations to the Governor by January 1 of each year under the seal of and signed by the Secretary of the Society to aid the Governor in the appointment of new members to the Board.

(e) An appointment to the Board to succeed a member whose term has expired shall be for a 3-year term. Vacancies occurring for any cause other than expiration of term shall be filled by the Governor for the unexpired term as provided in this subsection.

(f) A physician-appointee to the Board must be a certified and registered physician in good standing, and must have practiced medicine under the laws of this State for a period of not less than 5 years prior to the physician-appointee's appointment to the Board.

(g) The Governor shall fill vacancies on the Board and, after a hearing, may remove a member of the Board for cause due to the member's neglect of the duties required by this chapter, or on the recommendation of the Board, after a hearing, due to the member's unprofessional or dishonorable conduct.

(h) A member of the Board may not serve more than 3 full, consecutive 3-year terms, which is not diminished by serving an unexpired term. Upon serving 3 full, consecutive 3-year terms, a former member is eligible for reappointment to the Board no earlier than 1 year after the expiration of the last term served on the Board by the former member.

(i) (1) While serving on the Board, a member may not be an officer of any state or local allopathic or osteopathic medical society.

(2) While serving on the Board, a member of the Board may not be a member of the board of directors of a professional review organization.

(j) Each member of the Board shall be compensated at an appropriate and reasonable level as determined by the Division of Professional Regulation not more than $100 for each meeting attended, and not more than a total of $1,500 for meetings attended in a calendar year, and may be reimbursed for all expenses involved in each meeting, including travel, according to Division policy.

(60 Del. Laws, c. 462, § 1; 63 Del. Laws, c. 270, § 1; 64 Del. Laws, c. 327, § 5; 64 Del. Laws, c. 477, § 1; 67 Del. Laws, c. 226, §§ 1-4; 67 Del. Laws, c. 368, § 9; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, § 1; 71 Del. Laws, c. 105, § 1; 75 Del. Laws, c. 141, § 1; 75 Del. Laws, c. 358, § 1; 77 Del. Laws, c. 319, §§ 1-4, 12, 13; 81 Del. Laws, c. 97, § 1; 83 Del. Laws, c. 16, § 1; 84 Del. Laws, c. 92, § 7; 85 Del. Laws, c. 253, § 1.)

§ 1710 Composition [For application of this section, see 85 Del. Laws, c. 253, § 23] [Effective July 17, 2028].

(a) The Board of Medical Licensure and Discipline has the sole authority in this State to issue certificates to practice medicine and is the State's supervisory, regulatory, and disciplinary body for the practice of medicine. The Board also has the sole authority in this State to issue authorizing documents to practice other specified professions or occupations regulated by this chapter, and to supervise, regulate, and discipline members of those professions and occupations.

(b) The Board consists of 16 voting members appointed by the Governor, which shall be composed of the following members:

(1) Eight persons certified and registered to practice medicine in this State, at least 1 of whom is an osteopathic physician, as follows:

a. Four have their primary place of practicing medicine in New Castle County;

b. Two shall have their primary place of practicing medicine in Kent County;

c. Two shall have their primary place of practicing medicine in Sussex County.

(2) Five public members.

(3) Two physician associates recommended by the Regulatory Council for Physician Associates.

(4) The Director of the Division of Public Health.

(c) A public member, except a physician associate, may not be nor may ever have been certified, licensed, or registered pursuant to this chapter; may not be the spouse of someone certified, licensed, or registered pursuant to this chapter; at the time of appointment may not be a member of the immediate family of someone certified, licensed, or registered pursuant to this chapter.

(d) The Medical Society of Delaware and the Delaware State Osteopathic Medical Society may submit lists of their resident members and any recommendations to the Governor by January 1 of each year under the seal of and signed by the Secretary of the Society to aid the Governor in the appointment of new members to the Board.

(e) An appointment to the Board to succeed a member whose term has expired shall be for a 3-year term. Vacancies occurring for any cause other than expiration of term shall be filled by the Governor for the unexpired term as provided in this subsection.

(f) A physician-appointee to the Board must be a certified and registered physician in good standing, and must have practiced medicine under the laws of this State for a period of not less than 5 years prior to the physician-appointee's appointment to the Board.

(g) The Governor shall fill vacancies on the Board and, after a hearing, may remove a member of the Board for cause due to the member's neglect of the duties required by this chapter, or on the recommendation of the Board, after a hearing, due to the member's unprofessional or dishonorable conduct.

(h) A member of the Board may not serve more than 3 full, consecutive 3-year terms, which is not diminished by serving an unexpired term. Upon serving 3 full, consecutive 3-year terms, a former member is eligible for reappointment to the Board no earlier than 1 year after the expiration of the last term served on the Board by the former member.

(i) (1) While serving on the Board, a member may not be an officer of any state or local allopathic or osteopathic medical society.

(2) While serving on the Board, a member of the Board may not be a member of the board of directors of a professional review organization.

(j) Each member of the Board shall be compensated at an appropriate and reasonable level as determined by the Division of Professional Regulation not more than $100 for each meeting attended, and not more than a total of $1,500 for meetings attended in a calendar year, and may be reimbursed for all expenses involved in each meeting, including travel, according to Division policy.

(60 Del. Laws, c. 462, § 1; 63 Del. Laws, c. 270, § 1; 64 Del. Laws, c. 327, § 5; 64 Del. Laws, c. 477, § 1; 67 Del. Laws, c. 226, §§ 1-4; 67 Del. Laws, c. 368, § 9; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, § 1; 71 Del. Laws, c. 105, § 1; 75 Del. Laws, c. 141, § 1; 75 Del. Laws, c. 358, § 1; 77 Del. Laws, c. 319, §§ 1-4, 12, 13; 81 Del. Laws, c. 97, § 1; 83 Del. Laws, c. 16, § 1; 84 Del. Laws, c. 92, § 7; 85 Del. Laws, c. 253, § 1; 84 Del. Laws, c. 92, § 9.)

§ 1711 Organization.

(a) The Board annually shall elect from among its members a president, a vice-president, and a secretary, and such other officers as it considers necessary, 2 of whom may be the same person.

(b) The Board may, with the concurrence of the Director of the Division, set job duties for the Board's Executive Director and other necessary staff. The Executive Director may not be a Board member. The Executive Director and other necessary staff are employees of the Division.

(c) The Board, with the approval of the Division, shall establish and maintain an office within this State.

(d) The Board shall meet at least 8 times a year at a public place and at a time as the Board determines, subject to guidelines established or approved by the Division of Professional Regulation.

(e) Unless otherwise provided in this chapter, meetings of the Board are open to the public and may be closed to the public only in accordance with the provisions contained in § 10004 of Title 29.

(60 Del. Laws, c. 462, § 1; 60 Del. Laws, c. 686, § 1; 67 Del. Laws, c. 226, § 5; 75 Del. Laws, c. 141, § 1.)

§ 1712 Quorum.

(a) A quorum for the transaction of business consists of 9 members of the Board entitled to vote. An affirmative vote of at least 5 members of the quorum is required to take any action that the Board has the power to take, unless otherwise expressly provided in this chapter, including the express provisions in subsection (b) of this section.

(b) An affirmative vote of at least 7 members of the Board present and voting at a meeting is required to adopt a regulation which can deprive a physician of the physician's certificate to practice medicine or subject a physician to disciplinary action.

(60 Del. Laws, c. 462, § 1; 61 Del. Laws, c. 68, § 1; 67 Del. Laws, c. 226, § 6; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 141, § 1.)

§ 1713 Powers and duties of the Board [For application of this section, see 85 Del. Laws, c. 253, § 23].

(a) The Board has the following powers and duties, in addition to other powers and duties set forth elsewhere in this chapter:

(1) To investigate, through the Executive Director, the character of each applicant for a certificate to practice medicine, or for a certificate, license, or other authorizing document to practice any other profession or occupation regulated by this chapter, to determine if the applicant has previously engaged in unprofessional conduct pursuant to § 1731(b) of this title, and to investigate the physical and mental capability of physicians to engage in the practice of medicine, or of members of other professions or occupations regulated by this chapter to engage in the practice of their professions or occupations, with reasonable skill and safety to patients pursuant to § 1731(c) of this title;

(2) To conduct or approve of professional or occupational examinations as it deems necessary and proper to determine the professional or occupational qualifications of each person who applies for a certificate to practice medicine in this State, or who applies

for a certificate, license, or other authorizing document to practice any other profession or occupation regulated under this chapter;

(3) To investigate, through the Executive Director, complaints or charges of unprofessional conduct against the holder of a certificate to practice medicine, or such complaints or charges against the holder of any certificate, license, or other authorizing document issued under this chapter;

(4) To investigate, through the Executive Director, complaints and charges of the inability of a person to practice medicine, or to practice any other profession or occupation regulated under this chapter, with reasonable skill or safety to patients due to the person's physical, mental, or emotional illness or incompetence, including but not limited to deterioration through the aging process, or loss of motor skill, or excessive use or abuse of drugs, including alcohol;

(5) To investigate, through the Executive Director, complaints of the unauthorized practice of medicine or the unauthorized practice of any other profession or occupation regulated under this chapter;

(6) To levy fines not to exceed $50,000, and to grant, deny, restrict, revoke, suspend, reinstate, or reissue a certificate to practice medicine or a certificate, license, or other authorizing document to practice any profession or occupation regulated under this chapter;

(7) To issue subpoenas, compel the attendance of witnesses, and administer oaths;

(8) To require the production of and receive information regarding changes in hospital privileges as a result of disciplinary or other adverse action taken by a hospital, or regarding disciplinary or other adverse action taken by a medical society against any person certified under this chapter to practice medicine;

(9) To reprimand, censure, take other appropriate disciplinary action, or restrict professional or occupational activities with respect to any person certified to practice medicine in this State or any other person certified, licensed, or otherwise authorized to practice a profession or occupation regulated under this chapter;

(10) To take depositions or cause depositions to be taken, as needed in any investigation, hearing, or proceeding;

(11) To hold hearings;

(12) To promulgate rules and regulations not inconsistent with or beyond the scope of this chapter or other laws of this State for carrying out the powers and duties required by this chapter;

(13) By resolution passed by a majority of the members of the Board, to designate 1 or more committees, with each committee to include 1 or more of the members of the Board and such other person or persons as may be appropriate; provided, however, that a committee may not levy a fine, or grant or refuse to grant, restrict, revoke, suspend, reinstate, or reissue a certificate to practice medicine or a certificate, license, or other authorizing document to practice another profession or occupation issued under this chapter;

(14) To designate records of the Board confidential and exempt from public disclosure, in accordance with § 10002 of Title 29;

(15) To designate 3 members of the Board, through the Executive Director, to act as a hearing panel for the purpose of hearing charges of unprofessional conduct as set forth in § 1731(b) of this title or charges of the inability to practice medicine as set forth in § 1731(c) of this title, or for the purpose of making determinations of fact in connection with the temporary suspension of a certificate to practice medicine pursuant to § 1738 of this title, or for necessary purposes relating to disciplinary or other action against the holder of a certificate, license, or other authorizing document issued under this chapter;

(16) To designate, through the Executive Director, any person qualified by relevant experience as an examiner for the purpose of hearing any alleged charges of the inability to practice medicine as set forth in § 1731(c) of this title, or for the purpose of making determinations of fact in connection with the temporary suspension of a certificate to practice medicine pursuant to § 1738 of this title, or for necessary purposes relating to disciplinary or other action against the holder of a certificate, license, or other authorizing document issued under this chapter;

(17) To perform duties regarding emergency medical services systems and paramedic services set forth in Chapters 97 and 98 of Title 16;

(18) To utilize licensed medical professionals who are not Board members as co-investigators when a complaint's allegations implicate unique subject matters. The co-investigator who is not a Board member must possess particular expertise in the unique subject matter that is at issue when a co-investigator is needed under this paragraph.

(b) A member of the Board or a member of any committee designated by the Board pursuant to paragraph (a)(13) of this section is immune from claim, suit, liability, damages, or any other recourse, civil or criminal, arising from any act or omission under the authority of this chapter so long as the member acted in good faith and without gross or wanton negligence, with good faith being presumed until proven otherwise, and gross or wanton negligence required to be shown by the complainant.

(c) A member of the Board may not discriminate, by reason of gender, race, color, creed, religion, age, disability, national origin, housing status, or any other basis protected under Chapter 45 of Title 6, against a person holding or applying for a certificate to practice medicine, or for an authorizing document to practice another occupation or profession pursuant to this chapter.

(d) Continuing education. — (1) The Board shall provide by rule or regulation for continuing education for persons certified to practice medicine or other professions or occupations pursuant to this chapter.

(2) For professionals who are renewing their license in 2027, the Board must require, for that renewal only, that the professional have completed at least 2 hours of the total required during that reporting period of continuing education dedicated to the diagnosis, treatment, or care of patients with Alzheimer's disease or other dementias.

(3) For professionals renewing their license after 2027, the Board must require that the professional have completed at least 2 hours of

the total required during that reporting period of continuing education dedicated to the diagnosis, treatment, or care of patients with Alzheimer's disease or other dementias.

(4) A professional is exempt from the requirement of paragraph (d)(3) of this section if the professional previously completed in a prior reporting period the continuing education required by this section. The professional must provide a sworn statement or attestation in a form determined by the Division of Professional Regulation that this continuing education was previously completed in a prior reporting period.

(5) A professional is exempt from the requirement of paragraph (d)(2) or (d)(3) of this section if the professional does not provide health-care services to or have direct patient interactions with adults age 26 or older. The professional must provide a sworn statement or attestation in a form determined by the Division of Professional Regulation that the professional is exempt under this paragraph from the continuing education required by paragraph (d)(2) or (d)(3) of this section.

(6) A professional is exempt from the requirement of paragraph (d)(2) or (d)(3) of this section if the professional does not provide health-care services to anyone within the State. The professional must provide a sworn statement or attestation in a form determined by the Division of Professional Regulation that the professional is exempt under this paragraph from the continuing education required by paragraph (d)(2) or (d)(3) of this section.

(7) Any training on Alzheimer's disease or other dementias previously applied to meet this requirement or any other Delaware state licensure or education requirement, professional accreditation or certification requirement, or health-care institutional practice agreement may count toward the continuing education requirement under paragraph (d)(3) of this section.

(e) The Board shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of medicine, the work of a physician associate, the practice of respiratory care, the practice of acupuncture, the work of a genetic counselor, the practice of polysomnography, or midwifery.

(f) The Board shall promulgate rules and regulations establishing guidelines for the imposition of disciplinary sanctions against persons certified or licensed to practice medicine or other professions or occupations regulated by this chapter.

(75 Del. Laws, c. 141, § 1; 77 Del. Laws, c. 321, § 1; 77 Del. Laws, c. 325, § 14; 77 Del. Laws, c. 370, § 1; 81 Del. Laws, c. 97, § 2; 83 Del. Laws, c. 422, § 1; 84 Del. Laws, c. 194, § 1; 84 Del. Laws, c. 429, § 9; 84 Del. Laws, c. 468, § 1; 85 Del. Laws, c. 144, § 13; 85 Del. Laws, c. 253, § 1.)

§ 1714 Fees.

The amount of a fee imposed under this chapter by the Division of Professional Regulation must approximate and reasonably reflect the reasonable projected costs of services or activities provided by the Board, as well as the proportional expenses incurred by the Division for services or activities provided on behalf of the Board. A separate fee may be charged for each service or activity, but a fee may not be charged for a purpose not specified in this chapter. The application fee for a certificate to practice medicine, or for a certificate, license, or other authorizing document to practice any other profession or occupation regulated by this chapter, may not be combined with any other fee or charge. At the beginning of each licensure biennium, the Division, or another State agency acting in its behalf, shall compute and set the fee for each separate service or activity that the Board or the Division expects to provide during that licensure biennium.

(60 Del. Laws, c. 462, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, § 2; 75 Del. Laws, c. 141, § 1.)

§ 1715 Records [For application of this section, see 85 Del. Laws, c. 253, § 23].

The Division of Professional Regulation shall keep a register of all approved applications for certificates to practice medicine, approved applications for authorization to practice any profession or occupation regulated under this chapter, for registrations and renewal of registrations of certificates to practice medicine, for licenses and renewals of licenses to practice as physician associates, for licenses and renewals of licenses to practice respiratory therapy, and for all other certificates, licenses, registrations, or other authorizing documents to practice any profession or occupation regulated under this chapter and their renewals, granted by the Board. In addition, the Director shall maintain complete records relating to meetings of the Board, examinations, rosters, changes and additions to the Board's rules and regulations, complaints, hearings, and such other documents as the Board determines. Records of Board proceedings kept by the Division are prima facie evidence of the proceedings of the Board. An applicant, certificate holder, registrant, or licensee must notify the Division of Professional Regulation of a change in his or her address or in any other information on his or her application, registration, or renewal form within 15 days of the change.

(71 Del. Laws, c. 102, § 3; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 141, § 2; 85 Del. Laws, c. 253, § 1.)

Subchapter III

Certificate to Practice Medicine; Registration of Certificate; Renewal of Registration

§ 1720 Certification requirements to practice medicine.

(a) A person may not practice medicine in this State unless the person:

(1) Has a certificate to practice medicine issued by the Board of Medical Licensure and Discipline;

(2) Registers the certificate to practice medicine and renews it biennially; and

(3) If required, has an occupational license pursuant to Part III of Title 30.

(b) To receive a certificate to practice medicine in this State, an applicant for a certificate must:

(1) Have a working ability to read, write, speak, understand, and be understood in the English language;

(2) Possess the following educational credentials:

a. A degree of Doctor of Medicine or Doctor of Osteopathy, or an equivalent degree, from a legally incorporated medical college or school located in the United States or Canada, which medical college or school has been approved by the appropriate accrediting body of the American Medical Association or the American Osteopathic Association; or

b. A degree of Doctor of Medicine or Doctor of Osteopathy, or an equivalent degree, from a legally incorporated medical college or school located in a country other than the United States or Canada, medical college or school which is listed in the International Medical Education Directory (IMED), along with documentary proof that the applicant successfully passed the examination administered by the Educational Commission for Foreign Medical Graduates and the Federation of State Medical Boards; or

c. A degree of Doctor of Medicine or Doctor of Osteopathy, or an equivalent degree, from a legally incorporated medical college or school located in a country other than the United States or Canada, which medical college or school is not listed in the International Medical Education Directory (IMED), but the applicant has completed 3 years of postgraduate training in a residency program which has been approved by the Accreditation Council for Graduate Medical Education and has successfully passed the examination administered by the Educational Commission for Foreign Medical Graduates and the Federation of State Medical Boards;

d. Documentary proof that all clinical rotations served by the applicant in the United States or Canada as part of training received in a medical college or school were conducted in institutions that are formal parts, such as a primary hospital, of a medical college or school or that have formal affiliation with a medical college or school approved by the appropriate accrediting body of the American Medical Association or the American Osteopathic Association, or that the clinical rotations were served in hospitals which had, at the time the rotations were served, a residency training program approved by the Accreditation Council for Graduate Medical Education in the subject matter of the clinical rotation;

(3) Have satisfactorily completed an internship or equivalent training in an institution, which internship or equivalent training and institution are approved by the Board;

(4) Submit to the Board a sworn or affirmed statement that the applicant:

a. Has not been convicted of or has not admitted under oath to having committed a crime substantially related to the practice of medicine, provided however, that a waiver may be available pursuant to subsection (e) of this section;

b. Has not been professionally penalized for or convicted of drug addiction;

c. Has not had the applicant's license or certificate or other authorizing document to practice allopathic medicine or osteopathic medicine in any other state, territory, or foreign nation revoked, suspended, restricted, limited, or subjected to disciplinary or other action by the certifying or licensing authority thereof, or an application to practice denied;

d. Has not been removed, suspended, expelled, or disciplined by any professional medical association or society when the removal, suspension, expulsion, or discipline was based upon what the association or society found to be unprofessional conduct, professional incompetence, or professional malpractice;

e. Has not been disciplined by a licensed hospital or by the medical staff of the hospital, including the removal, suspension, or limitation of hospital privileges, the nonrenewal of privileges for cause, the resignation of privileges under pressure of investigation or other disciplinary action, if the discipline was based upon what the hospital or medical staff found to be unprofessional conduct, professional incompetence, or professional malpractice;

f. Has not engaged in the practice of medicine without a certificate or license or other authorization to practice medicine;

g. Has not unlawfully prescribed narcotic drugs;

h. Has not wilfully violated the confidence of a patient, except under legal requirement;

i. Has not been professionally penalized or convicted of fraud;

j. Has reviewed and acknowledges the applicant's own duties to report unprofessional conduct under the Medical Practice Act and to report child abuse or neglect under § 903 of Title 16, or any successors thereto.

(5) Submit to the Board a sworn or affirmed statement that the applicant is, at the time of application, physically and mentally capable of engaging in the practice of medicine according to generally accepted standards, and submit to such examination as the Board may deem necessary to determine the applicant's capability;

(6) Submit, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

b. A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Board of Medical Licensure and Discipline shall be the screening point for the receipt of said federal criminal history records.

c. An applicant may not be certified to practice medicine until the applicant's criminal history reports have been produced. An

applicant whose record shows a prior criminal conviction for an offense that is substantially related to the practice of medicine, may not be certified by the Board unless a waiver is granted pursuant to subsection (e) of this section. The State Bureau of Identification may release any subsequent criminal history to the Board.

(7) Pass the professional examination pursuant to § 1721 of this title, unless excepted under § 1722 of this title or waived as provided in subsection (e) of this section.

(8) [Repealed.]

(c) An applicant for a certificate to practice medicine in this State must submit to the Board an application in writing in such form as the Board requires.

(d) An applicant for a certificate to practice medicine in this State must fulfill the requirements of subsection (b) of this section in accord with the form and manner required by the Board in its rules and regulations. The applicant must also pay the application fee set by the Division, and, unless an exception in § 1722 of this title applies, the applicant must pass a professional examination pursuant to § 1721 of this title.

(e) The Board, by the affirmative vote of 9 of its members, may waive any of the requirements of this section if it finds all of the following by clear and convincing evidence:

(1) The applicant's education, training, qualifications, and conduct have been sufficient to overcome the deficiency or deficiencies in meeting the requirements of this section;

(2) The applicant is capable of practicing medicine in a competent and professional manner;

(3) The granting of the waiver will not endanger the public health, safety, or welfare; and

(4) For waiver of a criminal conviction other than a conviction of a felony sexual offense, the Board shall grant a waiver if it determines, after consideration of the factors set forth in § 8735(x)(3) of Title 29, that granting a waiver would not create an unreasonable risk to public safety. A waiver may not be granted to any person who is convicted of a felony sexual offense. The time limitation set forth in § 8735(x)(4) of Title 29 does not apply to a felony sexual offense.

(5) [Repealed.]

(f) In determining if an applicant qualifies for certification to practice medicine, the Board may rely upon relevant decisions made by the appropriate authority in other states and may not permit a collateral attack upon those decisions.

(g) Notwithstanding any language to the contrary, the Board shall not issue a license to an applicant until first verifying that an applicant is not listed on either the Adult Abuse Registry or the Child Protection Registry as being substantiated for abuse or neglect.

(h) An applicant for initial or renewal certification to practice medicine in this State must disclose whether the applicant has ever been the subject of an investigation by any licensing authority, medical association, hospital or other healthcare institution. The Board may require an applicant to provide sufficient documentation to enable the Board to determine whether investigation or a diagnostic mental or physical examination is necessary to determine the applicant's qualifications for certification to practice medicine in this State. Any such investigation or diagnostic mental or physical examination shall be conducted pursuant to § 1732 of this title.

(i) All individuals licensed to practice under this chapter shall be required to be fingerprinted by the State Bureau of Identification, at the licensee's expense, for the purposes of performing subsequent criminal background checks. Licensees who received their initial license to practice on or before July 1, 2007, shall submit by January 1, 2012, at the applicant's expense, fingerprints and other necessary information in order to obtain a criminal background check.

(j) The Board may issue an administrative medicine license to a physician who meets all qualifications for licensure, including payment of a fee set by the Division of Professional Regulation, except that an applicant for an administrative medicine license shall not be required to show that the applicant has been engaged in the active practice of medicine, as defined in the Board's regulations. Administrative medicine licensees may not provide medical or clinical services to or for patients and shall attest to understanding this on the application.

(k) A nonhuman entity, including an agent powered by artificial intelligence, may not be certified to practice medicine in this State.

(*l*) A nonhuman entity, including an agent powered by artificial intelligence, may not use any of the following titles:

(1) Doctor or the abbreviation "Dr."

(2) Physician or surgeon.

(3) Medical doctor or the abbreviation "MD."

(4) Doctor of osteopathy or the abbreviation "DO."

(20 Del. Laws, c. 40, § 13; 24 Del. Laws, c. 139, § 1; Code 1915, § 846; 33 Del. Laws, c. 58, § 1; Code 1935, § 925; 41 Del. Laws, c. 86, § 1; 24 Del. C. 1953, §§ 1732, 1733; 50 Del. Laws, c. 369, § 1; 52 Del. Laws, c. 323, §§ 1, 2; 58 Del. Laws, c. 511, § 53; 59 Del. Laws, c. 55, §§ 1-3; 60 Del. Laws, c. 462, § 1; 64 Del. Laws, c. 477, § 3; 67 Del. Laws, c. 226, § 7; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, § 4; 74 Del. Laws, c. 262, § 28; 75 Del. Laws, c. 141, § 1; 75 Del. Laws, c. 399, § 1; 75 Del. Laws, c. 436, § 13; 77 Del. Laws, c. 319, § 1; 77 Del. Laws, c. 323, § 1; 77 Del. Laws, c. 324, §§ 1, 2; 77 Del. Laws, c. 460, § 4; 78 Del. Laws, c. 44, §§ 16, 17; 79 Del. Laws, c. 194, § 1; 79 Del. Laws, c. 277, § 4; 80 Del. Laws, c. 86, § 1; 81 Del. Laws, c. 97, § 3; 83 Del. Laws, c. 433, § 11; 85 Del. Laws, c. 250, § 2.)

§ 1721 Professional examination.

(a) The Board shall require written and/or clinical professional examination of each applicant for a certificate to practice medicine in accordance with the Board's rules and regulations.

(b) A professional examination issued pursuant to this section must be in the English language, must be comprehensive in character, and

must be designed to determine an applicant's fitness to practice medicine. It must cover those general subjects and topics, a knowledge of which is commonly and generally required of candidates for the degree of Doctor of Medicine or Doctor of Osteopathy conferred by approved medical colleges or schools in the United States.

(c) The Board shall include in its rules and regulations the number of times and the conditions under which an applicant who has failed 1 or more professional examinations conducted pursuant to this section may again apply for a certificate to practice medicine under this chapter.

(20 Del. Laws, c. 40, § 11; Code 1915, § 844; Code 1935, § 923; 24 Del. C. 1953, § 1735; 50 Del. Laws, c. 369, § 1; 60 Del. Laws, c. 462, § 1; 75 Del. Laws, c. 141, § 1.)

§ 1722 Waiver of professional examination for temporary certification, for hospital or institution staff, for physicians licensed in another jurisdiction, and for physicians passing an alternative exam.

(a) The Board may adopt rules and regulations that waive the professional examination required pursuant to § 1721 of this title for the issuance of a certificate to practice medicine in the following cases:

(1) The applicant for whom the examination is to be waived is licensed, certified, registered, or otherwise legally qualified to practice medicine in another state of the United States or in another jurisdiction, and seeks a temporary certificate to practice medicine for not less than 2 weeks nor more than 3 months for the purpose of taking charge of the practice of a person certified and registered to practice medicine in this State during the person's temporary illness or absence from this State. The Board may, in its discretion, extend a temporary certificate to practice medicine pursuant to this paragraph for an additional 3 months, but not longer. A temporary certificate may be issued pursuant to this paragraph to an applicant by the Board upon the written request of a person certified and registered to practice medicine in this State and upon the payment of a fee established for such purpose by the Division of Professional Regulation. The written request must contain an affirmation that the purpose of the temporary certificate is to allow the applicant to take charge of the practice of a person certified and registered to practice medicine in this State during the person's temporary illness or absence from the State;

(2) The applicant for whom the examination is to be waived:

a. Is employed in this State as an intern, resident, house physician, or fellow in a hospital accredited by the Joint Commission on the Accreditation of Hospitals or by the American Osteopathic Hospital Association; or

b. Is a staff physician employed in a governmental institution in this State and is applying for a certificate to practice medicine for a period of time not to exceed the length of time of employment in the hospital or governmental institution.

A certificate issued pursuant to this paragraph is subject to yearly renewal and restricts the applicant to practice only in the hospital or institution where the applicant is employed;

(3) The applicant for whom the examination is to be waived is licensed, certified, registered, or otherwise legally authorized to practice medicine by competent authority in any other of the United States or in any other jurisdiction approved by the Board.

(b) When a certificate to practice medicine is issued to an applicant pursuant to this section and the applicant registers with the Board and obtains an occupational license pursuant to Chapter 23 of Title 30, the applicant may practice medicine in this State, but only for the time and only under the conditions, if any, specified in the certificate.

(60 Del. Laws, c. 462, § 1; 71 Del. Laws, c. 102, § 6; 75 Del. Laws, c. 141, § 1.)

§ 1723 Issuance of certificate to practice; registration and registration renewal; reactivating inactive status.

(a) The Board shall issue a certificate to practice medicine in this State and register the certificate for an applicant who meets the requirements of this chapter.

(b) The Division shall keep a current register of all persons certified to practice medicine in this State. Each such person shall inform the Division of any change of current address and telephone number within 15 days of the change.

(c) The registration of a certificate to practice medicine must be renewed biennially, through a procedure determined by the Division. The procedure must include payment of an appropriate registration renewal fee; submission of a renewal form provided by the Division; submission of the materials required by § 1720(b)(4), (b)(5), and (g), of this title unless waived pursuant to § 1720(e) of this title; proof that the certified person has met the continuing medical education requirements established by the Board; and the period of time within which a person certified to practice medicine in this State may renew the certified person's registration without penalty, notwithstanding the fact that the person failed to renew the person's registration on or before the renewal date; and the penalty for failure to renew registration in a timely manner. The procedure must also include evidence of completion of training on the recognition of child sexual and physical abuse, exploitation and domestic violence, and the reporting obligations under the Medical Practice Act and § 903 of Title 16, and any successors thereto, and any other mandatory reporting obligations required by the Board. Such trainings shall be coordinated under §§ 911 and 931(b)(4) of Title 16 to ensure consistent trainings across disciplines.

(d) The Board may establish, by class and not by individual, requirements for continuing education or reexamination, or both, for a person issued a certificate to practice medicine, or issued any authorized document to practice another profession or occupation regulated under this chapter, who is on inactive status and wishes to reactivate that person's status.

(e) The Division shall review the criminal history of all individuals licensed to practice medicine on a periodic basis, at a minimum, once every 6 months.

(20 Del. Laws, c. 40, § 12; Code 1915, § 845; 33 Del. Laws, c. 58, § 1; Code 1935, § 924; 41 Del. Laws, c. 86, § 1; 42 Del. Laws, c. 89,

§ 2; 45 Del. Laws, c. 89, § 1; 24 Del. C. 1953, § 1737; 53 Del. Laws, c. 108, § 17; 60 Del. Laws, c. 462, § 1; 62 Del. Laws, c. 417, § 2; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, § 5; 74 Del. Laws, c. 349, § 1; 75 Del. Laws, c. 141, § 1; 77 Del. Laws, c. 323, § 2; 77 Del. Laws, c. 324, §§ 3, 4; 80 Del. Laws, c. 187, § 8.)

§ 1724 Temporary emergency certificate during a public emergency.

The Board may issue a temporary emergency certificate to practice medicine for a period of time not to exceed 12 months, but renewable at the discretion of the Board, to a person whom it finds qualified to practice medicine in this State. A temporary emergency certificate may be issued only during a public emergency declared by the President of the United States or the Governor of the State. When an occupational license is issued by the Director of Revenue pursuant to Chapter 23 of Title 30, if such license is required, and the temporary emergency certificate is registered by the Board, the holder of the temporary emergency certificate may, during the term specified on the certificate unless sooner revoked, practice medicine in this State, subject to all the laws of this State and to the regulations and restrictions which the Board may adopt, including, but not limited to, location limitations and limitations on the nature of the practice of medicine within the State.

(24 Del. C. 1953, § 1744; 49 Del. Laws, c. 158, § 1; 50 Del. Laws, c. 369, § 1; 52 Del. Laws, c. 323, § 9; 60 Del. Laws, c. 462, § 1; 61 Del. Laws, c. 68, §§ 4, 5; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, § 7; 75 Del. Laws, c. 141, § 1.)

§ 1725 Temporary certificate pending certification.

The Executive Director of the Board, with the approval of a physician member of the Board, may issue a temporary certificate pending certification to practice medicine for a period of time not to exceed 3 months to a person otherwise qualified to practice medicine who has applied for certification to practice medicine. When an occupational license is issued by the Director of Revenue pursuant to Chapter 23 of Title 30, if such license is required, and the temporary certificate pending certification is registered by the Board, the holder of the temporary certificate pending certification may, during the time specified on the certificate unless sooner revoked, practice medicine in this State, subject to all the laws of this State and to the regulations and restrictions which the Board may adopt, including, but not limited to, location limitations and limitations on the nature of the practice of medicine within the State.

(24 Del. C. 1953, § 1744; 49 Del. Laws, c. 158, § 1; 50 Del. Laws, c. 369, § 1; 52 Del. Laws, c. 323, § 9; 60 Del. Laws, c. 462, § 1; 61 Del. Laws, c. 68, §§ 4, 5; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, § 7; 75 Del. Laws, c. 141, § 1.)

§ 1726 Notice of certification required.

The Executive Director of the Board shall, immediately upon issuing a certificate to practice medicine pursuant to § 1722, § 1723, or § 1724 of this title, make available to the director of the Division of Public Health of the Department of Health and Social Services the full name and address of the person to whom the certificate was issued and the date thereof, and, in the case of the issuance of a certificate pursuant to § 1722 or § 1724 of this title, the length of time for which the certificate authorizes the practice of medicine and the limitation on the authorization, if any.

(30 Del. Laws, c. 58; Code 1915, § 847A; Code 1935, § 927; 24 Del. C. 1953, § 1738; 50 Del. Laws, c. 369, § 1; 52 Del. Laws, c. 323, § 3; 60 Del. Laws, c. 462, § 1; 75 Del. Laws, c. 141, § 1.)

§ 1727 Consulting physicians from other states.

This chapter does not prevent a person who is certified, licensed, or otherwise authorized to practice medicine in another state or in a foreign country from engaging in a consultation with a person certified and registered to practice medicine in this State.

(20 Del. Laws, c. 40, § 16; Code 1915, § 851; Code 1935, § 932; 24 Del. C. 1953, § 1743; 50 Del. Laws, c. 369, § 1; 60 Del. Laws, c. 462, § 1; 63 Del. Laws, c. 9, § 1; 75 Del. Laws, c. 141, § 1.)

§ 1728 Medical personnel for visiting sports teams.

(a) Notwithstanding any other provision of this chapter or other law, a physician who is certified, licensed, or otherwise authorized to practice medicine in another state or country shall be exempt from the certification and registration requirements of this chapter while practicing medicine in this State if all of the following conditions are met:

(1) The physician has a written or oral agreement with a sports team to provide general or emergency care to the team members, coaching staff, and families traveling with the team for a specific sporting event to take place in this State; and

(2) The physician may not practice medicine with respect to any person residing or present in this State other that a person described in paragraph (a)(1) of this section, unless providing medical care pursuant to § 6801 of Title 16;

(b) The exemption shall remain in force while the physician is traveling with the team, but shall be no longer than 10 days per individual sporting event. The Executive Director of the Board may grant a physician additional time for exemption, up to 20 additional days per sporting event, upon prior request by the physician. The total number of days a physician may be exempt, including additional time granted upon request, may not exceed 30 days per sporting event.

(c) A physician exempt from the certification and licensure requirements under this section is not authorized to practice medicine at any health care facility, as defined in Chapter 93 of Title 16, in the State.

(79 Del. Laws, c. 137, § 1.)

§ 1729 Home health-care orders from out-of-state physicians.

(a) Notwithstanding any other provision of this chapter or other law, a physician who is certified, licensed, or otherwise authorized to

practice medicine in another state shall be exempt from the certification and registration requirements of this chapter in order to prescribe home health-care services provided by a home health-care agency licensed pursuant to Title 16 to a patient who resides in this State.

(b) The prescription of home health-care services under this section must first be made pursuant to an in-person physical examination of the patient performed within the jurisdictional boundaries of the state in which the prescribing physician is certified, licensed or otherwise authorized to practice medicine.

(79 Del. Laws, c. 338, § 1.)

Subchapter IV

Disciplinary Regulation; Proceedings of the Board

§ 1730 Duty to report unprofessional conduct and inability to practice medicine.

(a) Every person to whom a certificate to practice medicine is issued has a duty to report to the Board if that person is treating professionally another person who possesses a certificate to practice medicine for a condition defined in § 1731(c) of this title, if, in the reporting person's opinion, the person being treated may be unable to practice medicine with reasonable skill or safety. A person reporting to the Board or testifying in any proceeding as a result of making a report pursuant to this section is immune from claim, suit, liability, damages, or any other recourse, civil or criminal, so long as the person acted in good faith and without gross or wanton negligence; good faith being presumed until proven otherwise, and gross or wanton negligence required to be shown by the complainant. A person reporting to the Board shall include the information required by the Board in accordance with its current guidance, which may be promulgated by regulation as required. The Board's guidance, including any template reports that the Board may develop in consultation with the Division, must be communicated to physicians and made available to the public.

(b) (1) Every person to whom a certificate to practice medicine is issued and health care facility as defined in § 1740 of this title has a duty to report to the Board within 30 days:

a. Any partial or full removal of hospital privileges based on adverse events, unprofessional conduct or competency issues; and

b. Any disciplinary action taken by a medical society against that person; and

c. Any reasonably substantiated incidents involving violence, threat of violence, abuse, or neglect by a person toward any other person.

(2) Every person certified to practice medicine in this State shall report to the Board within 30 days any civil or criminal investigation in any jurisdiction which concerns that person's certification or license or other authorization to practice medicine. The Board may require an applicant to provide sufficient documentation to enable the Board to determine whether to investigate, pursuant to § 1732 of this title, or whether there are grounds for discipline under § 1731(b) of this title.

(c) Every person to whom a certificate to practice medicine is issued has a duty to report to the Board, within 60 days, all information concerning medical malpractice claims settled or adjudicated to final judgment, as provided in Chapter 68 of Title 18, and, within 30 days, all information required to be reported under § 1731A(f) of this title.

(d) Every person to whom a certificate to practice medicine is issued has a duty to report, within 30 days of the day each such person becomes aware, of the existence of a report to the Department of Services for Children, Youth and Their Families under Chapter 9 of Title 16 against that person concerning child abuse or neglect or a report to the Division of Health Care Quality under Chapter 85 of Title 11 against that person concerning adult abuse, neglect, mistreatment, or financial exploitation.

(60 Del. Laws, c. 462, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, §§ 8, 9; 75 Del. Laws, c. 141, § 1; 77 Del. Laws, c. 320, § 9; 77 Del. Laws, c. 325, § 1; 77 Del. Laws, c. 460, § 2; 78 Del. Laws, c. 101, § 1; 81 Del. Laws, c. 209, § 9; 83 Del. Laws, c. 385, § 1.)

§ 1731 Unprofessional conduct and inability to practice medicine.

(a) A person to whom a certificate to practice medicine in this State has been issued may be disciplined by the Board for unprofessional conduct, as defined in subsection (b) of this section, by means of levying a fine, or by the restriction, suspension, or revocation, either permanent or temporary, of that person's certificate to practice medicine, or by other appropriate action, which may include a requirement that a person who is disciplined must complete specified continuing education courses. The Board shall permanently revoke the certificate to practice medicine in this State of a person who is convicted of a felony sexual offense.

(b) "Unprofessional conduct" includes any of the following acts or omissions:

(1) The use of any false, fraudulent, or forged statement or document or the use of any fraudulent, deceitful, dishonest, or unethical practice in connection with a certification, registration, or licensing requirement of this chapter, or in connection with the practice of medicine or other profession or occupation regulated under this chapter;

(2) Conduct that would constitute a crime substantially related to the practice of medicine;

(3) Any dishonorable, unethical, or other conduct likely to deceive, defraud, or harm the public;

(4) The practice of medicine or other profession or occupation regulated under this chapter under a false or assumed name;

(5) The practice of medicine or other profession or occupation regulated under this chapter without a certificate or other authorizing document or renewal of such document, unless otherwise authorized by this chapter;

(6) The use, distribution, or issuance of a prescription for a dangerous or narcotic drug, other than for therapeutic or diagnostic

purposes;

(7) Advertising of the practice of medicine or other profession or occupation regulated under this chapter in an unethical or unprofessional manner;

(8) Solicitation or acceptance of a fee from a patient or other person by fraudulent representation that a manifestly incurable condition, as determined with reasonable medical certainty, can be permanently cured;

(9) Knowing or intentional performance of an act which, unless authorized by this chapter, assists an unauthorized person to practice medicine or other profession or occupation regulated under this chapter;

(10) The failure to provide adequate supervision to an individual working under the supervision of a person who is certified and registered to practice medicine;

(11) Misconduct, including but not limited to sexual misconduct, incompetence, or gross negligence or pattern of negligence in the practice of medicine or other profession or occupation regulated under this chapter;

(12) Wilful violation of the confidential relationship with or confidential communications of a patient;

(13) Wilful failure to report to the Board as required by § 1730(a) of this title;

(14) Wilful failure to report to the Board as required by § 1730(b) of this title;

(15) Wilful failure to report to the Board as required by § 1730(c) of this title;

(16) Unjustified failure upon request to divulge information relevant to the authorization or competence of a person to practice medicine or other profession or occupation regulated under this chapter to the Board, to any committee thereof, to the Executive Director, or to anyone designated by the Executive Director to request such information;

(17) The violation of a provision of this chapter or the violation of an order or regulation of the Board related to medical procedures or to the procedures of other professions or occupations regulated under this chapter, the violation of which more probably than not will harm or injure the public or an individual;

(18) Charging a grossly exorbitant fee for professional or occupational services rendered;

(19) Suspension or revocation of a certificate to practice medicine or of the authorizing document to practice another profession or occupation regulated under this chapter, or other disciplinary action taken by the regulatory authority in another state or territory. In making its determination, the Board may rely upon decisions made by the appropriate authorities in other states and may not permit a collateral attack on those decisions;

(20) Signing the death certificate of a person prior to the actual time of death of the person;

(21) A violation of §1764A of this title;

(22) Wilful failure to report to the Board when required by §1731A of this title;

(23) Wilful failure to comply with §1769B of this title;

(24) Engaging in conversion therapy with a child; and

(25) Referring a child to a provider in another jurisdiction to receive conversion therapy.

(26) "Unprofessional conduct" under this subsection does not include the performance, recommendation, or provision of any healthcare service that is lawful in this State even if such performance, recommendation, or provision is for a person who resides in a state where such performance, recommendation, or provision is illegal or considered to be unprofessional conduct or the unauthorized practice of medicine.

(c) A certificate to practice medicine is subject to restriction, suspension, or revocation, either temporarily or permanently, in case of the inability of a physician to practice medicine with reasonable skill or safety to patients by reason of a mental or physical disability or serious health condition that prevents the physician's ability to practice medicine in a fully competent and professional manner with reasonable skill and safety to patients. For purposes of this section, a mental or physical disability or serious health condition does not prevent a physician's ability to practice medicine with reasonable skill and safety when the condition is reduced or ameliorated because of ongoing treatment, with or without medication, or participation in a monitoring program or because of the field of practice, the setting, or the manner of the physician's current medical practice.

(1)-(3) [Repealed.]

(d) The Board may establish, by class and not by individual, requirements for continuing education and/or reexamination as a condition for renewal of registration and for recertification to practice medicine or other profession or occupation regulated under this chapter, or as a condition to continue to practice medicine or other profession or occupation regulated under this chapter after disciplinary sanctions are imposed or after inability to practice with reasonable skill or safety to patients has been determined.

(e) A person who files a complaint with the Board or any of its members, the Executive Director, or the Division, or who provides information to the Board or any of its members, the Executive Director, or the Division regarding a complaint, or who testifies as a witness at a hearing before the Board or any of its hearing panels or committees concerning unprofessional conduct by a person certified to practice medicine or other profession or occupation regulated under this chapter in this State or concerning the inability of a person certified to practice medicine or other profession or occupation regulated under this chapter for the reasons set forth in subsection (c) of this section, may not be held liable in any cause of action arising out of the filing of the complaint, the providing of information, or the giving of testimony, provided that the person does so in good faith and without gross or wanton negligence.

(f) The provisions of this section apply to any person to whom a certificate, license, or other authorizing document to practice a

profession or occupation has been issued pursuant to this chapter.

(60 Del. Laws, c. 462, § 1; 62 Del. Laws, c. 90, § 3; 63 Del. Laws, c. 252, § 1; 64 Del. Laws, c. 477, § 4; 67 Del. Laws, c. 226, §§ 10, 11; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, §§ 12-14; 74 Del. Laws, c. 213, § 1; 74 Del. Laws, c. 262, § 30; 75 Del. Laws, c. 141, § 1; 75 Del. Laws, c. 161, § 3; 77 Del. Laws, c. 322, § 1; 77 Del. Laws, c. 325, §§ 3-6; 81 Del. Laws, c. 340, § 3; 83 Del. Laws, c. 327, § 1; 83 Del. Laws, c. 385, § 2; 85 Del. Laws, c. 102, § 2.)

§ 1731A Duty to report.

(a) Any person may report to the Board information that the reporting person reasonably believes indicates that a person certified and registered to practice medicine in this State is or may be guilty of unprofessional conduct or may be unable to practice medicine with reasonable skill or safety to patients by reason of a mental or physical disability or serious health condition that prevents a physician's ability to practice medicine in a fully competent and professional manner with reasonable skill and safety to patients. For purposes of this section, a mental or physical disability or serious health condition does not prevent a physician's ability to practice medicine with reasonable skill and safety when the condition is reduced or ameliorated because of ongoing treatment, with or without medication, or participation in a monitoring program or because of the field of practice, the setting, or the manner of the physician's current medical practice. The following have an affirmative duty to report, and must report, such information to the Board in writing within 30 days of becoming aware of the information:

(1) All persons certified to practice medicine under this chapter;

(2) All certified, registered, or licensed healthcare providers;

(3) The Medical Society of Delaware;

(4) All healthcare institutions in the State;

(5) All state agencies other than law-enforcement agencies;

(6) All law-enforcement agencies in the State, except that such agencies are required to report only new or pending investigations of alleged criminal conduct specified in § 1731(b)(2) of this title, and are further required to report within 30 days of the close of a criminal investigation or the arrest of a person licensed under this chapter.

(b) If a person certified and registered to practice medicine in this State voluntarily resigns from the staff of a healthcare institution, or voluntarily limits that person's own staff privileges at a healthcare institution, or fails to reapply for hospital or staff privileges at a healthcare institution, the healthcare institution and the person shall promptly report in writing such conduct to the Board if the conduct occurs while the person is under formal investigation by the institution or a committee thereof for any reason related to possible unprofessional conduct or possible inability to practice medicine by reason of a mental or physical disability or serious health condition that prevents the physician's ability to practice medicine with reasonable skill and safety under § 1731(c) of this title.

(c) Upon receiving a report pursuant to subsection (a) or (b) of this section, or on its own motion, the Board shall investigate any evidence which appears to show that the person reported is or may be guilty of unprofessional conduct or may be unable to practice medicine with reasonable skill or safety to patients by reason of a mental or physical disability or serious health condition that prevents the physician's ability to practice medicine with reasonable skill and safety under § 1731(c) of this title.

(d) When an investigation is necessary pursuant to subsection (c) of this section, the Executive Director, with the approval of the assisting Board members who must be or must include a physician and a public member when the investigation relates to the quality of medical care provided by a physician or to the competency of a physician to engage safely in the practice of medicine, has the authority to inquire from any organization which undertakes physician peer review or physician quality assurance evaluations whether or not there has been any peer review, quality assurance, or similar process instituted involving the physician under investigation. The Executive Director may, by subpoena, compel the production of a list of the medical records reviewed during the peer review process, a list of the quality assurance indicators, and/or a list of other issues which were the basis for the peer review, quality assurance, or similar process. The lists produced must identify each item with a unique medical identifier to replace the patient's name and specific identifying information. If necessary, after receiving the lists the Executive Director may, by subpoena, compel the production of the relevant medical records. However, the individual, hospital, organization, or institution shall remove the patient's name and specific identifying information from the records prior to complying with the subpoena. If, after having reviewed the records produced, an assisting physician Board member and an assisting public Board member consider it necessary, the Executive Director may, by subpoena, compel the production of the patient's name. The Board shall take reasonable steps to protect the identity of the patient in so far as such protection does not, in the opinion of the Board, adversely affect the Board's ability to protect the public interest. An individual, hospital, organization, or institution that furnishes information to the Board pursuant to a subpoena issued pursuant to this subchapter with respect to any patient is not solely by reason of furnishing the information liable in damages to any person or subject to any other recourse, civil or criminal.

(e) The Board shall promptly acknowledge all reports received under this section. Individuals or entities reporting under this section must be promptly informed of the Board's final disposition of the reported matters.

(f) Malpractice insurance carriers and insured persons certified to practice medicine in this State shall file with the Board a report of each final judgment, settlement, or award against the insured persons. A person not covered by a malpractice insurance carrier shall also file a report with the Board. A report required to be filed under this subsection must be made to the Board within 30 days of a final judgment, settlement, or award.

(g) An individual, institution, agency, or organization required to report under this section who does so in good faith is not subject to civil damages or criminal prosecution for reporting.

(h) The Executive Director shall initially review every report made to the Board under this subchapter. The Executive Director may defer the investigation of a report pending a reported licensee's evaluation and treatment for substance abuse or for physical or mental illness, provided sufficient safeguards exist to protect the licensee's patients and the public. Safeguards may include a verifiable, voluntary cessation of the practice of medicine or a limited or monitored practice. Upon completion of the reported licensee's evaluation and treatment, the Executive Director may resume investigation of the report pursuant to the requirements of this chapter. If the Executive Director determines that a deferral is warranted, the case shall be summarized and placed before the Board for its information.

(i) Pursuant to the authority conferred herein and by § 1713 of this title, the Board shall have the authority to impose a fine, not to exceed $10,000 for the first violation, and not to exceed $50,000 for any subsequent violation, on any person, any healthcare provider, any healthcare institution, and the Medical Society of Delaware for violation of any duty imposed by this chapter, and said fine shall be imposed pursuant to the procedures of this chapter.

(j) Upon receiving a complaint involving potential criminal conduct, the Board shall promptly report the complaint to appropriate law-enforcement agencies, including the Delaware Department of Justice.

(67 Del. Laws, c. 159, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, § 15; 75 Del. Laws, c. 141, § 1; 75 Del. Laws, c. 358, § 2; 77 Del. Laws, c. 319, §§ 7, 8; 77 Del. Laws, c. 321, § 2; 77 Del. Laws, c. 325, §§ 7-9; 83 Del. Laws, c. 385, § 3.)

§ 1731B Counseling; letter of concern.

(a) If the Executive Director and the President of the Board, or a member of the Board designated by the President to assist in an investigation concerning a person certified to practice medicine, determine after the investigation that a violation of this chapter or of regulations enacted pursuant to this chapter which warrants formal disciplinary action has not occurred, but that an act or omission of the person is a matter of concern and that the person's practice may be improved if the person is made aware of the concern, the Executive Director, with the concurrence of the President or the assisting Board member, may issue a nondisciplinary, confidential letter of concern regarding the person's act or omission.

(b) If a person certified to practice medicine receives a total of 3 letters of concern and/or letters of counseling pursuant to this section, the Executive Director may reasonably require a formal assessment of professional competency pursuant to § 1732(d) of this title to assess the person's continued ability to protect the health and safety of the person's present or prospective patients.

(70 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 141, § 1; 75 Del. Laws, c. 358, § 3; 77 Del. Laws, c. 325, § 11.)

§ 1732 Investigations of complaints; Executive Director authority.

(a) All complaints of unprofessional conduct, unauthorized practice of medicine, or medical malpractice shall be referred to the Division of Professional Regulation to be investigated. Complaints alleging potential sexual misconduct by a licensee should be afforded priority by the Division. The Division of Professional Regulation shall formulate charges, if circumstances warrant, by bringing a formal complaint against a person to whom a certificate to practice medicine or otherwise licensed or registered in this State has been issued.

(b) The Executive Director shall initiate investigations concerning inability to practice medicine with reasonable skill or safety to patients. The Executive Director with the Board president or the president's designee, shall, after reviewing the results of the investigation, determine whether the person to whom a certificate to practice medicine has been issued is able to practice medicine with reasonable skill and safety to patients, either on a restricted or unrestricted basis. If the Executive Director reasonably believes that a diagnostic mental or physical examination of the person under investigation is necessary, the Executive Director shall order the person to submit to an examination at the person's expense to be conducted by a physician or agency designated by the Executive Director. Every person to whom a certificate to practice medicine has been issued is deemed to have given that person's own consent to submit to a diagnostic mental or physical examination when so directed by the Executive Director, and to have waived all objections to the admissibility of the examination report to the Board. A person who submits to a diagnostic mental or physical examination as ordered by the Executive Director has the right to designate another physician to be present at the examination and to submit an independent report on the examination to the Board.

(c) To assist in an investigation of alleged unprofessional conduct, or medical malpractice, or of inability to practice medicine with reasonable skill or safety to patients, the Executive Director, on behalf of the Board, may, by subpoena, compel the production of necessary patient medical records of and patient medical records reviewed by all hospitals, organizations, and healthcare institutions located in the State and by all quality assurance, peer review, and other similar committees, including the records of the Medical Society of Delaware and its committees. A subpoena issued under this subsection is subject to the subpoena restrictions in § 1731A(d) of this title. The Board shall take reasonable steps to protect the identity of the patient in so far as such protection does not, in the opinion of the Board, adversely affect the Board's ability to protect the public interest.

(d) In addition to or in lieu of a diagnostic evaluation, the Executive Director may require an applicant for or the holder of a certificate to practice medicine, at the applicant or certificate holder's expense, to complete a formal assessment of professional competency if the Executive Director, after consultation with the President of the Board and at least 1 other physician member of the Board, determines that a formal assessment is warranted to protect the health and safety of present or prospective patients. A formal assessment must be performed by the assessment center established by the Federation of State Medical Boards and the National Board of Medical Examiners, or by another assessment center as the Executive Director directs. A formal assessment may not be required of an applicant or certificate holder by the Executive Director without the written concurrence of the President of the Board and at least 1 other physician member of the Board that the assessment is warranted pursuant to this subsection.

(e) When a complaint is made by a law-enforcement agency or employee thereof and involves allegations of criminal activity, the

Division of Professional Regulation and the Executive Director shall suspend any new or pending investigation upon a written request to do so by the Delaware Department of Justice or a federal law-enforcement authority. Such written request shall suspend the duty to investigate pursuant to this section, duty to regularly advise the complainant under § 8735 of Title 29, and any other duties that would interfere with the ability of law enforcement to investigate the allegations successfully. The suspension shall remain in effect until the Delaware Department of Justice or federal law enforcement informs the Executive Director in writing that action by the Division of Professional Regulation will not interfere with a pending law-enforcement investigation.

(60 Del. Laws, c. 462, § 1; 64 Del. Laws, c. 327, § 2; 67 Del. Laws, c. 226, § 12; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, §§ 16-19; 75 Del. Laws, c. 141, § 1; 77 Del. Laws, c. 325, §§ 10, 11; 81 Del. Laws, c. 97, § 4; 81 Del. Laws, c. 425, § 13.)

§ 1733 Complaints; notice of hearing [Repealed].

60 Del. Laws, c. 462, § 1; 64 Del. Laws, c. 327, § 3; 67 Del. Laws, c. 226, § 13; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, § 20; 75 Del. Laws, c. 88, § 17(2); 75 Del. Laws, c. 141, § 1; 77 Del. Laws, c. 319, § 10; 77 Del. Laws, c. 321, §§ 3-5; 77 Del. Laws, c. 325, §§ 12, 13; repealed by 81 Del. Laws, c. 97, § 4, effective July 21, 2017.

§ 1734 Hearings.

(a) [Repealed.]

(b) *Open hearings.* — A hearing on a complaint conducted by a hearing panel or examiner is open to the public, except the Board may conduct executive session for deliberations and purposes permitted by § 10004 of Title 29. A formal hearing on a complaint before the Board is open to the public in accordance with the provisions of § 10004 of Title 29.

(c)-(h) [Repealed.]

(i) Hearings shall be conducted pursuant to the Administrative Procedures Act [Chapter 101 of Title 29]. Upon receiving a decision and order the Executive Director shall file the required disciplinary action reports to data banks.

(60 Del. Laws, c. 462, § 1; 61 Del. Laws, c. 68, § 7; 64 Del. Laws, c. 327, § 4; 64 Del. Laws, c. 477, § 6; 65 Del. Laws, c. 66, § 1; 67 Del. Laws, c. 226, § 14; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, § 21; 75 Del. Laws, c. 141, § 1; 77 Del. Laws, c. 321, § 6; 77 Del. Laws, c. 325, §§ 15-19; 81 Del. Laws, c. 97, § 5.)

§ 1735 Revocation or suspension of certificate.

(a) If the Board determines pursuant to this subchapter that a fine and/or the restriction, suspension, or revocation of a certificate to practice medicine and/or any other disciplinary action or other action is warranted, an order describing the Board's action must be served personally or sent by certified mail, return receipt requested, to the certificate holder. In addition, copies of the order must be filed in the office of the Board, in the Division of Professional Regulation, in the Division of Public Health of the Department of Health and Social Services, and with the Director of Revenue. Upon receipt of an order of the Board revoking or suspending a certificate to practice medicine, the Director of Revenue shall forthwith revoke or suspend the occupational license to practice medicine issued by the Director and comply with any terms of the order applicable to the Division of Revenue.

(b) The Director of Revenue may not issue an occupational license or a license renewal to any person whose certificate to practice medicine has been revoked or suspended by the Board, unless issuance is in conformity with the terms and conditions of the order of revocation or suspension, or in conformity with any order of reinstatement issued by the Board, or in accordance with a final judgment in any proceeding for review instituted under this chapter.

(60 Del. Laws, c. 462, § 1; 61 Del. Laws, c. 68, § 6; 67 Del. Laws, c. 226, § 15; 71 Del. Laws, c. 102, §§ 22, 23; 75 Del. Laws, c. 141, § 1.)

§ 1736 Appeal procedures.

(a) A person against whom a decision of the Board has been rendered may appeal the decision to the Superior Court in the county in which the offense occurred.

(b) An appeal pursuant to this section must be filed within 30 days after the day the written decision and order of the Board is issued.

(c) An appeal pursuant to this section is on the record of the Board hearing, without a trial de novo.

(d) A Board action revoking, suspending, or otherwise restricting a person's certificate to practice medicine is not stayed upon appeal unless so ordered by the Superior Court.

(e) A person whose certificate to practice medicine has been suspended or otherwise restricted may, after the expiration of 90 days from the decision of the Superior Court, or of the Supreme Court if the decision of the Superior Court is appealed, or after 90 days from the decision and order of the Board if no appeal is taken, apply to the Board to have the certificate reinstated or reissued for good cause shown.

(60 Del. Laws, c. 462, § 1; 67 Del. Laws, c. 226, § 16; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 141, § 1.)

§ 1737 Confidentiality of records.

Release of records of the Board is governed by the provisions of the Freedom of Information Act, Chapter 100 of Title 29.

(60 Del. Laws, c. 462, § 1; 67 Del. Laws, c. 226, § 17; 75 Del. Laws, c. 141, § 1.)

§ 1738 Temporary suspension pending hearing.

(a) In the event of a formal or informal complaint concerning the activity of a person certified to practice medicine that presents a clear and immediate danger to the public health, the Board may temporarily suspend the person's certificate to practice medicine, pending a

hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board President or the Board President's designee. An order temporarily suspending a certificate to practice medicine may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney can file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing panel convenes and a decision is rendered.

(b) A person whose certificate to practice medicine has been temporarily suspended pursuant to this section must be notified of the temporary suspension immediately and in writing. Notification consists of a copy of the complaint and the order of temporary suspension pending a hearing personally served upon the person or sent by certified mail, return receipt requested, to the person's last known address.

(c) A person whose certificate to practice medicine has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's certificate to practice medicine.

(d) As soon as possible after the issuance of an order temporarily suspending a person's certificate to practice medicine pending a hearing, the Board shall appoint a 3-member hearing panel consisting of 2 physician members and 1 public member of the Board if practicable. After notice to the person pursuant to subsection (b) of this section, the hearing panel shall convene within 60 days of the date of the issuance of the order of temporary suspension to consider the evidence regarding the matters alleged in the complaint. If the person requests in a timely manner an expedited hearing, the hearing panel shall convene within 15 days of the receipt of the request by the Board. The 3-member panel shall proceed to a hearing in accordance with the procedures set forth in § 1734 of this title and shall render a decision within 30 days of the hearing.

(e) In addition to making findings of fact, the hearing panel shall also determine whether the facts found by it constitute a clear and immediate danger to public health. If the hearing panel determines that the facts found constitute a clear and immediate danger to public health, the order of temporary suspension must remain in effect until the Board, under § 8735 of Title 29, deliberates and reaches conclusions of law based upon the findings of fact made by the hearing panel. An order of temporary suspension may not remain in effect for longer than 60 days from the date of the decision rendered by the hearing panel unless the suspended person requests an extension of the order pending a final decision of the Board. Upon the final decision of the Board, an order of temporary suspension is vacated as a matter of law and is replaced by the disciplinary action, if any, ordered by the Board.

(66 Del. Laws, c. 2, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 102, § 24; 75 Del. Laws, c. 141, § 1; 77 Del. Laws, c. 319, §§ 5, 6; 77 Del. Laws, c. 325, § 19; 81 Del. Laws, c. 97, § 5; 81 Del. Laws, c. 425, § 14.)

§ 1739 Protection from liability.

Pursuant to the State Early Opt-in provision of 42 U.S.C. § 11111(c)(2), the protection from liability set forth in 42 U.S.C. § 11111(a) applies to professional review actions, as defined in 42 U.S.C. § 11151, commenced on or after Sept. 10, 1988.

(66 Del. Laws, c. 357, § 1; 75 Del. Laws, c. 141, § 1.)

§ 1740 Health care facilities reporting requirements.

(a) *Definitions.* — (1) "Direct access" means the opportunity to have personal contact with persons receiving care during the course of one's assigned or professional duties.

(2) "Health care facility" means any custodial or residential facility or other facility where health, nutritional or personal care is provided for persons including nursing homes, assisted living facilities, long-term care facilities, hospitals, health care agencies, birth centers, emergency centers, surgical centers, and adult and child day care facilities. This term also includes any business, professional association, or other business entity where 2 or more physicians practice together.

(3) "Person seeking certification" means any person seeking an initial certificate to practice medicine from the Board of Medical Licensure and Discipline.

(b) *Service letter.* — (1) The Board of Medical Licensure and Discipline shall not issue a certificate to practice medicine without first obtaining 1 or more service letters regarding the applicant, provided that person has previously practiced medicine. The service letter obtained must include a service letter from all health care facilities where that person currently has direct access to patients, and where that person has admitting or staff privileges. In addition, if a person seeking certification had direct access to patients or staff or admitting privileges at a health care facility within the past 3 years, the Board of Medical Licensure and Discipline shall also obtain a service letter from each such facility. If a person seeking certification has not previously had direct access to patients or was self-employed or 1 or more of the health-care facilities where that person had direct access to patients no longer exists, then the Board must require the person to provide letters of reference from 2 physicians who are familiar with the person, but who are not relatives of the person.

(2) For purposes of this subsection, the required service letter shall be in a form provided by the Board of Medical Licensure and Discipline. Notwithstanding any law or provision to the contrary, the form shall be signed by a responsible physician at the current or previous health care facility and shall contain information about the scope of that persons practice and relationship to the facility, the duration of that relationship, and any reasonably substantiated incidents involving violence, threat of violence, abuse, or neglect by the person seeking certification toward any other person, including any disciplinary action taken as a result of such conduct.

(3) Any health care facility that is required to provide a service letter for the purpose stated above shall obtain a statement signed by the person seeking certification wherein the person authorizes a full release permitting the Board of Medical Licensure and Discipline to obtain any and all information pertaining to the facts of the person's current or previous relationship with the facility.

(4) In addition to the requirements of § 1720 of this title, the Board shall obtain a statement signed by the person seeking certification wherein the person attests that the information provided regarding current and past relationships to health care facilities represent a full and complete disclosure of the person's current and previous contacts with health care facilities. Any person seeking certification who fails to make a full and complete disclosure of such information shall be subject to a civil penalty of $5,000. Any person who wilfully fails to make a full and complete disclosure shall not be issued a certificate to practice medicine.

(5) Any health care facility receiving the Board's written request for a service letter shall provide the service letter within 10 business days from the day the request is received. Any health care facility that fails to make a full and complete disclosure of information, pursuant to this section and § 1730(b)(1)c. of this title, as required, shall be subject to a civil penalty of $10,000 for each such violation.

(6) Any health care facility providing information about a person seeking licensure as required by this section shall be immune from claims, suits, liability, damages, or any other recourse, civil or criminal, so long as the person acted in good faith and without gross or wanton negligence; good faith being presumed until proven otherwise, and gross or wanton negligence required to be shown by the complainant.

(7) The requirements of this section shall be in addition to any requirements of § 708 of Title 19.

(8) The Division of Professional Regulation shall investigate and seek civil penalties against persons seeking certification and health care facilities that violate the provision of this section.

(9) Notwithstanding the foregoing, the Board may issue a certificate to practice medicine to an applicant who was employed out of State or country within the past 5 years, where completed service letters have not been received from all health care facilities, provided all other requirements of this chapter are met and provided requests were made to such facilities and the Board determines that all possible efforts were made to obtain the required service letter. Applicants to which this paragraph applies shall obtain letters of reference from 2 qualified physicians who are familiar with the person, who are not relatives of the person.

(77 Del. Laws, c. 460, § 1; 70 Del. Laws, c. 186, § 1; 79 Del. Laws, c. 194, § 2.)

§ 1741 Complaints of unsanitary or unsafe conditions.

(a) A person certified or licensed under this chapter may be disciplined by the Board for maintaining a facility in an unsanitary or unsafe condition, by means of levying a fine, or by the restriction, suspension, or revocation, either permanent or temporary, of that person's certificate or license, or by other appropriate action, which may include a requirement that a person who is disciplined must complete specified continuing education courses. For purposes of this section, "facility" shall have the same meaning as defined in § 122(3)y.3.C. of Title 16.

(b) The Division shall have the authority to conduct inspections upon receipt of any complaint in connection with subsection (a) of this section or upon the occurrence of an adverse event as defined in § 122(3)y.3.A. of Title 16 and, as applicable, to refer such information to the Department of Health and Social Services pursuant to § 122(3)y. of Title 16. In connection herewith, the Division may share information with the Department of Health and Social Services in accordance with applicable law.

(78 Del. Laws, c. 15, § 2.)

Subchapter V

Miscellaneous Provisions

§ 1760 Determination of death.

(a) An individual who has sustained either:

(1) Irreversible cessation of circulatory and respiratory functions or

(2) Irreversible cessation of all functions of the entire brain, including the brain stem,

is dead. A determination of death pursuant to this section must be made in accordance with accepted medical standards.

(b) A determination of death pursuant to this section may be made by a person certified to practice medicine under this chapter by either:

(1) Personal examination of the individual believed to be dead, or

(2) The use of information provided by an EMT-P (paramedic) using telemetric or transtelephonic means in accordance with protocols approved by the Board of Medical Licensure and Discipline, following recommendations of the Board's Advanced Life Support Committee.

(c) This section must be applied and construed to effectuate its general purpose to make uniform the law with respect to the determination of death among states enacting it.

(d) This section may be cited as the "Uniform Determination of Death Act".

(65 Del. Laws, c. 237, § 1; 67 Del. Laws, c. 156, § 1; 75 Del. Laws, c. 141, § 1; 77 Del. Laws, c. 319, § 1.)

§ 1761 Physician discontinuing business, leaving the State, or terminating a patient-physician relationship;

death of a physician; change of physician and transfer of patient records; patient access to records.

(a) (1) A person certified to practice medicine under this chapter who is discontinuing a medical-practice business in this State, who is leaving this State, or terminating a patient-physician relationship for any reason and who is not transferring patient records to another person certified to practice medicine shall notify that person's affected patients of record no less than 30 days prior to the discontinuation of physician services.

(2) The notice required under paragraph (a)(1) of this section must include all of the following:

a. How the patient can obtain the patient's records.

b. The name, phone number, and address of other health-care providers in the area who may be available to accept new patients who require that medical care.

c. The date the physician will discontinue services.

(3) The notice required under paragraph (a)(1) of this section must be provided by all of the following:

a. If the patient is enrolled to receive messages through an electronic medical record system, an electronic message through that system.

b. A letter sent by first-class mail.

(4) Any patient records that have not been procured within 7 years after the person discontinues business, leaves the State, or terminates a patient-physician relationship for any other reason may be permanently disposed of in a manner that ensures confidentiality of the records.

(5) When a physician is closing a medical practice and patient medical records will no longer be available at the physician's place of business, the physician shall provide to the Board of Medical Licensure and Discipline notice of how former patients may obtain medical records.

(b) (1) If a person certified to practice medicine under this chapter dies and has not transferred patient records to another person certified to practice medicine and has not made provisions for a transfer of patient records to occur upon the person's death, a personal representative of the person's estate shall notify the person's patients of record by publishing a notice to that effect in a newspaper of general circulation in the area where the person practiced. The notice must be published at least 1 time per month over a 3-month period after the person's death and must explain how a former patient can procure the patient's patient records.

(2) A personal representative of the person's estate shall notify all former patients who have not requested their records 30 days after publication in a newspaper by all of the following:

a. If the patient is enrolled to receive messages through an electronic medical record system, an electronic message through that system.

b. A letter sent by first-class mail.

(3) Any patient records that have not been procured within 7 years after the death of the person may be permanently disposed of in a manner that ensures confidentiality of the records.

(4) The personal representative of the person's estate shall provide the Board of Medical Licensure and Discipline notice of how former patients may obtain medical records.

(c) If a patient changes from the care of 1 person certified to practice medicine to another person certified to practice medicine, the former person shall transfer a copy of the records of the patient to the current person upon the request of either the current person or the patient. The former person may charge for the reasonable expenses of copying the patient's records, according to a payment schedule established by the Board of Medical Licensure and Discipline. The actual cost of postage or shipping may also be charged if the records are mailed. Alternatively, if the patient and current person agree, the former person may forward to the current person a summary of the patient's record, in lieu of transferring the entire record, at no charge to the patient. If a patient changes care from 1 person certified to practice medicine to another and fails to notify the former person, or leaves the care of the former person for a period of 7 years from the last entry date on the patient's record and fails to notify the former person, or fails to request the transfer of records to the current person, then the former person shall maintain the patient's records for a period of 7 years from the last entry date in the patient's medical record, after which time the records may be permanently disposed of in a manner that insures confidentiality of the records.

(d) Patients, on their own behalf, shall have the right to obtain a copy of their medical records from any person certified to practice medicine according to a payment schedule established by the Board of Medical Licensure and Discipline. The actual cost of postage or shipping may also be charged if the records are mailed.

(e) This section does not apply to a person certified to practice medicine who has seen or treated a patient on referral from another person certified to practice medicine and who has provided a copy of the record of the diagnosis and/or treatment to the other person, or to a hospital or an agency which has provided treatment for the patient.

(f) A person certified to practice medicine or the personal representative of the estate of such person who disposes of patient records in accordance with the provisions of this section is not liable for any direct or indirect loss suffered as a result of the disposal of a patient's records.

(g) Any person certified to practice medicine in this State who violates this section may be found by the Board to have committed unprofessional conduct, and any aggrieved patient or the patient's personal representative may bring a civil action for damages or injunctive relief, or both, against the violator.

(h) Charges for copies of such records not susceptible to photostatic reproduction, such as radiology films, models, photographs or fetal monitoring strips shall be the full cost of such reproduction.

(i) Payment of all costs may be required by the provider or its third-party release-of-information service prior to the copies of the records being furnished. This subsection shall not apply to copies of the records requested in order to make or complete an application for a disability benefits program.

(j) The Board of Medical Licensure and Discipline shall review fees yearly.

(k) The actual cost of shipping may also be charged if the copies of the records are mailed or shipped to the requester.

(*l*) A person certified to practice medicine shall have 45 days from the closure of the record or the assembly of a complete record to fulfill a request for medical records unless a faster response is medically necessary.

(64 Del. Laws, c. 372, § 1; 68 Del. Laws, c. 197, §§ 1, 2; 68 Del. Laws, c. 392, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 308, §§ 1, 2, 3; 73 Del. Laws, c. 59, §§ 1, 2; 75 Del. Laws, c. 141, § 1; 76 Del. Laws, c. 353, § 1; 77 Del. Laws, c. 319, § 1; 84 Del. Laws, c. 161, § 1.)

§ 1761A Appointment of a custodian of patient records.

(a) If the Board receives a formal or informal complaint concerning access to patient records as a result of a physician's physical or mental incapacity, or abandonment or involuntary discontinuation of a medical-practice business in this State, the Board may temporarily or permanently appoint a person or entity as custodian of the physician's patient records, in accordance with the procedures set forth in § 1732 of this title.

(b) The custodian of patient records appointed under this section shall notify the physician's patients of record to that effect by publishing notice in a newspaper of daily circulation in the area where the physician practiced. The notice must be published at least 1 time per month over a 3-month period after the appointment of the custodian and must explain how a patient can procure that patient's records. All patients who have not requested their records 30 days after such publication must be notified by first-class mail by the custodian to permit the patients to procure their records. Any patient records that have not been procured within 7 years after the appointment of the custodian may be permanently disposed of in a manner that ensures confidentiality of the records.

(c) A custodian of patient records appointed under this section who disposes of patient records in accordance with the provisions of this section is not liable for any direct or indirect loss suffered as a result of the disposal of a patient's records.

(d) The Board shall establish a registry of physicians and healthcare entities who are willing to serve as records custodians.

(77 Del. Laws, c. 325, § 22; 81 Del. Laws, c. 97, § 6.)

§ 1762 Reports of treatment of certain wounds, injuries, poisonings, or other conditions; failure to report; penalty.

(a) Every person certified to practice medicine who attends to or treats a stab wound; poisoning by other than accidental means; or a bullet wound, gunshot wound, powder burn, or other injury or condition arising from or caused by the discharge of a gun, pistol, or other firearm, or when such injury or condition is treated in a hospital, sanitarium, or other institution, the person, manager, superintendent, or other individual in charge shall report the injury or condition as soon as possible to the appropriate police authority where the attending or treating person was located at the time of treatment or where the hospital, sanitarium, or institution is located. This section does not apply to wounds, burns, poisonings, or injuries or conditions received by a member of the armed forces of the United States or the State while engaged in the actual performance of duty. A person who fails to make a report required by this section shall be fined not less than $100 nor more than $2,500.

(b) A person certified to practice medicine or other individual who makes a report pursuant to this section is immune from liability for the report, provided that the person or other individual acted in good faith and without gross or wanton negligence.

(24 Del. C. 1953, § 1762; 50 Del. Laws, c. 369, § 1; 65 Del. Laws, c. 123, § 1; 75 Del. Laws, c. 141, § 1.)

§ 1763 Reports of persons who are subject to losses of consciousness; limitation on use; failure; penalty [Repealed].

24 Del. C. 1953, § 1763; 50 Del. Laws, c. 369, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 451, §§ 1, 2; 75 Del. Laws, c. 141, § 1; repealed by 84 Del. Laws, c. 332, § 5, effective Aug. 2, 2024.

§ 1764 State revenue.

The provisions of this chapter may not be construed to interfere with the operation of the provisions of Title 29 relating to state licenses and taxes.

(20 Del. Laws, c. 40, § 19; Code 1915, § 853; Code 1935, § 934; 24 Del. C. 1953, § 1765; 49 Del. Laws, c. 235; 50 Del. Laws, c. 369, § 1; 75 Del. Laws, c. 141, § 1.)

§ 1764A Prescription requirements.

(a) No written prescription shall be prescribed if it does not contain the following information clearly written, clearly hand printed, electronically printed, or typed:

(1) The name, address and phone number of the prescriber;

(2) The name and strength of the drug prescribed;

(3) The quantity of the drug prescribed;

(4) The directions for use of the drug;

(5) Date of issue.

(b) Notwithstanding any other provision of this section or any other law to the contrary, no person licensed under this chapter shall issue any prescription unless such prescription is made by electronic prescription from the person issuing the prescription to a pharmacy in accordance with regulations established by the Board, except for prescriptions issued:

(1) By a veterinarian.

(2) In circumstances where electronic prescribing is not available due to temporary technological or electrical failure, as set forth in regulation established by the Board.

(3) By a practitioner to be dispensed by a pharmacy located outside the State, as set forth in regulations established by the Board.

(4) When the prescriber and dispenser are the same entity.

(5) That include elements that are not supported by the most recently implemented version of the National Council for Prescription Drug Programs Prescriber/Pharmacist Interface SCRIPT Standard.

(6) By a practitioner for a drug that the Federal Food and Drug Administration requires the prescription to contain certain elements that are not able to be prescribed with electronic prescribing.

(7) By a practitioner allowing for the dispensing of a nonpatient specific prescription pursuant to a standing order, approved protocol for drug therapy, collaborative drug management or comprehensive medication management, in response to a public health emergency, or other circumstances where the practitioner may issue a nonpatient specific prescription.

(8) By a practitioner prescribing a drug under a research protocol.

(9) By practitioners who have received a waiver or a renewal thereof for a specified period determined by the Board, not to exceed 1 year, from the requirement to use electronic prescribing, pursuant to regulations established by the Board, due to economic hardship, technological limitations that are not reasonably within the control of the practitioner, or other exceptional circumstance demonstrated by the practitioner.

(10) By a practitioner under circumstances where, notwithstanding the practitioner's present ability to make an electronic prescription as required by this subsection, such practitioner reasonably determines that it would be impractical for the patient to obtain substances prescribed by electronic prescription in a timely manner, and such delay would adversely impact the patient's medical condition.

(c) A pharmacist who receives a written, oral or faxed prescription is not required to verify that the prescription properly falls under 1 of the exceptions under subsection (b) of this section, from the requirement to electronically prescribe. Pharmacists may continue to dispense medications from otherwise valid written, oral or fax prescriptions that are otherwise legal.

(75 Del. Laws, c. 161, § 4; 82 Del. Laws, c. 75, § 3.)

§ 1765 Construction of chapter relating to the ADA.

This chapter may not be construed to conflict with, replace, restrict, or supersede applicable provisions of the Americans with Disabilities Act (ADA) [42 U.S.C. § 12101 et seq. If a provision of this chapter is in conflict with an applicable provision of the ADA, the applicable provision of the ADA controls the interpretation of the chapter.

(75 Del. Laws, c. 141, § 1.)

§ 1766 Penalties [See conflicting amendment, unable to be implemented, in 75 Del. Laws, c. 161, § 5.]

(a) A person who practices or attempts to practice medicine contrary to the provisions of this chapter is guilty of a class F felony and shall be fined not less than $1000 nor more than $5000 or imprisoned not more than 3 years, or both.

(b) A person who terminates or attempts to terminate or assists in the termination of a human pregnancy otherwise than by birth, except in accordance with subchapter IX of this chapter, is guilty of a class C felony and shall be fined not more than $5,000 and imprisoned not less than 2 nor more than 10 years.

(c) A person who violates a provision of this chapter for which a penalty is not specified is guilty of a class B misdemeanor.

(d) The Attorney General of this State or a deputy attorney general shall enforce the provisions of this chapter.

(e) The Superior Court has exclusive original jurisdiction over violations of the criminal provisions of this chapter.

(20 Del. Laws, c. 40, § 17; Code 1915, § 854; Code 1935, § 935; 24 Del. C. 1953, § 1766; 49 Del. Laws, c. 234; 50 Del. Laws, c. 369, § 1; 57 Del. Laws, c. 145, § 3; 57 Del. Laws, c. 344; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 141, § 1; 75 Del. Laws, c. 161, § 5.)

§ 1767 Emergency care at the scene of an emergency.

A person certified to practice medicine under this chapter who, in good faith and without gross or wanton negligence, renders emergency care at the scene of an emergency is not liable for civil damages as a result of any acts or omissions in rendering the emergency care.

(24 Del. C. 1953, § 1767; 54 Del. Laws, c. 225; 75 Del. Laws, c. 141, § 1.)

§ 1768 Immunity of boards of review; confidentiality of review board record.

(a) The Board of Medical Licensure and Discipline and the Medical Society of Delaware, their members, and the members of any committees appointed by the Board or Society; the members of any committee appointed by a certified health maintenance organization; members of hospital and osteopathic medical society committees; members of a professional standards review organization established under federal law; and members of other peer review committees or organizations whose function is the review of medical records, medical care, and physicians' work, with a view to the quality of care and utilization of hospital or nursing home facilities, home visits, and office

visits, are immune from claim, suit, liability, damages, or any other recourse, civil or criminal, arising from any act, omission, proceeding, decision, or determination undertaken or performed, or from any recommendation made, so long as the person acted in good faith and without gross or wanton negligence in carrying out the responsibilities, authority, duties, powers, and privileges of the offices conferred by law upon them, with good faith being presumed until proven otherwise, and gross or wanton negligence required to be shown by the complainant.

(b) Unless otherwise provided by this chapter, the records and proceedings of committees and organizations described in subsection (a) of this section are confidential and may be used by those committees or organizations and the members thereof only in the exercise of the proper functions of the committee or organization. The records and proceedings are not public records and are not available for court subpoena, nor are they subject to discovery. A person in attendance at a meeting of any such committee or organization is not required to testify as to what transpired at the meeting. A person certified to practice medicine, or a hospital, organization, or institution furnishing, in good faith and without gross or wanton negligence, information, data, reports, or records to such a committee or organization or a member thereof with respect to any patient examined or treated by a person certified to practice medicine or examined, treated, or confined in the hospital or institution is not, by reason of furnishing such information, data, reports, or records, liable in damages to any person or subject to any other recourse, civil or criminal. Nothing in this subsection prevents the Board from providing information, data, reports, or records in its possession to a medical, osteopathic, or other licensing board of any other state or territory of the United States regarding a person who is certified to practice medicine under this chapter, or otherwise regulated by this chapter, or who has been certified under this chapter or who has attempted to be certified under this chapter. The Board shall take reasonable steps to protect the identity of the patient in so far as such protection does not, in the opinion of the Board, adversely affect the Board's ability to protect the public interest. The Board and its members and employees are not liable in any cause of action arising out of the providing of information, data, reports, or records provided that the person has acted in good faith and without gross or wanton negligence. This section may not be construed to create a privilege or right to refuse to honor a subpoena issued by or on behalf of the Board of Medical Licensure and Discipline pursuant to § 1731A(d) of this title, or issued by the Attorney General pursuant to § 2504(4) of Title 29, nor may it be construed to limit access to records by rights-protection agencies whose access is authorized by federal law. Notwithstanding the foregoing, in cases in which any disciplinary action by the Board was issued, the formal complaints prepared by the Delaware Department of Justice and the results of the hearings are not confidential and are public records except insofar as they contain confidential patient information or are otherwise subject to an exception under Chapter 100 of Title 29.

(24 Del. C. 1953, § 1768; 57 Del. Laws, c. 492; 58 Del. Laws, c. 50; 58 Del. Laws, c. 226; 60 Del. Laws, c. 462, § 3; 62 Del. Laws, c. 90, § 2; 66 Del. Laws, c. 358, § 1; 67 Del. Laws, c. 226, § 18; 69 Del. Laws, c. 129, § 1; 71 Del. Laws, c. 102, § 25; 75 Del. Laws, c. 141, § 1; 77 Del. Laws, c. 319, § 1; 77 Del. Laws, c. 325, §§ 20, 21.)

§ 1769 Disclosure of laboratory costs.

A person certified to practice medicine who bills patients or third-party payors for individual tests or test series administered by any private or hospital clinical laboratory shall disclose on the bill the name of the laboratory, the amount or amounts charged by the laboratory for individual tests or test series and the amount of any procurement or processing charge made by the person certified to practice medicine for each test or test series. A test or test series performed at a state laboratory or at another laboratory at which no charge is made must be noted on the bill.

(59 Del. Laws, c. 326, § 1; 75 Del. Laws, c. 141, § 1.)

§ 1769A Required warning to pregnant women of possible effects of using alcohol, cocaine, or other narcotics.

(a) A person certified to practice medicine who treats, advises, or counsels pregnant women for matters relating to the pregnancy shall post warnings and give written and verbal warnings to all pregnant women regarding possible problems, complications, and injuries to themselves and/or to the fetus from the consumption or use of alcohol or cocaine, marijuana, heroin, and other narcotics during pregnancy.

(b) A person who treats, advises, or counsels pregnant women pursuant to subsection (a) of this section and who is certified to practice medicine may designate a licensed nurse to give the warnings required by this section.

(c) The Director of the Division of Public Health shall prescribe the form and content of the warnings required pursuant to this section.

(68 Del. Laws, c. 78, § 2; 70 Del. Laws, c. 147, § 28; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 141, § 1.)

§ 1769B Treatment or examination of minors.

(a) A parent, guardian or other caretaker, or an adult staff member, shall be present when a person licensed to practice medicine under this chapter provides outpatient treatment to a minor patient who is disrobed or partially disrobed or during an outpatient physical examination involving the breasts, genitalia or rectum, regardless of sex of the licensed person and patient, except when rendering care during an emergency. When using an adult staff member to observe the treatment or examination, the adult staff member shall be of the same gender as the patient when practicable. The minor patient may decline the presence of a third person only with consent of a parent, guardian or other caretaker. The minor patient may request private consultation with the person licensed to practice medicine without the presence of a third person after the physical examination. Every hospital and long-term care facility that provides treatment to minors shall develop and implement policies regarding the treatment of minor patients that are consistent with the purposes of this section and will submit those policies for approval by the Department of Health and Social Services. Violations of approved policies will be treated as a

violation of this section.

(b) When a minor patient is to be disrobed, partially disrobed or will undergo a physical examination involving the breasts, genitalia or rectum, a person licensed to practice medicine under this chapter shall provide notice to the person providing consent to treatment of the rights under this section. The notice shall be provided in written form or be conspicuously posted in a manner in which minor patients and their parent, guardian or other caretaker are made aware of the notice. In circumstances in which the posting or the provision to the patient of the written notice would not convey the right to have a chaperone present, the person licensed to practice medicine shall use another means to ensure that the patient or person understands the right under this section.

(c) For the purposes of this section, "minor" is defined as a person 15 years of age or younger, "adult staff member" is defined as a person 18 years of age or older who acting under the direction of the licensed person or the employer of the licensed person or who is otherwise licensed under this chapter, "hospital" has the meaning prescribed by Chapter 10 of Title 16, and "long-term care facility" has the meaning prescribed by Chapter 11 of Title 16.

(d) The person licensed under this chapter that provides outpatient treatment to a minor pursuant to this section shall, contemporaneously with such treatment, note in the child's medical record the name of each person present when such treatment is being provided.

(77 Del. Laws, c. 322, § 2; 81 Del. Laws, c. 207, § 7.)

§ 1769C Physician practices with multiple offices.

If a physician practice has multiple offices, a physician member of that practice shall visit each office periodically, as frequently as needed but at least once per month, for purposes of ensuring that the office is managed properly and patient care is appropriate.

(78 Del. Laws, c. 387, § 1.)

§ 1769D Telemedicine and telehealth [Repealed].

80 Del. Laws, c. 80, § 3; 81 Del. Laws, c. 65, § 1; 82 Del. Laws, c. 261, §§ ?4, 16; repealed by 83 Del. Laws, c. 52, § 6, effective July 1, 2021.

Subchapter VII

Respiratory Care Practitioners

§ 1775 Respiratory Care Advisory Council.

(a) The Respiratory Care Advisory Council (Council) consists of 7 members, 1 of whom is a physician member of the Board of Medical Licensure and Discipline. The remaining 6 council members are individuals trained in respiratory care who have been licensed and primarily employed in the practice of respiratory care in this State for at least 2 of the 3 years immediately prior to appointment. The Council may elect officers as necessary.

(b) Each Council member is appointed by the Board for a term of 3 years, and may succeed himself or herself for 1 additional 3-year term. A person appointed to fill a vacancy on the Council is entitled to hold office for the remainder of the unexpired term of the former member. Each term of office expires on the date specified in the appointment; however, a Council member whose term of office has expired remains eligible to participate in Council proceedings until replaced by the Board. A person who has never served on the Council may be appointed to the Council for 2 consecutive terms, but the person is thereafter ineligible for appointment to the Council except as hereinafter provided. A person who has been twice appointed to the Council or who has served on the Board for 6 years within any 9-year period may not again be appointed to the Council until an interim period of at least 1 year has expired since the person last served. A member serving on the Council may not be an elected officer or a member of the board of directors of any professional association of respiratory care practitioners.

(c) The Council shall promulgate rules and regulations governing the practice of respiratory care, after public hearing and subject to the approval of the Board of Medical Licensure and Discipline. The Board must approve or reject within 60 days proposed rules or regulations submitted to it by the Council. If the Board fails to approve or reject the proposed rules or regulations within 60 days, the proposed rules or regulations are deemed to be approved by the Board.

(d) The Council shall meet quarterly, and at such other times as license applications are pending. The Council shall, from time to time, present to the Board the names of individuals qualified to be licensed or qualified to receive temporary licenses, and shall recommend disciplinary action against licensees as necessary, and shall suggest changes in operations or regulations.

(75 Del. Laws, c. 141, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 319, § 1; 81 Del. Laws, c. 97, § 10.)

§ 1776 Respiratory care practitioners.

(a) As used in this subchapter:

(1) "Respiratory care" means the allied health profession, under the direction of a person certified to practice medicine, which is responsible for direct and indirect services in the treatment, management, diagnostic testing, control, and care of patients with deficiencies and abnormalities associated with the cardiopulmonary system. Respiratory care includes inhalation therapy and respiratory therapy.

(2) "Respiratory care practitioner" or "RCP" means an individual who practices respiratory care in accord with the requirements of

this subchapter.

(b) A respiratory care practitioner works under the general supervision of a person certified to practice medicine, whether by direct observation and monitoring, by protocols approved by a person certified to practice medicine, or by orders written or verbally given by a person certified to practice medicine. A respiratory care practitioner may evaluate patients and make decisions within parameters defined by a person certified to practice medicine and by the Board of Medical Licensure and Discipline. The work performed by a respiratory care practitioner includes:

(1) Collecting samples of blood, secretions, gases, and body fluids for respiratory evaluations.

(2) Measuring cardiorespiratory volumes, flows, and pressures.

(3) Administering pharmacological agents, aerosols, and medical gases via the respiratory route.

(4) Inserting and maintaining airways, natural or artificial, for the flow of respiratory gases.

(5) Controlling the environment and ventilatory support systems such as hyperbaric chambers and ventilators.

(6) Resuscitating individuals with cardiorespiratory failure.

(7) Maintaining bronchopulmonary hygiene.

(8) Researching and developing protocols in respiratory disorders.

(9) Performing pulmonary function studies.

(10) The use of telemedicine as defined in this chapter and, as further described in regulation, the use of and participation in telehealth.

(11) Management of extracorporeal membrane oxygenation (ECMO), extracorporeal carbon dioxide removal (ECCO2R) and associated integrated therapies. "Associated integrated therapies" means any clinical interventions, equipment, or procedures that are functionally or technically integrated with ECMO or ECCO2R systems, including renal replacement therapy, mechanical ventilation, and anticoagulation management.

(c) Nothing in this subchapter is intended to limit, preclude, or otherwise interfere with the professional activities of other individuals and healthcare providers formally trained and licensed by the State.

(d) An individual who is licensed pursuant to this subchapter, who is not being investigated or sanctioned in relation to unprofessional conduct or physical, mental, emotional, or other impairment, and who has passed an examination that includes the subject matter of 1 or more of the professional activities included in subsection (b) of this section may not be prohibited from performing those professional activities passed in the examination, provided that the testing body that administered the examination is approved by the Board.

(70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 203, § 1; 72 Del. Laws, c. 171, § 1; 74 Del. Laws, c. 262, § 30B; 75 Del. Laws, c. 141, § 1; 77 Del. Laws, c. 319, § 1; 80 Del. Laws, c. 80, § 5; 85 Del. Laws, c. 475, § 1.)

§ 1777 Licensure.

(a) The requirements for licensure by the Board as a respiratory care practitioner are:

(1) The applicant must successfully complete a national qualifying examination with a passing grade that leads to a credential conferred by the National Board for Respiratory Care, Inc. (NBRC), or its successor organization, as a certified respiratory therapist (CRT) and/or as a registered respiratory therapist (RRT); or

(2) The applicant must possess a current license in a state which has licensing requirements equal to or exceeding the requirements of this subchapter, and there may not be any outstanding or unresolved complaints pending against the applicant;

(3) The applicant:

a. May not have been assessed any administrative penalties regarding the applicant's practice of respiratory care, including but not limited to fines, formal reprimands, license suspension or revocation (except for license suspension or revocation for nonpayment of license renewal fees), and probationary limitations; and

b. May not have entered into a consent agreement which contains conditions placed by a Board or other authority on the applicant's professional conduct or practice, including the voluntary surrender of the applicant's license while under investigation for misconduct.

However, the Board may, after a hearing, waive the requirement of paragraph (a)(3)a. of this section if the administrative penalty prevents the issuance of a license;

(4) The applicant may not have an impairment related to the current use of drugs or alcohol which substantially impairs the practice of respiratory care with reasonable skill and safety;

(5) The applicant may not have been convicted of or may not have admitted under oath to having committed a crime substantially related to the practice of respiratory care. "Substantially related" means that the nature of the criminal conduct for which the person was convicted or to which the person admitted under oath has a direct bearing on the person's fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of respiratory care. The Board shall promulgate regulations specifically identifying the crimes which are substantially related to the practice of respiratory care;

(6) The applicant may not have a criminal conviction record or a pending criminal charge for a crime that is substantially related to the practice of respiratory care. An applicant who has a criminal conviction record or a pending criminal charge must arrange for information about the record or charge to be provided directly to the Board by the appropriate authorities.

(b) *Waiver of requirements.* — The Respiratory Care Advisory Council, by the affirmative vote of 5 of its members and with the

approval of the Board within 30 days of the vote, may waive any of the requirements of subsection (a) of this section if its finds all of the following by clear and convincing evidence:

(1) The applicant's education, training, qualifications, and conduct have been sufficient to overcome the deficiency or deficiencies in meeting the requirements of this section;

(2) The applicant is capable of practicing respiratory care in a competent and professional manner;

(3) The granting of the waiver will not endanger the public health, safety, or welfare; and

(4) For waiver of a conviction, if, after consideration of the factors set forth in § 8735(x)(3) of Title 29, the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board shall grant a waiver of paragraphs (a)(5) and (a)(6) of this section.

(5) [Repealed.]

(c) *License denial.* — If it appears to the Board that an applicant has been intentionally fraudulent or that an applicant has intentionally submitted, or intentionally caused to be submitted, false information as part of the application process, the Board may not issue a license to the applicant and must report the incident of fraud or submitting false information to the Office of the Attorney General for further action.

(d) *Temporary license.* — The Executive Director of the Board, with the approval of a member of the Council, may issue a temporary permit to an applicant for licensure who has presented a completed application to the Board. A temporary permit issued under this paragraph is valid for a period of not more than 90 days and may not be renewed. Only 1 temporary permit may be issued under this paragraph.

(e) *License suspension, revocation, or nonrenewal.* — (1) The Council, after appropriate notice and hearing, may recommend to the Board of Medical Licensure and Discipline that the Board revoke, suspend, or refuse to issue a license, or place the licensee on probation, or otherwise discipline a licensee found guilty of unprofessional conduct. Unprofessional conduct includes, but is not limited to, fraud, deceit, incompetence, gross negligence, dishonesty, or other behavior in the licensee's professional activity which is likely to endanger the public health, safety, or welfare. The Council and Board may take necessary action against a respiratory care practitioner who is unable to render respiratory care services with reasonable skill or safety to patients because of mental illness or mental incompetence, physical illness, or the excessive use of drugs, including alcohol. Disciplinary action or other action taken against a respiratory care practitioner must be in accordance with the procedures for disciplinary and other actions against physicians, including appeals as set forth in subchapter IV of this chapter, except that a hearing panel for a complaint against a respiratory care practitioner consists of 3 unbiased members of the Regulatory Council, the 3 members being the chair of Council and 2 other members, if practicable.

(2) a. If the Board or the Respiratory Care Advisory Council receives a formal or informal complaint concerning the activity of a respiratory care practitioner and the Council members reasonably believe that the activity presents a clear and immediate danger to the public health, the Council may issue an order temporarily suspending the respiratory care practitioner's license to practice pending a hearing upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of this Council Chair or the Chair's designee. An order temporarily suspending a license to practice may not be issued by the Council unless the respiratory care practitioner or the respiratory care practitioner's attorney received at least 24 hours' written or oral notice prior to the temporary suspension so that the respiratory care practitioner or the respiratory care practitioner's attorney can be heard in opposition to the proposed suspension. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended respiratory care practitioner requests a continuance of the hearing date. If the respiratory care practitioner requests a continuance, the order of temporary suspension remains in effect until the hearing panel convenes and a decision is rendered.

b. A respiratory care practitioner whose license to practice has been temporarily suspended pursuant to this section must be notified of the temporary suspension immediately and in writing. Notification consists of a copy of the complaint and the order of temporary suspension pending a hearing personally served upon the respiratory care practitioner or sent by certified mail, return receipt requested, to the respiratory care practitioner's last known address.

c. A respiratory care practitioner whose license to practice has been temporarily suspended pursuant to this section may request an expedited hearing. The Council shall schedule the hearing on an expedited basis, provided that the Council receives the request within 5 calendar days from the date on which the respiratory care practitioner received notification of the decision of the Council, with the approval of the Board, to temporarily suspend the respiratory care practitioner's license to practice.

d. As soon as possible after the issuance of an order temporarily suspending a respiratory care practitioner's license to practice pending a hearing, the Council President shall appoint a 3-member hearing panel. After notice to the respiratory care practitioner pursuant to paragraph (e)(2)b. of this section, the hearing panel shall convene within 60 days of the date of the issuance of the order of temporary suspension to consider the evidence regarding the matters alleged in the complaint. If the respiratory care practitioner requests in a timely manner an expedited hearing, the hearing panel shall convene within 15 days of the receipt of the request by the Council. The 3-member panel shall proceed to a hearing and shall render a decision within 30 days of the hearing.

e. In addition to making findings of fact, the hearing panel shall also determine whether the facts found by it constitute a clear and immediate danger to public health. If the hearing panel determines that the facts found constitute a clear and immediate danger to public health, the order of temporary suspension must remain in effect until the Board deliberates and reaches conclusions of law based upon the findings of fact made by the hearing panel. An order of temporary suspension may not remain in effect for longer than

60 days from the date of the decision rendered by the hearing panel unless the suspended respiratory care practitioner requests an extension of the order pending a final decision of the Board. Upon the final decision of the Board, an order of temporary suspension is vacated as a matter of law and is replaced by the disciplinary action, if any, ordered by the Board.

(75 Del. Laws, c. 141, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 436, § 14; 77 Del. Laws, c. 319, § 1; 77 Del. Laws, c. 325, § 19; 78 Del. Laws, c. 44, §§ 18, 19; 81 Del. Laws, c. 97, § 11; 83 Del. Laws, c. 433, § 11.)

§ 1777A Procedure or action not prescribed.

This subchapter governs the practice of respiratory care practitioners. If a procedure or action is not specifically prescribed in this subchapter, but is prescribed in the subchapters relating to the practice of medicine, and the procedure or action would be useful or necessary for the regulation of respiratory care practitioners, the Board may, in its discretion, proceed in a manner prescribed for physicians in the practice of medicine.

(75 Del. Laws, c. 141, § 1.)

§ 1778 Fees; license renewal.

The Division of Professional Regulation shall establish reasonable fees for licensing respiratory care practitioners and for biennial license renewal. A licensee, when renewing a license, shall provide documentation of continuing education related to respiratory care pursuant to the continuing education requirements for respiratory care practitioners established by the Advisory Council.

(75 Del. Laws, c. 141, § 1.)

§ 1779 Prohibited acts; penalties; enforcement.

(a) A person may not practice respiratory care in this State or represent that the person is a respiratory care practitioner or knowingly allow himself or herself to be represented as a respiratory care practitioner unless the person is licensed under this subchapter, except as otherwise provided in this chapter.

(b) A person who, contrary to the provisions of this subchapter, practices or attempts to practice respiratory care within the State or represents that the person is a respiratory care practitioner or knowingly allows himself or herself to be represented as a respiratory care practitioner shall be fined not less than $500 nor more than $2,000 or imprisoned not more than 1 year, or both.

(c) The Office of the Attorney General is charged with the enforcement of this subchapter.

(d) Notwithstanding the provisions of subsection (a) of this section, a respiratory therapist having a current license issued in another state or the District of Columbia may provide respiratory care within their scope of practice in connection with the interstate transport of a patient without obtaining a license to practice respiratory care in this State. This exemption is limited to the immediate transport need.

(75 Del. Laws, c. 141, § 1; 70 Del. Laws, c. 186, § 1; 79 Del. Laws, c. 130, § 1.)

§ 1779A Duty to report conduct that constitutes grounds for discipline or inability to practice.

(a) Every person to whom a license to practice has been issued under this subchapter has a duty to report to the Division of Professional Regulation in writing information that the licensee reasonably believes indicates that any other practitioner licensed under this chapter or any other health-care provider has engaged in or is engaging in conduct that would constitute grounds for disciplinary action under this chapter or the other health-care provider's licensing statute.

(b) Every person to whom a license to practice has been issued under this subchapter has a duty to report to the Division of Professional Regulation in writing information that the licensee reasonably believes indicates that any other practitioner licensed under this chapter or any other health-care provider may be unable to practice with reasonable skill and safety to the public by reason of: mental illness or mental incompetence; physical illness, including deterioration through the aging process or loss of motor skill; or excessive abuse of drugs, including alcohol.

(c) Every person to whom a license to practice has been issued under this subchapter has a duty to report to the Division of Professional Regulation any information that the reporting person reasonably believes indicates that a person certified and registered to practice medicine in this State is or may be guilty of unprofessional conduct or may be unable to practice medicine with reasonable skill or safety to clients by reason of: mental illness or mental incompetence; physical illness, including deterioration through the aging process or loss of motor skill; or excessive use or abuse of drugs, including alcohol.

(d) All reports required under subsections (a), (b) and (c) of this section must be filed within 30 days of becoming aware of such information. A person reporting or testifying in any proceeding as a result of making a report pursuant to this section is immune from claim, suit, liability, damages, or any other recourse, civil or criminal, so long as the person acted in good faith and without gross or wanton negligence; good faith being presumed until proven otherwise, and gross or wanton negligence required to be shown by the complainant.

(81 Del. Laws, c. 97, § 12.)

Subchapter VIII

Parental Notice of Abortion Act

§ 1780 Short title.

This subchapter shall be known and may be cited as the “Parental Notice of Abortion Act.”
(70 Del. Laws, c. 238, § 1.)

§ 1781 Legislative purpose and findings.

(a) The General Assembly of the State finds as fact that:

(1) Immature minors often lack the ability to make fully informed choices that take into account both immediate and long-range consequences;

(2) The physical, emotional, and psychological consequences of teen pregnancy are serious and can be lasting, particularly when the patient is immature;

(3) The capacity to become pregnant and the capacity for mature judgment concerning how to choose among the alternatives for managing that pregnancy are not necessarily related;

(4) Parents ordinarily possess information essential to enable a physician to exercise the physician’s best medical judgment concerning the child;

(5) Parents who are aware that their minor daughter has had an abortion can ensure that she receives adequate medical attention after the abortion;

(6) Parental consultation is usually desirable and in the best interest of their minor children and parents ordinarily act in the best interest of their minor children; and

(7) Parental involvement legislation enacted in other states has been shown to have significant impact in reducing abortion, birth and pregnancy rates among minors.

(b) It is the intent of the General Assembly of the State in enacting this parental notice provision to further the important and compelling State interests of:

(1) Protecting minors against their own immaturity;

(2) Fostering the family structure and preserving it as a viable social unit;

(3) Protecting the rights of parents to rear children who are members of their household; and

(4) Protecting the health and safety of minor children.

(70 Del. Laws, c. 238, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1782 Definitions.

For purposes of this subchapter, the following definitions will apply.

(1) “Abortion” means the use of any instrument, medicine, drug or any other substance or device to terminate the pregnancy of a woman known to be pregnant, with an intention other than to increase the probability of a live birth, to preserve the life or health of the child after live birth, or to remove a dead fetus.

(2) “Coercion” means restraining or dominating the choice of a minor female by force, threat of force, or deprivation of food and shelter.

(3) “Emancipated minor” means any minor female who is or has been married or has, by court order or otherwise, been freed from the care, custody and control of her parents or any other legal guardian.

(4) “Licensed mental health professional” means a person licensed under the Division of Professional Regulation of the State as a:

(a) Psychiatrist;

(b) Psychologist; or

(c) Licensed professional counselor of mental health.

(5) “Medical emergency” means that condition which, on the basis of the physician or other medically authorized person’s good faith clinical judgment, so complicates the medical condition of the pregnant minor as to necessitate the immediate abortion of her pregnancy to avert her death or for which delay will create serious risk of substantial and irreversible impairment of a major bodily function.

(6) “Minor” means a female person under the age of 16.

(70 Del. Laws, c. 238, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1783 Notice required.

No physician or other medically authorized person shall perform an abortion upon an unemancipated minor until complying with the following notification provisions:

(1) No physician or other medically authorized person shall perform an abortion upon an unemancipated minor unless the physician, medically authorized person, or an agent of the physician or of the medically authorized person has given at least 24 hours actual notice to one or both parents (either custodial or noncustodial), a grandparent, a licensed mental health professional (who shall not be an employee or under contract to an abortion provider except employees or contractors of an acute care hospital) or to the legal guardian of the pregnant minor of the intention to perform the abortion, or unless the physician, medically authorized person, or an agent of the physician or of the medically authorized person has received a written statement or oral communication from another physician or medically authorized person, hereinafter called the “referring physician or medically authorized person,” certifying that the referring physician or medically authorized person has given such notice. If the person contacted pursuant to this subsection is not the parent or guardian, the person so contacted must explain to the minor the options available to her include adoption, abortion and full-term

pregnancy, and must agree that it is in the best interest of the minor that a waiver of the parental notice requirement be granted. Any licensed mental health professional so contacted shall certify that the professional has performed an assessment of the specific factors and circumstances of the minor subject to the evaluation including but not limited to the age and family circumstances of the minor and the long-term and short-term consequences to the minor of termination or continuation of the pregnancy.

a. No physician or other abortion provider shall charge a referral fee to a person authorized under this section to receive notice; nor shall a person authorized under this section to receive notice charge a referral fee to a physician or other abortion provider.

b. Nothing in this section shall affect the obligations of a person pursuant to other provisions of this Code to report instances of child abuse to the appropriate government agencies.

(2) A minor may petition the Family Court ("Court") of any county of this State for a waiver of the notice requirement of this section pursuant to the procedures of § 1784 of this title. A physician who has received a copy of a court order granting a waiver application under § 1784 of this title shall not, at any time, give notice of the minor's abortion to any person without the minor's written permission.

(70 Del. Laws, c. 238, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1784 Application for waiver of parental notice requirement; grounds; timeliness of decision; notice of decision; appeals; costs.

(a) The Court shall consider waiving the notice requirement of § 1783 of this title upon the proper application of a minor. The application shall be in writing, signed by the minor, and verified by her oath or affirmation before a person authorized to perform notarial acts. It shall designate:

(1) The minor's name and residence address;

(2) A mailing address where the Court's order may be sent and a telephone number where messages for the minor may be left;

(3) That the minor is pregnant;

(4) That the minor desires to obtain an abortion;

(5) Each person for whom the notice requirement is sought to be waived; and

(6) The particular facts and circumstances which indicate that the minor is mature and well-informed enough to make the abortion decision on her own and/or that it is in the best interest of the minor that notification pursuant to § 1783 of this title be waived.

(b) The Court, by a judge, shall grant the written application for a waiver if the facts recited in the application establish that the minor is mature and well-informed enough to make the abortion decision on her own or that it is in the best interest of the minor that notification pursuant to § 1783 of this title be waived. The Court shall presume that married parents not separated and grandparents are complete confidants, such that, on application to waive the notice requirement as to either, grounds to waive the notice requirement as to one parent or grandparent shall constitute grounds to waive the notice requirement as to the spouse thereof.

(c) If the Court fails to rule within 5 calendar days of the time of the filing of the written application, the application shall be deemed granted; in which case, on the sixth day, the Court shall issue an order stating that the application is deemed granted.

(d) The Court shall mail 3 copies of any order to the mailing address identified in the application on the day the order issues, shall attempt to notify the minor by telephone on the day the order issues, and if so requested, shall make copies of the order available at Court chambers for the minor.

(e) An expedited appeal to the Supreme Court shall be available to any minor whose petition is denied by a judge of the Family Court. Notice of intent to appeal shall be given within 2 days of the receipt of actual notice of the denial of the petition. The Supreme Court shall advise the minor that she has a right to court-appointed counsel and shall provide her with such counsel upon request, at no cost to the minor. The Supreme Court shall expedite proceedings to the extent necessary and appropriate under the circumstances. The Supreme Court shall notify the minor of its decision consistent with subsection (d) of this section.

(f) No court shall assess any fee or cost upon a minor for any proceeding under this section.

(g) Each Court shall provide by rule for the confidentiality of proceedings under this subchapter, but shall continue to initiate investigations into any allegations of past abuse where otherwise appropriate, without disclosing that an application under this subchapter was the source of the information prompting the investigation.

(70 Del. Laws, c. 238, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1785 Short form of affidavit and application for waiver of parental notice requirement.

The following shall be sufficient form of affidavit and application for waiver of parental notice requirement under this subchapter:

IN THE FAMILY COURT OF THE STATE OF DELAWARE		
	IN AND FOR (NAME OF COUNTY) COUNTY	
IN THE MATTER	)	AFFIDAVIT AND
	)	APPLICATION FOR
(NAME OF MINOR APPLICANT),	)	WAIVER OF NOTICE
	)	OF
	)	ABORTION
STATE OF DELAWARE	)	
	)	

_____ COUNTY, SS:)

BE IT REMEMBERED that on this _____ day of _____ , A.D. _____ before me, (name of person authorized to perform notarial acts), personally appeared (name of minor applicant/affiant) who, being by me duly sworn or affirmed, depose and say:

(1) That the minor applicant resides at (minor's address);

(2) That the Court may send its order to (mailing address designated by applicant minor) and leave telephone messages for the applicant minor at (phone number designated by applicant minor);

(3) That the minor applicant is pregnant;

(4) That the minor applicant desires to obtain an abortion;

(5) That the minor applicant desires that the Court waive the notice requirement of § 1783 of Title 24;

(6) That the minor applicant believes that she is mature and well-informed enough to make the abortion decision on her own and/or it would be in her best interest that a waiver of notice be granted because (state reasons why mature and well-informed enough and/or waiver of notice is in best interest based upon the applicant's age and family circumstances and the long-term and short-term consequences to the applicant of termination or continuation of the pregnancy).

WHEREFORE, this minor applicant intends to submit this affidavit and application for waiver of notice of abortion to the Family Court, and pray that an order be issued waiving the notification requirement of § 1783 of Title 24 as to the following persons: (identify each such person).

_____

Minor applicant/affiant

SWORN TO or affirmed and subscribed before me by the minor applicant/affiant this _____ day of _____ , A.D. _____ .

_____

(Notary)

(70 Del. Laws, c. 238, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1786 Coercion prohibited.

No parent, guardian, or other person shall coerce a minor to undergo an abortion or to continue a pregnancy. Any minor who is threatened with such coercion may apply to a court of competent jurisdiction for relief. The court shall provide the minor with counsel, give the matter expedited consideration, and grant such relief as may be necessary to prevent such coercion. Should a minor be denied the financial support of her parents or legal guardian by reason of her refusal to undergo abortion or to continue a pregnancy, she shall be considered emancipated for purposes of eligibility for assistance benefits.

(70 Del. Laws, c. 238, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1787 Medical emergency exception.

The requirements of § 1783, § 1784 and § 1786 of this title shall not apply when, in the best medical judgment of the physician or other medically authorized person, based on the facts of the case, a medical emergency exists that so complicates the pregnancy as to require an immediate abortion.

(70 Del. Laws, c. 238, § 1.)

§ 1788 Counseling to affected persons.

The Division of Prevention and Behavioral Health Services, Department of Services for Children, Youth and Their Families, shall offer counseling and support to any minor who is pregnant and is considering filing or has filed an application under this subchapter, if the minor requests such services. Notwithstanding any contrary statute, no notification of the request for or provision of such services to the minor shall be provided to any person, nor shall the consent of any person thereto be required.

(70 Del. Laws, c. 238, § 1; 77 Del. Laws, c. 327, § 210(a).)

§ 1789 Penalty and criminal jurisdiction.

(a) Any person who intentionally performs an abortion with knowledge that, or with reckless disregard as to whether, the person upon whom the abortion has been performed is an unemancipated minor, and who intentionally or knowingly fails to conform to any requirement of this subchapter, shall be guilty of a class A misdemeanor.

(b) The Superior Court shall have exclusive jurisdiction of violations of this section.

(70 Del. Laws, c. 238, § 1.)

§ 1789A Notice and avoidance of liability.

In any prosecution pursuant to § 1789 of this title, the State shall prove beyond a reasonable doubt that the physician (or other medically authorized person) who performed the abortion did not have a good faith belief on that physician's part that actual notice was given by such physician (or other medically authorized person), that physician's agent, or the referring physician or another medically authorized person to a person listed in § 1783(1) of this title as qualified to receive notice. In any civil case, the plaintiff must prove the absence of such a good faith belief by clear and convincing evidence.

(70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 238, § 1.)

§ 1789B Civil damages available.

Failure to give notice pursuant to the requirements of this subchapter is prima facie evidence of interference with family relations in appropriate civil actions. The law of this State shall not be construed to preclude the award of punitive damages in any civil action relevant to violations of this subchapter. Nothing in this subchapter shall be construed to limit the common law rights of parents.

(70 Del. Laws, c. 238, § 1.)

Subchapter IX

Termination of Human Pregnancy

§ 1790 Termination of pregnancy before viability not prohibited; termination of pregnancy after viability limited [For application of this section, see 85 Del. Laws, c. 253, § 23].

(a) Any of the following may terminate, assist in the termination of, or attempt the termination of a human pregnancy before viability:

(1) A physician.

(2) A physician associate with a collaborative agreement with an appropriately-trained physician.

(3) A certified nurse midwife or certified nurse practitioner who demonstrates knowledge and competency including successful completion of a training or certification approved by the Board of Nursing.

(b) A physician may not terminate, attempt to terminate, or assist in the termination or attempt at termination of a human pregnancy otherwise than by birth after viability, unless, in the good faith medical judgment of the physician, the termination is necessary for the protection of the woman's life or health or in the event of a fetal anomaly for which there is not a reasonable likelihood of the fetus's sustained survival outside the uterus without extraordinary medical measures.

(c) A physician associate or an advanced practice registered nurse may prescribe medication for the termination of pregnancy including Mifeprex, Mifepristone, and Misoprostol.

(24 Del. C. 1953, § 1790; 57 Del. Laws, c. 145, § 2; 57 Del. Laws, c. 235, §§ 1, 2; 58 Del. Laws, c. 511, § 55; 66 Del. Laws, c. 269, § 16; 70 Del. Laws, c. 149, § 211; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 35, § 2; 83 Del. Laws, c. 298, § 1; 83 Del. Laws, c. 327, § 1; 85 Del. Laws, c. 253, § 1.)

§ 1791 Refusal to perform or submit to medical procedures.

(a) No person shall be required to perform or participate in medical procedures which result in the termination of pregnancy; and the refusal of any person to perform or participate in these medical procedures shall not be a basis for civil liability to any person, nor a basis for any disciplinary or other recriminatory action against the person.

(b) No hospital, hospital director or governing board shall be required to permit the termination of human pregnancies within its institution, and the refusal to permit such procedures shall not be grounds for civil liability to any person, nor a basis for any disciplinary or other recriminatory action against it by the State or any person.

(c) The refusal of any person to submit to an abortion or to give consent shall not be grounds for loss of any privileges or immunities to which such person would otherwise be entitled, nor shall submission to an abortion or the granting of consent be a condition precedent to the receipt of any public benefits.

(24 Del. C. 1953, § 1791; 57 Del. Laws, c. 145, § 2; 70 Del. Laws, c. 186, § 1.)

§ 1792 Assistance or participation in an unlawful termination of human pregnancy.

No person shall, unless the termination of a human pregnancy has been authorized pursuant to § 1790 of this title:

(1) Sell or give, or cause to be sold or given, any drug, medicine, preparation, instrument or device for the purpose of causing, inducing or obtaining a termination of such pregnancy; or

(2) Give advice, counsel or information for the purpose of causing, inducing or obtaining a termination of such pregnancy; or

(3) Knowingly assist or cause by any means whatsoever the obtaining or performing of a termination of such pregnancy.

(24 Del. C. 1953, § 1792; 57 Del. Laws, c. 145, § 2.)

§ 1793 Residency requirements; exceptions [Repealed].

24 Del. C. 1953, § 1793; 57 Del. Laws, c. 145, § 3(A); 70 Del. Laws, c. 186, § 1; repealed by 81 Del. Laws, c. 35, § 3, effective June 8, 2017.

§ 1794 Consent prior to termination of human pregnancy [Repealed].

62 Del. Laws, c. 171, § 1; 70 Del. Laws, c. 186, § 1; repealed by 81 Del. Laws, c. 35, § 4, eff. June 8, 2017.

§ 1795 Live birth following abortion.

(a) In the event an abortion or an attempted abortion results in the live birth of a child, the person performing or inducing such abortion or attempted abortion and all persons rendering medical care to the child after its birth must exercise that degree of medical skill, care and diligence which would be rendered to a child who is born alive as the result of a natural birth.

(b) Nothing found in this section shall be deemed to preclude prosecution under any other applicable section of the Delaware Code for knowing or reckless conduct which is detrimental to the life or health of an infant born as a result of a procedure designed to terminate pregnancy. Anyone who knowingly violates this section shall be guilty of a class A misdemeanor.

(63 Del. Laws, c. 353, § 1.)

Subchapter X

Acupuncture and Eastern Medicine Practitioners

§ 1796 Acupuncture Advisory Council.

(a) The Acupuncture Advisory Council (Council) consists of 5 voting members, and 1 ex officio member. The 5 voting members shall consist of 1 physician member of the Board of Medical Licensure and Discipline who possesses knowledge of acupuncture and 4 Council members licensed and trained in acupuncture or acupuncture and eastern medicine who have been primarily employed in the practice of acupuncture or acupuncture and eastern medicine in this State for at least 3 years immediately prior to appointment. The ex officio member shall be a Delaware physician who has expertise in acupuncture or acupuncture and eastern medicine. The Council may elect officers as necessary.

(b) Each Council member is appointed by the Board of Medical Licensure and Discipline for a term of 3 years, and may succeed himself or herself for 1 additional 3-year term. A person appointed to fill a vacancy on the Council is entitled to hold office for the remainder of the unexpired term of the former member. Each term of office expires on the date specified in the appointment; however, a Council member whose term of office has expired remains eligible to participate in Council proceedings until replaced by the Board. A person who has never served on the Council may be appointed to the Council for 2 consecutive terms, but the person is thereafter ineligible for appointment to the Council except as hereinafter provided. A person who has been twice appointed to the Council or who has served on the Council for 6 years within any 9-year period may not again be appointed to the Council until an interim period of at least 1 year has expired since the person last served. A member, other than the ex officio member, serving on the Council may not be an elected officer or a member of the board of directors of any professional association of acupuncture practitioners. The members of the Council are compensated at an appropriate and reasonable level as determined by the Division and may be reimbursed for meeting-related travel expenses at the State's current approved rate.

(c) The Council shall promulgate rules and regulations governing the practice of acupuncture and eastern medicine, after public hearing and subject to the approval of the Board of Medical Licensure and Discipline. The Board must approve or reject within a reasonable amount of time proposed rules or regulations submitted to it by the Council.

(d) The Council shall meet quarterly, and at such other times as license applications are pending. The Council shall present to the Board the names of individuals qualified to be licensed and shall recommend disciplinary action against licensees as necessary, and shall suggest changes in operations or regulations. The Board shall approve or reject these recommendations within a reasonable time period.

(76 Del. Laws, c. 261, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 101, § 1; 77 Del. Laws, c. 319, § 1; 78 Del. Laws, c. 139, § 1; 80 Del. Laws, c. 316, § 2; 81 Del. Laws, c. 97, § 13.)

§ 1797 Acupuncture practitioners.

(a) As used in this subchapter:

(1) "Acupuncture" is the stimulation of points on the body by the insertion and manipulation of acupuncture needles using both traditional and modern scientific methods of evaluation and point selection. It also refers to a form of health care, based on a theory of energetic physiology that describes and explains the interrelationship of the body organs or functions with an associated acupuncture point or combination of points located on "channels" or "meridians". Acupuncture points shall include the classical points defined in authoritative acupuncture texts and special groupings of acupuncture points elicited using generally accepted diagnostic techniques of eastern medicine and selected for stimulation in accord with its principles and practices. Acupuncture points are stimulated in order to restore the normal function of the aforementioned organs or sets of functions. Acupuncture shall also include the ancillary techniques of eastern medicine including moxibustion, acupressure or other forms of manual meridian therapy and recommendations that include eastern dietary therapy, supplements and lifestyle modifications.

(2) "Board" means the Board of Medical Licensure and Discipline.

(3) "Council" means the Acupuncture Advisory Council.

(4) "Eastern medicine" means the practice of acupuncture, Chinese herbology and Asian bodywork therapy as part of a comprehensive health-care system encompassing a variety of traditional health-care therapies that have been used for more than 3,000 years to diagnose and treat illness, prevent disease and improve well-being.

(5) "License" means, unless the context requires otherwise, a license issued by the Board to practice acupuncture.

(6) a. "Practice of acupuncture" means the use of needles with or without electrical stimulation for the purpose of normalizing energetic physiological functions including pain control, and for the promotion, maintenance, and restoration of health.

b. Needles used in the practice of acupuncture shall only be pre-packaged, single use, sterile acupuncture needles. These needles shall only be used on an individual patient in a single treatment session and disposed of according to federal standards for biohazard

waste.

(7) "Practice of eastern medicine" includes the practice of acupuncture and further means making recommendations or prescriptions based in eastern dietary therapy, supplements and lifestyle modifications according to the principles of eastern medicine. Eastern dietary therapy shall be defined as recommending, advising or furnishing nonfraudulent information about herbs, vitamins, minerals, amino acids, carbohydrates, sugars, enzymes, food concentrates, foods, other food supplements, or dietary supplements. For purposes of this paragraph, "fraud" shall be defined as an intentional misrepresentation for financial gain. Legitimate disagreement about the role of the above-listed nutrients and foods as they apply to human nutrition shall not, in and of itself, constitute fraud. These supplemental techniques may be used within the public domain or by another licensed or registered healthcare or bodywork professional, according to state law or regulation.

(b) Nothing in this subchapter shall limit, preclude, or otherwise interfere with the professional activities of other individuals and healthcare providers who are allowed to perform acupuncture. This includes chiropractic, medical and osteopathic physicians.

(c) An individual who is licensed pursuant to this subchapter, who is not being investigated or sanctioned in relation to unprofessional conduct or physical, mental, emotional, or other impairment, may not be prohibited from performing those professional activities included in this section.

(76 Del. Laws, c. 261, § 1; 77 Del. Laws, c. 101, § 1; 77 Del. Laws, c. 319, § 1; 77 Del. Laws, c. 449, §§ 1, 2; 80 Del. Laws, c. 316, § 3.)

§ 1798 Licensure.

(a) All applicants must meet the following requirements for licensure by the Board as an acupuncture and eastern medicine practitioner:

(1) Achievement of a Diplomate in Oriental Medicine from the National Certification Commission for Acupuncture and Oriental Medicine (NCCAOM) or its equivalent as recognized by the Council and approved by the Board, or an organization that is recognized as equivalent to the NCCAOM by the Council and approved by the Board; and

(2) Completion of a course or evidence of passing an examination in clean needle technique;

(3) An applicant for whom English is a second language shall demonstrate the ability to communicate in the English language as determined by regulations as recommended by the Council and approved by the Board;

(4) The applicant:

a. May not have been assessed any administrative penalties regarding the applicant's practice of acupuncture, including but not limited to fines, formal reprimands, license suspension or revocation (except for license suspension or revocation for nonpayment of license renewal fees) and probationary limitations; and

b. May not have entered into a consent agreement which contains conditions placed by a board or other authority on the applicant's professional conduct or practice, including the voluntary surrender of the applicant's license while under investigation for misconduct.

However, the Board may, after a hearing, waive the requirement of paragraph (a)(4)a. of this section if the administrative penalty prevents the issuance of a license;

(5) The applicant may not have an impairment related to the current use of drugs or alcohol which substantially impairs the practice of acupuncture with reasonable skill and safety;

(6) The applicant may not have been convicted of or may not have admitted under oath to having committed a crime substantially related to the practice of acupuncture. "Substantially related" means that the nature of the criminal conduct for which the person was convicted or to which the person admitted under oath has a direct bearing on the person's fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of acupuncture. The Board shall promulgate regulations specifically identifying the crimes which are substantially related to the practice of acupuncture;

(7) Meet any other qualifications that the Board establishes in regulations.

(b) All applicants must meet the following requirements for licensure by the Board as an acupuncture practitioner:

(1) Achievement of a Diplomate in Acupuncture from the National Certification Commission for Acupuncture and Oriental Medicine (NCCAOM) or its equivalent as recognized by the Council and approved by the Board, or an organization that is recognized as equivalent to the NCCAOM by the Council and approved by the Board; and

(2) Completion of a course or evidence of passing an examination in clean needle technique;

(3) An applicant for whom English is a second language shall demonstrate the ability to communicate in the English language as determined by regulations as recommended by the Council and approved by the Board;

(4) The applicant:

a. May not have been assessed any administrative penalties regarding the applicant's practice of acupuncture, including but not limited to fines, formal reprimands, license suspension or revocation (except for license suspension or revocation for nonpayment of license renewal fees) and probationary limitations; and

b. May not have entered into a consent agreement which contains conditions placed by a board or other authority on the applicant's professional conduct or practice, including the voluntary surrender of the applicant's license while under investigation for misconduct.

However, the Board may, after a hearing, waive the requirement of paragraph (a)(4)a. of this section if the administrative penalty prevents the issuance of a license;

(5) The applicant may not have an impairment related to the current use of drugs or alcohol which substantially impairs the practice of acupuncture with reasonable skill and safety;

(6) The applicant may not have been convicted of or may not have admitted under oath to having committed a crime substantially related to the practice of acupuncture. "Substantially related" means that the nature of the criminal conduct for which the person was convicted or to which the person admitted under oath has a direct bearing on the person's fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of acupuncture. The Board shall promulgate regulations specifically identifying the crimes which are substantially related to the practice of acupuncture;

(7) Meet any other qualifications that the Board establishes in regulations.

(8) An acupuncturist who obtains licensure pursuant to this section may go on to become a licensed acupuncture and eastern medicine practitioner by achieving a Diplomate in Oriental Medicine from the National Certification Commission for Acupuncture and Oriental Medicine (NCCAOM) or its equivalent as recognized by the Council and approved by the Board, or an organization that is recognized as equivalent to the NCCAOM by the Council and approved by the Board.

(c) *Waiver of requirements.* — The Acupuncture Advisory Council, by the affirmative vote of 3 of its members and with the approval of the Board within a reasonable period of time from the vote, may waive any of the requirements of subsection (a) of this section if it finds all of the following by clear and convincing evidence:

(1) The applicant's education, training, qualifications and conduct have been sufficient to overcome the deficiency or deficiencies in meeting the requirements of this section;

(2) The applicant is capable of practicing acupuncture in a competent and professional manner;

(3) The granting of the waiver will not endanger the public health, safety, or welfare; and

(4) For waiver of a conviction, if, after consideration of the factors set forth in § 8735(x)(3) of Title 29, the Council determines that granting a waiver would not create an unreasonable risk to public safety, the Council shall waive paragraph (a)(6) and (b)(6) of this section.

(5) [Repealed.]

(d) *License denial.* — If it appears to the Board that an applicant has been intentionally fraudulent or that an applicant has intentionally submitted, or intentionally caused to be submitted, false information as part of the application process, the Board may not issue a license to the applicant and must report the incident of fraud or submitting false information to the Office of the Attorney General for further action.

(e) *Temporary license.* — The Executive Director of the Board, with the approval of a Council member, may issue a temporary permit to an applicant for licensure who has presented a completed application to the Board. A temporary permit issued under this subsection is valid for a period of not more than 90 days and may not be renewed. Only 1 temporary permit may be issued under this subsection.

(f) *License suspension, revocation, or nonrenewal.* — (1) The Council, after appropriate notice and hearing, may recommend to the Board of Medical Licensure and Discipline that the Board revoke, suspend, or refuse to issue a license, or place the licensee on probation, or otherwise discipline a licensee found guilty of unprofessional conduct. Unprofessional conduct includes, but is not limited to, fraud, deceit, incompetence, gross negligence, dishonesty, or other behavior in the licensee's professional activity which is likely to endanger the public health, safety, or welfare. The Council may recommend and Board may take necessary action against a licensee who is unable to render acupuncture or eastern medicine services with reasonable skill or safety to patients because of mental illness or mental incompetence, physical illness, or the excessive use of drugs including alcohol. Disciplinary action or other action taken against a licensee must be in accordance with the procedures for disciplinary and other actions against physicians, including appeals as set forth in subchapter IV of this chapter except that a hearing panel for a complaint against a licensee consists of 3 members; 1 of the 3 shall be a physician member of the Board; 2 of the 3 shall be unbiased members of the Acupuncture Advisory Council; and if no conflict exists, 1 of the 2 Acupuncture Advisory Council members shall be the Chair of the Acupuncture Advisory Council. The Chair of the hearing panel shall be 1 of the Council panel members.

(2) a. If the Board or the Acupuncture Advisory Council receives a formal or informal complaint concerning the activity of a licensee and the Board or Council members reasonably believe that the activity presents a clear and immediate danger to the public health, the Council may issue an order temporarily suspending the licensee's license to practice pending a hearing upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Council Chair or the Chair's designee. An order temporarily suspending a license to practice may not be issued by the Board, unless the licensee or the licensee's attorney received at least 24 hours' written or oral notice prior to the temporary suspension so that the licensee or the licensee's attorney can be heard in opposition to the proposed suspension. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended licensee requests a continuance of the hearing date. If the licensee requests a continuance, the order of temporary suspension remains in effect until the hearing panel convenes and a decision is rendered.

b. A licensee whose license to practice has been temporarily suspended pursuant to this section must be notified of the temporary suspension immediately and in writing. Notification consists of a copy of the complaint and the order of temporary suspension pending a hearing personally served upon the licensee or sent by certified mail, return receipt requested, to the licensee's last known address.

c. A licensee whose license to practice has been temporarily suspended pursuant to this section may request an expedited hearing. The Council shall schedule the hearing on an expedited basis, provided that the Council receives the request within 5 calendar days

from the date on which the licensee received notification of the decision of the Board, to temporarily suspend the licensee's license to practice.

d. As soon as possible after the issuance of an order temporarily suspending a licensee's license to practice pending a hearing, the Council Chair shall appoint a 3-member hearing panel consisting of 3 members; 1 of the 3 shall be a physician member of the Board; 2 of the 3 shall be unbiased members of the Acupuncture Advisory Council; and if no conflict exists, 1 of the 2 Acupuncture Advisory Council members shall be the Chair of the Acupuncture Advisory Council. The Chair of the hearing panel shall be 1 of the Council panel members. After notice to the licensee pursuant to paragraph (f)(2)b. of this section, the hearing panel shall convene within 60 days of the date of the issuance of the order of temporary suspension to consider the evidence regarding the matters alleged in the complaint. If a licensee requests in a timely manner an expedited hearing, the hearing panel shall convene within 15 days of the receipt of the request by the Council. The 3-member panel shall proceed to a hearing and shall render a decision within 30 days of the hearing.

e. In addition to making findings of fact, the hearing panel shall also determine whether the facts found by it constitute a clear and immediate danger to public health. If the hearing panel determines that the facts found constitute a clear and immediate danger to public health, the order of temporary suspension must remain in effect until the Board, deliberates and reaches conclusions of law based upon the findings of fact made by the hearing panel. An order of temporary suspension may not remain in effect for longer than 60 days from the date of the decision rendered by the hearing panel unless the suspended licensee requests an extension of the order pending a final decision of the Board. Upon the final decision of the Board, an order of temporary suspension is vacated as a matter of law and is replaced by the disciplinary action, if any, ordered by the Board.

(76 Del. Laws, c. 261, § 1; 77 Del. Laws, c. 101, § 1; 77 Del. Laws, c. 319, § 1; 77 Del. Laws, c. 325, § 19; 78 Del. Laws, c. 44, §§ 20, 21; 80 Del. Laws, c. 316, §§ 4-6; 81 Del. Laws, c. 97, § 14; 83 Del. Laws, c. 433, § 11.)

§ 1798A Procedure or action not described.

This subchapter governs the practice of acupuncture and eastern medicine practitioners. If a procedure or action is not specifically prescribed in this subchapter, but is prescribed in the subchapters relating to the practice of medicine, and the procedure or action would be useful or necessary for the regulation of acupuncture and eastern medicine practitioners, the Board may, in its discretion, proceed in a manner prescribed for physicians in the practice of medicine.

(76 Del. Laws, c. 261, § 1; 77 Del. Laws, c. 101, § 1; 80 Del. Laws, c. 316, § 7.)

§ 1799 Fees; license renewal.

The Division of Professional Regulation shall establish reasonable fees for licensing and for biennial license renewal. A licensee, when renewing a license, shall provide documentation of continuing education related to acupuncture pursuant to the continuing education requirements for acupuncture practitioners established by the Acupuncture Advisory Council.

(76 Del. Laws, c. 261, § 1; 77 Del. Laws, c. 101, § 1; 80 Del. Laws, c. 316, § 8.)

§ 1799A Current practitioners [Repealed].

76 Del. Laws, c. 261, § 1; 77 Del. Laws, c. 101, § 1; repealed by 80 Del. Laws, c. 316, § 9, effective Oct. 17, 2016.

§ 1799B Exemptions.

(a) Acupuncture or supplemental or eastern medicine techniques may be performed by a student, trainee or visiting teacher who is designated as a student, trainee or visiting teacher while participating in a course of study or training under supervision of a licensed acupuncturist or acupuncture and eastern medicine practitioner in a program that the Council has recommended to the Board for approval. This includes continuing education programs and any acupuncture or eastern medicine programs that are a recognized route to certification as an acupuncturist by the NCCAOM or any Board-approved agency.

(b) Any herbalist, retailer or other person who does not hold himself or herself out to be a licensed acupuncturist shall not be limited by this subchapter.

(76 Del. Laws, c. 261, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 101, § 1; 80 Del. Laws, c. 316, § 10.)

§ 1799C Renewal.

Licenses must be renewed biennially and every licensee for renewal shall be required to complete continuing education credits as determined by regulation. The Board shall determine acceptable sources of continuing education credits as recommended by the Council.

(76 Del. Laws, c. 261, § 1; 77 Del. Laws, c. 101, § 1.)

§ 1799D Reciprocal licensing.

All applicants for reciprocal licensing must possess a current license in another state which has licensing requirements equal to or exceeding the requirements of this subchapter, and there may not be any outstanding or unresolved complaints against the applicant.

(76 Del. Laws, c. 261, § 1; 77 Del. Laws, c. 101, § 1.)

§ 1799E Prohibited acts; penalties; enforcement.

(a) No person in this State shall use the title "licensed acupuncturist" or "L. Ac.," or use in connection with that person's name any letters, words or symbols indicating or implying that the person is a licensed acupuncturist, or advertise services under the description of

"licensed acupuncturist", unless that person holds a license as an acupuncturist issued pursuant to this subchapter. Nothing in this subsection shall be construed to prevent a person from providing care or performing or advertising services within the scope of that person's license.

(b) No person in this State shall use the title "eastern medicine practitioner" or use in connection with that person's name any letters, words, or symbols indicating or implying that the person is a licensed eastern medicine practitioner, or advertise services under the description of "licensed eastern medicine practitioner," unless that person holds a license issued pursuant to this subchapter. Nothing in this subsection shall be construed to prevent a person from providing care or performing or advertising services within the scope of that person's license.

(c) A person who, contrary to the provisions of this subchapter, practices or attempts to practice acupuncture within the State or represents that the person is an acupuncture practitioner or knowingly allows himself or herself to be represented as an acupuncture practitioner shall be fined not less than $500 nor more than $2,000 or imprisoned not more than 1 year, or both.

(d) The Office of the Attorney General is charged with the enforcement of this subchapter.

(76 Del. Laws, c. 261, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 101, § 1; 80 Del. Laws, c. 316, § 11.)

§ 1799F Acupuncture detoxification specialist (ADS); license required.

(a) An individual who is not licensed as an acupuncturist under this subchapter shall not practice as an acupuncture detoxification specialist using the National Acupuncture Detoxification Association (NADA) or equivalent organization's auricular point protocol for the purpose of preventing and treating alcoholism, nicotine dependency, substance abuse, or chemical dependency in the State without first obtaining a license issued by the Board upon the recommendation of the Council. Applications for licensure shall be upon written forms provided by the Council and Board and upon payment of a fee established by the Division of Professional Regulation. An applicant for a license to practice as an acupuncture detoxification specialist pursuant to the NADA or equivalent organization auricular point protocol in Delaware must meet the following criteria:

(1) Has successfully completed the NADA auricular point protocol training program or an equivalent training program satisfactory to the Council and Board for the treatment of alcoholism, nicotine dependency, substance abuse, or chemical dependency that meets or exceeds the standards of training set by the NADA including instruction in clean needle technique;

(2) Must provide evidence of a current license or certificate in good standing in a health-care related profession as approved by the Council and the Board; and

(3) Is in good standing as defined in § 1798(a)(4)-(7) of this title.

(b) *Waiver of requirements. —*

The Acupuncture Advisory Council, by the affirmative vote of 3 of its members and with the approval of the Board, may waive the requirements of subsection (a) of this section if it finds all of the following by clear and convincing evidence:

(1) The applicant's education, training, qualifications and conduct have been sufficient to overcome the deficiency or deficiencies in meeting the requirements of this section;

(2) The applicant is capable of practicing as an acupuncture detoxification specialist in a competent and professional manner;

(3) The granting of the waiver will not endanger the public health, safety, or welfare; and

(4) For waiver of a crime substantially related to the practice of acupuncture, more than 5 years have elapsed since the applicant has fully discharged all imposed sentences. As used herein, the term "sentence" includes, but is not limited to, all periods of modification of a sentence, probation, parole or suspension. However, "sentence" does not include fines, restitution or community service, as long as the applicant is in substantial compliance with such fines, restitution and community service.

(c) ADS are prohibited from needling any body acupuncture points and may not advertise themselves as acupuncturists.

(d) ADS shall be subject to the disciplinary provisions of § 1798(f) of this title.

(e) Each license shall be renewed biennially, in such manner as is determined by the Division, and upon payment of the appropriate fee and submission of a renewal form provided by the Division and proof of continued competency as established in the Board's regulations.

(77 Del. Laws, c. 449, § 3; 80 Del. Laws, c. 316, § 12; 81 Del. Laws, c. 78, § 10.)

Subchapter XI

Genetic Counselors

§ 1799G Statement of purpose.

The intent of the General Assembly in enacting this subchapter is to establish minimum standards of education, experience and examination for professional genetic counselors so that the public can readily identify those who meet these minimum standards. In enacting this subchapter the General Assembly intends to provide a licensure process for professional genetic counselors, a scope of practice for genetic counselor services, and to establish "licensed genetic counselor" as the state-recognized legal title for professional genetic counselors. It is also the intent of the General Assembly in enacting this subchapter to assure consumers the right to choose from whom they receive information and advice. Recognition of these goals will protect the health of the public by broadening access to appropriate genetic counseling.

(77 Del. Laws, c. 317, § 1.)

§ 1799H Definitions.

As used in this subchapter:

(1) "ABGC" means the American Board of Genetic Counseling or an organization that is recognized as equivalent.

(2) "ABMGG" means the American Board of Medical Genetics and Genomics or an organization that is recognized as equivalent.

(3) "Active candidate status" or "ACS" shall be conveyed by the ABGC.

(4) "Board" means the Board of Medical Licensure and Discipline.

(5) "Genetic counselor" means an individual who engages in the competent practice of genetic counseling.

(6) "Genetic test" means as defined in § 1201 of Title 16.

(7) "L.G.C." means licensed genetic counselor.

(8) "License" means any document which indicates that a person is currently licensed by the Board of Medical Licensure and Discipline to practice genetic counseling.

(9) "NSGC" means the National Society of Genetic Counselors or an organization that is recognized as equivalent.

(10) The "practice of genetic counseling" shall include any or all of the following activities:

a. Obtaining and interpreting individual, family and medical development histories;

b. Determining the mode of inheritance and risk of transmission of genetic conditions;

c. Discussing the inheritance, features, natural history, means of diagnosis;

d. Identifying, coordinating, and ordering of genetic tests, and explaining genetic laboratory tests and other diagnostic studies; provided however, that if in the course of providing a genetic counseling service to any client, a genetic counselor finds any indication of disease or condition that requires medical assessment, the genetic counselor shall refer a client to a physician licensed to practice medicine;

e. Assessing psychosocial factors, recognizing social, educational, and cultural issues;

f. Evaluating the client's or family's responses to the condition or risk of recurrence and provide client-centered counseling and anticipatory guidance;

g. Communicating genetic information to clients in an understandable manner;

h. Facilitating informed decision making about testing and management alternatives;

i. Identifying and effectively utilizing community resources that provide medical, educational, financial, and psychosocial support and advocacy;

j. Providing accurate written documentation of medical, genetic, and counseling information for families and health care professionals; and

k. The use of telemedicine as defined in this chapter and, as further described in regulation, the use of and participation in telehealth.

(77 Del. Laws, c. 317, § 1; 77 Del. Laws, c. 319, § 1; 80 Del. Laws, c. 80, § 6; 85 Del. Laws, c. 164, § 1; 85 Del. Laws, c. 548, § 20.)

§ 1799I Genetic Counselor Advisory Council.

(a) The Genetic Counselor Advisory Council (Council) consists of 5 voting members, and 1 ex officio member. The 5 voting members shall consist of 1 physician member of the Board of Medical Licensure and Discipline and 4 Council members licensed and trained as genetic counselors who have been primarily employed in the practice of genetic counseling in this State for at least 3 years immediately prior to appointment. The ex officio member shall be a Delaware physician who has expertise in genetic counseling. The Council may elect officers as necessary.

(b) Each Council member is appointed by the Board of Medical Licensure and Discipline for a term of 3 years, and may succeed himself or herself for 1 additional 3-year term. A person appointed to fill a vacancy on the Council is entitled to hold office for the remainder of the unexpired term of the former member. Each term of office expires on the date specified in the appointment; however, a Council member whose term of office has expired remains eligible to participate in Council proceedings until replaced by the Board. A person who has never served on the Council may be appointed to the Council for 2 consecutive terms, but the person is thereafter ineligible for appointment to the Council except as hereinafter provided. A person who has been twice appointed to the Council or who has served on the Council for 6 years within any 9-year period may not again be appointed to the Council until an interim period of at least 1 year has expired since the person last served. The members of the Council are to be compensated at an appropriate and reasonable level as determined by the Division of Professional Regulation and may be reimbursed for meeting-related travel expenses at the State's current approved rate. A member serving on the Council may not be an elected officer or a member of the board of directors of any professional association of genetic counselors.

(c) The Council shall promulgate rules and regulations governing the practice of genetic counseling, after public hearing and subject to the approval of the Board of Medical Licensure and Discipline. The Board must approve or reject proposed rules or regulations submitted to it by the Council within 60 days. If the Board fails to approve or reject the proposed rules or regulations within 60 days, the proposed rules or regulations are deemed to be approved by the Board.

(d) The Council shall meet quarterly, and at such other times as license applications are pending and evaluate the credentials of all persons applying for a license as a licensed genetic counselor in this State, in order to determine whether such persons meet the

qualifications for licensing set forth in this chapter. The Council shall present to the Board the names of individuals qualified to be licensed and shall recommend disciplinary action against licensees as necessary, and shall suggest changes in operations or regulations. The Board shall approve or reject these recommendations within a reasonable time period.

(e) *License suspension, revocation, or nonrenewal.* — (1) The Council, after appropriate notice and hearing, may recommend to the Board of Medical Licensure and Discipline that the Board revoke, suspend, or refuse to issue a license, or place the licensee on probation, or otherwise discipline a licensee found guilty of unprofessional conduct. Unprofessional conduct includes, but is not limited to, fraud, deceit, incompetence, negligence, dishonesty, or other behavior in the licensee's professional activity which is likely to endanger the public health, safety, or welfare. The Council may recommend and Board may take necessary action against a genetic counselor who is unable to render services with reasonable skill or safety to patients because of mental illness or mental incompetence, physical illness, or the excessive use of drugs including alcohol. Disciplinary action or other action taken against a genetic counselor must be accordance with the procedures for disciplinary and other actions against physicians, including appeals as set forth in subchapter IV of this chapter except that a hearing panel for a complaint against a genetic counselor consists of 3 members; 1 of the 3 shall be a physician member of the Board; 2 of the 3 shall be unbiased members of the Council; and if no conflict exists, 1 of the 2 Council members shall be the Chair of the Council. The Chair of the hearing panel shall be 1 of the Council panel members.

(2) a. If the Board or the Council receives a formal or informal complaint concerning the activity of a genetic counselor and the Board or Council members reasonably believe that the activity presents a clear and immediate danger to the public health, the Council may issue an order temporarily suspending the genetic counselor's license to practice, pending a hearing upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Council Chair or the Chair's designee. An order temporarily suspending a license to practice may not be issued by the Board, unless the genetic counselor or the genetic counselor's attorney received at least 24 hours' written or oral notice prior to the temporary suspension so that the genetic counselor or the genetic counselor's attorney can be heard in opposition to the proposed suspension. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended genetic counselor requests a continuance of the hearing date. If the genetic counselor requests a continuance, the order of temporary suspension remains in effect until the hearing panel convenes and a decision is rendered.

b. A genetic counselor whose license to practice has been temporarily suspended pursuant to this section must be notified of the temporary suspension immediately and in writing. Notification consists of a copy of the complaint and the order of temporary suspension pending a hearing personally served upon the genetic counselor or sent by certified mail, return receipt requested, to the genetic counselor's last known address.

c. A genetic counselor whose license to practice has been temporarily suspended pursuant to this section may request an expedited hearing. The Council shall schedule the hearing on an expedited basis, provided that the Council receives the request within 5 calendar days from the date on which the genetic counselor received notification of the decision of the Board, to temporarily suspend the genetic counselor's license to practice.

d. As soon as possible after the issuance of an order temporarily suspending a genetic counselor's license to practice pending a hearing, the Council Chair shall appoint a 3-member hearing panel consisting of 3 members; 1 of the 3 shall be a physician member of the Board; 2 of the 3 shall be unbiased members of the Council; and if no conflict exists, 1 of the 2 Council members shall be the Chair of the Council. The Chair of the hearing panel shall be 1 of the Council panel members. After notice to the genetic counselor pursuant to paragraph (e)(2)b. of this section, the hearing panel shall convene within 60 days of the date of issuance of the order of temporary suspension to consider the evidence regarding the matters alleged in the complaint. If a genetic counselor requests in a timely manner an expedited hearing, the hearing panel shall convene within 15 days of the receipt of the request by the Council. The 3-member panel shall proceed to a hearing and shall render a decision within 30 days of the hearing.

e. In addition to making findings of fact, the hearing panel shall also determine whether the facts found by it constitute a clear and immediate danger to public health. If the hearing panel determines that the facts found constitute a clear and immediate danger to public health, the order of temporary suspension must remain in effect until the Board deliberates and reaches conclusions of law based upon the findings of fact made by the hearing panel. An order of temporary suspension may not remain in effect for longer than 60 days from the date of the decision rendered by the hearing panel unless the suspended genetic counselor requests an extension of the order pending a final decision of the Board. Upon the final decision of the Board, an order of temporary suspension is vacated as a matter of law and is replaced by the disciplinary action, if any, ordered by the Board.

(f) The Council shall refer all complaints from practitioners and from the public to the Board.

(g) The Genetic Counselor Advisory Council, by the affirmative vote of 3 of its members and with the approval of the Board within 30 days of the vote, may waive the quarterly meeting requirements of this title.

(77 Del. Laws, c. 317, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 319, § 1; 77 Del. Laws, c. 325, § 19; 78 Del. Laws, c. 139, § 2; 81 Del. Laws, c. 97, § 15.)

§ 1799J Licensure.

(a) An applicant who is applying for licensure under this subchapter shall:

(1) Provide satisfactory evidence of having certification as a:

a. Genetic counselor by the ABGC or ABMGG; or

b. Medical geneticist by the ABMGG.

(2) Submit an application prescribed by the Council.

(3) Submit a certified criminal background check pursuant to § 1720(b)(6) of this title.

(4) The applicant may not have an impairment related to the current use of drugs or alcohol which substantially impairs the practice of genetic counseling with reasonable skill and safety.

(b) The Board may refuse or reject an applicant, if after hearing, the Board finds that:

(1) The applicant has engaged in activities that are grounds for discipline under § 1799P of this title.

(2) The applicant has been convicted of a crime substantially related to the practice of genetic counseling as determined by the Board of Medical Licensure and Discipline in its rules and regulations.

(3) The applicant has been the recipient of any administrative penalties from any other jurisdiction or jurisdictions regarding the applicant's practice of genetic counseling, including but not limited to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, and/or has entered into any "consent agreements" which contain conditions placed by a Board on the applicant's professional conduct and practice, including any voluntary surrender of a license in lieu of discipline.

(c) *Waiver of requirements.* — The Council, by the affirmative vote of 3 of its members and with the approval of the Board within a reasonable period of time from the vote, may waive any of the requirements of subsection (b) of this section if it finds all of the following by clear and convincing evidence:

(1) The applicant's education, training, qualifications and conduct have been sufficient to overcome the deficiency or deficiencies in meeting the requirements of this section;

(2) The applicant is capable of practicing as a genetic counselor in a competent and professional manner;

(3) The granting of the waiver will not endanger the public health, safety, or welfare; and

(4) For waiver of a conviction, if, after consideration of the factors set forth in § 8735(x)(3) of Title 29, the Council determines that granting a waiver would not create an unreasonable risk to public safety, the Council shall waive paragraph (b)(2) of this section.

(5) [Repealed.]

(d) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification, and imposed higher or different standards for the person than for other applicants or licensees, or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(77 Del. Laws, c. 317, § 1; 77 Del. Laws, c. 319, § 1; 78 Del. Laws, c. 44, §§ 22, 23; 83 Del. Laws, c. 433, § 11; 85 Del. Laws, c. 164, § 2.)

§ 1799K Provisional license.

(a) The Board may issue a provisional license to practice genetic counseling to a candidate for licensure who has been granted active candidate status by the ABGC, provided the candidate meets the other qualifications for licensure listed in § 1799J of this title.

(b) The provisional license shall be valid for up to 1 year from the date it was issued, and may be renewed for 1 additional year if the applicant fails the certification examination. The provisional license automatically expires:

(1) When the applicant is issued a license; or

(2) On the expiration date printed on the provisional license; or

(3) Upon notice of the second failure of the certification examination.

(c) An application for extension of a provisional license must be signed by the applicant's supervisor. A genetic counselor working under a provisional license must be under the general supervision of a licensed genetic counselor or a licensed physician. If a candidate fails to pass the exam 2 times within this provision, they may reapply for provisional licensure after regaining active candidate status by the ABGC or another organization acceptable to the Board. The Board may establish in its rules additional requirements relating to provisional licensure.

(77 Del. Laws, c. 317, § 1.)

§ 1799L Reciprocity.

An applicant for licensure by reciprocity must possess a current license in a state which has licensing requirements equal to or exceeding the requirements of this subchapter, and there may not be any outstanding or unresolved complaints against the applicant.

(77 Del. Laws, c. 317, § 1.)

§ 1799M Continuing education.

The Council, with the approval of the Board, is authorized to adopt regulations specifying continuing education requirements which must be met by a licensee before a licensee will be eligible for renewal of their license.

(77 Del. Laws, c. 317, § 1.)

§ 1799N Issuance and renewal of licenses; fees.

(a) The Division of Professional Regulation shall establish reasonable fees for licensing genetic counselors and for biennial license renewal.

(b) The Board shall issue a license to each applicant who meets the requirements of this chapter for licensure as a genetic counselor and

who pays the established fees.

(c) Each license shall be renewed biennially, in such manner as is determined by the Division and upon payment of the appropriate fee and submission of a renewal form provided by the Division, and proof that the licensee has met the continuing education requirements established by § 1799M of this title.

(d) The Council, in its rules and regulation, shall determine the period of time within which a licensee may still renew the licensee's license and determine late fees associated with the license renewal, notwithstanding the fact that such licensee has failed to renew on or before the renewal date, provided, however that such period shall not exceed 1 year.

(e) A licensee, upon written request, may be placed in an inactive status for no more than 5 years. Such person, who desires to reactivate that person's license, shall complete a Board-approved application form, obtain an updated certified criminal background check, submit a renewal fee, and proof of fulfillment of continuing education requirements in accordance with the rules and regulation of the Council.

(77 Del. Laws, c. 317, § 1.)

§ 1799O Licensure required.

(a) No person shall represent oneself or engage in the practice of genetic counseling as a licensed genetic counselor in this State or use the title "genetic counselor", "licensed genetic counselor", "L.G.C.", "gene counselor", "genetic consultant", "genetic associate" or any combination of above terms and/or abbreviations unless such a person is licensed under this subchapter.

(b) This subchapter does not prohibit or restrict:

(1) Any person licensed in this State under any chapter of this title who are physicians or other healthcare professionals from engaging in the practice for which that person is licensed.

(2) The practice of genetic counseling by a person who is employed by the United States or state government or any of its bureaus, divisions, or agencies while in the discharge of the employee's official duties.

(3) The supervised practice of genetic counseling of a person pursuing a course of study leading to a degree in genetic counseling or an equivalent major, as authorized by the Board, from a ABGC accredited school or program, if the activities and services constitute a part of a supervised course of study and if the person is designated by a title that clearly indicates the person's status as a student. This period is not to exceed 2 years unless written approval is provided by the Board. The individual will be supervised by an individual licensed under this subchapter or a physician.

(77 Del. Laws, c. 317, § 1.)

§ 1799P Grounds for discipline, sanctions, or penalties.

(a) The following conditions and actions of an L.G.C. may result in disciplinary action as set forth in subsection (b) of this section if, after a hearing, the Board finds that an applicant or L.G.C:

(1) Has employed or knowingly cooperated in fraud or material deception in order to be licensed: or

(2) Has engaged in illegal, incompetent or negligent conduct in the provision of genetic counseling; or

(3) Has, in the practice of the profession, knowingly engaged in an act of consumer fraud or deception; or

(4) Has violated the code of ethics as established by the NSGC; or

(5) Has violated a lawful provision of this subchapter or any lawful rule or regulation established hereunder; or

(6) Has been convicted of a crime substantially related to the practice of genetic counseling as determined by the Board of Medical Licensure and Discipline in its rules and regulations.

(b) Persons licensed under this subchapter who have been determined to be in violation of this subchapter shall be subject to the following disciplinary actions:

(1) Issuance of a letter of reprimand.

(2) Censure.

(3) Placement on probationary status.

(4) Denial of license.

(5) Suspension of license.

(6) Revocation of license.

(7) Impose a monetary penalty not to exceed $500 for each violation.

(c) As a condition of reinstatement of a suspended license or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this subchapter.

(77 Del. Laws, c. 317, § 1; 77 Del. Laws, c. 319, § 1.)

§ 1799Q Unauthorized practice of genetic counseling.

Whoever engages in the practice of genetic counseling or attempts to engage in the practice of genetic counseling contrary to the provisions of this subchapter shall be guilty of a Class G felony and shall be fined not less than $500 and not more than $1,500, or imprisoned not more than 2 years, or both.

(77 Del. Laws, c. 317, § 1.)

§ 1799R Administrative procedures.

All procedures under this chapter shall be governed by the Delaware Administrative Procedures Act, Chapter 101 of Title 29.

(77 Del. Laws, c. 317, § 1.)

§ 1799S Procedure or action not described.

This subchapter governs the practice of genetic counseling practitioners. If a procedure or action is not specifically prescribed in the subchapter, but is prescribed in the subchapters relating to the practice of medicine, and the procedure or action would be useful or necessary for the regulation of genetics counseling practitioners, the Board may, in its discretion, proceed in a manner prescribed for physicians in the practice of medicine.

(77 Del. Laws, c. 317, § 1.)

Subchapter XII

Professional Polysomnographers

§ 1799T Statement of purpose.

The intent of the General Assembly in enacting this subchapter is to establish minimum standards of education, experience and examination for professional polysomnographers so that the public can readily identify those who meet these minimum standards. In enacting this subchapter the General Assembly intends to provide a licensure process for professional polysomnographers, a scope of practice for polysomnographer services, and to establish "licensed polysomnographer" as the state-recognized legal title for professional polysomnographers. It is also the intent of the General Assembly in enacting this subchapter to assure consumers the right to choose from whom they receive information and advice. Recognition of these goals will protect the health of the public by broadening access to appropriate polysomnography services.

(78 Del. Laws, c. 407, § 1.)

§ 1799U Definitions.

As used in this subchapter:

(1) "AASM" shall mean the American Academy of Sleep Medicine or an organization that is recognized as equivalent.

(2) "Board" shall mean the Board of Medical Licensure and Discipline.

(3) "BRPT" shall mean the Board of Registered Polysomnographic Technologists or its successor organization.

(4) "Council" means the Polysomnography Advisory Council.

(5) "Direct supervision" means that the licensed polysomnographer providing supervision must be present in the area where the polysomnographic procedure is being performed and immediately available to furnish assistance and direction throughout the performance of the procedure.

(6) "General supervision" means that the licensed polysomnographer works under the supervision of a person licensed to practice medicine, whether by direct observation and monitoring, by protocols approved by a person licensed to practice medicine, or by orders written or verbally given by a person licensed to practice medicine. A licensed polysomnographer may evaluate patients and make decisions within parameters defined by a person certified to practice medicine and by the Board. The licensed polysomnographer works under a physician's overall direction and control, but the physician's presence is not required during the performance of the procedure.

(7) "License" shall mean any document which indicates that a person is currently licensed by the Board to practice polysomnography.

(8) "LPSGT" shall be the abbreviation for the title "licensed polysomnographer".

(9) "NBRC SDS exam" means the National Board for Respiratory Care Sleep Disorders and Therapeutic Intervention Respiratory Care Specialist exam.

(10) "Polysomnographer" means an allied health professional, practicing polysomnography under the direction of a person licensed to practice medicine, who is responsible for direct and indirect services in the treatment, management, diagnostic testing, control, and care of patients with deficiencies and abnormalities associated with the human sleep wake cycle.

(11) "Polysomnographic student" means a person who is enrolled in an accredited educational program described in § 1799V of this title and who may provide sleep-related services under the direct supervision of a licensed polysomnographer as a part of the person's educational program and is therefore, exempt from the licensure requirement.

(12) "Polysomnographic trainee" means a person who has completed an accredited educational program described in § 1799V of this title and who may provide sleep-related services under the direct supervision of a licensed polysomnographer as part of the person's clinical program and is therefore exempt from the licensure requirement.

(13) "Practice of polysomnography" means the performance of any of the following tasks as directly related to the diagnosis and treatment of sleep-related disorders, under the general supervision of a licensed physician:

a. Monitoring and recording physiologic data during the evaluation of sleep-related disorders, including sleep-related respiratory disturbances, by applying the following techniques, equipment, and procedures:

1. Titration using approved airway pressure devices and/or other technologies on spontaneously breathing patients using a mask or oral appliance, provided the mask or oral appliance does not extend into the trachea or attach to an artificial airway;

2. Supplemental low flow oxygen therapy (less than or equal to 6 liters per minute) during a polysomnogram utilizing a nasal cannula or approved airway pressure devices and technologies on spontaneously breathing patients, provided the devices or technologies do not extend into the trachea or attach to an artificial airway;

3. Capnography during a polysomnogram;

4. Cardiopulmonary resuscitation;

5. Pulse oximetry;

6. Gastroesophageal pH monitoring;

7. Esophageal pressure monitoring;

8. Sleep staging (including surface electroencephalography, surface electrooculography, and surface submental electromyography);

9. Surface electromyography;

10. Electrocardiography;

11. Respiratory effort monitoring, including thoracic and abdominal movement;

12. Plethysmography blood flow monitoring;

13. Snore monitoring;

14. Audio and video monitoring;

15. Body movement and body position monitoring;

16. Nocturnal penile tumescence monitoring;

17. Nasal and oral airflow monitoring;

18. Body temperature monitoring;

19. Monitoring the effects that a mask or oral appliance used to treat sleep disorders has on sleep patterns; provided, however, the mask or oral appliance shall not extend into the trachea or attach to an artificial airway;

20. Actigraphy;

b. Observing and monitoring physical signs and symptoms, general behavior, and general physical response to polysomnographic evaluation and recommending whether initiation, modification, or discontinuation of a treatment regimen is warranted;

c. Analyzing and scoring data collected during the monitoring described in paragraphs (13)a.1. and 2. of this section for the purpose of assisting a licensed physician in the diagnosis and treatment of sleep and wake disorders;

d. Implementation of a written or verbal order from a licensed physician which requires the practice of polysomnography;

e. Education of a patient regarding sleep disorders and the treatment regimen which assists that patient in improving the patient's sleep.

(78 Del. Laws, c. 407, § 1.)

§ 1799V Education.

The following qualify as approved educational programs:

(1) A polysomnographic educational program that is accredited by the Commission on Accreditation of Allied Health Education Programs;

(2) A respiratory care educational program that is accredited by the Commission on Accreditation for Respiratory Care and completion of the curriculum for a polysomnography certificate established and accredited by the Commission on Accreditation for Respiratory Care;

(3) An electroneurodiagnostic technologist educational program with a polysomnographic technology track that is accredited by the Commission on Accreditation of Allied Health Education Programs;

(4) An Accredited Sleep Technologist Educational Program (A-STEP) that is accredited by the American Academy of Sleep Medicine; or

(5) Any other educational program incorporating both formal instruction and supervised clinical practice as recommended by the Council and approved by the Board.

(78 Del. Laws, c. 407, § 1.)

§ 1799W Polysomnography Advisory Council.

(a) The Council consists of 5 voting members. The 5 voting members shall consist of 1 physician member certified in the field of sleep medicine and 4 council members who are credentialed registered polysomnographic technologists and have been primarily employed in the practice of polysomnography in this State for at least 3 years immediately prior to appointment. The 4 polysomnography practicing members shall be licensed pursuant to this subchapter no later than July 1, 2014, and all polysomnography practicing members thereafter shall be licensed pursuant to this subchapter. The Council may elect officers as necessary.

(b) Each council member is appointed by the Board for a term of 3 years, and may succeed himself or herself for 1 additional 3-year term. A person appointed to fill a vacancy on the Council is entitled to hold office for the remainder of the unexpired term of the former member. Each term of office expires on the date specified in the appointment; however, a council member whose term of office has expired remains eligible to participate in council proceedings until replaced by the Board. A person who has never served on the Council may be

appointed to the Council for 2 consecutive terms, but the person is thereafter ineligible for appointment to the Council except as hereinafter provided. A person who has been twice appointed to the Council or who has served on the Council for 6 years within any 9-year period may not again be appointed to the Council until an interim period of at least 1 year has expired since the person last served. The members of the Council are to be compensated at an appropriate and reasonable level as determined by the Division of Professional Regulation and may be reimbursed for meeting-related travel expenses at the State's current approved rate. A member serving on the Council may not be an elected officer or a member of the board of directors of any professional association of polysomnographers.

(c) The Council shall promulgate rules and regulations governing the practice of polysomnography, after public hearing and subject to the approval of the Board. The Board must approve or reject proposed rules or regulations submitted to it by the Council within 60 days. If the Board fails to approve or reject the proposed rules or regulations within 60 days, the proposed rules or regulations are deemed to be approved by the Board.

(d) The Council shall meet quarterly, and at such other times as license applications are pending and evaluate the credentials of all persons applying for a license as a licensed polysomnographer in this State, in order to determine whether such persons meet the qualifications for licensing set forth in this chapter. The Council shall present to the Board the names of individuals qualified to be licensed and shall recommend disciplinary action against licensees as necessary, and shall suggest changes in operations or regulations. The Board shall approve or reject these recommendations within a reasonable time period.

(e) *License suspension, revocation, or nonrenewal.* — (1) The Council, after appropriate notice and hearing, may recommend to the Board that the Board revoke, suspend, or refuse to issue a license, or place the licensee on probation, or otherwise discipline a licensee found guilty of unprofessional conduct. Unprofessional conduct includes, but is not limited to, fraud, deceit, incompetence, negligence, dishonesty, or other behavior in the licensee's professional activity which is likely to endanger the public health, safety, or welfare. The Council may recommend and the Board may take necessary action against a licensed polysomnographer, who is unable to render services with reasonable skill or safety to patients because of mental illness or mental incompetence, physical illness, or the excessive use of drugs including alcohol. Disciplinary action or other action taken against a licensed polysomnographer must be accordance with the procedures for disciplinary and other actions against physicians, including appeals as set forth in subchapter IV of this chapter except that a hearing panel for a complaint against a licensed polysomnographer consists of 3 members; 1 of the 3 shall be a physician member of the Board; 2 of the 3 shall be unbiased members of the Council; and if no conflict exists, 1 of the 2 council members shall be the Chair of the Council. The Chair of the hearing panel shall be 1 of the council panel members.

(2) a. In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, the Council may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Council Chair or the Chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporary suspended person requests a continuance of the hearing date. If the temporary suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

b. As soon as possible after the issuance of an order temporarily suspending a polysomnographer's license to practice pending a hearing, the Council Chair shall appoint a 3-member hearing panel consisting of 3 members; 1 of the 3 shall be a physician member of the Board; 2 of the 3 shall be unbiased members of the Council; and if no conflict exists, 1 of the 2 Council members shall be the Chair of the Council. The Chair of the hearing panel shall be 1 of the Council panel members; or the complaint may be scheduled before a hearing officer pursuant to § 8735(v)(1)d. of Title 29. The hearing shall be convened within 60 days of the date of issuance of the order of temporary suspension to consider the evidence regarding the matters alleged in the complaint. If a polysomnographer requests in a timely manner an expedited hearing, the hearing panel shall convene within 15 days of the receipt of the request by the Council. A decision shall be rendered within 30 days of the hearing.

c. In addition to making findings of fact, the hearing panel or hearing officer shall also determine whether the facts found by it constitute a clear and immediate danger to public health. If the hearing panel or hearing officer determines that the facts found constitute a clear and immediate danger to public health, the order of temporary suspension must remain in effect until the Board deliberates and reaches conclusions of law based upon the findings of fact. An order of temporary suspension may not remain in effect for longer than 60 days from the date of the decision rendered unless the suspended polysomnographer requests an extension of the order pending a final decision of the Board. Upon the final decision of the Board, an order of temporary suspension is vacated as a matter of law and is replaced by the disciplinary action, if any, ordered by the Board.

(78 Del. Laws, c. 407, § 1; 70 Del. Laws, c. 186, § 1; 79 Del. Laws, c. 223, § 1; 81 Del. Laws, c. 97, § 16.)

§ 1799X Licensure.

(a) On and after July 1, 2014, any person who is engaged in the practice of polysomnography must be licensed as provided in this

chapter. It shall be unlawful for any person to engage in the practice of polysomnography on or after July 1, 2014, unless such person is practicing polysomnography under the provisions of this chapter.

(b) *Polysomnographic license.* — (1) A licensed polysomnographer may provide sleep-related services under the general supervision of a licensed physician;

(2) A person seeking licensure as a licensed polysomnographer must present proof that the person meets the following requirements:

a. Must be at least 18 years of age, and must pay the fees established by the Division of Professional Regulation;

b. The licensed polysomnographer applicant must have successfully completed 1 of the educational requirements in § 1799V of this title and passed an exam which is accredited by an independent outside agency and recommended by the Council and approved by the Board unless otherwise exempt pursuant to paragraph (b)(3) of this section;

c. The licensed polysomnographer applicant must meet any additional educational or clinical requirements established by the Board.

(3) Any individual who is engaged in the practice of polysomnography as of July 1, 2011, shall be eligible for licensure under this chapter without meeting the educational requirement in § 1799V of this title, if the individual has:

a. Passed the national certifying examination given by the BRPT;

b. Been credentialed by the BRPT; and

c. Met any additional educational or clinical requirements established by the Council and approved by the Board.

(4) Before practicing polysomnography, an individual must obtain a polysomnographic license from the Board.

(5) To be eligible for renewal of a license to engage in the practice of polysomnography, a licensed polysomnographer must continue to be credentialed by BRPT, or other organization approved by the Council.

(6) *Licensure.* — a. No person shall represent oneself or engage in the practice of polysomnography as a licensed polysomnographer in this State or use the title "polysomnographer," "licensed polysomnographer," "LPSGT," or any combination of above terms and/or abbreviations unless such a person is licensed under this subchapter.

b. This subchapter does not prohibit or restrict:

1. Any person licensed in this State under any chapter of this title who are physicians or other healthcare professionals from engaging in the practice for which that person is licensed;

2. The practice of polysomnography by a person who is employed by the United States or state government or any of its bureaus, divisions, or agencies while in the discharge of the employee's official duties;

3. The supervised practice of polysomnography of a person pursuing a course of study leading to a certificate or degree in polysomnography or an equivalent major, as authorized by the Board, from an accredited school or program approved by the Council, if the activities and services constitute a part of a supervised course of study and if the person is designated by a title that clearly indicates the person's status as a student. This period is not to exceed 2 years unless written approval is provided by the Board. The individual will be supervised by an individual licensed under this subchapter or a physician; or

4. The provision of diagnostic electroencephalograms conducted in accordance with the guidelines of the American Clinical Neurophysiology Society.

c. The provisions of this subchapter shall not apply to licensed respiratory care practitioners.

(c) *Waiver of requirements.* — The Polysomnography Advisory Council, by the affirmative vote of 5 of its members and with the approval of the Board within 30 days of the vote, may waive the quarterly meeting requirements of § 1799W(d) of this title.

(d) *License denial.* — If it appears to the Board that an applicant has been intentionally fraudulent or that an applicant has intentionally submitted, or intentionally caused to be submitted, false information as part of the application process, the Board may not issue a license to the applicant and must report the incident of fraud or submitting false information to the Office of the Attorney General for further action.

(e) An applicant who is applying for licensure under this subchapter shall:

(1) Submit an application prescribed by the Council.

(2) Submit a certified criminal background check pursuant to § 1720(b)(6) of this title.

(3) The applicant may not have an impairment related to the current use of drugs or alcohol which substantially impairs the practice of polysomnography with reasonable skill and safety.

(f) The Board may refuse or reject an applicant, if after hearing, the Board finds that:

(1) The applicant has engaged in activities that are grounds for discipline under § 1799BB of this title.

(2) The applicant has been convicted of a crime substantially related to the practice of polysomnography as determined by the Board in its rules and regulations.

(3) The applicant has been the recipient of any administrative penalties from any other jurisdiction or jurisdictions regarding the applicant's practice of polysomnography, including but not limited to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, and/or has entered into any "consent agreements" which contain conditions placed by a Board on the applicant's professional conduct and practice, including any voluntary surrender of a license in lieu of discipline.

(g) *Waiver of requirements.* — The Council, by the affirmative vote of 3 of its members and with the approval of the Board within a reasonable period of time from the vote, shall waive the requirements of paragraph (f)(2) of this section if it finds, after consideration of the

factors set forth in § 8735(x)(3) of Title 29, that a waiver would not create an unreasonable risk to public safety.

(1)-(5) [Repealed.]

(h) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification, and imposed higher or different standards for the person than for other applicants or licensees, or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(78 Del. Laws, c. 407, § 1; 83 Del. Laws, c. 433, § 11.)

§ 1799Y Endorsement.

An applicant for licensure by endorsement must possess a current license in a state which has licensing requirements substantially similar to or exceeding the requirements of this subchapter, and there may not be any outstanding or unresolved complaints against the applicant.

(78 Del. Laws, c. 407, § 1.)

§ 1799Z Continuing education.

The Council, with the approval of the Board, is authorized to adopt regulations specifying continuing education requirements which must be met by a licensee before a licensee will be eligible for renewal of his or her license.

(78 Del. Laws, c. 407, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1799AA Issuance and renewal of licenses; fees.

(a) The Division of Professional Regulation shall establish reasonable fees for licensing polysomnographers and for biennial license renewal.

(b) The Board shall issue a license to each applicant who meets the requirements of this subchapter for licensure as a polysomnographer and who pays the established fees.

(c) Each license shall be renewed biennially, in such manner as is determined by the Division and upon payment of the appropriate fee and submission of a renewal form provided by the Division, and proof that the licensee has met the continuing education requirements established by § 1799Z of this title.

(d) The Council, in its rules and regulation, shall determine the period of time within which a licensee may still renew the licensee's license and determine late fees associated with the license renewal, notwithstanding the fact that such licensee has failed to renew on or before the renewal date, provided, however that such period shall not exceed 1 year.

(e) A licensee, upon written request, may be placed in an inactive status for no more than 5 years. Such person, who desires to reactivate that person's license, shall complete a board-approved application form, obtain an updated certified criminal background check, submit a renewal fee, and proof of fulfillment of continuing education requirements in accordance with the rules and regulation of the Council.

(78 Del. Laws, c. 407, § 1.)

§ 1799BB Grounds for discipline, sanctions, or penalties.

(a) The following conditions and actions of a polysomnographer may result in disciplinary action as set forth in subsection (b) of this section if, after a hearing, the Board finds that an applicant or polysomnographer:

(1) Has employed or knowingly cooperated in fraud or material deception in order to be licensed: or

(2) Has engaged in illegal, incompetent or negligent conduct in the provision of polysomnography; or

(3) Has, in the practice of the profession, knowingly engaged in an act of consumer fraud or deception; or

(4) Has violated the code of ethics as established by the AASM, BRPT or and other organization approved by the Council; or

(5) Has violated a lawful provision of this subchapter or any lawful rule or regulation established hereunder; or

(6) Has been convicted of a crime substantially related to the practice of polysomnography as determined by the Board in its rules and regulations; or

(7) Has been convicted of a sexual felony offense.

(b) Persons licensed under this subchapter who have been determined to be in violation of this subchapter shall be subject to the following disciplinary actions:

(1) Issuance of a letter of reprimand.

(2) Censure.

(3) Placement on probationary status.

(4) Denial of license.

(5) Suspension of license.

(6) Revocation of license.

(7) Impose a monetary penalty not to exceed $500 for each violation.

(c) As a condition of reinstatement of a suspended license or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this subchapter.

(78 Del. Laws, c. 407, § 1.)

§ 1799CC Unauthorized practice of polysomnography.

Whoever engages in the practice of polysomnography or attempts to engage in the practice of polysomnography contrary to the provisions of this subchapter shall be guilty of a class G felony and shall be fined not less than $500 and not more than $1,500, or imprisoned not more than 2 years, or both.

(78 Del. Laws, c. 407, § 1.)

§ 1799DD Procedure or action not described.

This subchapter governs the practice of polysomnography. If a procedure or action is not specifically prescribed in the subchapter, but is prescribed in the subchapters relating to the practice of medicine, and the procedure or action would be useful or necessary for the regulation of polysomnographic practitioners, the Board may, in its discretion, proceed in a manner prescribed for physicians in the practice of medicine.

(78 Del. Laws, c. 407, § 1.)

§ 1799EE Duty to report conduct that constitutes grounds for discipline or inability to practice.

(a) Every person to whom a license to practice has been issued under this subchapter has a duty to report to the Division of Professional Regulation in writing information that the licensee reasonably believes indicates that any other practitioner licensed under this chapter or any other healthcare provider has engaged in or is engaging in conduct that would constitute grounds for disciplinary action under this chapter or the other healthcare provider's licensing statute.

(b) Every person to whom a license to practice has been issued under this subchapter has a duty to report to the Division of Professional Regulation in writing information that the licensee reasonably believes indicates that any other practitioner licensed under this chapter or any other healthcare provider may be unable to practice with reasonable skill and safety to the public by reason of mental illness or mental incompetence; physical illness, including deterioration through the aging process or loss of motor skill; or excessive abuse of drugs, including alcohol.

(c) Every person to whom a license to practice has been issued under this subchapter has a duty to report to the Division of Professional Regulation any information that the reporting person reasonably believes indicates that a person certified and registered to practice medicine in this State is or may be guilty of unprofessional conduct or may be unable to practice medicine with reasonable skill or safety to patients by reason of mental illness or mental incompetence; physical illness, including deterioration through the aging process or loss of motor skill; or excessive use or abuse of drugs, including alcohol.

(d) All reports required under subsections (a), (b) and (c) of this section must be filed within 30 days of becoming aware of such information. A person reporting or testifying in any proceeding as a result of making a report pursuant to this section is immune from claim, suit, liability, damages, or any other recourse, civil or criminal, so long as the person acted in good faith and without gross or wanton negligence; good faith being presumed until proven otherwise, and gross or wanton negligence required to be shown by the complainant.

(78 Del. Laws, c. 407, § 1.)

Subchapter XIII

Midwifery Practitioners

§ 1799FF Definitions.

As used in this subchapter:

(1) "Board" means the Board of Medical Licensure and Discipline.

(2) "Certified midwife" or "CM" means a practitioner who has received certification by the American Midwifery Certification Board or its equivalent or successor.

(3) "Certified professional midwife" or "CPM" means a practitioner who has received certification by the North American Registry of Midwives (NARM) or its equivalent or successor.

(4) "Client" means a woman under the care of a midwife and, when applicable in the context of care, the newborn.

(5) "Council" means the Midwifery Advisory Council.

(6) "Home birth" means a birth outside of a hospital or an otherwise accredited or licensed hospital or medical facility.

(7) "License" means, unless the context requires otherwise, a license issued by the Board to practice midwifery.

(8) "Midwife" means a person who practices midwifery.

(9) "Midwifery" means the practice of providing supervision, care, and advice to a client during prepartum, pregnancy, labor, and the postpartum periods, and conducting deliveries on the midwife's own responsibility or in collaboration with a licensed physician, or licensed Delaware health-care delivery system. The licensed practice of midwifery includes taking certain safety measures and identifying the physical, social and emotional needs of the client. The practice of midwifery requires that level of education, experience, knowledge, and skill ordinarily expected of an individual who meets the requirements for licensure pursuant to this chapter. In order to practice midwifery in the State, a midwife must be licensed pursuant to this chapter. For the purposes of this chapter, "midwifery" does not include the practice of certified nurse midwives, as defined in Chapter 19 of this title, nor does it include the practice of a person licensed to practice medicine pursuant to this chapter.

(10) “Midwifery student” means a person who is enrolled in an accredited educational program and who may provide midwifery services as a part of that person’s educational program.

(11) “Practice of a certified midwife” means the management of women’s health care, pregnancy, childbirth, postpartum care for newborns, family planning, and gynecological services consistent with the Standards of Practice of the American College of Nurse-Midwives and the education, training, and experience of the certified midwife.

(12) “Practice of a certified professional midwife” means the provision of continuous care for women throughout the childbearing cycle which CPMs are qualified to provide. The CPM credential requires knowledge about and experience in out-of-hospital settings. The scope of practice of the licensed CPM is further derived from the North American Registry of Midwives Job Analysis, state laws and regulations, and individual practice guidelines developed according to acquired professional skills and knowledge.

(80 Del. Laws, c. 33, § 1; 80 Del. Laws, c. 258, § 1.)

§ 1799GG Subchapter exemptions and limitations.

(a) Nothing in this subchapter shall limit, preclude, or otherwise interfere with the professional activities of other individuals and health-care providers who are allowed to practice obstetrics and gynecology, nor shall this subchapter apply to certified nurse midwives as defined by Chapter 19 of this title.

(b) Nothing in this subchapter shall prohibit or restrict the directly supervised practice of midwifery by a midwifery student pursuing a course of study leading to a credential in midwifery or an equivalent major, as recommended by the Council and approved by the Board, from an accredited school or program recommended by the Council and approved by the Board, if the activities and services constitute a part of a supervised course of study and if the midwifery student is under the direct supervision of a midwife licensed under this subchapter and is designated by a title that clearly indicates the person’s status as a student, provided this course of study shall not exceed 5 years unless the Council recommends and the Board provides written approval for an extension.

(80 Del. Laws, c. 33, § 1; 80 Del. Laws, c. 258, § 2.)

§ 1799HH Midwifery Advisory Council.

(a) The Board of Medical Licensure and Discipline shall form the Midwifery Advisory Council (Council) which consists of 7 voting members. The members shall consist of: 4 midwives, 2 CMs and 2 CPMs, whenever possible; 1 certified nurse midwife (CNM) as described in Chapter 19 of this title; 1 practicing obstetrician with hospital admitting privileges who is a member of the American Congress of Obstetricians and Gynecologists and certified by the American Board of Obstetrics and Gynecology; and 1 practicing pediatrician with hospital admitting privileges and certification from the American Board of Pediatrics. The inaugural midwife members shall be licensed pursuant to this subchapter no later than October 1, 2016, and all midwife members thereafter shall be licensed pursuant to this subchapter. The Council may elect officers as necessary. The Chair of the Council shall be a midwife in good standing. The members of the Council shall be compensated at an appropriate and reasonable level as determined by the Division of Professional Regulation and may be reimbursed for meeting-related travel expenses at the State’s current approved rate.

(b) Members shall be appointed so that the terms of 4 members, including no more than 2 midwives, shall expire 2 years after the initial appointment and that the terms of the remaining 3 members shall expire 3 years after the initial appointment. Thereafter, members are each appointed by the Board of Medical Licensure and Discipline for a term of 3 years, subject to removal by the Governor for neglect of duty, malfeasance, or misfeasance in office, and may succeed himself or herself for 1 additional 3-year term; provided, however, that if a member is initially appointed to fill a vacancy, the member may succeed himself or herself for only 1 additional 3-year term. A person appointed to fill a vacancy on the Council is entitled to hold office for the remainder of the unexpired term of the former member. Each term of office expires on the date specified in the appointment; however, a council member whose term of office has expired remains eligible to participate in council proceedings until replaced by the Board. A person who has been twice appointed to the Council or who has served on the Council for 6 years within any 9-year period may not again serve until an interim period of at least 1 year has expired since the person last served.

(c) The Council shall promulgate rules and regulations governing the practice of midwifery, the scope of practice of CMs and the scope of practice of CPMs, after public hearing and subject to the approval of the Board of Medical Licensure and Discipline. The Board must approve or reject proposed rules or regulations submitted to it by the Council within 60 days. If the Board fails to approve or reject the proposed rules or regulations within 60 days, the proposed rules or regulations are deemed to be approved by the Board. Such rules and regulations shall include, but not be limited to:

(1) Procedures for the examination of applicants and issuance of licenses to those applicants it finds qualified;

(2) Licensing and licensing renewal requirements;

(3) Standards for education, and training programs and the procedures for denial, revocation, or suspension of such program for failure to meet or maintain the standards;

(4) Continuing education requirements for licensed midwives;

(5) Description of the responsibilities of the midwife toward the client and her newborn in the antepartum, intrapartum, and the postpartum periods, including newborn assessment and screening consistent with existing statute.

(6) Practice standards for licensed midwives that shall include, but shall not be limited to:

a. Adoption of ethical standards for licensed midwives;

b. Maintenance of records of care, including client charts and birth statistics;

c. Participation in peer review and continuing education with midwives, physicians and nurses;

d. Requirement to have a second attendant certified in neonatal resuscitation at birth;

e. Description of the tools and equipment a midwife may and may not use during delivery; and

f. Medications and tests the midwife is authorized to obtain and administer in various settings as delineated in regulation.

(d) *License suspension, revocation, and nonrenewal.* — (1) The Council, after appropriate notice and hearing, may recommend to the Board of Medical Licensure and Discipline that the Board revoke, suspend, or refuse to issue a license, or place a licensee on probation, or otherwise discipline a licensee found guilty of unprofessional conduct. Unprofessional conduct includes, but is not limited to, fraud, deceit, incompetence, gross negligence, dishonesty, failure to adhere to the procedures of § 1799JJ of this title below, or other behavior in the licensee's professional activity which is likely to endanger the public health, safety, or welfare. The Council shall recommend that the Board permanently revoke the license of a person who is convicted of a felony sexual offense. The Council may recommend and Board may take necessary action against a midwife who is unable to practice midwifery with reasonable skill or safety to clients because of physical or mental illness, impairment or incompetence; including illness, impairment, or incompetence caused by or related to substance abuse. Disciplinary action or other action taken against a midwife must be in accordance with the procedures for disciplinary and other actions against licensed health professionals, including appeals as set forth in subchapter IV of this chapter except that a hearing panel for a complaint against a midwife shall be convened as described below.

(2) In the event of a formal or informal complaint concerning the activity of a licensee that the Board determines, exercising its reasonable discretion, presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Council Chair or the Council Chair's designee.

a. An order temporarily suspending a license to practice may not be issued by the Board, unless the midwife or the midwife's attorney received at least 24 hours' written or oral notice prior to the temporary suspension and unless the Secretary of State or the Secretary's designee, and the Council Chair or the Council Chair's designee concur. At a minimum, the initial oral or written notice will inform the midwife or the midwife's attorney of:

1. The essential terms of the suspension;

2. The reason for the suspension;

3. The midwife's right to a hearing; and

4. The midwife's right to an expedited hearing.

b. Within 48 hours of receiving the initial oral or written notice, a midwife whose license has been temporarily suspended pursuant to this section must be formally notified of the temporary suspension in writing. Formal notification consists of, at minimum:

1. A copy of the complaint;

2. The order of temporary suspension pending a hearing; and

3. A description of the hearing including the midwife's right to request an expedited hearing,

personally served upon the midwife or sent by certified mail, return receipt requested, to the midwife's last known address.

c. A midwife whose license to practice has been temporarily suspended pursuant to this section may request, and has a right to, an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received formal notification of the decision to temporarily suspend the person's license.

d. As soon as possible after the issuance of an order temporarily suspending a midwife's license to practice pending a hearing, the Council Chair shall appoint a 3-member hearing panel consisting of 3 members; 1 of the 3 shall be an unbiased physician member of the Council or Board; 2 of the 3 shall be unbiased midwife members of the Council; and if possible and no conflict exists, at least 1 of the 2 Council midwife members shall be of the same licensure type as the midwife under complaint. The Chair of the hearing panel shall be 1 of the Council midwife members. After notice to the midwife pursuant this section, the hearing panel shall convene within 60 calendar days of the date of the issuance of the order of temporary suspension to consider the evidence regarding the matters alleged in the complaint. If a midwife requests an expedited hearing, the hearing panel shall convene within 15 calendar days of the receipt by the Council of the request. The 3-member panel shall conduct a hearing in accordance with the procedures set forth in § 1734 of this title and shall render a decision within 15 calendar days of the hearing. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended midwife requests a continuance of the hearing date. If the midwife requests a continuance, the order of temporary suspension will remain in effect until the hearing panel convenes and a decision is rendered by the Board.

e. In addition to making findings of fact, the hearing panel shall also determine whether the facts found by it constitute a clear and immediate danger to public health. If the hearing panel determines that the facts found constitute a clear and immediate danger to public health, the order of temporary suspension must remain in effect until the Board, under § 8735 of Title 29, deliberates and reaches conclusions of law based upon the findings of fact made by the hearing panel. An order of temporary suspension may not remain in effect for longer than 60 days from the date of the decision rendered by the hearing panel unless the suspended midwife requests an extension of the order pending a final decision of the Board. Upon the final decision of the Board, an order of temporary

suspension is vacated as a matter of law and is replaced by the disciplinary action, if any, ordered by the Board.

(e) The Council shall meet at least quarterly and at such other times as license applications are pending. The Council shall present to the Board the names of individuals qualified to be licensed, shall recommend disciplinary action against licensees as necessary, and shall suggest changes in operations or regulations pursuant to this section. The Council shall keep minutes of its meetings which shall be available to the public upon FOIA request, except that information discussed by the Council concerning a mother or child which is private in nature or which would tend to reveal the identity of a client of a midwife shall be discussed in executive session pursuant to Chapter 100 of Title 29.

(f) The Board shall grant limited prescriptive authority upon the issuance of a certified professional midwife license or certified midwife license. The Council shall recommend and the Board shall approve the parameters of the limited prescriptive authority in its regulations.

(80 Del. Laws, c. 33, § 1; 80 Del. Laws, c. 258, §§ 3, 4; 81 Del. Laws, c. 97, § 5; 81 Del. Laws, c. 424, § 15.)

§ 1799II Licensure.

(a) To be eligible for licensure by the Board as a certified professional midwife, an applicant shall:

(1) Possess a valid CPM credential or another valid credential from an accrediting organization as recommended by the Council and approved by the Board; however; applicants who obtain the CPM credential after December 31, 2019, are required to also have obtained an education accredited by the Midwifery Education and Accreditation Council (MEAC), or another midwifery education accreditation organization as recommended by the Council and approved by the Board, and training and education which meet the International Confederation of Midwives (ICM) standards and guidelines as applicable to the scope of midwives licensed under this subchapter;

(2) Be at least 21 years of age;

(3) Shall not have been assessed any administrative penalties regarding the applicant's practice of midwifery, including but not limited to fines, formal reprimands, license suspension or revocation — except for license suspension or revocation for nonpayment of license renewal fee or unlicensed practice penalties assessed prior to the establishment of the Council — and/or probationary limitations;

(4) Shall not have been convicted of or may not have admitted under oath to having committed a crime substantially related to the practice of midwifery.

(5) Be a graduate of a high school or its equivalent;

(6) Meet minimum educational requirements as required for attainment of the CPM credential, including prepartum, prenatal, intrapartum, and postpartum care of the mother and baby, and risk assessment for the mother and baby during this period;

(7) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, may not have a conviction of a felony sexual offense; and

(8) Shall have completed a course in pharmacology and intravenous therapy recommended by the Council and approved by the Board.

(b) To be eligible for licensure by the Board as a certified midwife, an applicant shall:

(1) Possess a valid CM credential, or another valid credential from an accrediting organization as recommended by the Council and approved by the Board;

(2) Be at least 21 years of age;

(3) Shall not have been assessed any administrative penalties regarding the applicant's practice of midwifery, including but not limited to fines, formal reprimands, license suspension or revocation — except for license suspension or revocation for nonpayment of license renewal fee or unlicensed practice penalties assessed prior to the establishment of the Council — and probationary limitations;

(4) Not have been convicted of or shall not have admitted under oath to having committed a crime substantially related to the practice of midwifery.

(5) Be a graduate of a high school or its equivalent;

(6) Meet the minimum educational requirements as required for attainment of the CM credential including successful completion of a midwifery education program accredited by the Accreditation Commission for Midwifery Education (ACME) or meet the education standards approved by the International Confederation of Midwives (ICM);

(7) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, may not have a conviction of a felony sexual offense; and

(8) Shall have completed a course in pharmacology and intravenous therapy recommended by the Council and approved by the Board.

(c) The Council may waive the requirements of paragraphs (a)(3), (a)(4), (b)(3), and (b)(4) of this section if its finds all of the following by clear and convincing evidence:

(1) The applicant's education, training, qualifications, and conduct have been sufficient to overcome the deficiency or deficiencies in meeting the requirements of this section;

(2) The applicant is capable of practicing midwifery in a competent and professional manner;

(3) The granting of the waiver will not endanger the public health, safety, or welfare; and

(4) For waiver of a conviction if, after consideration of the factors set forth in § 8735(x)(3) of Title 29, the Council determines that granting a waiver would not create an unreasonable risk to public safety, the Coucil shall grant a waiver.

(5) [Repealed.]

(d) Any termination, revocation, or suspension of a certification from NARM, AMCB, or other midwifery certifying organization, or discipline from the same must be promptly reported to the Council.

(e) Licensure must be renewed every 2 years.

(f) An applicant for licensure to practice midwifery shall submit a certified criminal background check pursuant to § 1720(b)(6) of this title. An applicant may not be certified until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction that is substantially related to the practice of midwifery pursuant to paragraphs (a)(4) or (a)(7) of this section or paragraphs (b)(4) or (b)(7) of this section may not be certified by the Board unless a waiver is granted pursuant to subsection (c) of this section. The State Bureau of Identification may release any subsequent criminal history to the Board and Council.

(g) Except for unlicensed practice of midwifery established prior to June 30, 2016, the information obtained thereby may be used by the Board and Council to determine the applicant's eligibility for licensing under this chapter.

(h) It shall be unlawful for any person to engage in the practice of midwifery after June 30, 2016, unless such person is licensed under the provisions of this chapter.

(80 Del. Laws, c. 33, § 1; 80 Del. Laws, c. 258, §§ 5, 6; 83 Del. Laws, c. 433, § 11.)

§ 1799JJ Client screening for homebirth delivery services.

The provisions of this section shall apply only to a midwife while providing home birth delivery services. For the purposes of obtaining informed consent as governed by this section, the mother that is part of the client shall give informed consent on behalf of herself and the newborn.

(1) When accepting a client for care, a midwife shall obtain the client's informed consent, which shall be evidenced by a written statement signed by both the midwife and the client which shall contain the following elements, in a form drafted by the Council and adopted by the Board, if the midwife offers home birth services:

a. An acknowledgement that home birth can include increased risk of death and disability for mother and child;

b. A clear statement that the risks have been explained and understood by the client;

c. A clear statement that the client is aware that the midwife is not a licensed physician or nurse, nor are they seeking the services of one for their home birth;

d. A newborn checklist describing the services and care of the newborn; and

e. Information regarding procedures in the event a transfer becomes necessary and that a transfer may be required to protect the safety of the client if signs or symptoms are observed by the midwife that necessitate such transfer that includes:

1. Estimated distance between the planned birth site and the receiving facility; and

2. Information regarding concurrent care policies at the receiving facility. The statement on concurrent care will be repeated orally to the client or, if the client is incapacitated, the client's designated agent, in the event of a transfer.

(2) When accepting a client for care, a midwife shall obtain in addition to the client's informed consent, a written statement in a form proposed by the Council and adopted by the Board, and signed by both the midwife and the client. The form shall certify that full disclosure has been made and acknowledged by the client as to each of the following items, with the client's acknowledgement evidenced by a separate signature adjacent to each item in addition to the client's signature and the date at the end of the form:

a. The name, address, telephone number, and license number of the licensed midwife;

b. A description of the midwife's education, training, and experience in midwifery in relation to both the mother and the newborn;

c. The nature and scope of the care to be given, including a description of the ante partum, intrapartum, and postpartum conditions requiring consultation, transfer of care, or transport to a hospital;

d. A copy of the written plan described in paragraph (3) of this section below which is particular to each client;

e. An explanation that in the event of an emergency or voluntary transfer that no liability from the actions of the midwife are assignable to the receiving facility or medical professional;

f. An explanation of the right of the client to file a complaint with the Council and instructions on how to file a complaint with the Council;

g. A statement indicating that the client's records and any transaction with the midwife are confidential pursuant to the federal Health Insurance Portability and Accountability Act [P.L. 104-191];

h. A disclosure of whether the midwife carries malpractice or liability insurance; and

i. Any further information as required by the Council.

(3) A midwife shall prepare, in a form proposed by the Council and adopted by the Board, a written plan for the appropriate delivery of emergency care and provide the client with a copy of the plan as provided in paragraph (2) of this section. The plan shall address the following:

a. Consultation with other health-care providers;

b. Emergency transfer;

c. Access to neonatal intensive care units and obstetrical units or other patient care areas;

(4) A midwife shall provide an initial screening to ensure that each client receives safe and appropriate care and to determine whether any contraindications are present. A midwife will also perform ongoing screening and maintain, beginning at the time of the initial screening, a detailed health history in a form prescribed by the Council and adopted by the Board.

(5) Upon transfer of a client, emergency or otherwise, a midwife shall provide all records described in this section to the receiving care provider or facility and remain available to speak with the receiving health-care provider at the point of transfer about the course of

care provided to the client.

(6) A midwife offering home birth services shall only accept and provide care to those women who are classified as eligible for a home birth or midwife-assisted birth in accordance with evidence based standards proposed by the Council and adopted by the Board as being low risk pregnancy, labor, and delivery, which includes but is not limited to:

a. There is no preexisting maternal disease or condition likely to affect the pregnancy, such as uterine surgeries including Caesarean procedures and others, as recommended by the Council and approved by the Board;

b. There is no significant disease arising from the pregnancy;

c. There is a singleton fetus;

d. There appears to be a cephalic presentation prior to delivery;

e. The onset of labor occurs when the fetus has a gestational age greater than 37 weeks and less than 42 weeks, which period can be expanded or contracted if the Council and Board determine that it would be in the best interests of clients to do so; and

f. Labor is most likely to be spontaneous.

(7) The midwife must be able at all times to recognize the warning signs of conditions that render the woman ineligible for a midwife-assisted home birth. If a midwife determines at any time during the course of the pregnancy that a woman's condition may preclude attendance by the midwife, the client shall be informed that she should transfer to an appropriate, licensed, health-care provider. A midwife may and shall, at any time, terminate a relationship with a client if that midwife deems the woman is or has become ineligible for a midwife-assisted birth or home birth. The cause for termination must be documented and included in the health history described in paragraph (4) of this section. Such midwife shall inform the client of such termination in writing and recommend transfer to an appropriate licensed health-care provider.

(8) If a midwife identifies that the client demonstrates a high risk condition as defined by the Council and approved by the Board, the midwife shall refer the client to a physician with obstetrical hospital privileges for client assessment and/or screening, at the time the condition is noted by the midwife. In the event of an emergency, if the midwife determines that immediate termination of the relationship pursuant to paragraph (7) of this section would increase or create risk of death or injury to the mother or her infant, the midwife will immediately engage emergency medical services, and may continue to assist in the emergency.

(80 Del. Laws, c. 33, § 1.)

§ 1799KK Physician-midwife relationship.

(a) No health-care provider or facility shall be vicariously liable for an injury resulting from an act or omission by a midwife unless an employment and/or agency relationship has been established between the midwife and the health-care provider or facility.

(b) Upon the successful transfer of care of a client from a midwife to a licensed physician in accordance with § 1799JJ(1) of this title, if authorized by the client, physician, and facility the licensed midwife may provide concurrent care with a physician and surgeon and, be present during the labor and childbirth, and resume postpartum care, if appropriate.

(80 Del. Laws, c. 33, § 1.)

§ 1799LL Treatment or examination of minors.

(a) A parent, guardian or other caregiver, or an adult staff member, shall be present when a person licensed to practice midwifery under this chapter provides outpatient treatment to a minor client who is disrobed or partially disrobed or during an outpatient physical examination involving the breasts, genitalia or rectum, regardless of sex of the licensed person and client, except when rendering care during an emergency. When using an adult staff member to observe the treatment or examination, the adult staff member shall be of the same gender as the client when practicable. The minor client may decline the presence of a third person only with consent of a parent, guardian or other caregiver. The minor client may request private consultation with the person licensed to practice midwifery without the presence of a third person after the physical examination. Every hospital and nursing facility and similar facility that provides treatment to minors shall develop and implement policies regarding the treatment of minor clients that are consistent with the purposes of this section and will submit those policies for approval by the Department of Health and Social Services. Violations of approved policies will be treated as a violation of this section.

(b) When a minor client is to be disrobed, partially disrobed or will undergo a physical examination involving the breasts, genitalia or rectum, a person licensed to practice midwifery under this chapter shall provide notice to the person providing consent to treatment of the rights under this section. The notice shall be provided in written form or be conspicuously posted in a manner in which a minor client and the minor client's parent, guardian or other caregiver are made aware of the notice. In circumstances in which the posting or the provision to the client of the written notice would not convey the right to have a chaperone present, the person licensed to practice midwifery shall use another means to ensure that the client or person understands the right under this section.

(c) For the purposes of this section, "minor" is defined as a person 15 years of age or younger, "adult staff member" is defined as a person 18 years of age or older who acting under the direction of the licensed person or the employer of the licensed person or who is otherwise licensed under this chapter, "hospital" has the meaning prescribed by Chapter 10 of Title 16, and "nursing facility and similar facility" has the meaning prescribed by Chapter 11 of Title 16.

(d) The person licensed under this chapter that provides outpatient treatment to a minor pursuant to this section shall, contemporaneously with such treatment, note in the child's medical record the name of each person present when such treatment is being provided.

(80 Del. Laws, c. 33, § 1.)

§ 1799MM Recordkeeping.

(a) A person licensed under this chapter who is discontinuing practice in this State or who is leaving this State and who is not transferring client records to another person licensed to practice midwifery or medicine shall notify that person's clients of record by publishing a notice to that effect in a newspaper of daily circulation in the area where the person practices. The notice must be published at least 1 time per month over a 3-month period in advance of discontinuing the business or leaving the State and must explain how a client can procure that client's records. All clients of record who have not requested their records 30 days before the person discontinues the practice or leaves the State must be notified by first-class mail by the person to permit that person's clients to procure their records. Any client records that have not been procured within 7 years after the person discontinues practice or leaves the State may be permanently disposed of in a manner that ensures confidentiality of the records.

(b) If a person licensed under this chapter dies and has not transferred client records to another person licensed to practice midwifery or medicine and has not made provisions for a transfer of client records to occur upon the person's death, a personal representative of the person's estate shall notify the person's clients of record by publishing a notice to that effect in a newspaper of daily circulation in the area where the person practiced. The notice must be published at least 1 time per month over a 3-month period after the person's death and must explain how a former client can procure the client's records. All former clients who have not requested their records 30 days after such publication must be notified by first class mail by the personal representative of the estate to permit the clients to procure their records. Any client records that have not been procured within 7 years after the death of the person may be permanently disposed of in a manner that ensures confidentiality of the records.

(c) If a client changes from the care of 1 person licensed to practice midwifery or medicine to another person certified to practice midwifery or medicine, the former person shall transfer a copy of the records of the client to the current person upon the request of either the current person or the client. The former person may charge for the reasonable expenses of copying the client's records, according to a payment schedule established by the Board of Medical Licensure and Discipline. The actual cost of postage or shipping may also be charged if the records are mailed. Alternatively, if the client and current person agree, the former person may forward to the current person a summary of the client's record, in lieu of transferring the entire record, at no charge to the client. If a client changes care from 1 person certified to practice midwifery or medicine to another and fails to notify the former person, or leaves the care of the former person for a period of 7 years from the last entry date on the client's record and fails to notify the former person, or fails to request the transfer of records to the current person, then the former person shall maintain the client's records for a period of 7 years from the last entry date in the client's medical record, after which time the records may be permanently disposed of in a manner that insures confidentiality of the records.

(d) Clients, on their own behalf, shall have the right to obtain a copy of their records from any person certified to practice midwifery or medicine according to a payment schedule established by the Board of Medical Licensure and Discipline. The actual cost of postage or shipping may also be charged if the records are mailed.

(80 Del. Laws, c. 33, § 1.)

§ 1799NN Duty to report conduct that constitutes grounds for discipline or inability to practice.

(a) Every person to whom a license to practice has been issued under this subchapter has a duty to report to the Division of Professional Regulation in writing information that the licensee reasonably believes indicates that any other practitioner licensed under this chapter or any other health-care provider has engaged in or is engaging in conduct that would constitute grounds for disciplinary action under this chapter or the other health-care provider's licensing statute.

(b) Every person to whom a license to practice has been issued under this subchapter has a duty to report to the Division of Professional Regulation in writing information that the licensee reasonably believes indicates that any other practitioner licensed under this chapter or any other health-care provider may be unable to practice with reasonable skill and safety to the public by reason of: mental illness or mental incompetence; physical illness, including deterioration through the aging process or loss of motor skill; or excessive abuse of drugs, including alcohol.

(c) Every person to whom a license to practice has been issued under this subchapter has a duty to report to the Division of Professional Regulation any information that the reporting person reasonably believes indicates that a person certified and registered to practice medicine in this State is or may be guilty of unprofessional conduct or may be unable to practice medicine with reasonable skill or safety to clients by reason of: mental illness or mental incompetence; physical illness, including deterioration through the aging process or loss of motor skill; or excessive use or abuse of drugs, including alcohol.

(d) All reports required under subsections (a), (b) and (c) of this section must be filed within 30 days of becoming aware of such information. A person reporting or testifying in any proceeding as a result of making a report pursuant to this section is immune from claim, suit, liability, damages, or any other recourse, civil or criminal, so long as the person acted in good faith and without gross or wanton negligence; good faith being presumed until proven otherwise, and gross or wanton negligence required to be shown by the complainant.

(80 Del. Laws, c. 33, § 1.)

Subchapter VI

Physician Associates [For application of this subchapter, see 85 Del. Laws, c. 253, § 23]

§ 1770 The Regulatory Council for Physician Associates [For application of this section, see 85 Del. Laws, c. 253, § 23].

(a) The Regulatory Council for Physician Associates (Council) shall consist of 7 voting members, 1 of whom is a physician member appointed by the Board, 1 of whom is a physician who regularly collaborates with physician associates appointed by the Board, and 1 of whom is a pharmacist appointed by the Board of Pharmacy. The remaining 4 members, recommended by the Council and appointed by the Board, must be practicing physician associates, subject to the same causes for removal as a physician member of the Board except that the requirement for certification and registration to practice medicine is replaced by licensure to practice medicine as a physician associate. The Council may elect officers as necessary and recommend Council members to the Governor for appointment to the Board.

(b) Each Council member must be appointed for a term of 3 years and may succeed oneself for 1 additional 3-year term. A person appointed to fill a vacancy on the Council is entitled to hold office for the remainder of the unexpired term of the former member. Each term of office expires on the date specified in the appointment; however, a member whose term of office has expired remains eligible to serve until replaced by the Board. A person who has never served on the Council may be appointed for 2 consecutive terms, but that person is thereafter ineligible for appointment to the Council except as hereinafter provided. A person who has twice been appointed to the Council or who has served on the Council for 6 years within any 9-year period may not again be appointed until an interim period of at least 1 year has expired since the person last served. The members of the Council are to be compensated at an appropriate and reasonable level as determined by the Division of Professional Regulation and may be reimbursed for meeting-related travel expenses at the State's approved rate. A member serving on the Council may not be an elected officer or a member of the board of directors of any professional association of physician associates.

(c) The Council, in accordance with the Administrative Procedures Act [Chapter 101 of Title 29], shall promulgate rules and regulations governing the practice of physician associates, subject to approval of the Board. The Board must approve or disapprove any proposed rule or regulation within 60 days of submission by the Council. If the Board fails to approve or disapprove the proposed rules or regulations within 60 days, the proposed rule or regulation is deemed approved by the Board.

(d) The Council must meet at least on a quarterly basis and at other such times as license applications are pending. The Council shall evaluate the credentials of all applications for licensure as a physician associate in this State, in order to determine whether the applicant meets the qualifications for licensure set forth in this chapter. The Council shall present to the Board the names of individuals qualified for licensing, shall review and consider disciplinary complaints and recommend disciplinary action against licensees as necessary, and shall suggest changes in operations or regulations.

(e) The Regulatory Council for Physician Associates, by the affirmative vote of 4 of its members and with the approval of the Board within 30 days of the vote, may waive the quarterly meeting requirements of this subchapter.

(75 Del. Laws, c. 141, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 319, § 1; 78 Del. Laws, c. 387, § 2; 81 Del. Laws, c. 97, § 7; 83 Del. Laws, c. 16, § 2; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 253, § 1.)

§ 1770A Physician associates; definitions [For application of this section, see 85 Del. Laws, c. 253, § 23] [Effective until fulfillment of the contingency in 85 Del. Laws, c. 459, § 4].

For purposes of this subchapter:

(1) "Collaborating physician" means a physician licensed by the Board who practices with a physician associate using a Collaborative Agreement.

(2) "Collaboration" or "collaborating" means a process in which the physician who oversees patient services and the physician associate jointly contribute to the healthcare and medical evaluation and treatment or management of patients with each performing actions the physician and physician associate is individually licensed for and has the education, training, and experience to perform. The collaborating physician must be available for consultation with the physician associate during the time of the patient encounter with the physician associate, if necessary to provide advice on the ongoing care of the patient. The constant physical presence of the collaborating physician is not required on-site in the practice setting, provided that the collaborating physician is readily accessible by some form of electronic communication.

(3) "Collaborative agreement" means a written document expressing an arrangement of collaboration between a licensed physician and a physician associate.

(4) "Independent practice" means practice and prescribing by a licensed physician associate who is not subject to a collaborative agreement to the full extent of the physician associate's education, training, and experience.

(5) "Physician assistant," "physician associate," or "PA" means an individual who meets all of the following:

a. Has graduated from a physician assistant, physician associate, or surgeon assistant program which is accredited by the Accreditation Review Commission on Education for the Physician Assistant (ARC-PA) or, before 2001, by the Committee on Allied Health Education and Accreditation (CAHEA) of the American Medical Association (AMA), or a successor agency acceptable to and approved by the Board, or has passed the Physician Assistant National Certifying Examination administered by the National Commission on Certification of Physician Assistants before 1986.

c. Has passed a national certifying examination acceptable to the Regulatory Council for Physician Associates and approved by the Board.

d. Is licensed under this chapter to practice medicine as a physician associate.

e. Has completed any continuing education credits required by rules and regulations developed under this chapter.

f. [Repealed.]

(68 Del. Laws, c. 147, § 2; 68 Del. Laws, c. 345, § 1; 69 Del. Laws, c. 355, §§ 3-5; 71 Del. Laws, c. 102, § 26; 74 Del. Laws, c. 262, § 30A; 75 Del. Laws, c. 141, § 1; 78 Del. Laws, c. 387, § 2; 83 Del. Laws, c. 16, § 2; 83 Del. Laws, c. 283, § 39; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 253, § 1; 85 Del. Laws, c. 459, § 1.)

§ 1770A Physician associates; definitions [For application of this section, see 85 Del. Laws, c. 253, § 23] [Effective upon fulfillment of the contingency in 85 Del. Laws, c. 459, § 4].

For purposes of this subchapter:

(1) "Collaborating physician" means a physician licensed by the Board who practices with a physician associate using a Collaborative Agreement.

(2) "Collaboration" or "collaborating" means a process in which the physician who oversees patient services and the physician associate jointly contribute to the healthcare and medical evaluation and treatment or management of patients with each performing actions the physician and physician associate is individually licensed for and has the education, training, and experience to perform. The collaborating physician must be available for consultation with the physician associate during the time of the patient encounter with the physician associate, if necessary to provide advice on the ongoing care of the patient. The constant physical presence of the collaborating physician is not required on-site in the practice setting, provided that the collaborating physician is readily accessible by some form of electronic communication.

(3) "Collaborative agreement" means a written document expressing an arrangement of collaboration between a licensed physician and a physician associate.

(4) "Dry needling" means an intervention that meets all of the following criteria:

a. Uses a thin filiform needle to penetrate the skin and stimulate underlying muscular tissue, connective tissues, and myofascial trigger points for the management of neuromusculoskeletal pain and movement impairments.

b. Is based upon Western medical concepts.

c. Requires a physician associate exam and diagnosis.

(5) "Independent practice" means practice and prescribing by a licensed physician associate who is not subject to a collaborative agreement to the full extent of the physician associate's education, training, and experience.

(6) "Physician assistant," "physician associate, or PA" means an individual who meets all of the following:

a. Has graduated from a physician assistant, physician associate, or surgeon assistant program which is accredited by the Accreditation Review Commission on Education for the Physician Assistant (ARC-PA) or, before 2001, by the Committee on Allied Health Education and Accreditation (CAHEA) of the American Medical Association (AMA), or a successor agency acceptable to and approved by the Board, or has passed the Physician Assistant National Certifying Examination administered by the National Commission on Certification of Physician Assistants before 1986.

c. Has passed a national certifying examination acceptable to the Regulatory Council for Physician Associates and approved by the Board.

d. Is licensed under this chapter to practice medicine as a physician associate.

e. Has completed any continuing education credits required by rules and regulations developed under this chapter.

f. [Repealed.]

(7) "Trigger points" means hyperirritable spots in skeletal muscle that are associated with palpable nodules in taut bands of muscle fibers. They can give rise to local or referred pain, autonomic phenomenon, and can cause limitations in range of motion and muscle activation.

(68 Del. Laws, c. 147, § 2; 68 Del. Laws, c. 345, § 1; 69 Del. Laws, c. 355, §§ 3-5; 71 Del. Laws, c. 102, § 26; 74 Del. Laws, c. 262, § 30A; 75 Del. Laws, c. 141, § 1; 78 Del. Laws, c. 387, § 2; 83 Del. Laws, c. 16, § 2; 83 Del. Laws, c. 283, § 39; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 253, § 1; 85 Del. Laws, c. 459, § 1.)

§ 1771 Physician's role in collaborating with a physician associate [For application of this section, see 85 Del. Laws, c. 253, § 23].

(a) A collaborating physician must be available for consultation with the physician associate. It is the obligation of each team of physicians and physician associates to ensure that the physician associate's scope of practice is identified, and is appropriate to the physician associate's level of education, training, and experience, that the relationship of, and access to, the collaborating physician is defined, and that a process for evaluation of the physician associate's performance is established.

(b) Each physician-physician associate team, hospital, clinic, medical group, or other healthcare facility is responsible for creating a written collaborative agreement, which must be kept on file at the primary location where the physician associate provides care, describing the information required by subsection (a) of this section. The written collaborative agreement shall be made available to the Board or the Council upon request.

(c) [Repealed.]

(d) A collaborating physician may not be involved in patient care in name only and must be involved in active patient care on a regular

basis.

(e) A collaborating physician may not assign medical acts to a physician associate that exceed the physician's license.

(f) A collaborating physician may not at any given time collaborate with more than 4 physician associates, unless a regulation of the Board increases or decreases the number. This limit does not apply to physicians and physician associates who practice in the same physical office or facility building, such as an emergency department so long as there is active, physician coverage.

(g) A physician who collaborates with a physician associate in violation of the provisions of this subchapter or of regulations adopted pursuant to this subchapter is subject to disciplinary action by the Board of Medical Licensure and Discipline for permitting the unauthorized practice of medicine.

(h) Hospitals, clinics, medical groups and other healthcare facilities may employ physician associates subject to subsection (f) of this section.

(i) If the collaborating physician is not routinely present the physician must assure that the means and methods of collaboration are adequate to assure appropriate patient care. This may include telecommunication, chart review, or other methods of communication and oversight that are appropriate to the care setting and the education, training, and experience of the physician associate.

(75 Del. Laws, c. 141, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 319, § 1; 78 Del. Laws, c. 387, § 2; 83 Del. Laws, c. 16, § 2; 85 Del. Laws, c. 253, § 1.)

§ 1772 Prohibited acts by a physician associate [For application of this section, see 85 Del. Laws, c. 253, § 23].

(a) A physician associate may not maintain or manage a location that does not have oversight by the physician associate's collaborating physician.

(b)-(d) [Repealed.]

(e) Nothing in this chapter may be construed to authorize a physician associate with less than 6,000 hours of postgraduate clinical practice to practice independent of a collaborating physician.

(f) Except as otherwise provided in this chapter or in a medical emergency, a physician associate may not perform any medical act without a collaborative agreement.

(g) A physician associate may not practice as a member of any other health profession regulated under this code unless the physician associate is certified, licensed, registered, or otherwise authorized to practice the other profession.

(h) A physician associate may not practice as a member of any other health profession regulated under this Code unless the means and methods of collaboration are adequate to assure appropriate patient care. This may include telecommunication, chart review, or other methods of communication and oversight that are appropriate to the care setting and the education, training, and experience of the physician associate.

(i) A Delaware licensed physician associate with more than 6,000 postgraduate clinical practice hours who has been granted independent practice authority is not subject to this section and must collaborate with, consult with, or refer to the appropriate member of the healthcare team as indicated by the patient's condition, and the education, experience, and competency of the physician associate.

(j) Any Delaware-licensed physician associate with more than 6,000 postgraduate clinical practice hours who intends to practice without a collaborative agreement must apply to the Regulatory Council for Physician Associates for independent practice authority.

(75 Del. Laws, c. 141, § 1; 70 Del. Laws, c. 186, § 1; 78 Del. Laws, c. 387, § 2; 83 Del. Laws, c. 16, § 2; 85 Del. Laws, c. 253, § 1.)

§ 1773 Regulation of physician associates [For application of this section, see 85 Del. Laws, c. 253, § 23].

(a) The Council must adopt rules and regulations which address the following:

(1) The licensing of physician associates to allow the following:

a. The practice of medicine within the education, training, and experience of physician associates.

b. The performance of medical services within the education, training, and experience of physician associates.

(2) Medical acts provided by physician associates to include all of the following:

a. The performance of complete patient histories and physical examinations.

b. The recording of patient progress notes in an in-patient or out-patient setting.

c. The ordering, relaying, transcribing, or executing of specific diagnostic or therapeutic orders or procedures.

d. Medical acts of diagnosis and prescription of therapeutic drugs and treatments; and referral of patients to specialists as needed.

e. Prescriptive authority for therapeutic drugs and treatments within the scope of physician associate practice. The physician associate's prescriptive authority and authority to practice as a physician associate are subject to biennial renewal upon application to the Physician Associate Regulatory Council.

f. The use of telemedicine as defined in this chapter and, as further described in regulation, the use of and participation in telehealth.

g. Pronouncing death and completing and signing a medical certification of death.

(3) The verification of postgraduate clinical practice hours for physician associates with more than 6,000 postgraduate clinical practice hours.

(4) Independent practice within a setting with at least 1 licensed Delaware physician. —

Creating an application form for physician associates with more than 6,000 postgraduate clinical practice hours to request independent practice authority for physician associates who intend to practice in a setting with at least 1 licensed Delaware physician in

the group, practice, or health system. The application form must require a physician associate to provide at least the physician associate's name, license number, physical location of practice, mailing address, phone number, primary area of medical practice, and proof of required postgraduate clinical practice hours.

(5) Independent practice within a setting without at least 1 licensed Delaware physician. —

Creating an application form for physician associates with more than 6,000 postgraduate clinical practice hours to request independent practice authority for physician associates who intend to practice in a setting without at least 1 licensed Delaware physician in the group, practice, or health system. The application form must require a physician associate to provide at least the physician associate's name, license number, physical location of the practice, mailing address, phone number, primary area of medical practice, proof of required postgraduate clinical practice hours, and proof that the physician associate has had training which aligns to the physician associate's practice areas.

(6) Changes to practice area for independent practice within a setting without at least 1 licensed Delaware physician. —

Creating an application form and process for physician associates in the group, practice, or health system, that have been granted independent practice authority, to notify the Physician Associates Regulatory Council prior to a change of the physician associate's practice area and provide proof the physician associate has had training that aligns to the new practice area.

(7) The conditions under which a physician associate who is denied a waiver of the collaborative agreement requirement may reapply.

(b) (1) The Board, in conjunction with the Regulatory Council for Physician Associates, must suspend, revoke, or restrict the license of a physician associate or take disciplinary action or other action against a physician associate for engaging in unprofessional conduct as defined in § § 1731(b) of this title; or for the inability to render medical acts with reasonable skill or safety to patients because of the physician associate's physical, mental, or emotional illness or incompetence, including but not limited to: deterioration through the aging process, or loss of motor skills, or excessive use of drugs, including alcohol; or for representing oneself as a physician, or for knowingly allowing oneself to be represented as a physician; for failing to report in writing to the Board within 30 days of becoming aware of any physician, physician associate, or healthcare provider who the licensee reasonably believes has engaged in unprofessional conduct as defined in § § 1731(b) of this title or is unable to act with reasonable skill or safety to patients because of the physician's, physician associate's, or other healthcare provider's physical, mental, or emotional illness or incompetence, including but not limited to deterioration through the aging process, or loss of motor skills, or excessive use of drugs, including alcohol, or for failing to report child abuse and neglect as required by § § 903 of Title 16. The license of any physician associate who is convicted of a felony sexual offense must be revoked. Disciplinary action or other action undertaken against a physician associate must be in accordance with the procedures, including appeal procedures, applicable to disciplinary actions against physicians pursuant to subchapter IV of this chapter, except that a hearing panel for a complaint against a physician associate consists of 3 unbiased members of the Regulatory Council, the 3 members being 2 physician associate members and 1 physician or pharmacist member if practicable.

A person reporting or testifying in any proceeding as a result of making a report pursuant to this section is immune from claim, suit, liability, damages, or any other recourse, civil or criminal, so long as the person acted in good faith and without gross or wanton negligence; good faith being presumed until proven otherwise, and gross or wanton negligence required to be shown by the complainant.

(2) a. If the Board or the Regulatory Council for Physician Associates receives a formal or informal complaint concerning the activity of a physician associate and the Regulatory Council members reasonably believe that the activity presents a clear and immediate danger to the public health, the Regulatory Council may issue an order temporarily suspending the physician associate's license to practice pending a hearing upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Council Chair or the Chair's designee. An order temporarily suspending a license to practice may not be issued by the Council unless the physician associate or the physician associate's attorney received at least 24 hours' written or oral notice prior to the temporary suspension so that the physician associate or the physician associate's attorney can be heard in opposition to the proposed suspension. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended physician associate requests a continuance of the hearing date. If the physician associate requests a continuance, the order of temporary suspension remains in effect until the hearing panel convenes and a decision is rendered.

b. A physician associate whose license to practice has been temporarily suspended pursuant to this section must be notified of the temporary suspension immediately and in writing. Notification consists of a copy of the complaint and the order of temporary suspension pending a hearing personally served upon the physician associate or sent by certified mail, return receipt requested, to the physician associate's last known address.

c. A physician associate whose license to practice has been temporarily suspended pursuant to this section may request an expedited hearing. The Council shall schedule the hearing on an expedited basis, provided that the Council receives the request within 5 calendar days from the date on which the physician associate received notification of the decision of the Council, with the approval of the Board, to temporarily suspend the physician associate's license to practice.

d. As soon as possible after the issuance of an order temporarily suspending a physician associate's license to practice pending a hearing, the Executive Director must appoint a 3-member hearing panel. After notice to the physician associate pursuant to paragraph (b)(2)b. of this section, the hearing panel shall convene within 60 days of the date of the issuance of the order of temporary suspension to consider the evidence regarding the matters alleged in the complaint. If the physician associate requests in a timely manner an expedited hearing, the hearing panel shall convene within 15 days of the receipt of the request by the Council. The 3-

member panel must proceed to a hearing and shall render a decision within 30 days of the hearing.

e. In addition to making findings of fact, the hearing panel shall also determine whether the facts found by it constitute a clear and immediate danger to public health. If the hearing panel determines that the facts found constitute a clear and immediate danger to public health, the order of temporary suspension must remain in effect until the Board deliberates and reaches conclusions of law based upon the findings of fact made by the hearing panel. An order of temporary suspension may not remain in effect for longer than 60 days from the date of the decision rendered by the hearing panel unless the suspended physician associate requests an extension of the order pending a final decision of the Board. Upon the final decision of the Board, an order of temporary suspension is vacated as a matter of law and is replaced by the disciplinary action, if any, ordered by the Board.

(c) The Board or the Regulatory Council for Physician Associates may not impose any sanction under subsection (b) of this section for the performance, recommendation, or provision of any healthcare service that is lawful in this State even if such performance, recommendation, or provision is for a person who resides in a state where such performance, recommendation, or provision is illegal or considered to be unprofessional conduct or the unauthorized practice of a physician associate.

(d) A nonhuman entity, including an agent powered by artificial intelligence, may not be licensed as a physician associate in this State. A nonhuman entity, including an agent powered by artificial intelligence, may not use the title physician associate or the abbreviation "PA."

(e) A physician associate may be designated as the primary care provider by an insurer or healthcare services corporation.

(f) A physician associate must collaborate with, consult with, and refer to the appropriate member of the healthcare team as indicated by the patient's condition, and the education, experience, and competencies of the physician associate.

(g) Physician associates must be authorized to bill for and receive direct payment for the medically-necessary services they deliver.

(1) To ensure accountability and transparency for patients, payers, and the healthcare system, when appropriate, a physician associate must also be identified as the rendering professional in the billing and claims process when the physician associate delivers medical or surgical services to patients.

(2) No insurance company or third-party payer may impose a practice, education, or collaboration requirement that is inconsistent with or more restrictive than physician associate state law or regulation.

(75 Del. Laws, c. 141, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 319, § 1; 77 Del. Laws, c. 325, § 19; 78 Del. Laws, c. 149; 78 Del. Laws, c. 387, § 2; 80 Del. Laws, c. 80, § 4; 81 Del. Laws, c. 97, § 8; 83 Del. Laws, c. 16, § 2; 83 Del. Laws, c. 327, § 1; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 102, § 3; 85 Del. Laws, c. 248, § 2; 85 Del. Laws, c. 250, § 3; 85 Del. Laws, c. 253, § 1.)

§ 1773A Participation in disaster or emergency care [For application of this section, see 85 Del. Laws, c. 253, § 23].

(a) A physician associate licensed in this State or licensed or authorized to practice in any other U.S. jurisdiction or credentialed as a physician associate by a federal employer who is responding to a need for medical care created by an emergency or a state or local disaster (excluding an emergency which occurs in that person's place of employment or practice) may render such care that the physician associate is able to provide without collaboration pursuant to § 1770A of this title or with such collaboration as is available.

(b) Any physician who collaborates with a physician associate providing medical care in response to such an emergency or state or local disaster shall not be required to meet the requirements set forth in this subchapter for a collaborating physician.

(c) A person licensed as a physician associate under this chapter who, in good faith and without gross or wanton negligence, renders emergency care at the scene of an emergency, excluding an emergency which occurs in that person's place of employment or practice, shall not be liable for civil damages as a result of any acts or omissions in rendering the emergency care.

(78 Del. Laws, c. 387, § 2; 70 Del. Laws, c. 186, § 1; 83 Del. Laws, c. 16, § 2; 85 Del. Laws, c. 253, § 1.)

§ 1774 Temporary licensing of physician associates [For application of this section, see 85 Del. Laws, c. 253, § 23].

(a) Notwithstanding any provision of this subchapter to the contrary, the Executive Director, with the approval of a Council member, may grant a temporary license to an individual who has graduated from a physician or surgeon assistant or associate program which has been accredited by the Accreditation Review Commission on Education for the Physician Assistant (ARC-PA) or, prior to 2001, by the Committee on Allied Health Education and Accreditation (CAHEA) of the American Medical Association (AMA) or a successor agency and who otherwise meets the qualifications for licensure but who has not yet taken a national certifying examination, provided that the individual is registered to take and takes the next scheduled national certifying examination. A temporary license granted pursuant to this subsection is valid until the results of the examination are available from the certifying agency. If the individual fails to pass the national certifying examination, the temporary license granted pursuant to this subsection must be immediately rescinded until the individual successfully qualifies for licensure pursuant to this subchapter.

(b) An individual who is temporarily licensed pursuant to this section may not have a prescriptive practice and may not perform medical acts except in the physical presence of the individual's collaborating physician.

(75 Del. Laws, c. 141, § 1; 70 Del. Laws, c. 186, § 1; 78 Del. Laws, c. 387, § 2; 81 Del. Laws, c. 97, § 9; 83 Del. Laws, c. 16, § 2; 85 Del. Laws, c. 253, § 1.)

§ 1774A Fees set by Board [For application of this section, see 85 Del. Laws, c. 253, § 23].

The Division of Professional Regulation shall establish fees for licensing physician associates, for renewing licenses on a biennial basis, and for other regulatory purposes. The fees must approximate the costs reasonably necessary to defray the actual expenses of the Board and

the regulatory council, as well as the proportional expenses incurred by the Division in administering the issuance and renewal of licenses, and other regulation of physician associates.

(75 Del. Laws, c. 141, § 1; 78 Del. Laws, c. 387, § 2; 85 Del. Laws, c. 253, § 1.)

§ 1774B Prohibited acts; penalties; enforcement [For application of this section, see 85 Del. Laws, c. 253, § 23].

(a) A person may not practice as a physician associate, physician assistant, or PA in this State or represent that the person is a physician associate, physician assistant, or PA or knowingly allow oneself to be represented as a physician associate, physician assistant, or PA unless the person is licensed under this subchapter, except as otherwise provided in this chapter.

(b) A person who, contrary to the provisions of this subchapter, practices or attempts to practice as a physician associate, physician assistant, or PA within the State or represents that the person is a physician associate, physician assistant, or PA or knowingly allows oneself to be represented as a physician associate, physician assistant, or PA shall be fined not less than $500 nor more than $2,000 or imprisoned not more than 1 year, or both.

(c) The Attorney General of this State or a deputy attorney general shall enforce the provisions of this subchapter.

(75 Del. Laws, c. 141, § 1; 70 Del. Laws, c. 186, § 1; 78 Del. Laws, c. 387, § 2; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 253, § 1.)

§ 1774C Procedure or action not prescribed [For application of this section, see 85 Del. Laws, c. 253, § 23].

This subchapter governs the practice of physician associates. If a procedure or action is not specifically prescribed in this subchapter, but is prescribed in the subchapters relating to the practice of medicine, and the procedure or action would be useful or necessary for the regulation of physician associates, the Board or Council may, in its discretion, proceed in a manner prescribed for physicians in the practice of medicine.

(75 Del. Laws, c. 141, § 1; 78 Del. Laws, c. 387, § 2; 85 Del. Laws, c. 253, § 1.)

§ 1774D Inactive license; return to clinical practice [For application of this section, see 85 Del. Laws, c. 253, § 23].

(a) Any physician associate who notifies the Board in writing on forms prescribed by the Board may elect to place that physician associate's license on inactive status. A physician associate whose license is inactive shall be excused from payment of renewal fees and shall not practice as a physician associate. Any licensee who engages in practice while that licensee's license is inactive shall be considered to be practicing without a license, which shall be grounds for discipline under § § 1774B of this title. A physician associate whose license has been inactive for 3 years or less may reactivate the license by paying the renewal fee pursuant to § § 1774A of this title and meeting the requirements for ordinary license renewal as determined by the Board.

(b) If a physician associate whose license has been on inactive status for in excess of 3 years and who has not practiced as a physician associate in any jurisdiction of the United States for over 3 years requests to reactivate the license, the Board may grant a re-entry license and may, after consultation with the Council, impose additional practice and collaboration requirements for the re-entry license. A re-entry license granted under this subsection shall be valid for no longer than 6 months and may be renewed only once at the Board's discretion. In the month immediately preceding the month during which the re-entry license will expire, a physician associate may apply to the Board for a full license as a physician associate. The Board shall grant a full license to a physician associate who meets all qualifications for licensure and whom the Board determines is qualified to practice. If the Board determines that a physician associate is still not qualified to receive a full license at the conclusion of the re-entry license period, the Board may only once renew the re-entry license. If the Board elects to renew a re-entry license instead of issuing a full license, the Board shall provide to the physician associate a written explanation for that decision when issuing the renewed re-entry license.

Additional practice requirements that the Board may choose to impose as a condition of a re-entry license may include any of the following:

(1) Requiring the collaborating physician to be physically on-site while the physician associate is practicing.

(2) Requiring the collaborating physician to review and countersign a portion of patient charts for patients seen by the physician associate.

(3) Requiring the physician associate to possess current certification from the NCCPA.

(4) Requiring the physician associate to take a review course or to complete a specified amount of Category 1 CME, as determined by the Council and agreed upon by the Board as appropriate.

(5) Requiring documentation of a specific minimum number of clinical practice hours performed under the re-entry license.

(6) Requiring the physician associate to have a collaborative agreement with a physician for a specified number of hours.

(c) Subsection (b) of this section also applies to a physician associate who has not placed the physician associate's license on inactive status in this State but who has previously practiced as a physician associate in another jurisdiction of the United States and has not actively engaged in clinical practice for a period in excess of 3 years immediately prior to applying for a license under this subchapter.

(78 Del. Laws, c. 387, § 2; 70 Del. Laws, c. 186, § 1; 83 Del. Laws, c. 16, § 2; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 253, § 1.)

§ 1774E Participation in charitable and voluntary care [For application of this section, see 85 Del. Laws, c. 253, § 23].

A physician associate licensed in this State, or licensed or authorized to practice in any other U.S. jurisdiction, or who is credentialed by a federal employer or meets the licensure requirements of their requisite federal agency as a physician associate may volunteer to render such medical care the physician associate is able to provide at public or community events and facilities without a collaborating physician as defined in this chapter or with such collaborating physicians as may be available. Such medical care must be rendered without compensation or remuneration.

(83 Del. Laws, c. 16, § 2; 85 Del. Laws, c. 253, § 1.)

§ 1774F Physician associates; applicability of name change [For application of this section, see 85 Del. Laws, c. 253, § 23].

(a) As of May 12, 2026, the title of "physician assistant" in Delaware is changed to "physician associate." This change is not intended to change any rights or privileges of those who have been or continue to hold themselves out to be a "physician assistant" and any provision of law that says "physician assistant" also means "physician associate."

(b) This name change does not alter, affect, or impact any billing, reimbursement, or payment policies currently in place for a physician assistant. All billing practices, insurance reimbursement policies, and agreements that apply to physician assistants continue to apply in the same manner to physician associates. No insurer may deny reimbursement for services rendered by a physician associate solely as a result of this name change.

(85 Del. Laws, c. 253, § 1.)

§ 1774G Dry needling practice; referral [Effective upon fulfillment of the contingency in 85 Del. Laws, c. 459, § 4].

(a) A physician associate may perform dry needling, but may not advertise or in any other way hold themselves out as an acupuncturist unless the physician associate is a licensed acupuncturist.

(b) The Board must establish, by rule and regulation, training and practice standards for dry needling by physician associates.

(85 Del. Laws, c. 459, § 1; 85 Del. Laws, c. 253, § 1.)

Chapter 17A
Interstate Medical Licensure Compact

§ 1701A Interstate Medical Licensure Compact; findings and declaration of purpose.

(a) The State hereby enters into the Interstate Medical Licensure Compact (IMLC) the text of which is as set forth in this chapter.

(b) In order to strengthen access to health care, and in recognition of the advances in the delivery of health care, the member states of the Interstate Medical Licensure Compact have allied in common purpose to develop a comprehensive process that complements the existing licensing and regulatory authority of state medical boards, provides a streamlined process that allows physicians to become licensed in multiple states, thereby enhancing the portability of a medical license and ensuring the safety of patients. The Compact creates another pathway for licensure and does not otherwise change a state's existing Medical Practice Act. The Compact also adopts the prevailing standard for licensure and affirms that the practice of medicine occurs where the patient is located at the time of the physician-patient encounter, and therefore, requires the physician to be under the jurisdiction of the state medical board where the patient is located. State medical boards that participate in the Compact retain the jurisdiction to impose an adverse action against a license to practice medicine in that state issued to a physician through the procedures in the Compact.

(83 Del. Laws, c. 52, § 20.)

§ 1702A Definitions.

In this compact:

(a) "Bylaws" means those bylaws established by the Interstate Commission pursuant to § 1714A.

(b) "Commissioner" means the voting representative appointed by each member board pursuant to § 1711A.

(c) "Conviction" means a finding by a court that an individual is guilty of a criminal offense through adjudication, or entry of a plea of guilt or no contest to the charge by the offender. Evidence of an entry of a conviction of a criminal offense by the court shall be considered final for purposes of disciplinary action by a member board.

(d) "Expedited license" means a full and unrestricted medical license granted by a member state to an eligible physician through the process set forth in the Compact.

(e) "Interstate Commission" means the interstate commission created pursuant to § 1711A of this title.

(f) "License" means authorization by a member state for a physician to engage in the practice of medicine, which would be unlawful without authorization.

(g) "Medical Practice Act" [Chapter 17 of this title in Delaware] means laws and regulations governing the practice of allopathic and osteopathic medicine within a member state.

(h) "Member board" means a state agency in a member state that acts in the sovereign interests of the state by protecting the public through licensure, regulation, and education of physicians as directed by the state government.

(i) "Member state" means a state that has enacted the Compact.

(j) "Practice of medicine" means that clinical prevention, diagnosis, or treatment of human disease, injury, or condition requiring a physician to obtain and maintain a license in compliance with the Medical Practice Act of a member state.

(k) "Physician" means any person who:

(1) Is a graduate of a medical school accredited by the Liaison Committee on Medical Education, the Commission on Osteopathic College Accreditation, or a medical school listed in the International Medical Education Directory or its equivalent.

(2) Passed each component of the United States Medical Licensing Examination (USMLE) or the Comprehensive Osteopathic Medical Licensing Examination (COMLEX-USA) within 3 attempts, or any of its predecessor examinations accepted by a state medical board as an equivalent examination for licensure purposes.

(3) Successfully completed graduate medical education approved by the Accreditation Council for Graduate Medical Education or the American Osteopathic Association.

(4) Holds specialty certification or a time-unlimited specialty certificate recognized by the American Board of Medical Specialties or the American Osteopathic Association's Bureau of Osteopathic Specialists.

(5) Possesses a full and unrestricted license to engage in the practice of medicine issued by a member board.

(6) Has never been convicted, received adjudication, deferred adjudication, community supervision, or deferred disposition for any offense by a court of appropriate jurisdiction.

(7) Has never held a license authorizing the practice of medicine subjected to discipline by a licensing agency in any state, federal, or foreign jurisdiction, excluding any action related to nonpayment of fees related to a license.

(8) Has never had a controlled substance license or permit suspended or revoked by a state or the United States Drug Enforcement Administration.

(9) Is not under active investigation by a licensing agency or law-enforcement authority in any state, federal, or foreign jurisdiction.

(*l*) "Offense" means a felony, gross misdemeanor, or crime of moral turpitude.

(m) "Rule" means a written statement by the Interstate Commission promulgated pursuant to § 1712A of this title that is of general

applicability, implements, interprets, or prescribes a policy or provision of the Compact, or an organizational, procedural, or practice requirement of the Interstate Commission, and has the force and effect of statutory law in a member state, and includes the amendment, repeal, or suspension of an existing rule.

(n) "State" means any state, commonwealth, district, or territory of the United States.

(o) "State of principal license" means a member state where a physician holds a license to practice medicine and which has been designated as such by the physician for purposes of registration and participation in the Compact.

(83 Del. Laws, c. 52, § 20.)

§ 1703A Eligibility.

(a) A physician must meet the eligibility requirements as defined in § 1702A(k) of this title to receive an expedited license under the terms and provisions of the Compact.

(b) A physician who does not meet the requirements of § 1702A(k) of this title may obtain a license to practice medicine in a member state if the individual complies with all laws and requirements, other than the Compact, relating to the issuance of a license to practice medicine in that state.

(83 Del. Laws, c. 52, § 20.)

§ 1704A Designation of state of principal license.

(a) A physician shall designate a member state as the state of principal license for purposes of registration for expedited licensure through the Compact if the physician possesses a full and unrestricted license to practice medicine in that state, and the state is any of the following:

(1) The state of principal residence for the physician.

(2) The state where at least 25% of the practice of medicine occurs.

(3) The location of the physician's employer.

(4) If no state qualifies under paragraph (a)(1), (a)(2), or (a)(3) of this section the state designated as state of residence for purpose of federal income tax.

(b) A physician may re-designate a member state as state of principal license at any time, as long as the state meets the requirements of subsection (a) of this section.

(c) The Interstate Commission is authorized to develop rules to facilitate re-designation of another member state as the state of principal license.

(83 Del. Laws, c. 52, § 20.)

§ 1705A Application and issuance of expedited licensure.

(a) A physician seeking licensure through the Compact shall file an application for an expedited license with the member board of the state selected by the physician as the state of principal license.

(b) Upon receipt of an application for an expedited license, the member board within the state selected as the state of principal license shall evaluate whether the physician is eligible for expedited licensure and issue a letter of qualification, verifying or denying the physician's eligibility, to the Interstate Commission.

(1) Static qualifications, which include verification of medical education, graduate medical education, results of any medical or licensing examination, and other qualifications as determined by the Interstate Commission through rule, shall not be subject to additional primary source verification where already primary source verified by the state of principal license.

(2) The member board within the state selected as the state of principal license shall, in the course of verifying eligibility, perform a criminal background check of an applicant, including the use of the results of fingerprint or other biometric data checks compliant with the requirements of the Federal Bureau of Investigation, with the exception of federal employees who have suitability determination in accordance with 5 C.F.R. § 731.202.

(3) Appeal on the determination of eligibility shall be made to the member state where the application was filed and shall be subject to the law of that state.

(c) Upon verification in subsection (b) of this section, physicians eligible for an expedited license shall complete the registration process established by the Interstate Commission to receive a license in a member state selected pursuant to subsection (a) of this section, including the payment of any applicable fees.

(d) After receiving verification of eligibility under subsection (b) of this section and any fees under subsection (c) of this section, a member board shall issue an expedited license to the physician. This license shall authorize the physician to practice medicine in the issuing state consistent with the Medical Practice Act and all applicable laws and regulations of the issuing member board and member state.

(e) An expedited license shall be valid for a period consistent with the licensure period in the member state and in the same manner as required for other physicians holding a full and unrestricted license within the member state.

(f) An expedited license obtained through the Compact shall be terminated if a physician fails to maintain a license in the state of principal licensure for a non-disciplinary reason, without re-designation of a new state of principal licensure.

(g) The Interstate Commission is authorized to develop rules regarding the application process, including payment of any applicable

fees, and the issuance of an expedited license.

(83 Del. Laws, c. 52, § 20.)

§ 1706A Fees for expedited licensure.

(a) A member state issuing an expedited license authorizing the practice of medicine in that state may impose a fee for a license issued or renewed through the Compact.

(b) The Interstate Commission is authorized to develop rules regarding fees for expedited licenses.

(83 Del. Laws, c. 52, § 20.)

§ 1707A Renewal and continued participation.

(a) A physician seeking to renew an expedited license granted in a member state shall complete a renewal process with the Interstate Commission if the physician:

(1) Maintains a full and unrestricted license in a state of principal license.

(2) Has not been convicted, received adjudication, deferred adjudication, community supervision, or deferred disposition for any offense by a court of appropriate jurisdiction.

(3) Has not had a license authorizing the practice of medicine subject to discipline by a licensing agency in any state, federal, or foreign jurisdiction, excluding any action related to non-payment of fees related to a license.

(4) Has not had a controlled substance license or permit suspended or revoked by a state or the United States Drug Enforcement Administration.

(b) Physicians shall comply with all continuing professional development or continuing medical education requirements for renewal of a license issued by a member state.

(c) The Interstate Commission shall collect any renewal fees charged for the renewal of a license and distribute the fees to the applicable member board.

(d) Upon receipt of any renewal fees collected in subsection (c) of this section, a member board shall renew the physician's license.

(e) Physician information collected by the Interstate Commission during the renewal process will be distributed to all member boards.

(f) The Interstate Commission is authorized to develop rules to address renewal of licenses obtained through the Compact.

(83 Del. Laws, c. 52, § 20.)

§ 1708A Coordinated information system.

(a) The Interstate Commission shall establish a database of all physicians licensed, or who have applied for licensure, under § 1705A of this title.

(b) Notwithstanding any other provision of law, member boards shall report to the Interstate Commission any public action or complaints against a licensed physician who has applied or received an expedited license through the Compact.

(c) Member boards shall report disciplinary or investigatory information determined as necessary and proper by rule of the Interstate Commission.

(d) Member boards may report any nonpublic complaint, disciplinary, or investigatory information not required by subsection (c) to the Interstate Commission.

(e) Member boards shall share complaint or disciplinary information about a physician upon request of another member board.

(f) All information provided to the Interstate Commission or distributed by member boards shall be confidential, filed under seal, and used only for investigatory or disciplinary matters.

(g) The Interstate Commission is authorized to develop rules for mandated or discretionary sharing of information by member boards.

(83 Del. Laws, c. 52, § 20.)

§ 1709A Joint investigations.

(a) Licensure and disciplinary records of physicians are deemed investigative.

(b) In addition to the authority granted to a member board by its respective Medical Practice Act or other applicable state law, a member board may participate with other member boards in joint investigations of physicians licensed by the member boards.

(c) A subpoena issued by a member state shall be enforceable in other member states.

(d) Member boards may share any investigative, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under the Compact.

(e) Any member state may investigate actual or alleged violations of the statutes authorizing the practice of medicine in any other member state in which a physician holds a license to practice medicine.

(83 Del. Laws, c. 52, § 20.)

§ 1710A Disciplinary actions.

(a) Any disciplinary action taken by any member board against a physician licensed through the Compact shall be deemed unprofessional conduct which may be subject to discipline by other member boards, in addition to any violation of the Medical Practice Act or regulations in that state.

(b) If a license granted to a physician by the member board in the state of principal license is revoked, surrendered or relinquished in lieu

of discipline, or suspended, then all licenses issued to the physician by member boards shall automatically be placed, without further action necessary by any member board, on the same status. If the member board in the state of principal license subsequently reinstates the physician's license, a license issued to the physician by any other member board shall remain encumbered until that respective member board takes action to reinstate the license in a manner consistent with the Medical Practice Act of that state.

(c) If disciplinary action is taken against a physician by a member board not in the state of principal license, any other member board may deem the action conclusive as to matter of law and fact decided, and may:

(1) Impose the same or lesser sanction(s) against the physician so long as such sanctions are consistent with the Medical Practice Act of that state.

(2) Pursue separate disciplinary action against the physician under its respective Medical Practice Act, regardless of the action taken in other member states.

(d) If a license granted to a physician by a member board is revoked, surrendered or relinquished in lieu of discipline, or suspended, then any license issued to the physician by any other member board shall be suspended, automatically and immediately without further action necessary by the other member board, for 90 days upon entry of the order by the disciplining board, to permit the member board to investigate the basis for the action under the Medical Practice Act of that state. A member board may terminate the automatic suspension of the license it issued prior to the completion of the 90 day suspension period in a manner consistent with the Medical Practice Act of that state.

(83 Del. Laws, c. 52, § 20.)

§ 1711A Interstate Medical Licensure Compact Commission.

(a) The member states hereby create the "Interstate Medical Licensure Compact Commission."

(b) The purpose of the Interstate Commission is the administration of the Interstate Medical Licensure Compact, which is a discretionary state function.

(c) The Interstate Commission shall be a body corporate and joint agency of the member states and shall have all the responsibilities, powers, and duties set forth in the Compact, and such additional powers as may be conferred upon it by a subsequent concurrent action of the respective legislatures of the member states in accordance with the terms of the Compact.

(d) The Interstate Commission shall consist of 2 voting representatives appointed by each member state who shall serve as Commissioners. In states where allopathic and osteopathic physicians are regulated by separate member boards, or if the licensing and disciplinary authority is split between separate member boards, or if the licensing and disciplinary authority is split between multiple member boards within a member state, the member state shall appoint 1 representative from each member board. A Commissioner shall be any of the following:

(1) An allopathic or osteopathic physician appointed to a member board.

(2) An executive director, executive secretary, or similar executive of a member board.

(3) A member of the public appointed to a member board.

(e) The Interstate Commission shall meet at least once each calendar year. A portion of this meeting shall be a business meeting to address such matters as may properly come before the Commission, including the election of officers. The chairperson may call additional meetings and shall call for a meeting upon the request of a majority of the member states.

(f) The bylaws may provide for meetings of the Interstate Commission to be conducted by telecommunication or electronic communication.

(g) Each Commissioner participating at a meeting of the Interstate Commission is entitled to 1 vote. A majority of Commissioners shall constitute a quorum for the transaction of business, unless a larger quorum is required by the bylaws of the Interstate Commission. A Commission shall not delegate a vote to another Commissioner. In the absence of its Commissioner, a member state may delegate voting authority for a specified meeting to another person from that state who shall meet the requirements of subsection (d) of this section.

(h) The Interstate Commission shall provide public notice of all meetings and all meetings shall be open to the public. The Interstate Commission may close a meeting, in full or in portion, where it determines by a $^{2}/_{3}$ vote of the Commissioners present that an open meeting would be likely to:

(1) Relate solely to the internal personnel practice and procedures of the Interstate Commission.

(2) Discuss matters specifically exempted from disclosure by federal statute.

(3) Discuss trade secrets, commercial, or financial information that is privileged or confidential.

(4) Involve accusing a person of a crime, or formally censuring a person.

(5) Discuss information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy.

(6) Discuss investigative records compiled for law-enforcement purposes.

(7) Specifically relate to the participation in a civil action or other legal proceeding.

(i) The Interstate Commission shall keep minutes which shall fully describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, including record of any roll call votes.

(j) The Interstate Commission shall make its information and official records, to the extent not otherwise designated in the Compact or by its rules, available to the public for inspection.

(k) The Interstate Commission shall establish an executive committee, which shall include officers, members, and others as determined

by the bylaws. The executive committee shall have the power to act on behalf of the Interstate Commission, with the exception of rulemaking, during periods when the Interstate Commission is not in session. When acting on behalf of the Interstate Commission, the executive committee shall oversee the administration of the Compact including enforcement and compliance with the provisions of the Compact, its bylaws and rules, and other such duties as necessary.

(*l*) The Interstate Commission shall establish other committees for governance and administration of the Compact.

(83 Del. Laws, c. 52, § 20.)

§ 1712A Powers and duties of the Interstate Commission.

The Interstate Commission shall have the following powers and duties:

(a) Oversee and maintain the administration of the Compact.

(b) Promulgate rules which shall be binding to the extent and in the manner provided for in the Compact.

(c) Issue, upon the request of a member state or member board, advisory opinions concerning the meaning or interpretation of the Compact, its bylaws, rules, and actions.

(d) Enforce compliance with Compact provisions, the rules promulgated by the Interstate Commission, and the bylaws, using all necessary and proper means, including but not limited to the use of judicial process.

(e) Establish and appoint committees including an executive committee as required by § 1711A(k) of this title, which shall have the power to act on behalf of the Interstate Commission in carrying out its powers and duties.

(f) Pay, or provide for the payment of the expenses related to the establishment, organization, and ongoing activities of the Interstate Commission.

(g) Establish and maintain one or more offices.

(h) Borrow, accept, hire, or contract for services of personnel.

(i) Purchase and maintain insurance and bonds.

(j) Employ an executive director who shall have such powers to employ, select or appoint employees, agents, or consultants, and to determine their qualifications, define their duties, and fix their compensation.

(k) Establish personnel policies and programs relating to conflicts of interest, rates of compensation, and qualifications of personnel.

(*l*) Accept donations and grants of money, equipment, supplies, materials, and services and to receive, utilize, and dispose of it in a manner consistent with the conflict of interest policies established by the Interstate Commission.

(m) Lease, purchase, accept contributions or donations of, or otherwise to own, hold, improve or use, any property, real, personal, or mixed.

(n) Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property, real, personal, or mixed.

(o) Establish a budget and make expenditures.

(p) Adopt a seal and bylaws governing the management and operation of the Interstate Commission.

(q) Report annually to the legislatures and governors of the member states concerning the activities of the Interstate Commission during the preceding year. Such reports shall also include reports of financial audits and any recommendations that may have been adopted by the Interstate Commission.

(r) Coordinate education, training, and public awareness regarding the Compact, its implementation, and its operation.

(s) Maintain records in accordance with the bylaws.

(t) Seek and obtain trademarks, copyrights, and patents.

(u) Perform such functions as may be necessary or appropriate to achieve the purpose of the Compact.

(83 Del. Laws, c. 52, § 20.)

§ 1713A Finance powers.

(a) The Interstate Commission may levy on and collect an annual assessment from each member state to cover the cost of the operations and activities of the Interstate Commission and its staff. The total assessment must be sufficient to cover the annual budget approved each year for which revenue is not provided by other sources.

(b) The aggregate annual assessment amount shall be allocated upon a formula to be determined by the Interstate Commission, which shall promulgate a rule binding upon all member states.

(c) The Interstate Commission shall not pledge the credit of any of the member states, except by, and with the authority of, the member state.

(d) The Interstate Commission shall be subject to a yearly financial audit conducted by a certified or licensed accountant and the report of the audit shall be included in the annual report of the Interstate Commission.

(83 Del. Laws, c. 52, § 20.)

§ 1714A Organization and operation of the Interstate Commission.

(a) The Interstate Commission shall, by a majority of Commissioners present and voting, adopt bylaws to govern its conduct as may be necessary or appropriate to carry out the purposes of the Compact within 12 months of the first Interstate Commission meeting.

(b) The Interstate Commission shall elect or appoint annually from among its Commissioners a chairperson, a vice-chairperson, and a treasurer, each of whom shall have such authority and duties as may be specified in the bylaws. The chairperson, or in the chairperson's

absence or disability, the vice-chairperson, shall preside at all meetings of the Interstate Commission.

(c) Officers selected under subsection (b) of this section shall serve without remuneration from the Interstate Commission. The officers and employees of the Interstate Commission shall be immune from suit and liability, either personally or in their official capacity, for a claim for damage to or loss of property or personal injury or other civil liability caused or arising out of, or relating to, an actual or alleged act, error, or omission that occurred, or that such person had a reasonable basis for believing occurred, within the scope of Interstate Commission employment, duties, or responsibilities; provided that such person shall not be protected from suit or liability for damage, loss, injury, or liability caused by the intentional or willful and wanton misconduct of such person.

(d) The liability of the executive director and employees of the Interstate Commission or representatives of the Interstate Commission, acting within the scope of such person's employment or duties for acts, errors, or omissions occurring within such person's state, may not exceed the limits of liability set forth under the constitution and laws of that state for state officials, employees, and agents. The Interstate Commission is considered to be an instrumentality of the states for the purpose of any such action.

(e) Nothing in this subsection shall be construed to protect such person from suit or liability for damage, loss, injury, or liability caused by the intentional or willful and wanton misconduct of such person.

(f) The Interstate Commission shall defend the executive director, its employees, and subject to the approval of the attorney general or other appropriate legal counsel of the member state represented by an Interstate Commission representative, shall defend such Interstate Commission representative in any civil action seeking to impose liability arising out of an actual or alleged act, error or omission that occurred within the scope of Interstate Commission employment, duties or responsibilities, or that the defendant had a reasonable basis for believing occurred within the scope of Interstate Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from intentional or willful and wanton misconduct on the part of such person.

(g) To the extent not covered by the state involved, member state, or the Interstate Commission, the representatives or employees of the Interstate Commission shall be held harmless in the amount of a settlement or judgement, including attorney's fees and costs, obtained against such persons arising out of an actual or alleged act, error, or omission that occurred within the scope of the Interstate Commission employment, duties, or responsibilities, or that such persons had a reasonable basis for believing occurred within the scope of Interstate Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from intentional or willful and wanton misconduct on the part of such person.

(83 Del. Laws, c. 52, § 20.)

§ 1715A Rulemaking functions of the Interstate Commission.

(a) The Interstate Commission shall promulgate reasonable rules in order to effectively and efficiently achieve the purpose of the Compact. Notwithstanding the foregoing, in the event the Interstate Commission exercises its rulemaking authority in a manner that is beyond the scope of the purposes of the Compact, or the powers granted hereunder, then such an action by the Interstate Commission shall be invalid and have no force or effect.

(b) Rules deemed appropriate for the operations of the Interstate Commission shall be made pursuant to a rulemaking process that substantially conforms to the "Model State Administrative Procedure Act" of 2010 [MSAPA, 15 U.L.A. (2010)], and subsequent amendments thereto.

(c) Not later than 30 days after a rule is promulgated, any person may file a petition for judicial review of the rule in the United States District Court for the District of Columbia or the federal district where the Interstate Commission has its principal offices, provided that the filing of such a petition shall not stay or otherwise prevent the rule from becoming effective unless the court finds that the petitioner has a substantial likelihood of success. The court shall give deference to the actions of the Interstate Commission consistent with applicable law and shall not find the rule to be unlawful if the rule represents a reasonable exercise of the authority granted to the Interstate Commission.

(83 Del. Laws, c. 52, § 20.)

§ 1716A Oversight of the Interstate Compact.

(a) The executive, legislative, and judicial branches of state government in each member state shall enforce the Compact and shall take all actions necessary and appropriate to effectuate the Compact's purposes and intent. The provisions of the Compact and the rules promulgated hereunder shall have standing as statutory law but shall not override existing state authority to regulate the practice of medicine.

(b) All courts shall take judicial notice of the Compact and the rules in any judicial or administrative proceeding in a member state pertaining to the subject matter of the Compact which may affect the powers, responsibilities or actions of the Interstate Commission.

(c) The Interstate Commission shall be entitled to receive all service of process in any such proceeding, and shall have standing to intervene in the proceeding for all purposes. Failure to provide service of process to the Interstate Commission shall render a judgment or order void as to the Interstate Commission, the Compact, or promulgated rules.

(83 Del. Laws, c. 52, § 20.)

§ 1717A Enforcement of Interstate Compact.

(a) The Interstate Commission, in the reasonable exercise of its discretion, shall enforce the provisions and rules of the Compact.

(b) The Interstate Commission may, by majority vote of the Commissioners, initiate legal action in the United States Court for the District of Columbia, or, at the discretion of the Interstate Commission, in the federal district where the Interstate Commission has its

principal offices, to enforce compliance with the provisions of the Compact, and its promulgated rules and bylaws, against a member state in default. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation including reasonable attorney's fees.

(c) The remedies herein shall not be the exclusive remedies of the Interstate Commission. The Interstate Commission may avail itself of any other remedies available under state law or regulation of a profession.

(83 Del. Laws, c. 52, § 20.)

§ 1718A Default procedures.

(a) The grounds for default include failure of a member state to perform such obligations or responsibilities imposed upon it by the Compact, or the rules and bylaws of the Interstate Commission promulgated under the Compact.

(b) If the Interstate Commission determines that a member state has defaulted in the performance of its obligations or responsibilities under the Compact, or the bylaws or promulgated rules, the Interstate Commission shall:

(1) Provide written notice to the defaulting state and other member states of the nature of the default, the means of curing the default, and any action taken by the Interstate Commission. The Interstate Commission shall specify the conditions by which the defaulting state must cure its default.

(2) Provide remedial training and specific technical assistance regarding the default.

(c) If the defaulting state fails to cure the default, the defaulting state shall be terminated from the Compact upon an affirmative vote of a majority of the Commissioners and all rights, privileges, and benefits conferred by the Compact shall terminate on the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of the default.

(d) Termination of membership in the Compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to terminate shall be given by the Interstate Commission to the governor, the majority and minority leaders of the defaulting state's legislature, and each of the member states.

(e) The Interstate Commission shall establish rules and procedures to address licenses and physicians that are materially impacted by the termination of a member state, or the withdrawal of a member state.

(f) The member state which has been terminated is responsible for all dues, obligations, and liabilities incurred through the effective date of termination including obligations, the performance of which extends beyond the effective date of termination.

(g) The Interstate Commission shall not bear any costs relating to any state that has been found to be in default, or which has been terminated from the Compact, unless otherwise mutually agreed upon in writing between the Interstate Commission and the defaulting state.

(h) The defaulting state may appeal the action of the Interstate Commission by petitioning the United States District Court for the District of Columbia or the federal district where the Interstate Commission has its principal offices. The prevailing party shall be awarded all costs of such litigation including reasonable attorney's fees.

(83 Del. Laws, c. 52, § 20.)

§ 1719A Dispute resolution.

(a) The Interstate Commission shall attempt, upon the request of a member state, to resolve disputes which are subject to the Compact and which may arise among member states or member boards.

(b) The Interstate Commission shall promulgate rules providing for both mediation and binding dispute resolution as appropriate.

(83 Del. Laws, c. 52, § 20.)

§ 1720A Member states, effective date and amendment.

(a) Any state is eligible to become a member of the Compact.

(b) The Compact shall become effective and binding upon legislative enactment of the Compact into law by no less than 7 states. Thereafter, it shall become effective and binding on a state upon enactment of the Compact into law by that state.

(c) The governors of non-member states, or their designees, shall be invited to participate in the activities of the Interstate Commission on a nonvoting basis prior to adoption of the Compact by all states.

(d) The Interstate Commission may propose amendments to the Compact for enactment by the member states. No amendment shall become effective and binding upon the Interstate Commission and the member states until it is enacted into law by unanimous consent of the member states.

(83 Del. Laws, c. 52, § 20.)

§ 1721A Withdrawal.

(a) Once effective, the Compact shall continue in force and remain binding upon every member state, provided that a member state may withdraw from the Compact by specifically repealing the statute which enacted the Compact into law.

(b) Withdrawal from the Compact shall be by the enactment of a statute repealing the same, but shall not take effect until 1 year after the effective date of such statute and until written notice of the withdrawal has been given by the withdrawing state to the governor of each other member state.

(c) The withdrawing state shall immediately notify the chairperson of the Interstate Commission in writing upon the introduction of

legislation repealing the Compact in the withdrawing state.

(d) The Interstate Commission shall notify the other member states of the withdrawing state's intent to withdraw within 60 days of its receipt of notice provided under subsection (c) of this section.

(e) The withdrawing state is responsible for all dues, obligations and liabilities incurred through the effective date of withdrawal, including obligations, the performance of which extend beyond the effective date of withdrawal.

(f) Reinstatement following withdrawal of a member state shall occur upon the withdrawing date reenacting the Compact or upon such later date as determined by the Interstate Commission.

(g) The Interstate Commission is authorized to develop rules to address the impact of the withdrawal of a member state on licenses granted in other member states to physicians who designated the withdrawing member state as the state of principal license.

(83 Del. Laws, c. 52, § 20.)

§ 1722A Dissolution.

(a) The Compact shall dissolve effective upon the date of the withdrawal or default of the member state which reduces the membership of the Compact to 1 member state.

(b) Upon the dissolution of the Compact, the Compact becomes void and has no further effect. The business and affairs of the Interstate Commission shall be concluded, and surplus funds shall be distributed in accordance with the bylaws.

(83 Del. Laws, c. 52, § 20.)

§ 1723A Severability and construction.

(a) The provisions of the Compact shall be severable, and if any phrase, clause, sentence, or provision is deemed unenforceable, the remaining provisions of the Compact shall be enforceable.

(b) The provisions of the Compact shall be liberally construed to effectuate its purposes.

(c) Nothing in the Compact shall be construed to prohibit the applicability of other interstate compacts to which the member states are members.

(83 Del. Laws, c. 52, § 20.)

§ 1724A Binding effect of Compact and other laws.

(a) Nothing in this chapter prevents the enforcement of any other law of a member state that is not inconsistent with the Compact.

(b) All laws in a member state in conflict with the Compact are superseded to the extent of the conflict. All lawful actions of the Interstate Commission, including all rules and bylaws promulgated by the Commission, are binding upon the member states.

(c) All agreements between the Interstate Commission and the member states are binding in accordance with their terms.

(d) In the event any provision of the Compact exceeds the constitutional limits imposed on the legislature of any member state, such provision shall be ineffective to the extent of the conflict with the constitutional provision in question in that member state.

(83 Del. Laws, c. 52, § 20.)

Chapter 17B
Physician Assistant (PA) Licensure Compact

§ 1701B PA Licensure Compact.

Delaware hereby enters into the PA Licensure Compact (Compact) as set forth in this chapter. The text of the Compact is set forth in this chapter.

(84 Del. Laws, c. 110, § 1.)

§ 1702B Purpose.

(a) In order to strengthen access to medical services, and in recognition of the advances in the delivery of medical services, the participating states of the PA Licensure Compact have allied in common purpose to develop a comprehensive process that complements the existing authority of state licensing boards to license and discipline physician assistants and seeks to enhance the portability of a license to practice as a physician assistant while safeguarding the safety of patients. This Compact allows medical services to be provided by physician assistants, via the mutual recognition of the licensee's qualifying license by other compact participating states. This Compact also adopts the prevailing standard for physician assistant licensure and affirms that the practice and delivery of medical services by the physician assistant occurs where the patient is located at the time of the patient encounter, and therefore requires the physician assistant to be under the jurisdiction of the state licensing board where the patient is located. State licensing boards that participate in this Compact retain the jurisdiction to impose adverse action against a compact privilege in that state issued to a physician assistant through the procedures of this Compact.

(b) The PA Licensure Compact will alleviate burdens for military families by allowing active duty military personnel and their spouses to obtain a compact privilege based on having an unrestricted license in good standing from a participating state.

(84 Del. Laws, c. 110, § 1.)

§ 1703B Definitions.

As used in this Compact:

(1) "Adverse action" means any administrative, civil, equitable, or criminal action permitted by a state's laws which is imposed by a licensing board or other authority against a physician assistant license or license application or compact privilege such as license denial, censure, revocation, suspension, probation, monitoring of the licensee, or restriction on the licensee's practice.

(2) "Compact privilege" means the authorization granted by a remote state to allow a licensee from another participating state to practice as a physician assistant to provide medical services and other licensed activity to a patient located in the remote state under the remote state's laws and regulations.

(3) "Conviction" means a finding by a court that an individual is guilty of a felony or misdemeanor offense through adjudication or entry of a plea of guilt or no contest to the charge by the offender.

(4) "Criminal background check" means the submission of fingerprints or other biometric-based information for a license applicant for the purpose of obtaining that applicant's criminal history record information, as defined in 28 C.F.R. § 20.3(d), from the state's criminal history record repository as defined in 28 C.F.R. § 20.3(f).

(5) "Data system" means the repository of information about licensees, including but not limited to license status and adverse actions, which is created and administered under the terms of this Compact.

(6) "Executive Committee" means a group of directors and ex officio individuals elected or appointed pursuant to § 1708B(f)(2) of this title.

(7) "Impaired practitioner" means a physician assistant whose practice is adversely affected by health-related condition(s) that impact their ability to practice.

(8) "Investigative information" means information, records, or documents received or generated by a licensing board pursuant to an investigation.

(9) "Jurisprudence requirement" means the assessment of an individual's knowledge of the laws and rules governing the practice of a physician assistant in a state.

(10) "License" means current authorization by a state, other than authorization pursuant to a compact privilege, for a physician assistant to provide medical services, which would be unlawful without current authorization.

(11) "Licensee" means an individual who holds a license from a state to provide medical services as a physician assistant.

(12) "Licensing board" means any state entity authorized to license and otherwise regulate physician assistants.

(13) "Medical services" means health care services provided for the diagnosis, prevention, treatment, cure or relief of a health condition, injury, or disease, as defined by a state's laws and regulations.

(14) "Model Compact" means the model for the PA Licensure Compact on file with The Council of State Governments or other entity as designated by the Commission.

(15) "Participating state" means a state that has enacted this Compact.

(16) "PA" or "physician assistant" means an individual who is licensed as a physician assistant in a state. For purposes of this

Compact, any other title or status adopted by a state to replace the term "physician assistant" shall be deemed synonymous with "physician assistant" and shall confer the same rights and responsibilities to the licensee under the provisions of this Compact at the time of its enactment.

(17) "PA Licensure Compact Commission," "Compact Commission," or "Commission" means the national administrative body created pursuant to § 1708B(a) of this title.

(18) "Qualifying license" means an unrestricted license issued by a participating state to provide medical services as a physician assistant.

(19) "Remote state" means a participating state where a licensee who is not licensed as a physician assistant is exercising or seeking to exercise the compact privilege.

(20) "Rule" means a regulation promulgated by an entity that has the force and effect of law.

(21) "Significant investigative information" means investigative information that a licensing board, after an inquiry or investigation that includes notification and an opportunity for the physician assistant to respond if required by state law, has reason to believe is not groundless and, if proven true, would indicate more than a minor infraction.

(22) "State" means any state, commonwealth, district, or territory of the United States.

(84 Del. Laws, c. 110, § 1.)

§ 1704B State participation in this Compact.

(a) To participate in this Compact, a participating state shall:

(1) License physician assistants.

(2) Participate in the Compact Commission's data system.

(3) Have a mechanism in place for receiving and investigating complaints against licensees and license applicants.

(4) Notify the Commission, in compliance with the terms of this Compact and commission rules, of any adverse action against a licensee or license applicant and the existence of significant investigative information regarding a licensee or license applicant.

(5) Fully implement a criminal background check requirement, within a time frame established by commission rule, by its licensing board receiving the results of a criminal background check and reporting to the Commission whether the license applicant has been granted a license.

(6) Comply with the rules of the Compact Commission.

(7) Utilize passage of a recognized national exam such as the National Commission on the Certification of Physician Assistants (NCCPA) Physician Assistant National Certifying Examination (PANCE) as a requirement for physician assistant licensure.

(8) Grant the compact privilege to a holder of a qualifying license in a participating state.

(b) Nothing in this Compact prohibits a participating state from charging a fee for granting the compact privilege.

(84 Del. Laws, c. 110, § 1.)

§ 1705B Compact privilege.

(a) To exercise the compact privilege, a licensee must:

(1) Have graduated from a physician assistant program accredited by the Accreditation Review Commission on Education for the Physician Assistant, Inc. or other programs authorized by commission rule.

(2) Hold current National Commission on the Certification of Physician Assistants (NCCPA) certification.

(3) Have no felony or misdemeanor conviction.

(4) Have never had a controlled substance license, permit, or registration suspended or revoked by a state or by the United States Drug Enforcement Administration.

(5) Have a unique identifier as determined by commission rule.

(6) Hold a qualifying license.

(7) Have had no revocation of a license or limitation or restriction on any license currently held due to an adverse action.

(8) If a licensee has had a limitation or restriction on a license or compact privilege due to an adverse action, 2 years must have elapsed from the date on which the license or compact privilege is no longer limited or restricted due to the adverse action.

(9) If a compact privilege has been revoked or is limited or restricted in a participating state for conduct that would not be a basis for disciplinary action in a participating state in which the licensee is practicing or applying to practice under a compact privilege, that participating state shall have the discretion not to consider such action as an adverse action requiring the denial or removal of a compact privilege in that state.

(10) Notify the Compact Commission that the licensee is seeking the compact privilege in a remote state.

(11) Meet any jurisprudence requirement of a remote state in which the licensee is seeking to practice under the compact privilege and pay any fees applicable to satisfying the jurisprudence requirement.

(12) Report to the Commission any adverse action taken by a non-participating state within 30 days after the action is taken.

(b) The compact privilege is valid until the expiration or revocation of the qualifying license unless terminated pursuant to an adverse action. The licensee must also comply with all of the requirements of subsection (a) of this section to maintain the compact privilege in a remote state. If the participating state takes adverse action against a qualifying license, the licensee shall lose the compact privilege in any

remote state in which the licensee has a compact privilege until all of the following occur:

(1) The license is no longer limited or restricted.

(2) Two years have elapsed from the date on which the license is no longer limited or restricted due to the adverse action.

(c) Once a restricted or limited license satisfies the requirements of paragraphs (b)(1) and (b)(2) of this section, the licensee must meet the requirements of subsection (a) of this section to obtain a compact privilege in any remote state.

(d) For each remote state in which a physician assistant seeks authority to prescribe controlled substances, the physician assistant shall satisfy all requirements imposed by such state in granting or renewing such authority.

(84 Del. Laws, c. 110, § 1.)

§ 1706B Designation of the state from which licensee is applying for a compact privilege.

(a) Upon a licensee's application for a compact privilege, the licensee shall identify to the Commission the participating state from which the licensee is applying, in accordance with applicable rules adopted by the Commission, and subject to the following requirements:

(1) When applying for a compact privilege, the licensee shall provide the Commission with the address of the licensee's primary residence and thereafter shall immediately report to the Commission any change in the address of the licensee's primary residence.

(2) When applying for a compact privilege, the licensee is required to consent to accept service of process by mail at the licensee's primary residence on file with the Commission with respect to any action brought against the licensee by the Commission or a participating state, including a subpoena, with respect to any action brought or investigation conducted by the Commission or a participating state.

(84 Del. Laws, c. 110, § 1.)

§ 1707B Adverse actions.

(a) A participating state in which a licensee is licensed shall have exclusive power to impose adverse action against the qualifying license issued by that participating state.

(b) In addition to the other powers conferred by state law, a remote state shall have the authority, in accordance with existing state due process law, to do all of the following:

(1) Take adverse action against a physician assistant's compact privilege within that state to remove a licensee's compact privilege or take other action necessary under applicable law to protect the health and safety of its citizens.

(2) Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing board in a participating state for the attendance and testimony of witnesses or the production of evidence from another participating state shall be enforced in the latter state by any court of competent jurisdiction, according to the practice and procedure of that court applicable to subpoenas issued in proceedings pending before it. The issuing authority shall pay any witness fees, travel expenses, mileage and other fees required by the service statutes of the state in which the witnesses or evidence are located.

(3) Notwithstanding paragraph (b)(2) of this section, subpoenas may not be issued by a participating state to gather evidence of conduct in another state that is lawful in that other state for the purpose of taking adverse action against a licensee's compact privilege or application for a compact privilege in that participating state.

(4) Nothing in this Compact authorizes a participating state to impose discipline against a physician assistant's compact privilege or to deny an application for a compact privilege in that participating state for the individual's otherwise lawful practice in another state.

(c) For purposes of taking adverse action, the participating state which issued the qualifying license shall give the same priority and effect to reported conduct received from any other participating state as it would if the conduct had occurred within the participating state which issued the qualifying license. In so doing, that participating state shall apply its own state laws to determine appropriate action.

(d) A participating state, if otherwise permitted by state law, may recover from the affected physician assistant the costs of investigations and disposition of cases resulting from any adverse action taken against that physician assistant.

(e) A participating state may take adverse action based on the factual findings of a remote state, provided that the participating state follows its own procedures for taking the adverse action.

(f) *Joint investigations*: —

(1) In addition to the authority granted to a participating state by its respective state physician assistant laws and regulations or other applicable state law, any participating state may participate with other participating states in joint investigations of licensees.

(2) Participating states shall share any investigative, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under this Compact.

(g) If an adverse action is taken against a physician assistant's qualifying license, the physician assistant's compact privilege in all remote states shall be deactivated until 2 years have elapsed after all restrictions have been removed from the state license. All disciplinary orders by the participating state which issued the qualifying license that impose adverse action against a physician assistant's license shall include a statement that the physician assistant's compact privilege is deactivated in all participating states during the pendency of the order.

(h) If any participating state takes adverse action, it promptly shall notify the administrator of the data system.

(84 Del. Laws, c. 110, § 1.)

§ 1708B Establishment of the PA Licensure Compact Commission.

(a) The participating states hereby create and establish a joint government agency and national administrative body known as the PA Licensure Compact Commission. The Commission is an instrumentality of the compact states acting jointly and not an instrumentality of any 1 state. The Commission shall come into existence on or after the effective date of the Compact as set forth in § 1712B(a).

(b) *Membership, voting, and meetings.* —

(1) Each participating state shall have and be limited to 1 delegate selected by that participating state's licensing board or, if the state has more than 1 licensing board, selected collectively by the participating state's licensing boards.

(2) The delegate shall be either:

a. A current physician assistant, physician or public member of a licensing board or of the Regulatory Council for Physician Assistants.

b. An administrator of a licensing board.

(3) Any delegate may be removed or suspended from office as provided by the laws of the state from which the delegate is appointed.

(4) The participating state licensing board shall fill any vacancy occurring in the Commission within 60 days.

(5) Each delegate shall be entitled to 1 vote on all matters voted on by the Commission and shall otherwise have an opportunity to participate in the business and affairs of the Commission. A delegate shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for delegates' participation in meetings by telecommunications, video conference, or other means of communication.

(6) The Commission shall meet at least once during each calendar year. Additional meetings shall be held as set forth in this Compact and the bylaws.

(7) The Commission shall establish by rule a term of office for delegates.

(c) The Commission shall have the following powers and duties:

(1) Establish a code of ethics for the Commission.

(2) Establish the fiscal year of the Commission.

(3) Establish fees.

(4) Establish bylaws.

(5) Maintain its financial records in accordance with the bylaws.

(6) Meet and take such actions as are consistent with the provisions of this Compact and the bylaws.

(7) Promulgate Rules to facilitate and coordinate implementation and administration of this Compact. The rules shall have the force and effect of law and shall be binding in all Participating States.

(8) Bring and prosecute legal proceedings or actions in the name of the Commission, provided that the standing of any state licensing board to sue or be sued under applicable law shall not be affected.

(9) Purchase and maintain insurance and bonds.

(10) Borrow, accept, or contract for services of personnel, including employees of a participating state.

(11) Hire employees and engage contractors, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of this Compact, and establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters.

(12) Accept any and all appropriate donations and grants of money, equipment, supplies, materials and services, and receive, utilize and dispose of the same; provided that at all times the Commission shall avoid any appearance of impropriety or conflict of interest.

(13) Lease, purchase, accept appropriate gifts or donations of, or otherwise own, hold, improve or use, any property, real, personal or mixed; provided that at all times the Commission shall avoid any appearance of impropriety.

(14) Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property real, personal, or mixed.

(15) Establish a budget and make expenditures.

(16) Borrow money.

(17) Appoint committees, including standing committees composed of members, state regulators, state legislators or their representatives, and consumer representatives, and such other interested persons as may be designated in this Compact and the bylaws.

(18) Provide and receive information from, and cooperate with, law enforcement agencies.

(19) Elect a chair, vice chair, secretary and treasurer and such other officers of the Commission as provided in the Commission's bylaws.

(20) Reserve for itself, in addition to those reserved exclusively to the Commission under the Compact, powers that the Executive Committee may not exercise.

(21) Approve or disapprove a state's participation in the Compact based upon its determination as to whether the state's compact legislation departs in a material manner from the model compact language.

(22) Prepare and provide to the participating states an annual report.

(23) Perform such other functions as may be necessary or appropriate to achieve the purposes of this Compact consistent with the state regulation of physician assistant licensure and practice.

(d) *Meetings of the Commission*: —

(1) All meetings of the Commission that are not closed pursuant to this subsection shall be open to the public. Notice of public meetings shall be posted on the Commission's website at least 30 days prior to the public meeting.

(2) Notwithstanding paragraph (d)(1) of this section, the Commission may convene a public meeting by providing at least 24 hours prior notice on the Commission's website, and any other means as provided in the Commission's Rules, for any of the reasons it may dispense with notice of proposed rulemaking under § 1710B(*l*) of this title.

(3) The Commission may convene in a closed, non-public meeting or non-public part of a public meeting to receive legal advice or to discuss:

a. Non-compliance of a participating state with its obligations under this Compact.

b. The employment, compensation, discipline or other matters, practices or procedures related to specific employees or other matters related to the Commission's internal personnel practices and procedures.

c. Current, threatened, or reasonably anticipated litigation.

d. Negotiation of contracts for the purchase, lease, or sale of goods, services, or real estate.

e. Accusing any person of a crime or formally censuring any person.

f. Disclosure of trade secrets or commercial or financial information that is privileged or confidential.

g. Disclosure of information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy.

h. Disclosure of investigative records compiled for law enforcement purposes.

i. Disclosure of information related to any investigative reports prepared by or on behalf of or for use of the Commission or other committee charged with responsibility of investigation or determination of compliance issues pursuant to this Compact.

j. Legal advice.

k. Matters specifically exempted from disclosure by federal or participating states' statutes.

(4) If a meeting, or portion of a meeting, is closed pursuant to this provision, the chair of the meeting or the chair's designee shall certify that the meeting or portion of the meeting may be closed and shall reference each relevant exempting provision.

(5) The Commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, including a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release by a majority vote of the Commission or order of a court of competent jurisdiction.

(e) *Financing of the Commission.* —

(1) The Commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.

(2) The Commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services.

(3) The Commission may levy on and collect an annual assessment from each participating state and may impose Compact Privilege fees on licensees of participating states to whom a compact privilege is granted to cover the cost of the operations and activities of the Commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved by the Commission each year for which revenue is not provided by other sources. The aggregate annual assessment amount levied on participating states shall be allocated based upon a formula to be determined by commission rule.

a. A compact privilege expires when the licensee's qualifying license in the participating state from which the licensee applied for the compact privilege expires.

b. If the licensee terminates the qualifying license through which the licensee applied for the compact privilege before its scheduled expiration, and the licensee has a qualifying license in another participating state, the licensee shall inform the Commission that it is changing to that participating state the participating state through which it applies for a compact privilege and pay to the Commission any compact privilege fee required by commission rule.

(4) The Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the Commission pledge the credit of any of the participating states, except by and with the authority of the participating state.

(5) The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission shall be subject to the financial review and accounting procedures established under its bylaws. All receipts and disbursements of funds handled by the Commission shall be subject to an annual financial review by a certified or licensed public accountant, and the report of the financial review shall be included in and become part of the annual report of the Commission.

(f) *The Executive Committee.* —

(1) The Executive Committee shall have the power to act on behalf of the Commission according to the terms of this Compact and commission rules.

(2) The Executive Committee shall be composed of 9 members:

a. Seven voting members who are elected by the Commission from the current membership of the Commission.

b. One ex-officio, nonvoting member from a recognized national physician assistant professional association.

c. One ex-officio, nonvoting member from a recognized national physician assistant certification organization.

(3) The ex-officio members will be selected by their respective organizations.

(4) The Commission may remove any member of the Executive Committee as provided in its bylaws.

(5) The Executive Committee shall meet at least annually.

(6) The Executive Committee shall have the following duties and responsibilities:

a. Recommend to the Commission changes to the Commission's rules or bylaws, changes to this Compact legislation, fees to be paid by compact participating states such as annual dues, and any commission compact fee charged to licensees for the compact privilege.

b. Ensure compact administration services are appropriately provided, contractual or otherwise.

c. Prepare and recommend the budget.

d. Maintain financial records on behalf of the Commission.

e. Monitor compact compliance of participating states and provide compliance reports to the Commission.

f. Establish additional committees as necessary.

g. Exercise the powers and duties of the Commission during the interim between Commission meetings, except for issuing proposed rulemaking or adopting commission rules or bylaws, or exercising any other powers and duties exclusively reserved to the Commission by the Commission's rules.

h. Perform other duties as provided in the Commission's rules or bylaws.

(7) All meeting of the Executive Committee at which it votes or plans to vote on matters in exercising the powers and duties of the Commission shall be open to the public and public notice of such meetings shall be given as public meetings of the Commission are given.

(8) The Executive Committee may convene in a closed, non-public meeting for the same reasons that the Commission may convene in a non-public meeting as set forth in paragraph (d)(3) of this section and shall announce the closed meeting as the Commission is required to under paragraph (d)(4) of this section and keep minutes of the closed meeting as the Commission is required to under paragraph (d)(5) of this section.

(g) *Qualified immunity, defense, and indemnification. —*

(1) The members, officers, executive director, employees and representatives of the Commission shall be immune from suit and liability, both personally and in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit or liability for any damage, loss, injury, or liability caused by the intentional or willful or wanton misconduct of that person. The procurement of insurance of any type by the Commission shall not in any way compromise or limit the immunity granted hereunder.

(2) The Commission shall defend any member, officer, executive director, employee, and representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or as determined by the Commission that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining their own counsel at their own expense; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or willful or wanton misconduct.

(3) The Commission shall indemnify and hold harmless any member, officer, executive director, employee, and representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or willful or wanton misconduct of that person.

(4) Venue is proper and judicial proceedings by or against the Commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional defenses in any proceedings as authorized by commission rules.

(5) Nothing herein shall be construed as a limitation on the liability of any licensee for professional malpractice or misconduct, which shall be governed solely by any other applicable state laws.

(6) Nothing herein shall be construed to designate the venue or jurisdiction to bring actions for alleged acts of malpractice, professional misconduct, negligence, or other such civil action pertaining to the practice of a physician assistant. All such matters shall be determined exclusively by state law other than this Compact.

(7) Nothing in this Compact shall be interpreted to waive or otherwise abrogate a participating state's state action immunity or state action affirmative defense with respect to antitrust claims under the Sherman Act [15 U.S.C. § 1 et seq.], Clayton Act [15 U.S.C. § 12 et seq.], or any other state or federal antitrust or anticompetitive law or regulation.

(8) Nothing in this Compact shall be construed to be a waiver of sovereign immunity by the participating states or by the Commission.

(84 Del. Laws, c. 110, § 1.)

§ 1709B Data system.

(a) The Commission shall provide for the development, maintenance, operation, and utilization of a coordinated data and reporting system containing licensure, adverse action, and the reporting of the existence of significant investigative information on all licensed physician assistants and applicants denied a license in participating states.

(b) Notwithstanding any other state law to the contrary, a participating state shall submit a uniform data set to the data system on all physician assistants to whom this Compact is applicable (utilizing a unique identifier) as required by the rules of the Commission, including:

(1) Identifying information.

(2) Licensure data.

(3) Adverse actions against a license or compact privilege.

(4) Any denial of application for licensure, and the reason(s) for such denial (excluding the reporting of any criminal history record information where prohibited by law).

(5) The existence of significant investigative information.

(6) Other information that may facilitate the administration of this Compact, as determined by the rules of the Commission.

(c) Significant investigative information pertaining to a licensee in any participating state shall only be available to other participating states.

(d) The Commission shall promptly notify all participating states of any adverse action taken against a Licensee or an individual applying for a License that has been reported to it. This adverse action information shall be available to any other participating state.

(e) Participating states contributing information to the data system may, in accordance with state or federal law, designate information that may not be shared with the public without the express permission of the contributing state. Notwithstanding any such designation, such information shall be reported to the Commission through the data system.

(f) Any information submitted to the data system that is subsequently expunged pursuant to federal law or the laws of the participating state contributing the information shall be removed from the data system upon reporting of such by the participating state to the Commission.

(g) The records and information provided to a participating state pursuant to this Compact or through the data system, when certified by the Commission or an agent thereof, shall constitute the authenticated business records of the Commission, and shall be entitled to any associated hearsay exception in any relevant judicial, quasi-judicial or administrative proceedings in a participating state.

(84 Del. Laws, c. 110, § 1.)

§ 1710B Rulemaking.

(a) The Commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Commission rules shall become binding as of the date specified by the Commission for each rule.

(b) The Commission shall promulgate reasonable rules in order to effectively and efficiently implement and administer this Compact and achieve its purposes. A Commission rule shall be invalid and have not force or effect only if a court of competent jurisdiction holds that the rule is invalid because the Commission exercised its rulemaking authority in a manner that is beyond the scope of the purposes of this Compact, or the powers granted hereunder, or based upon another applicable standard of review.

(c) The rules of the Commission shall have the force of law in each participating state, provided however that where the rules of the Commission conflict with the laws of the participating state that establish the medical services a physician assistant may perform in the participating state, as held by a court of competent jurisdiction, the rules of the Commission shall be ineffective in that state to the extent of the conflict.

(d) If a majority of the legislatures of the participating states rejects a commission rule, by enactment of a statute or resolution in the same manner used to adopt this Compact within 4 years of the date of adoption of the rule, then such rule shall have no further force and effect in any participating state or to any state applying to participate in the Compact.

(e) Commission rules shall be adopted at a regular or special meeting of the Commission.

(f) Prior to promulgation and adoption of a final rule or rules by the Commission, and at least 30 days in advance of the meeting at which the rule will be considered and voted upon, the Commission shall file a notice of proposed rulemaking:

(1) On the website of the Commission or other publicly accessible platform;

(2) To persons who have requested notice of the Commission’s notices of proposed rulemaking; and

(3) In such other way(s) as the Commission may by rule specify.

(g) The notice of proposed rulemaking shall include:

(1) The time, date, and location of the public hearing on the proposed rule and the proposed time, date and location of the meeting in which the proposed rule will be considered and voted upon.

(2) The text of the proposed rule and the reason for the proposed rule.

(3) A request for comments on the proposed rule from any interested person and the date by which written comments must be received.

(4) The manner in which interested persons may submit notice to the Commission of their intention to attend the public hearing or provide any written comments.

(h) Prior to adoption of a proposed rule, the Commission shall allow persons to submit written data, facts, opinions, and arguments, which shall be made available to the public.

(i) If the hearing is to be held via electronic means, the Commission shall publish the mechanism for access to the electronic hearing.

(1) All persons wishing to be heard at the hearing shall as directed in the notice of proposed rulemaking, not less than 5 business days before the scheduled date of the hearing, notify the Commission of their desire to appear and testify at the hearing.

(2) Hearings shall be conducted in a manner providing each person who wishes to comment a fair and reasonable opportunity to comment orally or in writing.

(3) All hearings shall be recorded. A copy of the recording and the written comments, data, facts, opinions, and arguments received in response to the proposed rulemaking shall be made available to a person upon request.

(4) Nothing in this section shall be construed as requiring a separate hearing on each proposed rule. Proposed rules may be grouped for the convenience of the Commission at hearings required by this section.

(j) Following the public hearing the Commission shall consider all written and oral comments timely received.

(k) The Commission shall, by majority vote of all delegates, take final action on the proposed rule and shall determine the effective date of the rule, if adopted, based on the rulemaking record and the full text of the rule.

(1) If adopted, the rule shall be posted on the Commission's website.

(2) The Commission may adopt changes to the proposed rule provided the changes do not enlarge the original purpose of the proposed rule.

(3) The Commission shall provide on its website an explanation of the reasons for substantive changes made to the proposed rule as well as reasons for substantive changes not made that were recommended by commenters.

(4) The Commission shall determine a reasonable effective date for the rule. Except for an emergency as provided in subsection (*l*) of this section, the effective date of the rule shall be no sooner than 30 days after the Commission issued the notice that it adopted the rule.

(*l*) Upon determination that an emergency exists, the Commission may consider and adopt an emergency rule with 24 hours prior notice, without the opportunity for comment, or hearing, provided that the usual rulemaking procedures provided in this Compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately by the Commission in order to do 1 or more of the following:

(1) Meet an imminent threat to public health, safety, or welfare.

(2) Prevent a loss of commission or participating state funds.

(3) Meet a deadline for the promulgation of a commission rule that is established by federal law or rule.

(4) Protect public health and safety.

(m) The Commission or an authorized committee of the Commission may direct revisions to a previously adopted Commission Rule for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the Commission. The revision shall be subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made as set forth in the notice of revisions and delivered to the Commission prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.

(n) No participating state's rulemaking requirements shall apply under this Compact.

(84 Del. Laws, c. 110, § 1.)

§ 1711B Oversight, dispute resolution, and enforcement.

(a) *Oversight*: —

(1) The executive and judicial branches of state government in each participating state shall enforce this Compact and take all actions necessary and appropriate to implement the Compact.

(2) Venue is proper and judicial proceedings by or against the Commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings. Nothing herein shall affect or limit the selection or propriety of venue in any action against a licensee for professional malpractice, misconduct or any such similar matter.

(3) The Commission shall be entitled to receive service of process in any proceeding regarding the enforcement or interpretation of the Compact or the Commission's rules and shall have standing to intervene in such a proceeding for all purposes. Failure to provide the Commission with service of process shall render a judgment or order in such proceeding void as to the Commission, this Compact, or commission rules.

(b) *Default, technical assistance, and termination.* — (1) If the Commission determines that a participating state has defaulted in the performance of its obligations or responsibilities under this Compact or the commission rules, the Commission shall provide written notice to the defaulting state and other participating states. The notice shall describe the default, the proposed means of curing the default and any other action that the Commission may take and shall offer remedial training and specific technical assistance regarding the default.

(2) If a state in default fails to cure the default, the defaulting state may be terminated from this Compact upon an affirmative vote of a majority of the delegates of the participating states, and all rights, privileges and benefits conferred by this Compact upon such state may be terminated on the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.

(3) Termination of participation in this Compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the Commission to the governor, the majority and minority leaders of the defaulting state's legislature, and to the licensing board(s) of each of the participating states.

(4) A state that has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.

(5) The Commission shall not bear any costs related to a state that is found to be in default or that has been terminated from this Compact, unless agreed upon in writing between the Commission and the defaulting state.

(6) The defaulting state may appeal its termination from the Compact by the Commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the Commission has its principal offices. The prevailing member shall be awarded all costs of such litigation, including reasonable attorney's fees.

(7) Upon the termination of a state's participation in the Compact, the state shall immediately provide notice to all licensees within that state of such termination:

a. Licensees who have been granted a compact privilege in that state shall retain the compact privilege for 180 days following the effective date of such termination.

b. Licensees who are licensed in that state who have been granted a compact privilege in a participating state shall retain the compact privilege for 180 days unless the licensee also has a qualifying license in a participating state or obtains a qualifying license in a participating state before the 180-day period ends, in which case the compact privilege shall continue.

(c) *Dispute resolution*. —

(1) Upon request by a participating state, the Commission shall attempt to resolve disputes related to this Compact that arise among participating states and between participating and non-participating states.

(2) The Commission shall promulgate a Rule providing for both mediation and binding dispute resolution for disputes as appropriate.

(d) *Enforcement.* —

(1) The Commission, in the reasonable exercise of its discretion, shall enforce the provisions of this Compact and rules of the Commission.

(2) If compliance is not secured after all means to secure compliance have been exhausted, by majority vote, the Commission may initiate legal action in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices, against a participating state in default to enforce compliance with the provisions of this Compact and the Commission's promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorney's fees.

(3) The remedies herein shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or state law.

(e) *Legal action against the Commission.* —

(1) A participating state may initiate legal action against the Commission in the U.S. District Court for the District of Columbia or the federal district where the Commission has its principal offices to enforce compliance with the provisions of the Compact and its rules. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorney's fees.

(2) No person other than a participating state shall enforce this Compact against the Commission.

(84 Del. Laws, c. 110, § 1.)

§ 1712B Date of implementation of the PA Licensure Compact Commission.

(a) This Compact shall come into effect on the date on which this Compact statute is enacted into law in the seventh participating state.

(1) On or after the effective date of the Compact, the Commission shall convene and review the enactment of each of the states that enacted the Compact prior to the Commission convening ("charter participating states") to determine if the statute enacted by each such charter participating state is materially different from the Model Compact.

a. A charter participating state whose enactment is found to be materially different from the Model Compact shall be entitled to the default process set forth in § 1711B(b) of this title.

b. If any participating state later withdraws from the Compact or its participation is terminated, the Commission shall remain in existence and the Compact shall remain in effect even if the number of participating states should be less than 7. Participating states enacting the Compact subsequent to the Commission convening shall be subject to the process set forth in § 1708B(c)(21) of this title to determine if their enactments are materially different from the Model Compact and whether they qualify for participation in the Compact.

(2) Participating states enacting the Compact subsequent to the 7 initial charter participating states shall be subject to the process set forth in § 1708B(c)(21) of this title to determine if their enactments are materially different from the Model Compact and whether they

qualify for participation in the Compact.

(3) All actions taken for the benefit of the Commission or in furtherance of the purposes of the administration of the Compact prior to the effective date of the Compact or the Commission coming into existence shall be considered to be actions of the Commission unless specifically repudiated by the Commission.

(b) Any state that joins this Compact shall be subject to the Commission's Rules and bylaws as they exist on the date on which this Compact becomes law in that state. Any rule that has been previously adopted by the Commission shall have the full force and effect of law on the day this Compact becomes law in that state.

(c) Any participating state may withdraw from this Compact by enacting a statute repealing the same.

(1) A participating state's withdrawal shall not take effect until 180 days after enactment of the repealing statute. During this 180-day period, all compact privileges that were in effect in the withdrawing state and were granted to licensees licensed in the withdrawing state shall remain in effect. If any licensee licensed in the withdrawing state is also licensed in another participating state or obtains a license in another participating state within the 180 days, the licensee's compact privileges in other participating states shall not be affected by the passage of the 180 days.

(2) Withdrawal shall not affect the continuing requirement of the state licensing board(s) of the withdrawing state to comply with the investigative, and adverse action reporting requirements of this Compact prior to the effective date of withdrawal.

(3) Upon the enactment of a statute withdrawing a state from this Compact, the state shall immediately provide notice of such withdrawal to all licensees within that state. Such withdrawing state shall continue to recognize all licenses granted pursuant to this Compact for a minimum of 180 days after the date of such notice of withdrawal.

(d) Nothing contained in this Compact shall be construed to invalidate or prevent any physician assistant licensure agreement or other cooperative arrangement between participating states and between a participating state and non-participating state that does not conflict with the provisions of this Compact.

(e) This Compact may be amended by the participating states. No amendment to this Compact shall become effective and binding upon any participating state until it is enacted materially in the same manner into the laws of all participating states as determined by the Commission.

(84 Del. Laws, c. 110, § 1.)

§ 1713B Construction and severability.

(a) This Compact and the Commission's rulemaking authority shall be liberally construed so as to effectuate the purposes, and the implementation and administration of the Compact. Provisions of the Compact expressly authorizing or requiring the promulgation of rules shall not be construed to limit the Commission's rulemaking authority solely for those purposes.

(b) The provisions of this Compact shall be severable and if any phrase, clause, sentence or provision of this Compact is held by a court of competent jurisdiction to be contrary to the constitution of any participating state, a state seeking participation in the Compact, or of the United States, or the applicability thereof to any government, agency, person or circumstance is held to be unconstitutional by a court of competent jurisdiction, the validity of the remainder of this Compact and the applicability thereof to any other government, agency, person or circumstance shall not be affected thereby.

(c) Notwithstanding subsection (b) of this section, the Commission may deny a state's participation in the Compact or, in accordance with the requirements of § 1711B(b) of this title, terminate a participating state's participation in the Compact, if it determines that a constitutional requirement of a participating state is, or would be with respect to a state seeking to participate in the Compact, a material departure from the Compact. Otherwise, if this Compact shall be held to be contrary to the constitution of any participating state, the Compact shall remain in full force and effect as to the remaining participating states and in full force and effect as to the participating state affected as to all severable matters.

(84 Del. Laws, c. 110, § 1.)

§ 1714B Binding effect of compact.

(a) Nothing herein prevents the enforcement of any other law of a participating state that is not inconsistent with this Compact.

(b) Any laws in a participating state in conflict with this Compact are superseded to the extent of the conflict.

(c) All agreements between the Commission and the participating states are binding in accordance with their terms.

(84 Del. Laws, c. 110, § 1.)

Chapter 17C
Respiratory Care Interstate Compact

§ 1701C Title and purpose.

(a) The purpose of this Compact is to facilitate the interstate practice of respiratory therapy with the goal of improving public access to respiratory therapy services by providing respiratory therapists licensed in a member state the ability to practice in other member states. This Compact preserves the regulatory authority of states to protect public health and safety through the current system of state licensure.

(b) This Compact is designed to achieve all the following objectives:

(1) Increase public access to respiratory therapy services by creating a responsible, streamlined pathway for licensees to practice in member states with the goal of improving outcomes for patients.

(2) Enhance states' ability to protect the public's health and safety.

(3) Promote the cooperation of member states in regulating the practice of respiratory therapy within those member states.

(4) Ease administrative burdens on states by encouraging the cooperation of member states in regulating multistate respiratory therapy practice.

(5) Support relocating active military members and their spouses.

(6) Promote mobility and address workforce shortages.

(85 Del. Laws, c. 285, § 1.)

§ 1702C Definitions.

As used in this Compact:

(1) "Active military member" means any individual with a full-time duty status in the armed forces of the United States, including members of the National Guard and Reserve.

(2) "Adverse action" means any administrative, civil, equitable, or criminal action permitted by a state's laws which is imposed by any state authority with regulatory authority over respiratory therapists, such as license denial, censure, revocation, suspension, probation, monitoring of the licensee, or restriction on the licensee's practice, not including participation in an alternative program.

(3) a. "Alternative program" means a nondisciplinary monitoring or practice remediation process applicable to a respiratory therapist approved by any state authority with regulatory authority over respiratory therapists.

b. "Alternative program" includes programs to which licensees with substance abuse or addiction issues are referred in lieu of adverse action.

(4) "Charter member states" means those member states who were the first 7 states to enact this Compact into the laws of their state.

(5) "Commission" or "Respiratory Care Interstate Compact Commission" means the government instrumentality and body politic whose membership consists of all member states that have enacted this Compact.

(6) "Commissioner" means the individual appointed by a member state to serve as the member of the Commission for that member state.

(7) "Compact" means this Respiratory Care Interstate Compact.

(8) "Compact privilege" means the authorization granted by a remote state to allow a licensee from another member state to practice as a respiratory therapist in the remote state under the remote state's laws and rules. The practice of respiratory therapy occurs in the member state where the patient is located at the time of the patient encounter.

(9) "Criminal background check" means the submission by the member state of fingerprints or other biometric-based information on license applicants at the time of initial licensing for the purpose of obtaining that applicant's "criminal history record information," as defined in 28 C.F.R. § 20.3(d) or successor provision, from the Federal Bureau of Investigation and the state's "criminal history record repository," as defined in 28 C.F.R. § 20.3(f) or successor provision.

(10) "Data system" means the Commission's repository of information about licensees as further set forth in § 1708C of this title.

(11) "Domicile" means the jurisdiction which is the licensee's principal home for legal purposes.

(12) "Encumbered license" means a license that a state's respiratory therapy licensing authority has limited in any way.

(13) "Executive committee" means a group of directors elected or appointed to act on behalf of, and within the powers granted to them by the Commission.

(14) "Home state" except as set forth in § 1705C of this title, means the member state that is the licensee's primary domicile.

(15) "Home state license" means an active license to practice respiratory therapy in a home state that is not an encumbered license.

(16) "Jurisprudence requirement" means an assessment of an individual's knowledge of the state laws and regulations governing the practice of respiratory therapy in such state.

(17) "Licensee" means an individual who currently holds an authorization from the state to practice as a respiratory therapist.

(18) "Member state" means a state that has enacted this Compact and been admitted to the Commission in accordance with the provisions herein and commission rules.

(19) "Model Compact" means the model for the Respiratory Care Interstate Compact on file with the Council of State Governments or other entity as designated by the Commission.

(20) “Remote state” means a member state where a licensee is exercising or seeking to exercise the compact privilege.

(21) “Respiratory therapist” or “respiratory care practitioner” means an individual who holds a credential issued by the National Board for Respiratory Care (or its successor) and holds a license in a state to practice respiratory therapy. For purposes of this Compact, any other title or status adopted by a state to replace the term “respiratory therapist” or “respiratory care practitioner” are deemed synonymous with “respiratory therapist” and confer the same rights and responsibilities to the licensee under the provisions of this Compact at the time of its enactment.

(22) “Respiratory therapy,” “respiratory therapy practice,” “respiratory care,” “the practice of respiratory care,” and “the practice of respiratory therapy” means the care and services provided by or under the direction and supervision of a respiratory therapist or respiratory care practitioner.

(23) “Respiratory therapy licensing authority” means the agency, board, or other body of a state that is responsible for licensing and regulation of respiratory therapists.

(24) “Rule” means a regulation promulgated by an entity that has the force and effect of law.

(25) “Scope of practice” means the procedures, actions, and processes a respiratory therapist licensed in a state or practicing under a compact privilege in a state is permitted to undertake in that state and the circumstances under which the respiratory therapist is permitted to undertake those procedures, actions, and processes. Such procedures, actions, and processes, and the circumstances under which they may be undertaken may be established through means, including statute, regulations, case law, and other processes available to the state respiratory therapy licensing authority or other government agency.

(26) “Significant investigative information” means information, records, and documents received or generated by a state respiratory therapy licensing authority pursuant to an investigation for which a determination has been made that there is probable cause to believe that the licensee has violated a statute or regulation that is considered more than a minor infraction for which the state respiratory therapy licensing authority could pursue adverse action against the licensee.

(27) “State” means any state, commonwealth, district, or territory of the United States.

(85 Del. Laws, c. 285, § 1.)

§ 1703C State participation in this Compact.

(a) In order to participate in this Compact and thereafter continue as a member state, a member state shall do all of the following:

(1) Enact a compact that is not materially different from the model compact.

(2) License respiratory therapists.

(3) Participate in the Commission’s data system.

(4) Have a mechanism in place for receiving and investigating complaints against licensees and compact privilege holders.

(5) Notify the Commission, in compliance with the terms of this Compact and commission rules, of any adverse action against a licensee, a compact privilege holder, or a license applicant.

(6) Notify the Commission, in compliance with the terms of this Compact and commission rules, of the existence of significant investigative information.

(7) Comply with the rules of the Commission.

(8) Grant the compact privilege to a holder of an active home state license and otherwise meet the applicable requirements of § 1704C of this title in a member state.

(9) Complete a criminal background check for each new licensee at the time of initial licensure.

(b) Where expressly authorized or permitted by federal law, whether that federal law is in effect before, at, or after the time of a member state’s enactment of this Compact, a member state’s enactment of this Compact hereby authorizes the member state’s respiratory therapy licensing authority to perform criminal background checks as defined herein. The absence of such a federal law as described in this subsection does not prevent or preclude the authorization where it may be derived or granted through means other than the enactment of this Compact.

(c) Nothing in this Compact prohibits a member state from charging a fee for granting and renewing the compact privilege.

(85 Del. Laws, c. 285, § 1.)

§ 1704C Compact privilege.

(a) To exercise the compact privilege under the terms and provisions of this Compact, the licensee shall do all of the following:

(1) Hold and maintain an active home state license as a respiratory therapist.

(2) Hold and maintain an active credential from the National Board for Respiratory Care (or its successor) that would qualify them for licensure in the remote state in which they are seeking the privilege.

(3) Have not had any adverse action against a license within the previous 2 years.

(4) Notify the Commission that the licensee is seeking the compact privilege within a remote state.

(5) Pay any applicable fees, including any state and commission fees and renewal fees, for the compact privilege.

(6) Meet any jurisprudence requirements established by the remote state in which the licensee is seeking a compact privilege.

(7) Report to the Commission adverse action taken by any nonmember state within 30 days from the date the adverse action is taken.

(8) Report to the Commission, when applying for a compact privilege, the address of the licensee’s domicile and thereafter promptly

report to the Commission any change in the address of the licensee's domicile within 30 days of the effective date of the change in address.

(9) Consent to accept service of process by mail at the licensee's domicile on record with the Commission with respect to any action brought against the licensee by the Commission or a member state, and consent to accept service of a subpoena by mail at the licensee's domicile on record with the Commission with respect to any action brought or investigation conducted by the Commission or a member state.

(b) The compact privilege is valid until the expiration date or revocation of the home state license unless terminated pursuant to adverse action. The licensee must comply with all of the requirements of subsection (a) of this section to maintain the compact privilege in a remote state. If those requirements are met, no adverse actions are taken, and the licensee has paid any applicable compact privilege renewal fees, then the licensee will maintain the licensee's compact privilege.

(c) A licensee providing respiratory therapy in a remote state under the compact privilege shall function within the scope of practice authorized by the remote state for the type of respiratory therapist license the licensee holds. The procedures, actions, processes, and the circumstances under which they may be undertaken may be established through means including statute, regulations, case law, and other processes available to the state respiratory therapy licensing authority or other government agency.

(d) If a licensee's compact privilege in a remote state is removed by the remote state, the individual loses or is ineligible for the compact privilege in that remote state until the compact privilege is no longer limited or restricted by that state.

(e) If a home state license is encumbered, the licensee loses the compact privilege in all remote states until all of the following occur:

(1) The home state license is no longer encumbered due to an adverse action.

(2) Two years have elapsed from the date on which the license is no longer encumbered due to the adverse action.

(f) Once a licensee with a restricted or limited license meets the requirements of paragraphs (e)(1) and (e)(2) of this section, the licensee must also meet the requirements of subsection (a) of this section to obtain a compact privilege in a remote state.

(85 Del. Laws, c. 285, § 1.)

§ 1705C Active military member or their spouse.

(a) An active military member, or their spouse, shall designate a home state where the individual has a current license in good standing. The individual may retain the home state designation during the period the service member is on active duty.

(b) An active military member and their spouse must not be required to pay to the Commission for a compact privilege any fee that may otherwise be charged by the Commission. If a remote state chooses to charge a fee for a compact privilege, it may choose to charge a reduced fee or no fee to an active military member and their spouse for a compact privilege.

(85 Del. Laws, c. 285, § 1.)

§ 1706C Adverse actions.

(a) A member state in which a licensee is licensed has authority to impose adverse action against the license issued by that member state.

(b) A member state may take adverse action based on significant investigative information of a remote state or the home state, so long as the member state follows its own procedures for imposing adverse action.

(c) Nothing in this Compact overrides a member state's decision that participation in an alternative program may be used in lieu of adverse action and that the participation must remain nonpublic if required by the member state's laws.

(d) A remote state has the authority to do all of the following:

(1) Take adverse actions as set forth herein against a licensee's compact privilege in that state.

(2) Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses, and the production of evidence as follows:

a. Subpoenas may be issued by a respiratory therapy licensing authority in a member state for the attendance and testimony of witnesses and the production of evidence.

b. Subpoenas issued by a respiratory therapy licensing authority in a member state for the attendance and testimony of witnesses must be enforced in the latter state by any court of competent jurisdiction in the latter state, according to the practice and procedure of that court applicable to subpoenas issued in proceedings pending before it.

c. Subpoenas issued by a respiratory therapy licensing authority in a member state for production of evidence from another member state must be enforced in the latter state, according to the practice and procedure of that court applicable to subpoenas issued in the proceedings pending before it.

d. The issuing authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the state where the witnesses or evidence are located.

(3) Unless otherwise prohibited by state law, recover from the licensee the costs of investigations and disposition of cases resulting from any adverse action taken against that licensee.

(4) Notwithstanding paragraph (d)(2) of this section, a member state may not issue a subpoena to gather evidence of conduct in another member state that is lawful in that other member state for the purpose of taking adverse action against a licensee's compact privilege or application for a compact privilege in that member state.

(5) Nothing in this Compact authorizes a member state to impose discipline against a respiratory therapist's compact privilege in that

member state for the individual's otherwise lawful practice in another state.

(e) Joint investigations. —

(1) In addition to the authority granted to a member state by its respective respiratory therapy practice act or other applicable state law, a member state may participate with other member states in joint investigations of licensees, provided, however, that a member state receiving such a request has no obligation to respond to any subpoena issued regarding an investigation of conduct or practice that was lawful in a member state at the time it was undertaken.

(2) Member states shall share any significant investigative information, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under this Compact. In sharing such information between member state respiratory therapy licensing authorities, all information obtained shall be kept confidential, except as otherwise mutually agreed upon by the sharing and receiving member state.

(f) Nothing in this Compact permits a member state to take any adverse action against a licensee or holder of a compact privilege for conduct or practice that was legal in the member state at the time it was undertaken.

(g) Nothing in this Compact permits a member state to take disciplinary action against a licensee or holder of a compact privilege for conduct or practice that was legal in the member state at the time it was undertaken.

(85 Del. Laws, c. 285, § 1.)

§ 1707C Establishment of the Respiratory Care Interstate Compact Commission.

(a) The compact member states hereby create and establish a joint government agency whose membership consists of all member states that have enacted this Compact known as the Respiratory Care Interstate Compact Commission. The Commission is an instrumentality of the compact member states acting jointly and not an instrumentality of any 1 state. The Commission comes into existence on or after the effective date of this Compact, as set forth in § 1711C of this title.

(b) Membership, voting, and meetings. —

(1) Each member state has and is limited to 1 Commissioner selected by that member state's respiratory therapy licensing authority.

(2) The Commissioner must be an administrator or their designated staff member of the member state's respiratory therapy licensing authority.

(3) The Commission shall by rule or bylaw establish a term of office for Commissioners and may by rule or bylaw establish term limits.

(4) The Commission may recommend to a member state the removal or suspension of any Commissioner from office.

(5) A member state's respiratory therapy licensing authority shall fill any vacancy of its Commissioner occurring on the Commission within 60 days of the vacancy.

(6) Each Commissioner is entitled to 1 vote on all matters before the Commission requiring a vote by Commissioners.

(7) A Commissioner shall vote in person or by the other means provided in the bylaws. The bylaws may provide for Commissioners to meet by telecommunication, videoconference, or other means of communication.

(8) The Commission shall meet at least once during each calendar year. Additional meetings may be held as set forth in the bylaws.

(c) The Commission has all of the following powers:

(1) Establish and amend the fiscal year of the Commission.

(2) Establish and amend bylaws and policies, including a code of conduct and conflict of interest.

(3) Establish and amend rules, which are binding in all member states.

(4) Maintain its financial records in accordance with the bylaws.

(5) Meet and take such actions as are consistent with the provisions of this Compact, the Commission's rules, and the bylaws.

(6) Initiate and conduct legal proceedings or actions in the name of the Commission, provided that the standing of any respiratory therapy licensing authority to sue or be sued under applicable law is not affected.

(7) Maintain and certify records and information provided to a member state as the authenticated business records of the Commission, and designate an agent to do so on the Commission's behalf.

(8) Purchase and maintain insurance and bonds.

(9) Accept or contract for services of personnel, including employees of a member state.

(10) Conduct an annual financial review.

(11) Hire employees, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of this Compact, and establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters.

(12) Assess and collect fees.

(13) Accept appropriate gifts, donations, grants of money, other sources of revenue, equipment, supplies, materials, and services, and receive, utilize, and dispose of the same, provided that at all times the Commission shall do all of the following:

a. Avoid any appearance of impropriety.

b. Avoid any appearance of conflict of interest.

(14) Lease, purchase, retain, own, hold, improve, or use any property, real, personal, or mixed, or any undivided interest therein.

(15) Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property, real, personal, or mixed, or any

undivided interest therein.

(16) Establish a budget and make expenditures.

(17) Borrow money in a fiscally responsible manner.

(18) Appoint committees, including standing committees, composed of Commissioners, state regulators, state legislators or their representatives, and consumer representatives, and such other interested persons as may be designated in this Compact and the bylaws.

(19) Provide and receive information from, and cooperate with, law-enforcement agencies.

(20) Establish and elect an Executive Committee, including a chair, vice-chair, secretary, treasurer, and such other offices as the Commission shall establish by rule or bylaw.

(21) Enter into contracts or arrangements for the management of the affairs of the Commission.

(22) Determine whether a state's adopted language is materially different from the model compact language such that the state would not qualify for participation in this Compact.

(23) Perform such other functions as may be necessary or appropriate to achieve the purposes of this Compact.

(d) The Executive Committee. —

(1) The Executive Committee has the power to act on behalf of the Commission according to the terms of this Compact. The powers, duties, and responsibilities of the Executive Committee include all of the following:

a. Overseeing the day-to-day activities of the administration of this Compact, including enforcement and compliance with the provisions of this Compact, its rules and bylaws, and other such duties as deemed necessary.

b. Recommending to the Commission changes to the rules or bylaws, changes to this compact legislation, fees charged to compact member states, fees charged to licensees, and other fees.

c. Ensuring compact administration services are appropriately provided, including by contract.

d. Preparing and recommending the budget.

e. Maintaining financial records on behalf of the Commission.

f. Monitoring compact compliance of member states and providing compliance reports to the Commission.

g. Establishing additional committees as necessary.

h. Exercising the powers and duties of the Commission during the interim between commission meetings, except for adopting or amending rules, adopting or amending bylaws, and exercising any other powers and duties expressly reserved to the Commission by rule or bylaw.

i. Performing other duties as provided in the rules or bylaws of the Commission.

(2) The Executive Committee is composed of up to 9 members, as further set forth in the bylaws of the Commission:

a. Seven voting members who are elected by the Commission from the current membership of the Commission; and

b. Two ex-officio, nonvoting members.

(3) The Commission may remove any member of the Executive Committee as provided in the Commission's bylaws.

(4) The Executive Committee shall meet at least annually.

a. Executive committee meetings must be open to the public, except that the Executive Committee may meet in a closed, nonpublic meeting as provided in paragraph (f)(4) of this section.

b. The Executive Committee shall give advance notice of its meetings, posted on its website and as determined to provide notice to persons with an interest in the business of the Commission.

c. The Executive Committee may hold a special meeting in accordance with paragraph (f)(2) of this section.

(e) The Commission shall adopt and provide to the member states an annual report.

(f) Meetings of the Commission. —

(1) All meetings of the Commission that are not closed under paragraph (f)(4) of this section must be open to the public. Notice of public meetings must be posted on the Commission's website at least 30 days prior to the public meeting.

(2) Notwithstanding paragraph (f)(1) of this section, the Commission may convene an emergency public meeting by providing at least 24 hours' prior notice on the Commission's website, and any other means as provided in the Commission's rules, for any of the reasons it may dispense with notice of proposed rulemaking under § 1709C(g) of this title. The Commission's legal counsel shall certify that 1 of the reasons justifying an emergency public meeting has been met.

(3) Notice of all commission meetings must provide the time, date, and location of the meeting, and if the meeting is to be held or accessible via telecommunication, video conference, or other electronic means, the notice must include the mechanism for access to the meeting.

(4) The Commission or the Executive Committee may convene in a closed, nonpublic meeting for the Commission or Executive Committee to receive or solicit legal advice or to discuss any of the following:

a. Noncompliance of a member state with its obligations under this Compact.

b. The employment, compensation, discipline or other matters, practices or procedures related to specific employees.

c. Current or threatened discipline of a licensee or compact privilege holder by the Commission or by a member state's respiratory therapy licensing authority.

d. Current, threatened, or reasonably anticipated litigation.

e. Negotiation of contracts for the purchase, lease, or sale of goods, services, or real estate.

f. Accusing any person of a crime or formally censuring any person.

g. Trade secrets or commercial or financial information that is privileged or confidential.

h. Information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy.

i. Investigative records compiled for law-enforcement purposes.

j. Information related to any investigative reports prepared by or on behalf of or for use of the Commission or other committee charged with responsibility of investigation or determination of compliance issues pursuant to this Compact.

k. Legal advice.

l. Matters specifically exempted from disclosure by federal or member state law.

m. Other matters as promulgated by the Commission by rule.

(5) If a meeting, or portion of a meeting, is closed, the presiding officer shall state that the meeting will be closed and reference each relevant exempting provision, and such reference must be recorded in the minutes.

(6) The Commission shall keep minutes in accordance with commission rules and bylaws. All documents considered in connection with an action must be identified in such minutes. All minutes and documents of a closed meeting must remain under seal, subject to release only by a majority vote of the Commission or order of a court of competent jurisdiction.

(g) Financing of the Commission. —

(1) The Commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.

(2) The Commission may accept appropriate revenue sources as provided herein.

(3) The Commission may levy on and collect an annual assessment from each member state and impose fees on licensees of member states to whom it grants a compact privilege to cover the cost of the operations and activities of the Commission and its staff. The aggregate annual assessment amount for member states, if any, must be allocated based upon a formula that the Commission shall promulgate by rule.

(4) The Commission may not incur obligations of any kind prior to securing the funds or a loan adequate to meet the same. The Commission may not pledge the credit of any of the member states, except by and with the authority of the member state.

(5) The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission are subject to the financial review and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Commission are subject to an annual financial review by a certified or licensed public accountant, and the report of the financial review must be included in and become part of the annual report of the Commission.

(h) Qualified immunity, defense, and indemnification. —

(1) Nothing in this Compact may be construed as a limitation on the liability of any licensee for professional malpractice or misconduct, which is governed solely by any other applicable state laws.

(2) The member states, Commissioners, officers, executive directors, employees, and agents of the Commission are immune from suit and liability, both personally and in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities. Nothing in this subsection may be construed to protect any such person from suit or liability for any damage, loss, injury, or liability caused by the intentional or wilful or wanton misconduct of that person. The procurement of insurance of any type by the Commission does not in any way compromise or limit the immunity granted under this subsection.

(3) The Commission shall defend any Commissioner, officer, executive director, employee, and agent of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties, or responsibilities, or as determined by the Commission that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities if the actual or alleged act, error, or omission did not result from that person's intentional or wilful or wanton misconduct. Nothing in this Compact may be construed to prohibit that person from retaining their own counsel at their own expense.

(4) The Commission shall indemnify and hold harmless any Commissioner, member, officer, executive director, employee, and agent of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or wilful or wanton misconduct of that person.

(5) Nothing in this Compact may be interpreted to waive or otherwise abrogate a member state's state action immunity or state action affirmative defense with respect to antitrust claims under the Sherman Act [15 U.S.C. § 1 et seq.], Clayton Act [15 U.S.C. § 12 et seq.], or any other state or federal antitrust or anticompetitive law or regulation.

(6) Nothing in this Compact may be construed to be a waiver of sovereign immunity by the member states or by the Commission.

(85 Del. Laws, c. 285, § 1.)

§ 1708C Data system.

(a) The Commission shall provide for the development, maintenance, operation, and utilization of a coordinated database and reporting system containing licensure, adverse action, and the presence of significant investigative information.

(b) Notwithstanding any other provision of state law to the contrary, a member state shall submit a uniform data set to the data system as required by the rules of the Commission, including all of the following:

(1) Identifying information.

(2) Licensure data.

(3) Adverse actions against a licensee, license applicant, or compact privilege holder and information related thereto.

(4) Nonconfidential information related to alternative program participation, the beginning and ending dates of such participation, and other information related to the participation not made confidential under member state law.

(5) Any denial of application for licensure, and the reason for the denial.

(6) The presence of current significant investigative information.

(7) Other information that may facilitate the administration of this Compact or the protection of the public, as determined by the rules of the Commission.

(c) A member state may not submit any information which constitutes criminal history record information, as defined by applicable federal law, to the data system established under this section.

(d) The records and information provided to a member state under this Compact or through the data system, when certified by the Commission or an agent thereof, constitutes the authenticated business records of the Commission, and are entitled to any associated hearsay exception in any relevant judicial, quasi-judicial or administrative proceedings in a member state.

(e) Significant investigative information pertaining to a licensee in any member state will only be available to other member states.

(f) It is the responsibility of the member states to report any adverse action against a licensee and to monitor the database to determine whether adverse action has been taken against a licensee. Adverse action information pertaining to a licensee in any member state will be available to any other member state.

(g) Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state.

(h) Any information submitted to the data system that is subsequently expunged under federal law or the laws of the member state contributing the information must be removed from the data system.

(85 Del. Laws, c. 285, § 1.)

§ 1709C Rulemaking.

(a) The Commission shall promulgate reasonable rules in order to effectively and efficiently implement and administer the purposes and provisions of this Compact. A rule is invalid and has no force or effect only if a court of competent jurisdiction holds that the rule is invalid because the Commission exercised its rulemaking authority in a manner that is beyond the scope and purposes of this Compact, or the powers granted hereunder, or based upon another applicable standard of review.

(b) For purposes of this Compact, the rules of the Commission have the force of law in each member state.

(c) The Commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Rules become binding as of the date specified in each rule.

(d) If a majority of the legislatures of the member states rejects a rule or portion of a rule, by enactment of a statute or resolution in the same manner used to adopt this Compact within 4 years of the date of adoption of the rule, then that rule has no further force and effect in any member state.

(e) Rules must be adopted at a regular or special meeting of the Commission.

(f) Before adoption of a proposed rule, the Commission shall hold a public hearing and allow persons to provide oral and written comments, data, facts, opinions, and arguments.

(g) Before adoption of a proposed rule by the Commission, and at least 30 days in advance of the meeting at which the Commission will hold a public hearing on the proposed rule, the Commission shall provide a notice of proposed rulemaking by all of the following means:

(1) On the website of the Commission or other publicly-accessible platform.

(2) To persons who have requested notice of the Commission's notices of proposed rulemaking.

(3) In such other ways as the Commission may by rule specify.

(h) The notice of proposed rulemaking must include all of the following:

(1) The time, date, and location of the public hearing at which the Commission will hear public comments on the proposed rule and, if different, the time, date, and location of the meeting where the Commission will consider and vote on the proposed rule.

(2) If the hearing is held via telecommunication, video conference, or other electronic means, the Commission shall include the mechanism for access to the hearing in the notice of proposed rulemaking.

(3) The text of the proposed rule and the reason for the rule.

(4) A request for comments on the proposed rule from any interested person.

(5) The manner in which interested persons may submit written comments.

(i) All hearings will be recorded. A copy of the recording and all written comments and documents received by the Commission in response to the proposed rule must be available to the public.

(j) Nothing in this section may be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the Commission at hearings required by this section.

(k) The Commission shall, by majority vote of all Commissioners, take final action on the proposed rule based on the rulemaking record and the full text of the rule, as follows:

(1) The Commission may adopt changes to the proposed rule provided the changes are consistent with the original purpose of the proposed rule.

(2) The Commission shall provide an explanation of the reasons for substantive changes made to the proposed rule as well as reasons for substantive changes not made that were recommended by commenters.

(3) The Commission shall determine a reasonable effective date for the rule. Except for an emergency as provided in subsection (*l*) of this section, the effective date of the rule may not be sooner than 30 days after issuing the notice that it adopted or amended the rule.

(*l*) On determination that an emergency exists, the Commission may consider and adopt an emergency rule with 24 hours' notice, and with opportunity to comment, provided that the usual rulemaking procedures provided in this Compact and in this section must be retroactively applied to the rule as soon as reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately in order to do any of the following:

(1) Meet an imminent threat to public health, safety, or welfare.

(2) Prevent a loss of commission or member state funds.

(3) Meet a deadline for the promulgation of a rule that is established by federal law or rule.

(4) Protect public health and safety.

(m) The Commission or an authorized committee of the Commission may direct revisions to a previously adopted rule for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions must be posted on the website of the Commission. The revision is subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge must be made in writing and delivered to the Commission before the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.

(n) (1) A member state's rulemaking process or procedural requirements do not apply to the Commission.

(2) The Commission has no authority over any member state's rulemaking process or procedural requirements that do not pertain to this Compact.

(o) Nothing in this Compact, nor any rule or regulation of the Commission, may be construed to limit, restrict, or in any way reduce the ability of a member state to enact and enforce laws, regulations, or other rules related to the practice of respiratory therapy in that state, where those laws, regulations, or other rules are not inconsistent with the provisions of this Compact.

(85 Del. Laws, c. 285, § 1.)

§ 1710C Oversight, dispute resolution, and enforcement.

(a) Oversight. —

(1) The executive and judicial branches of state government in each member state shall enforce this Compact and take all actions necessary and appropriate to implement this Compact.

(2) Venue is proper and judicial proceedings by or against the Commission must be brought solely and exclusively in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings. Nothing in this subsection affects or limits the selection or propriety of venue in any action against a licensee for professional malpractice, misconduct or any such similar matter.

(3) The Commission is entitled to receive service of process in any proceeding regarding the enforcement or interpretation of this Compact and has standing to intervene in such a proceeding for all purposes. Failure to provide the Commission service of process renders a judgment or order void as to the Commission, this Compact, or promulgated rules.

(b) Default, technical assistance, and termination. —

(1) If the Commission determines that a member state has defaulted in the performance of its obligations or responsibilities under this Compact or the promulgated rules, the Commission shall provide written notice to the defaulting state. The notice of default must describe the default, the proposed means of curing the default, and any other action that the Commission may take, and must offer training and specific technical assistance regarding the default.

(2) The Commission shall provide a copy of the notice of default to the other member states.

(c) If a state in default fails to cure the default, the defaulting state may be terminated from this Compact on an affirmative vote of a majority of the Commissioners of the member states, and all rights, privileges and benefits conferred on that state by this Compact may be terminated on the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.

(d) Termination of membership in this Compact may be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate must be given by the Commission to the governor, the majority and minority leaders of the defaulting state's legislature, the defaulting state's respiratory therapy licensing authority and each of the member states' respiratory

therapy licensing authorities.

(e) A state that has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination, if necessary.

(f) On the termination of a state's membership from this Compact, that state shall immediately provide notice to all licensees and compact privilege holders of which the Commission has a record within that state of the termination. The terminated state shall continue to recognize all licenses granted under this Compact for a minimum of 180 days after the date of said notice of termination.

(g) The Commission may not bear any costs related to a state that is found to be in default or that has been terminated from this Compact, unless agreed on in writing between the Commission and the defaulting state.

(h) The defaulting state may appeal the action of the Commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the Commission has its principal offices. The prevailing party must be awarded all costs of such litigation, including reasonable attorneys' fees.

(i) Dispute resolution. —

(1) On request by a member state, the Commission shall attempt to resolve disputes related to this Compact that arise among member states and between member and nonmember states.

(2) The Commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes, as appropriate.

(j) Enforcement. —

(1) By majority vote, as may be further provided by rule, the Commission may initiate legal action against a member state in default in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices to enforce compliance with the provisions of this Compact and its promulgated rules. A member state by enactment of this Compact consents to venue and jurisdiction in such court for the purposes set forth in this paragraph (j)(1). The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party must be awarded all costs of such litigation, including reasonable attorneys' fees. The remedies in this paragraph (j)(1) are not the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or the defaulting member state's law.

(2) A member state may initiate legal action against the Commission in the U.S. District Court for the District of Columbia or the federal district where the Commission has its principal offices to enforce compliance with the provisions of this Compact and its promulgated rules. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party must be awarded all costs of such litigation, including reasonable attorneys' fees.

(3) A person other than a member state may not enforce this Compact against the Commission.

(85 Del. Laws, c. 285, § 1.)

§ 1711C Effective date, withdrawal, and amendment.

(a) This Compact takes effect on the date on which this Compact statute is enacted into law in the seventh member state ("effective date").

(1) On or after the effective date of this Compact, the Commission shall convene and review the enactment of each of the first 7 member states ("charter member states") to determine if the statute enacted by each charter member state is materially different than the Model Compact.

a. A charter member state whose enactment is found to be materially different from the Model Compact is entitled to the default process set forth in § 1710C of this title.

b. If any member state is later found to be in default, or is terminated or withdraws from this Compact, the Commission will remain in existence and this Compact will remain in effect even if the number of member states is less than 7.

(2) Member states enacting this Compact subsequent to the 7 initial charter member states are subject to the process set forth herein and commission rule to determine if their enactments are materially different from the Model Compact and whether they qualify for participation in this Compact.

(3) All actions taken for the benefit of the Commission or in furtherance of the purposes of the administration of this Compact before the effective date of this Compact or the Commission coming into existence are considered to be actions of the Commission unless specifically repudiated by the Commission. The Commission owns and has all rights to any intellectual property developed on behalf or in furtherance of the Commission by individuals or entities involved in organizing or establishing the Commission, as may be further set forth in rules of the Commission.

(4) Any state that joins this Compact subsequent to the Commission's initial adoption of the rules and bylaws is subject to the rules and bylaws as they exist on the date on which this Compact becomes law in that state. Any rule that has been previously adopted by the Commission has the full force and effect of law on the date this Compact becomes law in that state.

(b) Any member state may withdraw from this Compact by enacting a statute repealing the same.

(1) A member state's withdrawal does not take effect until 180 days after enactment of the repealing statute.

(2) Withdrawal does not affect the continuing requirement of the withdrawing state's respiratory therapy licensing authority to comply with the investigative and adverse action reporting requirements of this Compact before the effective date of withdrawal.

(3) On the enactment of a statute withdrawing from this Compact, a state shall immediately provide notice of the withdrawal to all licensees and compact privilege holders of which the Commission has a record within that state. Notwithstanding any subsequent

statutory enactment to the contrary, the withdrawing state shall continue to recognize all licenses granted under this Compact for a minimum of 180 days after the date of the notice of withdrawal.

(c) Nothing contained in this Compact may be construed to invalidate or prevent any licensure agreement or other cooperative arrangement between a member state and a nonmember state that does not conflict with the provisions of this Compact.

(d) This Compact may be amended by the member states. An amendment to this Compact does not become effective and binding on any member state until it is enacted into the laws of all member states.

(85 Del. Laws, c. 285, § 1.)

§ 1712C Construction and severability.

(a) This Compact and the Commission's rulemaking authority must be liberally construed so as to effectuate the purposes and the implementation and administration of this Compact. Provisions of this Compact expressly authorizing or requiring the promulgation of rules may not be construed to limit the Commission's rulemaking authority solely for those purposes.

(b) The provisions of this Compact are severable, and if any phrase, clause, sentence, or provision of this Compact is held by a court of competent jurisdiction to be contrary to the constitution of any member state, a state seeking participation in this Compact, or of the United States, or the applicability of this Compact to any government, agency, person or circumstance is held to be unconstitutional by a court of competent jurisdiction, the validity of the remainder of this Compact and the applicability of this Compact to any other government, agency, person or circumstance is not affected.

(c) Notwithstanding subsection (b) of this section, the Commission may deny a state's participation in this Compact or, in accordance with the requirements of § 1710C of this title, terminate a member state's participation in this Compact, if it determines that a constitutional requirement of a member state is a material departure from this Compact. Otherwise, if this Compact is held to be contrary to the constitution of any member state, this Compact remains in full force and effect as to the remaining member states and in full force and effect as to the member state affected as to all severable matters.

(85 Del. Laws, c. 285, § 1.)

§ 1713C Consistent effect and conflict with other state laws.

(a) Nothing herein prevents or inhibits the enforcement of any other law of a member state that is not inconsistent with this Compact.

(b) Any laws, statutes, regulations, or other legal requirements in a member state in conflict with this Compact are superseded to the extent of the conflict, including any subsequently enacted state laws.

(c) All permissible agreements between the Commission and the member states are binding in accordance with their terms.

(d) Other than as expressly set forth herein, nothing in this Compact will impact initial licensure.

(85 Del. Laws, c. 285, § 1.)

Chapter 18
BOARD OF PLUMBING, HEATING, VENTILATION, AIR CONDITIONING, AND REFRIGERATION EXAMINERS

Subchapter I

Board of Plumbing, Heating, Ventilation, Air Conditioning and Refrigeration Examiners

§ 1801 Objectives.

The primary objective of the Board of Plumbing, Heating, Ventilation, Air Conditioning and Refrigeration Examiners, to which all other objectives are secondary, is to protect the general public, specifically those persons who are direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered. The secondary objectives of the Board are to maintain minimum standards of practitioner competency and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at formal complaint hearings; shall promulgate rules and regulations; and shall impose sanctions, where necessary, against licensees.

(71 Del. Laws, c. 185, § 1; 75 Del. Laws, c. 296, § 1.)

§ 1802 Definitions.

The following words, terms, and phrases, when used in this chapter, have the meanings ascribed to them in this section, except where the context clearly indicates a different meaning:

(1) “Board” means the State Board of Plumbing, Heating, Ventilation, Air Conditioning and Refrigeration Examiners.

(2) “Commercial hood system” means a system or ventilation for an exhaust in commercial establishments.

(3) “Cooling system” means a system in which heat is removed from air, surrounding surfaces, or both. A cooling system includes an air conditioning system.

(4) “Division” means the Division of Professional Regulation.

(5) “Gas piping” means any arrangement of piping used to convey fuel gas, supplied by 1 meter, and each arrangement of gas piping serving a building, structure, or premises, whether individually metered or not. “Gas piping” does not include the installation of gas appliances where existing service connections are already installed, nor does the term include the installations, alterations, or maintenance of gas utilities owned by a public utility.

(6) “HVACR” means heating, ventilation, air conditioning, and refrigeration.

(7) “HVACR restricted services” means HVACR services that are limited to 1 of the following specialties:

a. Heating — forced air systems; ventilation; and gas piping; or

b. Heating — hydronic systems and gas piping; or

c. Commercial hood systems; or

d. Refrigeration; or

e. Air-conditioning; or

f. Gas piping.

(8) “HVACR services” means the design, installation, construction, maintenance, service, repair, alteration, or modification of a product or of equipment including gas piping in heating and air conditioning, refrigeration, ventilation, or process cooling or heating systems.

(9) “Hydronic system” means a heating and cooling system using liquids or steam to transmit or remove heat.

(10) “License” means a document issued by the Board of Plumbing, Heating, Ventilation, Air Conditioning and Refrigeration Examiners certifying that the holder has met the requirements of this chapter and is a master plumber, master HVACR licensee, or master HVACR restricted licensee.

(11) “Master HVACR licensee” means an individual holding a current license pursuant to this chapter to provide heating, ventilation, air conditioning, commercial hood systems, hydronic systems, refrigeration, and gas piping services pursuant to this chapter.

(12) “Master HVACR licensee restricted” means an individual holding a current license pursuant to this chapter to provide services pursuant to this chapter in 1 of the following areas:

a. Heating — forced air systems; ventilation; and gas piping; or

b. Heating — hydronic systems and gas piping; or

c. Commercial hood systems; or

d. Refrigeration; or

e. Air-conditioning; or

f. Gas piping.

(13) “Master plumber”, “licensed plumber”, or “licensed master plumber” means an individual holding a current license to provide

plumbing services issued pursuant to this chapter.

(14) "Plumber" is an individual who provides plumbing services.

(15) "Plumbing services" means the design, installation, construction, replacement, service, repair, alteration, or modification of the pipes, fixtures, and other apparatus used for bringing the water supply into a building and removing liquid and water-carried wastes from a building. Plumbing services also includes the installation and connection of gas piping.

(16) "Refrigeration system" means a system used to cool a surface or area below 55 degrees Fahrenheit or 12.9 degrees Celsius.

(17) "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the work of a master plumber, master HVACR licensee, or master HVACR licensee restricted.

(18) "Supervision" means control and oversight by a master licensee who is an owner or full-time employee of the entity providing services. A supervising master licensee is responsible and accountable for the work performed under the supervising master licensee's license.

(19) "Ventilation system" means the natural or mechanical process of supplying air to, or removing air from, any space, whether the air is conditioned or not conditioned and at a rate of airflow of more than 250 cubic feet per minute.

(71 Del. Laws, c. 185, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 31; 75 Del. Laws, c. 296, § 1; 76 Del. Laws, c. 192, §§ 1-6.)

§ 1803 Board of Plumbing, Heating, Ventilation, Air Conditioning and Refrigeration Examiners; appointment; composition; qualification; term; vacancies; suspension or removal; unexcused absences; compensation.

(a) The State Board of Plumbing, Heating, Ventilation, Air Conditioning and Refrigeration Examiners shall administer and enforce this chapter.

(b) The Board shall consist of 9 members who are appointed by the Governor and who are residents of this State. Three members, 1 from each county, are master plumbers who have been engaged in the plumbing services for at least 5 years. Three members, 1 from each county, are master HVACR licensees or master HVACR restricted licensees who have been engaged in HVACR or HVACR restricted services for at least 5 years. Three members, 1 from each county, are public members. A public member may not be nor ever have been a master plumber, master HVACR licensee, or master HVACR restricted licensee; may not be a member of the immediate family of a master plumber, master HVACR licensee, or master HVACR restricted licensee; may not be nor ever have been employed by a master plumber, master HVACR licensee, master HVACR restricted licensee, plumbing contractor, HVACR contractor, or HVACR restricted contractor; may not have a material interest in the providing of goods or services to master plumbers, master HVACR licensees, master HVACR restricted licensees, plumbing contractors, HVACR contractors, or HVACR restricted contractors; and may not be nor ever have been engaged in an activity directly related to providing plumbing, HVACR, or HVACR services. A public member must be accessible to inquiries, comments, and suggestions from the general public.

(c) Except as provided in subsection (d) of this section, each Board member serves a term of 3 years and may succeed himself or herself for 2 additional terms; provided, however, that if a member is initially appointed to fill a vacancy, the member may succeed himself or herself for only 2 additional full terms. A person appointed to fill a vacancy on the Board holds office for the remainder of the unexpired term of the vacating member. A term of office expires on the date specified in the appointment; however, a Board member whose appointment has expired remains eligible to participate in Board proceedings until replaced by the Governor. A person who is a member of the Board on June 27, 2006, may complete that member's term.

(d) A person who has never served on the Board may be appointed to the Board for 3 consecutive terms; but the person is thereafter ineligible to serve for 3 consecutive terms. A person who has been appointed 3 times to the Board or who has served on the Board for 9 years within any 12-year period may not again be appointed to the Board until an interim period of at least 1 term has expired since the person last served.

(e) An act or vote on Board business by a person appointed to the Board in violation of this section is invalid.

(f) The Governor shall suspend or remove a member of the Board for the member's misfeasance, nonfeasance, malfeasance, misconduct, incompetence, neglect of duty, or for other good cause. "Neglect of duty" means the failure to attend, without adequate reason, 3 consecutive regular business meetings, or at least half of all regular business meetings during any calendar year. A member subject to a disciplinary hearing may not participate in Board meetings until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal to the Superior Court a suspension or removal initiated pursuant to this subsection.

(g) A member of the Board, while serving on the Board, may not hold elective office in any occupational association of master plumbers, master HVACR licensees, or master HVACR restricted licensees or serve as an officer of an occupational association's political action committee (PAC).

(h) The provisions of the State Employees', Officers' and Officials' Code of Conduct set forth in Chapter 58 of Title 29 apply to the members of the Board.

(i) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(71 Del. Laws, c. 185, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 296, § 1; 81 Del. Laws, c. 85, § 8.)

§ 1804 Organization; meetings; officers; quorum.

(a) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, at other times as the president of the Board considers necessary, and at the request of a majority of the Board members.

(b) The Board shall elect annually a president, vice president, and secretary. Each term of office is for 1 year. An officer may not serve for more than 3 consecutive years in the same office.

(c) A majority of the members of the Board constitutes a quorum for the purpose of transacting business. However, at least 5 Board members must vote affirmatively to find that grounds for discipline exist and to impose a sanction for a disciplinary violation.

(d) Minutes of all meetings of the Board must be recorded. The Division shall maintain copies of the recorded minutes. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. A person requesting a transcript incurs the expense of preparing the transcript.

(71 Del. Laws, c. 185, § 1; 75 Del. Laws, c. 296, § 1.)

§ 1805 Records.

The Division shall keep a register of all approved applications for licensure as a master plumber, master HVACR licensee, and master HVACR licensee restricted, and shall keep complete records relating to meetings of the Board, examinations, rosters of licensees, changes to the Board's rules and regulations, complaints, hearings, and other matters as the Board determines. Records kept in accord with this section are prima facie evidence of the proceedings of the Board.

(71 Del. Laws, c. 185, § 1; 74 Del. Laws, c. 262, § 32; 75 Del. Laws, c. 296, § 1.)

§ 1806 Authority of the Board.

(a) The Board of Plumbing, Heating, Ventilation, and Air Conditioning Examiners shall have the authority to:

(1) Administer and enforce the provisions of this chapter and of rules and regulations promulgated under this chapter;

(2) Promulgate rules and regulations necessary or desirable to carry out the objectives of this chapter, including rules and regulations to carry out the objectives governing licensure and the requirements for continuing education;

(3) Designate the application form to be used by all applicants and process all applications;

(4) Designate the written, standardized examination, approved by the Division and administered and graded by a testing service, to be taken by applicants except those who qualify for licensure by reciprocity;

(5) Evaluate certified records to determine whether an applicant for licensure, who is or has been licensed, registered, or otherwise authorized to provide services in another jurisdiction, has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against the applicant for such act or offense;

(6) Grant licenses to and renew licenses of, all applicants who meet the qualifications set forth in this chapter;

(7) Refer all complaints concerning master plumbers, master HVACR licensees, or master HVACR restricted licensees, or concerning the practices of the Board, or of the profession to the Division of Professional Regulation for investigation pursuant to § 8735(h) of Title 29. The Division of Public Health shall, upon request, assist the Division of Professional Regulation in the investigation of complaints requiring field inspections;

(8) Hold hearings and take such actions as are permitted under the Administrative Procedures Act, Chapter 101 of Title 29;

(9) Designate and impose appropriate sanction or penalty after time for appeal has lapsed, if the Board determines after a disciplinary hearing that the sanction or penalty should be imposed;

(10) Adopt, and revise as necessary, a detailed statewide HVACR and fuel gas code;

(11) Coordinate an effort with each county and municipality in the State, which effort will result in consistency in local plumbing, HVACR, and fuel gas codes and the statewide plumbing, HVRAC, and fuel gas code;

(12) The Board shall require that all persons licensed in plumbing and HVACR services display the words "Licensed Plumber" and/or "Licensed HVACR" and the license number assigned to them in not less than 3-inch letters and numbers on the vehicles used in the performance of their work.

(b) The Board of Plumbing, Heating, Ventilation, Air Conditioning and Refrigeration Examiners shall promulgate regulations specifically identifying those crimes which are substantially related to the work of a master plumber, master HVACR licensee, or master HVACR licensee restricted.

(c) *Heating, Ventilation, Air Conditioning and Refrigeration Code; International Fuel Gas Code adoption and enforcement.* — The State Board of Plumbing, Heating, Air Conditioning, Ventilation and Refrigeration Examiners shall adopt the most recent version of the International Mechanical Code (IMC) and the International Fuel Gas Code (IFC) within 1 calendar year of their issuance with whatever modifications the Board deems appropriate. The State Board of Plumbing, Heating, Air Conditioning, Ventilation and Refrigeration Examiners may adopt and enforce additional heating, ventilation, air conditioning, and refrigeration, and fuel gas regulations which shall not be in conflict with the IMC or the IFC.

(d) *Local regulations.* — Every political subdivision within the State of Delaware, including county, city and municipal governments, shall enforce the International Mechanical Code (IMC) and the International Fuel Gas Code (IFC), as adopted or modified by the State Board of Plumbing, Heating, Air Conditioning, Ventilation and Refrigeration Examiners. Every political subdivision retains the right to

propose additional or modified heating, ventilation, air conditioning, and refrigeration (HVACR), and fuel gas regulations, which must be submitted in writing to the State Board Plumbing, Heating, Air Conditioning, Ventilation and Refrigeration Examiners for review and approval, and which may not be in conflict with the IMC or the IFC. The State Board of Plumbing, Heating, Air Conditioning, Ventilation and Refrigeration Examiners within 60 days of receiving such proposed changes or additions may thereafter adopt, modify or reject the proposed changes or additions. Upon issuance of the statewide HVACR and/or fuel gas regulations every political subdivision shall have the option of adopting and enforcing said regulations, or adopting and enforcing the minimum standards set forth in the most recently adopted version of the IMC and the IFC.

(71 Del. Laws, c. 185, § 1; 74 Del. Laws, c. 262, § 32; 75 Del. Laws, c. 296, § 1; 77 Del. Laws, c. 398, § 1; 79 Del. Laws, c. 115, § 1.)

Subchapter II

License — Plumbing

§ 1807 License required; exemptions.

(a) A person shall not provide plumbing services in this State nor hold oneself out to the public as being a licensed master plumber, nor use “licensed plumber,” “master plumber,” or “licensed master plumber” in connection with that person’s name, nor otherwise assume or use any title or description conveying or tending to convey the impression that the person is qualified to provide plumbing services, unless the person has been licensed as a master plumber under this chapter, or exempted from the provisions of this chapter pursuant to subsection (c) of this section.

(b) If the license of a master plumber has expired or been suspended or revoked, it is unlawful for the holder of the expired, suspended, or revoked license to perform plumbing services in this State.

(c) An individual may provide plumbing services without being licensed under this chapter if:

(1) The individual is an apprentice, journeyman, mechanic, or other person providing such services under the supervision of a master plumber who is the individual’s employer or who is employed full time by the same business entity as the individual;

(2) The individual is a homeowner who is performing plumbing services other than gas piping in or about that individual’s own home that is not for sale or any part for rent or lease, provided that the individual has filed an application for a permit with the authorized inspection authority;

(3) The individual is providing such services on property used exclusively for agricultural purposes and the individual has filed an application for a permit with the authorized inspection authority; or

(4) The individual is providing such services pursuant to the provisions in § 1832 of this title.

(5) The individual is providing services authorized under subchapter III of this chapter.

(d) The penalty for a violation of this section is, for a first offense, a fine of not less than $1,500 nor more than $3,000, and, for a second or subsequent offense, a fine of not less than $3,000 nor more than $6,000. Justice of the Peace Courts shall have jurisdiction over violations of this section.

(71 Del. Laws, c. 185, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 296, § 1; 83 Del. Laws, c. 231, § 1; 84 Del. Laws, c. 42, § 1.)

§ 1808 Qualifications of applicant.

(a) An applicant for licensure as a master plumber shall submit evidence, satisfactory to the Board, and verified by oath or affirmation, that the applicant:

(1) Has received a journeyman’s certificate issued in any state following completion of a plumbing apprenticeship program that meets or exceeds the Federal Bureau of Apprenticeship and Training Standards and, thereafter, performed plumbing services for 2 years under the supervision of a master plumber or an individual holding a similar level of licensure in another state; or has performed plumbing services for 7 years under the supervision of a master plumber or a plumber holding a similar level of licensure in another state and, thereafter, successfully completed the apprenticeship equivalency test approved by the Board and administered by a Delaware vocational-technical school;

(2) Has achieved the passing score on a written, standardized examination, designated by the Board and approved by the Division, for licensure as a Delaware master plumber after fulfilling the experience and/or training requirements of this section;

(3) Has not received any administrative penalties regarding the applicant’s practice, including, but not limited to, fines, formal reprimands, license suspension or revocation (except for license revocation for nonpayment of license renewal fees), probationary limitations, and consent agreements which contain conditions placed by a Board on the applicant’s occupational conduct or practice, including the voluntary surrender of a license, certificate, registration, or other authorization to provide plumbing services. The Board may determine after a hearing whether the imposition of a particular administrative penalty is grounds to deny licensure.

(4) Does not have an impairment related to drug or alcohol use that would limit the applicant’s ability to provide plumbing services in a manner that is not detrimental to the health, safety, or welfare of the public;

(5) Does not have any disciplinary proceedings or unresolved complaints pending against the applicant in any jurisdiction where the applicant has previously been or is currently authorized to provide plumbing services; or have a criminal conviction record for a crime that is substantially related to providing plumbing services. After a hearing or review of documentation the Board, by an affirmative

vote of a majority of the quorum, or during the time between meetings, the Board President or the President's designee, shall waive this paragraph (a)(5) as it applies to a criminal conviction if it finds after consideration of the factors set forth in § 8735(x)(3) of Title 29 that the granting such a waiver does not create an unreasonable risk to public safety.

a.-d. [Repealed.]

(6) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, does not have a conviction of a felony sexual offense.

(b) The Board may waive the requirements of paragraph (a)(3) or (5) of this section for good cause.

(c) Each applicant shall provide the information requested on the application form approved by the Board. All evidence of experience must be submitted by affidavit on forms approved by the Board. An application form may not require an applicant to submit a photograph of oneself, or information related to citizenship, place of birth, length of state residency, or personal references.

(d) If the Board finds that false information has been intentionally provided to the Board, it shall report its finding to the Attorney General's Office for further action.

(e) If the Board refuses to accept, or rejects, an application and the applicant believes that the Board acted without justification, or imposed a higher or different standard to the applicant than to other applicants, or in some other unlawful manner contributed to or caused the refusal or rejection of the application, the applicant may appeal to the Superior Court.

(71 Del. Laws, c. 185, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 296, § 1; 75 Del. Laws, c. 436, § 15; 77 Del. Laws, c. 199, §§ 10-12; 78 Del. Laws, c. 44, §§ 24, 25; 82 Del. Laws, c. 197, § 1; 83 Del. Laws, c. 433, § 12; 84 Del. Laws, c. 42, § 1.)

§ 1809 Examination.

(a) The written, standardized examination required in § 1808(a)(2) of this title must be offered at least quarterly. The Board will determine the passing score in its rules and regulations.

(b) An applicant who fails to receive a passing score on an examination administered pursuant to this chapter may apply to retake the examination on the next available date. If an applicant fails to pass the examination after 3 attempts, the Board, in its rules and regulations, may specify the conditions under which an applicant may retake the examination.

(71 Del. Laws, c. 185, § 1; 74 Del. Laws, c. 262, § 32; 75 Del. Laws, c. 296, § 1.)

§ 1810 Reciprocity.

(a) Upon submission and acceptance of a written application on forms provided by the Board, along with payment of the required fee, the Board shall grant a license to each applicant who presents proof of current licensure in good standing in another state, the District of Columbia, or a territory of the United States whose standards for licensure are substantially similar to those of this State and who submits the verified evidence described in § 1808(a)(3) through (5) of this title.

(b) An applicant who is licensed by another state, the District of Columbia, or a territory of the United States whose standards for licensure are not substantially similar to those of this State must have practiced for a minimum of 7 years after licensure, in addition to meeting the other qualifications for reciprocity in this section.

(71 Del. Laws, c. 185, § 1; 75 Del. Laws, c. 296, § 1.)

§ 1811 Fees.

The amount set by the Division for each fee imposed under this chapter shall approximate and reasonably reflect the costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division in its services on behalf of the Board. A separate fee may be charged for each service or activity, but a fee shall not be charged unless the service or activity is specified in this chapter. The application fee may not be combined with any other fee. At the beginning of each licensure biennium, the Division, or another state agency acting in its behalf, shall compute the fees for each separate service or activity for the licensure biennium.

(71 Del. Laws, c. 185, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 296, § 1.)

§ 1812 Issuance and renewal of licenses.

(a) The Board shall issue a license to each applicant who meets the requirements of this chapter for licensure as a master plumber and who pays the appropriate fees established under § 1811 of this title.

(b) A license is renewable biennially in a manner determined by the Division, upon payment of the appropriate fee, submission of a renewal form provided by the Division, and proof that the licensee has met any continuing education requirements established by the Board.

(c) The Board, in its rules and regulations, shall determine the period of time within which a licensee may renew that licensee's license, notwithstanding the fact that the licensee failed to renew that licensee's license on or before the designated renewal date; provided, however, that the period of time may not exceed 1 year beyond the designated renewal date.

(d) A licensee, upon the licensee's written request, may be placed on inactive status for no more than 5 years. A licensee on inactive status who desires to reactivate that licensee's license must complete and submit an application form approved by the Board, submit the reactivation fee set by the Division, and submit proof of fulfillment of any continuing education requirements established by the Board.

(71 Del. Laws, c. 185, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 296, § 1.)

§ 1813 Complaints.

(a) All complaints received by the Division shall be investigated in accordance with § 8735 of Title 29. The Division shall issue a final

written report at the conclusion of its investigation.

(b) If the Board determines that a person has provided or is providing plumbing services or has used or is using the title "master plumber", "licensed plumber," or a similar designation contrary to the requirements of this chapter, the Board shall request that the Office of the Attorney General issue a cease and desist order and/or prosecute the person.

(71 Del. Laws, c. 185, § 1; 74 Del. Laws, c. 262, § 32; 75 Del. Laws, c. 296, § 1.)

§ 1814 Grounds for discipline or other remediation.

(a) An individual licensed under this chapter is subject to disciplinary sanctions set forth in § 1815 of this title or other appropriate remediation, if, after a hearing, the Board finds that the licensee has:

(1) Engaged or knowingly cooperated in fraud or material deception in order to acquire a license, has allowed another person to use that individual's license, or has aided or abetted an unlicensed to represent oneself as an individual licensed pursuant to this chapter;

(2) Illegally, incompetently, or negligently provided plumbing services;

(3) Been convicted of an offense that is substantially related to providing plumbing services. A copy of the record of conviction certified by the clerk of the court entering the conviction is conclusive evidence of conviction;

(4) In the last 2 years used illegal drugs; used prescription drugs without a prescription; excessively used legally prescribed drugs; or abused alcoholic beverages or drugs to the extent that it impaired that individual's ability to provide services authorized under this chapter with reasonable skill, competence, and safety to the public;

(5) Engaged in an act of consumer fraud or deception, engaged in the restraint of competition, or participated in price-fixing activities ;

(6) Violated a provision of this chapter or any lawful regulation established hereunder;

(7) Had that individual's license as a master plumber restricted, suspended or revoked, or been subjected to other adverse action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for the suspension, revocation, or other adverse action in another jurisdiction have been presented to the Board by certified record and the Board has determined that the facts found by the appropriate licensing authority in the other jurisdiction constitute 1 or more of the acts listed in this section. A person licensed in this State is deemed to have given consent to the release of information regarding license suspension or revocation or other adverse action taken by the Board or by comparable agencies in other jurisdictions and to have waived all objections to the admissibility of previously adjudicated evidence of the acts or offenses which underlie license suspension or revocation or other adverse action;

(8) Failed to notify the Board that the individual's license to provide services authorized under this chapter in another jurisdiction has been subject to discipline, or has been surrendered, suspended, or revoked. A certified copy of the record of disciplinary action, or of the surrender, suspension, or revocation of the license shall be conclusive evidence thereof;

(9) A physical or mental impairment that prevents that individual from providing services authorized under this chapter with reasonable skill, competence, and safety to the public.

(b) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(71 Del. Laws, c. 185, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 33; 75 Del. Laws, c. 296, § 1; 79 Del. Laws, c. 213, § 2; 83 Del. Laws, c. 433, § 12; 84 Del. Laws, c. 42, § 1.)

§ 1815 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it determines that a licensee has violated a ground for discipline set forth in § 1814 of this title:

(1) Issue a letter of reprimand to the licensee;

(2) Censure the licensee;

(3) Place the licensee on probationary status and require that licensee to:

a. Report regularly to the Board upon the matters that are the basis of the probation; and/or

b. Limit all practice and professional activities to those areas prescribed by the Board;

(4) Suspend the license of the licensee;

(5) Revoke the license of the licensee;

(6) Prescribe an administrative penalty, not to exceed $500 for each violation.

(b) The Board may withdraw or reduce conditions of probation imposed pursuant to paragraph (a)(3) of this section, if it finds that the deficiencies that required the conditions of probation to be imposed have been remedied.

(c) If the Board suspends a licensee due to an impairment pursuant to § 1814(a)(9) of this title, the Board may reinstate the license if, after a hearing, the Board is satisfied that the licensee is able to provide professional services with reasonable skill, competence, and safety to the public.

(71 Del. Laws, c. 185, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 296, § 1; 79 Del. Laws, c. 213, § 2.)

§ 1816 Hearing procedures.

(a) If a complaint alleging that a licensee has violated § 1814 of this title is filed with the Division pursuant to § 8735(h) of this title, the Board shall set a time and place to conduct a hearing on the complaint. The Division shall give notice of the hearing and the Board shall conduct the hearing in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) A hearing conducted pursuant to this section is informal, without the use of the Delaware Rules of Evidence. If the Board decides by the affirmative votes of 5 or more members that a licensee has violated § 1814 of this title, the Board may take any action permitted under this chapter that the Board considers reasonable. The Board's decision must be in writing and must include the reasons for the decision. The Board shall immediately mail its decision to the licensee or personally serve the licensee with the decision.

(c) If a licensee disagrees with the decision of the Board, the licensee may appeal the Board's decision to the Superior Court within 30 days of the postmarked date of the copy of the decision mailed to that licensee, or within 30 days of personal service. Upon appeal, the Court shall review the evidence on the record. A stay pending review may be granted by the Court in accordance with § 10144 of Title 29.

(71 Del. Laws, c. 185, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 296, § 1.)

§ 1817 Reinstatement of a suspended license; removal from probationary status.

(a) The Board may reinstate a suspended license if, after a hearing, the Board is satisfied that the licensee has taken the required corrective actions and has otherwise satisfied all of the conditions imposed pursuant to the license suspension and/or probation period.

(b) An individual seeking license reinstatement and/or removal from probationary status must pay the appropriate fees and submit the documentation required by the Board to show that all the conditions imposed pursuant to the license suspension and/or the probation period have been met. Proof that the individual has met the continuing education requirements of this chapter may also be required.

(c) [Repealed.]

(71 Del. Laws, c. 185, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 296, § 1; 82 Del. Laws, c. 8, § 6.)

Subchapter III

License — Heating, Ventilation, Air Conditioning, and Refrigeration

§ 1820 License required; exemptions.

(a) A person shall not provide HVACR or HVACR restricted services in this State nor hold oneself out to the public as being a master HVACR licensee or master HVACR restricted licensee, nor use "master HVACR licensee", "master HVACR restricted licensee" in connection with that person's name nor otherwise assume or use any title or description conveying or tending to convey the impression that that person is qualified to provide HVACR or HVACR restricted services, unless that person has been licensed as a master HVACR licensee or a master HVACR restricted licensee under this chapter or exempted from the provisions of this chapter pursuant to subsection (c) of this section.

(b) If the license of a master HVACR licensee or master HVACR restricted licensee has expired or been suspended or revoked, it is unlawful for the holder of the expired, suspended, or revoked license to act as a master HVACR licensee, or master HVACR restricted licensee in this State.

(c) An individual may provide HVACR or HVACR restricted services without being licensed under this chapter if:

(1) The individual is an apprentice, journeyman, mechanic or other person providing such services under the supervision of a master HVACR licensee or master HVACR restricted licensee, who is the individual's employer or who is employed full time by the same business entity as the individual;

(2) The individual is a homeowner who is performing HVACR services other than gas piping in or about that individual's own home that is not for sale or any part for rent or lease;

(3) The individual is providing such services on property used exclusively for agricultural purposes and the individual has filed an application for a permit with the authorized inspection authority;

(4) The individual is providing such services pursuant to the provisions in § 1831 of this title.

(d) The penalty for a violation of this section is, for a first offense, a fine of not less than $1,500 nor more than $3,000, and, for a second or subsequent offense, a fine of not less than $3,000 nor more than $6,000. Justice of the Peace Courts have jurisdiction over violations of this section.

(75 Del. Laws, c. 296, § 1; 70 Del. Laws, c. 186, § 1; 83 Del. Laws, c. 231, § 1; 84 Del. Laws, c. 42, § 1.)

§ 1821 Qualifications of applicant — Heating, ventilation, air conditioning, and refrigeration.

(a) An applicant for licensure as a master HVACR licensee, or master HVACR restricted licensee, must submit evidence, satisfactory to the Board and verified by oath or affirmation, that the applicant:

(1) Has received a journeyman's certificate issued in any state following completion of an HVACR, or HVACR restricted apprenticeship program that meets or exceeds the Federal Bureau of Apprenticeship and Training Standards and, thereafter, performed HVACR, or HVACR restricted services for 2 years under the supervision of a master HVACR licensee, or master HVACR restricted licensee, or an individual holding a similar level of licensure in another state; or has performed HVACR or HVACR restricted services for 7 years under the supervision of a master HVACR licensee or master HVACR restricted licensee or an individual holding a similar level of licensure in another state and, thereafter, successfully completed the apprenticeship equivalency test approved by the Board and administered by a Delaware vocational-technical school;

(2) Has achieved the passing score on a written, standardized examination, designated by the Board and approved by the Division, for licensure as a Delaware master HVACR licensee or master HVACR restricted licensee after fulfilling the experience and/or training requirements of this section;

(3) Has been certified at the appropriate level for handling chlorofluorocarbons (CFC's) by a testing organization approved by the Environmental Protection Agency;

(4) Has not received any administrative penalties regarding that applicant's practice, including, but not limited to, fines, formal reprimands, license suspension or revocation (except for license revocation for nonpayment of license renewal fees), probationary limitations, and consent agreements which contain conditions placed by a Board on the applicant's occupational conduct or practice, including the voluntary surrender of a license, certificate, registration, or other authorization to provide HVACR services. The Board may determine after a hearing whether the imposition of a particular administrative penalty is grounds to deny licensure;

(5) Does not have an impairment related to drug or alcohol use that would limit that applicant's ability to provide HVACR or HVACR restricted services in a manner that is not detrimental to the health, safety, or welfare of the public;

(6) Does not have any disciplinary proceedings or unresolved complaints pending against that applicant in any jurisdiction where that applicant has previously been or is currently authorized to provide HVACR or HVACR restricted services; and

(7) Does not have a criminal conviction record for a crime that is substantially related to providing HVACR or HVACR restricted services. After a hearing or review of documentation the Board, by an affirmative vote of a majority of the quorum, or during the time between meetings, the Board President or the President's designee, shall waive this paragraph (a)(7) if, after consideration of the factors set forth in § 8735(x)(3) of Title 29, the Board, Board President, or the Board President's designee determines that the granting of a waiver would not create an unreasonable risk to public safety.

a.-d. [Repealed.]

(8) Notwithstanding the time limitation set forth in § 8735(x)(4), has not been convicted of a felony sexual offense.

(b) The Board may waive the requirements of paragraph (a)(4) or (7) of this section for good cause.

(c) Each applicant shall provide the information requested on the application form approved by the Board. All evidence of experience must be submitted by affidavit on forms approved by the Board. An application form may not require an applicant to submit a photograph of oneself, or information related to citizenship, place of birth, length of state residency, or personal references.

(d) If the Board finds that false information has been intentionally provided to the Board, it shall report its finding to the Attorney General's Office for further action.

(e) If the Board refuses to accept, or rejects, an application and the applicant believes that the Board acted without justification, or imposed a higher or different standard to the applicant than to other applicants, or in some other unlawful manner contributed to or caused the refusal or rejection of the application, the applicant may appeal to the Superior Court.

(f) [Repealed.]

(75 Del. Laws, c. 296, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 192, §§ 8-10; 77 Del. Laws, c. 199, § 13; 78 Del. Laws, c. 44, §§ 26, 27; 82 Del. Laws, c. 197, § 2; 83 Del. Laws, c. 433, § 12; 84 Del. Laws, c. 42, § 1.)

§ 1822 Examination.

(a) The written, standardized examination required in § 1821(a)(2) of this title must be offered at least quarterly. The Board will determine the passing score in its rules and regulations.

(b) An applicant who fails to receive a passing score on an examination administered pursuant to this chapter may apply to retake the examination on the next available date. If an applicant fails to pass the examination after 3 attempts, the Board, in its rules and regulations, may specify the conditions under which an applicant may retake the examination.

(75 Del. Laws, c. 296, § 1.)

§ 1823 Reciprocity.

(a) Upon submission and acceptance of a written application on forms provided by the Board, along with payment of the required fee, the Board shall grant a license to each applicant who presents proof of current licensure in good standing in another state, the District of Columbia, or a territory of the United States whose standards for licensure are substantially similar to those of this State and who submits the verified evidence described in § 1821(a)(3) through (7) of this of this title.

(b) An applicant who is licensed by another state, the District of Columbia, or a territory of the United States whose standards for

licensure are not substantially similar to those of this State must have practiced for a minimum of 7 years after licensure, in addition to meeting the other qualifications for reciprocity in this section.

(75 Del. Laws, c. 296, § 1.)

§ 1824 Fees.

The amount set by the Division for each fee imposed under this chapter must approximate and reasonably reflect the costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division in its services on behalf of the Board. A separate fee may be charged for each service or activity, but a fee may not be charged unless the service or activity is specified in this chapter. The application fee may not be combined with any other fee. At the beginning of each licensure biennium, the Division, or another State agency acting in its behalf, shall compute the fees for each separate service or activity for the licensure biennium.

(75 Del. Laws, c. 296, § 1.)

§ 1825 Issuance and renewal of licenses.

(a) The Board shall issue a license to each applicant who meets the requirements of this chapter for licensure as a master HVACR licensee or master HVACR restricted licensee and who pays the appropriate fees established under § 1824 of this title.

(b) A license is renewable biennially in a manner determined by the Division, upon payment of the appropriate fee, submission of a renewal form provided by the Division, and proof that the licensee has met any continuing education requirements established by the Board.

(c) The Board, in its rules and regulations, shall determine the period of time within which a licensee may renew that licensee's license, notwithstanding the fact that the licensee failed to renew that licensee's license on or before the designated renewal date; provided, however, that the period of time may not exceed 1 year beyond the designated renewal date.

(d) A licensee, upon the licensee's written request, may be placed on inactive status for no more than 5 years. A licensee on inactive status who desires to reactivate that licensee's license must complete and submit an application form approved by the Board, submit the reactivation fee set by the Division, and submit proof of fulfillment of any continuing education requirements established by the Board.

(75 Del. Laws, c. 296, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1826 Complaints.

(a) All complaints received by the Division must be investigated in accordance with § 8735 of Title 29. The Division must issue a final written report at the conclusion of its investigation.

(b) If the Board determines that a person has provided or is providing HVACR or HVACR restricted services or has used or is using the title "master HVACR licensee", "master HVACR restricted licensee", or a similar designation contrary to the requirements of this chapter, the Board shall request that the Office of the Attorney General issue a cease and desist order and/or prosecute the person.

(75 Del. Laws, c. 296, § 1.)

§ 1827 Grounds for discipline or other remediation.

(a) An individual licensed under this chapter is subject to disciplinary sanctions set forth in § 1828 of this title or other appropriate remediation, if, after a hearing, the Board finds that the licensee has:

(1) Employed or knowingly cooperated in fraud or material deception in order to acquire a license, has allowed another person to use that licensee's license, or has aided or abetted an unlicensed to represent oneself as an individual licensed pursuant to this chapter;

(2) Illegally, incompetently, or negligently provided HVACR or HVACR restricted services;

(3) Been convicted of an offense that is substantially related to providing HVACR or HVACR restricted services. A copy of the record of conviction certified by the clerk of the court entering the conviction is conclusive evidence of conviction;

(4) In the last 2 years used illegal drugs; used prescription drugs without a prescription; excessively used legally prescribed drugs; or abused alcoholic beverages or drugs to the extent that it impaired that licensee's ability to provide services authorized under this chapter with reasonable skill, competence, and safety to the public;

(5) Engaged in an act of consumer fraud or deception, engaged in the restraint of competition, or participated in price-fixing activities ;

(6) Violated a provision of this chapter or any lawful regulation established hereunder;

(7) Had that licensee's license as a master HVACR licensee or master HVACR restricted licensee suspended or revoked, or been subjected to other adverse action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for the suspension, revocation, or other adverse action in another jurisdiction have been presented to the Board by certified record and the Board has determined that the facts found by the appropriate licensing authority in the other jurisdiction constitute 1 or more of the acts listed in this section. A person licensed in this State is deemed to have given consent to the release of information regarding license suspension or revocation or other adverse action taken by the Board or by comparable agencies in other jurisdictions and to have waived all objections to the admissibility of previously adjudicated evidence of the acts or offenses which underlie license suspension or revocation or other adverse action;

(8) Failed to notify the Board that the licensee's license to provide services authorized under this chapter in another jurisdiction has been subject to discipline, or has been surrendered, suspended, or revoked. A certified copy of the record of disciplinary action, or of the

surrender, suspension, or revocation of the license shall be conclusive evidence thereof; and

(9) A physical or mental impairment that prevents that licensee from providing services authorized under this chapter with reasonable skill, competence, and safety to the public.

(b) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(75 Del. Laws, c. 296, § 1; 70 Del. Laws, c. 186, § 1; 79 Del. Laws, c. 213, § 2; 83 Del. Laws, c. 433, § 12; 84 Del. Laws, c. 42, § 1.)

§ 1828 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it determines that a licensee has violated a ground for discipline set forth in § 1827 of this title:

(1) Issue a letter of reprimand to the licensee;

(2) Censure the licensee;

(3) Place the licensee on probationary status and require that licensee to:

a. Report regularly to the Board upon the matters that are the basis of the probation; and/or

b. Limit all practice and professional activities to those areas prescribed by the Board;

(4) Suspend the license of the licensee;

(5) Revoke the license of the licensee;

(6) Prescribe an administrative penalty, not to exceed $500 for each violation.

(b) The Board may withdraw or reduce conditions of probation imposed pursuant to paragraph (a)(3) of this section, if it finds that the deficiencies that required the conditions of probation to be imposed have been remedied.

(c) If the Board suspends a licensee due to an impairment pursuant to § 1827(9) of this title, the Board may reinstate the license if, after a hearing, the Board is satisfied that the licensee is able to provide professional services with reasonable skill, competence, and safety to the public.

(75 Del. Laws, c. 296, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1829 Hearing procedures.

(a) If a complaint alleging that a licensee has violated § 1827 of this title is filed with the Division pursuant to § 8735(h) of Title 29, the Board shall set a time and place to conduct a hearing on the complaint. The Division shall give notice of the hearing and the Board shall conduct the hearing in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) A hearing conducted pursuant to this section is informal, without the use of the Delaware Rules of Evidence. If the Board decides by the affirmative votes of 5 or more members that a licensee has violated § 1827 of this title, the Board may take any action permitted under this chapter that the Board considers reasonable. The Board's decision must be in writing and must include the reasons for the decision. The Board shall immediately mail its decision to the licensee or personally serve the licensee with the decision.

(c) If a licensee disagrees with the decision of the Board, the licensee may appeal the Board's decision to the Superior Court within 30 days of the postmarked date of the copy of the decision mailed to that licensee, or within 30 days of personal service. Upon appeal, the Court shall review the evidence on the record. A stay pending review may be granted by the Court in accordance with § 10144 of Title 29.

(75 Del. Laws, c. 296, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1830 Reinstatement of a suspended license; removal from probationary status.

(a) The Board may reinstate a suspended license if, after a hearing, the Board is satisfied that the licensee has taken the required corrective actions and has otherwise satisfied all of the conditions imposed pursuant to the license suspension and/or probation period.

(b) An individual seeking license reinstatement and/or removal from probationary status must pay the appropriate fees and submit the documentation required by the Board to show that all the conditions imposed pursuant to the license suspension and/or the probation period have been met. Proof that the individual has met the continuing education requirements of this chapter may also be required.

(c) [Repealed.]

(75 Del. Laws, c. 296, § 1; 82 Del. Laws, c. 8, § 7.)

Subchapter IV

Miscellaneous Provisions

§ 1831 Artificial entity's loss of license holder.

(a) If a partnership, firm, corporation, or other artificial entity that provides services regulated under this chapter suffers the loss of its sole license holder, the entity shall notify the Board in writing with supporting documentation within 7 days of the loss of its license holder.

(b) The Board shall schedule an emergency meeting within 10 days of notification pursuant to subsection (a) of this section. From the date of loss of the sole license holder through the date of the emergency meeting, the entity may continue to operate without a license holder, provided that the entity continues to employ the same personnel with the exception of the license holder.

(c) An owner or employee of an entity that desires to continue providing services despite the loss of the sole license holder must submit an application for licensure to the Board before the emergency meeting scheduled pursuant to subsection (b) of this section, for consideration by the Board at the meeting. At the meeting the Board may issue a temporary license to the applicant, valid for 100 days, dated from the date of notification to the Board by the entity, pursuant to subsection (a) of this section.

(d) If the Board issues a temporary license to the applicant at the emergency meeting pursuant to subsection (c) of this section, the applicant must take the next available examination.

(e) Notwithstanding the provisions of subsections (c) and (f) of this section, a temporary license expires immediately upon an applicant's failure to take the next available examination; or, if the applicant takes the examination, upon the Board's receipt of notification that the applicant failed the examination taken pursuant to subsection (d) of this section.

(f) If a 100-day temporary license issued pursuant to this section expires and the entity has no license holder in its employ, the entity must cease and desist immediately from providing plumbing services for which a license is required under this chapter.

(75 Del. Laws, c. 296, § 1.)

§ 1832 Local regulation.

Nothing in this chapter shall be construed to limit the ability of any county, municipality, or other governmental entity to adopt and enforce plumbing, HVACR, or fuel gas codes and regulations that are not in conflict with this chapter.

(75 Del. Laws, c. 296, § 1.)

Chapter 19
NURSING

§ 1901 Declaration of legislative intent.

The General Assembly hereby declares the practice of nursing by competent persons is necessary for the protection of the public health, safety and welfare and further finds that the levels of practice within the profession of nursing should be regulated and controlled in the public interest. In order to safeguard life and health, the general administration and supervision of the education, examination, licensing and regulation of professional and practical nursing is declared essential, and such general administration and supervision is vested in the Board of Nursing.

(24 Del. C. 1953, § 1901; 54 Del. Laws, c. 153, § 1; 64 Del. Laws, c. 26, § 1; 69 Del. Laws, c. 319, § 2.)

§ 1902 Definitions [Effective until fulfillment of the contingency in 85 Del. Laws, c. 459, § 4].

(a) "Administration of medications" means a process whereby a single dose of a prescribed drug or biological is given to a patient by an authorized licensed person by 1 of several routes, oral, inhalation, topical, or parenteral. The person verifies the properly prescribed drug order, removes the individual dose from a previously dispensed, properly labeled container (including a unit dose container), assesses the patient's status to assure that the drug is given as prescribed to the patient for whom it is prescribed and that there are no known contraindications to the use of the drug or the dosage that has been prescribed, gives the individual dose to the proper patient, records the time and dose given, and assesses the patient following the administration of medication for possible untoward side effects.

(b) "Advanced practice registered nurse" ("APRN") means an individual with knowledge and skills in basic nursing education; licensure as a registered nurse ("RN"); and graduation from or completion of a graduate-level APRN program accredited by a national accrediting body and current certification by a national certifying body in the appropriate APRN role and at least 1 population focus. "Advanced practice registered nurse" includes certified nurse practitioners, certified registered nurse anesthetists, certified nurse midwives, or clinical nurse specialist. Advanced practice nursing is an expanded scope of nursing licensed as an independent licensed practitioner in a role and population focus approved by the Board of Nursing, with or without compensation or personal profit, and includes the RN scope of practice. The scope of an APRN includes performing acts of advanced assessment, diagnosing, prescribing, and ordering. Advanced practice nursing is the application of nursing principles, including those described in subsection (t) of this section.

(c) "Compact Administrator" means the Executive Director of the Delaware Board of Nursing who is designated as the compact Administrator under Chapters 19A and 19B of this title.

(d) "Conversion therapy" means any practice or treatment that seeks to change an individual's sexual orientation or gender identity, as "sexual orientation" and "gender identity" are defined in § 710 of Title 19, including any effort to change behaviors or gender expressions or to eliminate or reduce sexual or romantic attractions or feelings toward individuals of the same gender. "Conversion therapy" does not mean any of the following:

(1) Counseling that provides assistance to an individual who is seeking to undergo a gender transition or who is in the process of undergoing gender transition.

(2) Counseling that provides an individual with acceptance, support, and understanding without seeking to change an individual's sexual orientation or gender identity.

(3) Counseling that facilitates an individual's coping, social support, and identity exploration and development, including counseling in the form of sexual orientation-neutral interventions or gender identity-neutral interventions provided for the purpose of preventing or addressing unlawful conduct or unsafe sexual practices, without seeking to change an individual's sexual orientation or gender identity.

(e) "Dispensing" means providing medication according to an order of a practitioner duly licensed to prescribe medication. The term includes both the repackaging and labeling of medications from bulk to individual dosages.

(f) "Division" means the Delaware Division of Professional Regulation.

(g) "Electronic prescription" means a prescription that is generated on an electronic application and transmitted as an electronic data file.

(h) "Full-practice authority," as granted to an advanced practice registered nurse, means the collection of state practice and licensure laws that allow APRNs to evaluate patients, diagnose, order and interpret diagnostic tests, initiate and manage treatments, including prescribing medications, under exclusive licensure authority of the Delaware Board of Nursing and includes:

(1) Practicing within standards established or recognized by the Board of Nursing.

(2) Being accountable to patients, the nursing profession, and the Board of Nursing for complying with the requirements of this chapter and the quality of advanced nursing care rendered.

(3) Recognizing limits of knowledge and experience.

(4) Planning for the management of situations beyond the APRN's expertise.

(5) Consultation with or referring patients to other health-care providers as appropriate.

(i) "Head of the Nursing Licensing Board" means the President of the Delaware Board of Nursing.

(j) "Licensure" means the authorization to practice nursing within this State granted by the Delaware Board of Nursing and includes the authorization to practice in Delaware under the Interstate Nurse Licensure Compact [Chapter 19A of this title].

(k) "Limited lay administration of medications (LLAM)" means a process by which LLAM trained unlicensed assistive personnel,

functioning in a setting authorized by § 1932 of this title, give a prescribed medication to clients, patients, residents, or students as ordered by a licensed practitioner authorized to prescribe medications or gives a nonprescription medication pursuant to the Delacare regulations.

(*l*) "LLAM trained unlicensed assistive personnel (UAP)" means an individual who has successfully completed the Board of Nursing approved LLAM course, including the core course and any program specific specialized training modules required.

(m) "Nurse educator" means a registered nurse who is a faculty member or director of a Delaware board-approved nursing education program preparing individuals at the registered nurse entry level.

(n) "Nursing diagnosis" means the description of the individual's actual or potential health needs which are identified through a nursing assessment and are amenable to nursing intervention. The focus of the nursing diagnosis is on the individual's response to illness or other factors that may adversely affect the attainment or maintenance of wellness. These diagnostic acts are distinct from medical, osteopathic, and dental diagnosis.

(o) "Nursing education program" means a course of instruction offered and conducted to prepare persons for licensure as a registered or licensed practical nurse, or a course of instruction offered and conducted to increase the knowledge and skills of the nurse and leads to an academic degree in nursing, or refresher courses in nursing.

(p) "Reproductive health services" means as defined in § 1702 of this title.

(q) "Standards of nursing practice" means those standards of practice adopted by the Board that interpret the legal definitions of nursing, as well as provide criteria against which violations of the law can be determined. Such standards of nursing practice may not be used to directly or indirectly affect the employment practices and deployment of personnel by duly licensed or accredited hospitals and other duly licensed or accredited health-care facilities and organizations. In addition, such standards may not be assumed the only evidence in civil malpractice litigation, nor may they be given a different weight than any other evidence.

(r) "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of nursing.

(s) "The practice of practical nursing" as a licensed practical nurse means the performance for compensation of nursing services by a person who holds a valid license pursuant to the terms of this chapter and who bears accountability for nursing practices which require basic knowledge of physical, social, and nursing sciences. These services, at the direction of a registered nurse or a person licensed to practice medicine, surgery, or dentistry, include:

(1) Observation;

(2) Assessment;

(3) Planning and giving of nursing care to the ill, injured and infirm;

(4) The maintenance of health and well-being;

(5) The administration of medications and treatments prescribed by a licensed physician, dentist, podiatrist, or advanced practice registered nurse; and

(6) Additional nursing services and supervision commensurate with the licensed practical nurse's continuing education and demonstrated competencies;

(7) Dispensing activities only as permitted in the Board's Rules and Regulations. Nothing contained in this chapter shall be deemed to permit acts of surgery or medical diagnosis; nor shall it be deemed to permit dispensing of drugs, medications, or therapeutics independent of the supervision of a physician who is licensed to practice medicine and surgery, or those licensed to practice dentistry or podiatry; and

(8) [Repealed.]

(t) "The practice of professional nursing" as a registered nurse means the performance of professional nursing services by a person who holds a valid license pursuant to the terms of this chapter, and who bears primary responsibility and accountability for nursing practices based on specialized knowledge, judgment, and skill derived from the principles of biological, physical, and behavioral sciences. The registered nurse practices in the profession of nursing by the performance of activities, among which are:

(1) Assessing human responses to actual or potential health conditions;

(2) Identifying the needs of the individual or family by developing a nursing diagnosis;

(3) Implementing nursing interventions based on the nursing diagnosis;

(4) Teaching health-care practices. Nothing contained in this subsection limits other qualified persons or agencies from teaching health-care practices without being licensed under this chapter;

(5) Advocating the provision of health-care services through collaboration with other health service personnel;

(6) Executing regimens, as prescribed by a licensed physician, dentist, podiatrist, or advanced practice registered nurse, including the dispensing or administration of medications and treatments;

(7) Administering, supervising, delegating, and evaluating nursing activities;

(8) [Repealed.]

(9) Nothing contained in this chapter shall be deemed to permit acts of surgery or medical diagnosis; nor shall it be deemed to permit dispensing of drugs, medications, or therapeutics independent of the supervision of a physician who is licensed to practice medicine and surgery, or those licensed to practice dentistry or podiatry.

A registered nurse shall have the authority, as part of the practice of professional nursing, to make a pronouncement of death; provided,

however, that this provision shall only apply to attending nurses caring for terminally ill patients or patients who have "do not resuscitate" orders in the home or place of residence of the deceased as a part of a hospice program or a certified home health-care agency program; in a skilled nursing facility; in a residential community associated with a skilled nursing facility; any licensed assisted living community; in an extended care facility; or in a hospice; and provided that the attending physician of record has agreed in writing to permit the attending registered nurse to make a pronouncement of death in that case.

(u) "The profession of nursing" is an art and process based on a scientific body of knowledge. The practitioner of nursing assists patients in the maintenance of health; the management of illness, injury, or infirmity; or the achievement of a dignified death.

(24 Del. C. 1953, § 1902; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 67 Del. Laws, c. 5, § 2; 67 Del. Laws, c. 434, § 2; 68 Del. Laws, c. 152, § 2; 68 Del. Laws, c. 161, § 2; 69 Del. Laws, c. 319, §§ 3-7, 13; 71 Del. Laws, c. 283, § 2; 71 Del. Laws, c. 478, § 1; 72 Del. Laws, c. 334, § 2; 73 Del. Laws, c. 285, §§ 1, 2; 73 Del. Laws, c. 316, §§ 1, 2; 74 Del. Laws, c. 262, § 34; 77 Del. Laws, c. 319, § 1; 77 Del. Laws, c. 420, § 2; 80 Del. Laws, c. 80, § 10; 80 Del. Laws, c. 171; 80 Del. Laws, c. 172, § 1; 81 Del. Laws, c. 80, § 1; 81 Del. Laws, c. 340, § 4; 82 Del. Laws, c. 75, § 4; 82 Del. Laws, c. 261, §§ ?8, 16; 83 Del. Laws, c. 52, § 10; 83 Del. Laws, c. 111, § 1; 83 Del. Laws, c. 327, § 2; 85 Del. Laws, c. 456, § 1.)

§ 1902 Definitions [Effective upon fulfillment of the contingency in 85 Del. Laws, c. 459, § 4].

(a) "Administration of medications" means a process whereby a single dose of a prescribed drug or biological is given to a patient by an authorized licensed person by 1 of several routes, oral, inhalation, topical, or parenteral. The person verifies the properly prescribed drug order, removes the individual dose from a previously dispensed, properly labeled container (including a unit dose container), assesses the patient's status to assure that the drug is given as prescribed to the patient for whom it is prescribed and that there are no known contraindications to the use of the drug or the dosage that has been prescribed, gives the individual dose to the proper patient, records the time and dose given, and assesses the patient following the administration of medication for possible untoward side effects.

(b) "Advanced practice registered nurse" ("APRN") means an individual with knowledge and skills in basic nursing education; licensure as a registered nurse ("RN"); and graduation from or completion of a graduate-level APRN program accredited by a national accrediting body and current certification by a national certifying body in the appropriate APRN role and at least 1 population focus. "Advanced practice registered nurse" includes certified nurse practitioners, certified registered nurse anesthetists, certified nurse midwives, or clinical nurse specialist. Advanced practice nursing is an expanded scope of nursing licensed as an independent licensed practitioner in a role and population focus approved by the Board of Nursing, with or without compensation or personal profit, and includes the RN scope of practice. The scope of an APRN includes performing acts of advanced assessment, diagnosing, prescribing, and ordering. Advanced practice nursing is the application of nursing principles, including those described in subsection (t) of this section.

(c) "Compact Administrator" means the Executive Director of the Delaware Board of Nursing who is designated as the compact Administrator under Chapters 19A and 19B of this title.

(d) "Conversion therapy" means any practice or treatment that seeks to change an individual's sexual orientation or gender identity, as "sexual orientation" and "gender identity" are defined in § 710 of Title 19, including any effort to change behaviors or gender expressions or to eliminate or reduce sexual or romantic attractions or feelings toward individuals of the same gender. "Conversion therapy" does not mean any of the following:

(1) Counseling that provides assistance to an individual who is seeking to undergo a gender transition or who is in the process of undergoing gender transition.

(2) Counseling that provides an individual with acceptance, support, and understanding without seeking to change an individual's sexual orientation or gender identity.

(3) Counseling that facilitates an individual's coping, social support, and identity exploration and development, including counseling in the form of sexual orientation-neutral interventions or gender identity-neutral interventions provided for the purpose of preventing or addressing unlawful conduct or unsafe sexual practices, without seeking to change an individual's sexual orientation or gender identity.

(e) "Dispensing" means providing medication according to an order of a practitioner duly licensed to prescribe medication. The term includes both the repackaging and labeling of medications from bulk to individual dosages.

(f) "Division" means the Delaware Division of Professional Regulation.

(g) "Dry needling" means an intervention that meets all of the following criteria:

a. Uses a thin filiform needle to penetrate the skin and stimulate underlying muscular tissue, connective tissues, and myofascial trigger points for the management of neuromusculoskeletal pain and movement impairments.

b. Is based upon Western medical concepts.

c. Requires an advanced practice nursing exam and diagnosis.

(h) "Electronic prescription" means a prescription that is generated on an electronic application and transmitted as an electronic data file.

(i) "Full-practice authority," as granted to an advanced practice registered nurse, means the collection of state practice and licensure laws that allow APRNs to evaluate patients, diagnose, order and interpret diagnostic tests, initiate and manage treatments, including prescribing medications, under exclusive licensure authority of the Delaware Board of Nursing and includes:

(1) Practicing within standards established or recognized by the Board of Nursing.

(2) Being accountable to patients, the nursing profession, and the Board of Nursing for complying with the requirements of this chapter and the quality of advanced nursing care rendered.

(3) Recognizing limits of knowledge and experience.

(4) Planning for the management of situations beyond the APRN's expertise.

(5) Consultation with or referring patients to other health-care providers as appropriate.

(j) "Head of the Nursing Licensing Board" means the President of the Delaware Board of Nursing.

(k) "Licensure" means the authorization to practice nursing within this State granted by the Delaware Board of Nursing and includes the authorization to practice in Delaware under the Interstate Nurse Licensure Compact [Chapter 19A of this title].

(*l*) "Limited lay administration of medications (LLAM)" means a process by which LLAM trained unlicensed assistive personnel, functioning in a setting authorized by § 1932 of this title, give a prescribed medication to clients, patients, residents, or students as ordered by a licensed practitioner authorized to prescribe medications or gives a nonprescription medication pursuant to the Delacare regulations.

(m) "LLAM trained unlicensed assistive personnel (UAP)" means an individual who has successfully completed the Board of Nursing approved LLAM course, including the core course and any program specific specialized training modules required.

(n) "Nurse educator" means a registered nurse who is a faculty member or director of a Delaware board-approved nursing education program preparing individuals at the registered nurse entry level.

(o) "Nursing diagnosis" means the description of the individual's actual or potential health needs which are identified through a nursing assessment and are amenable to nursing intervention. The focus of the nursing diagnosis is on the individual's response to illness or other factors that may adversely affect the attainment or maintenance of wellness. These diagnostic acts are distinct from medical, osteopathic, and dental diagnosis.

(p) "Nursing education program" means a course of instruction offered and conducted to prepare persons for licensure as a registered or licensed practical nurse, or a course of instruction offered and conducted to increase the knowledge and skills of the nurse and leads to an academic degree in nursing, or refresher courses in nursing.

(q) "Reproductive health services" means as defined in § 1702 of this title.

(r) "Standards of nursing practice" means those standards of practice adopted by the Board that interpret the legal definitions of nursing, as well as provide criteria against which violations of the law can be determined. Such standards of nursing practice may not be used to directly or indirectly affect the employment practices and deployment of personnel by duly licensed or accredited hospitals and other duly licensed or accredited health-care facilities and organizations. In addition, such standards may not be assumed the only evidence in civil malpractice litigation, nor may they be given a different weight than any other evidence.

(s) "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of nursing.

(t) "The practice of practical nursing" as a licensed practical nurse means the performance for compensation of nursing services by a person who holds a valid license pursuant to the terms of this chapter and who bears accountability for nursing practices which require basic knowledge of physical, social, and nursing sciences. These services, at the direction of a registered nurse or a person licensed to practice medicine, surgery, or dentistry, include:

(1) Observation;

(2) Assessment;

(3) Planning and giving of nursing care to the ill, injured and infirm;

(4) The maintenance of health and well-being;

(5) The administration of medications and treatments prescribed by a licensed physician, dentist, podiatrist, or advanced practice registered nurse; and

(6) Additional nursing services and supervision commensurate with the licensed practical nurse's continuing education and demonstrated competencies;

(7) Dispensing activities only as permitted in the Board's Rules and Regulations. Nothing contained in this chapter shall be deemed to permit acts of surgery or medical diagnosis; nor shall it be deemed to permit dispensing of drugs, medications, or therapeutics independent of the supervision of a physician who is licensed to practice medicine and surgery, or those licensed to practice dentistry or podiatry; and

(8) [Repealed.]

(u) "The practice of professional nursing" as a registered nurse means the performance of professional nursing services by a person who holds a valid license pursuant to the terms of this chapter, and who bears primary responsibility and accountability for nursing practices based on specialized knowledge, judgment, and skill derived from the principles of biological, physical, and behavioral sciences. The registered nurse practices in the profession of nursing by the performance of activities, among which are:

(1) Assessing human responses to actual or potential health conditions;

(2) Identifying the needs of the individual or family by developing a nursing diagnosis;

(3) Implementing nursing interventions based on the nursing diagnosis;

(4) Teaching health-care practices. Nothing contained in this subsection limits other qualified persons or agencies from teaching health-care practices without being licensed under this chapter;

(5) Advocating the provision of health-care services through collaboration with other health service personnel;

(6) Executing regimens, as prescribed by a licensed physician, dentist, podiatrist, or advanced practice registered nurse, including the dispensing or administration of medications and treatments;

(7) Administering, supervising, delegating, and evaluating nursing activities;

(8) [Repealed.]

(9) Nothing contained in this chapter shall be deemed to permit acts of surgery or medical diagnosis; nor shall it be deemed to permit dispensing of drugs, medications, or therapeutics independent of the supervision of a physician who is licensed to practice medicine and surgery, or those licensed to practice dentistry or podiatry.

A registered nurse shall have the authority, as part of the practice of professional nursing, to make a pronouncement of death; provided, however, that this provision shall only apply to attending nurses caring for terminally ill patients or patients who have "do not resuscitate" orders in the home or place of residence of the deceased as a part of a hospice program or a certified home health-care agency program; in a skilled nursing facility; in a residential community associated with a skilled nursing facility; any licensed assisted living community; in an extended care facility; or in a hospice; and provided that the attending physician of record has agreed in writing to permit the attending registered nurse to make a pronouncement of death in that case.

(v) "The profession of nursing" is an art and process based on a scientific body of knowledge. The practitioner of nursing assists patients in the maintenance of health; the management of illness, injury, or infirmity; or the achievement of a dignified death.

(w) "Trigger points" means hyperirritable spots in skeletal muscle that are associated with palpable nodules in taut bands of muscle fibers. They can give rise to local or referred pain, autonomic phenomenon, and can cause limitations in range of motion and muscle activation.

(24 Del. C. 1953, § 1902; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 67 Del. Laws, c. 5, § 2; 67 Del. Laws, c. 434, § 2; 68 Del. Laws, c. 152, § 2; 68 Del. Laws, c. 161, § 2; 69 Del. Laws, c. 319, §§ 3-7, 13; 71 Del. Laws, c. 283, § 2; 71 Del. Laws, c. 478, § 1; 72 Del. Laws, c. 334, § 2; 73 Del. Laws, c. 285, §§ 1, 2; 73 Del. Laws, c. 316, §§ 1, 2; 74 Del. Laws, c. 262, § 34; 77 Del. Laws, c. 319, § 1; 77 Del. Laws, c. 420, § 2; 80 Del. Laws, c. 80, § 10; 80 Del. Laws, c. 171; 80 Del. Laws, c. 172, § 1; 81 Del. Laws, c. 80, § 1; 81 Del. Laws, c. 340, § 4; 82 Del. Laws, c. 75, § 4; 82 Del. Laws, c. 261, §§ ?8, 16; 83 Del. Laws, c. 52, § 10; 83 Del. Laws, c. 111, § 1; 83 Del. Laws, c. 327, § 2; 85 Del. Laws, c. 456, § 1; 85 Del. Laws, c. 459, § 2.)

§ 1903 Delaware Board of Nursing — Appointments; qualifications; terms of office; vacancies; suspension or removal.

(a) The Delaware Board of Nursing (hereafter referred to as the Board) shall consist of 15 members. The term of office of every member appointed to the Board, except those appointed to fill vacancies occurring during any term of office, is 3 years. The Board shall be composed of 5 registered nurses, 1 licensed practical nurse, 1 nurse at-large which shall be either a registered nurse or licensed practical nurse, 2 advanced practice registered nurses representing different practice roles, 1 registered nurse educator, and 5 public members.

Registered nurse appointees shall have a diploma or an earned degree in nursing, nursing education or education, and at least 3 years' active practice as a registered nurse in nursing service, administration or teaching.

Each practical nurse appointee shall be a licensed practical nurse, who is a graduate of an approved school of practical nursing, with at least 3 years' active practice as a practical nurse.

The public members shall be residents of Delaware for a minimum of 3 years, shall be knowledgeable about the health needs of Delaware, but shall not be licensees of any health occupation board, employees of a health-care occupational board, employees of a health-care facility or agency, or engaged in governance or administration of a health-care facility or agency.

(b) The nursing experience referred to in this section shall have been within the last 5 years preceding appointment and said appointee must be currently licensed in Delaware.

(c) The Governor shall appoint all members to the Board of Nursing.

(d) Sixty days prior to the expiration of the term of any member of the Board, a successor shall be appointed by the Governor. A list of at least 3 nominees for each registered nurse vacancy may be furnished to the Governor by the Delaware Nurses' Association and other professional organizations in order to aid the Governor in the appointment of new members of the Board. A list of at least 3 nominees for each licensed practical nurse vacancy may be furnished to the Governor by the Delaware Licensed Practical Nurses' Association and others in the practical nursing community in order to aid the Governor in the appointment of new members of the Board. The Governor shall not be limited to the recommendations of the professional organizations in making appointments of registered or practical nurses to the Board. The Governor shall seek nominees from the consumer population for the public members appointees. Vacancies occurring for any cause other than expiration of term shall be filled by the Governor for the unexpired term as provided in this subsection.

(e) At least 1 of the professional nurse members of the Board shall be a resident of New Castle County, 1 a resident of Kent County and 1 a resident of Sussex County. Licensed practical nurse members shall be representatives of at least 2 counties simultaneously. If there is no qualified person available in the geographical subdivision, then appointments shall be made from qualified persons available in any of the other geographical subdivisions.

(f) Each member shall serve for a term of 3 years, and may successively serve for 1 additional term; provided, however, that where a member was initially appointed to fill a vacancy, such member may successively serve for only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member.

(g) A person who has never served on the Board may be appointed to the Board 2 consecutive times, but no such person shall thereafter be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board, or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(h) Any act or vote by a person appointed in violation of subsection (g) of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (g) of this section, unless such amendment or revision amends this section to permit such an appointment.

(i) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance or malfeasance. A member subject to disciplinary proceedings shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(j) No member of the Board shall hold any elective office in, nor be a representative of, any local, state, regional or national nursing association.

(k) The provisions set forth for "employees" in Chapter 58 of Title 29 shall apply to all members of the Board, and to all agents appointed or otherwise employed by the Board.

(24 Del. C. 1953, § 1903; 54 Del. Laws, c. 153; 57 Del. Laws, c. 668, §§ 1, 12, 13; 64 Del. Laws, c. 26, § 1; 67 Del. Laws, c. 366, § 5; 67 Del. Laws, c. 368, § 10; 68 Del. Laws, c. 162, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 482, §§ 1, 2; 72 Del. Laws, c. 121, § 1; 77 Del. Laws, c. 420, § 1; 79 Del. Laws, c. 368, § 1; 81 Del. Laws, c. 80, § 2.)

§ 1904 Delaware Board of Nursing — Election of officers; quorum; rules and regulations; special meetings; compensation; seal.

(a) The Board shall elect, annually, from its members a President and Vice-President. In the event of a vacancy in 1 of the offices, a replacement shall be elected at the next Board meeting or at a meeting called for that purpose.

(b) Eight members of the Board, including 1 officer, shall constitute a quorum.

(c) The Board may adopt and promulgate such rules and regulations as may be necessary to govern its proceedings, to define the duties of its officers and to effectuate the intent and purpose of this chapter.

(d) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(e) The Board shall adopt a seal and may use that seal on official documents.

(24 Del. C. 1953, § 1904; 54 Del. Laws, c. 153; 57 Del. Laws, c. 668, § 2; 64 Del. Laws, c. 26, § 1; 67 Del. Laws, c. 366, § 6; 70 Del. Laws, c. 482, §§ 3, 25; 81 Del. Laws, c. 85, § 9.)

§ 1905 Delaware Board of Nursing — Executive Director.

The Executive Director shall be a registered nurse with at least 5 years' experience in an administrative or teaching position, have earned a master's degree in nursing, nursing education, education or a related health field.

(24 Del. C. 1953, § 1905; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 67 Del. Laws, c. 144, § 2.)

§ 1906 Delaware Board of Nursing — Powers and duties.

(a) The Board shall:

(1) Adopt and, from time to time, revise such rules and regulations and standards not inconsistent with the law as may be necessary to enable it to carry into effect this chapter.

(2) Approve curricula and develop criteria and standards for evaluating educational programs preparing persons for license under this chapter.

(3) Provide for surveys of such programs at such times as it may deem necessary.

(4) Approve such programs as meet the requirements of this chapter and of the Board.

(5) Deny or withdraw approval from educational programs for failure to meet approved curricula or other criteria.

(6) Examine, license, and renew licenses of duly qualified applicants, including applicants for conducting nursing educational programs and shall also prescribe the procedures for subsequent examinations of applicants who fail an examination.

(7) Establish categories of advanced practice registered nurses in the roles of certified nurse practitioner (CNP), certified registered nurse anesthetist (CRNA), certified nurse midwife (CNM), and clinical nurse specialist (CNS) and in the population foci of family/individual across the lifespan, adult-gerontology, neonatal, pediatrics, women's health/gender-related, or psychiatric/mental health. Each APRN must use the designation "APRN" plus role title as a minimum for purposes of identification and documentation. When providing nursing care, the APRN must provide clear identification that indicates their APRN designation.

(8) Issue a temporary permit to practice nursing to applicants who apply for licensure by endorsement and to new graduates awaiting results of the first licensing examination.

(9) Conduct hearings upon charges calling for discipline of a licensee or revocation of a license.

(10) Have the power to issue subpoenas and compel the attendance of witnesses, and administer oaths to persons giving testimony at hearings.

(11) Cause the prosecution of all persons violating this chapter and have the power to incur such necessary expenses therefor.

(12) Keep a record of all its proceedings.

(13) Make an annual report to the Governor.

(14) Have all of the duties, powers, and authority necessary to the enforcement of this chapter, as well as such other duties, powers, and authority as it may be granted from time to time by appropriate statute.

(15) Appoint advisory committees as the Board deems desirable.

(16) Maintain a system of statistics related to nursing education programs and registered nurse and licensed practical nurse licensure in the State.

(17) Participate in and pay fees to the national organization of state boards of nursing, known as the National Council of State Boards of Nursing, Inc.

(18) By regulation, establish requirements for mandatory continuing education. Such requirements must mandate that all nursing professionals renew their license on or after October 1, 2025, and must complete at least 1 hour of continuing education in each reporting period on the recognition of and response to suspected or known sexual abuse, physical abuse, exploitation, trafficking, or domestic violence of vulnerable persons including, but not limited to, children, elders, or persons with intellectual, physical and developmental disabilities, or on the reporting obligations under the rules and regulations promulgated by the Board of Nursing, reporting of known or suspected child abuse or neglect under § 903 of Title 16, and any successors thereto, and any other mandatory reporting obligations required by the Board. Licensees under this chapter should consider the Delaware Child Protection Accountability Commission a resource for continuing education programming relating to child abuse, exploitation, and trafficking.

(19) Administer the Advanced Practice Registered Nurse Committee.

(20) Have the authority to grant, restrict, suspend, or revoke practice or prescriptive authority and be responsible for promulgating rules and regulations to implement the provisions of this chapter regarding advanced practice registered nurses who have been granted authority for independent practice or prescriptive authority.

(21) Have the authority to limit the ability of APRNs to prescribe and order nonpharmacological interventions.

(b) The Board of Nursing shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of nursing.

(24 Del. C. 1953, § 1906; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 65 Del. Laws, c. 380, § 2; 67 Del. Laws, c. 10, § 1; 67 Del. Laws, c. 144, § 3; 69 Del. Laws, c. 319, §§ 8, 9; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 482, §§ 4, 26; 74 Del. Laws, c. 262, § 35; 77 Del. Laws, c. 319, § 1; 80 Del. Laws, c. 171; 80 Del. Laws, c. 172, § 2; 83 Del. Laws, c. 422, § 2; 84 Del. Laws, c. 194, § 2; 84 Del. Laws, c. 503, § 1.)

§ 1907 Delaware Board of Nursing — Revenue and expenses.

(a) All fees and other money received by the Board shall be paid over to the State Treasurer, in accordance with Chapter 61 of Title 29.

(b) Expenses of the Board, within the limits of appropriations made to it, shall be paid by the State Treasurer upon warrants signed by the proper officers of the Board.

(24 Del. C. 1953, § 1907; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1.)

§ 1908 Delaware Board of Nursing — Meetings; examinations for licensing; nursing education programs; fees.

(a) The Board shall meet as often as necessary to carry out its responsibilities as defined in this chapter.

(b) Special meetings of the Board may be called by the Executive Director upon the request of the President or any 2 members.

(c) The Board shall consider and act upon applications to conduct a nursing education program.

(d) The amount charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board and the proportional expenses incurred by the Division of Professional Regulation in its services on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. At the beginning of each calendar year, the Division of Professional Regulation, or any other state agency acting on its behalf, shall compute for each separate service or activity the appropriate Board fees for the coming year.

(24 Del. C. 1953, § 1908; 54 Del. Laws, c. 153; 57 Del. Laws, c. 668, § 3; 63 Del. Laws, c. 84, § 1; 64 Del. Laws, c. 26, § 1; 70 Del. Laws, c. 482, §§ 5, 6; 85 Del. Laws, c. 456, § 1.)

§ 1909 License requirement.

No unlicensed person, except those persons issued a temporary permit by the Board, shall practice advanced practice, professional or practical nursing. Upon request, any person engaged in the practice of advanced practice, professional or practical nursing shall exhibit a license authorizing such practice.

(24 Del. C. 1953, § 1909; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 69 Del. Laws, c. 319, § 10.)

§ 1910 Qualifications for registered nurse.

An applicant for a license to practice as a registered nurse shall submit to the Board written evidence, verified by oath, that the applicant:

(1) Is a graduate of and holds a certificate from a State Board of Nursing approved nursing education program that is authorized to prepare persons for licensure as a registered nurse;

(2) Demonstrates competence in English related to nursing;

(3) Has earned a high school diploma or its equivalent;

(4) Is of such satisfactory physical and mental health as is consistent with the Americans with Disabilities Act [42 U.S.C. § 12101 et seq.];

(5) Has committed no acts which are grounds for disciplinary action as set forth in § 1922(a) of this title; however, if after

consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board by an affirmative vote of a majority of the quorum, shall waive § 1922(a)(2) of this title. A waiver may not be granted for a conviction of a felony sexual offense;

a.-e. [Repealed.]

(6) If seeking licensure by endorsement, demonstrates active employment in professional nursing in the past 5 years, or satisfactory completion of a professional nursing refresher program with an approved agency within 2 years prior to filing an application. In the event no refresher course is available the Board may consider alternate methods of evaluating current knowledge in professional nursing; and

(7) Has passed the standard national examination for registered nursing. The National Council of State Boards of Nursing shall establish the passing score.

(24 Del. C. 1953, § 1910; 54 Del. Laws, c. 153; 57 Del. Laws, c. 668, §§ 4, 5; 64 Del. Laws, c. 26, § 1; 70 Del. Laws, c. 482, §§ 7-9; 75 Del. Laws, c. 436, § 16; 77 Del. Laws, c. 199, § 14; 78 Del. Laws, c. 35, §§ 1, 2; 78 Del. Laws, c. 44, §§ 28, 29; 81 Del. Laws, c. 80, § 3; 83 Del. Laws, c. 433, § 13.)

§ 1911 Licensure by examination for registered nurse [Repealed].

24 Del. C. 1953, § 1911; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 70 Del. Laws, c. 482, § 10; repealed by 81 Del. Laws, c. 80, § 4, effective July 17, 2017.

§ 1912 Reciprocity for registered nurse.

(a) The Board may, by endorsement, without written examination, license as a registered nurse an applicant who, on or after July 1, 1983, is duly licensed as a registered nurse or is entitled to perform similar services under a different title under the laws of another state or territory of the United States or a foreign country if, in the opinion of the Board, the applicant meets the qualifications specified by this chapter for registered nurses in this State.

(b) In the event the applicant has not been actively employed in professional nursing in the past 5 years, the applicant will be required to give evidence of satisfactory completion of a professional nursing refresher program with an approved agency within 2 years prior to endorsement before licensure by endorsement will be granted. In the event no refresher course is available the Board may consider alternate methods of evaluating current knowledge in professional nursing.

(c) Verification of current Delaware license is provided upon request to other state boards of nursing.

(24 Del. C. 1953, § 1912; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 70 Del. Laws, c. 482, § 11; 81 Del. Laws, c. 80, § 5.)

§ 1913 Registered nurses licensed under previous law.

(a) Any person holding a license to practice nursing as a registered nurse that is valid on July 1, 1983, shall be deemed to be licensed as a registered nurse under this chapter and shall be eligible for renewal of such license under the conditions and standards prescribed by § 1918 of this title.

(b) Any person eligible for reactivation or reinstatement of a license to practice nursing as a registered nurse in this state on or after July 1, 1983, shall be deemed to be eligible to be licensed as a registered nurse under this chapter and shall be eligible for renewal of such license under the conditions and standards prescribed by § 1918 of this title.

(c) Any person whose license to practice nursing as a registered nurse has lapsed in this State on or after July 1, 1983, because of failure to renew may become licensed as a registered nurse under this chapter by applying for reinstatement according to the rules and regulations established by the Board of Nursing.

(d) Any person who was licensed to practice nursing as a registered nurse and who had requested to be placed on inactive status in this State on or after July 1, 1983, may become licensed as a registered nurse under this chapter by applying for reactivation according to the rules and regulations established by the Board of Nursing.

(24 Del. C. 1953, § 1916; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1.)

§ 1914 Qualifications for licensed practical nurse.

An applicant for a license to practice as a licensed practical nurse shall submit to the Board written evidence, verified by oath, that such applicant:

(1) Is a graduate of and holds a certificate from a State Board of Nursing approved practical nursing education program. The Board may, by an affirmative vote of a majority of a quorum of the Board, waive this requirement for application for licensure by endorsement if it finds clear and convincing evidence that the applicant's education, training, experience, and conduct have been sufficient to overcome the deficiency in meeting this requirement;

(2) Demonstrates competence in English related to nursing;

(3) Has earned a high school diploma or its equivalent;

(4) Is of such satisfactory physical and mental health as is consistent with the Americans with Disabilities Act [42 U.S.C. § 12101 et seq.];

(5) Has committed no acts which are grounds for disciplinary action as set forth in § 1922(a) of this title; however, after a hearing or review of documentation, the Board, by an affirmative vote of a majority of the quorum, shall waive § 1922(a)(2) of this title if, after considering the factors set forth in § 8735(x)(3) of Title 29, that the granting of a waiver would not create an unreasonable risk to public

safety. A waiver may not be granted for a conviction of a felony sexual offense and a felony sexual offense shall be considered by the Board notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29;

a.-e. [Repealed.]

(6) If seeking licensure by endorsement, demonstrates active employment in practical nursing in the past 5 years, or satisfactory completion of a practical nursing refresher program with an approved agency within 2 years prior to filing an application. In the event no refresher course is available the Board may consider alternate methods of evaluating current knowledge in practical nursing; and

(7) Has passed the standard national examination for practical nursing. The National Council of State Boards of Nursing shall establish the passing score.

(24 Del. C. 1953, § 1913; 54 Del. Laws, c. 153; 57 Del. Laws, c. 668, §§ 6, 7; 64 Del. Laws, c. 26, § 1; 70 Del. Laws, c. 482, §§ 12-14; 75 Del. Laws, c. 436, § 17; 77 Del. Laws, c. 199, § 15; 77 Del. Laws, c. 420, § 4; 78 Del. Laws, c. 35, §§ 3, 4; 78 Del. Laws, c. 44, §§ 30, 31; 81 Del. Laws, c. 80, § 6; 81 Del. Laws, c. 425, § 16; 83 Del. Laws, c. 433, § 13.)

§ 1915 Licensure by examination for licensed practical nurse [Repealed].

24 Del. C. 1953, § 1914; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 70 Del. Laws, c. 482, § 15; repealed by 81 Del. Laws, c. 80, § 7, effective July 17, 2017.

§ 1916 Reciprocity for licensed practical nurse.

(a) The Board may, by endorsement, without written examination, license as a practical nurse an applicant who, on or after July 1, 1983, is duly licensed as a practical nurse or is entitled to perform similar services under a different title under the laws of another state or a territory of the United States or a foreign country, if in the opinion of the Board, the applicant meets the qualifications specified by this chapter for licensed practical nurses in this State.

(b) In the event the applicant has not been actively employed in practical nursing in the past 5 years, the applicant will be required to give evidence of satisfactory completion of a practical nursing refresher program within an approved agency within 2 years prior to endorsement before licensure by endorsement will be granted. In the event no refresher course is available the Board may consider alternate methods of evaluating current knowledge in practical nursing.

(c) Verification of current Delaware license is provided upon request to other state boards of nursing.

(24 Del. C. 1953, § 1915; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 70 Del. Laws, c. 482, § 16; 81 Del. Laws, c. 80, § 8.)

§ 1917 Licensed practical nurses licensed under previous law.

(a) Any person holding a license to practice nursing as a licensed practical nurse that is valid on July 1, 1983, shall be deemed to be licensed as a licensed practical nurse under this chapter and shall be eligible for renewal of such license under the conditions and standards prescribed by § 1918 of this title.

(b) Any person eligible for reactivation or reinstatement of a license to practice nursing as a licensed practical nurse in this State on or after July 1, 1983, shall be deemed to be eligible to be licensed as a licensed practical nurse under this chapter and shall be eligible for renewal of such license under the conditions and standards prescribed by § 1918 of this title.

(c) Any person whose license to practice nursing as a licensed practical nurse has lapsed in this State on or after July 1, 1983, because of failure to renew may become licensed as a licensed practical nurse under this chapter by applying for reinstatement according to the rules and regulations established by the Board of Nursing.

(d) Any person who was licensed to practice nursing as a licensed practical nurse and who had requested to be placed on inactive status in this State on July 1, 1983, may become licensed as a licensed practical nurse under this chapter by applying for reactivation according to the rules and regulations established by the Board of Nursing.

(24 Del. C. 1953, § 1916; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1.)

§ 1918 Renewal of license; lapse of license; late renewal; penalties; retirement from practice; temporary permit to practice; inactive status.

(a) Every advanced practice registered nurse or registered or licensed practical nurse licensed under this chapter shall reregister biennially by filing an application; provided however, that the license of any licensee who is on active military duty with the armed forces of the United States and serving in a theater of hostilities on the date such application or reregistration is due shall be deemed to be current and in full compliance with this chapter until the expiration of 60 days after such licensee is no longer on active military duty in a theater of hostilities. The advanced practice registered nurses' licensure or prescriptive authority is subject to biennial renewal coinciding with RN license renewal. In the event the applicant has not been actively employed in professional, practical, or advanced practice registered nursing in the past 5 years, the applicant must provide evidence of satisfactory completion of an appropriate board-approved nursing refresher program within 1 year before licensure by reinstatement.

APRNs who have been out of active clinical practice more than 2 years but less than 5 years must submit 24 hours of CE with 12 hours in pharmacotherapeutics and 12 hours in the clinical management of patients taken within 1 year before application. APRNs who have been out of active clinical practice more than 5 years must submit 45 hours of pharmacotherapeutics CE taken within 1 year before application and the advanced practice refresher program. In the event no advanced practice refresher program is available, the applicant must complete 600 hours of supervised clinical experience in the appropriate advanced practice role and population focus, with a qualified preceptor within 1 year before licensure by reinstatement. A qualified APRN preceptor must hold an active unencumbered license or privilege to

practice as an APRN or be a physician who has an active unencumbered license and practices in a comparable practice focus in the clinical setting.

(b) Upon receipt of the application and fee, the Board shall verify the accuracy of the information set forth in the application and issue to the applicant a certificate of renewal of license for 2 years, provided that the applicant has successfully completed continuing education requirements as may be established by the Board. Such certificate shall entitle the holder to engage in the practice of professional, practical, or advanced practice registered nursing for the period stated therein. Any licensee whose license lapses for failure to renew the license may be reinstated by the Board upon satisfactory evidence of active employment in professional, practical, or advanced practice registered nursing within the past 5 years or satisfactory completion of a refresher program within an approved agency within a 1-year period prior to renewal and upon satisfactory explanation for the failure to renew the license and payment of a penalty fee to be determined.

(c) A license may be renewed up to 60 days past the license's expiration date, by submitting to the Division of Professional Regulation payment of the penalty fee referred to in subsection (b) of this section and proof of completion of continuing education requirements. The license of a nurse who fails to renew on time is considered lapsed and the nurse is not permitted to work until the license is renewed. Licensees who fail to renew during the renewal period or during the 60-day late renewal period must apply for reinstatement.

(d) After a license has lapsed or been inactive for 5 or more years and the applicant has not been in active practice in professional or practical nursing in the past 5 years, the applicant will be required to give evidence of satisfactory completion of a professional or practical nursing refresher program within an approved agency within 1 year prior to reinstatement before licensure by reinstatement will be granted. In the event no refresher course is available the Board may consider alternate methods of evaluating current knowledge in professional or practical nursing.

(e) Any person practicing nursing during the time that person's license has lapsed shall be considered an illegal practitioner and shall be subject to the penalties provided for violations of this chapter.

(f) Any person licensed under this chapter who desires to retire from practice in this State shall so notify the Board. Upon receipt of such notice, the Board shall place the name of such person on a nonpracticing list. While on this list, such person shall not be required to pay any license fee, and shall not practice nursing in this State. When such person desires to resume practice, application for renewal shall be made under subsection (a) of this section and the license shall be reactivated if the requirements of the Board are met.

(g) Temporary permits to practice nursing may be issued by the Board to persons who have requested reinstatement of their license, if they have practiced nursing within the past 5 years.

(h) Every registered or licensed practical nurse licensed under this chapter primarily engaged in the practice of electrolysis shall be exempt from the requirement in subsection (a) of this section that states in the event the applicant has not been actively employed in professional practical nursing in the past 5 years, the applicant will be required to give evidence of satisfactory completion of a professional or practical nursing refresher program within 2 years prior to renewal before licensure by renewal will be granted.

(i) An individual licensed under this chapter may, upon written request to the Board, be placed in an inactive status in accordance with the Board's rules and regulations. An individual may reenter practice from inactive status if the individual provides written notification to the Board of the individual's intent and complies with any relevant provisions of this chapter and the Board's regulations.

(24 Del. C. 1953, § 1917; 54 Del. Laws, c. 153; 57 Del. Laws, c. 668, § 8; 64 Del. Laws, c. 26, § 1; 65 Del. Laws, c. 221, § 2; 65 Del. Laws, c. 466, § 2; 69 Del. Laws, c. 319, § 11; 69 Del. Laws, c. 372, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 482, §§ 17-20, 27, 28; 78 Del. Laws, c. 122, §§ 1, 2; 80 Del. Laws, c. 171; 81 Del. Laws, c. 80, § 9.)

§ 1919 Nursing educational programs.

(a) An institution desiring to conduct a nursing education program shall apply to the Board and submit satisfactory evidence that it is ready and qualified to instruct students in the prescribed basic curriculum for educating nurses and that it is prepared to meet other standards which may be established by the Board. The Board may authorize the temporary operation of a nursing education program pending evaluation for approval. The Board shall grant approval in writing. The Board may visit and survey any nursing education program at any reasonable time.

(b) If the Board determines that any approved nursing education program is not maintaining the standards required by this chapter and by the Board, written notice thereof, specifying the deficiency and the time within which the same shall be corrected, shall immediately be given to the program. The Board shall withdraw such program's approval if it fails to correct the specified deficiency, and such nursing education program shall discontinue its operation; provided, however, that the Board shall grant a hearing to such program upon written application and extend the period for correcting specified deficiency upon good cause being shown.

(c) An approved nursing education program which plans substantive changes, as defined in the Board's rules and regulations, shall obtain the written approval of the Board prior to the date of the change.

(d) Any nursing education program in the State that is recognized as an approved program by the Board of Nursing on or after July 1, 1983, shall be deemed to be an approved education program for the purpose of this chapter.

(24 Del. C. 1953, § 1918; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1.)

§ 1920 License requirements; use of abbreviations.

(a) No person shall engage in the practice of professional nursing in Delaware without being licensed by the Board, except those persons issued a temporary permit by the Board.

(b) No person shall engage in practice as an advanced practice registered nurse without a board-issued license as an advanced practice

registered nurse. Notwithstanding any provision to the contrary, the use of title and abbreviation for advanced practice registered nurses is authorized in accordance with the following:

(1) Only certified registered nurse anesthetists may use that title, the abbreviation "CRNA" or any other words, letters, signs or figures indicating that the person using the same is a certified registered nurse anesthetist.

(2) Only certified nurse practitioners may use that title, the abbreviation "CNP" or any other words, letters, signs or figures indicating that the person using the same is a certified nurse practitioner.

(3) Only certified nurse midwives may use that title, the abbreviation "CNM" or any other words, letters, signs or figures indicating that the person using the same is a certified nurse midwife.

(4) Only clinical nurse specialists may use that title, the abbreviation "CNS" or any other words, letters, signs or figures indicating that the person using the same is a clinical nurse specialist.

(5) The abbreviation for the "APRN" designation for a certified nurse practitioner, a certified registered nurse anesthetist, a certified nurse midwife, and for a clinical nurse specialist will be "APRN," plus the role title, i.e., "CNP," "CRNA," "CNM," or "CNS." It shall be unlawful for any person to use the title "APRN" or "APRN" plus their respective role titles, authorized abbreviations or any other title that would lead a person to believe the individual is an APRN, unless permitted by this chapter.

(c) No person shall knowingly employ a graduate of a professional nursing program or a registered nurse to engage in the practice of professional nursing without a temporary permit or license from the Board.

(d) Only registered nurses shall use that title, the title "nurse", the abbreviation of "R.N." or any other words, letters, signs or figures indicating that the person using the same is a registered nurse.

(e) No person shall practice practical nursing in Delaware without being licensed by the Board, except those persons issued a temporary permit by the Board.

(f) No person shall knowingly employ a graduate of a practical nursing program or a licensed practical nurse to engage in the practice of practical nursing without a temporary permit or license from the Board.

(g) Only licensed practical nurses shall use that title, the title "nurse", the abbreviation "L.P.N." or any other words, letters, signs or figures indicating that the person using the same is a licensed practical nurse.

(h) A nonhuman entity, including an agent powered by artificial intelligence, may not be licensed in any of the following:

(1) The practice of professional nursing.

(2) Practice as an advanced practice registered nurse.

(3) The practice of practical nursing.

(i) A nonhuman entity, including an agent powered by artificial intelligence, may not use any of the following titles or abbreviations:

(1) Advanced practice registered nurse or the abbreviation "APRN."

(2) Certified registered nurse anesthetist or the abbreviation "CRNA."

(3) Clinical nurse specialist or the abbreviation "CNS."

(4) Certified nurse practitioner or the abbreviation "CNP."

(5) Certified nurse midwife or the abbreviation "CNM."

(6) Nurse.

(7) Registered nurse or the abbreviation "RN."

(8) Licensed practical nurse or the abbreviation "LPN."

(9) Doctor or the abbreviation "Dr."

(24 Del. C. 1953, § 1919; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 69 Del. Laws, c. 319, § 12; 77 Del. Laws, c. 234, §§ 1, 2; 80 Del. Laws, c. 171; 85 Del. Laws, c. 250, § 1.)

§ 1921 Applicability of chapter.

(a) This chapter does not apply to the following situations:

(1) Nursing services rendered during an epidemic or a state or national disaster;

(2) The rendering of assistance by anyone in the case of an emergency;

(3) Emergency services rendered by ambulance personnel trained in advanced life support under a licensed physician's supervision as defined in Chapter 97 of Title 16. "Advanced life support" is defined in Chapter 97 of Title 16;

(4) The incidental care of the sick in private homes by members of the family, friends, domestic servants or persons primarily employed as housekeepers;

(5) Nursing services rendered by a student enrolled in a State Board of Nursing approved school of professional or practical nursing when these services are incidental to the course of study; or those nursing services rendered by a professional nurse or practical nurse enrolled in a State Board of Nursing approved refresher course pending reinstatement, reactivation or endorsement of licensure;

(6) The practice of nursing in this State by a nurse licensed in another state whose employment requires such nurse to accompany and care for a patient temporarily in this State, provided the nursing services are not rendered for more than 3 months within 1 year and such nurse does not claim to be licensed in this State;

(7) The practice of nursing by a nurse licensed in another state employed by the United States government or any bureau, division or agency thereof;

(8) The practice of nonmedical nursing in connection with healing by prayer or spiritual means in accordance with the tenets and practice of a well-recognized church or religious denomination, provided that persons practicing such nonmedical nursing do not claim to be licensed under this chapter;

(9) Auxiliary care services performed by nurse's aides, attendants, orderlies and other auxiliary workers in medical care facilities, or elsewhere by persons under the direction and supervision of a person licensed to practice nursing, medicine, dentistry or podiatry, and performing those services which are routine, repetitive and limited in scope, and that do not require the professional judgment of a registered nurse or a licensed practical nurse; provided, however, that nothing contained herein shall limit the right of any person to act pursuant to [former] § 1703(e)(7) of this title [repealed], or persons employed in similar positions in the offices of podiatrists or dentists without being licensed under this chapter;

(10) The administration of prescription or nonprescription medication by child care providers who have successfully completed a state-approved medication training program and who administer the medication to a child in child day care homes or child day care centers regulated by the State under The Delaware Child Care Act, Chapter 30A of Title 14.

a. Medication may be administered under this paragraph (a)(10) if the child's parent or legal guardian provides all of the following:

1. Written permission for the administration of the medication.

2. The medication in its original container, properly labeled.

b. Properly labeled medication must include instructions for administration of the medication;

(11) Nursing services rendered by a graduate of a State Board of Nursing approved school of professional or practical nursing working under supervision, pending results of the first licensing examination. The Board shall establish the procedure and extent to which subsequent examinations may be taken and the length of time and the character of nursing service which may be rendered pending subsequent examinations;

(12) The practice of any currently licensed registered nurse or licensed practical nurse of another state who provides or attends educational programs or provides consultative services within this State not to exceed 14 days in any calendar year. Neither the education nor consultation may include the provision of patient care, the direction of patient care or the affecting of patient care policies.

(13) Educators, coaches, or persons hired or contracted by schools serving students in kindergarten through grade 12 who assist students with medications that are self-administered during school field trips and approved school activities outside the traditional school day or off-campus that have completed a Board of Nursing approved training course developed by the Delaware Department of Education;

(14) Attendants providing basic and ancillary services defined and regulated by the Department of Health and Social Services in conformity with the Community-Based Attendant Services Act, Chapter 94 of Title 16;

(15) A competent individual who does not reside in a medical facility or a facility regulated pursuant to Chapter 11 of Title 16, may delegate to unlicensed persons performance of health-care acts, unless of a nature excluded by the Board through regulations, provided:

a. The acts are those individuals could normally perform themselves but for functional limitations; and

b. The delegation decision is entirely voluntary.

c. Nothing contained herein shall diminish any legal or contractual entitlement to receive health-care services from licensed or certified personnel;

(16) The limited lay administration of medications pursuant to § 1932 of this title.

(17) a. The administration of prescription or nonprescription medications by a direct care worker to an adult individual who resides in the adult individual's own residence if the administration is authorized by a responsible caregiver and all of the following apply:

1. The responsible caregiver prepackages the medication to be given by the direct care worker by date and time and provides the direct care worker with written instructions regarding the administration procedure. Each medication must be packaged separately and labeled with the medication name and dosage. The responsible caregiver may decide which medication is to be given by the direct care worker to the adult individual.

2. The responsible caregiver and a personal assistance services agency enter into an agreement regarding the administration of medication under this paragraph (a)(17). The agreement must include confirmation by the responsible caregiver that both the medication to be administered and the process for administering the medication are safe and appropriate.

b. For purposes of this paragraph (a)(17):

1. "Direct care worker" means an individual employed by or under contract to a personal assistance services agency to provide a consumer with personal care services, companion services, homemaker services, transportation services, and those services authorized by this paragraph (a)(17).

2. "Residence" means a dwelling considered to be home by an adult individual. "Residence" does not include any facility licensed by the Department of Health and Social Services under Title 16 or Title 29.

3. "Responsible caregiver" means an individual 18 years old or older who is the primary caretaker for an adult individual.

c. This paragraph (a)(17) applies to the administration of prescription or nonprescription medications by any route except the following:

1. Injection.

2. Intravenous therapy.

3. Through the rectum or vagina.

4. Through a catheter.

5. Through a feeding tube, including nasogastric, gastrostomy, or jejunostomy tubes.

d. This paragraph (a)(17) does not apply to the administration of a controlled substance listed on Schedule II or IV under subchapter II of Chapter 47 of Title 16.

e. A direct care worker may administer medication under this paragraph (a)(17) only if the worker has successfully completed an administration of medications training program approved by the Secretary of Department of Health and Social Services.

f. A direct care worker who administers medication under this paragraph (a)(17) shall document, in writing, the medications administered by the worker and provide the documentation to the personal assistance services agency that employs the worker. The personal assistance services agency shall retain the documentation and make it available to the Secretary of Department of Health and Social Services for inspection on request.

g. A personal assistance services agency, or its employees or authorized agents, is not liable for the death of or injury to an adult individual caused by an act or omission of a direct care worker under this paragraph (a)(17), unless the adult individual's death or injury was caused in part or solely by the negligence of the personal assistance services agency, or its employees or authorized agents.

h. In any action for negligence based upon a claim of a failure to adequately train or instruct a direct care worker, it is a defense that the direct care worker was given and following instructions provided under this paragraph (a)(17) of this section.

(b) Persons involved in the rendering of electrolysis treatments shall be eligible for licensing under this chapter regardless of whether the applicant is in compliance with § 1910(6) of this title, or § 1914(6) of this title, so long as such applicants are in compliance with either § 1910(1)-(5) or § 1914(1)-(5) of this title.

(24 Del. C. 1953, § 1920; 54 Del. Laws, c. 153; 57 Del. Laws, c. 634; 57 Del. Laws, c. 668, §§ 9, 10; 64 Del. Laws, c. 26, § 1; 65 Del. Laws, c. 466, § 1; 66 Del. Laws, c. 21, §§ 1, 2; 68 Del. Laws, c. 93, §§ 1-3; 68 Del. Laws, c. 162, § 2; 71 Del. Laws, c. 478, § 2; 73 Del. Laws, c. 32, § 1; 73 Del. Laws, c. 41, § 1; 73 Del. Laws, c. 193, § 3; 74 Del. Laws, c. 260, § 1; 77 Del. Laws, c. 220, § 1; 78 Del. Laws, c. 340, § 1; 80 Del. Laws, c. 83, § 2; 81 Del. Laws, c. 299, § 1; 82 Del. Laws, c. 42, § 1; 82 Del. Laws, c. 184, §§ ? 2, 3.)

§ 1922 Disciplinary proceedings; appeal.

(a) *Grounds.* — The Board may impose any of the following sanctions (subsection (b) of this section) singly or in combination when it finds a licensee or former licensee is guilty of any offense described herein, except that the license of any licensee who is convicted of a felony sexual offense shall be permanently revoked:

(1) Is guilty of fraud or deceit in procuring or attempting to procure a license to practice nursing; or

(2) Has been convicted of a crime that is substantially related to the practice of nursing; or

(3) Is unfit or incompetent by reason of negligence, habits, or other causes; or

(4) Is habitually intemperate or is addicted to the use of habit-forming drugs; or

(5) Is mentally incompetent; or

(6) Has a physical condition such that the performance of nursing service is or may be injurious or prejudicial to patients or to the public; or

(7) Has had a license to practice as a registered nurse or licensed practical nurse suspended or revoked in any jurisdiction; or

(8) Is guilty of unprofessional conduct or the wilful neglect of a patient; or

(9) Has wilfully or negligently violated this chapter; or

(10) Has failed to report child abuse or neglect as required by § 903 of Title 16, or any successor thereto; or

(11) Has failed to report to the Division of Professional Regulation as required by §§ 1930 and 1930A of this title; or

(12) Has engaged in conversion therapy with a child; or

(13) Has referred a child to a provider in another jurisdiction to receive conversion therapy.

(b) *Disciplinary sanctions.* — (1) Permanently revoke a license to practice.

(2) Suspend a license.

(3) Censure a licensee.

(4) Issue a letter of reprimand.

(5) Place a licensee on probationary status and require the licensee to do 1 or more of the following:

a. Report regularly to the Board upon the matters which are the basis of probation.

b. Limit practice to those areas prescribed by the Board.

c. Continue or renew professional education until satisfactory degree of skill has been attained in those areas which are the basis of the probation.

(6) Refuse a license.

(7) Refuse to renew a license.

(8) Impose a monetary penalty not to exceed $500 for each violation.

(9) Take any other disciplinary action.

(c) *Procedure.* — (1) When a complaint is filed pursuant to § 8735 of Title 29, alleging a violation of this chapter, the complaint shall be received and investigated by the Division of Professional Regulation and the Division shall be responsible for issuing a final written report

at the conclusion of its investigation.

(2) The Board shall cause a copy of the complaint, together with a notice of the time and place fixed for the hearing to be served upon the practitioner at least 20 days before the date fixed for the hearing. In cases where the practitioner cannot be located or where the personal service cannot be effectuated, substitute service shall be effected in the same manner as with civil litigation.

(3) In all proceedings under this chapter:

a. The accused may be represented by counsel who shall have the right of examination and cross-examination.

b. The accused and the Board may subpoena witnesses. Subpoenas shall be issued by the President or the Vice-President of the Board upon written request and shall be served as provided by the rules of the Superior Court and shall have like effect as a subpoena issued by said Court.

c. Testimony before the Board shall be under oath. Any member of the Board shall have power to administer oaths for this purpose.

d. A stenographic record of the hearing shall be made by a qualified court reporter. At the request and expense of any party such record shall be transcribed with a copy to the other party.

e. The decision of the Board shall be based upon sufficient legal evidence. If the charges are supported by such evidence, the Board may refuse to issue, or revoke or suspend a license, or otherwise discipline a licensee. A suspended license may be reissued upon a further hearing initiated at the request of the suspended licensee by written application in accordance with the rules of the Board.

f. All decisions of the Board shall be final and conclusive. Where the practitioner is in disagreement with the action of the Board, the practitioner may appeal the Board's decision to the Superior Court within 30 days of service or of the postmarked date of the copy of the decision mailed to the practitioner. The appeal shall be on the record to the Superior Court and shall be as provided in §§ 10142-10145 of Title 29.

g. Upon reaching its conclusion of law and determining an appropriate disciplinary action, if any, the Board shall issue a written decision and order in accordance with § 10128 of Title 29. The order must restate the factual findings, but need not summarize the evidence presented. However, notwithstanding the provisions of § 10128(c) of Title 29, the decision and order, including an order issued pursuant to § 1923 of this title, may be issued over the signature of only the President or other officer of the Board. The decision and order must be sent by certified mail, return receipt requested, to the person complained about, with a copy to the Executive Director.

(d) The Board may not impose any sanction pursuant to subsection (b) of this section for the performance, recommendation, or provision of any "healthcare services," as defined in § 1702 of this title, that is lawful in this State even if such performance, recommendation, or provision is for a person who resides in a state where such performance, recommendation, or provision is illegal or considered to be unprofessional conduct or the unauthorized practice of nursing.

(e) *Letters of Concern*. —

(1) If the Board determines after an investigation that a violation of this chapter or of the regulations enacted pursuant to this chapter warranting formal disciplinary action has not occurred, but that an act or omission of the licensee is a matter of concern and that licensee's practice may be improved if the licensee is made aware of the concern, the Board may issue a nondisciplinary confidential letter of concern regarding the licensee's act or omission.

(2) If a licensee receives a total of 3 letters of concern under this section, the Board may hold a hearing to assess the licensee's professional competency to protect the health, safety, and welfare of the public. At such hearing, all of the licensee's letters of concern may be deemed no longer confidential and may be admitted into evidence.

(24 Del. C. 1953, § 1921; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 65 Del. Laws, c. 221, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 482, §§ 21, 22, 29; 74 Del. Laws, c. 262, § 36; 77 Del. Laws, c. 420, § 3; 78 Del. Laws, c. 35, §§ 5, 6; 81 Del. Laws, c. 80, § 10; 81 Del. Laws, c. 340, § 5; 83 Del. Laws, c. 111, § 2; 83 Del. Laws, c. 327, § 2; 85 Del. Laws, c. 102, § 4; 85 Del. Laws, c. 173, § 1.)

§ 1923 Temporary suspension; pending hearing.

In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(68 Del. Laws, c. 153, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 482, § 24; 79 Del. Laws, c. 213, § 2.)

§ 1924 Unlawful practices.

(a) No person shall practice or offer to practice professional, practical, or advanced practice registered nursing or shall represent oneself as a registered nurse, practical nurse, or advanced practice registered nurse in this State, or shall use any title, abbreviation, sign, card or device to indicate that such person is a registered nurse, licensed practical nurse, or advanced practice registered nurse, unless such person is licensed under this chapter.

(b) No person, hospital or institution shall conduct or shall offer to conduct a professional or practical nursing education program unless such person, hospital or institution is approved under this chapter.

(24 Del. C. 1953, § 1923; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 70 Del. Laws, c. 186, § 1; 83 Del. Laws, c. 111, § 2; 84 Del. Laws, c. 42, § 1.)

§ 1925 Penalties.

Whoever shall:

(1) Sell or fraudulently obtain or furnish any nursing diploma, license or renewal, or record of the same, or aid or abet therein; or

(2) Practice professional or practical nursing and/or hold or represent himself or herself as a registered or licensed practical nurse under cover of any diploma, license or record illegally or fraudulently obtained, signed or issued; or

(3) Practice professional or practical nursing unless licensed under this chapter; or

(4) Use, in connection with that person's name any designation tending to imply that the person is a registered or practical nurse, unless licensed under this chapter; or

(5) Practice professional or practical nursing when that person's license is suspended or revoked; or

(6) Conduct a professional or practical nursing education program which has not been approved by the Board; or

(7) Knowingly employ a graduate of a professional or practical nursing program or a registered nurse or a practical nurse to engage in the practice of nursing without a valid temporary permit or license from the Board; or

(8) Violate standards of nursing practice as adopted by the Board;

shall be fined not more than $1,000, or be imprisoned not more than 1 year, or both.

(24 Del. C. 1953, § 1924; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 104, § 1.)

§ 1926 Status of present Board members.

Each member of the Board of Nursing on July 1, 1983, becomes a member of the Board created by this chapter until the expiration of the term which that member was serving on that date. Any vacancy occurring in the membership of the former Board shall be filled in the manner provided in this chapter.

(24 Del. C. 1953, § 1925; 54 Del. Laws, c. 153; 64 Del. Laws, c. 26, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1927 Prescription requirements.

An APRN licensed by the Board may prescribe, order, procure, administer, store, dispense and furnish over the counter, legend and controlled substances pursuant to applicable state and federal laws and within the APRN's role and population focus.

(1) Written, verbal or electronic prescriptions and orders shall comply with all applicable state and federal laws.

(2) All prescriptions shall be clearly written, clearly hand-printed, electronically printed, or typed and shall include, but not be limited to, the following information:

a. The name, title, address, phone number, and registration number of the prescriber;

b. Name of patient;

c. Date of prescription;

d. Full name of the drug, dosage, route, amount to be dispensed and directions for its use;

e. Number of refills;

f. Signature of prescriber on written prescription;

g. DEA number of the prescriber on all scheduled drugs.

(3) APRNs may receive, sign for, record and distribute samples to patients. Distribution of drug samples shall be in accordance with state law and federal Drug Enforcement Administration laws, regulations and guidelines.

(4) Notwithstanding any other provision of this section or any other law to the contrary, no person licensed under this chapter shall issue any prescription unless such prescription is made by electronic prescription from the person issuing the prescription to a pharmacy in accordance with regulations established by the Board, except for prescriptions issued:

a. By a veterinarian.

b. In circumstances where electronic prescribing is not available due to temporary technological or electrical failure, as set forth in regulation established by the Board.

c. By a practitioner to be dispensed by a pharmacy located outside the State, as set forth in regulations established by the Board.

d. When the prescriber and dispenser are the same entity.

e. That include elements that are not supported by the most recently implemented version of the National Council for Prescription Drug Programs Prescriber/Pharmacist Interface SCRIPT Standard.

f. By a practitioner for a drug that the Federal Food and Drug Administration requires the prescription to contain certain elements that are not able to be prescribed with electronic prescribing.

g. By a practitioner allowing for the dispensing of a nonpatient specific prescription pursuant to a standing order, approved protocol for drug therapy, collaborative drug management or comprehensive medication management, in response to a public health emergency, or other circumstances where the practitioner may issue a nonpatient specific prescription.

h. By a practitioner prescribing a drug under a research protocol.

i. By practitioners who have received a waiver or a renewal thereof for a specified period determined by the Board, not to exceed 1 year, from the requirement to use electronic prescribing, pursuant to regulations established by the Board, due to economic hardship, technological limitations that are not reasonably within the control of the practitioner, or other exceptional circumstance demonstrated by the practitioner.

j. By a practitioner under circumstances where, notwithstanding the practitioner's present ability to make an electronic prescription as required by this subsection, such practitioner reasonably determines that it would be impractical for the patient to obtain substances prescribed by electronic prescription in a timely manner, and such delay would adversely impact the patient's medical condition.

(5) A pharmacist who receives a written, oral or faxed prescription is not required to verify that the prescription properly falls under 1 of the exceptions under paragraph (4) of this section, from the requirement to electronically prescribe. Pharmacists may continue to dispense medications from otherwise valid written, oral or fax prescriptions that are otherwise legal.

(75 Del. Laws, c. 161, § 6; 80 Del. Laws, c. 171; 82 Del. Laws, c. 75, § 4.)

§ 1928 Criminal background checks of registered nurses.

An applicant for licensure to practice as a registered nurse shall submit, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

(1) A report of the individual's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

(2) A report of the individual's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Board of Nursing shall be the screening point for the receipt of said federal criminal history records.

(75 Del. Laws, c. 325, § 1.)

§ 1929 Criminal background checks of licensed practical nurses.

An applicant for licensure to practice as a licensed practical nurse shall submit, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

(1) A report of the individual's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

(2) A report of the individual's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Board of Nursing shall be the screening point for the receipt of said federal criminal history records.

(75 Del. Laws, c. 325, § 2.)

§ 1930 Duty to report conduct that constitutes grounds for discipline or inability to practice.

(a) Every person to whom a license to practice has been issued under this chapter has a duty to report to the Division of Professional Regulation in writing information that the licensee reasonably believes indicates that any other practitioner licensed under this chapter, or any other health-care provider, has engaged in or is engaging in conduct that would constitute grounds for disciplinary action under this chapter or the other health-care provider's licensing statute.

(b) Every person to whom a license to practice has been issued under this chapter has a duty to report to the Division of Professional Regulation in writing information that the licensee reasonably believes indicates that any other practitioner licensed under this chapter, or any other health-care provider, may be unable to practice with reasonable skill and safety to the public for any of the following reasons:

(1) Mental illness or mental incompetence.

(2) Physical illness, including deterioration through the aging process or loss of motor skill.

(3) Excessive abuse of drugs, including alcohol.

(c) Every person to whom a license to practice has been issued under this chapter has a duty to report to the Division of Professional Regulation any information that the reporting person reasonably believes indicates that a person certified and registered to practice medicine in this State is or may be guilty of unprofessional conduct or may be unable to practice medicine with reasonable skill or safety to patients by reason of: mental illness or mental incompetence; physical illness, including deterioration through the aging process or loss of motor skill; or excessive use or abuse of drugs, including alcohol.

(d) All reports required under subsections (a), (b) and (c) of this section must be filed within 30 days of becoming aware of such information. A person reporting or testifying in any proceeding as a result of making a report pursuant to this section is immune from claim, suit, liability, damages, or any other recourse, civil or criminal, so long as the person acted in good faith and without gross or wanton negligence; good faith being presumed until proven otherwise, and gross or wanton negligence required to be shown by the complainant.

(78 Del. Laws, c. 35, § 7; 84 Del. Laws, c. 86, § 5.)

§ 1930A Duty to self-report.

(a) A licensee shall self-report to the Board all of the following:

(1) Any arrest for, or the bringing of an indictment or information charging the licensee with, a crime substantially related to the practice of nursing as defined by the Board in its rules and regulations.

(2) The conviction of the licensee, including any verdict of guilty or plea of guilty or no contest, for any crime substantially related to the practice of nursing as defined by the Board in its rules and regulations.

(b) The report required by this section must be made in writing within 30 days of the date of the arrest, bringing of the indictment or information, or of the conviction.

(c) Failure to make a report required by this section constitutes grounds for discipline under § 1922 of this title.

(81 Del. Laws, c. 80, § 10.)

§ 1931 Treatment or examination of minors.

(a) A parent, guardian or other caretaker, or an adult staff member, shall be present when a person licensed under this chapter provides outpatient treatment to a minor patient who is disrobed or partially disrobed or during an outpatient physical examination involving the breasts, genitalia or rectum, regardless of sex of the licensed person and patient, except when rendering care during an emergency. When using an adult staff member to observe the treatment or examination, the adult staff member shall be of the same gender as the patient when practicable. The minor patient may decline the presence of a third person only with consent of a parent, guardian or other caretaker. The minor patient may request private consultation with the licensee without the presence of a third person after the physical examination.

(b) When a minor patient is to be disrobed, partially disrobed or will undergo a physical examination involving the breasts, genitalia or rectum, a person licensed under this chapter shall provide notice to the person providing consent to treatment of the rights under this section. The notice shall be provided in written form or be conspicuously posted in a manner in which minor patients and their parent, guardian or other caretaker are made aware of the notice. In circumstances in which the posting or the provision of the written notice would not convey the right to have a chaperone present, the person licensed shall use another means to ensure that the person understands the right under this section.

(c) For the purposes of this section, “minor” is defined as a person 15 years of age or younger, and “adult staff member” is defined as a person 18 years of age or older who is acting under the direction of the licensed person or the employer of the licensed person or who is otherwise licensed under this chapter.

(d) The person licensed under this chapter that provides outpatient treatment to a minor pursuant to this section shall, contemporaneously with such treatment, note in the child’s medical record the name of each person present when such treatment is being provided.

(79 Del. Laws, c. 169, § 5.)

§ 1932 Limited lay administration of medications.

(a) Individuals who have successfully completed a board-approved limited lay administration of medications training program may administer prescription or nonprescription medications to patients/residents/clients in the following settings:

(1) Residential child care facilities and day treatment programs regulated by the State under Title 31.

(2) All residential or day services for persons with intellectual disabilities regulated by the State under Chapter 79 of Title 29 and Chapter 11 of Title 16.

(3) Group homes for persons with psychiatric disabilities regulated by the State under Chapter 11 of Title 16 and other community support programs certified by the Division of Substance Abuse and Mental Health.

(4) Assisted living facilities regulated by the State under Chapter 11 of Title 16.

(5) Group homes established for persons with AIDS regulated by the State under Chapter 11 of Title 16.

(b) Medications must be in the original container and properly labeled.

(c) An annual report to the Board of Nursing, on a form developed by the Board of Nursing, must be submitted no later than August 1 of each year indicating compliance with the guidelines as set forth in the approved LLAM training program.

(80 Del. Laws, c. 83, § 3.)

§ 1933 Telemedicine [Repealed].

80 Del. Laws, c. 80, § 22; 82 Del. Laws, c. 261, §§ ?8, 16; repealed by 83 Del. Laws, c. 52, § 11, effective July 1, 2021.

§ 1934 Advanced Practice Registered Nurse Committee.

(a) The Advanced Practice Registered Nurse Committee’s (“Committee”) purpose is to:

(1) Recommend and draft regulations regarding the practice of advanced practice registered nurses.

(2)-(4) [Repealed.]

(b) The Committee shall have 9 members, appointed by the Board of Nursing, and consist of the following: 9 advanced practice registered nurses, including 2 certified nurse practitioners, 2 certified registered nurse anesthetists, 2 certified nurse midwives, 2 clinical nurse the specialists, and 1 at-large member from any of the 4 APRN roles. The Committee Chair must be 1 of the APRN members of the Board of Nursing and shall serve a term of 1 year. Subsequent terms may be served as long as the Chair remains a member of the Board of

Nursing.

(1)-(4) [Repealed.]

(c) Appointments shall be for 3-year terms, provided that the terms of newly-appointed members will be staggered so that no more than 5 appointments shall expire annually. Members may be appointed for less than 3 years to ensure that members' terms expire on a staggered basis.

(d) A majority of members appointed to the Committee shall constitute a quorum to conduct official business.

(e) A Committee member may be removed at any time for gross inefficiency, neglect of duty, malfeasance, misfeasance, or nonfeasance in office. A member who is absent from 3 consecutive committee meetings without good cause or who attends less than 50% of committee meetings in a calendar year shall be deemed in neglect of duty.

(f) The Committee shall:

(1) Draft rules and regulations regarding the practice of advanced practice registered nurses.

(2) Review emerging practices and advise the Board of Nursing on APRN licensure, the APRN Compact, and practice standards, including prescribing trends, and provide recommendations to the Board of Nursing regarding APRN practice.

(3), (4) [Repealed.]

(80 Del. Laws, c. 172, § 3; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 80, § 11; 83 Del. Laws, c. 111, § 2.)

§ 1935 Advanced Practice Registered Nurse (APRN) — Authority and duties.

(a) (1) The board of nursing grants full-practice and prescriptive authority upon the issuance of an APRN license.

(2) The Board may, by endorsement, license as an advanced practice registered nurse an applicant who is duly licensed as an advanced practice registered nurse or is entitled to perform similar services under a different title under the laws of another state or a territory of the United States or a foreign country if, in the opinion of the Board, the applicant meets the qualifications specified by its regulations for advanced practice registered nurses in this State.

(b) An APRN licensed by the Board of Nursing has full-practice authority and is authorized within the APRN's role and population foci to:

(1) Prescribe, procure, administer, store, dispense, and furnish over the counter, legend and controlled substances pursuant to applicable state and federal laws and within the APRN's role and population foci.

(2) Plan and initiate a therapeutic regimen within the APRN's role and population foci that includes ordering and prescribing nonpharmacological interventions, including:

a. Medical devices and durable medical equipment, nutrition, blood, and blood products.

b. Diagnostic and supportive services including home health care, hospice, and physical and occupational therapy.

(3) Diagnose, prescribe and institute therapy or referrals of patients within the APRN's role and population foci to health-care agencies, health-care providers and community resources.

(4) Sign death certificates.

(5) Terminate a human pregnancy in accordance with § 1790 of this title.

(c) APRNs shall seek consultation regarding treatment and care of patients as appropriate to patient needs and the APRN's level of expertise and scope of practice.

(d) An APRN may be designated as the primary care provider by an insurer or health-care services corporation.

(e) An APRN shall not be held to any lesser standard of care than that of a physician providing care to a specific patient condition or population.

(f) Any APRN rendering services in person or by electronic means in Delaware must hold an active Delaware RN and APRN license.

(g) [Repealed.]

(80 Del. Laws, c. 172, § 3; 81 Del. Laws, c. 80, § 12; 83 Del. Laws, c. 111, § 2; 83 Del. Laws, c. 327, § 2; 84 Del. Laws, c. 233, § 51.)

§ 1936 Collaborative agreements [Repealed].

80 Del. Laws, c. 172, § 3; 81 Del. Laws, c. 80, § 13; repealed by 83 Del. Laws, c. 111, § 2, effective Aug. 4, 2021.

§ 1937 Treatment records; discontinuation of a practice; termination of a patient relationship; death of an advanced practice registered nurse.

(a) (1) An APRN licensed under this chapter shall provide notice under this section to all affected patients no less than 30 days before doing any of the following:

a. Discontinuing a medical practice in this State when the APRN is not transferring patient records to another health-care provider in this State.

b. Terminating a patient relationship.

(2) The notice required under paragraph (a)(1) of this section must include all of the following:

a. How the patient can obtain the patient's records.

b. The name, phone number, and address of other health-care providers in the area who may be available to accept new patients who require that medical care.

c. The date the APRN will discontinue services.

(3) The notice required under paragraph (a)(1) of this section must be provided by all of the following:

a. If the patient is enrolled to receive messages through an electronic medical record system, an electronic message through that system.

b. A letter sent by first-class mail.

(4) When an APRN is closing a medical practice and patient medical records will no longer be available at the APRN's place of business, the APRN shall provide to the Board of Nursing notice of how former patients may obtain the patient's records.

(b) (1) If an APRN dies and has not transferred patient records to another health-care provider and has not made provisions for a transfer of patient records to occur upon the APRN's death, a personal representative of the APRN's estate shall provide notice to the deceased APRN's patients of record by doing all of the following:

a. Publishing a notice to that effect in a newspaper of general circulation in the area where the deceased APRN practiced. The notice must be published at least 1 time per month in the 3-month period after the APRN's death.

b. Providing notice to all patients of record who have not requested records 30 days after publication of the first notice under paragraph (b)(1)a. of this section by all of the following:

1. If the patient is enrolled to receive messages through an electronic medical record system, an electronic message through that system.

2. A letter sent by first-class mail.

(2) The notice required under paragraph (b)(1) of this section must include all of the following:

a. That the APRN has died.

b. How the patient can obtain the patient's records.

(3) The personal representative of the person's estate shall provide the Board of Nursing notice of how former patients may obtain the patient's records.

(c) (1) If a patient changes from the care of an APRN to another health-care provider, the APRN shall transfer the patient's records to the new health-care provider upon the request of the patient or the new health-care provider with the patient's written consent.

(2) If the patient and APRN agree, the APRN may forward a summary of the patient's record to the new health-care provider in lieu of transferring the entire record, at no charge to the patient.

(d) (1) Patients have the right to obtain a copy of their records from an APRN.

(2) Unless a patient is requesting a copy of their records under subsection (a) or (b) of this section or to make or complete an application for a disability benefits program, a patient who requests a copy of their records is subject to any of the following charges:

a. The reasonable expenses of copying the patient's records, according to the payment schedule under paragraph (d)(3) of this section.

b. The actual cost of postage or shipping, if the records are mailed or shipped.

c. Charges for copies of records not susceptible to photostatic reproduction, such as radiology films, models, photographs, or fetal monitoring strips, may be the full cost of the reproduction.

(3) The Delaware Board of Nursing shall establish a payment schedule for copies of patient records under this section and must review this payment schedule annually.

(4) The APRN or their third-party release-of-information service may require payment of all costs under paragraph (d)(2) of this section before providing the copies of the records.

(e) This section does not apply to an APRN who has seen or treated a patient on referral from another health-care provider and who has provided a copy of the record of the diagnosis or treatment to at least 1 of the following:

(1) The referring health-care provider.

(2) A hospital or an agency that has provided treatment for the patient.

(f) An APRN has 45 days from the closure of the record or the assembly of a complete record to fulfill a request for records, unless a faster response is medically necessary.

(g) (1) An APRN may permanently dispose of a patient's record in a manner that ensures confidentiality of the records 7 years after the following:

a. Discontinuing business in this State.

b. The last entry date in the patient's record after terminating the patient relationship or the patient changes from the care of the APRN to another health-care provider.

(2) Seven years after the death of an APRN, the APRN's personal representative may permanently dispose of patient records that have not been procured, in a manner that ensures confidentiality of the records.

(3) An APRN or the personal representative of the APRN who disposes of patient records in accordance with this section is not liable for any direct or indirect loss suffered as a result of the disposal of a patient's records.

(h) The Delaware Board of Nursing may find that an APRN who violates this section has committed unprofessional conduct, and any aggrieved patient or the patient's personal representative may bring a civil action for damages or injunctive relief, or both, against the violator.

(84 Del. Laws, c. 86, § 1.)

§ 1938 Appointment of a custodian of patient records.

(a) If the Delaware Board of Nursing receives a formal or informal complaint concerning access to patient records as a result of an APRN's physical or mental incapacity, death, or abandonment or involuntary discontinuation of a medical practice in this State, the Delaware Board of Nursing may temporarily or permanently appoint an individual or entity as custodian of the APRN's patient records after an investigation in accordance with the procedures under § 8735(h) of Title 29.

(b) (1) The custodian of patient records appointed under this section shall notify the APRN's patients of record of the custodian's appointment by doing all of the following:

a. Publishing a notice to that effect in a newspaper of general circulation in the area where the APRN practiced. The notice must be published at least 1 time per month in the 3-month period after the custodian's appointment and must explain how a patient can procure the patient's records.

b. Notifying, by first-class mail, all patients of record who have not requested their records 30 days after publication of the first notice under paragraph (b)(1)a. of this section that the custodian has been appointed and explaining how the patient can procure the patient's records.

(2) Seven years after being appointed, the custodian may permanently dispose of patient records that have not been procured, in a manner that ensures confidentiality of the records.

(c) A custodian of patient records appointed under this section who disposes of patient records in accordance with the provisions of this section is not liable for any direct or indirect loss suffered as a result of the disposal of a patient's records.

(84 Del. Laws, c. 86, § 1.)

§ 1939 License fee surcharge; use of proceeds; Delaware Nursing Advancement Fund.

(a) Starting January 1, 2027, in addition to the licensing fee for licensed practical nurses, registered nurses, and advanced practice registered nurses licensed under this chapter, the Division shall impose an additional surcharge of $10 on all initial and renewed licenses issued under this chapter. All receipts for surcharges under this subsection shall be credited to the Delaware Nursing Advancement Fund.

(b) Starting January 1, 2027, all disciplinary fines imposed by the Board under §§ 1922(b)(8) and 1925 of this title shall be credited to the Delaware Nursing Advancement Fund.

(c) The Delaware Nursing Advancement Fund is established as a special budgetary fund of this State. The Delaware Nursing Advancement Fund shall be administered by the Division.

(d) Monies in the fund may be used only to support the issuance of a single annual grant to the Delaware Nurses Workforce Institute or similar nonprofit to conduct the specific activities listed in subsection (f) of this section, including the reasonable costs associated with and the administration of the annual grant authorized under this section. No money from the fund may be used by a grant recipient towards administrative costs not associated with the specific activities listed in subsection (f) of this section. No money from this account may be used by the recipient toward lobbying.

(e) Interest earned by the Delaware Nursing Advancement Fund shall be credited to the fund by the Office of the State Treasurer on a monthly basis consistent with the rate established by the Cash Management Policy Board. Moneys in the fund are continuously appropriated to the Division of Professional Regulation to be used as specified in subsection (f) of this section and to compensate the Division for the reasonable costs associated with the administration of the annual grant authorized under this section. The grant will be awarded and distributed on an annual basis in the month of July. The first distribution after awarding the first grant shall be made no later than July 31, 2027.

(f) On an annual basis, the principal amount accrued in the fund shall be awarded to a Delaware nonprofit organization, exempt from taxation under § 501(c)(3) of the Internal Revenue Code [26 U.S.C. § 501(c)(3)], that does the following:

(1) Maintains information and conducts studies on the current and projected supply and demand of nurses through the analysis of data regarding the nursing workforce, including education level, employment settings, nursing positions, and type of employment.

(2) Facilitates partnerships between the nursing community and other health care providers, licensing authority, business and industry, legislators, and educators to achieve nursing workforce development policy consensus, promote diversity within the profession, and enhance nursing career mobility.

(3) Educates the public, including students in kindergarten through twelfth grade, about opportunities and careers in nursing.

(4) Conducts studies on the nursing workforce in this State, including workforce shortages in nursing education and practice.

(5) Distributes information free of charge about the nursing workforce in this State to residents of this State.

(g) The Division may adopt rules as necessary to implement this section.

(h) Beginning January 1, 2029, and every 2 years thereafter, the recipient of a grant from the Delaware Nursing Advancement Fund must submit to the Division of Professional Regulation, the Governor, the Chairs of the Joint Finance Committee, the Controller General, and the Librarian and Director of the Division of Legislative Services a report detailing how the grant money was spent and what work has been completed to date under the auspices of the grant.

(85 Del. Laws, c. 456, § 1.)

§ 1940 Dry needling practice; referral [Effective upon fulfillment of the contingency in 85 Del. Laws, c. 459, § 4].

(a) An APRN may perform dry needling, but may not advertise or in any other way hold themselves out as an acupuncturist unless the APRN is a licensed acupuncturist.

(b) The Board must establish, by rule and regulation, training and practice standards for dry needling by APRNs.

(85 Del. Laws, c. 459, § 2.)

Chapter 19A
Nurse Multistate Licensure Compact

§ 1901A Nurse Multistate Licensure Compact.

The State hereby enters into the Nurse Multistate Licensure Compact ("Compact") as set forth in this chapter. The text of the Compact is as set forth in this chapter.

(Repealed and reenacted by 81 Del. Laws, c. 50, § 1..)

§ 1902A Findings and declaration of purpose.

(a) The party states find the following:

(1) The health and safety of the public are affected by the degree of compliance with and the effectiveness of enforcement activities related to state nurse licensure laws.

(2) Violations of nurse licensure and other laws regulating the practice of nursing may result in injury or harm to the public.

(3) The expanded mobility of nurses and the use of advanced communication technologies as part of our nation's health-care delivery system require greater coordination and cooperation among states in the areas of nurse licensure and regulation.

(4) New practice modalities and technology make compliance with individual state nurse licensure laws difficult and complex.

(5) The current system of duplicative licensure for nurses practicing in multiple states is cumbersome and redundant for both nurses and states.

(6) Uniformity of nurse licensure requirements throughout the states promotes public safety and public health benefits.

(b) The general purposes of this Compact are to do the following:

(1) Facilitate the states' responsibility to protect the public's health and safety.

(2) Ensure and encourage the cooperation of party states in the areas of nurse licensure and regulation.

(3) Facilitate the exchange of information between party states in the areas of nurse regulation, investigation, and adverse actions.

(4) Promote compliance with the laws governing the practice of nursing in each jurisdiction.

(5) Invest all party states with the authority to hold a nurse accountable for meeting all state practice laws in the state in which the patient is located at the time care is rendered through the mutual recognition of party state licenses.

(6) Decrease redundancies in the consideration and issuance of nurse licenses.

(7) Provide opportunities for interstate practice by nurses who meet uniform licensure requirements.

(Repealed and reenacted by 81 Del. Laws, c. 50, § 1..)

§ 1903A Definitions.

As used in this Compact:

(a) "Adverse action" means any administrative, civil, equitable, or criminal action permitted by a state's laws which is imposed by a licensing board or other authority against a nurse, including actions against an individual's license or multistate licensure privilege such as revocation, suspension, probation, monitoring of the licensee, limitation on the licensee's practice, or any other encumbrance on licensure affecting a nurse's authorization to practice, including issuance of a cease and desist action.

(b) "Alternative program" means a nondisciplinary monitoring program approved by a licensing board.

(c) "Coordinated licensure information system" means an integrated process for collecting, storing, and sharing information on nurse licensure and enforcement activities related to nurse licensure laws that is administered by a nonprofit organization composed of and controlled by licensing boards.

(d) "Current significant investigative information" means 1 of the following:

(1) Investigative information that a licensing board, after a preliminary inquiry that includes notification and an opportunity for the nurse to respond, if required by state law, has reason to believe is not groundless and, if proved true, would indicate more than a minor infraction.

(2) Investigative information that indicates that the nurse represents an immediate threat to public health and safety regardless of whether the nurse has been notified and had an opportunity to respond.

(e) "Encumbrance" means a revocation or suspension of, or any limitation on, the full and unrestricted practice of nursing imposed by a licensing board.

(f) "Home state" means the party state that is the nurse's primary state of residence.

(g) "Licensing board" means a party state's regulatory body responsible for issuing nurse licenses.

(h) "Multistate license" means a license to practice as a registered or a licensed practical/vocational nurse (LPN/VN) issued by a home state licensing board that authorizes the licensed nurse to practice in all party states under a multistate licensure privilege.

(i) "Multistate licensure privilege" means a legal authorization associated with a multistate license permitting the practice of nursing as either a registered nurse (RN) or LPN/VN in a remote state.

(j) "Nurse" means RN or LPN/VN, as those terms are defined by each party state's practice laws.

(k) "Party state" means any state that has adopted this Compact.

(*l*) "Remote state" means a party state, other than the home state.

(m) "Single-state license" means a nurse license issued by a party state that authorizes practice only within the issuing state and does not include a multistate licensure privilege to practice in any other party state.

(n) "State" means a state, territory, or possession of the United States and the District of Columbia.

(o) "State practice laws" means a party state's laws, rules, and regulations that govern the practice of nursing, define the scope of nursing practice, and create the methods and grounds for imposing discipline. "State practice laws" do not include requirements necessary to obtain and retain a license, except for qualifications or requirements of the home state.

(Repealed and reenacted by 81 Del. Laws, c. 50, § 1..)

§ 1904A General provisions and jurisdiction.

(a) A multistate license to practice registered or licensed practical/vocational nursing issued by a home state to a resident in that state will be recognized by each party state as authorizing a nurse to practice as a registered nurse (RN) or as a licensed practical/vocational nurse (LPN/VN), under a multistate licensure privilege, in each party state.

(b) A state must implement procedures for considering the criminal history records of applicants for initial multistate license or licensure by endorsement. Such procedures shall include the submission of fingerprints or other biometric-based information by applicants for the purpose of obtaining an applicant's criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state's criminal records.

(c) Each party state shall require all of the following for an applicant to obtain or retain a multistate license in the home state:

(1) Meets the home state's qualifications for licensure or renewal of licensure, as well as, all other applicable state laws.

(2) Has (a) graduated or is eligible to graduate from a licensing board-approved RN or LPN/VN prelicensure education program; or (b) graduated from a foreign RN or LPN/VN prelicensure education program that (a) has been approved by the authorized accrediting body in the applicable country and (b) has been verified by an independent credentials review agency to be comparable to a licensing board-approved prelicensure education program.

(3) Has, if a graduate of a foreign prelicensure education program not taught in English or if English is not the individual's native language, successfully passed an English proficiency examination that includes the components of reading, speaking, writing, and listening.

(4) Has successfully passed an NCLEX Examination or recognized predecessor, as applicable.

(5) Is eligible for or holds an active, unencumbered license.

(6) Has submitted, in connection with an application for initial licensure or licensure by endorsement, fingerprints or other biometric data for the purpose of obtaining criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state's criminal records.

(7) Has not been convicted or found guilty, or has entered into an agreed disposition, of a felony offense under applicable state or federal criminal law.

(8) Has not been convicted or found guilty, or has entered into an agreed disposition, of a misdemeanor offense related to the practice of nursing as determined on a case-by-case basis.

(9) Is not currently enrolled in an alternative program.

(10) Is subject to self-disclosure requirements regarding current participation in an alternative program.

(11) Has a valid United States Social Security number.

(d) All party states shall be authorized, in accordance with existing state due process law, to take adverse action against a nurse's multistate licensure privilege such as revocation, suspension, probation, or any other action that affects a nurse's authorization to practice under a multistate licensure privilege, including cease and desist actions. If a party state takes such action, it shall promptly notify the administrator of the coordinated licensure information system. The administrator of the coordinated licensure information system shall promptly notify the home state of any such actions by remote states.

(e) A nurse practicing in a party state must comply with the state practice laws of the state in which the client is located at the time service is provided. The practice of nursing is not limited to patient care, but shall include all nursing practice as defined by the state practice laws of the party state in which the client is located. The practice of nursing in a party state under a multistate licensure privilege subjects a nurse to the jurisdiction of the licensing board, the courts, and the laws of the party state in which the client is located at the time service is provided.

(f) Individuals not residing in a party state shall continue to be able to apply for a party state's single-state license as provided under the laws of each party state. However, the single-state license granted to these individuals will not be recognized as granting the privilege to practice nursing in any other party state. Nothing in this Compact shall affect the requirements established by a party state for the issuance of a single-state license.

(g) Any nurse holding a home state multistate license, on January 20, 2018, may retain and renew the multistate license issued by the nurse's then-current home state, provided that:

(1) A nurse, who changes primary state of residence after January 20, 2018, must meet all applicable requirements under subsection (c) of this section to obtain a multistate license from a new home state.

(2) A nurse who fails to satisfy the multistate licensure requirements in subsection (c) of this section due to a disqualifying event

occurring after January 20, 2018, shall be ineligible to retain or renew a multistate license, and the nurse's multistate license shall be revoked or deactivated in accordance with applicable rules adopted by the Interstate Commission of Nurse Licensure Compact Administrators ("Commission").

(Repealed and reenacted by 81 Del. Laws, c. 50, § 1..)

§ 1905A Applications for licensure in a party state.

(a) Upon application for a multistate license, the licensing board in the issuing party state shall ascertain, through the coordinated licensure information system, whether the applicant has ever held, or is the holder of, a license issued by any other state, whether there are any encumbrances on any license or multistate licensure privilege held by the applicant, whether any adverse action has been taken against any license or multistate licensure privilege held by the applicant, and whether the applicant is currently participating in an alternative program.

(b) A nurse may hold a multistate license, issued by the home state, in only 1 party state at a time.

(c) If a nurse changes primary state of residence by moving between 2 party states, the nurse must apply for licensure in the new home state, and the multistate license issued by the prior home state will be deactivated in accordance with applicable rules adopted by the Commission.

(1) The nurse may apply for licensure in advance of a change in primary state of residence.

(2) A multistate license shall not be issued by the new home state until the nurse provides satisfactory evidence of a change in primary state of residence to the new home state and satisfies all applicable requirements to obtain a multistate license from the new home state.

(d) If a nurse changes primary state of residence by moving from a party state to a nonparty state, the multistate license issued by the prior home state will convert to a single-state license, valid only in the former home state.

(Repealed and reenacted by 81 Del. Laws, c. 50, § 1..)

§ 1906A Additional authorities invested in party state licensing boards.

(a) In addition to the other powers conferred by state law, a licensing board shall have the authority to:

(1) Take adverse action against a nurse's multistate licensure privilege to practice within that party state.

a. Only the home state shall have the power to take adverse action against a nurse's license issued by the home state.

b. For purposes of taking adverse action, the home state licensing board shall give the same priority and effect to reported conduct received from a remote state as it would if such conduct had occurred within the home state. In so doing, the home state shall apply its own state laws to determine appropriate action.

(2) Issue cease and desist orders or impose an encumbrance on a nurse's authority to practice within that party state.

(3) Complete any pending investigations of a nurse who changes primary state of residence during the course of such investigations. The licensing board shall also have the authority to take appropriate action and shall promptly report the conclusions of such investigations to the administrator of the coordinated licensure information system. The administrator of the coordinated licensure information system shall promptly notify the new home state of any such actions.

(4) Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses, as well as, the production of evidence. Subpoenas issued by a licensing board in a party state for the attendance and testimony of witnesses or the production of evidence from another party state shall be enforced in the latter state by any court of competent jurisdiction according to the practice and procedure of that court applicable to subpoenas issued in proceedings pending before it. The issuing authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the state in which the witnesses or evidence are located.

(5) Obtain and submit, for each nurse licensure applicant, fingerprint or other biometric-based information to the Federal Bureau of Investigation for criminal background checks, receive the results of the Federal Bureau of Investigation record search on criminal background checks, and use the results in making licensure decisions.

(6) If otherwise permitted by state law, recover from the affected nurse the costs of investigations and disposition of cases resulting from any adverse action taken against that nurse.

(7) Take adverse action based on the factual findings of the remote state, provided that the licensing board follows its own procedures for taking such adverse action.

(b) If adverse action is taken by the home state against a nurse's multistate license, the nurse's multistate licensure privilege to practice in all other party states shall be deactivated until all encumbrances have been removed from the multistate license. All home state disciplinary orders that impose adverse action against a nurse's multistate license shall include a statement that the nurse's multistate licensure privilege is deactivated in all party states during the pendency of the order.

(c) Nothing in this Compact shall override a party state's decision that participation in an alternative program may be used in lieu of adverse action. The home state licensing board shall deactivate the multistate licensure privilege under the multistate license of any nurse for the duration of the nurse's participation in an alternative program.

(Repealed and reenacted by 81 Del. Laws, c. 50, § 1..)

§ 1907A Coordinated licensure information system and exchange of information.

(a) All party states shall participate in a coordinated licensure information system of all licensed registered nurses (RNs) and licensed

practical/vocational nurses (LPNs/VNs). This system will include information on the licensure and disciplinary history of each nurse, as submitted by party states, to assist in the coordination of nurse licensure and enforcement efforts.

(b) The Commission, in consultation with the administrator of the coordinated licensure information system, shall formulate necessary and proper procedures for the identification, collection, and exchange of information under this Compact.

(c) All licensing boards shall promptly report to the coordinated licensure information system any adverse action; any current significant investigative information; denials of applications, with the reasons for such denials; and nurse participation in alternative programs known to the licensing board regardless of whether such participation is deemed nonpublic or confidential under state law.

(d) Current significant investigative information and participation in nonpublic or confidential alternative programs shall be transmitted through the coordinated licensure information system only to party state licensing boards.

(e) Notwithstanding any other provision of law, all party state licensing boards contributing information to the coordinated licensure information system may designate information that may not be shared with nonparty states or disclosed to other entities or individuals without the express permission of the contributing state.

(f) Any personally identifiable information obtained from the coordinated licensure information system by a party state licensing board shall not be shared with nonparty states or disclosed to other entities or individuals except to the extent permitted by the laws of the party state contributing the information.

(g) Any information contributed to the coordinated licensure information system that is subsequently required to be expunged by the laws of the party state contributing that information shall also be expunged from the coordinated licensure information system.

(h) The Compact administrator of each party state shall furnish a uniform data set to the Compact administrator of each other party state, which shall include, at a minimum all of the following:

(1) Identifying information.

(2) Licensure data.

(3) Information related to alternative program participation.

(4) Other information that may facilitate the administration of this Compact, as determined by Commission rules.

(i) The Compact administrator of a party state shall provide all investigative documents and information requested by another party state.

(Repealed and reenacted by 81 Del. Laws, c. 50, § 1..)

§ 1908A Establishment of the Interstate Commission of Nurse Licensure Compact Administrators.

(a) The party states hereby create and establish a joint public entity known as the Interstate Commission of Nurse Licensure Compact Administrators (“Commission”).

(1) The Commission is an instrumentality of the party states.

(2) Venue is proper, and judicial proceedings by or against the Commission shall be brought solely and exclusively, in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings.

(3) Nothing in this Compact shall be construed to be a waiver of sovereign immunity.

(b) *Membership, voting, and meetings. —*

(1) Each party state shall have and be limited to 1 administrator. The head of the state licensing board or designee shall be the administrator of this Compact for each party state. Any administrator may be removed or suspended from office as provided by the law of the state from which the administrator is appointed. Any vacancy occurring in the Commission shall be filled in accordance with the laws of the party state in which the vacancy exists.

(2) Each administrator shall be entitled to 1 vote with regard to the promulgation of rules and creation of bylaws and shall otherwise have an opportunity to participate in the business and affairs of the Commission. An administrator shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for an administrator’s participation in meetings by telephone or other means of communication.

(3) The Commission shall meet at least once during each calendar year. Additional meetings shall be held as set forth in the bylaws or rules of the Commission.

(4) All meetings shall be open to the public and public notice of meetings shall be given in the same manner as required under the rulemaking provisions in § 1909A of this title.

(5) The Commission may convene in a closed, nonpublic meeting if the Commission must discuss any of the following:

a. Noncompliance of a party state with its obligations under this Compact.

b. The employment, compensation, discipline, or other personnel matters, practices, or procedures related to specific employees or other matters related to the Commission’s internal personnel practices and procedures.

c. Current, threatened, or reasonably anticipated litigation.

d. Negotiation of contracts for the purchase or sale of goods, services, or real estate.

e. Accusing any person of a crime or formally censuring any person.

f. Disclosure of trade secrets or commercial or financial information that is privileged or confidential.

g. Disclosure of information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy.

h. Disclosure of investigatory records compiled for law enforcement purposes.

i. Disclosure of information related to any reports prepared by or on behalf of the Commission for the purpose of investigation of compliance with this Compact.

j. Matters specifically exempted from disclosure by federal or state statute.

(6) If a meeting, or portion of a meeting, is closed pursuant to this provision, the Commission's legal counsel or designee shall certify that the meeting may be closed and shall reference each relevant exempting provision. The Commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, and the reasons therefor, including a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release by a majority vote of the Commission or order of a court of competent jurisdiction.

(c) The Commission shall, by a majority vote of the administrators, prescribe bylaws or rules to govern its conduct as may be necessary or appropriate to carry out the purposes and exercise the powers of this Compact, including all of the following:

(1) Establishing the fiscal year of the Commission.

(2) Providing reasonable standards and procedures for the following:

a. The establishment and meetings of other committees.

b. Governing any general or specific delegation of any authority or function of the Commission.

(3) Providing reasonable procedures for calling and conducting meetings of the Commission, ensuring reasonable advance notice of all meetings, and providing an opportunity for attendance of such meetings by interested parties, with enumerated exceptions designed to protect the public's interest, the privacy of individuals, and proprietary information, including trade secrets. The Commission may meet in closed session only after a majority of the administrators vote to close a meeting in whole or in part. As soon as practicable, the Commission must make public a copy of the vote to close the meeting revealing the vote of each administrator, with no proxy votes allowed.

(4) Establishing the titles, duties, and authority, and reasonable procedures for the election of the officers of the Commission.

(5) Providing reasonable standards and procedures for the establishment of the personnel policies and programs of the Commission. Notwithstanding any civil service or other similar laws of any party state, the bylaws shall exclusively govern the personnel policies and programs of the Commission.

(6) Providing a mechanism for winding up the operations of the Commission and the equitable disposition of any surplus funds that may exist after the termination of this Compact after the payment or reserving of all of its debts and obligations.

(d) The Commission shall publish its bylaws and rules, and any amendments thereto, in a convenient form on the website of the Commission.

(e) The Commission shall maintain its financial records in accordance with the bylaws.

(f) The Commission shall meet and take such actions as are consistent with the provisions of this Compact and the bylaws.

(g) The Commission shall have the following powers:

(1) To promulgate uniform rules to facilitate and coordinate implementation and administration of this Compact. The rules shall have the force and effect of law and shall be binding in all party states.

(2) To bring and prosecute legal proceedings or actions in the name of the Commission, provided that the standing of any licensing board to sue or be sued under applicable law shall not be affected.

(3) To purchase and maintain insurance and bonds.

(4) To borrow, accept, or contract for services of personnel, including employees of a party state or nonprofit organizations.

(5) To cooperate with other organizations that administer state compacts related to the regulation of nursing, including sharing administrative or staff expenses, office space, or other resources.

(6) To hire employees, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of this Compact, and to establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters.

(7) To accept any and all appropriate donations, grants, and gifts of money, equipment, supplies, materials, and services and to receive, utilize, and dispose of the same; provided that at all times the Commission shall avoid any appearance of impropriety or conflict of interest.

(8) To lease, purchase, accept appropriate gifts, or donations of, or otherwise to own, hold, improve, or use any property, whether real, personal, or mixed; provided that at all times the Commission shall avoid any appearance of impropriety.

(9) To sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property, whether real, personal, or mixed.

(10) To establish a budget and make expenditures.

(11) To borrow money.

(12) To appoint committees, including advisory committees comprised of administrators, state nursing regulators, state legislators or their representatives, and consumer representatives, and other such interested persons.

(13) To provide and receive information from, and to cooperate with, law enforcement agencies.

(14) To adopt and use an official seal.

(15) To perform such other functions as may be necessary or appropriate to achieve the purposes of this Compact consistent with the state regulation of nurse licensure and practice.

(h) *Financing of the Commission. —*

(1) The Commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.

(2) The Commission may also levy on and collect an annual assessment from each party state to cover the cost of its operations, activities, and staff in its annual budget as approved each year. The aggregate annual assessment amount, if any, shall be allocated based upon a formula to be determined by the Commission, which shall promulgate a rule that is binding upon all party states.

(3) The Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the Commission pledge the credit of any of the party states, except by, and with the authority of, such party state.

(4) The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission shall be subject to the audit and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Commission shall be audited yearly by a certified or licensed public accountant, and the report of the audit shall be included in and become part of the annual report of the Commission.

(i) *Qualified immunity, defense, and indemnification. —*

(1) The administrators, officers, executive director, employees, and representatives of the Commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred, within the scope of Commission employment, duties, or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit or liability for any damage, loss, injury, or liability caused by the intentional, wilful, or wanton misconduct of that person.

(2) The Commission shall defend any administrator, officer, executive director, employee, or representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining his or her own counsel; and provided further that the actual or alleged act, error, or omission did not result from that person's intentional, wilful or wanton misconduct.

(3) The Commission shall indemnify and hold harmless any administrator, officer, executive director, employee, or representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional, wilful, or wanton misconduct of that person.

(Repealed and reenacted by 81 Del. Laws, c. 50, § 1; 70 Del. Laws, c. 186, § 1.)

§ 1909A Rulemaking.

(a) The Commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Rules and amendments shall become binding as of the date specified in each rule or amendment and shall have the same force and effect as provisions of this Compact.

(b) Rules or amendments to the rules shall be adopted at a regular or special meeting of the Commission.

(c) Prior to promulgation and adoption of a final rule or rules by the Commission, and at least 60 days in advance of the meeting at which the rule will be considered and voted upon, the Commission shall file a notice of proposed rulemaking as follows:

(1) On the website of the Commission.

(2) On the website of each licensing board or the publication in which each state would otherwise publish proposed rules.

(d) The notice of proposed rulemaking shall include the following:

(1) The proposed time, date, and location of the meeting in which the rule will be considered and voted upon.

(2) The text of the proposed rule or amendment, and the reason for the proposed rule.

(3) A request for comments on the proposed rule from any interested person.

(4) The manner in which interested persons may submit notice to the Commission of their intention to attend the public hearing and any written comments.

(e) Prior to adoption of a proposed rule, the Commission shall allow persons to submit written data, facts, opinions, and arguments, which shall be made available to the public.

(f) The Commission shall grant an opportunity for a public hearing before it adopts a rule or amendment.

(g) The Commission shall publish the place, time, and date of the scheduled public hearing.

(1) Hearings shall be conducted in a manner providing each person who wishes to comment a fair and reasonable opportunity to comment orally or in writing. All hearings will be recorded, and a copy will be made available upon request.

(2) Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience

of the Commission at hearings required by this section.

(h) If no one appears at the public hearing, the Commission may proceed with promulgation of the proposed rule.

(i) Following the scheduled hearing date, or by the close of business on the scheduled hearing date if the hearing was not held, the Commission shall consider all written and oral comments received.

(j) The Commission shall, by majority vote of all administrators, take final action on the proposed rule and shall determine the effective date of the rule, if any, based on the rulemaking record and the full text of the rule.

(k) Upon determination that an emergency exists, the Commission may consider and adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided that the usual rulemaking procedures provided in this Compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an emergency rule is 1 that must be adopted immediately in order to do any of the following:

(1) Meet an imminent threat to public health, safety, or welfare.

(2) Prevent a loss of Commission or party state funds.

(3) Meet a deadline for the promulgation of an administrative rule that is required by federal law or rule.

(*l*) The Commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the Commission. The revision shall be subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing, and delivered to the Commission, prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.

(Repealed and reenacted by 81 Del. Laws, c. 50, § 1..)

§ 1910A Oversight, dispute resolution, and enforcement.

(a) *Oversight*.

(1) Each party state shall enforce this Compact and take all actions necessary and appropriate to effectuate this Compact's purposes and intent.

(2) The Commission shall be entitled to receive service of process in any proceeding that may affect the powers, responsibilities, or actions of the Commission, and shall have standing to intervene in such a proceeding for all purposes. Failure to provide service of process in such proceeding to the Commission shall render a judgment or order void as to the Commission, this Compact, or promulgated rules.

(b) *Default, technical assistance, and termination*.

(1) If the Commission determines that a party state has defaulted in the performance of its obligations or responsibilities under this Compact or the promulgated rules, the Commission shall do all of the following:

a. Provide written notice to the defaulting state and other party states of the nature of the default, the proposed means of curing the default, or any other action to be taken by the Commission.

b. Provide remedial training and specific technical assistance regarding the default.

(2) If a state in default fails to cure the default, the defaulting state's membership in this Compact may be terminated upon an affirmative vote of a majority of the administrators, and all rights, privileges, and benefits conferred by this Compact may be terminated on the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.

(3) Termination of membership in this Compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the Commission to the governor of the defaulting state and to the executive officer of the defaulting state's licensing board and each of the party states.

(4) A state whose membership in this Compact has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.

(5) The Commission shall not bear any costs related to a state that is found to be in default or whose membership in this Compact has been terminated unless agreed upon in writing between the Commission and the defaulting state.

(6) The defaulting state may appeal the action of the Commission by petitioning the U.S. District Court for the District of Columbia or the federal district in which the Commission has its principal offices. The prevailing party shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(c) *Dispute resolution*.

(1) Upon request by a party state, the Commission shall attempt to resolve disputes related to the Compact that arise among party states and between party and nonparty states.

(2) The Commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes, as appropriate.

(3) In the event the Commission cannot resolve disputes among party states arising under this Compact:

a. The party states may submit the issues in dispute to an arbitration panel, which will be comprised of individuals appointed by the Compact administrator in each of the affected party states and an individual mutually agreed upon by the Compact administrators of all the party states involved in the dispute.

b. The decision of a majority of the arbitrators shall be final and binding.

(d) *Enforcement*.

(1) The Commission, in the reasonable exercise of its discretion, shall enforce the provisions and rules of this Compact.

(2) By majority vote, the Commission may initiate legal action in the U.S. District Court for the District of Columbia or the federal district in which the Commission has its principal offices against a party state that is in default to enforce compliance with the provisions of this Compact and its promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(3) The remedies in this section are not the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or state law.

(Repealed and reenacted by 81 Del. Laws, c. 50, § 1..)

§ 1911A Effective date, withdrawal, and amendment.

(a) This Compact shall become effective and binding on the earlier of the date of legislative enactment of this Compact into law by no less than 26 states or December 31, 2018. All party states to this Compact, that also were parties to the prior Nurse Licensure Compact, superseded by this Compact, ("prior compact"), are deemed to have withdrawn from said prior compact within 6 months after the effective date of this Compact.

(b) Each party state to this Compact shall continue to recognize a nurse's multistate licensure privilege to practice in that party state issued under the prior compact until such party state has withdrawn from the prior compact.

(c) Any party state may withdraw from this Compact by enacting a statute repealing the same. A party state's withdrawal shall not take effect until 6 months after enactment of the repealing statute.

(d) A party state's withdrawal or termination shall not affect the continuing requirement of the withdrawing or terminated state's licensing board to report adverse actions and significant investigations occurring prior to the effective date of such withdrawal or termination.

(e) Nothing contained in this Compact shall be construed to invalidate or prevent any nurse licensure agreement or other cooperative arrangement between a party state and a nonparty state that is made in accordance with the other provisions of this Compact.

(f) This Compact may be amended by the party states. No amendment to this Compact shall become effective and binding upon the party states unless and until it is enacted into the laws of all party states.

(g) Representatives of nonparty states to this Compact shall be invited to participate in the activities of the Commission, on a nonvoting basis, prior to the adoption of this Compact by all states.

(Repealed and reenacted by 81 Del. Laws, c. 50, § 1..)

§ 1912A Construction and severability.

This Compact shall be liberally construed so as to effectuate the purposes thereof. The provisions of this Compact shall be severable, and if any phrase, clause, sentence, or provision of this Compact is declared to be contrary to the constitution of any party state or of the United States, or if the applicability thereof to any government, agency, person, or circumstance is held invalid, the validity of the remainder of this Compact and the applicability thereof to any government, agency, person, or circumstance shall not be affected thereby. If this Compact shall be held to be contrary to the constitution of any party state, this Compact shall remain in full force and effect as to the remaining party states and in full force and effect as to the party state affected as to all severable matters.

(Repealed and reenacted by 81 Del. Laws, c. 50, § 1..)

Chapter 19B

Advanced Practice Registered Nurse Compact [Effective upon fulfillment of 83 Del. Laws, c. 110, § 2]

§ 1901B Advanced Practice Registered Nurse Compact [Effective upon fulfillment of 83 Del. Laws, c. 110, § 2].

The State hereby enters into the Advanced Practice Registered Nurse Compact (Compact) as set forth in this chapter. The text of the Compact is as set forth in this chapter.

(83 Del. Laws, c. 110, § 1.)

§ 1902B Findings and declaration of purpose [Effective upon fulfillment of 83 Del. Laws, c. 110, § 2].

(a) The party states find the following:

(1) The health and safety of the public are affected by the degree of compliance with advanced practice registered nurse licensure requirements and the effectiveness of enforcement activities related to state APRN licensure laws.

(2) Violations of APRN licensure and other laws regulating the practice of nursing may result in injury or harm to the public.

(3) The expanded mobility of APRNs and the use of advanced communication and intervention technologies as part of our nation's healthcare delivery system require greater coordination and cooperation among states in the areas of APRN licensure and regulation.

(4) New practice modalities and technology make compliance with individual state APRN licensure laws difficult and complex.

(5) The current system of duplicative APRN licensure for APRNs practicing in multiple states is cumbersome and redundant for healthcare delivery systems, payors, state licensing boards, regulators and APRNs.

(6) Uniformity of APRN licensure requirements throughout the states promotes public safety and public health benefits as well as provides a mechanism to increase access to care.

(b) The general purposes of this Compact are to do the following:

(1) Facilitate the states' responsibility to protect the public's health and safety.

(2) Ensure and encourage the cooperation of party states in the areas of APRN licensure and regulation, including promotion of uniform licensure requirements.

(3) Facilitate the exchange of information between party states in the areas of APRN regulation, investigation and adverse actions.

(4) Promote compliance with the laws governing APRN practice in each jurisdiction.

(5) Invest all party states with the authority to hold an APRN accountable for meeting all state practice laws in the state in which the patient is located at the time care is rendered through the mutual recognition of party state privileges to practice.

(6) Decrease redundancies in the consideration and issuance of APRN licenses.

(7) Provide opportunities for interstate practice by APRNs who meet uniform licensure requirements.

(83 Del. Laws, c. 110, § 1.)

§ 1903B Definitions [Effective upon fulfillment of 83 Del. Laws, c. 110, § 2].

As used in this Compact:

(a) "Advanced practice registered nurse" or "APRN" means a registered nurse who has gained additional specialized knowledge, skills and experience through a program of study recognized or defined by the Interstate Commission of APRN Compact Administrators ("Commission"), and who is licensed to perform advanced nursing practice. An advanced practice registered nurse is licensed in an APRN role that is congruent with an APRN educational program, certification, and Commission rules.

(b) "Adverse action" means any administrative, civil, equitable or criminal action permitted by a state's laws which is imposed by a licensing board or other authority against an APRN, including actions against an individual's license or multistate licensure privilege such as revocation, suspension, probation, monitoring of the licensee, limitation on the licensee's practice, or any other encumbrance on licensure affecting an APRN's authorization to practice, including the issuance of a cease and desist action.

(c) "Alternative program" means a non-disciplinary monitoring program approved by a licensing board.

(d) "APRN licensure" means the regulatory mechanism used by a party state to grant legal authority to practice as an APRN.

(e) "APRN uniform licensure requirements" means the minimum uniform licensure, education and examination requirements set forth in § 1904B(b) of this title.

(f) "Coordinated licensure information system" means an integrated process for collecting, storing and sharing information on APRN licensure and enforcement activities related to APRN licensure laws that is administered by a nonprofit organization composed of and controlled by licensing boards.

(g) "Current significant investigatory information" means:

(1) Investigative information that a licensing board, after a preliminary inquiry that includes notification and an opportunity for the APRN to respond, if required by state law, has reason to believe is not groundless and, if proved true, would indicate more than a minor infraction; or

(2) Investigative information that indicates that the APRN represents an immediate threat to public health and safety regardless of

whether the APRN has been notified and has had an opportunity to respond.

(h) "Encumbrance" means a revocation or suspension of, or any limitation on, the full and unrestricted practice of nursing imposed by a licensing board in connection with a disciplinary proceeding.

(i) "Home state" means the party state that is the APRN's primary state of residence.

(j) "Licensing board" means a party state's regulatory body responsible for regulating the practice of advanced practice registered nursing.

(k) "Multistate license" means an APRN license to practice as an APRN issued by a home state licensing board that authorizes the APRN to practice as an APRN in all party states under a multistate licensure privilege, in the same role and population focus as the APRN is licensed in the home state.

(l) "Multistate licensure privilege" means a legal authorization associated with an APRN multistate license that permits an APRN to practice as an APRN in a remote state, in the same role and population focus as the APRN is licensed in the home state.

(m) "Non-controlled prescription drug" means a device or drug that is not a controlled substance and is prohibited under state or federal law from being dispensed without a prescription. The term includes a device or drug that bears or is required to bear the legend "Caution: federal law prohibits dispensing without prescription" or "prescription only" or other legend that complies with federal law.

(n) "Party state" means any state that has adopted this Compact.

(o) "Population focus" means 1 of the 6 population foci of family/individual across the lifespan, adult-gerontology, pediatrics, neonatal, women's health/gender-related, and psych/mental health.

(p) "Prescriptive authority" means the legal authority to prescribe medications and devices as defined by party state laws.

(q) "Remote state" means a party state that is not the home state.

(r) "Role" means 1 of the 4 recognized roles of certified registered nurse anesthetists (CRNA), certified nurse-midwives (CNM), clinical nurse specialists (CNS), and certified nurse practitioners (CNP).

(s) "Single-state license" means an APRN license issued by a party state that authorizes practice only within the issuing state and does not include a multistate licensure privilege to practice in any other party state.

(t) "State" means a state, territory or possession of the United States and the District of Columbia.

(u) "State practice laws" means a party state's laws, rules, and regulations that govern APRN practice, define the scope of advanced nursing practice and create the methods and grounds for imposing discipline except that prescriptive authority shall be treated in accordance with § 1904B(f) and (g) of this title. "State practice laws" does not include:

(1) A party state's laws, rules, and regulations requiring supervision or collaboration with a healthcare professional, except for laws, rules, and regulations regarding prescribing controlled substances.

(2) The requirements necessary to obtain and retain an APRN license, except for qualifications or requirements of the home state.

(83 Del. Laws, c. 110, § 1.)

§ 1904B General provisions and jurisdiction [Effective upon fulfillment of 83 Del. Laws, c. 110, § 2].

(a) A state must implement procedures for considering the criminal history records of applicants for initial APRN licensure or APRN licensure by endorsement. Such procedures shall include the submission of fingerprints or other biometric-based information by APRN applicants for the purpose of obtaining an applicant's criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state's criminal records.

(b) Each party state shall require all of the following for an applicant to satisfy the APRN uniform licensure requirements to obtain or retain a multistate license in the home state:

(1) Meets the home state's qualifications for licensure or renewal of licensure, as well as all other applicable state laws.

(2) Has (a) completed an accredited graduate-level education program that prepares the applicant for 1 of the 4 recognized roles and population foci; or (b) has completed a foreign APRN education program for 1 of the 4 recognized roles and population foci that has been (a) approved by the authorized accrediting body in the applicable country and (b) verified by an independent credentials review agency to be comparable to a licensing board approved APRN education program.

(3) Has, if a graduate of a foreign APRN education program not taught in English or if English is not the individual's native language, successfully passed an English proficiency examination that includes the components of reading, speaking, writing and listening.

(4) Has successfully passed a national certification examination that measures APRN, role and population-focused competencies and maintains continued competence as evidenced by recertification in the role and population focus through the national certification program.

(5) Holds an active, unencumbered license as a registered nurse and an active, unencumbered authorization to practice as an APRN.

(6) Has successfully passed an NCLEX-RN® examination or recognized predecessor, as applicable.

(7) Has practiced for at least 2,080 hours as an APRN in a role and population focus congruent with the applicant's education and training. For purposes of this section, practice shall not include hours obtained as part of enrollment in an APRN education program.

(8) Has submitted, in connection with an application for initial licensure or licensure by endorsement, fingerprints or other biometric data for the purpose of obtaining criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state or, if applicable, foreign country's criminal records.

(9) Has not been convicted or found guilty, or has entered into an agreed disposition, of a felony offense under applicable state,

federal or foreign criminal law.

(10) Has not been convicted or found guilty, or has entered into an agreed disposition, of a misdemeanor offense related to the practice of nursing as determined by factors set forth in rules adopted by the Commission.

(11) Is not currently enrolled in an alternative program.

(12) Is subject to self-disclosure requirements regarding current participation in an alternative program.

(13) Has a valid United States Social Security number.

(c) An APRN issued a multistate license shall be licensed in an approved role and at least 1 approved population focus.

(d) An APRN multistate license issued by a home state to a resident in that state will be recognized by each party state as authorizing the APRN to practice as an APRN in each party state, under a multistate licensure privilege, in the same role and population focus as the APRN is licensed in the home state.

(e) Nothing in this Compact shall affect the requirements established by a party state for the issuance of a single-state license, except that an individual may apply for a single-state license, instead of a multistate license, even if otherwise qualified for the multistate license. However, the failure of such an individual to affirmatively opt for a single-state license may result in the issuance of a multistate license.

(f) Issuance of an APRN multistate license shall include prescriptive authority for non-controlled prescription drugs.

(g) For each state in which an APRN seeks authority to prescribe controlled substances, the APRN shall satisfy all requirements imposed by such state in granting and/or renewing such authority.

(h) An APRN issued a multistate license is authorized to assume responsibility and accountability for patient care independent of any supervisory or collaborative relationship. This authority may be exercised in the home state and in any remote state in which the APRN exercises a multistate licensure privilege.

(i) All party states shall be authorized, in accordance with state due process laws, to take adverse action against an APRN's multistate licensure privilege such as revocation, suspension, probation or any other action that affects an APRN's authorization to practice under a multistate licensure privilege, including cease and desist actions. If a party state takes such action, it shall promptly notify the administrator of the coordinated licensure information system. The administrator of the coordinated licensure information system shall promptly notify the home state of any such actions by remote states.

(j) Except as otherwise expressly provided in this Compact, an APRN practicing in a party state must comply with the state practice laws of the state in which the client is located at the time service is provided. APRN practice is not limited to patient care but shall include all advanced nursing practice as defined by the state practice laws of the party state in which the client is located. APRN practice in a party state under a multistate licensure privilege will subject the APRN to the jurisdiction of the licensing board, the courts, and the laws of the party state in which the client is located at the time service is provided.

(k) Except as otherwise expressly provided in this Compact, this Compact does not affect additional requirements imposed by states for advanced practice registered nursing. However, a multistate licensure privilege to practice registered nursing granted by a party state shall be recognized by other party states as satisfying any state law requirement for registered nurse licensure as a precondition for authorization to practice as an APRN in that state.

(l) Individuals not residing in a party state shall continue to be able to apply for a party state's single-state APRN license as provided under the laws of each party state. However, the single-state license granted to these individuals will not be recognized as granting the privilege to practice as an APRN in any other party state.

(83 Del. Laws, c. 110, § 1.)

§ 1905B Applications for APRN licensure in a party state [Effective upon fulfillment of 83 Del. Laws, c. 110, § 2].

(a) Upon application for an APRN multistate license, the licensing board in the issuing party state shall ascertain, through the coordinated licensure information system, whether the applicant has ever held or is the holder of a licensed practical/vocational nursing license, a registered nursing license or an advanced practice registered nurse license issued by any other state, whether there are any encumbrances on any license or multistate licensure privilege held by the applicant, whether any adverse action has been taken against any license or multistate licensure privilege held by the applicant and whether the applicant is currently participating in an alternative program.

(b) An APRN may hold a multistate APRN license, issued by the home state, in only 1 party state at a time.

(c) If an APRN changes primary state of residence by moving between 2 party states, the APRN must apply for APRN licensure in the new home state, and the multistate license issued by the prior home state shall be deactivated in accordance with applicable Commission rules.

(1) The APRN may apply for licensure in advance of a change in primary state of residence.

(2) A multistate APRN license shall not be issued by the new home state until the APRN provides satisfactory evidence of a change in primary state of residence to the new home state and satisfies all applicable requirements to obtain a multistate APRN license from the new home state.

(d) If an APRN changes primary state of residence by moving from a party state to a non-party state, the APRN multistate license issued by the prior home state will convert to a single-state license, valid only in the former home state.

(83 Del. Laws, c. 110, § 1.)

§ 1906B Additional authorities invested in party state licensing boards [Effective upon fulfillment of 83 Del. Laws, c. 110, § 2].

(a) In addition to the other powers conferred by state law, a licensing board shall have the authority to:

(1) Take adverse action against an APRN's multistate licensure privilege to practice within that party state.

a. Only the home state shall have power to take adverse action against an APRN's license issued by the home state.

b. For purposes of taking adverse action, the home state licensing board shall give the same priority and effect to reported conduct that occurred outside of the home state as it would if such conduct had occurred within the home state. In so doing, the home state shall apply its own state laws to determine appropriate action.

(2) Issue cease and desist orders or impose an encumbrance on an APRN's authority to practice within that party state.

(3) Complete any pending investigations of an APRN who changes primary state of residence during the course of such investigations. The licensing board shall also have the authority to take appropriate action(s) and shall promptly report the conclusions of such investigations to the administrator of the coordinated licensure information system. The administrator of the coordinated licensure information system shall promptly notify the new home state of any such actions.

(4) Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses, as well as the production of evidence. Subpoenas issued by a party state licensing board for the attendance and testimony of witnesses and/or the production of evidence from another party state shall be enforced in the latter state by any court of competent jurisdiction, according to that court's practice and procedure in considering subpoenas issued in its own proceedings. The issuing licensing board shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the state in which the witnesses and/or evidence are located.

(5) Obtain and submit, for an APRN licensure applicant, fingerprints or other biometric-based information to the Federal Bureau of Investigation for criminal background checks, receive the results of the Federal Bureau of Investigation record search on criminal background checks and use the results in making licensure decisions.

(6) If otherwise permitted by state law, recover from the affected APRN the costs of investigations and disposition of cases resulting from any adverse action taken against that APRN.

(7) Take adverse action based on the factual findings of another party state, provided that the licensing board follows its own procedures for taking such adverse action.

(b) If adverse action is taken by a home state against an APRN's multistate licensure, the privilege to practice in all other party states under a multistate licensure privilege shall be deactivated until all encumbrances have been removed from the APRN's multistate license. All home state disciplinary orders that impose adverse action against an APRN's multistate license shall include a statement that the APRN's multistate licensure privilege is deactivated in all party states during the pendency of the order.

(c) Nothing in this Compact shall override a party state's decision that participation in an alternative program may be used in lieu of adverse action. The home state licensing board shall deactivate the multistate licensure privilege under the multistate license of any APRN for the duration of the APRN's participation in an alternative program.

(83 Del. Laws, c. 110, § 1.)

§ 1907B Coordinated licensure information system and exchange of information [Effective upon fulfillment of 83 Del. Laws, c. 110, § 2].

(a) All party states shall participate in a coordinated licensure information system of all APRNs, licensed registered nurses, and licensed practical/vocational nurses. This system will include information on the licensure and disciplinary history of each APRN, as submitted by party states, to assist in the coordinated administration of APRN licensure and enforcement efforts.

(b) The Commission, in consultation with the administrator of the coordinated licensure information system, shall formulate necessary and proper procedures for the identification, collection and exchange of information under this Compact.

(c) All licensing boards shall promptly report to the coordinated licensure information system any adverse action, any current significant investigative information, denials of applications (with the reasons for such denials) and APRN participation in alternative programs known to the licensing board regardless of whether such participation is deemed nonpublic and/or confidential under state law.

(d) Notwithstanding any other provision of law, all party state licensing boards contributing information to the coordinated licensure information system may designate information that may not be shared with non-party states or disclosed to other entities or individuals without the express permission of the contributing state.

(e) Any personally identifiable information obtained from the coordinated licensure information system by a party state licensing board shall not be shared with non-party states or disclosed to other entities or individuals except to the extent permitted by the laws of the party state contributing the information.

(f) Any information contributed to the coordinated licensure information system that is subsequently required to be expunged by the laws of the party state contributing the information shall be removed from the coordinated licensure information system.

(g) The Compact administrator of each party state shall furnish a uniform data set to the Compact administrator of each other party state, which shall include, at a minimum all of the following:

(1) Identifying information.

(2) Licensure data.

(3) Information related to alternative program participation information.

(4) Other information that may facilitate the administration of this Compact, as determined by Commission rules.

(h) The Compact administrator of a party state shall provide all investigative documents and information requested by another party state.

(83 Del. Laws, c. 110, § 1.)

§ 1908B Establishment of the Interstate Commission of APRN Compact Administrators [Effective upon fulfillment of 83 Del. Laws, c. 110, § 2].

(a) The party states hereby create and establish a joint public agency known as the Interstate Commission of APRN Compact Administrators.

(1) The Commission is an instrumentality of the party states.

(2) Venue is proper, and judicial proceedings by or against the Commission shall be brought solely and exclusively, in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings.

(3) Nothing in this Compact shall be construed to be a waiver of sovereign immunity.

(b) *Membership, voting and meetings.* — (1) Each party state shall have and be limited to 1 administrator. The head of the state licensing board or designee shall be the administrator of this Compact for each party state. Any administrator may be removed or suspended from office as provided by the law of the state from which the administrator is appointed. Any vacancy occurring in the Commission shall be filled in accordance with the laws of the party state in which the vacancy exists.

(2) Each administrator shall be entitled to 1 vote with regard to the promulgation of rules and creation of bylaws and shall otherwise have an opportunity to participate in the business and affairs of the Commission. An administrator shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for an administrator's participation in meetings by telephone or other means of communication.

(3) The Commission shall meet at least once during each calendar year. Additional meetings shall be held as set forth in the bylaws or rules of the Commission.

(4) All meetings shall be open to the public, and public notice of meetings shall be given in the same manner as required under the rulemaking provisions in § 1909B of this title.

(5) The Commission may convene in a closed, nonpublic meeting if the Commission must discuss any of the following:

a. Noncompliance of a party state with its obligations under this Compact.

b. The employment, compensation, discipline or other personnel matters, practices or procedures related to specific employees or other matters related to the Commission's internal personnel practices and procedures.

c. Current, threatened, or reasonably anticipated litigation.

d. Negotiation of contracts for the purchase or sale of goods, services or real estate.

e. Accusing any person of a crime or formally censuring any person.

f. Disclosure of trade secrets or commercial or financial information that is privileged or confidential.

g. Disclosure of information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy.

h. Disclosure of investigatory records compiled for law enforcement purposes;

i. Disclosure of information related to any reports prepared by or on behalf of the Commission for the purpose of investigation of compliance with this Compact.

j. Matters specifically exempted from disclosure by federal or state statute.

(6) If a meeting, or portion of a meeting, is closed pursuant to this provision, the Commission's legal counsel or designee shall certify that the meeting may be closed and shall reference each relevant exempting provision. The Commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, and the reasons therefor, including a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release by a majority vote of the Commission or order of a court of competent jurisdiction.

(c) The Commission shall, by a majority vote of the administrators, prescribe bylaws or rules to govern its conduct as may be necessary or appropriate to carry out the purposes and exercise the powers of this Compact, including all of the following:

(1) Establishing the fiscal year of the Commission.

(2) Providing reasonable standards and procedures for the following:

a. The establishment and meetings of other committees.

b. Governing any general or specific delegation of any authority or function of the Commission.

(3) Providing reasonable procedures for calling and conducting meetings of the Commission, ensuring reasonable advance notice of all meetings and providing an opportunity for attendance of such meetings by interested parties, with enumerated exceptions designed to protect the public's interest, the privacy of individuals, and proprietary information, including trade secrets. The Commission may meet in closed session only after a majority of the administrators vote to close a meeting in whole or in part. As soon as practicable, the

Commission must make public a copy of the vote to close the meeting revealing the vote of each administrator, with no proxy votes allowed.

(4) Establishing the titles, duties and authority and reasonable procedures for the election of the officers of the Commission.

(5) Providing reasonable standards and procedures for the establishment of the personnel policies and programs of the Commission. Notwithstanding any civil service or other similar laws of any party state, the bylaws shall exclusively govern the personnel policies and programs of the Commission.

(6) Providing a mechanism for winding up the operations of the Commission and the equitable disposition of any surplus funds that may exist after the termination of this Compact after the payment and/or reserving of all of its debts and obligations.

(d) The Commission shall publish its bylaws and rules, and any amendments thereto, in a convenient form on the website of the Commission.

(e) The Commission shall maintain its financial records in accordance with the bylaws.

(f) The Commission shall meet and take such actions as are consistent with the provisions of this Compact and the bylaws.

(g) The Commission shall have the following powers:

(1) To promulgate uniform rules to facilitate and coordinate implementation and administration of this Compact. The rules shall have the force and effect of law and shall be binding in all party states.

(2) To bring and prosecute legal proceedings or actions in the name of the Commission, provided that the standing of any licensing board to sue or be sued under applicable law shall not be affected.

(3) To purchase and maintain insurance and bonds.

(4) To borrow, accept or contract for services of personnel, including but not limited to employees of a party state or nonprofit organizations.

(5) To cooperate with other organizations that administer state compacts related to the regulation of nursing, including but not limited to sharing administrative or staff expenses, office space or other resources.

(6) To hire employees, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of this Compact, and to establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel and other related personnel matters.

(7) To accept any and all appropriate donations, grants and gifts of money, equipment, supplies, materials and services, and to receive, utilize and dispose of the same; provided that at all times the Commission shall strive to avoid any appearance of impropriety and/or conflict of interest.

(8) To lease, purchase, accept appropriate gifts or donations of, or otherwise to own, hold, improve or use, any property, whether real, personal or mixed; provided that at all times the Commission shall strive to avoid any appearance of impropriety.

(9) To sell convey, mortgage, pledge, lease, exchange, abandon or otherwise dispose of any property, whether real, personal or mixed.

(10) To establish a budget and make expenditures.

(11) To borrow money.

(12) To appoint committees, including advisory committees comprised of administrators, state nursing regulators, state legislators or their representatives, and consumer representatives, and other such interested persons.

(13) To issue advisory opinions.

(14) To provide and receive information from, and to cooperate with, law enforcement agencies.

(15) To adopt and use an official seal.

(16) To perform such other functions as may be necessary or appropriate to achieve the purposes of this Compact consistent with the state regulation of APRN licensure and practice.

(h) *Financing of the Commission.* — (1) The Commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization and ongoing activities.

(2) The Commission may also levy on and collect an annual assessment from each party state to cover the cost of its operations, activities and staff in its annual budget as approved each year. The aggregate annual assessment amount, if any, shall be allocated based upon a formula to be determined by the Commission, which shall promulgate a rule that is binding upon all party states.

(3) The Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the Commission pledge the credit of any of the party states, except by, and with the authority of, such party state.

(4) The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission shall be subject to the audit and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Commission shall by audited yearly by a certified or licensed public accountant, and the report of the audit shall be included in and become part of the annual report of the Commission.

(i) *Qualified immunity, defense, and indemnification.* — (1) The administrators, officers, executive director, employees and representatives of the Commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred, within the scope of Commission employment, duties or responsibilities; provided that nothing in this paragraph shall be construed to protect any such

person from suit and/or liability for any damage, loss, injury or liability caused by the intentional, willful or wanton misconduct of that person.

(2) The Commission shall defend any administrator, officer, executive director, employee or representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error or omission that occurred within the scope of Commission employment, duties or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining that person's own counsel; and provided further that the actual or alleged act, error or omission did not result from that person's intentional, willful or wanton misconduct.

(3) The Commission shall indemnify and hold harmless any administrator, officer, executive director, employee or representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error or omission that occurred within the scope of Commission employment, duties or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of Commission employment, duties or responsibilities, provided that the actual or alleged act, error or omission did not result from the intentional, willful or wanton misconduct of that person.

(83 Del. Laws, c. 110, § 1; 70 Del. Laws, c. 186, § 1; 84 Del. Laws, c. 42, § 1.)

§ 1909B Rulemaking [Effective upon fulfillment of 83 Del. Laws, c. 110, § 2].

(a) The Commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Rules and amendments shall become binding as of the date specified in each rule or amendment and shall have the same force and effect as provisions of this Compact.

(b) Rules or amendments to the rules shall be adopted at a regular or special meeting of the Commission.

(c) Prior to promulgation and adoption of a final rule or rules by the Commission, and at least 60 days in advance of the meeting at which the rule will be considered and voted upon, the Commission shall file a notice of proposed rulemaking as follows:

(1) On the website of the Commission.

(2) On the website of each licensing board or the publication in which each state would otherwise publish proposed rules.

(d) The notice of proposed rulemaking shall include the following:

(1) The proposed time, date and location of the meeting in which the rule will be considered and voted upon.

(2) The text of the proposed rule or amendment, and the reason for the proposed rule.

(3) A request for comments on the proposed rule from any interested person.

(4) The manner in which interested persons may submit notice to the Commission of their intention to attend the public hearing and any written comments.

(e) Prior to adoption of a proposed rule, the Commission shall allow persons to submit written data, facts, opinions and arguments, which shall be made available to the public.

(f) The Commission shall grant an opportunity for a public hearing before it adopts a rule or amendment.

(g) The Commission shall publish the place, time, and date of the scheduled public hearing.

(1) Hearings shall be conducted in a manner providing each person who wishes to comment a fair and reasonable opportunity to comment orally or in writing. All hearings will be recorded, and a copy will be made available upon request.

(2) Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the Commission at hearings required by this section.

(h) If no one appears at the public hearing, the Commission may proceed with promulgation of the proposed rule.

(i) Following the scheduled hearing date, or by the close of business on the scheduled hearing date if the hearing was not held, the Commission shall consider all written and oral comments received.

(j) The Commission shall, by majority vote of all administrators, take final action on the proposed rule and shall determine the effective date of the rule, if any, based on the rulemaking record and the full text of the rule.

(k) Upon determination that an emergency exists, the Commission may consider and adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided that the usual rulemaking procedures provided in this Compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately in order to do any of the following:

(1) Meet an imminent threat to public health, safety or welfare.

(2) Prevent a loss of Commission or party state funds.

(3) Meet a deadline for the promulgation of an administrative rule that is established by federal law or rule.

(l) The Commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency or grammatical errors. Public notice of any revisions shall be posted on the website of the Commission. The revision shall be subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing, and delivered to the Commission, prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.

(83 Del. Laws, c. 110, § 1.)

§ 1910B Oversight, dispute resolution and enforcement [Effective upon fulfillment of 83 Del. Laws, c. 110, § 2].

(a) *Oversight.* — (1) Each party state shall enforce this Compact and take all actions necessary and appropriate to effectuate this Compact's purposes and intent.

(2) The Commission shall be entitled to receive service of process in any proceeding that may affect the powers, responsibilities or actions of the Commission, and shall have standing to intervene in such a proceeding for all purposes. Failure to provide service of process to the Commission shall render a judgment or order void as to the Commission, this Compact or promulgated rules.

(b) *Default, technical assistance and termination.* — (1) If the Commission determines that a party state has defaulted in the performance of its obligations or responsibilities under this Compact or the promulgated rules, the Commission shall do all of the following:

a. Provide written notice to the defaulting state and other party states of the nature of the default, the proposed means of curing the default, or any other action to be taken by the Commission.

b. Provide remedial training and specific technical assistance regarding the default.

(2) If a state in default fails to cure the default, the defaulting state's membership in this Compact may be terminated upon an affirmative vote of a majority of the administrators, and all rights, privileges and benefits conferred by this Compact may be terminated on the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.

(3) Termination of membership in this Compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the Commission to the governor of the defaulting state and to the executive officer of the defaulting state's licensing board, the defaulting state's licensing board, and each of the party states.

(4) A state whose membership in this Compact has been terminated is responsible for all assessments, obligations and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.

(5) The Commission shall not bear any costs related to a state that is found to be in default or whose membership in this Compact has been terminated, unless agreed upon in writing between the Commission and the defaulting state.

(6) The defaulting state may appeal the action of the Commission by petitioning the U.S. District Court for the District of Columbia or the federal district in which the Commission has its principal offices. The prevailing party shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(c) *Dispute resolution.* — (1) Upon request by a party state, the Commission shall attempt to resolve disputes related to the Compact that arise among party states and between party and non-party states.

(2) The Commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes, as appropriate.

(3) In the event the Commission cannot resolve disputes among party states arising under this Compact:

a. The party states may submit the issues in dispute to an arbitration panel, which will be comprised of individuals appointed by the Compact administrator in each of the affected party states and an individual mutually agreed upon by the Compact administrators of all the party states involved in the dispute.

b. The decision of a majority of the arbitrators shall be final and binding.

(d) *Enforcement.* — (1) The Commission, in the reasonable exercise of its discretion, shall enforce the provisions and rules of this Compact.

(2) By majority vote, the Commission may initiate legal action in the United States District Court for the District of Columbia or the federal district in which the Commission has its principal offices against a party state that is in default to enforce compliance with the provisions of this Compact and its promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(3) The remedies herein shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or state law.

(83 Del. Laws, c. 110, § 1.)

§ 1911B Effective date, withdrawal and amendment [Effective upon fulfillment of 83 Del. Laws, c. 110, § 2].

(a) This Compact shall come into limited effect at such time as this Compact has been enacted into law in 7 party states for the sole purpose of establishing and convening the Commission to adopt rules relating to its operation.

(b) Any state that joins this Compact subsequent to the Commission's initial adoption of the APRN uniform licensure requirements shall be subject to all rules that have been previously adopted by the Commission.

(c) Any party state may withdraw from this Compact by enacting a statute repealing the same. A party state's withdrawal shall not take effect until 6 months after enactment of the repealing statute.

(d) A party state's withdrawal or termination shall not affect the continuing requirement of the withdrawing or terminated state's licensing board to report adverse actions and significant investigations occurring prior to the effective date of such withdrawal or termination.

(e) Nothing contained in this Compact shall be construed to invalidate or prevent any APRN licensure agreement or other cooperative

arrangement between a party state and a non-party state that does not conflict with the provisions of this Compact.

(f) This Compact may be amended by the party states. No amendment to this Compact shall become effective and binding upon any party state until it is enacted into the laws of all party states.

(g) Representatives of non-party states to this Compact shall be invited to participate in the activities of the Commission, on a nonvoting basis, prior to the adoption of this Compact by all states.

(83 Del. Laws, c. 110, § 1.)

§ 1912B Construction and severability [Effective upon fulfillment of 83 Del. Laws, c. 110, § 2].

This Compact shall be liberally construed so as to effectuate the purposes thereof. The provisions of this Compact shall be severable, and if any phrase, clause, sentence or provision of this Compact is declared to be contrary to the constitution of any party state or of the United States, or if the applicability thereof to any government, agency, person or circumstance is held invalid, the validity of the remainder of this Compact and the applicability thereof to any government, agency, person or circumstance shall not be affected thereby. If this Compact shall be held to be contrary to the constitution of any party state, this Compact shall remain in full force and effect as to the remaining party states and in full force and effect as to the party state affected as to all severable matters.

(83 Del. Laws, c. 110, § 1.)

Subchapter II

License

§ 2007 License required.

(a) A person may not engage in the practice of occupational therapy or hold the person out to the public in this State as being qualified to practice as an occupational therapist or occupational therapy assistant, or use in connection with the person's name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the person is qualified to practice occupational therapy, unless the person has been licensed under this chapter.

(b) If a license to practice as an occupational therapist or occupational therapy assistant in this State has expired or been suspended or revoked, it is unlawful for the person holding the expired, suspended, or revoked license to practice occupational therapy in this State.

(c) It is unlawful for a person or business entity, or a person's or business entity's employees, agents, or representatives to use in connection with the person's or business entity's name or business activity the words occupational therapist, occupational therapist registered, licensed occupational therapist, occupational therapy assistant, licensed occupational therapy assistant; the letters of OT, OT/L, OTR, OTR/L, OTA, COTA, COTA/L; or any other words, letters, abbreviations, or insignia indicating or implying directly or indirectly that occupational therapy services are rendered, unless the person or business entity is licensed under this chapter.

(65 Del. Laws, c. 172, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 293, § 1; 81 Del. Laws, c. 424, § 7.)

§ 2008 Qualifications of applicant; report to Attorney General; judicial review.

(a) An applicant who is applying for licensure as an occupational therapist or occupational therapy assistant under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that the applicant meets all of the following qualifications:

(1) Has successfully completed the academic requirements of an educational program in occupational therapy that the Board recognizes. The occupational therapy education program and occupational therapist assistant educational program must be accredited by the Accreditation Council for Occupational Therapy Education.

a., b. [Repealed.]

(2) Has successfully completed a period of supervised field work experience arranged by the recognized educational institution where the applicant has met the academic requirements, or by the nationally recognized professional association.

(3) Has achieved the passing score on the written standardized examination developed by the National Board for Certification in Occupational Therapy, Inc., or its successor.

(4) a. Has not been the recipient of any administrative penalties regarding the applicant's practice of occupational therapy, including fines; formal reprimands; license suspensions or revocation, except for license revocations for nonpayment of license renewal fees; or probationary limitations.

b. Has not entered into any "consent agreements" which contain conditions placed by a Board on that applicant's professional conduct and practice, including any voluntary surrender of a license.

c. The Board may determine, after a hearing, whether an administrative penalty included in paragraphs (a)(4)a. and (a)(4)b. of this section is grounds to deny licensure.

(5) Has no impairment related to drugs, alcohol, or a finding of mental incompetence by a physician that would limit the applicant's ability to undertake the practice of occupational therapy in a manner consistent with the safety of the public.

(6) Does not have a criminal conviction record or pending criminal charge relating to an offense that is substantially related to the practice of occupational therapy. Applicants who have criminal conviction records or pending criminal charges for an offense that is substantially related to the practice of occupational therapy that is not excluded from consideration under § 8735(x)(4) of Title 29 shall request that the appropriate authorities provide information about the record or charge directly to the Board. The Board shall waive this paragraph (a)(6) if, after a hearing or review of documentation and consideration of the factors set forth under § 8735(x)(3) of Title 29, the Board, by an affirmative vote of a quorum, finds that granting a waiver would create an unreasonable risk to public safety.

a.-d. [Repealed.]

(7) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, has not been convicted of a felony sexual offense.

(8) a. Has submitted, at the applicant's expense, fingerprints and other necessary information in order to obtain all of the following:

1. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no criminal history record relating to that applicant.

2. A report of the applicant's entire federal criminal history record under the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification is the intermediary for purposes of this section and the Board is the screening point for the receipt of the federal criminal history records.

b. An applicant may not be licensed to practice occupational therapy until the applicant's criminal history reports have been produced. The Board may not license an applicant whose record shows a prior criminal conviction for an offense that is substantially related to the practice of occupational therapy unless a waiver is granted under paragraph (a)(6) of this section.

(b) If the Board finds to its satisfaction that an applicant has been intentionally fraudulent or intentionally supplied false information, it

shall report its findings to the Attorney General for further action.

(c) If the Board refuses or rejects an application and the applicant believes that the Board has acted without justification, imposed higher or different standards for that applicant than for other applicants or licensees, or in some other manner contributed to or caused the failure of the application, the applicant may appeal to the Superior Court.

(d) Licensees must be fingerprinted by the State Bureau of Identification, at the licensee's expense, for the purposes of performing subsequent criminal background checks.

(65 Del. Laws, c. 172, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 293, § 1; 74 Del. Laws, c. 262, § 39; 75 Del. Laws, c. 210, § 1; 75 Del. Laws, c. 436, § 18; 77 Del. Laws, c. 199, § 16; 78 Del. Laws, c. 44, §§ 32, 33; 79 Del. Laws, c. 277, § 5; 81 Del. Laws, c. 424, § 8; 83 Del. Laws, c. 433, § 14.)

§ 2009 Applicability of chapter.

Nothing in this chapter may be construed as preventing or restricting the practice, services, or activities of any of the following:

(1) A person registered or licensed in this State by any other law from engaging in the profession or occupation for which that person is licensed.

(2) A person pursuing a course of study leading to a degree or certificate in occupational therapy at an accredited or approved educational program if such activities and services constitute a part of a supervised course of study and if the person is designated by a title which clearly indicates that person's status as a student or trainee.

(3) A person fulfilling the supervised field work experience requirements of this chapter, if such activities and services constitute the requirements for licensure.

(4) A visiting occupational therapist who teaches temporarily at an accredited or approved educational program, or who lectures or instructs participants at seminars sanctioned by the Delaware Occupational Therapy Association.

(65 Del. Laws, c. 172, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 293, § 1; 81 Del. Laws, c. 424, § 9.)

§ 2010 Foreign-trained applicants.

In addition to the requirements of § 2008 of this title, a foreign-trained applicant is eligible for licensure as an occupational therapist or as an occupational therapy assistant after submitting to the Board satisfactory evidence of graduation from a school offering a program in occupational therapy or occupational therapy assistant which has been approved for the educational preparation of occupational therapists or occupational therapy assistants by the appropriate accrediting agency recognized by the National Board for Certification in Occupational Therapy, Inc., or its successor.

(71 Del. Laws, c. 293, § 1; 81 Del. Laws, c. 424, § 10.)

§ 2011 Reciprocity.

Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who presents proof of current licensure in good standing in another state, the District of Columbia, or territory of the United States, and who meets all of the following criteria:

(1) Has a license in good standing as defined in § 2008(a)(4)-(6) of this title.

(2) Has achieved the passing score on all parts of the written, standardized examination administered by the National Board for Certification in Occupational Therapy, Inc., or its successor.

(65 Del. Laws, c. 172, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 293, § 1; 75 Del. Laws, c. 436, § 19; 81 Del. Laws, c. 424, § 11.)

§ 2012 Duty to report unprofessional conduct; inability to practice.

(a) A licensee has a duty to report to the Division information that the licensee reasonably believes indicates that the licensee or another licensee has engaged in or is engaging in conduct that constitute grounds for disciplinary action under this chapter. A licensee shall report to the Board within 30 days of the occurrence of any of the following:

(1) A partial or full removal of the licensee's or another licensee's hospital privileges based on adverse events, unprofessional conduct, or competency issues.

(2) A disciplinary action taken by any regulatory agency against the licensee or another licensee.

(3) A reasonably-substantiated incident involving violence, threat of violence, abuse, or neglect by the licensee or another licensee toward another person.

(b) (1) A licensee is subject to temporary or permanent license restriction, suspension, or revocation if the licensee is unable to practice the occupation with reasonable skill or safety to patients due to any of the following circumstances:

a. Mental illness or mental incompetence.

b. Physical illness, including deterioration due to aging or loss of motor skills.

c. Excessive use or abuse of drugs or alcohol.

(2) A license may be permanently restricted, suspended, or revoked after a hearing under § 2006(a)(11) of this title.

(3) A license may be temporarily restricted, suspended, or revoked after a hearing under § 2006(a)(11) of this title or, if circumstances present an immediate danger to the public health, safety, or welfare, without a hearing and under the process established in § 2017(c) of this title.

(81 Del. Laws, c. 424, § 12.)

§ 2013 Fees.

(a) The amount to be charged for each fee imposed under this chapter must approximate and reasonably reflect all costs necessary to defray the Board's expenses and the Division's proportional expenses in its service on behalf of the Board.

(b) A separate fee may be charged for each service or activity, but no fee may be charged for a purpose not specified in this chapter.

(c) The application fee must not be combined with any other fee or charge.

(d) At the beginning of each licensure biennium, the Division or other state agency acting on the Division's behalf shall compute, for each separate service or activity, the appropriate Board fees for the coming licensure biennium.

(65 Del. Laws, c. 172, § 1; 65 Del. Laws, c. 355, § 1; 71 Del. Laws, c. 293, § 1; 81 Del. Laws, c. 424, § 13.)

§ 2014 Issuance and renewal of licenses.

(a) The Board shall issue a license to each applicant who meets the requirements of and pays the fee under this chapter for licensure as an occupational therapist or occupational therapy assistant.

(b) Each license must be renewed biennially, in a manner determined by the Division, upon payment of the appropriate fee and submission of a renewal form provided by the Division, and proof that the licensee has met the continuing education requirements established by the Board.

(c) The Board, in its rules and regulations, shall determine the period of time within which a licensee may renew a license if the licensee has failed to renew on or before the renewal date.

(d) A licensee, upon written request, may place the licensee's license on inactive status. The fee to renew an inactive license must be prorated in accordance with the amount of time the license was inactive. The licensee may reenter practice upon written notification to the Board of the intent to do so and completion of continuing education as required by the Board's rules and regulations.

(65 Del. Laws, c. 172, § 1; 66 Del. Laws, c. 400, § 3; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 293, § 1; 75 Del. Laws, c. 210, § 3; 81 Del. Laws, c. 424, § 14.)

§ 2015 Grounds for discipline.

(a) A licensee is subject to disciplinary actions under § 2017 of this title if, after a hearing, the Board finds that the licensee has done any of the following:

(1) Employed or knowingly cooperated in fraud or material deception in order to acquire a license as an occupational therapist or occupational therapy assistant, impersonated another person holding a license or registration, allowed another person to use the licensee's license, or aided or abetted a person not licensed under this chapter to represent the person as an occupational therapist or occupational therapy assistant.

(2) Been convicted of a crime that is substantially related to the practice of occupational therapy. A copy of the record of conviction certified by the clerk of the court entering the conviction is conclusive evidence of the conviction.

(3) Excessively used or abused drugs or alcohol in the previous 2 years.

(4) Engaged in an act of consumer fraud or deception, engaged in the restraint of competition, or participated in price-fixing activities.

(5) Violated a provision of this chapter or a regulation established under this chapter.

(6) Had the licensee's license, certification, or registration as an occupational therapist or occupational therapy assistant suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction.

a. For this paragraph (a)(6) to apply, the underlying grounds for the action in another jurisdiction must be presented to the Board by certified record, and the Board must determine that the facts found in the other jurisdiction constitute 1 or more of the acts defined in this chapter.

b. A licensee is deemed to have consented to the release of the information under paragraph (a)(6)a. of this section by the Board or comparable agency in another jurisdiction and waived all objections to the admissibility of previously adjudicated evidence on the record of the other jurisdiction.

(7) Failed to notify the Board that the licensee's license, certification, or registration as an occupational therapist or occupational therapy assistant in another jurisdiction has been subject to discipline, surrendered, suspended, or revoked. A certified copy of the record of disciplinary action, surrender, suspension, or revocation is conclusive evidence thereof.

(8) While acting as a supervising occupational therapist, has failed to supervise and take reasonable steps to see that an occupational therapy assistant performs services responsibly, competently, and ethically, in accordance with rules and regulations that the Board established. A supervising occupational therapist is subject to disciplinary action for an act or offense which is grounds for disciplinary action when the act or offense is undertaken by the occupational therapy assistant acting under the supervising occupational therapist's direction or control.

(b) If a licensee fails to comply with the Board's request that the licensee attend a hearing, the Board may petition the Superior Court to order the licensee's attendance. The Court has the jurisdiction to issue an order requiring the licensee to attend the hearing.

(c) [Repealed.]

(65 Del. Laws, c. 172, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 293, § 1; 74 Del. Laws, c. 262, § 40; 81 Del. Laws, c. 424, § 15.)

§ 2016 Complaints.

(a) The Division shall receive and investigate a complaint in accordance with § 8735 of Title 29, and the Division shall issue a final written report at the conclusion of its investigation.

(b) If the Board determines that an individual is engaging in the practice of occupational therapy or using the title occupational therapist or occupational therapy assistant and is not licensed under this chapter, the Board shall issue a formal warning to the individual under this chapter. If the formal warning does not resolve the matter, the Board may apply to the Office of the Attorney General to issue a cease and desist order.

(c) The Division shall investigate a complaint against a licensee involving allegations of unprofessional conduct or incompetence.

(65 Del. Laws, c. 172, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 293, § 1; 81 Del. Laws, c. 424, § 16.)

§ 2017 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 or more of the conditions or violations under § 2015 of this title applies to a licensee:

(1) Issue a letter of reprimand.

(2) [Repealed.]

(3) Place the licensee on probationary status and require the licensee to do any of the following:

a. Report regularly to the Board upon the matters which are the basis of the probation.

b. Limit all practice and professional activities to those areas that the Board prescribes.

(4) Suspend the licensee's license.

(5) Revoke the licensee's license.

(6) Impose a monetary penalty not to exceed $500 for each violation.

(b) The Board may withdraw or reduce conditions of probation if it finds that the deficiencies which required the probation are remedied .

(c) If a formal or informal complaint concerning the activity of a licensee presents a clear and immediate danger to the public health, safety, or welfare, the Board may temporarily suspend the licensee's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee.

(1) An order temporarily suspending a license must not be issued unless the licensee or the licensee's attorney receives at least 24 hours' written or oral notice before the temporary suspension, so that the licensee or the licensee's attorney may file a written response to the proposed suspension.

(2) The decision as to whether to issue the temporary order of suspension must be decided on the written submissions.

(3) An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order, unless the licensee requests a continuance of the hearing, in which case the order of temporary suspension remains in effect until the hearing is convened and the Board renders a decision.

(4) A licensee whose license has been temporarily suspended under this section may request an expedited hearing. Upon the licensee's timely request, the Board shall schedule the hearing on an expedited basis. A request is timely if the licensee provides it to the Board within 5 calendar days from the date that the licensee received notice of the temporary suspension.

(d) As a condition to reinstatement of a suspended license or removal from probationary status, the Board may impose disciplinary or corrective measures authorized under this chapter.

(e) The Board shall permanently revoke the license of a licensee who is convicted of a felony sexual offense.

(65 Del. Laws, c. 172, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 293, § 1; 79 Del. Laws, c. 213, § 2; 79 Del. Laws, c. 277, § 6; 81 Del. Laws, c. 424, § 17.)

§ 2018 Hearing procedures.

(a) If a complaint alleging violation of § 2015 of this title is filed with the Board under § 8735 of Title 29, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing must be given and the hearing must be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings are informal without use of rules of evidence. If the Board finds, by a majority vote of all members, that the complaint has merit, the Board shall take such action permitted under this chapter as it deems necessary. The Board's decision must be in writing and include the Board's reasons for the decision. The Board's decision must be mailed immediately to the licensee.

(c) If the licensee is in disagreement with the Board's action, the licensee may appeal the Board's decision to the Superior Court within 30 days of service of the Board's decision or the postmarked date of the copy of the decision mailed to the licensee. Upon an appeal, the Court shall hear the evidence on the record. The Court may grant a stay in accordance with § 10144 of Title 29.

(65 Del. Laws, c. 172, § 1; 65 Del. Laws, c. 355, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 293, § 1; 81 Del. Laws, c. 424, § 18.)

§ 2019 Reinstatement of a suspended license; removal from probationary status.

(a) As a condition to reinstatement of a suspended license or removal from probationary status, the Board may reinstate a license if, after a hearing, the Board is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension or probation.

(b) An applicant for reinstatement shall pay the appropriate fees and submit documentation required by the Board as evidence that all the

conditions of a suspension or probation have been met. The Board may also require that the applicant meet the continuing education requirements of this chapter.

(c) [Repealed.]

(71 Del. Laws, c. 293, § 1; 81 Del. Laws, c. 424, § 19; 82 Del. Laws, c. 8, § 8.)

§ 2020 Penalties.

(a) It is unlawful for a person who is not licensed under this chapter to any of the following:

(1) Engage in the practice of occupational therapy.

(2) Use in connection with that person's name or otherwise assume or use any title or description that conveys or tends to convey the impression that the person is qualified to practice occupational therapy.

(b) A person who violates subsection (a) of this section is guilty of a misdemeanor and subject to the following penalties:

(1) For the first offense, a fine of not less than $500 nor more than $1,000 for each offense.

(2) For each subsequent offense, a fine of not less than $1,000 nor more than $2,000 for each offense.

(c) Superior Court has jurisdiction over all violations of this chapter.

(71 Del. Laws, c. 293, § 1; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 424, § 20.)

§ 2021 Treatment or examination of minors.

(a) As used in this section:

(1) "Adult staff member" means an individual who is 18 years or older and is acting under the direction of the licensee, the licensee's employer, or is otherwise licensed under this chapter.

(2) "Evaluation or treatment" includes dressing, bathing, or toileting that exposes a minor patient's breast, genitalia, or rectum.

(3) "Minor" means an individual who is 15 years or younger.

(4) "Services" includes inpatient, outpatient, home, or school treatment.

(b) A minor patient's parent, guardian, or other caretaker, or an adult staff member, must be present when a licensee provides services to a minor patient who is disrobed or partially disrobed during evaluation or treatment.

(c) If an adult staff member observes the evaluation or treatment, the adult staff member must be of the same gender as the patient when practicable.

(d) The minor patient may decline the presence of a third person only with consent of individual providing consent to the minor patient's treatment and only after the initial evaluation.

(e) When a minor patient's evaluation or treatment involves the female breasts, or female or male genitalia or rectum, a licensee shall provide the individual providing consent to the minor patient's treatment with notice of the rights under this section. The notice must be provided in written form or conspicuously posted in a manner in which a minor patient and the individual providing consent to the minor patient's treatment are made aware of the notice. In circumstances in which the posting or the provision of the written notice may not convey the right to have a third person present, the licensee shall use another means to ensure that the minor patient and the individual providing consent to the minor patient's treatment understand the rights under this section.

(f) [Repealed.]

(g) A licensee that provides treatment to a minor patient under this section shall, contemporaneously with the treatment, note in the minor patient's record the name of each person present when the treatment is provided.

(79 Del. Laws, c. 169, § 6; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 424, § 21.)

§ 2022 Dry needling practice; referral [Effective upon fulfillment of the contingency in 85 Del. Laws, c. 459, § 4].

(a) An occupational therapist may perform dry needling subject to the following:

(1) An occupational therapist may not perform a dry needling procedure on a patient without first receiving a written physician referral specific to dry needling. A referral may be transmitted by digital means.

(2) An occupational therapist may not advertise or in any other way hold themself out as an acupuncturist unless the occupational therapist is a licensed acupuncturist.

(b) The Board must establish, by rule and regulation, training and practice standards for dry needling.

(85 Del. Laws, c. 459, § 3.)

Chapter 20
OCCUPATIONAL THERAPY

Subchapter I

Board of Occupational Therapy Practice

§ 2001 Objectives.

(a) The primary objective of the Board of Occupational Therapy Practice, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and occupational practices which tend to reduce competition or fix the price of services rendered.

(b) The secondary objectives of the Board are to maintain minimum standards of licensee competency and certain standards in the delivery of services to the public. In meeting its objectives, the Board shall do all of the following:

(1) Develop standards assuring professional competence.

(2) Monitor complaints brought against licensees regulated by the Board.

(3) Adjudicate at formal hearings.

(4) Promulgate rules and regulations.

(5) Impose sanctions where necessary against licensees.

(c) Nothing in this chapter is a direct or indirect commitment by the General Assembly to a present or future requirement that insurers or other third parties must offer or provide coverage for the services of licensees.

(65 Del. Laws, c. 172, § 1; 71 Del. Laws, c. 293, § 1; 81 Del. Laws, c. 424, § 1.)

§ 2002 Definitions [Effective until fulfillment of the contingency in 85 Del. Laws, c. 459, § 4].

As used in this chapter:

(1) “Applicant” means an individual who applies to be licensed under this chapter.

(2) “Board” means the Board of Occupational Therapy Practice established in this chapter.

(3) “Division” means the Division of Professional Regulation.

(4) “Excessive use or abuse of drugs or alcohol” or “excessively uses or abuses drugs or alcohol” means any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed physician, or the abuse of alcoholic beverage such that it impairs a person’s ability to perform the work of an occupational therapist or occupational therapy assistant.

(5) “Licensee” means an individual licensed under this chapter to practice occupational therapy services.

(6) “Occupational therapist” means a person who is licensed to practice occupational therapy under this chapter and offers such services to the public under any title incorporating the words “occupational therapy,” “occupational therapist,” or any similar title or description of occupational therapy services.

(7) “Occupational therapy assistant” means a person licensed to assist in the practice of occupational therapy under the supervision of an occupational therapist.

(8) a. “Occupational therapy services” includes any of the following:

1. The assessment, treatment, and education of or consultation with an individual, family, or other persons.

2. Interventions directed toward developing, improving, or restoring daily living skills, work readiness or work performance, play skills, or leisure capacities, or enhancing educational performance skills.

3. Providing for the development, improvement, or restoration of sensorimotor, oralmotor, perceptual or neuromuscular functioning, or emotional, motivational, cognitive, or psychosocial components of performance.

b. “Occupational therapy services” or “practice of occupational therapy” may require assessment of the need for use of interventions such as the design, development, adaptation, application, or training in the use of assistive technology devices; the design, fabrication, or application of rehabilitative technology such as selected orthotic devices; training in the use of assistive technology, orthotic or prosthetic devices; the application of thermal agent modalities, including paraffin, hot and cold packs, and fluido therapy, as an adjunct to, or in preparation for, purposeful activity; the use of ergonomic principles; the adaptation of environments and processes to enhance functional performance; or the promotion of health and wellness.

c. [Repealed.]

(9) “Person” means a corporation, company, association,or partnership, or an individual.

(10) “Practice of occupational therapy” means the use of goal-directed activities with individuals who are limited by physical limitations due to injury or illness, psychiatric and emotional disorders, developmental or learning disabilities, poverty and cultural differences, or the aging process, in order to maximize independence, prevent disability, and maintain health.

(11) “Substantially related” means the nature of the criminal conduct for which a person was convicted has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of occupational therapy.

(12) “Supervision” means the interactive process between a licensed occupational therapist and an occupational therapy assistant, and requires more than a paper review or cosignature. “Supervision” means that the supervising occupational therapist is responsible for insuring the extent, kind, and quality of the services that the occupational therapy assistant renders.

(65 Del. Laws, c. 172, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 293, § 1; 74 Del. Laws, c. 262, § 37; 80 Del. Laws, c. 80, § 11; 81 Del. Laws, c. 424, § 2; 82 Del. Laws, c. 261, §§ ?9, 16; 83 Del. Laws, c. 52, § 12.)

§ 2002 Definitions. [Effective upon fulfillment of the contingency in 85 Del. Laws, c. 459, § 4].

As used in this chapter:

(1) “Applicant” means an individual who applies to be licensed under this chapter.

(2) “Board” means the Board of Occupational Therapy Practice established in this chapter.

(3) “Division” means the Division of Professional Regulation.

(4) “Dry needling” means an intervention that meets the following criteria:

a. Uses a thin filiform needle to penetrate the skin and stimulate underlying muscular tissue, connective tissues, and myofascial trigger points for the management of neuromusculoskeletal pain and movement impairments.

b. Is based upon Western medical concepts.

c. Requires an occupational therapy examination and diagnosis.

(5) “Excessive use or abuse of drugs or alcohol” or “excessively uses or abuses drugs or alcohol” means any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed physician, or the abuse of alcoholic beverage such that it impairs a person’s ability to perform the work of an occupational therapist or occupational therapy assistant.

(6) “Licensee” means an individual licensed under this chapter to practice occupational therapy services.

(7) “Occupational therapist” means a person who is licensed to practice occupational therapy under this chapter and offers such services to the public under any title incorporating the words “occupational therapy,” “occupational therapist,” or any similar title or description of occupational therapy services.

(8) “Occupational therapy assistant” means a person licensed to assist in the practice of occupational therapy under the supervision of an occupational therapist.

(9) a. “Occupational therapy services” includes any of the following:

1. The assessment, treatment, and education of or consultation with an individual, family, or other persons.

2. Interventions directed toward developing, improving, or restoring daily living skills, work readiness or work performance, play skills, or leisure capacities, or enhancing educational performance skills.

3. Providing for the development, improvement, or restoration of sensorimotor, oralmotor, perceptual or neuromuscular functioning, or emotional, motivational, cognitive, or psychosocial components of performance.

b. “Occupational therapy services” or “practice of occupational therapy” may require assessment of the need for use of interventions such as the design, development, adaptation, application, or training in the use of assistive technology devices; the design, fabrication, or application of rehabilitative technology such as selected orthotic devices; training in the use of assistive technology, orthotic or prosthetic devices; the application of thermal agent modalities, including paraffin, hot and cold packs, and fluido therapy, as an adjunct to, or in preparation for, purposeful activity; the use of ergonomic principles; the adaptation of environments and processes to enhance functional performance; dry needling; or the promotion of health and wellness.

c. [Repealed.]

(10) “Person” means a corporation, company, association, partnership, or an individual.

(11) “Practice of occupational therapy” means the use of goal-directed activities with individuals who are limited by physical limitations due to injury or illness, psychiatric and emotional disorders, developmental or learning disabilities, poverty and cultural differences, or the aging process, in order to maximize independence, prevent disability, and maintain health.

(12) “Substantially related” means the nature of the criminal conduct for which a person was convicted has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of occupational therapy.

(13) “Supervision” means the interactive process between a licensed occupational therapist and an occupational therapy assistant, and requires more than a paper review or cosignature. “Supervision” means that the supervising occupational therapist is responsible for insuring the extent, kind, and quality of the services that the occupational therapy assistant renders.

(14) “Trigger points” means hyperirritable spots in skeletal muscle that are associated with palpable nodules in taut bands of muscle fibers. They can give rise to local or referred pain, autonomic phenomenon, and can cause limitations in range of motion and muscle activation.

(65 Del. Laws, c. 172, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 293, § 1; 74 Del. Laws, c. 262, § 37; 80 Del. Laws, c. 80, § 11; 81 Del. Laws, c. 424, § 2; 82 Del. Laws, c. 261, §§ ?9, 16; 83 Del. Laws, c. 52, § 12; 85 Del. Laws, c. 459, § 3.)

§ 2003 Board of Occupational Therapy Practice; appointments; qualifications; term; vacancies; suspension or removal; compensation.

(a) The Board of Occupational Therapy Practice administers and enforces this chapter.

(b) The Board consists of 5 members who are residents of this State and appointed by the Governor as follows:

(1) Two occupational therapists.

(2) One occupational therapy assistant.

(3) Two public members, who must meet all of the following qualifications:

a. Not be, nor ever have been, an occupational therapist or occupational therapy assistant.

b. Not be, nor ever have been, a member of the immediate family of an occupational therapist or occupational therapy assistant.

c. Not be, nor ever have been employed by an occupational therapist or occupational therapy assistant.

d. Not have a material interest in the providing of goods and services to an occupational therapist or occupational therapy assistant.

e. Not have been engaged in an activity directly related to occupational therapy.

(c) Each member is appointed for a term of 3 years. A member may succeed the member’s term for 1 additional term, and may not be appointed again until a period of 3 years has expired.

(d) [Repealed.]

(e) Any act or vote by a member appointed in violation of this section is invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless the amendment or revision amends this section to permit the appointment.

(f) (1) The Governor may remove a member for gross inefficiency, neglect of duty, malfeasance, misfeasance, or nonfeasance in office. If a member is absent from 3 consecutive meetings or attends less than 50% of meetings in a 12-month period, the member is in neglect of duty and may be assumed to have resigned, and the Governor may accept the member's resignation.

(2) A member subject to disciplinary hearing is disqualified from board business until the charge is adjudicated or the matter is otherwise concluded.

(g) A member, while serving on the Board, may not hold elective office in any professional association of occupational therapists or occupational therapy assistants, including serving as head of the professional association's Political Action Committee.

(h) The law regulating the conduct of officers and employees of the State under Chapter 58 of Title 29 applies to all members of the Board.

(i) [Repealed.]

(j) Each member of the Board must be reimbursed for all expenses involved in each meeting, including travel, and in addition must receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(65 Del. Laws, c. 172, § 1; 66 Del. Laws, c. 400, § 1; 67 Del. Laws, c. 368, § 11; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 293, § 1; 81 Del. Laws, c. 85, § 10; 81 Del. Laws, c. 424, § 3.)

§ 2004 Organization; meetings; officers; quorum.

(a) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, at such times as the chair deems necessary and at the request of a majority of the Board members.

(b) The Board shall elect annually from its members a chair, vice-chair, and secretary. Each officer shall serve for 1 year, and may not succeed the officer's term for more than 2 consecutive terms. In the event of a vacancy in 1 of the offices, the Board shall elect a replacement at the next Board meeting.

(c) A majority of the members constitutes a quorum for the purpose of transacting business. The Board may not take disciplinary action without the affirmative vote of at least 3 members.

(d) Minutes of all meetings must be recorded, and the Division shall maintain copies of meeting minutes. At any hearing where evidence is presented, a record must be made from which a verbatim transcript can be prepared. The person requesting the transcript must pay for the expense of preparing the transcript.

(65 Del. Laws, c. 172, § 1; 66 Del. Laws, c. 400, § 2; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 293, § 1; 81 Del. Laws, c. 424, § 4.)

§ 2005 Records.

The Division shall keep a register of all approved applications for license as an occupational therapist and occupational therapy assistant, and complete records relating to meetings of the Board, examinations, rosters, changes, and additions to the Board's rules and regulations, complaints, hearings, and any other matters that the Board determines. The records are prima facie evidence of the Board's proceedings.

(71 Del. Laws, c. 293, § 1; 81 Del. Laws, c. 424, § 5.)

§ 2006 Powers and duties.

(a) The Board may do all of the following:

(1) Formulate rules and regulations, with appropriate notice to those affected. Rules and regulations must be promulgated in accordance with the procedures specified in the Administrative Procedures Act (Chapter 101 of Title 29) of this State. Each rule or regulation must implement or clarify a specific section of this chapter.

(2) Designate the application form to be used by all applicants and process all applications.

(3) Designate the written, standardized examination as approved by the National Board for Certification in Occupational Therapy, Inc., or its successor, that an applicant must pass to qualify for licensure. An applicant who qualifies for licensure by reciprocity must have achieved a passing score on the national examination.

(4) [Transferred to subsection (c).]

(5) Establish minimum education, training, and experience requirements for licensure.

(6) Evaluate an applicant's credentials, in order to determine whether the applicant meets the qualifications for licensing under this chapter.

(7) Grant licenses to, and renew licenses of, an applicant or licensee who meets the qualifications for licensure or renewal of licenses.

(8) Establish by rule and regulation continuing education standards required for license renewal.

(9) Evaluate certified records to determine whether an applicant who has been previously licensed, certified, or registered in another jurisdiction to practice occupational therapy or to act as an occupational therapy assistant has engaged in any act or offense that would be grounds for disciplinary action under this chapter, and whether any disciplinary proceedings or unresolved complaints are pending against the applicant for the act or offense.

(10) Refer all complaints from licensees and the public concerning licensees, the Board's practices, or the profession to the Division

for investigation under § 8735 of Title 29, and assign a Board member to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint. A Board member who is assigned to assist the Division under this paragraph may not participate in deliberations on the complaint.

(11) Conduct hearings and issue orders in accordance with procedures established under this chapter, Chapter 101 of Title 29, and § 8735 of Title 29. The Board shall determine whether a licensee is subject to a disciplinary hearing and, if so, shall conduct the hearing in accordance with this chapter and the Administrative Procedures Act (Chapter 101 of Title 29).

(12) If the Board determines after a disciplinary hearing that penalties or sanctions should be imposed, designate and impose the appropriate sanction or penalty after time for appeal has lapsed.

(b) The Board shall promulgate regulations specifically identifying crimes which are substantially related to the practice of occupational therapy.

(c) The Board shall adopt the administration, grading procedures, and passing score of the National Board for Certification in Occupational Therapy, Inc., or its successor, or a comparable alternative national or regional examination, if a national examination is not available.

(65 Del. Laws, c. 172, § 1; 71 Del. Laws, c. 293, § 1; 74 Del. Laws, c. 262, § 38; 81 Del. Laws, c. 424, § 6.)

Chapter 20A
Interstate Occupational Therapy Licensure Compact

§ 2001A Interstate Occupational Therapy Licensure Compact.

The State hereby enters into the Interstate Occupational Therapy Licensure Compact ("Compact") as set forth in the chapter. The text of the Compact is as set forth in this chapter.

(83 Del. Laws, c. 394, § 1.)

§ 2002A Purpose.

The purpose of this Compact is to facilitate interstate practice of occupational therapy with the goal of improving public access to occupational therapy services. The practice of occupational therapy occurs in the state where the patient/client is located at the time of the patient/client encounter. The Compact preserves the regulatory authority of states to protect public health and safety through the current system of state licensure.

This Compact is designed to achieve the following objectives:

(1) Increase public access to occupational therapy services by providing for the mutual recognition of other member state licenses.

(2) Enhance the states' ability to protect the public's health and safety.

(3) Encourage the cooperation of member states in regulating multi-state occupational therapy practice;

(4) Support spouses of relocating military members.

(5) Enhance the exchange of licensure, investigative, and disciplinary information between member states.

(6) Allow a remote state to hold a provider of services with a compact privilege in that state accountable to that state's practice standards.

(7) Facilitate the use of telehealth technology in order to increase access to occupational therapy services.

(83 Del. Laws, c. 394, § 1.)

§ 2003A Definitions.

As used in this Compact, and except as otherwise provided, the following definitions shall apply:

(1) "Active duty military" means full-time duty status in the active uniformed service of the United States, including members of the National Guard and Reserve on active duty orders pursuant to 10 U.S.C. Chapter 1209 [10 U.S.C. § 12301 et seq.] and 10 U.S.C. Chapter 1211 [10 U.S.C. § 12401 et seq.].

(2) "Adverse action" means any administrative, civil, equitable, or criminal action permitted by a state's laws which is imposed by a licensing board or other authority against an occupational therapist or occupational therapy assistant, including actions against an individual's license or compact privilege such as censure, revocation, suspension, probation, monitoring of the licensee, or restriction on the licensee's practice.

(3) "Alternative program" means a nondisciplinary monitoring process approved by an occupational therapy licensing board.

(4) "Compact privilege" means the authorization, which is equivalent to a license, granted by a remote state to allow a licensee from another member state to practice as an occupational therapist or practice as an occupational therapy assistant in the remote state under its laws and rules. The practice of occupational therapy occurs in the member state where the patient/client is located at the time of the patient/client encounter.

(5) "Continuing competence/education" means a requirement, as a condition of license renewal, to provide evidence of participation in, and/or completion of, educational and professional activities relevant to practice or area of work.

(6) "Current significant investigative information" means investigative information that a licensing board, after an inquiry or investigation that includes notification and an opportunity for the occupational therapist or occupational therapy assistant to respond, if required by state law, has reason to believe is not groundless and, if proved true, would indicate more than a minor infraction.

(7) "Data system" means a repository of information about licensees, including but not limited to license status, investigative information, compact privileges, and adverse actions.

(8) "Encumbered license" means a license in which an adverse action restricts the practice of occupational therapy by the licensee or said adverse action has been reported to the National Practitioners Data Bank (NPDB).

(9) "Executive Committee" means a group of directors elected or appointed to act on behalf of, and within the powers granted to them by, the Occupational Therapy Compact Commission.

(10) "Home state" means the member state that is the licensee's primary state of residence.

(11) "Impaired practitioner" means individuals whose professional practice is adversely affected by substance abuse, addiction, or other health-related conditions.

(12) "Investigative information" means information, records, and/or documents received or generated by an occupational therapy licensing board pursuant to an investigation.

(13) "Jurisprudence requirement" means the assessment of an individual's knowledge of the laws and rules governing the practice of occupational therapy in a state.

(14) “Licensee” means an individual who currently holds an authorization from the State to practice as an occupational therapist or as an occupational therapy assistant.

(15) “Member state” means a state that has enacted the Compact.

(16) “Occupational therapist” means an individual who is licensed by a state to practice occupational therapy.

(17) “Occupational therapy assistant” means an individual who is licensed by a state to assist in the practice of occupational therapy.

(18) “Occupational therapy,” “occupational therapy practice,” and the “practice of occupational therapy” mean the care and services provided by an occupational therapist or an occupational therapy assistant as set forth in the member state’s statutes and regulations.

(19) “Occupational Therapy Compact Commission” or “Commission” means the national administrative body whose membership consists of all states that have enacted the Compact.

(20) “Occupational therapy licensing board” or “licensing board” means the agency of a state that is authorized to license and regulate occupational therapists and occupational therapy assistants.

(21) “Primary state of residence” means the state (also known as the “home state”) in which an occupational therapist or occupational therapy assistant who is not active duty military declares a primary residence for legal purposes as verified by: driver’s license, federal income tax return, lease, deed, mortgage or voter registration or other verifying documentation as further defined by Commission rules.

(22) “Remote state” means a member state other than the home state, where a licensee is exercising or seeking to exercise the compact privilege.

(23) “Rule” means a regulation promulgated by the Commission that has the force of law.

(24) “State” means any state, commonwealth, district, or territory of the United States of America that regulates the practice of occupational therapy.

(25) “Single-state license” means an occupational therapist or occupational therapy assistant license issued by a member state that authorizes practice only within the issuing state and does not include a compact privilege in any other member state.

(26) “Telehealth” means the application of telecommunication technology to deliver occupational therapy services for assessment, intervention and/or consultation.

(83 Del. Laws, c. 394, § 1.)

§ 2004A State participation in the Compact.

(a) To participate in the Compact, a member state shall:

(1) License occupational therapists and occupational therapy assistants.

(2) Participate fully in the Commission’s data system, including but not limited to using the Commission’s unique identifier as defined in rules of the Commission.

(3) Have a mechanism in place for receiving and investigating complaints about licensees.

(4) Notify the Commission, in compliance with the terms of the Compact and rules, of any adverse action or the availability of investigative information regarding a licensee.

(5) Implement or utilize procedures for considering the criminal history records of applicants for an initial compact privilege. These procedures shall include the submission of fingerprints or other biometric-based information by applicants for the purpose of obtaining an applicant’s criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state’s criminal records.

a. A member state shall, within a time frame established by the Commission, require a criminal background check for a licensee seeking/applying for a compact privilege whose primary state of residence is that member state, by receiving the results of the Federal Bureau of Investigation criminal record search, and shall use the results in making licensure decisions.

b. Communication between a member state, the Commission and among member states regarding the verification of eligibility for licensure through the Compact shall not include any information received from the Federal Bureau of Investigation relating to a federal criminal records check performed by a member state under U.S. Public Law 92-544.

(6) Comply with the rules of the Commission;

(7) Utilize only a recognized national examination as a requirement for licensure pursuant to the rules of the Commission; and

(8) Have continuing competence/education requirements as a condition for license renewal.

(b) A member state shall grant the compact privilege to a licensee holding a valid unencumbered license in another member state in accordance with the terms of the Compact and rules.

(c) Member states may charge a fee for granting a compact privilege.

(d) A member state shall provide for the state’s delegate to attend all Occupational Therapy Compact Commission meetings.

(e) Individuals not residing in a member state shall continue to be able to apply for a member state’s single-state license as provided under the laws of each member state. However, the single-state license granted to these individuals shall not be recognized as granting the compact privilege in any other member state.

(f) Nothing in this Compact shall affect the requirements established by a member state for the issuance of a single-state license.

(83 Del. Laws, c. 394, § 1.)

§ 2005A Compact privilege.

(a) To exercise the compact privilege under the terms and provisions of the Compact, the licensee shall:

(1) Hold a license in the home state.

(2) Have a valid United States Social Security number or National Practitioner Identification number.

(3) Have no encumbrance on any state license.

(4) Be eligible for a compact privilege in any member state in accordance with subsections (d), (f), (g), and (h) of this section.

(5) Have paid all fines and completed all requirements resulting from any adverse action against any license or compact privilege, and 2 years have elapsed from the date of such completion.

(6) Notify the Commission that the licensee is seeking the compact privilege within a remote state(s).

(7) Pay any applicable fees, including any state fee, for the compact privilege.

(8) Complete a criminal background check in accordance with § 2004A(a)(5) of this title.

a. The licensee shall be responsible for the payment of any fee associated with the completion of a criminal background check.

(9) Meet any jurisprudence requirements established by the remote state(s) in which the licensee is seeking a compact privilege.

(10) Report to the Commission adverse action taken by any non-member state within 30 days from the date the adverse action is taken.

(b) The compact privilege is valid until the expiration date of the home state license. The licensee must comply with the requirements of this section to maintain the compact privilege in the remote state.

(c) A licensee providing occupational therapy in a remote state under the compact privilege shall function within the laws and regulations of the remote state.

(d) Occupational therapy assistants practicing in a remote state shall be supervised by an occupational therapist licensed or holding a compact privilege in that remote state.

(e) A licensee providing occupational therapy in a remote state is subject to that state's regulatory authority. A remote state may, in accordance with due process and that state's laws, remove a licensee's compact privilege in the remote state for a specific period of time, impose fines, and/or take any other necessary actions to protect the health and safety of its citizens. The licensee may be ineligible for a compact privilege in any state until the specific time for removal has passed and all fines are paid.

(f) If a home state license is encumbered, the licensee shall lose the compact privilege in any remote state until the following occur:

a. The home state license is no longer encumbered; and

b. Two years have elapsed from the date on which the home state license is no longer encumbered in accordance with paragraph (f)a. of this section.

(g) Once an encumbered license in the home state is restored to good standing, the licensee must meet the requirements of subsection (a) of this section to obtain a compact privilege in any remote state.

(h) If a licensee's compact privilege in any remote state is removed, the individual may lose the compact privilege in any other remote state until the following occur:

(1) The specific period of time for which the compact privilege was removed has ended.

(2) All fines have been paid and all conditions have been met.

(3) Two years have elapsed from the date of completing requirements for paragraphs (h)(1) and (h)(2) of this section.

(4) The compact privileges are reinstated by the Commission, and the compact data system is updated to reflect reinstatement.

(i) If a licensee's compact privilege in any remote state is removed due to an erroneous charge, privileges shall be restored through the compact data system.

(j) Once the requirements of subsection (h) of this section have been met, the licensee must meet the requirements in subsection (a) of this section to obtain a compact privilege in a remote state.

(83 Del. Laws, c. 394, § 1.)

§ 2006A Obtaining a new home state license by virtue of compact privilege.

(a) An occupational therapist or occupational therapy assistant may hold a home state license, which allows for compact privileges in member states, in only 1 member state at a time.

(b) If an occupational therapist or occupational therapy assistant changes primary state of residence by moving between 2 member states:

(1) The occupational therapist or occupational therapy assistant shall file an application for obtaining a new home state license by virtue of a compact privilege, pay all applicable fees, and notify the current and new home state in accordance with applicable rules adopted by the Commission.

(2) Upon receipt of an application for obtaining a new home state license by virtue of compact privilege, the new home state shall verify that the occupational therapist or occupational therapy assistant meets the pertinent criteria outlined in § 2005A of this title via the data system, without need for primary source verification except for:

a. A Federal Bureau of Investigation fingerprint based criminal background check if not previously performed or updated pursuant to applicable rules adopted by the Commission in accordance with U.S. Public Law 92-544;

b. Other criminal background check as required by the new home state; and

c. Submission of any requisite jurisprudence requirements of the new home state.

(3) The former home state shall convert the former home state license into a compact privilege once the new home state has activated

the new home state license in accordance with applicable rules adopted by the Commission.

(4) Notwithstanding any other provision of this Compact, if the occupational therapist or occupational therapy assistant cannot meet the criteria in § 2005A of this title, the new home state shall apply its requirements for issuing a new single-state license.

(5) The occupational therapist or the occupational therapy assistant shall pay all applicable fees to the new home state in order to be issued a new home state license.

(c) If an occupational therapist or occupational therapy assistant changes primary state of residence by moving from a member state to a non-member state, or from a non-member state to a member state, the state criteria shall apply for issuance of a single-state license in the new state.

(d) Nothing in this compact shall interfere with a licensee's ability to hold a single-state license in multiple states; however, for the purposes of this compact, a licensee shall have only 1 home state license.

(e) Nothing in this Compact shall affect the requirements established by a member state for the issuance of a single-state license.

(83 Del. Laws, c. 394, § 1.)

§ 2007A Active duty military personnel or their spouses.

(a) Active duty military personnel, or their spouses, shall designate a home state where the individual has a current license in good standing. The individual may retain the home state designation during the period the service member is on active duty. Subsequent to designating a home state, the individual shall only change their home state through application for licensure in the new state or through the process described in § 2006A of this title.

(83 Del. Laws, c. 394, § 1.)

§ 2008A Adverse actions.

(a) A home state shall have exclusive power to impose adverse action against an occupational therapist's or occupational therapy assistant's license issued by the home state.

(b) In addition to the other powers conferred by state law, a remote state shall have the authority, in accordance with existing state due process law, to:

(1) Take adverse action against an occupational therapist's or occupational therapy assistant's compact privilege within that member state.

(2) Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing board in a member state for the attendance and testimony of witnesses or the production of evidence from another member state shall be enforced in the latter state by any court of competent jurisdiction, according to the practice and procedure of that court applicable to subpoenas issued in proceedings pending before it. The issuing authority shall pay any witness fees, travel expenses, mileage and other fees required by the service statutes of the state in which the witnesses or evidence are located.

(c) For purposes of taking adverse action, the home state shall give the same priority and effect to reported conduct received from a member state as it would if the conduct had occurred within the home state. In so doing, the home state shall apply its own state laws to determine appropriate action.

(d) The home state shall complete any pending investigations of an occupational therapist or occupational therapy assistant who changes primary state of residence during the course of the investigations. The home state, where the investigations were initiated, shall also have the authority to take appropriate action(s) and shall promptly report the conclusions of the investigations to the Occupational Therapy Compact Commission data system. The Occupational Therapy Compact Commission data system administrator shall promptly notify the new home state of any adverse actions.

(e) A member state, if otherwise permitted by state law, may recover from the affected occupational therapist or occupational therapy assistant the costs of investigations and disposition of cases resulting from any adverse action taken against that occupational therapist or occupational therapy assistant.

(f) A member state may take adverse action based on the factual findings of the remote state, provided that the member state follows its own procedures for taking the adverse action.

(g) *Joint investigations.* —

(1) In addition to the authority granted to a member state by its respective state occupational therapy laws and regulations or other applicable state law, any member state may participate with other member states in joint investigations of licensees.

(2) Member states shall share any investigative, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under the Compact.

(h) If an adverse action is taken by the home state against an occupational therapist's or occupational therapy assistant's license, the occupational therapist's or occupational therapy assistant's compact privilege in all other member states shall be deactivated until all encumbrances have been removed from the state license. All home state disciplinary orders that impose adverse action against an occupational therapist's or occupational therapy assistant's license shall include a statement that the occupational therapist's or occupational therapy assistant's compact privilege is deactivated in all member states during the pendency of the order.

(i) If a member state takes adverse action, it shall promptly notify the administrator of the data system. The administrator of the data

system shall promptly notify the home state of any adverse actions by remote states.

(j) Nothing in this Compact shall override a member state's decision that participation in an alternative program may be used in lieu of adverse action.

(83 Del. Laws, c. 394, § 1.)

§ 2009A Establishment of the Occupational Therapy Compact Commission.

(a) The compact member states hereby create and establish a joint public agency known as the Occupational Therapy Compact Commission:

(1) The Commission is an instrumentality of the compact states.

(2) Venue is proper and judicial proceedings by or against the Commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings.

(3) Nothing in this Compact shall be construed to be a waiver of sovereign immunity.

(b) *Membership, voting, and meetings.* — (1) Each member state shall have and be limited to 1 delegate selected by that member state's licensing board.

(2) The delegate shall be either:

a. A current member of the licensing board, who is an occupational therapist, occupational therapy assistant, or public member; or

b. An administrator of the licensing board.

(3) Any delegate may be removed or suspended from office as provided by the law of the state from which the delegate is appointed.

(4) The member state board shall fill any vacancy occurring in the Commission within 90 days.

(5) Each delegate shall be entitled to 1 vote with regard to the promulgation of rules and creation of bylaws and shall otherwise have an opportunity to participate in the business and affairs of the Commission. A delegate shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for delegates' participation in meetings by telephone or other means of communication.

(6) The Commission shall meet at least once during each calendar year. Additional meetings shall be held as set forth in the bylaws.

(7) The Commission shall establish by rule a term of office for delegates.

(c) The Commission shall have the following powers and duties:

(1) Establish a code of ethics for the Commission.

(2) Establish the fiscal year of the Commission.

(3) Establish bylaws.

(4) Maintain its financial records in accordance with the bylaws.

(5) Meet and take such actions as are consistent with the provisions of this Compact and the bylaws.

(6) Promulgate uniform rules to facilitate and coordinate implementation and administration of this Compact. The rules shall have the force and effect of law and shall be binding in all member states.

(7) Bring and prosecute legal proceedings or actions in the name of the Commission, provided that the standing of any state occupational therapy licensing board to sue or be sued under applicable law shall not be affected.

(8) Purchase and maintain insurance and bonds.

(9) Borrow, accept, or contract for services of personnel, including, but not limited to, employees of a member state.

(10) Hire employees, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of the Compact, and establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters.

(11) Accept any and all appropriate donations and grants of money, equipment, supplies, materials and services, and receive, utilize and dispose of the same; provided that at all times the Commission shall avoid any appearance of impropriety and/or conflict of interest.

(12) Lease, purchase, accept appropriate gifts or donations of, or otherwise own, hold, improve or use, any property, real, personal or mixed; provided that at all times the Commission shall avoid any appearance of impropriety.

(13) Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property real, personal, or mixed.

(14) Establish a budget and make expenditures.

(15) Borrow money.

(16) Appoint committees, including standing committees composed of members, state regulators, state legislators or their representatives, and consumer representatives, and such other interested persons as may be designated in this Compact and the bylaws.

(17) Provide and receive information from, and cooperate with, law-enforcement agencies.

(18) Establish and elect an Executive Committee.

(19) Perform such other functions as may be necessary or appropriate to achieve the purposes of this Compact consistent with the state regulation of occupational therapy licensure and practice.

(d) *The Executive Committee.* —

The Executive Committee shall have the power to act on behalf of the Commission according to the terms of this Compact.

(1) The Executive Committee shall be composed of 9 members.

a. Seven voting members who are elected by the Commission from the current membership of the Commission.

b. One ex-officio, nonvoting member from a recognized national occupational therapy professional association.

c. One ex-officio, nonvoting member from a recognized national occupational therapy certification organization.

(2) The ex-officio members will be selected by their respective organizations.

(3) The Commission may remove any member of the Executive Committee as provided in bylaws.

(4) The Executive Committee shall meet at least annually.

(5) The Executive Committee shall have the following duties and responsibilities:

a. Recommend to the entire Commission changes to the rules or bylaws, changes to this Compact legislation, fees paid by Compact member states such as annual dues, and any Commission Compact fee charged to licensees for the compact privilege.

b. Ensure Compact administration services are appropriately provided, contractual or otherwise.

c. Prepare and recommend the budget.

d. Maintain financial records on behalf of the Commission.

e. Monitor Compact compliance of member states and provide compliance reports to the Commission.

f. Establish additional committees as necessary.

g. Perform other duties as provided in rules or bylaws.

(e) *Meetings of the Commission.* — (1) All meetings shall be open to the public, and public notice of meetings shall be given in the same manner as required under the rulemaking provisions in § 2011A of this title.

(2) The Commission or the Executive Committee or other committees of the Commission may convene in a closed, nonpublic meeting if the Commission or Executive Committee or other committees of the Commission must discuss:

a. Noncompliance of a member state with its obligations under the Compact;

b. The employment, compensation, discipline or other matters, practices or procedures related to specific employees or other matters related to the Commission's internal personnel practices and procedures;

c. Current, threatened, or reasonably anticipated litigation;

d. Negotiation of contracts for the purchase, lease, or sale of goods, services, or real estate;

e. Accusing any person of a crime or formally censuring any person;

f. Disclosure of trade secrets or commercial or financial information that is privileged or confidential;

g. Disclosure of information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy;

h. Disclosure of investigative records compiled for law-enforcement purposes;

i. Disclosure of information related to any investigative reports prepared by or on behalf of or for use of the Commission or other committee charged with responsibility of investigation or determination of compliance issues pursuant to the Compact; or

j. Matters specifically exempted from disclosure by federal or member state statute.

(3) If a meeting, or portion of a meeting, is closed pursuant to this provision, the Commission's legal counsel or designee shall certify that the meeting may be closed and shall reference each relevant exempting provision.

(4) The Commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, and the reasons therefore, including a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release by a majority vote of the Commission or order of a court of competent jurisdiction.

(f) *Financing of the Commission.* — (1) The Commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.

(2) The Commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services.

(3) The Commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the Commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved by the Commission each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the Commission, which shall promulgate a rule binding upon all member states.

(4) The Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the Commission pledge the credit of any of the member states, except by and with the authority of the member state.

(5) The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission shall be subject to the audit and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Commission shall be audited yearly by a certified or licensed public accountant, and the report of the audit shall be included in and become part of the annual report of the Commission.

(g) *Qualified immunity, defense, and indemnification.* — (1) The members, officers, executive director, employees and representatives of the Commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error or omission that occurred, or

that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit and/or liability for any damage, loss, injury, or liability caused by the intentional or wilful or wanton misconduct of that person.

(2) The Commission shall defend any member, officer, executive director, employee, or representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties, or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining counsel; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or wilful or wanton misconduct.

(3) The Commission shall indemnify and hold harmless any member, officer, executive director, employee, or representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error or omission that occurred within the scope of commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or wilful or wanton misconduct of that person.

(83 Del. Laws, c. 394, § 1; 70 Del. Laws, c. 186, § 1; 84 Del. Laws, c. 42, § 1.)

§ 2010A Data system.

(a) The Commission shall provide for the development, maintenance, and utilization of a coordinated database and reporting system containing licensure, adverse action, and Investigative Information on all licensed individuals in member states.

(b) A member state shall submit a uniform data set to the data system on all individuals to whom this Compact is applicable (utilizing a unique identifier) as required by the rules of the Commission, including:

(1) Identifying information.

(2) Licensure data.

(3) Adverse actions against a license or compact privilege.

(4) Nonconfidential information related to alternative program participation.

(5) Any denial of application for licensure, and the reason(s) for such denial.

(6) Other information that may facilitate the administration of this Compact, as determined by the rules of the Commission.

(7) Current significant investigative information.

(c) Current significant investigative information and other investigative information pertaining to a licensee in any member state will only be available to other member states.

(d) The Commission shall promptly notify all member states of any adverse action taken against a licensee or an individual applying for a license. Adverse action information pertaining to a licensee will be available to any other member state.

(e) member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state.

(f) Any information submitted to the data system that is subsequently required to be expunged by the laws of the member state contributing the information shall be removed from the data system.

(83 Del. Laws, c. 394, § 1.)

§ 2011A Rulemaking.

(a) The Commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. rules and amendments shall become binding as of the date specified in each rule or amendment.

(b) The Commission shall promulgate reasonable rules in order to effectively and efficiently achieve the purposes of the Compact. Notwithstanding the foregoing, in the event the Commission exercises its rulemaking authority in a manner that is beyond the scope of the purposes of the Compact, or the powers granted hereunder, then such an action by the Commission shall be invalid and have no force and effect.

(c) If a majority of the legislatures of the member states rejects a rule, by enactment of a statute or resolution in the same manner used to adopt the Compact within 4 years of the date of adoption of the rule, then such rule shall have no further force and effect in any member state.

(d) Rules or amendments to the rules shall be adopted at a regular or special meeting of the Commission.

(e) Prior to promulgation and adoption of a final rule or rules by the Commission, and at least 30 days in advance of the meeting at which the rule will be considered and voted upon, the Commission shall file a notice of proposed rulemaking:

(1) On the website of the Commission or other publicly accessible platform; and

(2) On the website of each member state occupational therapy licensing board or other publicly accessible platform or the publication in which each state would otherwise publish proposed rules.

(f) The notice of proposed rulemaking shall include:

(1) The proposed time, date, and location of the meeting in which the rule will be considered and voted upon.

(2) The text of the proposed rule or amendment and the reason for the proposed rule.

(3) A request for comments on the proposed rule from any interested person.

(4) The manner in which interested persons may submit notice to the Commission of their intention to attend the public hearing and any written comments.

(g) Prior to adoption of a proposed rule, the Commission shall allow persons to submit written data, facts, opinions, and arguments, which shall be made available to the public.

(h) The Commission shall grant an opportunity for a public hearing before it adopts a rule or amendment if a hearing is requested by:

(1) At least 25 persons;

(2) A state or federal governmental subdivision or agency; or

(3) An association or organization having at least 25 members.

(i) If a hearing is held on the proposed rule or amendment, the Commission shall publish the place, time, and date of the scheduled public hearing. If the hearing is held via electronic means, the Commission shall publish the mechanism for access to the electronic hearing.

(1) All persons wishing to be heard at the hearing shall notify the executive director of the Commission or other designated member in writing of their desire to appear and testify at the hearing not less than 5 business days before the scheduled date of the hearing.

(2) Hearings shall be conducted in a manner providing each person who wishes to comment a fair and reasonable opportunity to comment orally or in writing.

(3) All hearings will be recorded. A copy of the recording will be made available on request.

(4) Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the Commission at hearings required by this section.

(j) Following the scheduled hearing date, or by the close of business on the scheduled hearing date if the hearing was not held, the Commission shall consider all written and oral comments received.

(k) If no written notice of intent to attend the public hearing by interested parties is received, the Commission may proceed with promulgation of the proposed rule without a public hearing.

(*l*) The Commission shall, by majority vote of all members, take final action on the proposed rule and shall determine the effective date of the rule, if any, based on the rulemaking record and the full text of the rule.

(m) Upon determination that an emergency exists, the Commission may consider and adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided that the usual rulemaking procedures provided in the Compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an “emergency rule” is 1 that must be adopted immediately in order to:

(1) Meet an imminent threat to public health, safety, or welfare;

(2) Prevent a loss of Commission or member state funds;

(3) Meet a deadline for the promulgation of an administrative rule that is established by federal law or rule; or

(4) Protect public health and safety.

(n) The Commission or an authorized committee of the Commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the Commission. The revision shall be subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing and delivered to the chair of the Commission prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.

(83 Del. Laws, c. 394, § 1.)

§ 2012A Oversight, dispute resolution, and enforcement.

(a) *Oversight.* — (1) The executive, legislative, and judicial branches of state government in each member state shall enforce this Compact and take all actions necessary and appropriate to effectuate the Compact’s purposes and intent. The provisions of this Compact and the rules promulgated hereunder shall have standing as statutory law.

(2) All courts shall take judicial notice of the Compact and the rules in any judicial or administrative proceeding in a member state pertaining to the subject matter of this Compact which may affect the powers, responsibilities, or actions of the Commission.

(3) The Commission shall be entitled to receive service of process in any such proceeding, and shall have standing to intervene in such a proceeding for all purposes. Failure to provide service of process to the Commission shall render a judgment or order void as to the Commission, this Compact, or promulgated rules.

(b) *Default, technical assistance, and termination.* — (1) If the Commission determines that a member state has defaulted in the performance of its obligations or responsibilities under this Compact or the promulgated rules, the Commission shall:

a. Provide written notice to the defaulting state and other member states of the nature of the default, the proposed means of curing the default and/or any other action to be taken by the Commission; and

b. Provide remedial training and specific technical assistance regarding the default.

(2) If a state in default fails to cure the default, the defaulting state may be terminated from the Compact upon an affirmative vote of a majority of the member states, and all rights, privileges and benefits conferred by this Compact may be terminated on the effective date

of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.

(3) Termination of membership in the Compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the Commission to the governor, the majority and minority leaders of the defaulting state's legislature, and each of the member states.

(4) A state that has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.

(5) The Commission shall not bear any costs related to a state that is found to be in default or that has been terminated from the Compact, unless agreed upon in writing between the Commission and the defaulting state.

(6) The defaulting state may appeal the action of the Commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the Commission has its principal offices. The prevailing member shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(c) *Dispute resolution.* — (1) Upon request by a member state, the Commission shall attempt to resolve disputes related to the Compact that arise among member states and between member and non-member states.

(2) The Commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes as appropriate.

(d) *Enforcement.* — (1) The Commission, in the reasonable exercise of its discretion, shall enforce the provisions and rules of this Compact.

(2) By majority vote, the Commission may initiate legal action in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices against a member state in default to enforce compliance with the provisions of the Compact and its promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing member shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(3) The remedies herein shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or state law.

(83 Del. Laws, c. 394, § 1.)

§ 2013A Date of implementation of the interstate Commission for Occupational Therapy Practice and associated rules, withdrawal, and amendment.

(a) The Compact shall come into effect on the date on which the Compact statute is enacted into law in the tenth member state. The provisions, which become effective at that time, shall be limited to the powers granted to the Commission relating to assembly and the promulgation of rules. Thereafter, the Commission shall meet and exercise rulemaking powers necessary to the implementation and administration of the Compact.

(b) Any state that joins the Compact subsequent to the Commission's initial adoption of the rules shall be subject to the rules as they exist on the date on which the Compact becomes law in that state. Any rule that has been previously adopted by the Commission shall have the full force and effect of law on the day the Compact becomes law in that state.

(c) Any member state may withdraw from this Compact by enacting a statute repealing the same.

(1) A member state's withdrawal shall not take effect until 6 months after enactment of the repealing statute.

(2) Withdrawal shall not affect the continuing requirement of the withdrawing state's occupational therapy licensing board to comply with the investigative and adverse action reporting requirements of this Compact prior to the effective date of withdrawal.

(d) Nothing contained in this Compact shall be construed to invalidate or prevent any occupational therapy licensure agreement or other cooperative arrangement between a member state and a non-member state that does not conflict with the provisions of this Compact.

(e) This Compact may be amended by the member states. No amendment to this Compact shall become effective and binding upon any member state until it is enacted into the laws of all member states.

(83 Del. Laws, c. 394, § 1.)

§ 2014A Construction and severability.

This Compact shall be liberally construed so as to effectuate the purposes thereof. The provisions of this Compact shall be severable and if any phrase, clause, sentence or provision of this Compact is declared to be contrary to the constitution of any member state or of the United States or the applicability thereof to any government, agency, person, or circumstance is held invalid, the validity of the remainder of this Compact and the applicability thereof to any government, agency, person, or circumstance shall not be affected thereby. If this Compact shall be held contrary to the constitution of any member state, the Compact shall remain in full force and effect as to the remaining member states and in full force and effect as to the member state affected as to all severable matters.

(83 Del. Laws, c. 394, § 1.)

§ 2015A Binding effect of Compact and other laws.

(a) A licensee providing occupational therapy in a remote state under the compact privilege shall function within the laws and regulations of the remote state.

(b) Nothing herein prevents the enforcement of any other law of a member state that is not inconsistent with the Compact.

(c) Any laws in a member state in conflict with the Compact are superseded to the extent of the conflict.

(d) Any lawful actions of the Commission, including all rules and bylaws promulgated by the Commission, are binding upon the member states.

(e) All agreements between the Commission and the member states are binding in accordance with their terms.

(f) In the event any provision of the Compact exceeds the constitutional limits imposed on the legislature of any member state, the provision shall be ineffective to the extent of the conflict with the constitutional provision in question in that member state.

(83 Del. Laws, c. 394, § 1.)

Chapter 21
OPTOMETRY

§ 2100 Objectives.

The primary objective of the Board of Examiners in Optometry, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

The secondary objectives of the Board are to maintain minimum standards of practitioner competency and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at formal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against practitioners.

(70 Del. Laws, c. 546, § 1.)

§ 2101 Definition of practice of optometry.

(a) "Practice of optometry" means the examination or measurement by any subjective or objective means including automated or testing devices for the diagnosis, treatment, and prevention of conditions of the human eye, lid, adnexa, and visual system as outlined below.

(1) "Practice of optometry" includes all of the following:

a. Use, adapting, and fitting of all types of lenses or devices except as provided in paragraph (a)(2) of this section.

b. Dispensing of any type of contact lenses that must be dispensed in accordance with a written, current contact lens prescription from a licensed physician or optometrist, including information that the Board may specify by rule or regulation.

c. Determination of refractive error or visual, muscular, or anatomical anomalies of the eye.

d. Provision or prescription of vision therapy, low-vision rehabilitation, or developmental or perceptual therapy.

(2) A license to practice optometry includes the utilization of any method or means which the optometrist is educationally qualified to provide, as established by the Delaware State Board of Examiners in Optometry and:

a. Includes performance of minor procedures on the surface of the skin of the ocular adnexa, of the cornea and conjunctiva of the globe and lid that can be performed safely with topical anesthesia and that would not require the use of injections or penetration of the globe, and the cutting or closure of human tissue by suture or staple, glue, adhesive, soldering, or cauterization. Also excludes anterior corneal stromal puncture, collagen cross-linking, postsurgical pterygium or conjuctival graft gluing of amniotic membranes, mechanical polishing of the corneal basement membrane, or any procedure that requires full- or partial-thickness incision of the sclera or cornea. Such minor procedures include: removal of superficial foreign body of the external eye conjunctiva; removal of conjunctival nonperforating foreign bodies; removal of a foreign body with or without slit lamp; superficial corneal scraping for diagnostic purposes; epilation of trichiasis by forceps; expression of conjunctival follicles; closure of lacrimal punctum by plug; intense pulsed light therapy; thermal treatment of eyelid margin for dry eye and blepharitis such as Lipiflow; and dilation of lacrimal punctum, with or without irrigation except on infant and toddler patients.

b. Prohibits surgery.

c. Prohibits the use of ophthalmic lasers or other modalities in which tissue is burned, vaporized, cut, or otherwise irreversibly altered by thermal, light-based, electromagnetic, radiation, chemical, ultrasound, infusion, cryotherapy, or similar means, excluding the use of pharmaceutical agents described in paragraph (a)(3) of this section.

d. Procedures must meet the standard of care as if performed by a physician.

(3) "Practice of optometry," as it relates to pharmaceutical agents, means as follows:

a. Includes the use of pharmaceutical agents for the diagnosis and treatment of diseases, disorders, and conditions of the eye and adnexa based on the licensing requirement that satisfies the requirement for graduate level coursework that includes general and ocular pharmacology as follows:

1. Prescription for controlled substances.

A. Schedule II controlled substances containing Hydrocodone, with a limitation on maximum 72-hour supply.

B. Schedules III, IV, and V controlled substances, with a limitation on maximum 72-hour supply.

2. Prescription for the use of an oral steroid with a limitation not to exceed a single 6-day methylprednisolone dose pack.

b. Includes the use of an epinephrine auto-injector to counteract anaphylaxis.

c. Excludes prescription for oral immuno-suppressives except for the use of oral steroids under § 2101(a)(3)a.2. of this title.

d. Excludes the prescription of oral antifungals.

e. Excludes the prescription of oral antimetabolites.

f. Excludes the prescription of any substance delivered intravenously or by injection.

g. Excludes any medication used solely for the treatment of systemic conditions outside the scope of an optometrist.

(b) For purposes of this chapter, the term "diagnostically certified optometrists" applies only to those currently licensed in the category and if that license lapses, the licensee could only relicense by meeting current licensing requirements in § 2107 of this title. The duties of a nondiagnostically certified optometrist are limited to those that do not utilize therapeutic pharmaceutical agents or perform procedures that

require subsequent treatment with therapeutic pharmaceutical agents.

(c) In administering this chapter, the State Board shall, by rule or regulation, specify those acts, services, procedures and practices which constitute the "practice of optometry" within the definitions of this section and consistent with having submitted proof of graduate level coursework that includes general and ocular pharmacology.

(d) For purposes of disability insurance, workers' compensation, standard health and accident, sickness and other insurance policies, programs and plans, if the optometrist is authorized by law to perform the particular services, the optometrist shall be entitled to compensation for services under the said programs. Individuals entitled to such services shall have freedom to choose between any optometrist and any physician skilled in diseases of the eye.

(e) [Repealed.]

(25 Del. Laws, c. 113, § 1; Code 1915, § 894; 37 Del. Laws, c. 69, § 1; 38 Del. Laws, c. 49, § 1; Code 1935, § 1003; 47 Del. Laws, c. 106, § 1; 24 Del. C. 1953, § 2101; 54 Del. Laws, c. 30; 59 Del. Laws, c. 250, §§ 1, 2, 13; 66 Del. Laws, c. 1, § 1; 69 Del. Laws, c. 288, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 172, §§ 1-3; 80 Del. Laws, c. 80, § 12; 80 Del. Laws, c. 356, § 1; 81 Del. Laws, c. 78, § 11; 82 Del. Laws, c. 261, §§ 10, 16; 83 Del. Laws, c. 52, § 13.)

§ 2102 Board of Examiners in Optometry; appointment; qualifications; terms of office; vacancies; suspension or removal; unexcused absences; compensation.

(a) The Delaware State Board of Examiners in Optometry, heretofore established and hereafter in this chapter referred to as the "Board," shall carry out and enforce this chapter.

(b) The Board shall consist of 5 members appointed by the Governor, who are residents of this State: 3 duly-licensed optometrists engaged in the actual practice of optometry and 2 public members. Said public members: Shall not be or ever have been licensed as an optometrist, ophthalmologist or optician; shall not be a member of the immediate family of an optometrist, ophthalmologist or optician; shall not have been employed by an optometrist, ophthalmologist or optician; shall not have had a material financial interest in the providing of goods and services to those licensed in this chapter; shall not have been engaged in any activity directly related to optometry; and shall not have been licensed in any health-related field or be licensed to practice law.

(c) Said public members shall be accessible to inquiries, comments and suggestions from the general public and shall be entitled to full voting privileges on all aspects of all issues which come before the Board, including the licensing process.

(d) A person who has never served on the Board may be appointed to the Board 2 consecutive times, but no such person shall thereafter be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board, or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(e) Any act or vote by a person appointed in violation of subsection (d) of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless such amendment or revision amends this section to permit such an appointment.

(f) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetency or neglect of duty. A member subject to disciplinary hearing shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(g) No member of the Board, while serving on the Board, shall hold elective office in any professional association of optometrists.

(h) The provisions set forth for "employees" in Chapter 58 of Title 29 shall apply to all members of the Board and to all agents appointed or otherwise employed by the Board.

(i) Any member who is absent without adequate reason for 3 consecutive meetings, or fails to attend at least $^{1}/_{2}$ of all regular business meetings during any calendar year, shall be guilty of neglect of duty.

(j) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(25 Del. Laws, c. 113, § 3; Code 1915, § 893; Code 1935, § 1002; 24 Del. C. 1953, § 2102; 50 Del. Laws, c. 482, § 1; 55 Del. Laws, c. 224, §§ 1, 2; 59 Del. Laws, c. 250, § 3; 66 Del. Laws, c. 1, § 1; 67 Del. Laws, c. 368, § 12; 69 Del. Laws, c. 288, § 2; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 546, §§ 2-10; 80 Del. Laws, c. 356, § 1; 81 Del. Laws, c. 85, § 11.)

§ 2103 Organization; meetings; officers; quorum.

(a) The Board shall hold a regularly scheduled business meeting at least once in each year and at such times as the President deems necessary or at the request of a majority of the Board members.

(b) The Board shall elect annually a President and Secretary. Each officer shall serve for 1 year, and shall not succeed himself or herself for more than 2 consecutive terms.

(c) A majority of the members shall constitute a quorum for the purpose of transacting business. No disciplinary action shall be taken without the affirmative vote of 3 members of the Board.

(d) Minutes of all meetings shall be recorded and copies shall be maintained by the Division of Professional Regulation. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(25 Del. Laws, c. 113, § 4; Code 1915, § 895; Code 1935, § 1004; 24 Del. C. 1953, § 2103; 59 Del. Laws, c. 250, § 11; 66 Del. Laws, c. 1, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 546, § 11.)

§ 2104 Powers and duties.

(a) The Board of Examiners in Optometry shall have authority to:

(1) Formulate rules and regulations, with appropriate notice to those affected; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act [Chapter 101 of Title 29] of this State. Each rule or regulation shall implement or clarify a specific section of this chapter.

(2) Designate the application form to be used by all applicants and to process all applications.

(3) Designate the written, standardized, national examination, approved by the Division of Professional Regulation, to be taken by all persons applying for licensure; applicants who qualify for licensure by reciprocity shall have achieved a passing score on the designated national examination.

(4) The Board shall adopt the administration, grading procedures and passing score set by the national board or of a comparable alternative national or regional examination, if a national examination is not available.

(5) Establish minimum education, training and experience requirements for licensure as optometrists.

(6) Evaluate the credentials of all persons applying for a licensure to practice optometry in Delaware in order to determine whether such persons meet the qualifications for licensing set forth in this chapter.

(7) Grant licenses to and renew licenses of all persons who meet the qualifications for licensure and/or renewal of licenses.

(8) Establish by rule and regulation continuing education standards required for license renewal.

(9) Evaluate certified records to determine whether an applicant for licensure who has been previously licensed, certified or registered in another jurisdiction to practice optometry has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against such applicants for such acts or offenses.

(10) Refer all complaints from licensees and the public concerning licensed optometrists or concerning practices of the Board or of the profession to the Division of Professional Regulation for investigation pursuant to § 8735 of Title 29; and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint.

(11) Conduct hearings and issue orders in accordance with procedures established pursuant to this chapter, Chapter 101 of Title 29 and § 8735 of Title 29. Where such provisions conflict with this chapter, this chapter shall govern. The Board shall determine whether or not an optometrist shall be subject to a disciplinary hearing, and if so, shall conduct such hearing in accordance with this chapter and the Administrative Procedures Act [Chapter 101 of Title 29].

(12) Where it has been determined after a disciplinary hearing that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty after time for appeal has lapsed.

(b) The Board of Optometry shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of optometry.

(37 Del. Laws, c. 69, § 2; Code 1915, § 895A; Code 1935, § 1005; 24 Del. C. 1953, § 2104; 66 Del. Laws, c. 1, § 1; 70 Del. Laws, c. 546, § 12; 75 Del. Laws, c. 436, § 20.)

§ 2105 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division of Professional Regulation in its service on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each calendar year, the Division of Professional Regulation, or any other state agency acting in its behalf, shall compute for each separate service or activity, the appropriate Boards fees for the coming year.

(25 Del. Laws, c. 113, § 11; Code 1915, § 902; 37 Del. Laws, c. 44, § 1; Code 1935, § 1012; 42 Del. Laws, c. 94, § 2; 24 Del. C. 1953, § 2105; 55 Del. Laws, c. 224, § 3; 60 Del. Laws, c. 460, § 1; 66 Del. Laws, c. 1, § 1; 70 Del. Laws, c. 546, § 13.)

§ 2106 License required.

(a) No person shall engage in the practice of optometry or hold himself or herself out to the public in this State as being qualified to practice optometry or use in connection with that person's name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the person is qualified to practice optometry, unless such person has been duly licensed under this chapter.

(b) Whenever a license to practice as an optometrist in this State has expired or been suspended or revoked, it shall be unlawful for the person to practice optometry in this State.

(25 Del. Laws, c. 113, § 2; Code 1915, § 899; 40 Del. Laws, c. 30; Code 1935, § 1009; 24 Del. C. 1953, § 2106; 66 Del. Laws, c. 1, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 546, § 14.)

§ 2107 Qualifications of applicant; report to Attorney General; judicial review.

(a) An applicant who is applying for licensure as an optometrist under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that such person:

(1) Has received a degree of "doctor of optometry" from a legally incorporated and accredited optometric college or school which has been approved by the appropriate accrediting body of the American Optometric Association.

(2) Has achieved the passing score on a nationally recognized, written, standardized examination in optometry that includes diagnosis, treatment, and management of ocular disease, approved by the Division of Professional Regulation. The examination in this section must be administered at least once each year.

(3) Has completed a 6-month internship in optometry, which shall be approved by the Board; the Board shall waive the internship when the applicant meets the requirements of § 2109 of this title.

(4) Has not engaged in any of the acts or offenses that would be grounds for disciplinary action under this chapter and has no disciplinary proceedings or unresolved complaints pending against the applicant in any jurisdiction where the applicant has previously been or currently is licensed as an optometrist.

(5) Possesses current cardio-pulmonary resuscitation (CPR) certification for adults and children.

(6) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, has not been convicted of a felony sexual offense.

(7) Has submitted, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement for the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

b. A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Board of Optometry shall be the screening point for the receipt of said federal criminal history records.

c. An applicant may not be licensed as an optometrist until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction that is substantially related to the practice of optometry may not be licensed by the Board unless a waiver is granted pursuant to § 2113(a)(8) of this title.

(b) Where the Board has found to its satisfaction that an applicant has been intentionally fraudulent or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(c) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification, has imposed higher or different standards for that applicant than for other applicants or licensees or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(d) All individuals licensed to practice optometry in this State shall be required to be fingerprinted by the State Bureau of Identification, at the licensee's expense, for the purposes of performing subsequent criminal background checks. Licensees shall submit by January 1, 2016, at the applicant's expense, fingerprints and other necessary information in order to obtain a criminal background check.

(25 Del. Laws, c. 113, § 5; Code 1915, § 896; 35 Del. Laws, c. 58, § 1; Code 1935, § 1006; 42 Del. Laws, c. 94, § 1; 47 Del. Laws, c. 106, § 2; 24 Del. C. 1953, § 2107; 53 Del. Laws, c. 108, § 22; 58 Del. Laws, c. 511, § 56; 59 Del. Laws, c. 250, § 4; 60 Del. Laws, c. 460, § 2; 66 Del. Laws, c. 1, § 1; 69 Del. Laws, c. 288, § 3; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 546, § 15; 79 Del. Laws, c. 277, § 7; 80 Del. Laws, c. 356, § 1; 83 Del. Laws, c. 433, § 15.)

§ 2108 Examinations [Repealed].

25 Del. Laws, c. 113, § 5; Code 1915, § 896; 35 Del. Laws, c. 58, § 1; Code 1935, § 1006; 42 Del. Laws, c. 94, § 1; 47 Del. Laws, c. 106, § 2; 24 Del. C. 1953, § 2108; 66 Del. Laws, c. 1, § 1; 69 Del. Laws, c. 288, § 4; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 546, §§ 33-35; repealed by 80 Del. Laws, c. 356, § 1, effective Aug. 3, 2016.

§ 2109 Reciprocity.

The Board shall waive the internship requirement for an applicant holding a valid license to practice optometry issued by another jurisdiction and who has practiced for a minimum of 5 years in such other jurisdiction with standards of licensure which are equal to or greater than those of this chapter and grant a license by reciprocity to such applicant. The applicant shall contact the National Practitioner Data Bank, requesting that verification be sent to the Board regarding that applicant's licensure status. In addition, the applicant shall contact each jurisdiction where that applicant currently is licensed or has been previously licensed or otherwise authorized to practice optometry and request that a certified statement be provided to the Board stating whether or not there are disciplinary proceedings or unresolved complaints pending against the applicant. In the event there is a disciplinary proceeding or unresolved complaint pending, the applicant shall not be licensed until the proceeding or complaint has been resolved.

(25 Del. Laws, c. 113, § 5; Code 1915, § 896; 35 Del. Laws, c. 58, § 1; Code 1935, § 1006; 42 Del. Laws, c. 94, § 1; 47 Del. Laws, c. 106, § 2; 24 Del. C. 1953, § 2109; 53 Del. Laws, c. 108, § 23; 60 Del. Laws, c. 460, § 3; 66 Del. Laws, c. 1, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 546, § 16; 80 Del. Laws, c. 356, § 1.)

§ 2110 Internship requirements; temporary licenses.

Every applicant, except those applicants who qualify for licensure by reciprocity, shall be required to complete a Board-approved 6-month internship in optometry. The internship shall be completed after the applicant has passed all parts of the national, written, standardized examination in optometry, which is approved by the Division, including the examination on the treatment and management of ocular disease (TMOD), approved by the Division.

The Board may grant temporary licenses to any candidate successfully passing the written examinations. The temporary license shall be issued only for the duration of the internship.

(Code 1935, c. 31; 47 Del. Laws, c. 106, § 5; 24 Del. C. 1953, § 2110; 50 Del. Laws, c. 482, § 2; 66 Del. Laws, c. 1, § 1; 67 Del. Laws, c. 200, § 2; 69 Del. Laws, c. 288, § 5; 70 Del. Laws, c. 546, §§ 17-19, 36; 80 Del. Laws, c. 356, § 1.)

§ 2111 Certification and registration for successful applicants.

All persons successfully passing the examinations for licensure as required by the chapter shall be registered in the Board Register, which shall be kept by the Division of Professional Regulation, as licensed to practice optometry and shall also receive an endorsement of such registration.

(25 Del. Laws, c. 113, § 5; Code 1915, § 896; 35 Del. Laws, c. 58, § 1; Code 1935, § 1006; 42 Del. Laws, c. 94, § 1; 47 Del. Laws, c. 106, § 2; 24 Del. C. 1953, § 2111; 53 Del. Laws, c. 108, § 24; 66 Del. Laws, c. 1, § 1; 69 Del. Laws, c. 288, § 6; 70 Del. Laws, c. 546, § 37; 80 Del. Laws, c. 356, § 1.)

§ 2112 Issuance and renewal of licenses.

The Board shall issue a license to each applicant who meets the requirements of this chapter for licensure as an optometrist and who pays the fee established under § 2105 of this title.

Each license shall be renewed biennially, in such manner as is determined by the Division of Professional Regulation and upon payment of the appropriate fee and submission of a renewal form provided by the Division of Professional Regulation and proof that the licensee has met the continuing education requirements established by the Board.

The Board, in its rules and regulations, shall determine the period of time within which a licensed optometrist may still renew that licensed optometrist's license, notwithstanding the fact that such licensee has failed to renew on or before the renewal date.

An optometrist currently holding an active diagnostic license may not utilize therapeutic pharmaceutical agents or perform procedures that require subsequent treatment with therapeutic pharmaceutical agents. An optometrist holding an active diagnostic license may renew such license under the terms determined by the Board in the rules and regulations.

The 6-month internship for Delaware optometric licensure may not be completed under the supervision of a diagnostic optometrist.

A diagnostically-licensed optometrist may convert to an unrestricted license by completing the requirements set forth in the rules and regulations.

A lapsed diagnostic license may not be reactivated.

(25 Del. Laws, c. 113, § 12; Code 1915, § 903; Code 1935, § 1013; 42 Del. Laws, c. 94, § 3; 24 Del. C. 1953, § 2112; 53 Del. Laws, c. 108, § 25; 59 Del. Laws, c. 250, § 12; 60 Del. Laws, c. 460, § 4; 66 Del. Laws, c. 1, § 1; 69 Del. Laws, c. 288, § 7; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 546, § 20; 80 Del. Laws, c. 356, § 1.)

§ 2113 Grounds for refusal, revocation or suspension of licenses.

(a) A practitioner licensed under this chapter shall be subject to disciplinary actions set forth in § 2115 of this title if, after a hearing, the Board finds that the optometrist has:

(1) Practiced in a merchandising store;

(2) Practiced in an office not exclusively devoted to the practice of optometry or other health care profession, where material or merchandise is displayed pertaining to a business or commercial undertaking not bearing any relation to the practice of optometry or other health care profession or practicing in a store or office which does not conform to that used by the majority of professional optometrists in the area;

(3) Continued in the employ of, or acted as an assistant to, any person, firm or corporation, either directly or indirectly, after the optometrist has knowledge that such person, firm or corporation is violating the laws of Delaware concerning the practice of optometry;

(4) Solicited in person or through an agent or agents for the purpose of selling ophthalmic materials or optometric services which involves any form of kickback arrangement or where financial remuneration or payment in kind is made to a nonpractitioner to induce referral business from that nonpractitioner;

(5) Caused or permitted the use of that optometrist's name, profession or professional title by or in conjunction with any association, company, corporation or unlicensed person in any advertising of any manner, unless in conjunction with a vision service plan approved by the Board;

(6) Practiced for or in conjunction with, either directly or indirectly, a corporation or company, except that allowed under Chapter 6 of Title 8; provided, that the foregoing shall not prevent a person licensed pursuant to this chapter from rendering optometric services at a nonprofit clinic which is operated by a corporation or company that is affiliated with a hospital licensed by the Department of Health and Social Services and accredited by the Joint Commission on Accreditation of Health Organizations (JCAH) or the American Osteopathic Association;

(7) Employed or knowingly cooperated in fraud or material deception in order to acquire a license as an optometrist; has impersonated another person holding a license or allowed another person to use that optometrist's license; or aided or abetted a person not licensed as an optometrist to represent oneself as an optometrist;

(8) Been convicted of a crime that is substantially related to the practice of optometry. "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of optometry. A copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence therefor; however, after a hearing or review of documentation and consideration of the factors set forth in § 8735(x)(3) of Title 29, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(8) if it finds that granting a waiver would not create an unreasonable risk to public safety;

a.-d. [Repealed.]

(9) Excessively used or abused drugs (including alcohol, narcotics or chemicals);

(10) Engaged in an act of consumer fraud or deception; engaged in the restraint of competition; or participated in price-fixing activities;

(11) Had that optometrist's license, certification or registration as an optometrist suspended or revoked or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record, and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every person licensed as an optometrist in this State shall be deemed to have given consent to the release of this information by the Board of Examiners in Optometry, or other comparable agencies in another jurisdiction, and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses;

(12) Failed to notify the Board that the optometrist's license, certification or registration as an optometrist in another state has been subject to discipline or has been surrendered, suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof;

(13) Engaged in illegal, negligent or unethical conduct in the practice of optometry; or

(14) Violated any provision of this chapter or any rule or regulation of the Board.

(b) Where a practitioner fails to comply with the Board's request that the practitioner attend a hearing, the Board may petition the Superior Court to order such attendance, and the said Court or any judge assigned thereto shall have the jurisdiction to issue such order.

(c) Subject to this chapter and Chapter 101 of Title 29, no license shall be restricted, suspended or revoked by the Board and no practitioner's right to practice optometry shall be limited by the Board until such practitioner has been given notice and an opportunity to be heard, in accordance with the Administrative Procedures Act [Chapter 101 of Title 29].

(25 Del. Laws, c. 113, § 13; Code 1915, § 904; 37 Del. Laws, c. 69, § 3; Code 1935, § 1014; 47 Del. Laws, c. 106, § 3; 24 Del. C. 1953, § 2113; 59 Del. Laws, c. 250, §§ 5-9; 63 Del. Laws, c. 115, § 2; 66 Del. Laws, c. 1, § 1; 70 Del. Laws, c. 149, § 212; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 546, § 21; 72 Del. Laws, c. 172, § 4; 74 Del. Laws, c. 262, § 41; 75 Del. Laws, c. 436, § 21; 77 Del. Laws, c. 199, § 17; 78 Del. Laws, c. 44, §§ 34, 35; 83 Del. Laws, c. 433, § 15; 84 Del. Laws, c. 42, § 1.)

§ 2114 Complaints.

All complaints shall be received and investigated by the Division of Professional Regulation in accordance with § 8735 of Title 29 and the Division shall be responsible for issuing a final written report at the conclusion of its investigation.

When it is determined that an individual is engaging in the practice of optometry or is using the title "optometrist" and is not licensed under the laws of this State, the Board shall apply to the office of the Attorney General to issue a cease and desist order after formally warning the unlicensed practitioner in accordance with the provisions of this chapter.

Any complaints involving allegations of unprofessional conduct or incompetence shall be investigated by the Division of Professional Regulation.

(70 Del. Laws, c. 546, § 22.)

§ 2115 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 of the conditions or violations set forth in § 2113 of this title applies to a practitioner regulated by this chapter:

(1) Issue a letter of reprimand;

(2) Publicly censure a practitioner;

(3) Place a practitioner on probationary status and require the practitioner to:

a. Report regularly to the Board upon the matters which are the basis of the probation; and

b. Limit all practice and professional activities to those areas prescribed by the Board;

(4) Suspend any practitioner's license;

(5) Revoke any practitioner's license;

(6) Impose a monetary penalty not to exceed $500 for each violation in addition to suspension or revocation of a license; and/or

(7) The Board shall permanently revoke the license to practice optometry of a person who is convicted of a felony sexual offense.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such action have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has

been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(d) Where a license has been suspended due to a disability of the licensee, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee is able to practice with reasonable skill and safety.

(e) As a condition to reinstatement of a suspended license or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(70 Del. Laws, c. 546, § 23; 79 Del. Laws, c. 213, § 2; 79 Del. Laws, c. 277, § 8.)

§ 2116 Hearing procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29, alleging violation of § 2115 of this chapter, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings shall be informal without use of rules of evidence. If the Board finds by a majority vote of all members that the complaint has merit, the Board shall take such action permitted under this chapter as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. The Board's decision shall be mailed immediately to the practitioner.

(c) Where the practitioner is in disagreement with the action of the Board, that practitioner may appeal the Board's decision to the Superior Court within 30 days of service or of the postmarked date of the copy of the decision mailed to the practitioner. Upon such appeal the Court shall hear the evidence on the record. Stays shall be granted in accordance with § 10144 of Title 29.

(70 Del. Laws, c. 546, § 30; 70 Del. Laws, c. 186, § 1.)

§ 2117 Reinstatement of a suspended license; removal from probationary status.

(a) As a condition to reinstatement of a suspended license or removal from probationary status, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee or registrant has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension and/or the probation.

(b) Where a license has been suspended due to the licensee's inability to practice pursuant to this chapter, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee is again able to perform the essential functions of an optometrist, with or without reasonable accommodations and/or there is no longer a significant risk of substantial harm to the health and safety of the individual or others.

(c) Applicants for reinstatement must pay the appropriate fees and submit documentation required by the Board as evidence that all the conditions of a suspension and/or probation have been met. Proof that the applicant has met the continuing education requirements of this chapter may also be required, as appropriate.

(d) [Repealed.]

(70 Del. Laws, c. 546, § 30; 82 Del. Laws, c. 8, § 9.)

§ 2118 Exemptions.

(a) Nothing in this chapter shall be construed to prevent the sale and/or application of spectacles in the ordinary course of trade, provided no part of this chapter is violated by this exemption.

(b) Those persons having the degree of Doctor of Medicine or Doctor of Osteopathy and licensed to practice medicine and surgery in this State under Chapter 17 of this title shall be exempt from this chapter, and nothing in this chapter shall apply to or restrict a nationally registered contact lens technician, acting under a valid written spectacle prescription not more than 2 years old and under the supervision of a licensed ophthalmologist or optometrist, as defined in subsection (c) of this section, whose office is on the same premises as the contact lens technician. The contact lens technician shall keep the Board informed of the identity and office location of the contact lens technician's licensed supervising ophthalmologist or optometrist.

(c) For purposes of subsection (b) of this section, the following definitions apply:

(1) "On the same premises" means being within the same building as the designated licensed supervising ophthalmologist or optometrist. The building occupied by the designated licensed supervising ophthalmologist or optometrist must not include space with a building or structure owned, leased or occupied by the designated licensed supervising practitioner in which the designated licensed supervising practitioner does not engage in the regular and consistent practice of ophthalmology or optometry.

(2) "Supervision" means the regular and consistent physical presence and availability of a designated licensed supervising ophthalmologist or optometrist within the same building as the contact lens technician.

(25 Del. Laws, c. 113, § 17; Code 1915, § 907; 37 Del. Laws, c. 69, § 6; Code 1935, § 1017; 24 Del. C. 1953, § 2117; 59 Del. Laws, c. 250, § 14; 61 Del. Laws, c. 346, § 1; 66 Del. Laws, c. 1, § 1; 69 Del. Laws, c. 288, § 8; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 546, §§ 24, 25, 29; 72 Del. Laws, c. 424, § 1.)

§ 2119 Discrimination by state boards between optometrists and ophthalmologists forbidden.

No state board or commission, created or existing by law, including public schools and other state agencies, in the performance of their duties, shall in any way show any discrimination between optometrists and ophthalmologists.

All boards or commissions shall honor ocular reports or other professional services by legally qualified and licensed optometrists in this

State.

(Code 1915, § 905A; 40 Del. Laws, c. 101, § 1; Code 1935, § 1005; 24 Del. C. 1953, § 2118; 66 Del. Laws, c. 1, § 1; 69 Del. Laws, c. 288, § 8; 70 Del. Laws, c. 546, §§ 26, 29.)

§ 2120 Penalty.

A person not currently licensed as an optometrist under this chapter, when guilty of engaging in the practice of optometry or using in connection with that person's name, or otherwise assuming or using any title or description conveying, or tending to convey the impression that the person is qualified to practice optometry, shall be guilty of a misdemeanor. Upon the first offense, that person shall be fined not less than $100 nor more than $500 for each offense; and, in addition, may be imprisoned for not more than 1 year. For a second or subsequent conviction, the fine shall be not less than $500 nor more than $1,000 for each offense. Superior Court shall have jurisdiction over all violations of this chapter.

(25 Del. Laws, c. 113, §§ 15, 16; Code 1915, § 906; 37 Del. Laws, c. 69, § 5; 38 Del. Laws, c. 49, § 2; Code 1935, § 1016; 24 Del. C. 1953, § 2119; 54 Del. Laws, c. 332; 66 Del. Laws, c. 1, § 1; 69 Del. Laws, c. 288, § 8; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 546, §§ 27, 29.)

§ 2121 Continuing education requirements.

(a), (b) [Repealed.]

(c) The Board shall publish in its rules and regulations the guidelines governing acceptable continuing education requirements.

(d) In the event that any optometrist licensed in this State fails to meet continuing education requirements, that optometrist's license shall lapse, and not be eligible for renewal, at the end of the licensing period in which the requirements were not met. The Board may provide for hardship exceptions to the continuing education requirements in its rules and regulations. Subject to the time period for renewal established pursuant to § 2112 of this title, the Board shall renew such license upon presentation of satisfactory evidence of successful completion of continuing education requirements and upon payment of all fees due.

(70 Del. Laws, c. 546, §§ 28, 29; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 172, § 5; 80 Del. Laws, c. 356, § 1.)

§ 2122 Prescription requirements.

(a) No written prescription shall be prescribed if it does not contain the following information clearly written, clearly hand printed, electronically printed, or typed:

(1) The name, address and phone number of the prescriber;

(2) The name and strength of the drug prescribed;

(3) The quantity of the drug prescribed;

(4) The directions for use of the drug;

(5) Date of issue.

(b) For purposes of this chapter, "electronic prescription" means a prescription that is generated on an electronic application and transmitted as an electronic data file. Notwithstanding any other provision of this section or any other law to the contrary, no person licensed under this chapter shall issue any prescription unless such prescription is made by electronic prescription from the person issuing the prescription to a pharmacy in accordance with regulations established by the Board, except for prescriptions issued:

(1) By a veterinarian.

(2) In circumstances where electronic prescribing is not available due to temporary technological or electrical failure, as set forth in regulation established by the Board.

(3) By a practitioner to be dispensed by a pharmacy located outside the state, as set forth in regulations established by the Board.

(4) When the prescriber and dispenser are the same entity.

(5) That include elements that are not supported by the most recently implemented version of the National Council for Prescription Drug Programs Prescriber/Pharmacist Interface SCRIPT Standard.

(6) By a practitioner for a drug that the Federal Food and Drug Administration requires the prescription to contain certain elements that are not able to be prescribed with electronic prescribing.

(7) By a practitioner allowing for the dispensing of a nonpatient specific prescription pursuant to a standing order, approved protocol for drug therapy, collaborative drug management or comprehensive medication management, in response to a public health emergency, or other circumstances where the practitioner may issue a nonpatient specific prescription.

(8) By a practitioner prescribing a drug under a research protocol.

(9) By practitioners who have received a waiver or a renewal thereof for a specified period determined by the Board, not to exceed 1 year, from the requirement to use electronic prescribing, pursuant to regulations established by the Board, due to economic hardship, technological limitations that are not reasonably within the control of the practitioner, or other exceptional circumstance demonstrated by the practitioner.

(10) By a practitioner under circumstances where, notwithstanding the practitioner's present ability to make an electronic prescription as required by this subsection, such practitioner reasonably determines that it would be impractical for the patient to obtain substances prescribed by electronic prescription in a timely manner, and such delay would adversely impact the patient's medical condition.

(c) A pharmacist who receives a written, oral or faxed prescription is not required to verify that the prescription properly falls under 1 of

the exceptions under subsection (b) of this section, from the requirement to electronically prescribe. Pharmacists may continue to dispense medications from otherwise valid written, oral or fax prescriptions that are otherwise legal.

(d) Optometrists who apply for a provider identifier number for controlled substances shall do so as outlined by the Division of Professional Regulation.

(e) A completed application must provide proof of graduate level coursework that includes general and ocular pharmacology.

(f) Controlled substances registration must include both of the following:

(1) Optometrists must register with the Drug Enforcement Agency [DEA] and use such DEA number for controlled substance prescriptions.

(2) Optometrists must register biennially with the Office of Controlled Substances in accordance with § 4732 of Title 16.

(75 Del. Laws, c. 161, § 7; 80 Del. Laws, c. 356, § 1; 82 Del. Laws, c. 75, § 5.)

§ 2123 Duty to report conduct that constitutes grounds for discipline or inability to practice.

(a) Every optometrist to whom a license to practice has been issued under this chapter has a duty to report to the Division of Professional Regulation, in writing, information that the licensee reasonably believes indicates that any other optometrist licensed under this chapter or any other healthcare provider has engaged in or is engaging in conduct that would constitute grounds for disciplinary action under this chapter or the other healthcare provider's licensing statute.

(b) Every individual to whom a license to practice has been issued under this chapter has a duty to report to the Division of Professional Regulation, in writing, information that the licensee reasonably believes indicates that any other optometrist licensed under this chapter or any other healthcare provider may be unable to practice with reasonable skill and safety to the public by reason of: mental illness or mental incompetence; physical illness, including deterioration through the aging process or loss of motor skill; or excessive use or abuse of drugs, including alcohol.

(c) Every individual to whom a license to practice has been issued under this chapter has a duty to report to the Division of Professional Regulation any information that the reporting individual reasonably believes indicates that an individual certified and registered to practice optometry in this State is or may be guilty of unprofessional conduct or may be unable to practice medicine with reasonable skill or safety to patients by reason of: mental illness or mental incompetence; physical illness, including deterioration through the aging process or loss of motor skill; or excessive use or abuse of drugs, including alcohol.

(d) All reports required under this section must be filed within 30 days of becoming aware of such information. An individual reporting or testifying in any proceeding as a result of making a report pursuant to this section is immune from claim, suit, liability, damages, or any other recourse, civil or criminal, if the individual acted in good faith and without gross or wanton negligence; good faith being presumed until proven otherwise, and gross or wanton negligence required to be shown by the complainant.

(80 Del. Laws, c. 356, § 1.)

Chapter 23
PAWNBROKERS, SECONDHAND DEALERS AND SCRAP METAL PROCESSORS

Subchapter I

General Provisions

§ 2301 Definitions.

As used in this chapter:

(1) "Antique dealer" means a person, company, corporation, or member or members of a partnership or firm who sells exclusively goods that are at least 50 years old.

(2) "Automated kiosk" means an interactive device that is permanently installed within a secure retail space and that has the following technological functions:

a. Monitored remotely by a live representative during all business hours of operation;

b. Verification of a seller's identity via a government-issued identification card;

c. Secure storage of goods accepted by the kiosk;

d. Capture and storage of images during the transaction; and

e. Electronically report all transactions to law enforcement.

(3) "Catalytic converter" means a used, detached catalytic converter that is used for controlling the exhaust emissions from motor vehicles, and that contains a catalyst metal, but does not include a catalytic converter that has been tested, certified, and labeled for reuse in accordance with U.S. Environmental Protection Agency regulations.

(4) "Consumer" means any person or buyer who purchases a "retail" product, as defined in paragraph (11) of this section, other than for further purposes of resale or processing.

(5) "Department" shall mean the Department of Safety and Homeland Security.

(6) "Director" shall mean the officer in charge of the Professional Licensing Section of the Division.

(7) "Division" shall mean the Division of the Delaware State Police.

(8) "Exempted Internet acquisitions" means any property acquired by a business licensed under this chapter from an exclusive Internet sale.

(9) "Gift card" means a tangible device, whereon is embedded or encoded in an electronic or other format a value issued in exchange for payment that may be used to obtain merchandise, goods, or services at a single retailer of goods or services or an affiliated group of retailers of goods, which may provide to the bearer merchandise in a specific amount or of equal value to the bearer of the device.

(10) "Pawnbroker" means any person, company, corporation, or member or members of a partnership or firm who:

a. Engages in the business of lending money on the deposit or pledge of personal property or other valuable things, other than causes in action, securities, or written evidences of indebtedness; or

b. Purchases personal property with an expressed or implied agreement or understanding to sell it back at a subsequent time at a stipulated price; or

c. Lends money upon goods, wares or merchandise pledged, stored or deposited as collateral security.

(11) "Retail" means the sale or purchase for final consumption in contrast to a sale for further sale or processing, or a sale to the final consumer, rather than a sale to a retailer or one who intends to resell.

(12) "Scrap metal processor" means any person, company, corporation, or member or members of a partnership or firm engaged in the business of selling or receiving any worn out or discarded metal, old iron, used plumbing fixtures, other metals, automobiles, automobile parts, chain, copper, lead, brass, or other parts of machinery.

(13) "Secondhand dealer" means any person, company, corporation, or member or members of a partnership or firm whose storefront business includes any volume of selling or receiving previously owned, used, rented or leased tangible personal property excluding motor vehicles. The term "secondhand dealer" includes any individual engaged in the business of receiving tangible personal property by means of an automated kiosk. The term "secondhand dealer" shall not include auction houses, flea markets, antique dealers or motor vehicle dealers. This chapter, as it relates to secondhand dealers, does not apply to:

a. The sale of secondhand goods at events commonly known as "garage sales," "yard sales," or "estate sales";

b. The sale or receipt of secondhand books, magazines, post cards, postage stamps;

c. The sale or receipt of used merchandise donated to recognized nonprofit, religious, or charitable organizations or any school-sponsored association for which no compensation is paid;

d. The sale or receipt of secondhand furniture;

e. The sale or receipt of secondhand clothing and shoes;

f. The sale of goods exclusively via the Internet that meet the definition of "exempted Internet acquisition" set forth in this section;

g. Federal firearms licensed dealers;

h. The retail sale or purchase of goods, notwithstanding any and all articles under § 2302(b) of this title, and/or produce or other

food products to a consumer, by a person, company, corporation, member or members of a partnership or firm from a location that the person, company, corporation, member or members or a partnership or firm, owns or leases; or

i. The taking in trade by a business of an item of a like kind to items which such business sells as new goods as the principal or substantial part of its business.

(14) "Secretary" shall mean the Secretary of the Department of Safety and Homeland Security.

(15) "Section" shall mean the Professional Licensing Section of the Division.

(16) "Superintendent" shall mean the Superintendent of the Division.

(24 Del. Laws, c. 162, § 1; Code 1915, § 1196; Code 1935, § 1382; 46 Del. Laws, c. 136, § 1; 24 Del. C. 1953, § 2301; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 284, § 1; 77 Del. Laws, c. 185, § 2; 78 Del. Laws, c. 184, §§ 1- 6; 79 Del. Laws, c. 299, § 1; 80 Del. Laws, c. 395, § 1; 81 Del. Laws, c. 102, § 1; 84 Del. Laws, c. 138, § 1; 84 Del. Laws, c. 207, § 2.)

§ 2302 Reporting requirements.

(a) Every pawnbroker and secondhand dealer shall create a record and provide information regarding merchandise acquired via an electronic format to be determined by the Secretary of Safety and Homeland Security.

(1) Such record shall include, at a minimum, the following information:

a. The date and time of purchase;

b. The make, model, identifying markings, color, size, any listed serial number, International Mobile Station Equipment Identity (IMEI), the Mobile Equipment Identifier (MEID), any unique identifying number assigned by the manufacturer, or any other identifiable characteristics of the purchased item or items;

c. If payment is based on weight for precious metal, the weight of the type of the metal shall be listed as well as any precious stone(s) which is a part of the item;

d. The amount or other consideration paid for the merchandise;

e. The name and address of the individual from whom the merchandise is acquired;

f. The signature of the individual from whom the merchandise is acquired;

g. For each individual from whom the pawn broker or secondhand dealer acquires scrap metal:

1. The date of birth and driver's license; or,

2. Identification information about the individual from a valid state-issued photo identification card that provides a physical description of the individual, including the sex, race, distinguishing features, and approximate age, height and weight of the individual.

(2) Pawnbrokers and secondhand dealers shall collect a photograph of the seller and all information pertaining to the seller, required on the electronic form, for every transaction regardless of value.

(3) Automated kiosks shall collect a right thumbprint image of the seller and all information pertaining to the seller including photograph and valid government issued identification, required on the electronic form, for every transaction regardless of value.

(b) (1) Every scrap metal processor shall create a record and provide information regarding scrap metal acquired via an electronic format to be determined by the Secretary of Safety and Homeland Security with respect to any of the following articles purchased or otherwise acquired:

a. Copper.

b. Silver.

c. Gold.

d. Brass.

e. Platinum.

f. Bronze.

g. Automobiles or automobile parts displaying a vehicle identification number (VIN).

h. Lead-acid batteries.

i. Catalytic converters.

(2) The record required by paragraph (b)(1) of this section must include all of the following information:

a. The date and time of purchase.

b. The type and grade of scrap metal, where applicable.

c. If payment is based on weight, the weight of each type and grade of scrap metal.

d. The amount or other consideration for the scrap metal or other items.

e. The registration plate number, make, and model of the vehicle used in the delivery of scrap metal or other items.

f. The name and address of the individual from whom the scrap metal or other items are acquired.

g. The signature of both of the following:

1. The individual from whom the scrap metal or other items are acquired.

2. The scrap metal processor.

h. For each individual from whom the scrap metal processor acquires scrap metal or other items, 1 of the following:

1. The date of birth and driver's license.

2. Identification information about the individual from a valid state-issued photo identification card that provides a physical description of the individual, including the sex, race, distinguishing features, and approximate age, height, and weight of the individual.

(3) Scrap metal processors must collect a photograph of the seller and all information pertaining to the seller, required on the electronic form, for every transaction regardless of value.

(4) In addition to the requirements set forth in paragraph (a)(2) of this section, for the purchase or acquisition of a catalytic converter, a scrap metal processor or other authorized purchaser must also record all of the following:

a. The business license number of the seller.

b. The name or identification of the employee responsible for making the purchase.

c. A description of the catalytic converter including any obvious markings such as paint, labels, or engravings that would aid in the identification of the catalytic converter.

d. The vehicle identification number of the vehicle from which the catalytic converter was removed.

e. A signed statement from the individual receiving consideration for the purchase stating that the individual is the rightful owner of the catalytic converter or is authorized to sell the catalytic converter.

f. A photocopy or scanned copy of the driver's license or state-issued photo identification card of the individual selling or transferring the catalytic converter to the scrap metal processor, which includes the type of card and any distinctive number on the card.

g. A digital photograph or video recording of the individual delivering or receiving consideration for the catalytic converter with the individual's facial features clearly visible and a digital photograph or video of the catalytic converter, as delivered or sold, with the type of metal property identifiable. The date and time of the purchase shall be digitally recorded on the digital photograph or video recording.

(c) The forms required by subsections (a) and (b) of this section shall be completed immediately after any articles or goods have been purchased or acquired and shall be submitted electronically by the close of business the next business day, in a format to be determined by the Secretary of the Department of Safety and Homeland Security, to the law-enforcement agency having primary jurisdiction over the area in which the business is located. If the article or good's serial number, IMEI, MEID, or other unique identifying number is not available at the time of purchase or receipt from an automated kiosk, the report filed pursuant to this section must be updated with the serial number, IMEI, MEID, or other unique identifying number as soon as possible. The holding requirements in § 2304(a)(1) of this title do not begin until all required reports are complete and submitted to the appropriate law-enforcement agency. Forms submitted under this section shall be kept confidential and are not public records.

(d) Pawnbrokers, secondhand dealers and scrap metal processors shall record the name of the person making the record entry and shall make that information available to police.

(e) The information provided on the forms under this section shall be stored and maintained by the pawnbroker, secondhand dealer, or scrap metal processor for a period of 1 year and shall be provided to police immediately upon request.

(f) The Secretary of the Department of Safety and Homeland Security may promulgate rules and regulations that allow for the completion and filing of electronic forms and information.

(g) (1) Articles purchased or otherwise acquired by a scrap metal processor shall be recorded via an electronic image, in either still or video format, and a copy of said image(s) is to be supplied at the specific request of a law-enforcement agency, within 24 hours of said request.

(2) The images referenced in paragraph (g)(1) of this section above will be kept on file by the scrap metal processor for a minimum of 30 days after the image was recorded.

(24 Del. Laws, c. 162, § 5; Code 1915, § 1200; 28 Del. Laws, c. 81, § 1; Code 1935, § 1386; 47 Del. Laws, c. 263, § 1; 24 Del. C. 1953, § 2313; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 284, § 1; 76 Del. Laws, c. 349, §§ 1, 2; 77 Del. Laws, c. 185, § 3; 77 Del. Laws, c. 338, § 1; 78 Del. Laws, c. 173, § 1; 78 Del. Laws, c. 184, § 7; 79 Del. Laws, c. 156, §§ 1, 2; 79 Del. Laws, c. 299, § 1; 80 Del. Laws, c. 395, § 1; 83 Del. Laws, c. 296, § 1; 84 Del. Laws, c. 42, § 1; 84 Del. Laws, c. 207, § 2.)

§ 2303 Pawn ticket and memorandum.

(a) Any pawnbroker shall furnish to each applicant or customer a ticket on which is printed a number corresponding with the number used to identify the article placed in pawn, and also the amount given in cash, together with all charges and the total amount to be paid when the article is to be redeemed.

(b) Any pawnbroker shall, at the time the loan is made on goods or articles, deliver to the person pawning or pledging such goods or articles, a memorandum or note, signed by such person and containing an account and description of the goods or articles pawned or pledged. No charge shall be made or received by any pawnbroker for any such ticket entry, memorandum or note.

(24 Del. Laws, c. 162, §§ 5, 6; Code 1915, §§ 1200, 1201; 28 Del. Laws, c. 81, § 1; Code 1935, §§ 1386, 1387; 47 Del. Laws, c. 263, § 1; 24 Del. C. 1953, § 2307; 75 Del. Laws, c. 284, § 1.)

§ 2304 Holding period.

(a) (1) A secondhand dealer operating an automated kiosk may ship all goods, wares and merchandise to a secure off-site location upon approval from the Superintendent of the State Police or the Superintendent's designee. Goods shipped under this section must be held for

30 days including weekends and holidays, subject to return and inspection by any police officer of Delaware. The off-site location may be out of the State.

(2) Every pawnbroker and secondhand dealer subject to this chapter must keep for a period of 18 days, including weekends and holidays, subject to inspection by any police officer of Delaware, all goods, wares and merchandise purchased or received from any person before selling, shipping or otherwise disposing of the same. This does not prohibit any person from securing valuable goods, wares and merchandise in a vault, safe or safety deposit box or other similarly secured storage area on the normal business premises so long as such secured items are readily available for inspection by a police officer. Scrap metal processors must hold platinum, gold and silver articles for 18 days.

(b) Every scrap metal processor subject to this chapter must keep for a period of 72 hours from the date and time the item was electronically reported, not including holidays or weekends, all copper or brass articles purchased or received from any person before selling, shipping or otherwise disposing of same, except that there shall be no holding period for articles meeting all of the following criteria:

(1) That are purchased or received from a commercially licensed entity.

(2) That are of the type commonly purchased or received from commercial entities.

(3) For which payment is made directly to the commercial entity and not to the individual delivering the articles.

(c) An individual authorized to purchase a catalytic converter under § 2307(c) of this title must withhold payment to the seller of a catalytic converter for 48 hours, not including holidays or weekends. During this time period the purchaser must keep the catalytic converter intact and safe from alteration, damage, or commingling and must place an identifying tag or other suitable identification on the catalytic converter.

(d) Every scrap metal processor which takes in a scrapped or dismantled vehicle without a title must have the vehicle cleared by a Delaware State Police auto theft technician before the vehicle is scrapped, dismantled, or altered in any way.

(e) Such holding periods are not applicable when the person from whom the goods were acquired or pledged desires to redeem, repurchase, or recover the goods, provided the dealer or pawnbroker can produce the record of the original transaction with verification that the customer is the person from whom the goods were originally acquired.

(f) A pawnbroker, secondhand dealer, or scrap metal processor shall not destroy, disfigure or obliterate identification marks or cause the identity of an article to otherwise be destroyed so long as the article continues to be in that person's possession.

(g) Law-enforcement officers may require that an item be held for an additional 30 days beyond the requirements of subsection (a) of this section if they know or have reason to believe that the property is missing or stolen.

(24 Del. Laws, c. 162, § 11; Code 1915, § 1206; Code 1935, § 1392; 47 Del. Laws, c. 264, § 1; 24 Del. C. 1953, § 2311; 75 Del. Laws, c. 284, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 209, § 1; 76 Del. Laws, c. 349, §§ 3, 4; 78 Del. Laws, c. 184, §§ 8, 9; 79 Del. Laws, c. 156, § 3; 80 Del. Laws, c. 395, § 1; 84 Del. Laws, c. 207, § 2.)

§ 2305 Inspection of premises and records.

(a) Every pawnbroker, secondhand dealer and scrap metal processor subject to this chapter shall maintain at a place of business designated on the license records of all information required by this chapter, together with a photocopy of government-issued photo identification, for a period of at least 1 year from the date the transaction was recorded.

(b) The records required to be maintained in subsection (a) of this section shall, during regular business hours, be subject to inspection by a law-enforcement officer of Delaware, or by the Attorney General or any Deputy Attorney General.

(c) Such inspection shall consist of an examination on the premises of the inventory and required records to determine whether the records and inventory are being maintained on the premises as required by this chapter.

(24 Del. Laws, c. 162, § 7; Code 1915, § 1202; Code 1935, § 1388; 24 Del. C. 1953, § 2314; 71 Del Laws, c. 176,, § 31; 75 Del. Laws, c. 284, § 1.)

§ 2306 Stolen goods: notice to police.

Any pawnbroker, secondhand dealer or scrap metal processor may seize any goods offered to such person for sale or as a pledge or pawn, which such person has reason to believe have been stolen. Such person shall immediately notify the law enforcement agency with jurisdiction over the premises where the sale or offer or pledge took place or where the goods are currently located. Any person acting in compliance with this section shall be immune from civil or criminal penalties if that person acts in good faith.

(24 Del. Laws, c. 162, § 14; Code 1915, § 1209; Code 1935, § 1395; 24 Del. C. 1953, § 2316; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 284, § 1.)

§ 2307 Prohibited transactions.

(a) No pawnbroker, secondhand dealer or scrap metal processor subject to this chapter shall knowingly purchase or acquire any article, ware or merchandise:

(1) From any person or persons under the age of 18 unless that person or person is:

a. Recycling aluminum cans; or

b. Accompanied by a parent, grandparent, or guardian and is not selling a catalytic converter.

(2) From any person under the influence of any intoxicating liquor or drug when such condition is visible or apparent;

(3) Which has an altered, obliterated or otherwise tampered with serial number or identifying marking;

(4) Which remains sealed, unopened in its original packaging, or in its original unused condition unless the person conveying the item presents a receipt or proof of purchase for the item; or

(5) A gift card from a retailer.

(b) No pawnbroker subject to this chapter shall take or receive as a pledge or pawn any artificial limb or wheelchair.

(c) No individual may purchase or sell a catalytic converter from an individual unless the individual, at the time of purchase or sale, provides identification that shows the individual is 1 of the following:

(1) A scrap metal processor licensed under this chapter.

(2) An automotive recycler licensed under Chapter 75 of Title 21.

(3) An agent or employee of a licensed scrap metal processor or automotive recycler.

(d) A scrap metal processor may not purchase the following items from an individual unless the individual, at the time of purchase, provides appropriate authorization from a relevant business or unit of federal, state, or local government specifically authorizing the individual to conduct the transaction.

(1) Metal bleachers;

(2) Hard-drawn copper;

(3) Metal beer kegs;

(4) Cemetery urns;

(5) Grave markers;

(6) Materials related to railroad infrastructure; and

(7) Any other used articles owned by a public utility including:

a. Guardrails;

b. Manhole covers;

c. Metal light poles;

d. Tree grates;

e. Water meters; and

f. Street signs.

(e) A catalytic converter may not be purchased with cash. Payment must be made in the form of a check payable to the licensed individual or licensed entity selling the catalytic converter.

(f) An entity or individual authorized to sell a catalytic converter under subsection (c) of this section may not provide false, fraudulent, altered, or counterfeit information or documentation to the purchaser of a catalytic converter.

(g) The purchase and sale of a catalytic converter must occur between the hours of 6:00 a.m. and 9:00 p.m.

(h) The sale of a catalytic converter must occur at a fixed business address of an individual authorized to purchase a catalytic converter under subsection (c) of this section.

(i) The Department of Safety and Homeland Security and its designees may seize an item found in violation of this section. For any item seized for violation of paragraph (a)(4) of this section, the Department shall hold the seized item for no more than 30 days, during which time the pawnbroker, secondhand dealer, or scrap metal processor may provide the Department with proof of ownership or other evidence sufficient to establish ownership under this chapter.

(24 Del. Laws, c. 162, §§ 9, 10; 24 Del. Laws, c. 163, § 1; Code 1915, §§ 1204, 1205; Code 1935, §§ 1390, 1391; 47 Del. Laws, c. 169, § 1; 24 Del. C. 1953, §§ 2309, 2310, 2312; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 284, § 1; 79 Del. Laws, c. 156, § 4; 84 Del. Laws, c. 138, § 2; 84 Del. Laws, c. 207, § 2.)

§ 2308 Penalties.

Whoever violates this chapter, except where another penalty is provided, shall be guilty of a misdemeanor and, if convicted, may be fined not more than $10,000.

(1) Assessment of a civil or administrative penalty shall be determined by the nature, circumstances, extent and gravity of the violation, or violations, ability of the violator to pay, any prior history of such violations, the degree of culpability, economic benefit or savings (if any) resulting from the violation and such other matters as justice requires.

(2) In the event of nonpayment of the administrative penalty after all legal appeals have been exhausted, a civil action may be brought by the Superintendent or the Superintendent's designee in any court of competent jurisdiction, including any Justice of the Peace Court, for collection of the administrative penalty, including interest, attorneys' fees and costs and the validity and appropriateness of such administrative penalty shall not be subject to review.

(24 Del. Laws, c. 162, § 16; Code 1915, § 1211; Code 1935, § 1397; 46 Del. Laws, c. 135, § 1; 24 Del. C. 1953, § 2318; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 284, § 1; 76 Del. Laws, c. 209, § 2; 77 Del. Laws, c. 185, § 4; 81 Del. Laws, c. 102, § 2.)

§ 2309 Local regulations.

Nothing in this chapter shall preclude political subdivisions of the State and municipalities from enacting laws more restrictive than the provisions of this chapter, but such laws shall be in addition to, not in lieu of, the regulations set forth in this chapter.

(75 Del. Laws, c. 284, § 1.)

Subchapter II

Licensing

§ 2310 Licenses required.

(a) No person shall carry on the business of a pawnbroker, secondhand dealer or scrap metal processor without first having taken out a license and duly qualified as provided in this chapter.

(b) All licenses granted by the State Police to such persons, citizens of this State and companies existing under the laws of this State to engage in and carry on the business of pawnbroker, secondhand dealer or scrap metal processor shall expire annually on December 31.

(c) The licenses shall designate the address in which the person or company shall carry on the business. No person or company shall engage in or carry on the business of pawnbroker, secondhand dealer or scrap metal processor in any other building than the one designated in the license. This prohibition does not apply to the acquisition of goods by a pawnbroker, secondhand dealer or scrap metal processor.

(d) The Director may suspend or revoke a license or issue an emergency suspension for a violation of this chapter or regulations promulgated thereunder by the Secretary.

(e) Anyone licensed under this chapter shall notify the Section within 5 days of being arrested for any misdemeanor or felony crime.

(24 Del. Laws, c. 162, § 13; Code 1915, § 1208; Code 1935, § 1394; 24 Del. C. 1953, § 2302; 75 Del. Laws, c. 284, § 1; 81 Del. Laws, c. 102, § 3.)

§ 2311 Qualifications.

An applicant applying for a pawnbroker, secondhand dealer or scrap metal processor license must meet and maintain the following qualifications:

(1) Must be at least 18 years of age.

(2) Must submit a current valid Delaware business license issued by the Delaware Division of Revenue,

(3) Must notify the Section, within 10 days, of any change of address, phone number, email address or contact person for the business.

(4) Must not have any pending criminal charges.

(5) Must not have been convicted of a felony within 5 years of application date.

(6) Must not have been convicted of any misdemeanor involving a theft-related offense, or moral turpitude within 3 years of the application.

(24 Del. Laws, c. 162, § 1; Code 1915, § 1196; Code 1935, § 1382; 46 Del. Laws, c. 136, § 1; 24 Del. C. 1953, § 2303; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 284, § 1; 77 Del. Laws, c. 185, § 5; 81 Del. Laws, c. 102, § 4.)

§ 2312 Records.

(a) The Section shall keep a register of all applications for pawnbrokers, secondhand dealers and scrap metal processors and complete records, changes and additions to rules and regulations, hearings and such other matters as the Secretary shall determine. Such records shall constitute prima facie evidence of the proceedings of the Secretary.

(b) The Section pursuant to approval of the Secretary shall:

(1) Adopt and, from time to time, revise such rules and regulations and standards not inconsistent with the law as may be necessary to enable it to carry into effect this chapter;

(2) Deny or withdraw approval from applicants for failure to meet approved application procedures and other criteria;

(3) Oversee renewal applications and appropriate fees;

(4) Conduct hearings upon request for denial, suspension or revocation of a pawnbroker, secondhand dealer or scrap metal processor license;

(5) Have the power to issue subpoenas and compel the attendance of witnesses, and administer oaths to persons giving testimony at hearings;

(6) Have all the duties, powers and authority necessary to the enforcement of this chapter, as well as such other duties, powers and authority as it may be granted from time to time by the Secretary.

(24 Del. Laws, c. 162, § 5; Code 1915, § 1200; 28 Del. Laws, c. 81, § 1; Code 1935, § 1386; 47 Del. Laws, c. 263, § 1; 24 Del. C. 1953, § 2313; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 284, § 1; 81 Del. Laws, c. 102, § 5.)

§ 2313 License fees.

(a) Every person receiving a license for conducting the business of pawnbroker shall pay the Division $50 to go to the State's General Fund.

(b) Every person receiving a license for conducting the business of secondhand dealer shall pay the Division $50 to go to the State's General Fund.

(c) Every person receiving a license for conducting the business of scrap metal processor shall pay the Division $50 to go to the State's General Fund.

(d) Every person receiving a license for conducting the business of pawnbroker, secondhand dealer or scrap metal processor, shall annually pay a reasonable subscription fee, not to exceed $300, to the electronic reporting system designated by the Secretary.

(24 Del. Laws, c. 162, § 2; Code 1915, § 1197; Code 1935, § 1383; 46 Del. Laws, c. 137, § 1; 24 Del. C. 1953, § 2304; 75 Del. Laws, c.

284, § 1; 78 Del. Laws, c. 173, § 2; 81 Del. Laws, c. 102, § 6.)

§ 2314 Disciplinary proceedings; appeal.

(a) *Grounds. —*

Subject to the provisions of this chapter, the Director, pursuant to the authority of the Secretary may impose any of the following sanctions (subsection (b) of this section) singly or in combination when it finds a licensee is guilty of any offense described herein:

(1) Conducting a pawnbroker, secondhand dealer or scrap metal processor without a license; or

(2) Failure to comply with electronic reporting requirements pursuant to § 2302 of this title; or

(3) Failure to comply with holding period requirements pursuant to § 2304 of this title; or

(4) Obtaining criminal charges or convictions pursuant to § 2311 of this title; or

(5) Failure to comply with inspection requests pursuant to § 2305 of this title; or

(6) Failure to notify the local law-enforcement agency upon suspicion of stolen goods pursuant to § 2306 of this title; or

(7) Violating prohibited transactions pursuant to § 2307 of this title; or

(8) Submitting false or fraudulent information material to any application for a license; or

(9) Violating any provision of this chapter or any rule or regulation promulgated by the Secretary.

(b) *Disciplinary sanctions. —*

(1) Permanently revoke a license.

(2) Suspend a license.

(3) Issue a letter of reprimand.

(4) Refuse to issue a license.

(5) Refuse to renew a license.

(6) Issue an emergency suspension

(7) Or otherwise discipline.

(c) *Procedure. —*

(1) After receipt of written notice from the Section of the denial, suspension, emergency suspension or revocation of a license, the applicant or license holder shall be afforded a hearing before the Superintendent or the Superintendent's designee.

(2) The accused may be represented by counsel who shall have the right of examination and cross examination.

(3) Testimony before the Superintendent shall be under oath.

(4) A record of the hearing shall be made. At the request and expense of any party such record shall be transcribed with a copy to the other party.

(5) The decision of the Superintendent shall be based upon sufficient legal evidence. If the charges are supported by such evidence, the Superintendent may refuse to issue, or revoke or suspend a license, or otherwise discipline an individual. A suspended license may be reissued by the Section at the direction of the Superintendent.

(d) All decisions of the Superintendent shall be final and conclusive. Where the applicant or licensee is in disagreement with the action of the Superintendent, the practitioner may appeal the Superintendent's decision to the Secretary within 30 days of service or the postmarked date of the copy of the decision mailed to the individual. The appeal shall be on the record to the Secretary as provided in the Administrative Procedures Act, subchapter V of Chapter 101 of Title 29 (§ 10141 et seq. of Title 29).

(e) All decisions of the Secretary shall be final and conclusive. Where the applicant or licensee is in disagreement with the action of the Secretary, the practitioner may appeal the decision to the Superior Court.

(1) An appeal pursuant to this section must be filed within 30 days of service or the postmarked date of the copy of the written decision and order of the Secretary is mailed.

(2) An appeal pursuant to this section is on the record without a trial de novo.

(3) The Secretary's decision to deny, revoke, suspend or otherwise restrict a person's license is not stayed upon appeal unless so ordered by the Superior Court.

(81 Del. Laws, c. 102, § 7; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 425, § 17.)

§ 2315 Pawnbroker's insurance.

Every person applying for a license to conduct the business of a pawnbroker shall first effect an insurance policy against fire for $50,000 for the protection of goods, pawned or pledged.

(24 Del. Laws, c. 162, § 4; Code 1915, § 1199; Code 1935, § 1385; 24 Del. C. 1953, § 2306; 75 Del. Laws, c. 284, § 1; 81 Del. Laws, c. 102, § 8.)

§ 2316 Rate of interest.

No person conducting the business of a pawnbroker shall ask, demand or receive a greater rate of interest than 30 percent per month on any loans secured by pledge of personal property.

(24 Del. Laws, c. 162, § 8; Code 1915, § 1203; 30 Del. Laws, c. 83, § 1; Code 1935, § 1389; 24 Del. C. 1953, § 2308; 75 Del. Laws, c. 284, § 1; 77 Del. Laws, c. 185, § 6; 81 Del. Laws, c. 102, § 9.)

§ 2317 Unlicensed pawnbrokers, secondhand dealers or scrap metal processors.

(a) Any pawnbroker, second hand dealer, or scrap metal processor operating without the required license may be issued a cease and desist order by the Division and will not be permitted to operate without first obtaining such license.

(b) Whenever, in the judgment of the Director pursuant to authority of the Superintendent or the Superintendent's designee, any pawnbroker, secondhand dealer or scrap metal processor has engaged in any acts or practices which constitutes a violation of any provision of this chapter or any rule or regulation or issued thereunder, the Director may request the Attorney General to make application to the Court of Chancery for an order enjoining such acts or practices for an order directing compliance and, upon a showing by Director that such entity has engaged or about to engage in such acts or practices, a permanent or temporary injunction, restraining order or other order may be granted.

(79 Del. Laws, c. 299, § 1; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 102, § 10.)

Chapter 25
Pharmacy
Subchapter I
Objectives; Definitions; Board of Pharmacy

§ 2501 Objectives of the Board.

(a) The primary objective of the Board of Pharmacy, to which all other objectives and purposes are secondary, is to protect the general public, specifically those individuals who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which reduce competition or fix the price of services rendered.

(b) The secondary objectives of the Board are to maintain minimum standards of licensee competency and to maintain certain standards in the delivery of services to the public. In meeting these objectives, the Board shall do all of the following:

(1) Develop standards assuring professional competence.

(2) Monitor complaints brought against licensees regulated by the Board.

(3) Adjudicate at formal hearings.

(4) Promulgate rules and regulations.

(5) Impose sanctions where necessary.

(68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2502 Definitions [For application of this section, see 83 Del. Laws, c. 240, § 3; 85 Del. Laws, c. 418, § 6].

For purposes of this chapter:

(1) "Administer" means the direct application of a prescription drug, whether by injection, inhalation, ingestion, or any other means, to the body of a patient by any of the following:

a. An individual authorized by law to administer the drug, including a practitioner or an authorized agent under a practitioner's supervision.

b. The patient at the direction of a practitioner.

(2) "Biological product" means a biological product as defined in 42 U.S.C. § 262.

(3) "Board" means the Delaware Board of Pharmacy.

(4) "Certified pharmacy technician" means an individual who is certified by the Pharmacy Technician Certification Board (PTCB) or other entity approved by the Board.

(5) "Collaborative pharmacy practice" means the practice of pharmacy whereby 1 or more pharmacists provides patient care and drug therapy management services not otherwise permitted to be performed by a pharmacist to patients under a collaborative pharmacy practice agreement with 1 or more practitioners which defines the nature, scope, conditions, and limitations of the services to be provided by the pharmacist.

(6) "Collaborative pharmacy practice agreement" means a written and signed agreement between 1 or more pharmacists and 1 or more practitioners that provides for collaborative pharmacy practice.

(7) "Compounding" means the preparation, assembling, packaging, or labeling of a drug as the result of a practitioner's prescription or initiative based on the relationship of the practitioner or patient with the pharmacist in the course of professional practice or for the purpose of, or incident to, research, teaching, or chemical analysis and not for sale or dispensing. Compounding also includes the preparation of drugs in anticipation of prescription drug orders based on routine, regularly observed prescribing patterns. All compounding must comply with applicable United States Pharmacopeia ("USP") standards. Nothing in this title is meant to limit a prescriber's ability under pre-existing law to order a compounded medication for use in the prescriber's practice, as permitted by State of Delaware and federal law.

(8) "Controlled substance" means any drug designated as such under the Uniform Controlled Substances Act, Chapter 47 of Title 16 and 21 C.F.R. Part 1308.

(9) "Deliver" or "deliver" means the actual, constructive, or attempted transfer of a drug from 1 person to another, whether or not for consideration.

(10) "Direct supervision" means oversight and control by a licensed pharmacist who remains in the licensed establishment where the pharmacy department is located and is responsible for the work performed by support personnel.

(11) "Dispense" or "dispensing" means the procedure involving the interpretation of a practitioner's prescription order for a drug or biological, and pursuant to that order the proper selection, measuring, compounding, labeling, and packaging in a proper container for subsequent administration to, or use by, a patient.

(12) "Division" means the Division of Professional Regulation.

(13) "Drug" means any of the following:

a. A substance recognized as a drug in the Official United States Pharmacopoeia/National Formulary.

b. A substance intended for use in the diagnosis, cure, mitigation, treatment, or prevention of any illness, condition, or disease in humans or animals.

c. A substance, other than food, intended to affect the structure or any function of the body of a human or an animal.

d. A substance intended for use as a component of any substance specified in paragraph (13)a., b., or c. of this section.

"Drug" does not include devices or their components, parts, or accessories.

(14) "Executive Secretary" means the Executive Secretary of the Board, who must be a pharmacist.

(15) "Federal Food and Drug Administration (FDA) Approved Drug Products with Therapeutic Equivalence Evaluations" means the publication that identifies drug products approved on the basis of safety and effectiveness by the FDA under the Federal Food, Drug, and Cosmetic Act [21 U.S.C. § 301 et seq.]. This is sometimes referred to as the "Orange Book."

(16) "Interchangeable" means a biological product licensed by the Federal Food and Drug Administration pursuant to 42 U.S.C. § 262.

(17) "Intern" means an individual who is licensed by the Board and supervised by an approved preceptor and who is completing the practical experience requirement of the Board prior to that person's licensure as a pharmacist.

(18) "Internship" or "externship" means a period of practical experience established by the Board's rules and regulations that must be completed by an applicant for a license to practice pharmacy in this State.

(19) "Key personnel" means the designated representative or most senior individual responsible for facility operations, purchasing, and inventory control, the supervisor of such individual, and, if the establishment is not a publicly held company, all principals and owners who directly or indirectly own more than 10% interest in the establishment.

(20) "Label" means written, printed, or graphic matter on the immediate container of a drug.

(21) "Labeling" means the process of affixing a label, including all information required by federal and state statutes or rules and regulations, to a drug container. The term does not include any of the following:

a. The labeling by a manufacturer or distributor of a nonprescription drug or commercially packaged prescription drug.

b. Unit dose packaging.

(22) "Manufacturer" means a person who is engaged in manufacturing, but does not include a person who is engaged in the preparation and dispensing of a drug pursuant to a prescription.

(23) "Manufacturing" means the production, preparation, propagation, conversion, or processing of a drug, either directly or indirectly, by extraction from a substance of natural origin or independently by a chemical or biological synthesis. The term includes packaging or repackaging a substance or labeling or relabeling a container and promoting and marketing the drug and preparing and promoting a commercially available product from a bulk compound for resale by a person, including a pharmacy or practitioner. The term does not include compounding.

(24) "Monitoring drug therapy" means interpreting and analyzing information needed to evaluate the safety and efficacy of drug therapy.

(25) "NABP" means the National Association of Boards of Pharmacy or its successor.

(26) "Outsourcing facility" means a facility that meets all of the following:

a. Is located within the United States of America at 1 address that is engaged in the compounding of sterile drugs or nonsterile drugs.

b. Has registered as an outsourcing facility with the Food and Drug Administration under § 503B of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. § 353b).

c. Is doing business within or into Delaware.

d. Is licensed with the Board as a wholesaler prior to applying to the Board to become an outsourcing facility.

e. Is licensed with the Board as an outsourcing facility.

(27) "Over-the-counter product" or "OTC" means a substance which may be sold without a prescription and which is packaged for use by the consumer and labeled in accordance with the requirements of state and federal statutes and regulations.

(28) "Patient counseling" means communication by a pharmacist, or registered intern or pharmacy student under direct supervision, of pertinent drug information, as specified by the Board's rules and regulations, to a patient or caregiver to improve therapy.

(29) "Person" means as defined in § 302 of Title 1.

(30) "Pharmacist" or "licensee" means an individual licensed by this State pursuant to this chapter to engage in the practice of pharmacy.

(31) "Pharmacy" means a place where the practice of pharmacy occurs.

(32) "Pharmacy technician" means an individual working in a pharmacy practice site who, under the immediate supervision of a pharmacist, assists in pharmacy activities, as permitted by the rules and regulations of the Board, that do not require the professional judgment of a pharmacist.

(33) "Practice of pharmacy" means the interpreting, evaluating, and dispensing of a practitioner's or prescriber's order. The "practice of pharmacy" includes the proper compounding, labeling, packaging, and dispensing of a drug to a patient or the patient's agent, and administering a drug to a patient. The "practice of pharmacy" includes the application of the pharmacist's knowledge of pharmaceutics, pharmacology, pharmacokinetics, drug and food interactions, drug product selection, and patient counseling. The "practice of

pharmacy" also includes all of the practices that fall within the scope of practice of a pharmacist as established by subchapter VIII of this chapter.

(a)-(m) [Repealed.]

(34) a. "Practitioner" or "prescriber" means an individual who is authorized by law to prescribe drugs in the course of professional practice or by collaborative pharmacy practice agreement or research in any state.

b. A pharmacist licensed under this chapter is deemed a practitioner or prescriber when prescribing drugs or ordering laboratory tests in accordance with this chapter's definition of the practice of pharmacy.

(42) "Substitution" or "substitute" means a pharmacist's selection of prescriber authorized generic or therapeutically equivalent prescription medications or, in the case of biologicals, a pharmacist's selection of an interchangeable biological product in place of the prescribed product. "Generic substitute" means a drug that is the same active ingredient, equivalent in strength to the strength written on the prescription, and which is classified as being therapeutically equivalent to another drug in the latest edition or supplement of the Federal Food and Drug Administration (FDA) Approved Drug Products with Therapeutic Equivalence Evaluations.

(35) "Practitioner-dispensed topical medication" means a drug that is all of the following:

a. Dispensed by a practitioner to a patient.

b. A topical antibiotic, anti-inflammatory, dilation, or glaucoma drop or ointment.

c. On stand-by or retrieved from a dispensing system for a specified patient for use during a procedure or visit with the practitioner .

(36) "Preceptor" means an individual who is a pharmacist, meets the qualifications under the rules and regulations of the Board, and participates in the instructional training of pharmacy interns and externs.

(37) "Prescription drug" or "legend drug" means a drug required by federal or state law or regulation to be dispensed only by a prescription, including finished dosage forms and active ingredients, subject to § 503(b) of the Federal Food, Drug and Cosmetic Act (21 U.S.C. § 353(b)).

(38) "Prescription drug order" or "prescription" means the lawful authorization for a drug by a licensed practitioner in written, verbal, electronic, or fax format and must include the information set forth in the Board's rules and regulations.

(39) "Reference product" means a product as defined by the Federal Food and Drug Administration pursuant to 42 U.S.C. § 262.

(40) "State" means the State of Delaware.

(41) "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of pharmacy.

(43) "Therapeutically equivalent drug" means a drug which contains the same active ingredient or ingredients and is identical in strength or concentration, dosage form, and route of administration and which is classified as being therapeutically equivalent to another drug in the latest edition or supplement of the Federal Food and Drug Administration (FDA) Approved Drug Products with Therapeutic Equivalence Evaluations.

(44) "Wholesale distribution" means the distribution of drugs in compliance with federal laws and regulations, including the Drug Supply Chain Security Act [P.L. 113-54], to a person other than a consumer or patient but does not include any of the following:

a. The distribution of drugs within a healthcare group-purchasing organization.

b. The transfer of prescription drugs by a pharmacy to another pharmacy to alleviate a temporary shortage.

c. The dispensing of a drug pursuant to a prescription.

d. The sale, purchase, or trade of a drug or an offer to sell, purchase, or trade a drug under any of the following circumstances:

1. By a charitable organization described in § 501(c)(3) of the Internal Revenue Code of 1954 (26 U.S.C. § 501(c)(3)) to a nonprofit affiliate of the charitable organization to the extent permitted by law.

2. Among hospitals or other health care entities which are under common control.

3. For emergency medical reasons.

(45) "Wholesaler" means a person engaged in the wholesale distribution of drugs, including, a manufacturer's or distributor's warehouse, a chain drug warehouse or wholesale drug warehouse, an independent wholesale drug trader, and a pharmacy that engages in the wholesale distribution of drugs.

(68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 412, § 1; 74 Del. Laws, c. 262, § 42; 76 Del. Laws, c. 167, § 1; 79 Del. Laws, c. 238, § 1; 80 Del. Laws, c. 80, § 13; 82 Del. Laws, c. 261, §§ ? 11, 16; 83 Del. Laws, c. 52, § 14; 83 Del. Laws, c. 240, § 2; 83 Del. Laws, c. 439, § 1; 84 Del. Laws, c. 158, § 1; 84 Del. Laws, c. 169, § 1; 84 Del. Laws, c. 424, § 1; 84 Del. Laws, c. 514, § 44; 85 Del. Laws, c. 49, § 1; 85 Del. Laws, c. 418, §§ 3, 4.)

§ 2503 Board of Pharmacy; appointments; composition; qualifications; terms; vacancies; suspension or removal; unexcused absences; compensation.

(a) The Board shall administer and enforce this chapter.

(b) The Board consists of 9 members who are appointed by the Governor, who are residents of this State, and who are comprised as follows:

(1) Six members are pharmacists who have been engaged in the practice of pharmacy in Delaware for at least 5 years and who are representative of the various practice settings in the field of pharmacy.

(2) Three members are public members. A public member:

a. May not be, nor ever have been, a pharmacist or a member of the immediate family of a pharmacist.

b. May not be, nor ever have been, employed by a pharmacy.

c. May not have a material interest in the providing of goods or services to a pharmacy.

d. May not be, nor ever have been, engaged in an activity directly related to the practice of pharmacy.

e. Must be accessible to inquiries, comments, and suggestions from the general public.

(c) Each member is appointed for a term of 3 years and may serve 2 additional, successive terms. Each term of office expires on the date specified in the appointment; however, a member remains eligible to participate in Board proceedings until the Governor replaces that member.

(d) A person who has never served on the Board may be appointed to the Board for 3 consecutive terms; but that person is thereafter ineligible to serve for 2 consecutive appointments.

(e) An act or vote on Board business by a person appointed to the Board in violation of this section is invalid.

(f) The Governor shall suspend or remove a member of the Board for the member's misfeasance, nonfeasance, malfeasance, misconduct, incompetency, or neglect of duty. A member subject to a disciplinary hearing must be disqualified from board business until the charge is adjudicated or the matter is otherwise concluded. A board member may appeal to the Superior Court a suspension or removal initiated pursuant to this subsection.

(g) A member of the Board, while serving on the Board, may not hold elective office in any professional association of pharmacists or serve as an officer of a professional association's political action committee (PAC).

(h) The provisions of the State Employees', Officers' and Officials' Code of Conduct set forth in Chapter 58 of Title 29 apply to the members of the Board.

(i) A member who is absent without adequate reason for 3 consecutive regular business meetings or who fails to attend at least $^1/_2$ of all regular business meetings during any calendar year is guilty of neglect of duty.

(j) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(24 Del. Laws, c. 140, §§ 7, 10; Code 1915, §§ 856, 859; 36 Del. Laws, c. 103, § 1; Code 1935, § 936; 45 Del. Laws, c. 90, § 2; 24 Del. C. 1953, §§ 2501, 2505; 53 Del. Laws, c. 90, §§ 1, 3; 59 Del. Laws, c. 318, § 1; 60 Del. Laws, c. 586, §§ 1, 2; 62 Del. Laws, c. 251, §§ 2, 3; 65 Del. Laws, c. 378, § 1; 67 Del. Laws, c. 366, § 7; 67 Del. Laws, c. 368, § 13; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 167, § 1; 77 Del. Laws, c. 319, § 1; 79 Del. Laws, c. 238, § 1; 80 Del. Laws, c. 80, § 13; 81 Del. Laws, c. 85, § 12; 83 Del. Laws, c. 52, § 14; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2504 Organization; meetings; officers; quorum; Executive Secretary.

(a) The Board shall elect annually from its membership a President and a Vice President. Each officer serves for 1 year and may not succeed oneself for more than 2 consecutive terms.

(b) The Board shall hold regularly scheduled business meetings at least 6 times in a calendar year, and at other times as the President of the Board considers necessary, and at the request of a majority of the Board members.

(c) The Executive Secretary, who is an ex officio member of the Board without a vote, is responsible for the performance of the regular administrative functions of the Board and other duties as the Board may direct.

(d) A majority of the members of the Board constitutes a quorum for the purpose of transacting business; however, no disciplinary action may be taken without the affirmative vote of at least 5 members.

(e) Minutes of all meetings must be recorded. The Executive Secretary shall maintain copies of the recorded minutes. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared must be made. The person requesting a transcript incurs the expense of preparing the transcript.

(24 Del. Laws, c. 140, § 8; Code 1915, § 857; Code 1935, § 937; 45 Del. Laws, c. 90, § 1; 24 Del. C. 1953, § 2502; 59 Del. Laws, c. 318, § 2; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2505 Records.

The Division must keep complete records relating to meetings of the Board, examinations, rosters of licensees, changes and additions to the Board's rules and regulations, complaints, hearings, and other matters as the Board determines. Records kept in accord with this section are prima facie evidence of the proceedings of the Board.

(76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2506 Powers and duties.

(a) The Board has the authority to:

(1) Promulgate rules and regulations not inconsistent with § 2501(a) of this title in accordance with the procedures specified in the Administrative Procedures Act in Chapter 101 of Title 29.

(2) Process all applications pursuant to this chapter.

(3) Designate the national standardized examinations in pharmacy and jurisprudence as approved by the National Association of Boards of Pharmacy, or its successor, to be taken by an individual applying for a license to practice pharmacy.

(4) Evaluate the credentials of each individual applying for a license to practice pharmacy in order to determine whether the individual meets the qualifications set forth in this chapter.

(5) Grant a license to each individual who qualifies for licensure and renew licenses as appropriate.

(6) Evaluate applications and issue licenses to pharmacies and other establishments that meet the qualifications set forth in this chapter.

(7) Establish by regulation continuing education standards required for license renewal.

(8) Perform random audits of continuing education credits submitted by licensees for license renewal.

(9) Evaluate certified records to determine whether an applicant for licensure who previously has been licensed, certified, or registered in another jurisdiction to practice pharmacy has engaged in any act or offense that would be grounds for disciplinary action under this chapter.

(10) Refer all complaints from licensees and the public concerning persons licensed under this chapter, or concerning the practices of the Board or the profession, to the Division for investigation pursuant to § 8735 of Title 29 and assign a member of the Board to assist the Division in an advisory capacity with the investigation for the technical aspects of the complaint.

(11) Conduct hearings and issue orders in accordance with the procedures established in the Administrative Procedures Act in Chapter 101 of Title 29.

(12) Designate and impose an appropriate sanction or penalty, if it has been determined after a hearing that a sanction or penalty should be imposed.

(13) Join professional organizations and associations organized exclusively to promote the improvement of the standards of the practice of pharmacy for the protection of the health, safety, and welfare of the public and whose activities assist and facilitate the work of the Board, and pay annual dues to the organizations and associations joined.

(14) Regulate the sale and dispensing of drugs and other materials, including the right to seize any drugs and other materials found by the Executive Secretary or other agent of the Board to be detrimental to the public health, safety, or welfare, in accordance with § 3315 of Title 16.

(15) Regulate the purity and quality of drugs and other materials within the practice of pharmacy.

(16) Promulgate rules and regulations to implement the law relating to pure drugs, pursuant to § 3315 of Title 16.

(17) Seize any drugs found by the Board or Executive Secretary to constitute an imminent danger to the public health and welfare.

(18) Establish by rule and regulation minimum specifications for record keeping, prescription and patient profile record maintenance, monitoring of drug therapy, and pharmacy practice sites including the physical premises, technical equipment, environment, supplies, personnel, and procedures for the storage, compounding, and dispensing of drugs.

(19) Inspect any pharmacy for the purpose of determining if any provision of the laws governing the legal distribution of drugs or the practice of pharmacy are being violated.

(20) Appoint advisory committees as the Board determines to be appropriate.

(21) Promulgate a code of ethics by rule and regulation.

(b) The Board of Pharmacy shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of pharmacy.

(24 Del. Laws, c. 140, §§ 9, 12; 24 Del. Laws, c. 141, §§ 1, 2; Code 1915, §§ 858, 861, 868, 875; 28 Del. Laws, c. 62; 29 Del. Laws, c. 55; 36 Del. Laws, c. 103, § 1; Code 1935, §§ 938, 941, 948, 956; 43 Del. Laws, c. 95, § 1; 45 Del. Laws, c. 90, § 3; 46 Del. Laws, c. 95, § 3; 24 Del. C. 1953, §§ 2503, 2506, 2507, 2509; 53 Del. Laws, c. 90, §§ 4, 272; 53 Del. Laws, c. 108, § 27; 55 Del. Laws, c. 316, § 3; 62 Del. Laws, c. 251, §§ 1, 4, 5; 64 Del. Laws, c. 452, § 2; 65 Del. Laws, c. 378, § 2; 68 Del. Laws, c. 206, § 1; 72 Del. Laws, c. 159, § 1; 74 Del. Laws, c. 262, § 43; 76 Del. Laws, c. 167, § 1; 77 Del. Laws, c. 319, § 1; 85 Del. Laws, c. 49, § 1.)

Subchapter II

Licensure of Pharmacists and Interns

§ 2507 License required.

(a) An individual may not, in this State, engage in the practice of pharmacy or hold oneself out to the public as being qualified to practice pharmacy, or use in connection with that individual's own name, or otherwise assume or use, a title or description conveying or tending to convey the impression that the individual is qualified to practice pharmacy, except as provided in this chapter.

(b) No individual who has not been issued a certificate as a pharmacist or who is not a pharmacy intern, or a pharmacy student participating in an approved college of pharmacy coordinated practical experience program under the direct supervision of a licensed pharmacist, within the meaning of this chapter, may certify a prescription, perform drug utilization reviews, provide drug information requiring clinical or technical knowledge, counsel patients, receive new verbal prescription orders without recorded backup, or contact a prescriber concerning prescription drug order interpretation or therapy modification. Other responsibilities may be delegated to a certified pharmacy technician or pharmacy technicians who are under the direct supervision of a pharmacist.

(c) It is unlawful for an individual to practice pharmacy in this State if the individual's license to practice pharmacy expires, is placed on inactive status, or is suspended or revoked.

(d) The penalty for a violation of this section is, for a first conviction, a fine of not less than $500 nor more than $1000, and for a second or subsequent conviction, a fine of not less than $1000 nor more than $2000.

(24 Del. Laws, c. 140, § 1; Code 1915, § 862; Code 1935, § 942; 24 Del. C. 1953, § 2521; 64 Del. Laws, c. 452, § 1; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 161, § 9; 75 Del. Laws, c. 260, §§ 1, 2; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2508 Qualifications of applicant; judicial review; report to Attorney General.

(a) An applicant who is applying for licensure as a pharmacist under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that the applicant meets all of the following requirements:

(1) Has completed the requirements for graduation from a school or college of pharmacy accredited by the American Council on Pharmaceutical Education; or, if the applicant was educated outside of the United States or its territories, has met the education requirements set forth in the Board's rules and regulations.

(2) Has obtained practical experience as an intern in the practice of pharmacy during or after attendance at a school or college of pharmacy, under such terms and conditions as set forth in the Board's rules and regulations.

(3) Has received a passing score on the North American Pharmacist Licensure Examination (NAPLEX) as developed and approved by NABP or its successor.

(4) Has received a passing score on a jurisprudence examination as set forth in the Board's rules and regulations.

(5) Has not receive an administrative penalty regarding the practice of pharmacy, including formal reprimands, license suspension or revocation (except for license revocation for nonpayment of license renewal fees), probationary limitations, or entry into a consent agreement which contains conditions placed by a board on the applicant's professional conduct and practice, including the voluntary surrender of the applicant's license to practice pharmacy. The Board may, after a hearing or review of documentation, determine whether such administrative penalty is grounds to deny licensure.

(6) Does not have a current condition that impairs the applicant's judgment or adversely affects the applicant's ability to practice safely and in a competent, ethical, and professional manner.

(7) The applicant does not have a criminal conviction record or a pending criminal charge related to a crime that is substantially related to the practice of pharmacy. However, after a hearing or review of documentation and consideration of the factors set forth in § 8735(x)(3) of Title 29, the Board by an affirmative vote of a majority of the quorum, may waive this paragraph if it finds that granting a waiver would not create an unreasonable risk to public safety.

(b) An applicant for licensure as an intern must meet the following requirements:

(1) The applicant must submit an "Application for Registration of Internship" after entering the first professional year at a school or college of pharmacy accredited by the American Council on Pharmaceutical Education. The application must include an "Affidavit of Class Standing" form and an "Affidavit of Preceptor" form. The application and requisite forms are set forth by the Board or the Division.

(2) If the applicant was educated outside of the United States or its territories, the applicant must meet the education requirements set forth in the Board's rules and regulations.

(3) The applicant has not received an administrative penalty regarding the practice of pharmacy, including formal reprimands, license suspension or revocation (except for license revocation for nonpayment of license renewal fees), probationary limitations, or entry into a consent agreement which contains conditions placed by a board on the applicant's professional conduct and practice, including the voluntary surrender of the applicant's license to practice pharmacy. The Board may, after a hearing or review of documentation, determine whether such administrative penalty is grounds to deny licensure.

(4) The applicant does not have a current condition that impairs the applicant's judgment or adversely affects the applicant's ability to practice safely and in a competent, ethical, and professional manner.

(5) The applicant does not have a criminal conviction record or a pending criminal charge related to a crime that is substantially related to the practice of pharmacy. However, after a hearing or review of documentation and consideration of the factors set forth in § 8735(x)(3) of Title 29, the Board by an affirmative vote of a majority of the quorum, may waive this paragraph if it finds that granting a waiver would not create an unreasonable risk to public safety.

(c) An applicant shall submit fingerprints and other necessary information in order to obtain a report of the individual's entire criminal history record from the State Bureau of Identification and from the Federal Bureau of Investigation pursuant to Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C § 534). If the applicant does not have a criminal history record, the applicant shall cause to be submitted a statement from each agency that the agency has no record of criminal history information relating to the applicant. The State Bureau of Identification is the intermediary for the purpose of this subsection and the Board, or its designee, is the screening point for the receipt of the federal criminal history record. The applicant is responsible for the required fee, if any, for obtaining the records.

(d) If the Board finds that false information has been intentionally provided to the Board, it shall report its finding to the Attorney General for further action.

(e) If the Board refuses to accept, or rejects, an application and the applicant believes that the Board acted without justification or imposed higher or different standards for the applicant than for other applicants, or in some other unlawful manner contributed to or caused the refusal or rejection of the application, the applicant may appeal to the Superior Court.

(24 Del. Laws, c. 140, §§ 2,3; Code 1915, §§ 863, 864; 35 Del. Laws, c. 56, § 1; Code 1935, §§ 943, 944; 41 Del. Laws, c. 87, § 1; 42 Del. Laws, c. 91, § 1; 46 Del. Laws, c. 95, §§ 1, 2; 24 Del. C. 1953, §§ 2522, 2523; 53 Del. Laws, c. 90, §§ 6,7; 58 Del. Laws, c. 511, §

57; 59 Del. Laws, c. 318, § 3; 62 Del. Laws, c. 251, § 6; 64 Del. Laws, c. 167, § 1; 65 Del. Laws, c. 378, § 3; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 436, § 22; 76 Del. Laws, c. 167, § 1; 77 Del. Laws, c. 199, § 18; 78 Del. Laws, c. 44, §§ 36, 37; 83 Del. Laws, c. 433, § 16; 85 Del. Laws, c. 49, § 1.)

§ 2509 Examination.

(a) The Board shall adopt the administration, grading procedures, and passing score of the North American Pharmacist Licensure Examination (NAPLEX) and the Multistate Pharmacy Jurisprudence Examination (MPJE) or of comparable alternative national examinations.

(b) The Board shall determine in its rules and regulations the frequency and conditions under which a candidate may retest after a failure .

(24 Del. Laws, c. 140, § 3; Code 1915, § 864; 35 Del. Laws, c. 56, § 1; Code 1935, § 944; 41 Del. Laws, c. 87, § 1; 42 Del. Laws, c. 91, § 1; 46 Del. Laws, c. 95, § 2; 24 Del. C. 1953, § 2523; 53 Del. Laws, c. 90, § 7; 58 Del. Laws, c. 511, § 57; 59 Del. Laws, c. 318, § 3; 64 Del. Laws, c. 167, § 1; 68 Del. Laws, c. 206, § 1; 75 Del. Laws, c. 436, § 22; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2510 Reciprocity or license transfer.

(a) Upon payment of the appropriate fee and submission of an application, the Board shall grant a license to each applicant who presents proof of current licensure, in good standing, in another state, the District of Columbia, or territory of the United States and who, in addition:

(1) Meets the criteria for licensure in good standing as defined in § 2508(a)(5)-(7) in all states in which the applicant is or was licensed.

(2) Has passed the NAPLEX or a comparable alternative national examination accepted by the Board.

(3) Has received a passing score on a jurisprudence examination as set forth in the Board's rules and regulations.

(4) Has passed the examination of the Foreign Pharmacy Graduate Examination Committee (FPGEC) of the NABP, if the applicant is a graduate of a foreign school of pharmacy.

(5) Has submitted fingerprints and other necessary information in order to obtain a report of the individual's entire criminal history record from the State Bureau of Identification and from the Federal Bureau of Investigation pursuant to Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C § 534). If the applicant does not have a criminal history record, the applicant shall cause to be submitted a statement from each agency that the agency has no record of criminal history information relating to the applicant. The State Bureau of Identification is the intermediary for the purpose of this subsection and the Board, or its designee, is the screening point for the receipt of the federal criminal history record. The applicant is responsible for the required fee, if any, for obtaining the records.

(b) A reciprocal applicant is not required to obtain the practical experience required under § 2508(a) of this title.

(24 Del. Laws, c. 140, § 3; Code 1915, § 864; 35 Del. Laws, c. 56, § 1; Code 1935, § 944; 41 Del. Laws, c. 87, § 1; 42 Del. Laws, c. 91, § 1; 46 Del. Laws, c. 95, § 2; 24 Del. C. 1953, § 2523; 53 Del. Laws, c. 90, § 7; 58 Del. Laws, c. 511, § 57; 59 Del. Laws, c. 318, § 3; 64 Del. Laws, c. 167, § 1; 68 Del. Laws, c. 206, § 1; 75 Del. Laws, c. 436, § 23; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2511 Fees.

The amount charged by the Division for each fee imposed under this chapter must approximate and reasonably reflect the cost necessary to defray the expenses of the Board as well as the proportional expenses incurred by the Division in its services or activities on behalf of the Board. A separate fee may be charged for each service or activity. At the beginning of each licensure biennium, the Division, or a state agency acting on its behalf, shall compute and set the fee for the licensure biennium.

(24 Del. Laws, c. 140, §§ 9, 12; 24 Del. Laws, c. 141, §§ 1, 2; Code 1915, §§ 858, 861, 868; 28 Del. Laws, c. 62; 29 Del. Laws, c. 55; 36 Del. Laws, c. 103, § 1; Code 1935, §§ 938, 941, 948; 43 Del. Laws, c. 95, § 1; 45 Del. Laws, c. 90, § 3; 46 Del. Laws, c. 95, § 3; 24 Del. C. 1953, §§ 2503, 2506, 2507; 53 Del. Laws, c. 90, §§ 4, 272; 53 Del. Laws, c. 108, § 27; 55 Del. Laws, c. 316, § 3; 62 Del. Laws, c. 251, §§ 1, 4, 5; 64 Del. Laws, c. 452, § 2; 65 Del. Laws, c. 378, § 2; 68 Del. Laws, c. 206, § 1; 74 Del. Laws, c. 262, § 43; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2512 Issuance and renewal of license; reinstatement; inactive status.

(a) The Board shall issue a license to each applicant who meets the requirements of this chapter and the Board's rules and regulations and who pays the required fee.

(b) A license to practice pharmacy must be renewed biennially, in a manner determined by the Division, and must include payment of the appropriate fee and attestation that the licensee has met the continuing education requirements established by the Board.

(c) A licensee who has allowed that licensee's license to lapse for more than 1 year but for less than 4 years may renew such lapsed license upon completion of all of the following:

(1) Payment of a late fee established by the Division.

(2) Providing proof that the licensee has met any continuing education requirements established by the Board.

(3) Submission of fingerprints and other necessary information in order to obtain a report of the individual's entire criminal history record from the State Bureau of Identification and from the Federal Bureau of Investigation.

(4) Review of NABP clearinghouse for discipline in other jurisdictions.

(d) A licensee who has allowed that licensee's license to lapse for longer than 4 years may reinstate such license upon completion of all

of the following:

(1) Payment of a late fee established by the Division.

(2) Providing proof that the licensee has met any continuing education requirements established by the Board.

(3) Submission of fingerprints and other necessary information in order to obtain a report of the individual's entire criminal history record from the State Bureau of Identification and from the Federal Bureau of Investigation.

(4) Review of NABP clearinghouse for discipline in other jurisdictions.

(5) Proof of retaking and passing the Multistate Pharmacy Jurisprudence Exam (MPJE).

(e) Any licensee, upon written request, may be placed in an inactive status for up to 4 years, and the licensee may reactivate the license after meeting all of the following criteria:

(1) Providing the Board with written notification that the licensee intends to reactivate the license.

(2) Satisfying all the continuing education requirements set forth in the Board's rules and regulations.

(3) Paying the appropriate renewal fee.

(4) Submitting fingerprints and other necessary information in order to obtain a report of the individual's entire criminal history record from the State Bureau of Identification and from the Federal Bureau of Investigation.

(5) Review by the Division or the Board of the NABP clearinghouse for discipline in other jurisdictions.

(f) If a licensee who has been placed on inactive status under subsection (e) of this section fails to reactivate that license within 4 years of being placed on inactive status under subsection (e) of this section, the individual must reapply for licensure and retake and pass the MPJE.

(24 Del. Laws, c. 140, §§ 4, 6; Code 1915, §§ 865, 867; 32 Del. Laws, c. 45, § 1; Code 1935, §§ 945, 947; 24 Del. C. 1953, §§ 2524, 2525; 49 Del. Laws, c. 263; 53 Del. Laws, c. 90, § 8; 62 Del. Laws, c. 251, §§ 7-9; 64 Del. Laws, c. 247, § 1; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 167, § 1; 79 Del. Laws, c. 193, § 5; 85 Del. Laws, c. 49, § 1.)

§ 2513 Temporary license [Effective June 30, 2026] [Repealed].

76 Del. Laws, c. 167, § 1; repealed by 85 Del. Laws, c. 49, § 1, effective June 30, 2026.

§ 2514 Complaints.

(a) All complaints received by the Division must be investigated in accordance with § 8735 of Title 29.

(b) If the Board determines that a person is engaging in or has engaged in the practice of pharmacy or is using the title "pharmacist" and is not licensed to practice pharmacy pursuant to this chapter, the Board shall request that the Attorney General issue a cease and desist order and take any other further appropriate action.

(24 Del. Laws, c. 140, § 16; Code 1915, § 872; 32 Del. Laws, c. 45, § 2; Code 1935, § 952; 24 Del. C. 1953, § 2529; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2515 Grounds for discipline for individuals.

(a) A pharmacist licensed under this chapter is subject to disciplinary sanctions set forth in § 2516 of this title if, after a hearing, the Board finds that the pharmacist has done any of the following:

(1) Engaged in or knowingly cooperated in fraud or material deception in order to acquire a license to practice pharmacy, has impersonated another person holding a license, has allowed another person to use the pharmacist's license, or has aided or abetted a person not licensed to practice pharmacy to be represented as a pharmacist.

(2) Illegally, incompetently, or negligently practiced pharmacy.

(3) Was convicted of a crime that is substantially related to the practice of pharmacy; a copy of the record of conviction certified by the clerk of the court entering the conviction is conclusive evidence of conviction.

(4) Has a current condition that impairs the pharmacist's judgment or adversely affects the pharmacist's ability to practice safely and in a competent, ethical, and professional manner.

(5) Engaged in an act of consumer fraud or deception, engaged in the restraint of competition, or participated in price-fixing activities.

(6) Violated a lawful provision of this chapter or any lawful regulation established hereunder.

(7) Had that pharmacist's own license to practice pharmacy suspended or revoked or was subjected to other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for the suspension, revocation, or other action in another jurisdiction have been presented to the Board by certified record and the Board has determined that the facts found by the appropriate licensing authority in the other jurisdiction constitute 1 or more of the acts listed in this subsection. Every person licensed to practice pharmacy in this State consents to the release of information regarding license suspension or revocation or other disciplinary action by the Board of Pharmacy or by other comparable agencies in other jurisdictions and waives all objections to the admissibility of previously adjudicated evidence of the acts or offenses which underlie license suspension or revocation or other disciplinary action.

(8) Failed to notify the Board that the pharmacist's license to practice pharmacy in another jurisdiction was subject to discipline, or was surrendered, suspended, or revoked; or that the licensee has been convicted of a crime that is substantially related to the practice of pharmacy. A certified copy of the record of disciplinary action, or of the surrender, suspension, or revocation of the license is conclusive evidence thereof. A copy of the record of conviction certified by the clerk of the court entering the conviction is conclusive evidence of conviction.

(9) Has a physical or mental impairment that prevents the pharmacist from engaging in the practice of pharmacy with reasonable skill, competence, and safety to the public.

(10) Violated the Board's code of ethics as adopted in the Board's rules and regulations.

(b) Subject to the provisions of this chapter and subchapter IV of Chapter 101 of Title 29, the Board may not discipline a license to practice pharmacy or limit a licensee's right to engage in the practice of pharmacy until the Board gives to the licensee proper notice and opportunity to be heard.

(24 Del. Laws, c. 140, § 4; Code 1915, § 865; 32 Del. Laws, c. 45, § 1; Code 1935, § 945; 24 Del. C. 1953, § 2527; 53 Del. Laws, c. 90, § 10; 53 Del. Laws, c. 267; 54 Del. Laws, c. 253; 55 Del. Laws, c. 316, § 2; 59 Del. Laws, c. 318, § 4; 62 Del. Laws, c. 251, § 11; 65 Del. Laws, c. 355, § 1; 65 Del. Laws, c. 378, § 4; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, §§ 44, 45; 75 Del. Laws, c. 436, §§ 24-26; 76 Del. Laws, c. 167, § 1; 79 Del. Laws, c. 238, § 1; 80 Del. Laws, c. 80, § 13; 83 Del. Laws, c. 52, § 14; 84 Del. Laws, c. 42, § 1; 84 Del. Laws, c. 158, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2516 Disciplinary sanctions for individuals.

(a) The Board may impose any of the following sanctions, singly or in combination, when it determines that a person licensed to practice pharmacy has violated a ground for discipline set forth in § 2515 of this title:

(1) Issue a letter of reprimand.

(2) Place the licensee on probationary status and require the licensee to do any of the following:

a. Report regularly to the Board upon the matters that are the basis of the probation.

b. Limit all practice and professional activities to those areas prescribed by the Board.

(3) Suspend the license of the licensee.

(4) Permanently revoke the license of the licensee.

(5) Impose an administrative penalty, not to exceed $10,000 for a first-time violation. Any subsequent violations may be subject to an administrative penalty, not to exceed $50,000 for each such violation.

(b) The Board may withdraw or reduce conditions of probation imposed under paragraph (a)(2) of this section if it finds that the deficiencies that required the conditions of probation to be imposed have been remedied.

(c) If the Board suspends a license to practice pharmacy due to an impairment of the licensee, the Board may reinstate the license if, after a hearing, the Board is satisfied that the licensee is able to practice pharmacy with reasonable skill, competence, and safety to the public.

(24 Del. C. 1953, § 2531; 53 Del. Laws, c. 90, §§ 12-16; 62 Del. Laws, c. 251, § 12; 63 Del. Laws, c. 234, § 1; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2517 Hearing procedures.

(a) If a complaint alleging a violation of § 2515 of this title is filed with the Board under § 8735(h) of Title 29, the hearing must be noticed and conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) If a licensee is in disagreement with the decision of the Board, the licensee may appeal the Board's decision to the Superior Court within 30 days of the date the copy of the decision is mailed to that licensee. Upon appeal, the Court shall hear the evidence on the record. A stay pending review may be granted by the Court in accordance with § 10144 of Title 29.

(24 Del. Laws, c. 140, § 4; 32 Del. Laws, c. 45, § 1; 34 Del. Laws, c. 71; Code 1915, § 874A; Code 1935, §§ 945, 955, 956E; 41 Del. Laws, c. 87, § 6; 46 Del. Laws, c. 143, § 1; 24 Del. C. 1953, §§ 2527, 2586; 49 Del. Laws, c. 220, § 27; 53 Del. Laws, c. 267; 54 Del. Laws, c. 253; 55 Del. Laws, c. 316, § 2; 59 Del. Laws, c. 318, §§ 4, 9; 62 Del. Laws, c. 251, § 11; 65 Del. Laws, c. 355, § 1; 65 Del. Laws, c. 378, § 4; 68 Del. Laws, c. 206, § 1; 69 Del. Laws, c. 423, § 23; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, §§ 44, 45; 75 Del. Laws, c. 436, §§ 24-26; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2518 Reinstatement of a suspended license; removal from probationary status [Effective June 30, 2026] [Repealed].

76 Del. Laws, c. 167, § 1; 70 Del. Laws, c. 186, § 1; repealed by 85 Del. Laws, c. 49, § 1, effective June 30, 2026.

§ 2519 Temporary suspension pending hearing.

In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 79 Del. Laws, c. 213, § 2; 85 Del. Laws, c. 49, § 1.)

§ 2520 Counseling of pharmacists [Effective June 30, 2026] [Repealed].

71 Del. Laws, c. 101, § 1; 70 Del. Law, c. 186,, § 1; 76 Del. Laws, c. 167, § 1; repealed by 85 Del. Laws, c. 49, § 1, effective June 30, 2026.

§ 2521 Impaired pharmacist [Effective June 30, 2026] [Repealed].

68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 167, § 1; repealed by 85 Del. Laws, c. 49, § 1, effective June 30, 2026.

Subchapter III

Prescription Labeling; Exemptions

§ 2522 Prescription labeling.

(a) A practitioner prescribing a drug to be prepared and dispensed by a pharmacist in this State for the use of a patient or any third person must, as part of the prescription, include directions describing the exact method by which the drug must be taken or administered. A prescription without specific directions, or a prescription bearing the notation "as directed" without specific directions, may not be prepared or dispensed.

(b) A pharmacist must ensure that a label is affixed to every container in which a drug is dispensed. The label must contain the information required by the Board's rules and regulations.

(c) Practitioners who sell or dispense drugs directly to patients must label all drugs or provide a document that includes all of the following information:

(1) The patient's full name and address.

(2) The date the drugs were dispensed to the patient.

(3) The practitioner's name, office phone number, and address.

(4) The practitioner's Drug Enforcement Administration number in the case of a controlled substance.

(5) Name, strength, dosage form, and quantity (or stop date) of drug and route of administration if other than oral form of drug is prescribed.

(6) Renewals authorized.

(7) The practitioner's directions.

(d) No pharmacist may fail to dispense a prescription because it is not clearly written or lacks information required by this title without first making a reasonable effort to contact the practitioner who issued the prescription to gather the clear and complete information.

(24 Del. C. 1953, § 2563; 58 Del. Laws, c. 244, § 2; 68 Del. Laws, c. 206, § 1; 75 Del. Laws, c. 101, § 1; 75 Del. Laws, c. 415, §§ 1, 2; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2523 Exemptions.

Nothing in this chapter may be construed to prevent any of the following:

(1) A student or graduate of an accredited school of pharmacy from receiving practical training pursuant to an internship or other approved program under the supervision of a pharmacist in this State.

(2) A pharmacy intern, or a pharmacy student participating in an approved college of pharmacy coordinated practical experience program under the direct supervision of a licensed pharmacist, from performing the functions permitted under the rules and regulations of the Board and not inconsistent with this chapter.

(3) A pharmacy technician or certified pharmacy technician from performing under the direct supervision of a pharmacist the delegated functions permitted under the rules and regulations of the Board and not inconsistent with this chapter.

(4) A practitioner licensed under the laws of this State to practice within the scope of that practitioner's license.

(5) The selling at retail of over-the-counter products.

(6) A business not licensed as a pharmacy to sell gases that are used for medicinal purposes and which require a prescription, provided that:

a. The business is registered with the Board.

b. The sale is authorized by a written, electronic, or fax order or by a verbal order reduced to writing from a licensed practitioner.

c. The record of the written, electronic, or fax order, or the verbal order reduced to writing is maintained on the premises of the business for at least 3 years.

d. The gas product is stored and dispensed according to requirements established by the Board.

(7) The sale of noncontrolled prescription drugs designated for veterinary use by a business not licensed as a pharmacy, provided that the business is registered with the Board and the sale is authorized by a written, electronic, or fax order or by a verbal order reduced to writing from a licensed veterinarian.

(8) Pharmacist selection of appropriate dosage forms, concentrations, equivalent strengths or routes of administration of medications.

(9) a. A practitioner from dispensing the unused portion of practitioner-dispensed topical medication to the patient upon discharge or the conclusion of the visit if the practitioner-dispensed topical medication is labeled consistent with the requirements under § 2522 of

this title and required for continuing treatment. If the practitioner-dispensed topical medication is used in an operating room or emergency department, the practitioner must counsel the patient on the proper use and administration of the drug.

b. A practitioner who fails to comply with paragraph (9)a. of this section is subject to disciplinary sanction under this title.

(10) [Repealed.]

(11) A manufacturer or wholesaler from providing distribution of dialysate drugs or devices necessary to perform home dialysis on patients with end-stage renal disease, provided that all of the following criteria are met:

a. The dialysate drugs or devices are approved by the U.S. Food and Drug Administration as required by federal law.

b. The dialysate drugs or devices are lawfully held by a manufacturer or a manufacturer's agent that is properly registered with the Board as a manufacturer or wholesaler.

c. The dialysate drugs or devices are held and delivered in their original, sealed label packaging from the manufacturing facility.

d. The dialysate drugs or devices are delivered only by the manufacturer or the manufacturer's agent and only upon receipt of a prescription from a practitioner.

e. The manufacturer or the manufacturer's agent delivers the dialysate drugs or devices directly to 1 of the following:

1. A patient with end stage renal disease or the patient's designee for the patient's self-administration of dialysis therapy.

2. A health-care provider or institution for administration or delivery of the dialysis therapy to a patient with end stage renal disease.

(24 Del. Laws, c. 140, § 1; Code 1915, § 862; Code 1935, § 942; 24 Del. C. 1953, § 2521; 64 Del. Laws, c. 452, § 1; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 161, § 9; 75 Del. Laws, c. 260, §§ 1, 2; 76 Del. Laws, c. 167, § 1; 84 Del. Laws, c. 158, § 2; 85 Del. Laws, c. 49, § 2; 85 Del. Laws, c. 97, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2524 Miscellaneous fees [Effective June 30, 2026] [Repealed].

24 Del. Laws, c. 140, §§ 9, 12; 24 Del. Laws, c. 141, §§ 1, 2; Code 1915, §§ 858, 861, 868; 28 Del. Laws, c. 62; 29 Del. Laws, c. 55; 36 Del. Laws, c. 103, § 1; Code 1935, §§ 938, 941, 948; 43 Del. Laws, c. 95, § 1; 45 Del. Laws, c. 90, § 3; 46 Del. Laws, c. 95, § 3; 24 Del. C. 1953, §§ 2503, 2506, 2507; 53 Del. Laws, c. 90, §§ 4, 272; 53 Del. Laws, c. 108, § 27; 55 Del. Laws, c. 316, § 3; 62 Del. Laws, c. 251, §§ 1, 4, 5; 64 Del. Laws, c. 452, § 2; 65 Del. Laws, c. 378, § 2; 68 Del. Laws, c. 206, § 1; 74 Del. Laws, c. 262, § 43; 76 Del. Laws, c. 167, § 1; repealed by 85 Del. Laws, c. 49, § 1, effective June 30, 2026.

§ 2525 Testing, screening, and treatment of health conditions.

(a) Pursuant to a statewide written protocol approved by the Division of Public Health, a pharmacist may order, test, screen, and treat health conditions that include all of the following:

(1) Influenza.

(2) Group A streptococcus pharyngitis.

(3) SARS-COV-2 or other respiratory illness, condition, or disease.

(4) Lice.

(5) Skin conditions, including ringworm and athlete's foot.

(6) Other emerging and existing public health threats identified by the Division of Public Health if permitted by an order, rule, or regulation.

(b) A pharmacist who orders, tests, screens, or treats health conditions under this section may use any test that may guide clinical decision making that is waived under the federal Clinical Laboratory Improvement Amendments of 1988 [42 U.S.C. § 263a], or the federal rules adopted thereunder, or any established screening procedure that is established via a statewide protocol.

(c) A pharmacist may delegate the administrative and technical tasks of performing a test waived by the federal Clinical Laboratory Improvement Amendments of 1988 to an intern or pharmacy technician acting under the supervision of the pharmacist.

(83 Del. Laws, c. 439, § 1; 85 Del. Laws, c. 253, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2525A Human immunodeficiency virus (HIV) pre-exposure prophylaxis and HIV post-exposure prophylaxis.

(a) Pursuant to a statewide written protocol approved by the Division of Public Health, a pharmacist may initiate, dispense, or administer medications for HIV pre-exposure prophylaxes and for HIV post-exposure prophylaxis, which includes administering laboratory tests, conducting assessments and consultations, and providing referrals,

(b) When initiating therapy for and administering or dispensing HIV pre-exposure prophylaxis or HIV post-exposure prophylaxis under a statewide protocol, a pharmacist must complete a training program approved by the Board within 1 year prior to first-time initiating therapy and administering or dispensing HIV pre-exposure prophylaxis or HIV post-exposure prophylaxis. The training program must include information about all of the following:

(1) Financial assistance programs for HIV pre-exposure prophylaxis and HIV post-exposure prophylaxis.

(2) Relevant federal guidelines regarding HIV pre-exposure prophylaxis or HIV post-exposure prophylaxis.

(c) When initiating therapy for and administering or dispensing HIV pre-exposure prophylaxis or HIV post-exposure prophylaxis to a new patient, a pharmacist may not allow the patient to waive consultation for HIV pre-exposure prophylaxis or HIV post-exposure prophylaxis.

(d) *HIV pre-exposure prophylaxis*. — (1) Under a statewide protocol, a pharmacist may dispense between a 30-day and 60-day supply of

HIV pre-exposure prophylaxis if the all of the following apply:

a. The patient is HIV-negative as documented by a negative HIV test result obtained within the previous 7 days from a test approved by the U.S. Food and Drug Administration.

b. The patient does not report any of the following:

1. Any signs or symptoms of acute HIV infection on a self-reported checklist of acute HIV infection signs and symptoms.

2. Usage of any contraindicated medication.

c. The pharmacist provides counseling to the patient on the ongoing use of HIV pre-exposure prophylaxis, which must include education about all of the following:

1. Side effects.

2. Safety during pregnancy and breastfeeding.

3. Adherence to recommended dosing.

4. The importance of timely testing and treatment for HIV, renal function, hepatitis B, hepatitis C, sexually-transmitted infections, and pregnancy for individuals of childbearing capacity.

5. The requirement for subsequent prescriptions for HIV pre-exposure prophylaxis issued by a primary-care provider.

d. To the extent possible, the pharmacist documents the services provided by the pharmacist in the patient record system shared with the primary-care provider.

(2) If the test results from a test under paragraph (d)(1)a. of this section are not sent directly to the pharmacist, the pharmacist must verify the test results.

(3) If the patient tests positive for HIV infection from a test under paragraph (d)(1)a. of this section, the pharmacist shall do the following and document in the patient's record which of the following was done:

a. If the patient has a primary-care provider, send the result of the test to the patient's primary-care provider after obtaining written permission from the patient to do so.

b. If the patient does not give written permission under paragraph (d)(3)a. of this section or if the patient does not have a primary-care provider, provide a list of providers and clinics in the region that provide care for patients with HIV.

(4) If the patient does not provide evidence of a negative HIV test under paragraph (d)(1)a. of this section, the pharmacist shall initiate and administer an HIV test and interpret the test results prior to initiating any treatment under this subsection.

(e) *HIV post-exposure prophylaxis*. — (1) Under a statewide protocol, a pharmacist may dispense a course of HIV post-exposure prophylaxis if the pharmacist does all of the following:

a. Screens the patient and determines that the exposure to HIV occurred within the previous 72 hours.

b. Provides HIV testing or determines that the patient is 1 of the following:

1. Willing to undergo HIV testing consistent with federal guidelines.

2. Unwilling to undergo HIV testing but otherwise eligible for HIV post-exposure prophylaxis.

c. Provides counseling to the patient on the ongoing use of HIV post-exposure prophylaxis, which must include education about all of the following:

1. Side effects.

2. Safety during pregnancy and breastfeeding.

3. Adherence to recommended dosing.

4. The importance of timely testing and treatment for HIV, renal function, hepatitis B, hepatitis C, sexually-transmitted infections, and pregnancy for individuals of childbearing capacity.

5. The availability of HIV pre-exposure prophylaxis for a person who is at a substantial risk of acquiring HIV.

d. To the extent possible, documents the services provided by the pharmacist in the patient record system shared with the primary-care provider.

(84 Del. Laws, c. 424, § 1; 85 Del. Laws, c. 49, § 1.)

Subchapter IV

Pharmacies

§ 2526 Permit required for each pharmacy.

(a) A person may not operate a pharmacy within this State without first having obtained a permit to operate a pharmacy from the Board. A person who desires to operate more than 1 pharmacy must make a separate permit application for each pharmacy. However, separate permits are not required for sites designated as pharmacies within the same institution at 1 general location, provided that each site is approved by the Board.

(b) The Board shall issue a separate permit for each qualifying pharmacy. A permit to operate a pharmacy granted by the Board may not be assigned or otherwise transferred to another person except upon such conditions as the Board specifically designates, and then only pursuant to the written consent of the Board or its designee. A permit must be available on site for inspection by authorized persons.

(Code 1935, § 956A; 41 Del. Laws, c. 87, § 2; 24 Del. C. 1953, § 2551; 62 Del. Laws, c. 251, § 13; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2527 Application fees for permits [Effective June 30, 2026] [Repealed].

Code 1935, § 956A; 41 Del. Laws, c. 87, § 2; 24 Del. C. 1953, § 2552; 53 Del. Laws, c. 90, § 18; 62 Del. Laws, c. 251, § 14; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; repealed by 85 Del. Laws, c. 49, § 1, effective June 30, 2026.

§ 2528 Requirements for and issuance of license .

(a) Upon submission of an application, payment of the appropriate fee, and fulfillment of all standards set by the Board by rules and regulations, the Board shall issue a license when the applicant provides evidence, verified by oath, that the pharmacy meets all of the following requirements:

(1) The pharmacy will be operated in full compliance with the law and with the rules and regulations of the Board.

(2) The pharmacy will be managed by a pharmacist-in-charge who is licensed to practice pharmacy in this State. Each pharmacy may only have 1 pharmacist-in-charge, and that pharmacist-in-charge may only be a pharmacist-in-charge of 1 pharmacy.

(3) The location and appointments of the pharmacy meet the requirements set forth in the Board's rules and regulations.

(4) The pharmacist-in-charge, whose name is on the application, must comply with pharmacy, controlled substance, and other applicable statutes and rules and regulations.

(5) The pharmacy must provide conspicuous notice to consumers that the Board of Pharmacy is the contact agency for reporting unresolved medication errors.

(6) The pharmacy will be equipped with proper reference materials and professional and technical equipment as provided in the Board's rules and regulations.

(7) The pharmacy will be inspected and approved by an agent of the Board prior to opening.

(8) The pharmacy has not obtained a license by misrepresentation or fraud.

(9) The pharmacy has not been disciplined by a regulatory agency.

(b) As set forth in the Board's rules and regulations, the applicant must designate the specific type of pharmacy license requested.

(Code 1935, § 956A; 41 Del. Laws, c. 87, § 2; 24 Del. C. 1953, § 2553; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2529 Renewal of license; closing of pharmacy.

(a) A license to operate a pharmacy must be renewed biennially in a manner determined by the Division, including the payment of the renewal fee.

(b) The Board, in its rules and regulations, shall determine the period within which a permit holder may renew the permit to operate a pharmacy, notwithstanding the fact that the permit holder failed to renew on or before the designated renewal date; provided, however, that the period of time may not exceed 1 year beyond the designated renewal date.

(c) As set forth in the Board's rules and regulations, a license to operate a pharmacy terminates automatically upon a change in ownership, the termination of the legal existence of the pharmacy, or the discontinuance of business or professional practice.

(d) The temporary or permanent closing of a pharmacy must be in compliance with the rules and regulations of the Board.

(Code 1935, § 956A; 41 Del. Laws, c. 87, § 2; 24 Del. C. 1953, § 2555; 53 Del. Laws, c. 90, §§ 19, 20; 62 Del. Laws, c. 251, § 15; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2530 Grounds for discipline of a pharmacy license.

A license is subject to the disciplinary actions established in § 2531A of this title if, after a hearing, the Board finds that the licensee has done 1 or more of the following acts:

(1) The pharmacy is not being operated according to the Board's laws or rules and regulations.

(2) The pharmacy's prescription department is closed for more than 14 consecutive days, unless the closing of the prescription department was due to a cause which the Board finds reasonable.

(3) The pharmacy has been disciplined by a regulatory agency.

(4) The pharmacy has obtained a license by misrepresentation or fraud.

(5) The pharmacy has refused access to the pharmacy or pharmacy records to an agent of the Board who seeks access for the purpose of conducting an inspection or investigation.

(Code 1935, §§ 942, 956A; 41 Del. Laws, c. 87, § 2; 46 Del. Laws, c. 142, § 1; 24 Del. C. 1953, § 2556; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2531 Hearings on actions involving licenses.

(a) If the Board intends not to issue a license or intends to discipline a license, the Board shall give written notice to the applicant or licensee of the intended action and the reasons therefor. The applicant or licensee has at least 10 days from the date of notice to request a hearing. Notice of the hearing must be given and the hearing must be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) If an applicant or licensee is in disagreement with the decision of the Board, the applicant or licensee may appeal the Board's decision to the Superior Court within 30 days of the date of mailing. Upon appeal, the Court shall hear the evidence on the record. A stay

pending review may be granted by the Court in accordance with § 10144 of Title 29.

(Code 1935, §§ 942, 956A; 41 Del. Laws, c. 87, § 2; 46 Del. Laws, c. 142, § 1; 24 Del. C. 1953, § 2556; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2531A Disciplinary sanctions for pharmacies.

The Board may impose any of the following sanctions, individually or in combination, when it finds that a pharmacy has violated any condition or committed any violation set forth in § 2530 of this title:

(1) Issue a letter of reprimand.

(2) Place a pharmacy on probationary status and require the pharmacy to:

a. Report regularly to the Board upon the matters which are the basis of the probation.

b. Limit all practice and professional activities as prescribed by the Board.

(3) Suspend any pharmacy's license.

(4) Permanently revoke any pharmacy's license.

(5) Impose a monetary penalty not to exceed $250,000 for each violation and not more than $250,000 for each day of a continuing violation.

(85 Del. Laws, c. 49, § 1.)

§ 2532 Pharmacy records.

(a) The pharmacy must maintain a record of every prescription dispensed or compounded at the pharmacy for a period of not less than 3 years. The record of prescriptions must at all times be available for inspection by authorized agents of the Board.

(b) Upon request by a person for such person's pharmacy records, a pharmacy shall provide such records as soon as is reasonably possible, but by no later than 15 business days after such person has made the request to the pharmacy, unless an emergency or a medical condition dictates that such records should be produced immediately. Nothing herein may be construed as limiting or lessening the pharmacy's obligations to maintain confidentiality of such records and the pharmacy must follow such pharmacy's standard procedures to ensure maintenance of confidentiality of such records.

(24 Del. Laws, c. 140, § 14; 26 Del. Laws, c. 147; Code 1915, § 870; Code 1935, § 950; 24 Del. C. 1953, § 2560; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 149, § 213; 76 Del. Laws, c. 167, § 1; 77 Del. Laws, c. 228, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2533 Prescription department.

The prescription department must meet the requirements of the Board's rules and regulations.

(Code 1935, § 942; 46 Del. Laws, c. 142, § 1; 24 Del. C. 1953, § 2561; 53 Del. Laws, c. 90, §§ 23-25; 59 Del. Laws, c. 318, § 5; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2534 Inspections.

(a) An agent of the Board may enter and inspect, during business hours, any pharmacy or other place in this State where drugs are manufactured, packed, packaged, stocked, distributed, dispensed, or offered for sale.

(b) An agent of the Board acting pursuant to subsection (a) of this section may do any of the following:

(1) Inspect and copy records required by this chapter to be kept.

(2) Inspect within reasonable limits and in a reasonable manner the premises and all pertinent equipment, finished and unfinished materials, containers, and labeling found therein.

(3) Inspect other things therein, including records, files, papers, processes, controls, and facilities relating to a violation of this chapter.

(4) Make an inventory of the stock of drugs therein and obtain samples of drugs and other substances.

(c) All information gathered under this section is to be kept confidential in accordance with all federal and state laws governing privacy.

(Code 1935, § 956D; 41 Del. Laws, c. 87, § 5; 24 Del. C. 1953, § 2562; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 149, § 214; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2535 Nonresident pharmacies.

(a) A pharmacy located in another state, the District of Columbia, or a territory of the United States which delivers, dispenses, or distributes by any method a prescription drug to an ultimate user in this State must obtain a nonresident pharmacy license from the Board. A nonresident pharmacy may not deliver in any manner a prescription drug to an ultimate user in this State unless it has a license to do so issued by the Board.

(b) If a nonresident pharmacy which has a license issued pursuant to this section delivers in any manner a prescription drug and the prescription drug is not personally hand delivered to the ultimate user, a written notice must be placed in theshipping container to alert the patient that:

(1) Under certain circumstances a prescription drug's effectiveness may be affected by exposure to extremes of heat, cold, or humidity.

(2) A local or a toll-free telephone service is available, staffed by a registered pharmacist, to answer questions about the prescription drug.

(c) Upon submission of an application, payment of the appropriate fee, and fulfillment of all standards set forth in the Board's rules and

regulations, the Board shall issue a license when the applicant provides evidence, verified by oath, that the pharmacy meets all of the following requirements:

(1) Holds a license in good standing an all states or jurisdictions where the applicant is or was licensed.

(2) Has not obtained a license by misrepresentation or fraud.

(3) Has not been disciplined by a regulatory agency.

(4) Submits the most recent inspection report, that is approved by the Board, and satisfies all of the following requirements:

a. The inspection occurred when the pharmacy was in operation.

b. The inspection addresses all aspects of the pharmacy's business that will be utilized in this State.

c. The inspection was performed by or on behalf of the home state licensing authority, if available.

d. The report is the most recent report available that satisfies the requirements of this paragraph (c)(4).

e. If the home state licensing authority has not conducted an inspection satisfying the requirements of this paragraph (c)(4), the pharmacy must submit an inspection report from NABP's verified pharmacy program or from another qualified entity as determined by the Board.

(5) Submits plans for the pharmacy department as set forth in the Board's rules and regulations.

(68 Del. Laws, c. 206, § 1; 71 Del. Laws, c. 474, §§ 1, 2; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2536 Nonresident pharmacies: service of process; registered agent.

(a) A nonresident pharmacy must designate a registered agent in Delaware for service of process.

(b) A nonresident pharmacy that does not designate a registered agent appoints the Secretary of State to be its agent upon whom may be served all legal process in any action or proceeding against the nonresident pharmacy relating to the delivery in any manner of prescription drugs into this State.

(c) In any action or proceeding against a nonresident pharmacy, a copy of service of process must be mailed to the nonresident pharmacy by the complaining party by certified mail, return receipt requested, at the address of the nonresident pharmacy, as designated on the nonresident pharmacy's permit application to conduct business in this State.

(d) A nonresident pharmacy which does not obtain a permit in this State pursuant to this chapter consents to service of process on the Secretary of State as sufficient service.

(68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2537 Grounds for discipline of a nonresident pharmacy .

(a) A nonresident pharmacy is subject to disciplinary actions established in § 2538 of this title if, after a hearing, the Board finds that the nonresident pharmacy has done 1 or more of the following acts:

(1) Obtained a license by misrepresentation or fraud.

(2) Failed to operate according to the Board's statutes, laws, and rules and regulations.

(3) Failed to maintain at all times a valid license to operate the pharmacy, which complies with the statutes, laws, and rules and regulations of the state in which it is physically located.

(4) Failed to maintain patient profiles in compliance with board rules and regulations.

(5) Failed to provide the Board with inspection reports within 60 days after receipt from the regulatory licensing agency of the state in which the nonresident pharmacy is physically located.

(6) Failed to maintain its records of prescription drugs dispensed to Delaware patients in a way that the records are readily retrievable, but no more than 15 days, from the records of drugs dispensed to other patients.

(7) Been disciplined by a regulatory agency.

(b) The Board shall report any disciplinary action it takes against a nonresident pharmacy to the board in the state where the pharmacy is physically located.

(24 Del. C. 1953, § 2564; 53 Del. Laws, c. 90, § 26; 58 Del. Laws, c. 244, § 1; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2538 Disciplinary sanctions for nonresident pharmacies.

(a) The Board may impose any of the following sanctions, individually or in combination, when it finds that a nonresident pharmacy has violated any condition or committed any violation set forth in § 2537 of this title:

(1) Issue a letter of reprimand.

(2) Place a nonresident pharmacy on probationary status, and require the nonresident pharmacy to:

a. Report regularly to the Board upon the matters which are the basis of the probation.

b. Limit all practice and professional activities as prescribed by the Board.

(3) Suspend any nonresident pharmacy's license.

(4) Permanently revoke any nonresident pharmacy's license.

(5) Impose a monetary penalty not to exceed $250,000 for each violation and not more than $250,000 for each day of a continuing violation.

(b) A person who operates a pharmacy located outside this State and delivers in any manner a prescription drug into this State without

having obtained a license to conduct business in this State pursuant to this chapter commits the offense of operating a nonresident pharmacy without a license and may be fined not more than $10,000 for each day that the offense occurs or continues.

(24 Del. C. 1953, § 2564; 53 Del. Laws, c. 90, § 26; 58 Del. Laws, c. 244, § 1; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

Subchapter V

Pharmaceutical Establishments Other Than Pharmacies

§ 2540 Requirements for pharmaceutical activities not carried on in a pharmacy.

(a) Drugs may not be manufactured, packed, packaged, or distributed within this State unless done so under the personal and immediate supervision of a person approved by the Board after investigation and determination by the Board as set forth in the Board's rules and regulations.

(b) A person may not operate a pharmaceutical establishment to manufacture, pack, package, or distribute on a wholesale basis to persons other than the ultimate consumer any drugs without first obtaining from the Board a license to operate a pharmaceutical establishment. This subchapter also applies to the activities of a reverse distributor who acts as an agent for a person permitted to operate a pharmaceutical establishment by receiving, inventorying, and managing the disposition of outdated or otherwise nonsalable drugs. A license issued pursuant to this subchapter must be available for inspection by authorized persons.

(c) A person who has a permit to operate a pharmaceutical establishment is subject to board rules and regulations with respect to the storage and handling of drugs and to the establishment and maintenance of drug distribution records, and must comply with federal, state, and local law.

(d) As set forth in the Board's rules and regulations, a license to operate a pharmaceutical establishment issued pursuant to this subchapter terminates automatically upon a change in ownership, the termination of the pharmaceutical establishment's legal existence, or the discontinuance of business or professional practice.

(e) Nothing in this subchapter may be construed to apply to pharmacies.

(Code 1935, § 956B; 41 Del. Laws, c. 87, § 3; 24 Del. C. 1953, § 2571; 53 Del. Laws, c. 90, § 28; 59 Del. Laws, c. 318, § 6; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2540A Requirements for issuance of license for manufacturers, wholesale distributors, outsourcing facilities, and medical gas distributors.

(a) All applicants under this subchapter must meet all of the following requirements:

(1) The establishment must operate under the personal and immediate supervision of a person who meets state and federal requirements.

(2) The establishment has not been disciplined by a regulatory agency. The Board may, after a hearing or review of documentation, determine whether such discipline is grounds to deny licensure.

(3) No member of the key personnel has done any of the following:

a. Obtained a license by misrepresentation or fraud.

b. Attempted to procure, or has procured, a license for any other person by making, or causing to be made, any false representation .

c. Been convicted of a crime substantially related to the practice of pharmacy. The Board may, after a hearing or review of documentation, determine whether such criminal conviction is grounds to deny licensure.

d. Been disciplined by a regulatory agency. The Board may, after a hearing or review of documentation, determine whether such discipline is grounds to deny licensure.

(4) All key personnel must submit fingerprints and other necessary information in order to obtain a report of the individual's entire criminal history record from the State Bureau of Identification and from the Federal Bureau of Investigation pursuant to Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). If such individuals do not have a criminal history record, the applicant shall cause to be submitted a statement from each agency that the agency has no record of criminal history information relating to the individual. The State Bureau of Identification is the intermediary for the purpose of this subsection and the Board, or its designee, shall be the screening point for the receipt of the federal criminal history record. The applicant is responsible for the required fee, if any, for obtaining the records.

(5) The application for a license to operate a pharmaceutical establishment must be accompanied by any application fee or license fee established by the Division. A separate license is required for each location. The license must be available for inspection by authorized persons.

(6) A license to operate a pharmaceutical establishment issued under this subchapter terminates automatically upon the termination of the pharmaceutical establishment's legal existence or upon the discontinuance of business or professional practice.

(b) *Requirements for a manufacturer.* —

An applicant for licensure as a manufacturer must meet all of the following requirements:

(1) Provide information about ownership and key personnel, including designated representative and supervisor.
(2) Provide recent good manufacturing practice (GMP) inspection report which is acceptable to the Board.
(3) Provide proof of registration pursuant to 21 U.S.C. § 360, where applicable.
(4) Provide proof of licensure or registration by the state in which the manufacturer is physically located, where applicable.
(5) Meet the applicable requirements set forth in the Board's rules and regulations.

(c) *Requirements for an outsourcing facility. —*

An applicant for licensure must meet all of the following requirements:
(1) Provide information about ownership and key personnel, including designated representative and supervisor.
(2) Provide recent GMP inspection report which is acceptable to the Board.
(3) Provide proof of licensure or registration by the state in which the manufacturer is physically located, where applicable.
(4) Meet the applicable requirements set forth in the Board's rules and regulations.

(d) *Requirements for a wholesale distributor. —*
(1) Provide information about ownership and key personnel, including designated representative and supervisor.
(2) Provide a set of floor plans for the physical location.
(3) Provide the lease or deed for the physical location.
(4) Provide regulatory letter from the Food and Drug Administration, where applicable.
(5) Provide proof of licensure or registration by the state in which the wholesale distributor is physically located, where applicable.
(6) Meet the applicable requirements set forth in the Board's rules and regulations.

(e) *Requirements for a medical gas dispenser. —*
(1) Provide information about ownership and key personnel, including designated representative and supervisor.
(2) Provide a set of floor plans for the physical location.
(3) Provide proof of licensure or registration by the state in which the medical gas distributor is physically located, where applicable.
(4) Meet the applicable requirements set forth in the Board's rules and regulations.

(85 Del. Laws, c. 49, § 1.)

§ 2541 Application and fee for a permit to operate a pharmaceutical establishment [Effective June 30, 2026] [Repealed].

Code 1935, § 956B; 41 Del. Laws, c. 87, § 3; 24 Del. C. 1953, § 2572; 53 Del. Laws, c. 90, § 29; 53 Del. Laws, c. 108, § 28; 59 Del. Laws, c. 318, §§ 7, 8; 62 Del. Laws, c. 251, § 18; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 79 Del. Laws, c. 277, § 14; repealed by 85 Del. Laws, c. 49, § 1, effective June 30, 2026.

§ 2542 Renewal of permit.

A permit to operate a pharmaceutical establishment must be renewed biennially in a manner determined by the Division, including the payment of the renewal fee established pursuant to § 2511 of this title.

(Code 1935, § 956B; 41 Del. Laws, c. 87, § 3; 24 Del. C. 1953, § 2573; 53 Del. Laws, c. 90, § 30; 62 Del. Laws, c. 251, §§ 19, 20; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2543 Hearings and appeals to Superior Court.

A person aggrieved by a board decision made pursuant to this subchapter has the substantive and procedural rights to notice, hearing, and appeal described in the Administrative Procedures Act, Chapter 101 of Title 29.

(Code 1935, § 956B; 41 Del. Laws, c. 87, § 3; 24 Del. C. 1953, §§ 2575, 2576; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2544 Inspections.

Inspections of pharmaceutical establishments are conducted in the same manner as inspections of pharmacies pursuant to § 2534 of this title.

(Code 1935, § 956D; 41 Del. Laws, c. 87, § 5; 24 Del. C. 1953, § 2574; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 149, § 215; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2545 Grounds for discipline for pharmaceutical establishments.

The Board may discipline a license to operate a pharmaceutical establishment, other than a pharmacy, where:
(1) The establishment is not being operated according to applicable state and federal law and the Board's rules and regulations.
(2) A member of the key personnel has been convicted of a crime substantially related to the practice of pharmacy.
(3) The establishment or a member of the key personnel has been disciplined by a regulatory agency.
(4) The establishment has obtained a license by misrepresentation or fraud.
(5) The establishment has refused access to the pharmacy or pharmacy records to an agent of the Board who seeks access for the purpose of conducting an inspection or investigation.

(Code 1935, § 956B; 41 Del. Laws, c. 87, § 3; 24 Del. C. 1953, § 2577; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2546 Disciplinary sanctions for pharmaceutical establishments.

The Board may impose any of the following sanctions, individually or in combination, when it finds that 1 or more of the conditions or violations set forth in § 2545 of this title applies to a pharmaceutical establishment regulated by this subchapter:

(1) Issue a letter of reprimand.

(2) Place an establishment on probationary status and require the establishment to do any of the following:

a. Report regularly to the Board upon matters which are related to the basis of the probation.

b. Limit all practice and professional activities as prescribed by the Board.

(3) Suspend any establishment's license.

(4) Permanently revoke any establishment's license.

(5) Impose a monetary penalty not to exceed $250,000 for each violation and not more than $250,000 for each day of a continuing violation.

(85 Del. Laws, c. 49, § 1.)

Subchapter VI

Prohibited Acts; Penalties Generally; Enforcement

§ 2547 Use of certain descriptive titles.

Nothing in this chapter may be construed to prohibit the use of the phrase "proprietary medicine store," "patent medicine store," "health and beauty aids," or "apothecary" but only to the extent that the practice of pharmacy is not occurring on the premises.

(Code 1915, § 872; 32 Del. Laws, c. 45, § 2; Code 1935, § 952; 24 Del. C. 1953, § 2581; 68 Del. Laws, c. 206, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2548 Jurisdiction [Effective June 30, 2026] [Repealed].

34 Del. Laws, c. 71; Code 1915, § 874A; Code 1935, §§ 955, 956E; 41 Del. Laws, c. 87, § 6; 46 Del. Laws, c. 143, § 1; 24 Del. C. 1953, § 2586; 49 Del. Laws, c. 220, § 27; 68 Del. Laws, c. 206, § 1; 69 Del. Laws, c. 423, § 23; 76 Del. Laws, c. 167, § 1; repealed by 85 Del. Laws, c. 49, § 1, effective June 30, 2026.

§ 2549 Substitution of drugs [Effective June 30, 2026].

Transferred to § 2550 of this title by 85 Del. Laws, c. 49, § 1, effective June 30, 2026.

§ 2549A Dispensing and substitution of biological products [Effective June 30, 2026].

Transferred to § 2551 of this title by 85 Del. Laws, c. 49, § 1, effective June 30, 2026.

Subchapter VII

Substitution of Drugs; Emergency Refills

§ 2550 Substitution of drugs.

(a) When a pharmacist receives a prescription drug order from a practitioner for a brand or trade name drug, the pharmacist may dispense a therapeutically equivalent drug if the following conditions are met:

(1) The practitioner, in the case of a written prescription, places that practitioner's own signature on the signature line alongside or above the words "substitution permitted" pursuant to subsection (c) of this section; or, in the case of a verbal prescription or a verbal prescription reduced to writing, the practitioner states that the substitution may be made; or, in the case of an order written in an institution licensed by the Department of Health and Social Services pursuant to Chapter 10 or Chapter 11 of Title 16, the practitioner has given written authorization to fill all prescription drug orders with therapeutically equivalent drugs unless otherwise indicated.

(2) The pharmacist informs the patient or the patient's adult representative that a therapeutically equivalent drug has been dispensed.

(3) The pharmacist indicates on the prescription and on the prescription label the name of the manufacturer or distributor of the therapeutically equivalent drug substituted unless the practitioner indicates otherwise.

(b) Unauthorized dispensing of a therapeutically equivalent drug in violation of this section is punishable by a fine of not less than $500 nor more than $1,000 or by a term of imprisonment of not less than 30 days nor more than 1 year, or both a fine and a term of imprisonment.

(c) Every prescription in this State that is reduced to writing must be on a prescription form containing a line for the practitioner's signature. Alongside or beneath the signature line, the words "Substitution Permitted" must be clearly printed. Beneath the signature line the following statement must be clearly printed:

"In order for a brand name product to be dispensed, the prescriber must handwrite 'Brand Necessary' or 'Brand Medically Necessary' in the space below."

A second line to accommodate such wording must be provided beneath the statement. Prescription forms containing the appropriate signature line and statement must be used by every practitioner in this State who prescribes drugs.

(24 Del. C. 1953, § 2589; 53 Del. Laws, c. 90, § 33; 60 Del. Laws, c. 330, §§ 1-3; 62 Del. Laws, c. 161, § 1; 63 Del. Laws, c. 48, §§ 1, 2; 65 Del. Laws, c. 378, § 5; 68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 149, § 216; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 311, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2551 Dispensing and substitution of biological products.

(a) A pharmacist may substitute for a prescribed biological product only if:

(1) The practitioner has not expressly prohibited substitution in a manner specified in § 2550 of this title.

(2) The product to be substituted has been designated by the Food and Drug Administration (FDA) as interchangeable with or therapeutically equivalent to the prescribed product.

(3) The pharmacist informs the patient or the patient's adult representative that an interchangeable biological product has been dispensed.

(4) The pharmacist indicates on the prescription and on the prescription label the name of the manufacturer of the interchangeable biological product substituted unless the practitioner indicates otherwise.

(b) If a biological product is dispensed, the pharmacist or the pharmacist's designee shall, within a reasonable time but not to exceed 10 days following dispensing, communicate to the practitioner the name and manufacturer of the biological dispensed, by 1 of the following:

(1) Recording such information in an interoperable electronic health records system shared with the prescribing practitioner, to the extent such a system is in place between a pharmacist and practitioner.

(2) In the case where electronic health records are not in place between a pharmacist and a practitioner, communicating such information to the practitioner using any prevailing means available. No communication is required under this subsection where there is no interchangeable or therapeutically equivalent biological product for the prescribed biological product or where a refill prescription is not changed from the biological product originally dispensed.

(c) The pharmacy must maintain a record of the biological product dispensed as required in § 2532 of this title.

(d) The Board shall maintain a link on its website to the current list of all biological products determined by the U.S. Food and Drug Administration to be interchangeable with a specific biological product.

(e) Hospital pharmacies are exempt from the requirements of subsection (b) of this section.

(79 Del. Laws, c. 238, § 1; 85 Del. Laws, c. 49, § 1.)

§ 2552 Emergency refills of noncontrolled drugs.

A pharmacist may dispense an emergency supply of a noncontrolled drug to a patient pursuant to the requirements set forth in the Board's rules and regulations.

(68 Del. Laws, c. 206, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 167, § 1; 85 Del. Laws, c. 49, § 1.)

Subchapter VIII

Pharmacist Scope of Practice [For application of this subchapter, see 85 Del. Laws, c. 418, § 6]

§ 2565 Scope of practice of licensed pharmacists [For application of this section, see 85 Del. Laws, c. 418, § 6].

A pharmacist licensed under this chapter is authorized to do all of the following:

(1) Participate in drug utilization or drug regimen reviews.

(2) Participate in therapeutic drug selection and substitution of therapeutically equivalent drug products.

(3) Advise other health-care professionals, as well as patients, regarding the total scope of drug therapy, so as to deliver the best care possible.

(4) Monitor drug therapy.

(5) Perform and interpret capillary blood tests to screen and monitor disease risk factors or facilitate patient education. Screening results must be reported to the patient's primary health-care practitioner only if outside normal limits.

(6) Conduct or manage a pharmacy or other business establishment where drugs are compounded or dispensed.

(7) a. Subject to paragraph (7)c. of this section, administer injectable medications, biologicals, and immunizations pursuant to a valid prescription from a practitioner or practitioner-approved protocol approved by a physician duly licensed in this State under subchapter III of Chapter 17 of this title or by a nurse duly licensed in this State under Chapter 19 of this title. Upon request, a copy of the protocol will be made available to the designated prescriber or prescribers without cost.

b. All vaccine administrations must be reported to DelVAX within 72 hours of administration. This report to DelVAX, which must be submitted electronically, must include all of the following:

1. Patient's name.

2. Name of the immunizations, inoculations, or vaccinations administered.

3. Site of injection.
4. Lot and expiration date.
5. Name of facility that provided the vaccination.
6. The date of administration.

c. Pharmacists, pharmacy interns, and nationally certified pharmacy technicians who have completed an accredited training program, are currently trained in CPR, and have notified the Delaware Board of Pharmacy, may administer immunizations via a prescriber's order or protocol for patients 3 years of age and older.

(8) Dispense contraceptives and dispense and administer injectable hormonal contraceptives under Chapter 30O of Title 16.

(9) Order, perform, and interpret tests authorized by the U.S. Food and Drug Administration, and waived under the federal Clinical Laboratory Improvement Amendments of 1988, 42 U.S.C. § 263a. A pharmacist may delegate the performance of a test to an intern or nationally certified pharmacy technician acting under the supervision of the pharmacist.

(10) Initiate drug therapy for health conditions in accordance with § 2525 of this title.

(11) Engage in collaborative pharmacy practice in accordance with a collaborative pharmacy practice agreement.

(12) Initiate, dispense, or administer medications for human immunodeficiency virus (HIV) pre-exposure prophylaxis and HIV post-exposure prophylaxis under § 2525A of this title, which includes administering laboratory tests, conducting assessments and consultations, and providing referrals.

(13) Exercise independent prescriptive authority under § 2566 of this title.

(14) Order and evaluate laboratory tests as related to medication therapy, including medication therapy for the treatment of opioid use disorder under § 2568 of this title.

(85 Del. Laws, c. 418, § 5.)

§ 2566 Independent prescriptive authority [For application of this section, see 85 Del. Laws, c. 418, § 6].

(a) A pharmacist licensed under this chapter may exercise independent prescriptive authority as follows:

(1) For over-the-counter products.

(2) In accordance with a collaborative pharmacy practice agreement.

(3) For opioid antagonists as permitted under Chapter 30G of Title 16.

(4) Drug categories or devices, except for controlled substances, that are prescribed in accordance with the product's U.S. Food and Drug Administration-approved labeling and that are limited to conditions that meet any of the following criteria:

a. Do not require a new diagnosis.

b. Are minor and generally self-limiting.

c. Have a test that is used to guide diagnosis or clinical decision-making, including any established screening procedures that can be safely performed by a pharmacist and any tests waived under the federal Clinical Laboratory Improvement Amendments of 1988 [42 U.S.C. § 263a], as amended, or the federal rules adopted under the federal Clinical Laboratory Improvement Amendments of 1988 [42 U.S.C. § 263a], as amended.

(b) A pharmacist licensed under this chapter may not prescribe controlled substances except as provided for in § 2568 of this title regarding medications for opioid use disorder treatment.

(85 Del. Laws, c. 418, § 5.)

§ 2567 Continuity of care [For application of this section, see 85 Del. Laws, c. 418, § 6].

A pharmacist licensed under this chapter exercising independent prescriptive authority under § 2566 of this title must comply with rules adopted by the Board to ensure continuity of patient care. The rules adopted by the Board for this purpose must provide for at least the following:

(1) A system, such as the Delaware Health Information Network (DHIN), for documenting the reason for a pharmacist's assessment of a patient, the clinical findings resulting from the assessment, the pharmacist's plan of care, and the treatment provided by the pharmacist, if any.

(2) Systems and processes to allow a pharmacist to communicate with a patient's primary care provider.

(3) Processes that promote continuity of care for patients who do not have a primary care provider or cannot provide contact information for a primary care provider.

(85 Del. Laws, c. 418, § 5.)

§ 2568 Medications for opioid use disorder treatment [For application of this section, see 85 Del. Laws, c. 418, § 6].

A licensed pharmacist exercising independent prescriptive authority under § 2566 of this title may, under a standing order from the Division of Public Health, prescribe medications, including controlled substances, that are approved by the U.S. Food and Drug Administration for use in the treatment of opioid use disorder.

(85 Del. Laws, c. 418, § 5.)

§ 2569 Malpractice reporting requirement [For application of this section, see 85 Del. Laws, c. 418, § 6].

A pharmacist practicing under this chapter shall inform the Board in writing of any medical malpractice claim against the pharmacist that has been settled or adjudicated to final judgment (“judgment”). A medical or pharmaceutical insurance carrier who has provided coverage on the malpractice claim shall also inform the Board in writing of the malpractice claim. Notice required to be made under this section must be filed with the Board within 30 days of the settlement or judgment.

(85 Del. Laws, c. 418, § 5.)

§ 2570 Regulations and interpretation [For application of this section, see 85 Del. Laws, c. 418, § 6].

(a) The Board shall amend and adopt regulations as necessary to implement this subchapter.

(b) Nothing in this subchapter may be construed to prohibit or invalidate the continued use of statewide protocols, standing orders, or collaborative practice agreements authorized elsewhere in this title or under Title 16.

(85 Del. Laws, c. 418, § 5.)

Chapter 26
PHYSICAL THERAPY AND ATHLETIC TRAINING

§ 2601 Objectives of Board.

The primary objective of the Examining Board of Physical Therapists and Athletic Trainers, to which all other objectives and purposes are secondary, is to protect the general public (especially those persons who are direct recipients of services regulated by this chapter) from unsafe practices and from occupational practices which tend to reduce competition or to fix the price of services rendered. The secondary objectives of the Board are to maintain minimum standards of practitioner competency, and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against regulated practitioners of occupational groups under the jurisdiction of the Board; shall adjudicate at formal complaint hearings; shall develop rules and regulations; and shall impose sanctions where necessary against persons in the occupational groups regulated by the Board.

(64 Del. Laws, c. 192, § 1; 74 Del. Laws, c. 381, § 7.)

§ 2602 Definitions.

As used in this chapter, unless the content requires otherwise, the following words shall have the following meanings:

(1) "Athletic injury" is a musculoskeletal or other acute, nonmusculoskeletal sports-related injury resulting from or limiting participation in or training for scholastic, recreational, professional or sanctioned amateur athletic activities.

(2) "Athletic trainer" means a person who is licensed by the State Examining Board of Physical Therapists and Athletic Trainers, to practice "athletic training," after meeting the requirements of this chapter and rules and regulations promulgated pursuant thereto.

(3) "Athletic training" means the prevention, evaluation, and treatment of athletic injuries by the utilization of therapeutic exercises and modalities such as heat, cold, light, air, water, sound, electricity, massage, and nonthrust mobilizations.

(4) "Board" means the State Examining Board of Physical Therapists and Athletic Trainers which shall administer and enforce this chapter.

(5) "Division" means the Delaware Division of Professional Regulation.

(6) "Dry needling" means an intervention that uses a thin filiform needle to penetrate the skin and stimulate underlying muscular tissue, connective tissues and myofascial trigger points for the management of neuromusculoskeletal pain and movement impairments; is based upon Western medical concepts; and requires a physical therapy examination and diagnosis.

(7) "First aid" is emergency care and treatment of an injured person before definitive medical and surgical management can be secured. Such care may include the emergency administration of medications including asthma medications, anaphylaxis medications, and glucagon. Such administration may require advanced training as determined by the Board's rules and regulations, to assure the licensee meets accepted standards of care.

(8) "Physical therapist" means a person who is licensed to practice physical therapy. "Physical therapist" and such words as "physiotherapist" are equivalent terms, and reference to any 1 of them in this chapter or otherwise shall include the others.

(9) "Physical therapist assistant" means a person who assists licensed physical therapists subject to this chapter and rules and regulations adopted pursuant thereto.

(10) a. "Practice of physical therapy" means:

1. Examining, evaluating, and testing patients/clients who have impairments of body structure or function, activity limitations or participation restrictions in physical movement and mobility, or other health and movement related conditions in order to determine a physical therapy diagnosis, prognosis, and plan of treatment intervention, and to assess the ongoing effects of intervention; and

2. Alleviating impairments of body structure or function, activity limitations or participation restrictions in physical movement and mobility by designing, implementing, and modifying treatment interventions that may include: therapeutic exercise, functional training related to physical movement and mobility in self-care and in home, community, or work integration or reintegration; gait and balance training; neurological re-education; vestibular training; manual, mechanical, and manipulative therapy, including soft tissue, musculoskeletal manipulation, and joint mobilization/manipulation; dry needling; therapeutic massage; the prescription, application, and, as appropriate, fabrication of assistive, adaptive, orthotic, prosthetic protective and supportive devices and equipment; airway clearance techniques; integumentary protection and repair techniques; nonsurgical debridement and wound care; evaluative and therapeutic physical agents or modalities; mechanical and electrotherapeutic modalities; and patient related instruction; and

3. Reducing the risk of impairments of body structure or function, activity limitations or participation restrictions in physical movement and mobility, including the promotion and maintenance of fitness, health, and wellness in populations of all ages; and

4. Engaging in administration, consultation, education, telehealth, and research.

b. Nothing in this chapter shall be construed to limit the practice of physical therapy by physical therapists as is currently being practiced or determined by the Board so long as such practice does not include surgery or the medical diagnosis of disease. Advanced services may require advanced training, as determined by the Board's rules and regulations, to assure the licensee meets the accepted

standard of care.

(11) "State" means the State of Delaware.

(12) "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of physical therapy or athletic training.

(13) "Telehealth," as set forth in the Board's rules and regulations, means the use of electronic communications to provide and deliver a host of health-related information and health-care services, including physical therapy and athletic training-related information and services, over large and small distances. Telehealth encompasses a variety of health care and health promotion activities, including education, advice, reminders, interventions, and monitoring of intervention.

(14) "Trigger points" are defined as hyperirritable spots in skeletal muscle that are associated with palpable nodules in taut bands of muscle fibers. They can give rise to local or referred pain, autonomic phenomenon, and can cause limitations in range of motion and muscle activation.

(15) "Visiting physical therapist or athletic trainer" means a physical therapist or athletic trainer who is licensed in another jurisdiction of the United States or credentialed to practice physical therapy or athletic training in another country and that person is teaching, demonstrating, or providing physical therapy or athletic training services in connection with teaching or participating in an educational seminar of no more than 60 days in a calendar year and abides by Delaware laws, rules, and regulations relating to physical therapy and athletic training.

(24 Del. C. 1953, § 2601; 50 Del. Laws, c. 367, § 1; 58 Del. Laws, c. 330, § 1; 64 Del. Laws, c. 192, § 1; 67 Del. Laws, c. 97, §§ 1, 2; 74 Del. Laws, c. 262, § 46; 74 Del. Laws, c. 381, §§ 1-3, 7; 79 Del. Laws, c. 406, § 1; 81 Del. Laws, c. 79, § 39.)

§ 2603 Examining Board of Physical Therapists and Athletic Trainers — Appointment; vacancies; suspension or removal; unexcused absences; qualifications; term of office; compensation of officers.

(a) There is hereby created the State Examining Board of Physical Therapists and Athletic Trainers. The Board shall consist of 10 members, all of whom shall be residents of Delaware. Four members shall be physical therapists licensed to practice in Delaware, provided they have worked at least 3 years in Delaware as physical therapists immediately preceding their appointment. One member shall be a physical therapist assistant, licensed to practice in Delaware, provided he or she has worked at least 3 years in Delaware as a physical therapist assistant immediately preceding his or her appointment. Two members shall be athletic trainers licensed to practice in Delaware, provided they have worked at least 3 years in Delaware as athletic trainers immediately preceding their appointment. Three members shall be from the public who are not physical therapists, physical therapy assistants, or athletic trainers and who shall not be related to any person actively engaged in said professions in the State, nor shall said members have any interest in a business or institution engaged in physical therapy or athletic training.

(b) The Governor shall appoint the members to serve on the Board. In appointing persons to fill vacancies in the 10 Board positions designated to be held by persons licensed under this chapter, the Governor may select members who reside in different regions in the State in an effort to provide statewide representation of physical therapists, physical therapist assistants and athletic trainers.

(c) Appointments shall be made for terms of 3 years. A member appointed to fill a vacancy occurring otherwise than by expiration of a term shall be appointed for the remainder of the unexpired term, except that each member shall serve until a successor is duly appointed and qualified.

(d) A person who has never served on the Board may be appointed to the Board 2 consecutive times, but no such person shall thereafter be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board, or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(e) Any act or vote by a person appointed in violation of subsection (d) of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless such amendment or revision amends this section to permit such an appointment.

(f) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(g) No member of the Board, while serving on the Board, shall hold elected office in any professional association of physical therapists, physical therapist assistants, or athletic trainers.

(h) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetence, or neglect of duty. A member subject to a disciplinary hearing shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(i) A member who is absent without adequate reason for 3 consecutive meetings or fails to attend at least half of all regular business meetings during any calendar year shall be guilty of neglect of duty.

(24 Del. C. 1953, §§ 2602, 2604; 50 Del. Laws, c. 367, § 1; 64 Del. Laws, c. 192, § 1; 67 Del. Laws, c. 97, §§ 3-5; 67 Del. Laws, c. 366, § 8; 67 Del. Laws, c. 368, § 14; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 381, §§ 4, 5, 7; 79 Del. Laws, c. 406, § 2; 81 Del. Laws, c. 85, § 13.)

§ 2603A Organization; meetings; officers; quorum.

(a) The Board shall elect annually from its membership a Chairperson, a Vice-Chairperson, and a Secretary. Each officer shall serve for 1 year and shall not succeed himself or herself for more than 2 consecutive terms.

(b) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, and at such times as the Chairperson deems necessary, or at the request of a majority of the members of the Board.

(c) A majority of the members of the Board shall constitute a quorum for the purpose of transacting business and no action shall be taken without the affirmative vote of a majority of the quorum. No disciplinary action shall be taken without the affirmative vote of a majority of the members of the Board.

(d) Minutes of all meetings shall be recorded and the Division shall maintain copies. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(79 Del. Laws, c. 406, § 3; 70 Del. Laws, c. 186, § 1.)

§ 2603B Records.

The Division shall keep a register of all approved applications for licenses under this chapter and complete records relating to meetings of the Board, rosters, changes, and additions to the Board's rules and regulations, complaints, hearings, and such other matters as the Board shall determine. Such records are prima facie evidence of the proceedings of the Board.

(79 Del. Laws, c. 406, § 3.)

§ 2604 Powers and duties of Board.

(a) The Examining Board of Physical Therapists and Athletic Trainers shall have authority to:

(1) Adopt rules and regulations, which shall be promulgated in accordance with the requirements of the Administrative Procedures Act, Chapter 101 of Title 29;

(2) Designate the application form to be used by all applicants, and to process all applications;

(3) Designate an examination to be taken by persons applying for licensure, except applicants who qualify for licensure by reciprocity;

(4) Evaluate the credentials of all applicants in order to determine whether the applicants meeting qualifications for licensing set forth in this chapter;

(5) Grant licenses to and renew licenses of all persons who meet the qualifications for licensure;

(6) Establish by rule and regulation continuing education standards required for license renewal. Such continuing education standards shall include competencies and proficiencies as determined by the Board;

(7) Establish by rule and regulation advanced training requirements to assure the licensee meets accepted standards of care for different modalities;

(8) Perform random audits of continuing education credits submitted by licensees for license renewal;

(9) Evaluate certified records to determine whether an applicant for licensure who previously has been licensed in another jurisdiction has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against the applicant for such acts or offenses;

(10) Refer all complaints from licensees and the public concerning persons licensed under this chapter, or concerning practices of the Board or of a profession regulated by the Board, to the Division for investigation pursuant to § 8735 of Title 29 and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint;

(11) Conduct hearings and issue orders in accordance with procedures established pursuant to the Administrative Procedures Act, Chapter 101 of Title 29;

(12) Designate and impose the appropriate sanction or penalty where it has been determined, after a hearing, that penalties or sanctions should be imposed;

(13) Issue cease and desist orders and impose fines for unlicensed practice after a hearing conducted in accordance with this chapter and the Administrative Procedures Act, Chapter 101 of Title 29;

(14) Issue advisory opinions regarding this chapter upon written request by a person licensed under this chapter; and

(15) Report final disciplinary action taken against a licensee to a national disciplinary database recognized by the Board or as required by law.

(b) The Board of Physical Therapy and Athletic Training shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of physical therapy or athletic training.

(24 Del. C. 1953, §§ 2603, 2605; 50 Del. Laws, c. 367, § 1; 64 Del. Laws, c. 192, § 1; 68 Del. Laws, c. 71, § 1; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 125, §§ 1, 2; 74 Del. Laws, c. 262, § 47; 74 Del. Laws, c. 381, § 7; 79 Del. Laws, c. 406, § 4.)

§ 2605 License required; exceptions.

(a) No person shall practice nor hold oneself out as being able to practice physical therapy or athletic training in this State or act as a physical therapist, physical therapist assistant or athletic trainer in any manner whatsoever whether or not compensation is received or expected unless the person is licensed in accordance with this chapter and such license is in good standing or has not been suspended or revoked.

(b) This chapter shall not prohibit any person licensed to practice in this State under any other law from engaging in that practice for which such person is licensed.

(c) This chapter shall not prohibit students, whether or not licensed in Delaware, who are enrolled in either schools or post-graduate courses of physical therapy or athletic training recognized by the Board from performing such work or acts of physical therapy or athletic training as is incidental to their respective course of study while under the direct supervision of a licensed physical therapist or licensed athletic trainer in their respective training mode.

(d) Nothing in this chapter shall apply to any person employed by an agency, bureau or division of the federal government while in the discharge of official duties; however, if such person engages in the practice of physical therapy or athletic training in this State outside the scope of such official duty, the person must be licensed as herein provided.

(e) This chapter shall not prohibit a physical therapist or athletic trainer who resides and works outside the State of Delaware and is licensed in a jurisdiction of the United States or credentialed in another country or, in the case of an athletic trainer, is certified by the National Athletic Trainers Association, from rendering care, if that person by contract or employment is providing non-clinical physical therapy or athletic training to patients/clients affiliated with or employed by established athletic teams, athletic organizations, or performing arts companies temporarily practicing, competing, or performing in the jurisdiction for no more than 60 days in a calendar year. All visiting physical therapists or athletic trainers must abide by Delaware laws, rules, and regulations relating to physical therapy and athletic training.

(f) This chapter shall not limit or restrict those who are engaged in certain occupations or jobs which may or may not require a license such as, but not limited to, physical education teachers, coaches, health, or recreation directors and instructors at health clubs or spas, water safety instructors, and massage therapists. The duties which may be properly undertaken in such occupation or job include the nontherapeutic administration of baths, massage, normal conditioning and the like to normal subjects, that is, those persons who have no specific pathology. First aid subjects are excluded.

(g) This chapter shall not prohibit a physical therapist or athletic trainer who is licensed in another jurisdiction of the United States or credentialed to practice physical therapy or athletic training in another country from teaching, demonstrating, or providing physical therapy or athletic training services in connection with teaching or participating in an educational seminar for no more than 60 days in a calendar year, so long as such person abides by Delaware laws, rules, and regulations relating to physical therapy and athletic training.

(h) This chapter shall not prohibit a physical therapist or athletic trainer who is licensed in a jurisdiction of the United States from providing physical therapy or athletic training services in this State during a declared local, jurisdictional, or national disaster or emergency. This exemption applies for no more than 60 days following the declaration of the emergency, so long as such person abides by Delaware laws, rules, and regulations relating to physical therapy and athletic training. In order to be eligible for this exemption, the physical therapist or athletic trainer shall notify the Board of his or her intent to practice in this State pursuant to this subsection.

(i) This chapter shall not prohibit a physical therapist or athletic trainer licensed in a jurisdiction of the United States who is forced to leave his or her residence or place of employment due to a declared local, jurisdictional, or national disaster or emergency from practicing physical therapy or athletic training in this State. This exemption applies for no more than 60 days following the declaration of the emergency, so long as such person abides by Delaware laws, rules, and regulations relating to physical therapy and athletic training. In order to be eligible for this exemption, the physical therapist or athletic trainer shall notify the Board of his or her intent to practice in this State pursuant to this subsection.

(24 Del. C. 1953, § 2606; 50 Del. Laws, c. 367, § 1; 58 Del. Laws, c. 330, § 2; 64 Del. Laws, c. 192, § 1; 67 Del. Laws, c. 97, §§ 6-10; 70 Del. Laws, c. 186, § 1; 79 Del. Laws, c. 406, § 5.)

§ 2606 Qualifications of applicant; foreign-trained applicants; report to Attorney General; judicial review.

(a) An applicant who is applying for licensure under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that such person:

(1) Has graduated from a school offering a program in physical therapy, physical therapy assistant or athletic training, which program as offered by such school has been approved for the educational preparation of physical therapists, physical therapist assistants or athletic trainers by the appropriate accrediting agency recognized by the Council on Post Secondary Accreditation or the United States Commission of Education, or any successor, at the time of graduation; provided however, that those applicants for licensure as athletic trainers who apply or who have applied for and been granted a license prior to July 1, 2004, may be licensed if they have been granted a degree from a college or university, successfully completed the internship process through the National Athletic Trainers Association Board of Certification (NATA BOC) and have been approved by NATA BOC to take the national examination; and

(2) Has passed, to the satisfaction of the Board, a national examination designated by the Board, to determine the applicant's fitness to practice physical therapy, to act as a physical therapist assistant or to act as an athletic trainer as herein provided; and

(3) Meets additional educational requirements set forth in the Board's rules and regulations; and

(4) Shall not have been the recipient of any administrative penalties from any other jurisdiction or jurisdictions regarding the applicant's practice of physical therapy or athletic training, including but not limited to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, and/or has not entered into any "consent agreements" which contain conditions placed by a board on the applicant's professional conduct and practice, including any voluntary surrender of a license in lieu of discipline. The Board may determine, after a hearing, whether such administrative penalty is grounds to deny licensure; and

(5) Shall not have any impairment related to drugs, alcohol or a finding of mental incompetence by a physician that would limit the applicant's ability to undertake the practice of physical therapy or athletic training in a manner consistent with the safety of a patient or the public; and

(6) Does not have a criminal conviction record, nor pending criminal charge relating to an offense that is substantially related to the practice of physical therapy or athletic training. Applicants who have criminal conviction records or pending criminal charges for an offense that is substantially related to the practice of physical therapy or athletic training and is not excluded from consideration under § 8735(x)(4) of Title 29 shall require appropriate authorities to provide information about the record or charge directly to the Board. However, after a hearing or review of documentation and a consideration of the factors set forth in § 8735(x)(3) of Title 29, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(6), if it finds that a wavier would not create an unreasonable risk to public safety; and

a.-d. [Repealed.]

(7) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, may not have been convicted of a felony sexual offense; and

(8) Submit, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

b. A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Board of Physical Therapists and Athletic Trainers shall be the screening point for the receipt of said federal criminal history records.

c. An applicant may not be certified to physical therapy or athletic training until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction for a crime that is substantially related to the practice of physical therapy or athletic training may not be certified by the Board unless a waiver is granted pursuant to paragraph (a)(6) of this section; and

(9) Shall have no disciplinary proceedings or unresolved complaints pending against that person in any jurisdiction where the applicant previously has been or currently is licensed to practice physical therapy or athletic training.

(10), (11) [Repealed.]

(b) A physical therapist applicant whose application is based on a diploma issued by a foreign physical therapy school shall furnish evidence satisfactory to the Board of the completion of a physical therapy school or schools' resident course of professional instruction equivalent to that required in subsection (a) of this section, in addition to meeting all other requirements of this section and § 2608 of this title.

(c) Where the Board has found to its satisfaction that an applicant has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(d) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification; has imposed higher or different standards for the person than for other applicants or licensees; or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(e) All individuals licensed to practice physical therapy or athletic training in this State shall be required to be fingerprinted by the State Bureau of Identification, at the licensee's expense, for the purposes of performing subsequent criminal background checks. Licensees shall submit by January 1, 2016, at the applicant's expense, fingerprints and other necessary information in order to obtain a criminal background check.

(f) [Repealed.]

(24 Del. C. 1953, § 2607; 50 Del. Laws, c. 367, § 1; 58 Del. Laws, c. 330, § 3; 58 Del. Laws, c. 511, § 58; 60 Del. Laws, c. 679, §§ 1, 2; 62 Del. Laws, c. 372, § 1; 64 Del. Laws, c. 192, § 1; 67 Del. Laws, c. 97, § 11; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 291, § 1; 73 Del. Laws, c. 125, § 3; 74 Del. Laws, c. 262, § 48; 75 Del. Laws, c. 436, § 27; 77 Del. Laws, c. 199, § 19; 78 Del. Laws, c. 44, §§ 38, 39; 79 Del. Laws, c. 277, § 9; 79 Del. Laws, c. 406, § 6; 83 Del. Laws, c. 433, § 17.)

§ 2607 Issuance and renewal of licenses; inactive status.

(a) The Board shall issue a license to each physical therapist applicant, physical therapist assistant applicant, or athletic trainer applicant who satisfies the requirements for licensure set forth in this chapter and rules and regulations promulgated hereunder.

(b) Each license must be renewed biennially, in such manner as is determined by the Division, and upon payment of the appropriate fee and attestation, as set forth in the Board's rules and regulations, that the licensee has met the continuing education requirements established by the Board.

(c) The Board, in its rules and regulations, shall determine the period of time, not to exceed 1 year, within which a licensee may still renew the licensee's license, notwithstanding the fact that such licensee has failed to renew on or before the renewal date.

(d) A licensee may be placed in an inactive status for no more than 5 years. Such person, who desires to reactivate that person's license, must submit a request for reactivation and a fee set by the Division, and submit proof of fulfillment of continuing education requirements in accordance with the rules and regulations of the Board.

(24 Del. C. 1953, §§ 2612, 2614; 50 Del. Laws, c. 367, § 1; 53 Del. Laws, c. 108, § 29; 58 Del. Laws, c. 330, § 3; 60 Del. Laws, c. 679, § 5; 62 Del. Laws, c. 372, § 3; 64 Del. Laws, c. 192, § 1; 67 Del. Laws, c. 97, § 12; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 125, § 4; 79 Del. Laws, c. 406, § 8; 85 Del. Laws, c. 246, § 1.)

§ 2608 Examination.

The Board, in its rules and regulations, shall designate the national examinations for licensure as a physical therapist, physical therapist assistant, or athletic trainer.

(24 Del. C. 1953, § 2608; 50 Del. Laws, c. 367, § 1; 64 Del. Laws, c. 192, § 1; 67 Del. Laws, c. 97, § 13; 73 Del. Laws, c. 125, §§ 5, 6; 79 Del. Laws, c. 406, § 9.)

§ 2609 Fees.

The amount charged for fees imposed under this chapter shall approximate and reasonably reflect costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division in its service on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each biennium year, the Division, or another state agency acting on its behalf, shall compute the appropriate fee for each separate service or activity.

(79 Del. Laws, c. 406, § 7.)

§ 2610 Reciprocity.

(a) Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who shall present proof of current licensure, in good standing, in another state, the District of Columbia or territory of the United States, and who, in addition:

(1) Meets the criteria for current licensure in good standing as defined in § 2606(a)(4)-(a)(6) and (a)(9) of this title and § 2606(a)(10) of this title [repealed]; and

(2) Has received the passing score on the national examination designated by the Board, for practice as a physical therapist, physical therapy assistant or athletic trainer; and

(3) Has submitted, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

b. A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Board shall be the screening point for the receipt of said federal criminal history records.

(b) In addition to meeting the requirements of § 2606(a)(4)-(a)(6) and (a)(9) of this title and § 2606(a)(10) of this title [repealed], foreign-trained applicants must also meet the requirements of § 2606(b) of this title.

(c) In the event a physical therapist, physical therapy assistant or athletic trainer, who previously was licensed in Delaware and who has let a license lapse, is applying for licensure under this subsection, the Board shall grant a license to such applicant, subject to subsection (a) of this section and completion of continuing education requirements, upon payment of the appropriate fee, and on submission of a written application on forms provided by the Board.

(d) An applicant may not be licensed until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction for a crime that is substantially related to the practice of physical therapy or athletic training may not be licensed by the Board unless a waiver is granted pursuant to § 2606(a)(6) of this title.

(24 Del. C. 1953, § 2610; 50 Del. Laws, c. 367, § 1; 58 Del. Laws, c. 330, § 5; 60 Del. Laws, c. 679, § 3; 62 Del. Laws, c. 372, § 2; 64 Del. Laws, c. 192, § 1; 67 Del. Laws, c. 97, § 15; 70 Del. Law, c. 186,, § 1; 71 Del. Laws, c. 291, § 2; 75 Del. Laws, c. 436, § 28; 79 Del. Laws, c. 406, § 11; 83 Del. Laws, c. 433, § 17; 84 Del. Laws, c. 42, § 1.)

§ 2611 Temporary license.

(a) Upon submission of a written application on forms provided by the Board, the Board may issue a temporary license to a person who has applied for licensure under this chapter and who, in the judgment of the Board, is eligible to take the examination provided for in § 2608 of this title. In the case of physical therapists, physical therapist assistants, or athletic trainers, such temporary licensure may be available to an applicant only with respect to the applicant's first application for licensure. In the case of physical therapists and physical therapist assistants, the applicant may use the temporary licensure only while under the direct supervision of a licensed physical therapist. In a clinical setting, the athletic trainer applicant may use the temporary licensure only while under the direct supervision of a licensed physical therapist. In a nonclinical setting, the athletic trainer applicant may use the temporary licensure only while under the direct supervision of a licensed athletic trainer. Such temporary license shall expire automatically upon the failure of a licensure examination, and upon such expiration, the temporary license shall be surrendered to the Board and may not be renewed. In all other cases, a temporary license may be renewed only once.

(b) Upon payment to the Board of a fee and the submission of a written application on forms provided by it the Board, at its discretion, may issue a temporary license to practice physical therapy in this State, without examination, to a person requesting endorsement or who provides evidence to the Board that such person is in this State on a temporary basis to exist in a medical emergency or to engage in a special project or teaching assignment relating to physical therapy practice. Such temporary license shall expire at a time determined by the

Board; however, such temporary license shall not be issued for a period of more than 1 year.

(24 Del. C. 1953, § 2611; 50 Del. Laws, c. 367, § 1; 60 Del. Laws, c. 679, § 4; 64 Del. Laws, c. 192, § 1; 67 Del. Laws, c. 97, § 16; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 125, §§ 7, 8; 79 Del. Laws, c. 406, § 12.)

§ 2612 Practice, referral, and consultation.

(a) A licensed physical therapist may enter a case for the purpose of consultation, evaluation or treatment of an individual as it relates to the individual's need for physical therapy services, with or without a referral by a licensed medical or osteopathic physician; provided, however, that a physical therapist shall refer the individual to another health practitioner if symptoms are present for which treatment is outside the scope of the physical therapist's knowledge. A physical therapist may treat an individual without a referral up to 90 days after which time a physician must be consulted. Physical therapy treatment of any individual shall be administered only by a licensed physical therapist. This chapter shall not prohibit physicians licensed to practice medicine and surgery, chiropractic physicians, podiatrists, dentists and nurses licensed under this title from performing any physical or therapeutic modalities within the scope of their respective practices. Treatment by a physical therapist may also occur based on a referral from, or in consultation with, any licensed health practitioner, who has been granted prescriptive authority for a condition within the scope of their respective practices.

(b) Any person licensed under this chapter as an athletic trainer shall not treat any person by athletic training or otherwise, except after a physician's referral or an evaluation by the supervising physical therapist, first aid excluded. Any person licensed under this chapter as an athletic trainer will require a physician's referral for treatment and/or rehabilitation of injuries, other than treatment of minor sprains, strains, and contusions, first aid excluded. Treatment by an athletic trainer may occur based on a referral from, or in consultation with, any licensed health practitioner who has been granted prescriptive authority for a condition within the scope of their respective practices. An athletic trainer shall refer an individual to another licensed health practitioner if symptoms are present for which athletic training is contra-indicated or which are indicative of conditions for which treatment is outside the scope of the athletic trainer's knowledge.

(1) All treatment of athletic injuries requires a physician's referral, except for minor sprains, strains, and contusions, first aid excluded.

(2) Treatment of nonmusculoskeletal athletic injuries is limited to on-site sanctioned scholastic, collegiate, professional, recreational, or amateur sports settings. An athletic trainer may not treat nonathletic, nonmusculoskeletal injury, unless otherwise set forth in this chapter.

(3) Treatment of musculoskeletal injuries that are not defined as an athletic injury will require direction from a physical therapist as set forth in this chapter and the Board's rules and regulations. An athletic trainer may not independently initiate, modify, or discontinue a physical therapy plan of care. Nothing in this chapter is to be construed to limit the practice of athletic training by athletic trainers as is currently being practiced or determined by the Board, so long as such practice does not include surgery and the medical diagnosis of disease. Advanced services may require advanced training, as determined by the Board's rules and regulations, to assure the licensee meets the accepted standard of care.

(c) Notwithstanding any other provision in this section, a physician referral specific for dry needling is required. If the initial referral is received orally, it must be followed up with a written referral.

(d) No physical therapist, physical therapist assistant, or athletic trainer shall advertise or in any other way hold himself or herself out as an acupuncturist, unless that physical therapist, physical therapist assistant, or athletic trainer is a licensed acupuncturist.

(64 Del. Laws, c. 192, § 1; 67 Del. Laws, c. 97, § 17; 69 Del. Laws, c. 164, § 1; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 125, §§ 9, 10; 74 Del. Laws, c. 381, § 6; 79 Del. Laws, c. 406, § 13; 85 Del. Laws, c. 246, § 2.)

§ 2613 False representation of professional title.

(a) It shall be unlawful for any person, or for any business entity, its employees, agents or representatives to use in connection with its name or business activity the words "physical therapy," "physical therapist," "physiotherapy," "physiotherapist," "aquatic therapist," "hydrotherapist," "registered physical therapist," "licensed physical therapist," "physical therapist assistant," "athletic training," "athletic trainer," "trainer," "certified athletic trainer," "licensed athletic trainer," the letters "PT," "LPT," "DPT," "RPT," "PTA," "AT," "LAT," or any words, letters, abbreviations or insignia indicating or implying directly or indirectly physical therapy services or athletic training services or to bill for physical therapy or athletic training unless such services are provided by a physical therapist or athletic trainer licensed and practicing in accordance with this chapter.

(b) While rendering patient care as an employee of a hospital, clinic, group practice or multi-professional facility, or at a commercial establishment offering health services to the public, a person holding a license pursuant to this chapter shall wear an identification badge, lab coat, or other means of identification stating that person's professional title.

(64 Del. Laws, c. 192, § 1; 67 Del. Laws, c. 97, § 18; 75 Del. Laws, c. 398, §§ 1, 2; 79 Del. Laws, c. 406, § 14.)

§ 2614 Use of professional title.

(a) Any person who holds a license pursuant to this chapter as a physical therapist may use the word "physical therapist" or the letters "PT" in connection with the person's name or place of business to denote licensure hereunder. Any person who holds a license pursuant to this chapter as a physical therapist assistant may use the words "physical therapist assistant" and may use the letters "PTA" in connection with the person's name to denote licensure hereunder.

(b) Any person who holds a license pursuant to this chapter as an athletic trainer may use the words "licensed athletic trainer" and may

use the letters “LAT” in connection with the person’s name to denote licensure hereunder.

(64 Del. Laws, c. 192, § 1; 67 Del. Laws, c. 97, § 19; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 398, § 3; 79 Del. Laws, c. 406, § 15.)

§ 2615 Rules and regulations; authorized services and acts.

64 Del. Laws, c. 192, § 1; 67 Del. Laws, c. 97, § 20; 70 Del. Laws, c. 186, § 1; repealed by 79 Del. Laws, c. 406, § 16, eff. Aug. 12, 2014.

§ 2616 Grounds for discipline.

(a) A person licensed under this chapter performing physical therapy or athletic training services is subject to the disciplinary sanctions set forth in § 2620 of this title if, after a hearing, the Board finds that the licensee has:

(1) Practiced physical therapy or acted as a physical therapist assistant or athletic trainer in violation of this chapter and rules and regulations promulgated thereunder;

(2) Obtained or attempted to obtain licensure by fraud or misrepresentation;

(3) Illegally, incompetently, or negligently practiced physical therapy or athletic training;

(4) Been convicted of a crime that is substantially related to the practice of physical therapy or athletic training in the courts of this State or any other state, territory or country. “Conviction,” as used in this paragraph, shall include a finding or verdict of guilt, an admission of guilt or a plea of nolo contendere;

(5) Habitually indulged in the use of narcotics or other habit forming drugs, or excessively indulged in the use of alcohol;

(6) Had a license to practice physical therapy or license to act as a physical therapist, physical therapist assistant, or athletic trainer revoked or suspended, has had other disciplinary action taken or an application for licensure has been refused, revoked, or suspended by the proper authorities of another state, territory or country;

(7) Been guilty of unprofessional conduct as adopted in the Board’s rules and regulations. Unprofessional conduct shall include departure from or the failure to conform to the minimal standards of acceptable and prevailing physical therapy practice or athletic training practice, in which preceding actual injury to a patient need not be established;

(8) Engaged directly or indirectly in the division, transferring, assigning, rebating or refunding of fees received for professional services or who profits by means of a credit or other valuable consideration such as wages, an unearned commission, discount or gratuity with any person who referred a patient, or with any relative or business associate of the referring person. Nothing in this paragraph shall be construed as prohibiting the members of any regularly and properly organized business entity recognized by Delaware law and comprised of physical therapists or athletic trainers from making any division of their total fees among themselves as they determine by contract necessary to defray their joint operating costs;

(9) The Board shall permanently revoke the certificate to practice physical therapy or athletic training of a person who is convicted of a felony sexual offense.

(10) Violated a provision of this chapter or a rule or regulation promulgated by the Board under this chapter;

(11) Failed to notify the Board that the licensee’s license in another jurisdiction has been subject to discipline, or has been surrendered, suspended, or revoked. A certified copy of the record of disciplinary action, surrender, suspension, or revocation of a license shall be conclusive evidence thereof; or

(12) Been convicted of a felony sexual offense.

(b) Where the practitioner is in disagreement with the action of the Board, the practitioner may appeal the Board’s decision to the Superior Court in accordance with Chapter 101 of Title 29. Upon such appeal, the Court shall hear the evidence on the record. Stays shall be granted in accordance with § 10144 of Title 29.

(c) [Repealed.]

(d) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person’s license, pending a hearing, upon the written order of the Secretary of State or the Secretary’s designee, with the concurrence of the Board chair or the Board chair’s designee. An order temporarily suspending a license may not be issued unless the person or the person’s attorney received at least 24 hours’ written or oral notice before the temporary suspension so that the person or the person’s attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person’s license.

(24 Del. C. 1953, §§ 2613, 2615; 50 Del. Laws, c. 367, § 1; 64 Del. Laws, c. 192, § 1; 67 Del. Laws, c. 97, §§ 21-25; 68 Del. Laws, c. 71, § 2; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 125, § 11; 74 Del. Laws, c. 262, § 49; 79 Del. Laws, c. 213, § 2; 79 Del. Laws, c. 277, § 9; 79 Del. Laws, c. 406, § 17.)

§ 2617 Fees and revenues.

24 Del. C. 1953, § 2616; 53 Del. Laws, c. 108, § 30; 64 Del. Laws, c. 192, § 1; 65 Del. Laws, c. 380, § 3; 73 Del. Laws, c. 125, § 12; repealed by 79 Del. Laws, c. 406 § 18, eff. Aug. 12, 2014.

§ 2618 Penalties and jurisdiction.

(a) Where the Board has determined that a person is engaged in a practice regulated by this chapter without having lawfully obtained a license, or that a person previously licensed under this chapter is engaged in a practice regulated by this chapter notwithstanding that the person's license has been suspended or revoked, the Board shall make complaint to the Attorney General and may issue a cease and desist order. The complaint and/or order shall include all evidence known to, or in the possession of the Board.

(b) Whoever violates this chapter or a cease and desist order issued by the Board shall be fined not less than $100 nor more than $1,000. Each day a violation continues shall constitute a separate offense.

(c) (1) Justices of the peace in the county in which the offense is alleged to have occurred shall have jurisdiction over any violation of this chapter.

(2) Any person convicted of any such offense before a Justice of the Peace may appeal to the Court of Common Pleas in the county in which the conviction was had upon giving bond in the sum of $200 to the State with surety satisfactory to such Justice, provided the appeal is taken and bond given within 7 days from the time of the conviction.

(d) A violation of this chapter shall be cause for revocation of any license issued thereunder, notwithstanding that the same violation may constitute a misdemeanor or felony.

(68 Del. Laws, c. 71, § 3; 69 Del. Laws, c. 423, § 24; 70 Del. Laws, c. 186, § 1; 79 Del. Laws, c. 406, § 20.)

§ 2619 Treatment or examination of minors.

(a) A parent, guardian or other caretaker, or an adult staff member, shall be present when a person licensed to practice physical therapy or athletic training under this chapter provides treatment to a minor patient involving the inspection, palpation, or treatment of the female breasts, or female or male genitalia or rectum, regardless of sex of the licensed person and patient, except when rendering care during an emergency. When using an adult staff member to observe the treatment or examination, the adult staff member shall be of the same gender as the patient when practicable. The minor patient may decline the presence of a third person only with consent of a parent, guardian or other caretaker. The minor patient may request private consultation with the person licensed to practice physical therapy or athletic training without the presence of a third person after the physical examination.

(b) When a minor patient's evaluation or treatment requires inspection or palpation involving the female breasts, or female or male genitalia or rectum, a person licensed to practice physical therapy or athletic training under this chapter shall provide notice to the person providing consent to treatment of the rights under this section. The notice shall be provided in written form or be conspicuously posted in a manner in which minor patients and their parent, guardian or other caretaker are made aware of the notice. In circumstances in which the posting or the provision of the written notice would not convey the right to have a chaperone present, the person licensed to practice physical therapy or athletic training shall use and document another means to ensure that the person understands the right under this section.

(c) For the purposes of this section, "minor" is defined as a person 15 years of age or younger, "adult staff member" is defined as a person 18 years of age or older who is acting under the direction of the licensed person or the employer of the licensed person or who is otherwise licensed under this chapter.

(d) The person licensed under this chapter that provides treatment to a minor pursuant to this section shall, contemporaneously with such treatment, note in the child's medical record the name of each person present when such treatment is being provided.

(79 Del. Laws, c. 169, § 7; 70 Del. Laws, c. 186, § 1.)

§ 2620 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that a condition or violation for discipline of a licensee regulated by this chapter has been established under § 2616 of this title:

(1) Issue a letter of reprimand.

(2) Place a licensee on probationary status and require the licensee to:

a. Report regularly to the Board upon the matters which are the basis of the probation; or

b. Limit all professional activities to those areas prescribed by the Board.

(3) Suspend a licensee's license.

(4) Revoke or permanently revoke a licensee's license.

(5) Impose a monetary penalty not to exceed $500 for each violation.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such action have been remedied.

(c) As a condition to reinstatement of a suspended license or removal from probationary status, the Board may impose such disciplinary or corrective measure as are authorized under this chapter.

(d) The Board shall permanently revoke the license of any person who the Board determines has violated § 2616(a)(12) of this title.

(79 Del. Laws, c. 406, § 19.)

§ 2621 Physical therapists eligible for compensation from insurance [For application of this section, see 85

Del. Laws, c. 251, § 4].

(a) For purposes of disability insurance, standard health and accident, sickness, and all other such insurance plans, whether or not they are considered insurance policies, and contracts issued by health service corporations and health maintenance organizations, if a physical therapist is authorized by law to perform a particular service, the physical therapist is entitled to compensation for that physical therapist's services under such plans and contracts, and such plans and contracts are prohibited from placing annual or lifetime numerical limits on physical therapy visits for the treatment of the spine and other neuromusculoskeletal structures, including extremities.

(b) Nothing in this section prevents the operation of reasonable and nondiscriminatory cost containment or managed care provisions, including deductibles, coinsurance, allowable charge limitations, coordination of benefits, and utilization review. Any copayment or coinsurance amount must be equal to or less than 25% of the fee due or to be paid to the physical therapist under the policy, contract, or certificate for the treatment, therapy, or service provided.

(c) The Insurance Commissioner shall issue and administer regulations to aid the administration, effectuation, investigation, and enforcement of this section.

(81 Del. Laws, c. 430, § 3; 85 Del. Laws, c. 251, § 2.)

Chapter 26C
Physical Therapy Licensure Compact

§ 2601C Physical Therapy Licensure Compact; purpose.

(a) The State hereby enters into the Physical Therapy Licensure Compact ("Compact") as set forth in this chapter. The text of the Compact is as set forth in this chapter.

(b) The purpose of this Compact is to facilitate interstate practice of physical therapy with the goal of improving public access to physical therapy services. The practice of physical therapy occurs in the state where the patient/client is located at the time of the patient/client encounter. The Compact preserves the regulatory authority of states to protect public health and safety through the current system of state licensure.

(c) This Compact is designed to achieve the following objectives:

(1) Increase public access to physical therapy services by providing for the mutual recognition of other member state licenses.

(2) Enhance the states' ability to protect the public's health and safety.

(3) Encourage the cooperation of member states in regulating multi-state physical therapy practice.

(4) Support spouses of relocating military members.

(5) Enhance the exchange of licensure, investigative, and disciplinary information between member states.

(6) Allow a remote state to hold a provider of services with a compact privilege in that state accountable to that state's practice standards.

(82 Del. Laws, c. 169, § 1.)

§ 2602C Definitions.

As used in this Compact, and except as otherwise provided, the following definitions apply:

(1) "Active duty military" means full-time duty status in the active uniformed service of the United States, including members of the National Guard and Reserve on active duty orders pursuant to 10 U.S.C. §§ 1209 and 1211.

(2) "Adverse action" means disciplinary action taken by a physical therapy licensing board based upon misconduct, unacceptable performance, or a combination of both.

(3) "Alternative program" means a non-disciplinary monitoring or practice remediation process approved by a physical therapy licensing board. This includes substance abuse issues.

(4) "Compact privilege" means the authorization granted by a remote state to allow a licensee from another member state to practice as a physical therapist or work as a physical therapist assistant in the remote state under its laws and rules. The practice of physical therapy occurs in the member state where the patient/client is located at the time of the patient/client encounter.

(5) "Continuing competence" means a requirement, as a condition of license renewal, to provide evidence of participation in, and/or completion of, educational and professional activities relevant to practice or area of work.

(6) "Data system" means a repository of information about licensees, including examination, licensure, investigative, compact privilege, and adverse action.

(7) "Encumbered license" means a license that a physical therapy licensing board has limited in any way.

(8) "Executive Board" means a group of directors elected or appointed to act on behalf of, and within the powers granted to them by, the Commission.

(9) "Home state" means the member state that is the licensee's primary state of residence.

(10) "Investigative information" means information, records, and documents received or generated by a physical therapy licensing board pursuant to an investigation.

(11) "Jurisprudence requirement" means the assessment of an individual's knowledge of the laws and rules governing the practice of physical therapy in a state.

(12) "Licensee" means an individual who currently holds an authorization from the state to practice as a physical therapist or to work as a physical therapist assistant.

(13) "Member state" means a state that has enacted the Compact.

(14) "Party state" means any member state in which a licensee holds a current license or compact privilege or is applying for a license or compact privilege.

(15) "Physical therapist" means an individual who is licensed by a state to practice physical therapy.

(16) "Physical therapist assistant" means an individual who is licensed/certified by a state and who assists the physical therapist in selected components of physical therapy.

(17) "Physical therapy" , "physical therapy practice" , and "the practice of physical therapy" mean the care and services provided by or under the direction and supervision of a licensed physical therapist.

(18) "Physical Therapy Compact Commission" or "Commission" means the national administrative body whose membership consists of all states that have enacted the Compact.

(19) "Physical therapy licensing board" or "licensing board" means the agency of a state that is responsible for the licensing and

regulation of physical therapists and physical therapist assistants.

(20) "Remote state" means a member state other than the home state, where a licensee is exercising or seeking to exercise the compact privilege.

(21) "Rule" means a regulation, principle, or directive promulgated by the Commission that has the force of law.

(22) "State" means any state, commonwealth, district, or territory of the United States of America that regulates the practice of physical therapy.

(82 Del. Laws, c. 169, § 1.)

§ 2603C State participation in the Compact.

(a) To participate in the Compact, a state must do all of the following:

(1) Participate fully in the Commission's data system, including using the Commission's unique identifier as defined in rules.

(2) Have a mechanism in place for receiving and investigating complaints about licensees.

(3) Notify the Commission, in compliance with the terms of the Compact and rules, of any adverse action or the availability of investigative information regarding a licensee.

(4) Fully implement a criminal background check requirement, within a time frame established by rule, by receiving the results of the Federal Bureau of Investigation record search on criminal background checks and use the results in making licensure decisions in accordance with subsection (b) of this section.

(5) Comply with the rules of the Commission.

(6) Utilize a recognized national examination as a requirement for licensure pursuant to the rules of the Commission.

(7) Have continuing competence requirements as a condition for license renewal.

(b) Upon adoption of this statute, the member state shall have the authority to obtain biometric-based information from each physical therapy licensure applicant and submit this information to the Federal Bureau of Investigation for a criminal background check in accordance with 28 U.S.C. § 534 and 42 U.S.C. § 14616.

(c) A member state shall grant the compact privilege to a licensee holding a valid unencumbered license in another member state in accordance with the terms of the Compact and rules.

(d) Member states may charge a fee for granting a compact privilege.

(82 Del. Laws, c. 169, § 1.)

§ 2604C Compact privilege.

(a) To exercise the compact privilege under the terms and provisions of the Compact, the licensee shall:

(1) Hold a license in the licensee's home state.

(2) Have no encumbrance on any state license.

(3) Be eligible for a compact privilege in any member state under subsections (d), (f), and (h) of this section.

(4) Have not had any adverse action against any license or compact privilege within the previous 2 years.

(5) Notify the Commission that the licensee is seeking the compact privilege within a remote state.

(6) Pay any applicable fees, including any state fee, for the compact privilege.

(7) Meet any jurisprudence requirements established by the remote state in which the licensee is seeking a compact privilege.

(8) Report to the Commission adverse action taken by any non-member state within 30 days from the date the adverse action is taken.

(b) The compact privilege is valid until the expiration date of the home license. The licensee must comply with the requirements of this section to maintain the compact privilege in the remote state.

(c) A licensee providing physical therapy in a remote state under the compact privilege shall function within the laws and regulations of the remote state.

(d) A licensee providing physical therapy in a remote state is subject to that state's regulatory authority. A remote state may, in accordance with due process and that state's laws, remove a licensee's compact privilege in the remote state for a specific period of time, impose fines, and/or take any other necessary actions to protect the health and safety of its citizens. The licensee is not eligible for a compact privilege in any state until the specific time for removal has passed and all fines are paid.

(e) If a home state license is encumbered, the licensee shall lose the compact privilege in any remote state until all of the following occur:

(1) The home state license is no longer encumbered.

(2) Two years have elapsed from the date of the adverse action.

(f) Once an encumbered license in the home state is restored to good standing, the licensee must meet the requirements under this section to obtain a compact privilege in any remote state.

(g) If a licensee's compact privilege in a remote state is removed, the individual shall lose the compact privilege in any other remote state until all of the following occur:

(1) The specific period of time for which the compact privilege was removed has ended.

(2) All fines have been paid.

(3) Two years have elapsed from the date of the adverse action.

(h) Once the requirements of subsection (g) of this section have been met, the license must meet the requirements in subsection (a) of this section to obtain a compact privilege in a remote state.

(82 Del. Laws, c. 169, § 1.)

§ 2605C Active duty military personnel or their spouses.

A licensee who is active duty military or is the spouse of an individual who is active duty military may designate 1 of the following as the home state:

(1) Home of record.

(2) Permanent change of station (“PCS”).

(3) State of current residence if it is different than the PCS state or home of record.

(82 Del. Laws, c. 169, § 1.)

§ 2606C Adverse actions.

(a) A home state shall have exclusive power to impose adverse action against a license issued by the home state.

(b) A home state may take adverse action based on the investigative information of a remote state, so long as the home state follows its own procedures for imposing adverse action.

(c) Nothing in this Compact shall override a member state’s decision that participation in an alternative program may be used in lieu of adverse action and that such participation shall remain non-public if required by the member state’s laws. Member states must require licensees who enter any alternative programs in lieu of discipline to agree not to practice in any other member state during the term of the alternative program without prior authorization from such other member state.

(d) Any member state may investigate actual or alleged violations of the statutes and rules authorizing the practice of physical therapy in any other member state in which a physical therapist or physical therapist assistant holds a license or compact privilege.

(e) A remote state shall have the authority to do all of the following:

(1) Take adverse actions under § 2604C(d) of this title against a licensee’s compact privilege in the state.

(2) Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses, and the production of evidence. Subpoenas issued by a physical therapy licensing board in a party state for the attendance and testimony of witnesses, and/or the production of evidence from another party state, shall be enforced in the latter state by any court of competent jurisdiction, according to the practice and procedure of that court applicable to subpoenas issued in proceedings pending before it. The issuing authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the state where the witnesses and/or evidence are located.

(3) If otherwise permitted by state law, recover from the licensee the costs of investigations and disposition of cases resulting from any adverse action taken against that licensee.

(f) *Joint investigations.* —

(1) In addition to the authority granted to a member state by its respective physical therapy practice act or other applicable state law, a member state may participate with other member states in joint investigations of licensees.

(2) Member states shall share any investigative, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under the Compact.

(82 Del. Laws, c. 169, § 1.)

§ 2607C Establishment of the Physical Therapy Compact Commission.

(a) The Compact member states hereby create and establish a joint public agency known as the Physical Therapy Compact Commission:

(1) The Commission is an instrumentality of the Compact states.

(2) Venue is proper and judicial proceedings by or against the Commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings.

(3) Nothing in this Compact shall be construed to be a waiver of sovereign immunity.

(b) *Membership, voting, and meetings.* —

(1) Each member state shall have and be limited to 1 delegate selected by that member state’s licensing board.

(2) The delegate shall be a current member of the licensing board, who is a physical therapist, physical therapist assistant, public member, or the board administrator.

(3) Any delegate may be removed or suspended from office as provided by the law of the state from which the delegate is appointed.

(4) The member state board shall fill any vacancy occurring in the Commission.

(5) Each delegate shall be entitled to 1 vote with regard to the promulgation of rules and creation of bylaws and shall otherwise have an opportunity to participate in the business and affairs of the Commission.

(6) A delegate shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for delegates’ participation in meetings by telephone or other means of communication.

(7) The Commission shall meet at least once during each calendar year. Additional meetings shall be held as set forth in the bylaws.

(c) The Commission shall have all of the following powers and duties:

(1) Establish the fiscal year of the Commission.

(2) Establish bylaws.

(3) Maintain its financial records in accordance with the bylaws.

(4) Meet and take such actions as are consistent with the provisions of this Compact and the bylaws.

(5) Promulgate uniform rules to facilitate and coordinate implementation and administration of this Compact. The rules shall have the force and effect of law and shall be binding in all member states.

(6) Bring and prosecute legal proceedings or actions in the name of the Commission, provided that the standing of any state physical therapy licensing board to sue or be sued under applicable law shall not be affected.

(7) Purchase and maintain insurance and bonds.

(8) Borrow, accept, or contract for services of personnel, including employees of a member state.

(9) Hire employees, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of the Compact, and to establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters.

(10) Accept any and all appropriate donations and grants of money, equipment, supplies, materials and services, and to receive, utilize and dispose of the same; provided that at all times the Commission shall avoid any appearance of impropriety and/or conflict of interest.

(11) Lease, purchase, accept appropriate gifts or donations of, or otherwise to own, hold, improve or use, any property, real, personal or mixed; provided that at all times the Commission shall avoid any appearance of impropriety.

(12) Sell convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property real, personal, or mixed.

(13) Establish a budget and make expenditures.

(14) Borrow money.

(15) Appoint committees, including standing committees composed of members, state regulators, state legislators or their representatives, and consumer representatives, and such other interested persons as may be designated in this Compact and the bylaws.

(16) Provide and receive information from, and cooperate with, law enforcement agencies.

(17) Establish and elect an Executive Board.

(18) Perform such other functions as may be necessary or appropriate to achieve the purposes of this Compact consistent with the state regulation of physical therapy licensure and practice.

(d) *The Executive Board. —*

The Executive Board shall have the power to act on behalf of the Commission according to the terms of this Compact.

(1) The Executive Board shall be composed of the following 9 members:

a. Seven voting members who are elected by the Commission from the current membership of the Commission.

b. One ex-officio, nonvoting member from the recognized national physical therapy professional association.

c. One ex-officio, nonvoting member from the recognized membership organization of the physical therapy licensing boards.

(2) The ex-officio members will be selected by their respective organizations.

(3) The Commission may remove any member of the Executive Board as provided in bylaws.

(4) The Executive Board shall meet at least annually.

(5) The Executive Board shall have all of the following duties and responsibilities:

a. Recommend to the entire Commission changes to the rules or bylaws, changes to this Compact legislation, fees paid by Compact member states such as annual dues, and any commission Compact fee charged to licensees for the compact privilege.

b. Ensure Compact administration services are appropriately provided, contractual or otherwise.

c. Prepare and recommend the budget.

d. Maintain financial records on behalf of the Commission.

e. Monitor Compact compliance of member states and provide compliance reports to the Commission.

f. Establish additional committees as necessary.

g. Other duties as provided in rules or bylaws.

(e) *Meetings of the Commission. —*

(1) All meetings shall be open to the public, and public notice of meetings shall be given in the same manner as required under the rulemaking provisions in § 2609C of this title.

(2) The Commission or the Executive Board or other committees of the Commission may convene in a closed, non-public meeting if the Commission or Executive Board or other committees of the Commission must discuss any of the following:

a. Non-compliance of a member state with its obligations under the Compact.

b. The employment, compensation, discipline or other matters, practices or procedures related to specific employees or other matters related to the Commission's internal personnel practices and procedures.

c. Current, threatened, or reasonably anticipated litigation.

d. Negotiation of contracts for the purchase, lease, or sale of goods, services, or real estate.

e. Accusing any person of a crime or formally censuring any person.

f. Disclosure of trade secrets or commercial or financial information that is privileged or confidential.

g. Disclosure of information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy.

h. Disclosure of investigative records compiled for law enforcement purposes.

i. Disclosure of information related to any investigative reports prepared by or on behalf of or for use of the Commission or other committee charged with responsibility of investigation or determination of compliance issues pursuant to the Compact.

j. Matters specifically exempted from disclosure by federal or member state statute.

(3) If a meeting, or portion of a meeting, is closed under paragraph (e)(2) of this section, the Commission's legal counsel or designee shall certify that the meeting may be closed and shall reference each relevant exemption.

(4) The Commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, and the reasons therefore, including a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release by a majority vote of the Commission or order of a court of competent jurisdiction.

(f) *Financing of the Commission. —*

(1) The Commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.

(2) The Commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services.

(3) The Commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the Commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the Commission, which shall promulgate a rule binding upon all member states.

(4) The Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the Commission pledge the credit of any of the member states, except by and with the authority of the member state.

(5) The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission shall be subject to the audit and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Commission shall be audited yearly by a certified or licensed public accountant, and the report of the audit shall be included in and become part of the annual report of the Commission.

(g) *Qualified immunity, defense, and indemnification. —*

(1) The members, officers, executive director, employees, and representatives of the Commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing in this paragraph (g)(1) shall be construed to protect any such person from suit and/or liability for any damage, loss, injury, or liability caused by the intentional or wilful or wanton misconduct of that person.

(2) The Commission shall defend any member, officer, executive director, employee, or representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing in this paragraph (g)(2) shall be construed to prohibit that person from retaining his or her own counsel; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or wilful or wanton misconduct.

(3) The Commission shall indemnify and hold harmless any member, officer, executive director, employee, or representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or wilful or wanton misconduct of that person.

(82 Del. Laws, c. 169, § 1.)

§ 2608C Data system.

(a) The Commission shall provide for the development, maintenance, and utilization of a coordinated database and reporting system containing licensure, adverse action, and investigative information on all licensed individuals in member states.

(b) Notwithstanding any other provision of state law to the contrary, a member state shall submit a uniform data set to the data system on all individuals to whom this Compact is applicable as required by the rules of the Commission, including:

(1) Identifying information.

(2) Licensure data.

(3) Adverse actions against a license or compact privilege.

(4) Non-confidential information related to alternative program participation.

(5) Any denial of application for licensure, and the reason for such denial.

(6) Other information that may facilitate the administration of this Compact, as determined by the rules of the Commission.

(c) Investigative information pertaining to a licensee in any member state will only be available to other party states.

(d) The Commission shall promptly notify all member states of any adverse action taken against a licensee or an individual applying for a license. Adverse action information pertaining to a licensee in any member state will be available to any other member state.

(e) Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state.

(f) Any information submitted to the data system that is subsequently required to be expunged by the laws of the member state contributing the information shall be removed from the data system.

(82 Del. Laws, c. 169, § 1.)

§ 2609C Rulemaking.

(a) The Commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Rules and amendments shall become binding as of the date specified in each rule or amendment.

(b) If a majority of the legislatures of the member states rejects a rule, by enactment of a statute or resolution in the same manner used to adopt the Compact within 4 years of the date of adoption of the rule, then such rule shall have no further force and effect in any member state.

(c) Rules or amendments to the rules shall be adopted at a regular or special meeting of the Commission.

(d) Prior to promulgation and adoption of a final rule or rules by the Commission, and at least 30 days in advance of the meeting at which the rule will be considered and voted upon, the Commission shall file a notice of proposed rulemaking as follows:

(1) On the website of the Commission or other publicly accessible platform.

(2) On the website of each member state physical therapy licensing board or other publicly accessible platform or the publication in which each state would otherwise publish proposed rules.

(e) The notice of proposed rulemaking shall include all of the following:

(1) The proposed time, date, and location of the meeting in which the rule will be considered and voted upon.

(2) The text of the proposed rule or amendment and the reason for the proposed rule.

(3) A request for comments on the proposed rule from any interested person.

(4) The manner in which interested persons may submit notice to the Commission of their intention to attend the public hearing and any written comments.

(f) Prior to adoption of a proposed rule, the Commission shall allow persons to submit written data, facts, opinions, and arguments, which shall be made available to the public.

(g) The Commission shall grant an opportunity for a public hearing before it adopts a rule or amendment if a hearing is requested by any of the following:

(1) At least 25 persons.

(2) A state or federal governmental subdivision or agency.

(3) An association having at least 25 members.

(h) If a hearing is held on the proposed rule or amendment, the Commission shall publish the place, time, and date of the scheduled public hearing. If the hearing is held via electronic means, the Commission shall publish the mechanism for access to the electronic hearing.

(1) All persons wishing to be heard at the hearing shall notify the executive director of the Commission or other designated member in writing of their desire to appear and testify at the hearing not less than 5 business days before the scheduled date of the hearing.

(2) Hearings shall be conducted in a manner providing each person who wishes to comment a fair and reasonable opportunity to comment orally or in writing.

(3) All hearings will be recorded. A copy of the recording will be made available on request.

(4) Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the Commission at hearings required by this section.

(i) Following the scheduled hearing date, or by the close of business on the scheduled hearing date if the hearing was not held, the Commission shall consider all written and oral comments received.

(j) If no written notice of intent to attend the public hearing by interested parties is received, the Commission may proceed with promulgation of the proposed rule without a public hearing.

(k) The Commission shall, by majority vote of all members, take final action on the proposed rule and shall determine the effective date of the rule, if any, based on the rulemaking record and the full text of the rule.

(*l*) Upon determination that an emergency exists, the Commission may consider and adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided that the usual rulemaking procedures provided in the Compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately in order to do any of the following:

(1) Meet an imminent threat to public health, safety, or welfare.

(2) Prevent a loss of Commission or member state funds.

(3) Meet a deadline for the promulgation of an administrative rule that is established by federal law or rule.

(4) Protect public health and safety.

(m) The Commission or an authorized committee of the Commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the Commission. The revision shall be subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing, and delivered to the chair of the Commission prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.

(82 Del. Laws, c. 169, § 1.)

§ 2610C Oversight; dispute resolution; enforcement.

(a) *Oversight. —*

(1) The executive, legislative, and judicial branches of state government in each member state shall enforce this Compact and take all actions necessary and appropriate to effectuate the Compact's purposes and intent. The provisions of this Compact and the rules promulgated under this Compact shall have standing as statutory law.

(2) All courts shall take judicial notice of the Compact and the rules in any judicial or administrative proceeding in a member state pertaining to the subject matter of this Compact which may affect the powers, responsibilities or actions of the Commission.

(3) The Commission shall be entitled to receive service of process in any such proceeding, and shall have standing to intervene in such a proceeding for all purposes. Failure to provide service of process to the Commission shall render a judgment or order void as to the Commission, this Compact, or promulgated rules.

(b) *Default, technical assistance, and termination. —*

(1) If the Commission determines that a member state has defaulted in the performance of its obligations or responsibilities under this Compact or the promulgated rules, the Commission shall do all of the following:

a. Provide written notice to the defaulting state and other member states of the nature of the default, the proposed means of curing the default and/or any other action to be taken by the Commission.

b. Provide remedial training and specific technical assistance regarding the default.

(2) If a state in default fails to cure the default, the defaulting state may be terminated from the Compact upon an affirmative vote of a majority of the member states, and all rights, privileges, and benefits conferred by this Compact may be terminated on the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.

(3) Termination of membership in the Compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the Commission to the governor, the majority and minority leaders of the defaulting state's legislature, and each of the member states.

(4) A state that has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.

(5) The Commission shall not bear any costs related to a state that is found to be in default or that has been terminated from the Compact, unless agreed upon in writing between the Commission and the defaulting state.

(6) The defaulting state may appeal the action of the Commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the Commission has its principal offices. The prevailing member shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(c) *Dispute resolution. —*

(1) Upon request by a member state, the Commission shall attempt to resolve disputes related to the Compact that arise among member states and between member and non-member states.

(2) The Commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes as appropriate.

(d) *Enforcement. —*

(1) The Commission, in the reasonable exercise of its discretion, shall enforce the provisions and rules of this Compact.

(2) By majority vote, the Commission may initiate legal action in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices against a member state in default to enforce compliance with the provisions of the Compact and its promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing member shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(3) The remedies in this section shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or state law.

(82 Del. Laws, c. 169, § 1.)

§ 2611C Date of implementation of the Physical Therapy Compact Commission and associated rules, withdrawal, and amendment.

(a) The Compact shall come into effect on the date on which the Compact statute is enacted into law in the tenth member state. The

provisions, which become effective at that time, shall be limited to the powers granted to the Commission relating to assembly and the promulgation of rules. Thereafter, the Commission shall meet and exercise rulemaking powers necessary to the implementation and administration of the Compact.

(b) Any state that joins the Compact subsequent to the Commission's initial adoption of the rules shall be subject to the rules as they exist on the date on which the Compact becomes law in that state. Any rule that has been previously adopted by the Commission shall have the full force and effect of law on the day the Compact becomes law in that state.

(c) Any member state may withdraw from this Compact by enacting a statute repealing the same.

(1) A member state's withdrawal shall not take effect until 6 months after enactment of the repealing statute.

(2) Withdrawal shall not affect the continuing requirement of the withdrawing state's physical therapy licensing board to comply with the investigative and adverse action reporting requirements of this act prior to the effective date of withdrawal.

(d) Nothing contained in this Compact shall be construed to invalidate or prevent any physical therapy licensure agreement or other cooperative arrangement between a member state and a non-member state that does not conflict with the provisions of this Compact.

(e) This Compact may be amended by the member states. No amendment to this Compact shall become effective and binding upon any member state until it is enacted into the laws of all member states.

(82 Del. Laws, c. 169, § 1.)

§ 2612C Construction and severability.

This Compact shall be liberally construed so as to effectuate the purposes thereof. The provisions of this Compact shall be severable and if any phrase, clause, sentence, or provision of this Compact is declared to be contrary to the constitution of any party state or of the United States or the applicability thereof to any government, agency, person, or circumstance is held invalid, the validity of the remainder of this Compact and the applicability thereof to any government, agency, person, or circumstance shall not be affected thereby. If this Compact shall be held contrary to the constitution of any party state, the Compact shall remain in full force and effect as to the remaining party states and in full force and effect as to the party state affected as to all severable matters.

(82 Del. Laws, c. 169, § 1.)

Chapter 26D
Athletic Trainer Compact

§ 2601D Title and purpose.

This chapter shall be known and cited as the "Athletic Trainer Compact." The purposes of this compact are to expand mobility of athletic training practice and improve public access to services by providing qualified licensed athletic trainers the ability to practice in other member states. This compact preserves the regulatory authority of states to protect public health and safety through the current system of state licensure.

This compact is designed to achieve the following objectives:

(1) Increase public access to athletic training and enhance continuity of care by providing for the mutual recognition of other licenses issued by member states;

(2) Provide an additional streamlined opportunity for interstate practice by licensed athletic trainers who meet compact uniform requirements;

(3) Promote mobility and workforce development by eliminating the necessity for licenses in multiple states by providing for the mutual recognition of other licenses issued by member states;

(4) Reduce administrative burdens on licensed athletic trainers and member states;

(5) Enhance the states' ability to protect the public's health and safety;

(6) Encourage the cooperation of member states in regulating interstate practice of licensed athletic trainers;

(7) Support relocating active military members and their spouses;

(8) Enhance the exchange of licensure, investigative, and disciplinary information among member states;

(9) Allow for the use of telehealth to facilitate increased access to athletic training services;

(10) Support the uniformity of licensed athletic trainer licensure requirements throughout the states;

(11) Affirm the authority of all member states to hold a licensed athletic trainer accountable for abiding by the scope of practice in the state in which the patient is located at the time of care; and

(12) Require adherence to the model compact language in order to promote uniformity and ensure that all Member States have accepted and are mutually obligated to the same terms.

(85 Del. Laws, c. 382, § 1.)

§ 2602D Definitions.

As used in this compact, unless the context requires otherwise, the following definitions shall apply:

(1) "Active military member" means any individual with full-time duty status in the active armed forces of the United States, including members of the National Guard and Reserve.

(2) "Adverse action" means any administrative, civil, equitable or criminal action permitted by a state's laws which is imposed by a licensing authority or other authority against a licensee, including actions against an individual's license or compact privilege such as revocation, suspension, probation, monitoring of the licensee, limitation on the licensee's practice, or any other encumbrance on licensure affecting a licensee's authorization to practice.

(3) "Alternative program" means a nondisciplinary monitoring or practice remediation process applicable to an athletic trainer approved by a state licensing authority of a member state in which the athletic trainer is licensed. This includes, but is not limited to, programs to which licensees with substance use, addiction, or mental health conditions are referred in lieu of adverse action.

(4) "Athletic Trainer Compact Commission" or "Compact Commission" means the government agency whose membership consists of all states that have enacted this compact, as described herein and which shall operate as an instrumentality of the member states to administer and implement the compact according to its terms.

(5) "Athletic training" means the prevention, examination, assessment, treatment and rehabilitation of emergent, acute, or chronic injuries and medical conditions as defined by applicable member state laws and regulations.

(6) "BOC" means the Board of Certification, Inc. or any successor organization thereto.

(7) "CAATE" means the Commission on Accreditation of Athletic Training Education or any successor organization thereto.

(8) "Charter member state" means any member state which enacted and made effective this compact by law before the compact effective date specified herein.

(9) "Commissioner" means the individual appointed by a member state to serve as the member of the Commission for that member state.

(10) "Compact privilege" means the legal authorization granted by a remote state, equivalent to a license, allowing a licensee from another member state to provide athletic training services in a remote state.

(11) "Compact qualifying license" means a license that is not an encumbered license issued by a member state to practice athletic training which qualifies the licensee to exercise a compact privilege pursuant to § 2604D of this title.

(12) "Continuing competence" means a requirement, as a condition of license renewal, to provide evidence of successful participation, and completion of, educational and professional activities relevant to practice or area of work. For purposes of this

compact, evidence of active BOC certification may satisfy the meaning of continuing competence as set forth herein.

(13) "Current significant investigative information" means the existence of:

a. Investigative information that a licensing authority, after a preliminary inquiry that includes notification and an opportunity for the subject licensee to respond, if required by state law, has reason to believe is not groundless and, if proven true, would indicate more than a minor infraction; or

b. Investigative information that indicates that the subject licensee represents an immediate threat to public health and safety regardless of whether the subject licensee has been notified and had an opportunity to respond.

(14) "Criminal background check" means the submission of fingerprints or other biometric-based information for a license applicant for the purpose of obtaining that applicant's "criminal history record information," as defined in 28 C.F.R. § 20.3(d) from the Federal Bureau of Investigation and the state's "criminal history record repository" as defined in 28 C.F.R. § 20.3(f).

(15) "Data system" means the Commission's repository of information about licensees, including but not limited to examination, licensure, investigative, compact privilege, adverse action, and alternative program.

(16) "Encumbrance" or "encumbered" means a revocation or suspension of, or any limitation or condition on, the full and unrestricted practice of athletic training.

(17) "Executive Committee" means a group of commissioners elected or appointed to act on behalf of, and within the powers granted to them by, the compact and Commission.

(18) "Investigative information" means information, records, and documents received or generated by a licensing authority pursuant to an investigation.

(19) "Jurisprudence requirement" means the assessment of an individual's knowledge of the laws and rules governing the practice of athletic training, as applicable, in a state.

(20) "License" means current authorization by a member state to engage in the practice of athletic training.

(21) "Licensee" or "licensed athletic trainer" means an individual who currently holds an active, unrestricted license and who meets all of the requirements outlined in § 2604D of this title.

(22) "Licensing authority" means the board or agency of a state, or equivalent, that is responsible for the licensing and regulation of athletic trainers.

(23) "Model compact language" the model language for the Athletic Trainer Compact on file with The Council of State Governments or other entity as designated by the Commission to which all member states must substantively adhere and adopt.

(24) "Member state" means a state that has enacted the compact.

(25) "Remote state" means a member state other than the state of qualifying licensure.

(26) "Rule" means a regulation promulgated by an authorized entity that has the force of law.

(27) "Scope of practice" means the procedures, actions, and processes an athletic trainer licensed in a state is permitted to undertake in that state and the circumstances under which the licensee is permitted to undertake those procedures, actions and processes. Such procedures, actions and processes and the circumstances under which they may be undertaken may be established through means, including, but not limited to, statute, regulations, case law, and other processes available to the state licensing authority or other government agency. Scope of practice shall include any state requirements regarding supervision or direction, if required by such state and as further defined by such state's statutes and regulations.

(28) "Single state license" means a license issued by any state that authorizes practice only within the issuing state.

(29) "State" means any state, commonwealth, district, or territory of the United States of America.

(30) "State of qualifying licensure" means the member state who has issued a compact qualifying license to a Licensee pursuant to this compact.

(31) "Unencumbered license" means a license that authorizes a licensee to engage in the full and unrestricted practice of athletic training.

(85 Del. Laws, c. 382, § 1.)

§ 2603D State participation in the compact.

(a) To be eligible to join this compact and to maintain eligibility as a member state, a state must:

(1) Enact and maintain a statute that is not materially different from the model compact language;

(2) License and regulate the practice of athletic training;

(3) Require that licensees in that state maintain continuing competence standards as part of their state practice act or rules;

(4) Have a mechanism in place for receiving and investigating complaints about licensees;

(5) Grant the compact privilege to a licensee who meets all the requirements outlined in § 2604D of this title in accordance with the terms of the compact and any rules promulgated thereunder;

(6) Participate fully in the Compact Commission's data system, including using the unique identifier as defined in Rules;

(7) Notify the Compact Commission, in compliance with the terms of the compact and rules, of any adverse action or the availability of current significant investigative information regarding a licensee;

(8) Within a timeframe established by rule, implement or utilize procedures for considering the criminal history records of applicants for a compact qualifying license which includes receiving the results of the Federal Bureau of Investigation record search and shall use

those results in making licensure decisions. These procedures shall include the submission of fingerprints or other biometric-based information by applicants for the purpose of obtaining an applicant's criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state's criminal records; and

a. A member state must fully implement a criminal background check requirement in order to participate in the issuance and acceptance of compact privileges.

b. Communication between a member state and the Compact Commission or among member states regarding the verification of eligibility for licensure through the compact shall not include any information received from the Federal Bureau of Investigation relating to a federal criminal records check performed by a member state.

(9) Comply with and enforce the rules of the Compact Commission.

(b) Member states may set and collect a fee for issuance and renewal of a compact privilege to applicants.

(c) Individuals without a compact qualifying license shall continue to be able to apply for a member state's single-state license as provided under the laws of each member state.

(d) Nothing in this compact shall affect the requirements established by a member state for the issuance of a single state license.

(e) A compact qualifying license shall be recognized by each remote state as authorizing that licensee to engage in the practice of athletic training, under a compact privilege, in another member state in accordance with the requirements in § 2604D of this title.

(85 Del. Laws, c. 382, § 1.)

§ 2604D Compact privilege.

(a) To be eligible for a compact privilege under the terms and provisions of the compact, the licensee shall complete a criminal background check performed by the licensing authority in the state of qualifying licensure prior to entry in the compact and shall:

(1) Satisfy 1 of the following 2 pathways:

a. Hold a valid current active certification through the BOC, or its successor organization; or

b. If a licensee does not meet the requirements of paragraph (a)(1)a. of this section, the following must be completed:

1. An education program which is either:

A. At least a bachelor's degree with a major course of study in athletic training, or an equivalent course of study from a college or university accredited at the time of graduation by CAATE, or its successor organization;

B. An academic degree from a college or university in a foreign country equivalent to the degree described in paragraph (a)(1)b.1.A. of this section with a major course of study as described in paragraph (a)(1)b.1.A. of this section that is accredited by CAATE, or its successor organization; or

C. The substantial equivalent of the foregoing which the Commission may determine by rule.

2. Successful completion of the exam administered by the BOC, or its successor organization, preceding the date of the licensee's application for licensure in their state of qualifying licensure or the substantial equivalent of the foregoing requirement which the Commission may determine by rule.

(2) Hold a compact qualifying license;

(3) Have not had any encumbrance against any license or compact privilege to practice athletic training within the previous 2 years;

(4) Be eligible for a compact privilege in any member state in accordance with § 2604D of this title;

(5) Notify the Compact Commission that the licensee is seeking the compact privilege within a remote state or states;

(6) Pay any applicable fees, including any state fee, for the compact privilege;

(7) Meet only the continuing competence requirements established by the state of qualifying licensure;

(8) Comply with any requirements of the state of qualifying licensure as set forth in § 2603D of this title;

(9) Meet any jurisprudence requirements established by the remote state or states in which the licensee is seeking a compact privilege; and

(10) Report to the Compact Commission any adverse action, encumbrance, or restriction on a license taken by any nonmember State within 30 days from the date the action is taken.

(b) The compact privilege is valid until the expiration date of the compact qualifying license. To maintain a compact privilege, renewal of the compact privilege shall be congruent with the renewal of the compact qualifying license as the Compact Commission may define by rule. The licensee must comply with the requirements of this section to maintain the compact privilege in the remote state. A licensee may apply for and hold compact privileges in multiple member states.

(c) A licensed athletic trainer must follow the scope of practice of the member state where the patient is located. A licensee engaging in the practice of athletic training in a remote state under the compact privilege shall adhere to the scope of practice laws and regulations of the remote state. Licensees shall be responsible for educating themselves on, and complying with, any and all scope of practice laws and regulations state laws relating to the remote practice of athletic training, as applicable.

(d) A licensee engaging in the practice of athletic training in a remote state is subject to that state's regulatory authority. A remote state may, in accordance with due process and that state's laws, remove a licensee's compact privilege in the remote state for a specific period of time, impose fines, or take any other necessary actions to protect the health and safety of its citizens. Any member state which undertakes such an action shall promptly notify the member state and the Commission as specified in the rules. The licensee may be deemed to be ineligible to exercise the compact privilege by any member state until the specific time for removal has passed and all fines are paid.

(e) All member state disciplinary orders that impose adverse action against a compact qualifying license shall result in deactivation of the licensee's compact privilege in all member states during the pendency of the order. If a compact qualifying license is encumbered, the licensee shall lose the compact privilege in any remote state until the following occur:

(1) The compact qualifying license is no longer encumbered; and

(2) The licensee has not had any encumbrance or restriction against any license, compact qualifying license or compact privilege within the previous 2 years.

(f) Once an encumbered license is restored to good standing as a compact qualifying license (as certified by the licensing authority), the licensee must meet the requirements of this section to obtain a compact privilege in any remote state.

(g) If a licensee's compact privilege in any remote state is removed, that licensee may also lose the compact privilege in other remote states, as each member state shall determine in its sole authority, until the following occur:

(1) The specific period of time for which the compact privilege was removed has ended;

(2) All fines have been paid; and

(3) Have not had any encumbrance or restriction against any license or compact privilege within the previous 2 years.

(h) Once the requirements of § 2604D(g) of this title have been met, the licensee must meet the requirements in § 2604D(a) of this title to obtain a compact privilege in a remote state.

(85 Del. Laws, c. 382, § 1.)

§ 2605D Compact qualifying license.

(a) A licensee may only designate 1 license as their compact qualifying license at a time. The procedures for such designation may be further defined by compact commission rule.

(b) Nothing in this section shall require that the state of qualifying licensure be the state of primary residence or state of primary practice for the licensee.

(c) Nothing in this compact shall interfere with a licensee's ability to hold a single state license in multiple states.

(d) Nothing in this compact shall affect the requirements established by a member state for the issuance of a single state license.

(85 Del. Laws, c. 382, § 1.)

§ 2606D Active military member or their spouses.

An active military member or their spouse shall not be required to pay a fee to the Commission for a compact privilege. If a member state chooses to charge a member state fee, it may choose to charge a reduced fee or no fee to an active military member or their spouse for a compact privilege.

(85 Del. Laws, c. 382, § 1.)

§ 2607D Adverse actions.

(a) A member state in which a licensee is issued a Compact qualifying license shall have the exclusive authority to impose adverse action against the compact qualifying license issued by that member state.

(b) A member state may take adverse action based on current significant investigative information of a remote state, so long as the member state follows its own procedures for imposing adverse action.

(c) Nothing in this compact shall override a member state's decision that participation in an alternative program may be used in lieu of adverse action and that such participation shall remain nonpublic if required by the member state's laws or rules.

(d) A remote state shall have the authority to:

(1) Take adverse actions as set forth herein against a licensee's compact privilege in that state; and

(2) Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses as well as the production of evidence.

a. Subpoenas may be issued by a member state athletic training licensing authority for the attendance and testimony of witnesses and the production of evidence.

b. A member state which issues a subpoena may request service of that subpoena by another member state. The member state receiving the request to serve a subpoena shall serve the subpoena if it is deemed enforceable by a court of competent jurisdiction according to the practice and procedure in the receiving member state.

c. The issuing authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the state where the witnesses or evidence are located.

(e) For purposes of taking adverse action, a member state shall give the same priority and effect to reported conduct received from another member state as it would if the conduct had occurred within that state. In so doing, the investigating member state shall apply its own state laws to determine appropriate action.

(f) A member state, if otherwise permitted by state law, may recover from the affected licensee the costs of investigations and dispositions of cases resulting from any adverse action taken against that licensee.

(g) Joint investigations. —

(1) In addition to the authority granted to a member state by its respective state law, any member state may participate with other member states in joint investigations of licensees.

(2) Member states shall share any current significant investigative information, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under the compact. In sharing such information between member state athletic trainer licensing authorities, all information obtained shall be kept confidential, except as otherwise mutually agreed upon by the sharing and receiving member state or states.

(3) A remote state may issue subpoenas on behalf of a member state for both hearings and investigations that require the attendance and testimony of witnesses as well as the production of evidence.

(h) If a member state takes adverse action, it shall promptly notify the administrator of the data system. The administrator of the data system shall promptly notify all member states of any adverse actions by remote states.

(i) Nothing in this compact may permit a member state to take any adverse action against a licensee or holder of a compact privilege for conduct or practice occurring in another member state that was legal in the member state at the time it was undertaken.

(85 Del. Laws, c. 382, § 1.)

§ 2608D Establishment and operation of the commission.

(a) The compact member states hereby create and establish a joint government agency whose membership consists of all member states that have enacted the compact known as the Athletic Trainer Licensure Compact Commission. The Compact Commission is an instrumentality of the member states acting jointly and not an instrumentality of any 1 state. The Compact Commission shall come into existence on or after the effective date of the compact as set forth in § 2612D of this title.

(b) Membership, voting, and meetings. —

(1) Each member state shall have and be limited to 1 Commissioner selected by that member state's licensing authority within 60 days of the member state's effective date.

(2) The Commissioner shall be an administrator or their designated staff or current board member of the licensing authority.

(3) The Compact Commission may recommend removal or suspension of any Commissioner from office.

(4) A member state's licensing authority shall fill any vacancy of its Commissioner occurring on the Compact Commission within 60 days of the vacancy.

(5) Each Commissioner shall be entitled to 1 vote on all matters before the Compact Commission requiring a vote by the Commissioners.

(6) The Compact Commission shall meet at least once during each calendar year. Additional meetings may be held as set forth in the Commission bylaws. A Commissioner shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for Commissioners to meet by telecommunication, videoconference, or other means of communication.

(c) The Compact Commission shall have the following powers:

(1) Promulgate, adopt, and amend rules and bylaws;

(2) Establish code of conduct, confidentiality, and conflict of interest policies for Commissioners;

(3) Establish the fiscal year of the Compact Commission;

(4) Maintain its financial records in accordance with the bylaws;

(5) Purchase and maintain insurance and insurance bonds;

(6) Accept, or contract for services of personnel, including, but not limited to, employees of a member state;

(7) Conduct a financial review or audit;

(8) Hire employees, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of the compact, and establish the Compact Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters;

(9) Enter into contracts or arrangements for the management of the affairs of the Commission;

(10) Assess and collect fees;

(11) Accept any and all appropriate gifts, donations, grants of money, other sources of revenue, equipment, supplies, materials, and services, and receive, utilize, and dispose of the same; provided that at all times the Compact Commission shall avoid any appearance of impropriety or conflict of interest;

(12) Lease, purchase, retain, own, hold, improve, invest, or use any property, real, personal, or mixed, or any undivided interest therein;

(13) Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property real, personal, or mixed;

(14) Establish a budget and make expenditures;

(15) Borrow and invest money;

(16) Meet and take such actions as are consistent with the provisions of this compact, the Compact Commission's rules, and the bylaws;

(17) Initiate and conclude legal proceedings or actions in the name of the Compact Commission, provided that the standing of any licensing authority to sue or be sued under applicable law shall not be affected;

(18) Maintain and certify records and information provided to a member state as the authenticated business records of the Compact Commission, and designate an agent to do so on the Compact Commission's behalf;

(19) Provide and receive information from, and cooperate with, law-enforcement agencies;

(20) Determine whether a state's adopted language is materially different from the model compact language such that the state would not qualify for participation in the compact;

(21) Establish and elect an Executive Committee, including a chair and a vice chair, secretary, treasurer, and such other offices as the Commission shall establish by rule or bylaw;

(22) Appoint committees, including standing committees, composed of member state Commissioners, state regulators, state legislators or their representatives, and consumer representatives, and such other interested persons as may be designated in this compact and the bylaws; and

(23) Perform such other functions as may be necessary or appropriate to achieve the purposes of this compact.

(d) The Executive Committee. —

(1) The Executive Committee shall have the power to act on behalf of the Compact Commission according to the terms of this compact. The powers, duties, and responsibilities of the Executive Committee shall include:

a. Exercise the powers and duties of the Compact Commission during the interim between compact commission meetings, except for adopting or amending rules, adopting or amending bylaws, and exercising any other powers and duties expressly reserved to the Compact Commission by rule or bylaw;

b. Oversee the day-to-day activities of the administration of the compact including enforcement and compliance with the provisions of the compact, its rules and bylaws, and other such duties as deemed necessary;

c. Recommend to the Compact Commission changes to the rules or bylaws, changes to this compact legislation, fees charged to compact member states, fees charged to licensees, and other fees;

d. Ensure compact administration services are appropriately provided, including by contract;

e. Prepare and recommend the budget;

f. Maintain financial records on behalf of the Compact Commission;

g. Monitor compact compliance of member states and provide compliance reports to the Compact Commission;

h. Establish additional committees as necessary; and

i. Other duties as provided in the rules or bylaws of the Compact Commission.

(2) The Executive Committee shall be composed of 5 voting members, elected by the Compact Commission:

a. The chair and vice chair of the Compact Commission, shall be voting members of the Executive Committee;

b. The Compact Commission shall elect up to 3 additional voting members from the current membership of the Compact Commission to include the offices of treasurer, secretary, and 1 member-at-large; and

c. Up to 4 ex-officio, nonvoting members from recognized national athletic trainer organizations.

(3) The Compact Commission may remove any member of the Executive Committee as provided in the Compact Commission's bylaws.

(4) The Executive Committee shall meet at least annually:

a. Executive Committee meetings shall be open to the public, except that the Executive Committee may meet in a closed, nonpublic meeting as provided in this section.

b. The Executive Committee shall give advance notice of its meetings, posted on its website and as determined by rule or bylaw to provide notice to persons with an interest in the business of the Compact Commission.

c. The Executive Committee may hold a special meeting in accordance with this section.

(e) The Compact Commission shall adopt and provide to the member states an annual report.

(f) Meetings of the Compact Commission. —

(1) All meetings shall be open to the public, except that the Compact Commission may meet in a closed, nonpublic meeting as provided in this section.

(2) Public notice for all meetings of the full Compact Commission of meetings shall be given in the same manner as required under the rulemaking provisions in this compact, except that the Compact Commission may hold a special meeting as provided in this section.

(3) The Compact Commission may hold a special meeting when it must meet to conduct emergency business by giving 24 hours' notice to all Commissioners, on the Compact Commission's website, and other means as provided in the Compact Commission's rules. The Compact Commission's legal counsel shall certify that the Compact Commission's need to meet qualifies as an emergency.

(4) The Compact Commission or the Executive Committee or other committees of the Compact Commission may convene in a closed, nonpublic meeting for the Compact Commission or Executive Committee or other committees of the Compact Commission to receive legal advice or to discuss:

a. Noncompliance of a member state with its obligations under the compact;

b. The employment, compensation, discipline or other matters, practices or procedures related to specific employees;

c. Current or threatened discipline of a licensee by a member state's licensing authority;

d. Current, threatened, or reasonably anticipated litigation;

e. Negotiation of contracts for the purchase, lease, or sale of goods, services, or real estate;

f. Accusing any person of a crime or formally censuring any person;

g. Trade secrets or commercial or financial information that is privileged or confidential;

h. Information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy;

i. Investigative records compiled for law-enforcement purposes;

j. Information related to any investigative reports prepared by or on behalf of or for use of the Compact Commission or other committee charged with responsibility of investigation or determination of compliance issues pursuant to the compact;

k. Matters specifically exempted from disclosure by federal or member state law; or

l. Other matters as specified in rules of the Compact Commission.

(5) If a meeting, or portion of a meeting, is closed, the Compact Commission's legal counsel or designee shall certify that the meeting will be closed and reference each relevant exempting provision, and such reference shall be recorded in the minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release only by a majority vote of the Compact Commission or order of a court of competent jurisdiction.

(g) Financing of the Compact Commission. —

(1) The Compact Commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.

(2) The Compact Commission may accept any and all appropriate revenue sources as provided in this section.

(3) The Compact Commission may levy on and collect an annual assessment from each member state and impose fees on licensees of member states to whom it grants a compact privilege to cover the cost of the operations and activities of the Compact Commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount for member states shall be allocated based upon a formula that the Compact Commission shall promulgate by rule.

(4) The Compact Commission shall not incur obligations of any kind prior to securing the funds or a loan adequate to meet the same; nor shall the Compact Commission pledge the credit of any of the member states, except by and with the authority of the member state.

(5) The Compact Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Compact Commission shall be subject to the financial review or audit and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Compact Commission shall be subject to an annual financial review or audit by a certified or licensed public accountant, and the report of the financial review or audit shall be included in and become part of the annual report of the Compact Commission.

(h) Qualified immunity, defense, and indemnification. —

(1) The members, officers, executive director, employees and representatives of the Compact Commission shall be immune from suit and liability, both personally and in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of compact commission employment, duties or responsibilities; provided that nothing in this paragraph (h)(1) shall be construed to protect any such person from suit or liability for any damage, loss, injury, or liability caused by the intentional or wilful or wanton misconduct of that person. The procurement of insurance of any type by the Compact Commission shall not in any way compromise or limit the immunity granted hereunder.

(2) The Compact Commission shall defend any member, officer, executive director, employee, and representative of the Compact Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of compact commission employment, duties, or responsibilities, or as determined by the Compact Commission that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of compact commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining their own counsel at their own expense; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or wilful or wanton misconduct.

(3) The Compact Commission shall indemnify and hold harmless any member, officer, executive director, employee, and representative of the Compact Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of compact commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of compact commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or wilful or wanton misconduct of that person.

(4) Nothing herein shall be construed as a limitation on the liability of any licensee for professional malpractice or misconduct, which shall be governed solely by any other applicable state laws.

(5) Nothing in this compact shall be interpreted to waive or otherwise abrogate a member state's state action immunity or state action affirmative defense with respect to antitrust claims under the Sherman Act [15 U.S.C. § 1 et seq.], Clayton Act [15 U.S.C. § 12 et seq.], or any other state or federal antitrust or anticompetitive law or regulation.

(6) Nothing in this compact shall be construed to be a waiver of sovereign immunity by the member states or by the Compact Commission.

(85 Del. Laws, c. 382, § 1.)

§ 2609D Data system.

(a) The Commission shall provide for the development, maintenance, operation, and utilization of a coordinated data system and reporting system containing licensure, compact privileges, adverse action, and the presence of current significant investigative information on all licensees and applicants for a license in member states.

(b) Notwithstanding any other provision of state law to the contrary, a member state shall submit a uniform data set to the data system on all licensees, applicants, and others to whom this compact is applicable as required by the rules of the Compact Commission, including:

(1) Personally identifying information;

(2) Licensure data;

(3) Adverse actions against a licensee, license applicant or compact privilege and information related thereto;

(4) Nonconfidential information related to alternative program participation, the beginning and ending dates of such participation, and other information related to such participation;

(5) Any denial of an application for licensure, and the reason or reasons for such denial, (excluding the reporting of any criminal history record information where prohibited by law);

(6) A binary determination regarding the presence of current significant investigative information; and

(7) Other information that may facilitate the administration of this compact or the protection of the public, as determined by the rules of the Commission.

(c) The records and information provided to a member state pursuant to this compact or through the data system, when certified by the Commission or an agent thereof, shall constitute the authenticated business records of the Commission, and shall be entitled to any associated hearsay exception in any relevant judicial, quasi-judicial or administrative proceedings in a member state.

(d) Current significant investigative information pertaining to a licensee in any member state will only be available to other member states.

(e) It is the responsibility of the member states to monitor the data system to determine whether adverse action has been taken against a licensee or license applicant. Adverse action information pertaining to a licensee or license applicant in any member state will be available to any other member state.

(f) Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state.

(g) Any information submitted to the data system that is subsequently expunged pursuant to federal law or the laws of the member state contributing the information shall be removed from the data system.

(85 Del. Laws, c. 382, § 1.)

§ 2610D Rulemaking.

(a) The Compact Commission shall promulgate reasonable rules in order to effectively and efficiently implement and administer the purposes and provisions of the compact. A rule shall be invalid and have no force or effect only if a court of competent jurisdiction holds that the rule is invalid because the Compact Commission exercised its rulemaking authority in a manner that is beyond the scope and purposes of the compact, or the powers granted hereunder, or based upon another applicable standard of review.

(b) The rules of the Compact Commission shall have the force of law in each member state, provided however that where the rules conflict with the laws or regulations of a member state that relate to the scope of practice a licensed athletic trainer is permitted to undertake in that state and the circumstances under which they may do so, as held by a court of competent jurisdiction, the rules of the Compact Commission shall be ineffective in that state to the extent of the conflict.

(c) The Compact Commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Rules of this compact shall become binding on the day following adoption or as of the date specified in the rule or amendment, whichever is later.

(d) If a majority of the legislatures of the member states rejects a rule or portion of a rule, by enactment of a statute or resolution in the same manner used to adopt the compact within 4 years of the date of adoption of the rule, then such rule shall have no further force and effect in any member state.

(e) Rules shall be adopted at a regular or special meeting of the Compact Commission.

(f) Prior to adoption of a proposed rule, the Compact Commission shall hold a public hearing and allow persons to provide oral and written comments, data, facts, opinions, and arguments. At least 30 days in advance of the public hearing on the proposed rule, the Compact Commission shall provide a notice of proposed rulemaking:

(1) On the website of the Compact Commission or other publicly accessible platform;

(2) To persons who have requested notice of the Compact Commission’s notices of proposed rulemaking; and

(3) In such other way or ways as the Compact Commission may by rule specify.

(g) The notice of proposed rulemaking shall include:

(1) The time, date, and location of the public hearing at which the Compact Commission will hear public comments on the proposed rule and, if different, the time, date, and location of the meeting where the Compact Commission will consider and vote on the proposed rule;

(2) If the hearing is held via telecommunication, video conference, or other electronic means, the Compact Commission shall include the mechanism for access to the hearing in the notice of proposed rulemaking;

(3) The text of the proposed rule and the reason therefor;

(4) A request for comments on the proposed rule from any interested person; and

(5) The manner in which interested persons may submit written comments.

(h) All hearings will be recorded. A copy of the recording and all written comments and documents received by the Compact Commission in response to the proposed rule shall be available to the public.

(i) Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the Compact Commission at hearings required by this section.

(j) The Compact Commission shall, by majority vote of all members, take final action on the proposed rule based on the rulemaking record and the full text of the rule.

(1) The Compact Commission may adopt changes to the proposed rule provided the changes do not enlarge the original purpose of the proposed rule.

(2) The Compact Commission shall provide an explanation of the reasons for substantive changes made to the proposed rule as well as reasons for substantive changes not made that were recommended by commenters.

(3) The Compact Commission shall determine a reasonable effective date for the rule. Except for an emergency as provided in this section, the effective date of the rule shall be no sooner than 30 days after issuing the notice that it adopted or amended the rule.

(k) Upon determination that an emergency exists, the Compact Commission may consider and adopt an emergency rule with 24 hours' notice, with opportunity to comment, provided that the usual rulemaking procedures provided in the compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an emergency rule is 1 that must be adopted immediately in order to:

(1) Meet an imminent threat to public health, safety, or welfare;

(2) Prevent a loss of Compact Commission or member state funds;

(3) Meet a deadline for the promulgation of a rule that is established by federal law or rule; or

(4) Protect public health and safety.

(*l*) The Compact Commission or an authorized committee of the Compact Commission may direct revisions to a previously adopted rule for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the Compact Commission. The revision shall be subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing and delivered to the Compact Commission prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Compact Commission.

(m) No member state's rulemaking requirements shall apply under this compact.

(85 Del. Laws, c. 382, § 1.)

§ 2611D Oversight, dispute resolution, and enforcement.

(a) Oversight. —

(1) The executive and judicial branches of state government in each member state shall enforce this compact and take all actions necessary and appropriate to implement the compact.

(2) Except as otherwise provided in this compact, venue is proper and judicial proceedings by or against the Compact Commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the Compact Commission is located. The Compact Commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings. Nothing herein shall affect or limit the selection or propriety of venue in any action against a licensee for professional malpractice, misconduct or any such similar matter.

(3) The Compact Commission shall be entitled to receive service of process in any proceeding regarding the enforcement or interpretation of the compact and shall have standing to intervene in such a proceeding for all purposes. Failure to provide the Compact Commission service of process shall render a judgment or order void as to the Compact Commission, this compact, or promulgated rules.

(b) Default, technical assistance, and termination. —

(1) If the Compact Commission determines that a member state has defaulted in the performance of its obligations or responsibilities under this compact or the promulgated rules, the Commission shall provide written notice to the defaulting state. The notice of default shall describe the default, the proposed means of curing the default, and any other action that the Compact Commission may take, and shall offer training and specific technical assistance regarding the default.

(2) The Compact Commission shall provide a copy of the notice of default to the other member states.

(c) If a state in default fails to cure the default, the defaulting state may be terminated from the compact upon an affirmative vote of a majority of the Commissioners of the member states, and all rights, privileges and benefits conferred on that state by this compact may be terminated on the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.

(d) Termination of membership in the compact shall be imposed only after all other means of securing compliance have been exhausted.

Notice of intent to suspend or terminate shall be given by the Compact Commission to the governor, the majority and minority leaders of the defaulting state's legislature, the defaulting state's licensing authority and each of the member states' licensing authority.

(e) A state that has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.

(f) Upon the termination of a state's membership from this compact, that state shall immediately provide notice to all Licensees within that state of such termination. The terminated state shall continue to recognize all licenses and compact privileges granted pursuant to this compact for a minimum of 180 days after the date of said notice of termination.

(g) The Compact Commission shall not bear any costs related to a state that is found to be in default or that has been terminated from the compact, unless agreed upon in writing between the Compact Commission and the defaulting state.

(h) The defaulting state may appeal the action of the Compact Commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the Compact Commission has its principal offices. The prevailing party shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(i) Dispute resolution. —

(1) Upon request by a member state, the Compact Commission shall attempt to resolve disputes related to the compact that arise among member states and between member and nonmember states.

(2) The Compact Commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes as appropriate.

(j) Enforcement. —

(1) By ⅔-majority vote, the Compact Commission may initiate legal action against a member state in default in the United States District Court for the District of Columbia or the federal district where the Compact Commission has its principal offices to enforce compliance with the provisions of the compact and its promulgated rules. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorneys' fees. The remedies herein shall not be the exclusive remedies of the Compact Commission. The Compact Commission may pursue any other remedies available under federal or the defaulting member state's law.

(2) A member state may initiate legal action against the Compact Commission in the U.S. District Court for the District of Columbia or the federal district where the Compact Commission has its principal offices to enforce compliance with the provisions of the compact and its promulgated rules. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(3) No person other than a member state shall enforce this compact against the Compact Commission.

(85 Del. Laws, c. 382, § 1.)

§ 2612D Effective date, withdrawal, and amendment.

(a) The Compact shall come into effect on the date on which the compact statute is enacted into law in the seventh member state.

(1) On or after the effective date of the compact, the Compact Commission shall convene and review the enactment of each of the first 7 member states ("charter member states") to determine if the statute enacted and made effective by each such charter member state is materially different than the model compact statute.

a. A charter member state whose enactment is found to be materially different from the model compact language shall be entitled to the default process set forth in § 2611D of this title.

b. If any member state is later found to be in default, or is terminated or withdraws from the Compact, the Compact Commission shall remain in existence and the compact shall remain in effect even if the number of member states should be less than 7.

(2) Member states enacting the compact subsequent to the 7 initial charter member states shall be subject to the process set forth in this section to determine if their enactments are materially different from the model compact statute and whether they qualify for participation in the compact.

(3) All actions taken for the benefit of the Compact Commission or in furtherance of the purposes of the administration of the compact prior to the effective date of the compact or the Compact Commission coming into existence shall be considered to be actions of the Compact Commission unless specifically repudiated by the Compact Commission.

(4) Any state that joins the compact subsequent to the Compact Commission's initial adoption of the rules and bylaws shall be subject to the Rules and bylaws as they exist on the date on which the compact becomes law in that state. Any rule that has been previously adopted by the Compact Commission shall have the full force and effect of law on the day the compact becomes law in that state.

(b) Any member state may withdraw from this compact by enacting a statute repealing the same.

(1) A member state's withdrawal shall not take effect until 180 days after enactment of the repealing statute.

(2) Withdrawal shall not affect the continuing requirement of the withdrawing state's licensing authority to comply with the investigative and adverse action reporting requirements of this compact prior to the effective date of withdrawal.

(3) Upon the enactment of a statute withdrawing from this compact, a state shall immediately provide notice of such withdrawal to all licensees and privilege holders within that state. Notwithstanding any subsequent statutory enactment to the contrary, such withdrawing state shall continue to recognize all compact privileges granted pursuant to this compact for a minimum of 180 days after the date of such notice of withdrawal.

(4) Nothing contained in this compact shall be construed to invalidate or prevent any licensure agreement or other cooperative arrangement between a member state and a nonmember state that does not conflict with the provisions of this compact.

(5) This compact may be amended by the member states. No amendment to this compact shall become effective and binding upon any member state until it is enacted into the laws of all member states.

(85 Del. Laws, c. 382, § 1.)

§ 2613D Construction and severability.

(a) This compact and the Compact Commission's rulemaking authority shall be liberally construed so as to effectuate the purposes, and the implementation and administration of the compact. Provisions of the compact expressly authorizing or requiring the promulgation of Rules shall not be construed to limit the Compact Commission's rulemaking authority solely for those purposes.

(b) The provisions of this compact shall be severable and if any phrase, clause, sentence or provision of this compact is held by a court of competent jurisdiction to be contrary to the constitution of any member state, a state seeking participation in the compact, or of the United States, or the applicability thereof to any government, agency, person or circumstance is held to be unconstitutional by a court of competent jurisdiction, the validity of the remainder of this compact and the applicability thereof to any other government, agency, person or circumstance shall not be affected thereby.

(c) Notwithstanding the foregoing, the Compact Commission may deny a state's participation in the compact or terminate a member state's participation in the compact if it determines that a constitutional requirement of a member state is a material departure from the compact. Otherwise, if this compact shall be held to be contrary to the constitution of any member state, the compact shall remain in full force and effect as to the remaining member states and in full force and effect as to the member state affected as to all severable matters.

(85 Del. Laws, c. 382, § 1.)

§ 2614D Consistent effect and conflict with other state laws.

(a) Nothing herein shall prevent or inhibit the enforcement of any other law of a member state that is not inconsistent with the compact.

(b) Any laws, statutes, regulations, or other legal requirements in a member state in conflict with the compact are superseded to the extent of the conflict.

(c) All permissible agreements between the Compact Commission and the member states are binding in accordance with their terms.

(85 Del. Laws, c. 382, § 1.)

Chapter 27
PROFESSIONAL LAND SURVEYORS

Subchapter I

Board Of Professional Land Surveyors

§ 2701 Objectives.

The primary objective of the Board of Professional Land Surveyors, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

The secondary objectives of the Board are to maintain minimum standards of practitioner competency and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at formal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against practitioners.

(72 Del. Laws, c. 265, § 1.)

§ 2702 Definitions.

The following words, terms and phrases, when used in this chapter, shall have the meanings ascribed to them under this section, except where the context clearly indicates a different meaning:

(1) “Board” shall mean the State Board of Professional Land Surveyors established in this chapter.

(2) “Division” shall mean the State Division of Professional Regulation.

(3) “Excessive use or abuse of drugs” shall mean any use of narcotics, controlled substances or illegal drugs without a prescription from a licensed physician, or the abuse of alcoholic beverage such that it impairs a person’s ability to perform the work of a professional land surveyor.

(4) “Person” shall mean a corporation, company, association and partnership, as well as an individual.

(5) “Practice of land surveying” shall mean professional services or work involving special knowledge and application of the principles of mathematics and related sciences and the relevant requirement of law in connection with the use and development of land, as described herein:

a. The act of measuring, locating, establishing or reestablishing corners, lines, boundaries, angles, elevations, contours and natural and manmade features in the air, on the surface or subsurface of the earth, within underground workings and on the beds or surface of bodies of water for the purpose of determining or establishing facts of size, area, shape, topography, tidal datum planes, legal or geodetic location or relocation and orientation of improved or unimproved real property and appurtenances thereto;

b. The horizontal and vertical control for aerial surveys and photogrammetric compilation; Global Positioning System Surveying (GPS), as related to boundary surveying and as defined as determining the horizontal and vertical location of an object on the earth’s surface with respect to the center of the earth by observing satellites with equipment capable of acquiring, analyzing and managing the data collected; polaris and solar observations for the determination of the true azimuth; the monumentation and remonumentation of boundaries of land, divisions of land, tracts, parcels and lots; the measurement and preparation of plans showing existing improvements after construction; the layout of proposed improvements and the preparation of descriptions and plans for use in legal instruments of conveyance of real property and property rights; and

c. The design, preparation and furnishing of subdivision plans, land development plans, sedimentation and erosion control plans, grading plans, condominium plans, record plats and horizontal alignments, and profiles and typical sections for roads, streets, utilities, sanitary sewers and storm drainage systems. This shall not be construed so as to permit the professional land surveyor to include the design of sewage disposal stations, lift stations, commercial and industrial buildings, pumping stations and bridges, or to prepare plans for the construction of engineering and architectural projects.

(6) “Professional land surveyor” shall mean an individual who holds a valid license to practice land surveying under this chapter, and in addition:

a. Is a professional specialist in the technique of measuring land;

b. Is educated in the principles of mathematics and related sciences;

c. Is experienced in the application of the principles of mathematics and the related sciences;

d. Understands the relevant requirements of law for the presentation of adequate evidence relating to property descriptions and the surveying of real property.

(7) “Responsible charge” shall mean the direct control and personal direction of the investigation, operation and execution of land surveying work requiring initiative, and professional skill and independent judgment as a party chief or survey manager.

(8) “State” shall mean the State of Delaware.

(9) “Substantially related” means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the

fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of land surveying.

(10) "Surveyor intern" shall mean an individual who has qualified for, taken, and has passed the written standardized national examination developed by the national professional association in the fundamentals of surveying.

(43 Del. Laws, c. 286, § 2; 24 Del. C. 1953, § 2701; 56 Del. Laws, c. 368; 58 Del. Laws, c. 501, § 2; 60 Del. Laws, c. 275, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 265, § 1; 74 Del. Laws, c. 262, § 50; 76 Del. Laws, c. 170, § 1.)

§ 2703 Board of Professional Land Surveyors; appointments; qualifications; term; vacancies; suspension or removal; unexcused absences; compensation.

(a) There is created a State Board of Professional Land Surveyors that shall administer and enforce this chapter.

(b) The Board shall consist of 7 members appointed by the Governor, who are residents of this state: Four shall be land surveyors licensed under this chapter, at least 1 of whom, but not more than 2, shall be appointed from each county of this State; and 3 public members. The public members shall not be, nor ever have been, land surveyors, nor members of the immediate family of a land surveyor; shall not have been employed by a land surveyor; shall not have a material interest in the providing of goods and services to land surveyors; nor have been engaged in an activity directly related to land surveying. The public members shall be accessible to inquiries, comments and suggestions from the general public.

(c) Except as provided in subsection (d) of this section, each member shall serve a term of 3 years, and may succeed himself or herself for 1 additional term; provided, however, that where a member was initially appointed to fill a vacancy, such member may succeed himself or herself for only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member. Each term of office shall expire on the date specified in the appointment; however, the Board member shall remain eligible to participate in Board proceedings unless and until replaced by the Governor. Persons who are members of the Board on February 4, 2000, shall complete their terms.

(d) A person who has never served on the Board may be appointed to the Board for 2 consecutive terms, but no such person shall thereafter be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board or who has served on the Board for 6 years within any 9-year period shall again be appointed to the Board until an interim period of at least 1 year has expired since such person last served.

(e) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section unless such an amendment or revision amends this section to permit such an appointment.

(f) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance or malfeasance. A member subject to disciplinary hearing shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(g) No member of the Board, while serving on the Board, shall hold elective office in any professional association of land surveyors; this includes a prohibition against serving as head of the professional association's Political Action Committee (PAC).

(h) The provisions of Chapter 58, Title 29 of the Delaware Code shall apply to all members of the Board.

(i) Any member who is absent without adequate reason for 3 consecutive meetings or fails to attend at least half of all regular business meetings during any calendar year shall be deemed to have resigned that member's appointment. The Director of the Division shall have the responsibility to enforce this provision. Upon the determination by the Director that a vacancy exists due to this provision, the Governor may appoint a new member as provided in subsection (c) of this section.

(j) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(43 Del. Laws, c. 286, §§ 3, 4, 6; 24 Del. C. 1953, § 2702; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 1; 63 Del. Laws, c. 101, §§ 1, 2; 67 Del. Laws, c. 366, § 9; 67 Del. Laws, c. 368, § 15; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 265, § 1; 78 Del. Laws, c. 140, § 1; 81 Del. Laws, c. 85, § 14.)

§ 2704 Organization; meetings; officers; quorum.

(a) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year and at such times, as the Chair deems necessary or at the request of a majority of the Board members.

(b) The Board shall elect annually from its members a chair, vice chair and secretary. Each officer shall serve for 1 year and shall not succeed himself or herself for more than 2 consecutive terms. In the event of a vacancy in 1 of the offices, a replacement shall be elected at the next Board meeting.

(c) A majority of the members shall constitute a quorum for the purpose of transacting business. No disciplinary action shall be taken without the affirmative vote of 4 members of the Board.

(d) Minutes of all meetings shall be recorded, and the Division of Professional Regulation shall maintain copies. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The person requesting it shall incur the expense of preparing any transcript.

(43 Del. Laws, c. 286, § 7; 24 Del. C. 1953, § 2705; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 1; 67 Del. Laws, c. 366, § 10; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 265, § 1.)

§ 2705 Records.

The Division of Professional Regulation shall keep a register of all approved applications for license as a land surveyor and complete records relating to meetings of the Board, examinations, rosters, changes and additions to the Board's rules and regulations, complaints, hearings and such other matters as the Board shall determine. Such records shall be prima facie evidence of the proceedings of the Board.

(43 Del. Laws, c. 286, § 10; 24 Del. C. 1953, § 2708; 58 Del. Laws, c. 501, § 2; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 265, § 1.)

§ 2706 Powers and duties.

(a) The Board of Professional Land Surveyors shall have authority to:

(1) Formulate rules and regulations, with appropriate notice to those affected; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act of this State [Chapter 101 of Title 29]. Each rule or regulation shall implement or clarify a specific section of this chapter.

(2) Designate the application form to be used by all applicants and process all applications;

(3) Designate a written, standardized, national examination prepared by either the national professional association or by a recognized national testing service and approved by the Division, to be taken by all persons applying for licensure; the national examination shall be taken by all persons applying for licensure, except applicants who qualify for licensure by reciprocity;

(4) Designate a written, 2-hour examination on drainage and the Delaware law, prepared by an independent testing agency and approved by the Director of the Division. All persons applying for licensure, including those applicants for licensure by reciprocity, shall take the examination on drainage and Delaware law;

(5) Adopt the administration, grading procedures and passing score set by the national professional association or testing service;

(6) Evaluate the credentials of all persons applying for a license to practice land surveying in Delaware in order to determine whether such persons meet the qualifications for licensing set forth in this chapter.

(7) Grant licenses to and renew licenses of all persons who meet the qualifications for licensure and/or renewal of licenses;

(8) Establish by rule and regulation continuing education standards required for license renewal;

(9) Evaluate certified records to determine whether an applicant for licensure who has been previously licensed, certified or registered in another jurisdiction to practice land surveying has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against such applicants for such acts or offenses.

(10) Refer all complaints from licensees and the public concerning professional land surveyors or concerning practices of the Board or of the profession to the Division of Professional Regulation for investigation pursuant to § 8735 of Title 29 and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint;

(11) Conduct hearings and issue orders in accordance with procedures established pursuant to the Administrative Procedures Act (Chapter 101 of Title 29);

(12) Where it has been determined after a disciplinary hearing that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty after time for appeal has lapsed.

(b) The Board shall adopt and have an official seal, which shall be affixed to each certificate issued.

(c) The Board may establish minimum technical or general standards to regulate the practice of land surveying within the State and may establish minimum requirements for the continuing education of registrants.

(d) Any member of the Board may administer oaths or affirmations to witnesses appearing before the Board.

(e) In carrying into effect this chapter, the Board, under the hand of its Chairperson and the seal of the Board, may subpoena witnesses and compel their attendance and also may require the submission of books, papers, documents or other pertinent data in any disciplinary matter or in any case wherever a violation of this chapter is alleged. Upon failure or refusal to comply with any such order of the Board or upon failure to honor its subpoena as herein provided, the Board may present its petition to the Superior Court setting forth the facts. Thereupon the Court shall, in a proper case, issue its subpoena to such person, requiring attendance and testimony before such Court and the submission of such books, papers, documents or other pertinent data as may be deemed necessary and pertinent by the Board. Any person failing or refusing to obey the subpoena or order of the Court may be proceeded against in the same manner as for refusal to obey any other subpoena or order of the Superior Court.

(f) The amount to be charged for each fee imposed under this chapter shall approximately and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division of Professional Regulation in its services on behalf of the Board. There shall be a separate fee charged for each service or activity; but no fee shall be charged for an activity not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each licensure biennium, the Division, or any other state agency acting on its behalf, shall compute, for each separate service or activity, the appropriate Board fees for the licensure biennium.

(g) The Board of Professional Land Surveyors shall promulgate regulations identifying those crimes which are substantially related to the practice of land surveying.

(43 Del. Laws, c. 286, § 8; 24 Del. C. 1953, § 2706; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 1; 63 Del. Laws, c. 101, § 3; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 265, § 1; 74 Del. Laws, c. 262, § 51; 75 Del. Laws, c. 327, § 1; 75 Del. Laws, c. 373, § 1.)

Subchapter II

License

§ 2707 License required.

(a) No person shall engage in the practice of land surveying or hold himself or herself out to the public in this State as being qualified to practice land surveying or use in connection with that person's name or otherwise assume or use any title or description conveying or tending to convey the impression that the person is qualified to practice land surveying, unless such person has been duly licensed under this chapter.

(b) Whenever a license to practice as a land surveyor in this State has expired or been suspended or revoked, it shall be unlawful for the person to practice land surveying in this State.

(43 Del. Laws, c. 286, § 1; 24 Del. C. 1953, § 2721; 58 Del. Laws, c. 501, § 2; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 265, § 1.)

§ 2708 Qualifications of applicant; report to Attorney General; judicial review.

(a) An applicant who is applying for licensure under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that such person:

(1) a. Applying for licensure as a surveyor intern has satisfied 1 of the following requirements:

1. Is a college senior or a graduate of a surveying program of 4 years or more; or

2. Is a graduate of a 4-year or more program as acceptable to the Board and has had at least 4,000 hours of combined office and field experience in responsible charge of land surveying projects performed under the direct supervision of a professional land surveyor in the active practice of land surveying. The required experience may be achieved concurrently with the education requirement; or

3. Is a graduate of a surveying program of 4,000 hours or more and has had at least 4,000 hours of combined office and field experience in responsible charge of land surveying projects performed under the direct supervision of a professional land surveyor in the active practice of land surveying. The required experience may be achieved concurrently with the education requirement; or

4. Has 11,000 hours of experience under the direct supervision of a professional land surveyor in the active practice of land surveying and has obtained Level IV Survey Technician Certification established by the National Society of Professional Surveyors — American Congress on Surveying and Mapping or similar certification acceptable to the Board.

b. Applying for licensure as a professional land surveyor has served as a surveyor intern with a specific record of 8,000 hours, as said intern, of combined office and field experience in responsible charge of land surveying projects performed under the direct supervision of a professional land surveyor in the active practice of land surveying.

c. When calculating the years of experience for licensure under this chapter, the work performed under the direct supervision of a property line surveyor licensed under the Maryland Code, or by a surveyor licensed under equivalent provisions of other states, shall be considered as equivalent to work performed under the direct supervision of a professional land surveyor in Delaware.

(2) Professional land surveyors shall have achieved the passing score on the written standardized national examination developed by the National Council of Examiners for Engineering and Surveying in the principles of surveying, the fundamentals of surveying, and the written 2-hour examination on drainage and Delaware law.

(3) Shall have paid the appropriate fee or fees as established by the Division of Professional Regulation.

(4) Shall not have been the recipient of any administrative penalties regarding that person's practice of land surveying, including, but not limited to, fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), or probationary limitations, and/or has not entered into any "consent agreements" that contain conditions placed by a Board on that person's professional conduct and practice, including any voluntary surrender of a license. The Board may determine, after a hearing, whether such administrative penalty is grounds to deny licensure.

(5) Shall not have any impairment related to drugs and/or alcohol that would limit the applicant's ability to undertake the practice of land surveying in a manner consistent with the safety of the public.

(6) Does not have a criminal conviction record, nor pending criminal charge for an offense that is substantially related to the practice of land surveying. Applicants who have criminal conviction records or pending criminal charges that are not excluded from consideration under § 8735(x)(4) of Title 29 shall request appropriate authorities to provide information about the record or charge directly to the Board. However, after a hearing or review of documentation and consideration of the factors set forth in § 8735(x)(3) of Title 29, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(6), if it finds that granting the waiver will not create an unreasonable risk to public safety.

a.-d. [Repealed.]

(7) Shall not have engaged in any of the acts or offenses that would be grounds for disciplinary action under this chapter and has no disciplinary proceedings or unresolved complaints pending against that person in any jurisdiction where the applicant has previously been or currently is licensed or registered as a land surveyor.

(b) Where the Board has found to its satisfaction that an application has been intentionally fraudulent or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(c) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification, has imposed higher or different standards for that person than for other applicants or licensees, or has in some other manner contributed to

or caused the failure of such application, the applicant may appeal to the Superior Court. The appeal shall be treated as an appeal of an administrative agency decision pursuant to the Administrative Procedures Act, Chapter 101, Title 29.

(43 Del. Laws, c. 286, § 12; 24 Del. C. 1953, § 2722; 57 Del. Laws, c. 423; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 2; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 265, § 1; 74 Del. Laws, c. 262, § 52; 75 Del. Laws, c. 327, §§ 2-4; 75 Del. Laws, c. 436, § 29; 76 Del. Laws, c. 170, §§ 2-5; 77 Del. Laws, c. 199, § 20; 78 Del. Laws, c. 44, §§ 40, 41; 83 Del. Laws, c. 433, § 18; 84 Del. Laws, c. 118, § 1; 84 Del. Laws, c. 514, § 45.)

§ 2709 Reciprocity.

(a) Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who shall present proof of current licensure in good standing in another state, the District of Columbia, or territory of the United States whose standards for licensure are substantially similar to those of this State and who meets the following criteria:

(1) That applicant's license is in good standing as defined in § 2708(a)(3), (4), (5) and (6) of this title; and

(2) Has achieved the passing score on the 2-hour written examination on drainage and the Delaware law.

(b) An applicant who is licensed or registered in a state whose standards are not substantially similar to those of this State shall have practiced for a minimum of 5 years after licensure; provided, however, that the applicant meets all other qualifications for reciprocity in this section.

(43 Del. Laws, c. 286, § 13; 24 Del. C. 1953, § 2724; 53 Del. Laws, c. 108, § 31; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 2; 65 Del. Laws, c. 222, § 2; 70 Del. Law, c. 186,, § 1; 72 Del. Laws, c. 265, § 1; 75 Del. Laws, c. 436, § 30.)

§ 2710 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division in its service on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each licensure biennium, the Division, or any other state agency acting in its behalf, shall compute, for each separate service or activity, the appropriate Board fees for the coming licensure biennium.

(72 Del. Laws, c. 265, § 1.)

§ 2711 Issuance and renewal of licenses.

The Board shall issue a license to each applicant who meets the requirements of this chapter for licensure as a land surveyor and who pays the fee established under § 2710 of this title.

Each license shall be renewed biennially, in such manner as is determined by the Division, and upon payment of the appropriate fee and submission of a renewal form provided by the Division, and proof that the licensee has met the continuing education requirements established by the Board.

The Division or its designee shall notify every licensee of the date of expiration of license and the amount of the fee that shall be required for renewal at least 1 month prior to the expiration thereof. Failure to give or receive such notice shall not prevent the license from becoming invalid after its expiration date.

The Board, in its rules and regulations, shall determine the period of time within which a professional land surveyor may still renew license, notwithstanding the fact that such licensee has failed to renew on or before the renewal date. The Board shall charge a late fee equivalent to twice the sum of the unpaid renewal fee.

(43 Del. Laws, c. 286, § 16; 24 Del. C. 1953, § 2729; 53 Del. Laws, c. 108, § 33; 57 Del. Laws, c. 609, § 3; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 2; 63 Del. Laws, c. 217, § 1; 65 Del. Laws, c. 222, § 3; 68 Del. Laws, c. 232, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 265, § 1; 75 Del. Laws, c. 327, § 5; 76 Del. Laws, c. 170, § 7.)

§ 2712 Grounds for discipline.

(a) A practitioner licensed under this chapter shall be subject to disciplinary actions set forth in § 2714 of this title after a hearing, the Board finds that the land surveyor:

(1) Has employed or knowingly cooperated in fraud or material deception in order to acquire a license as a land surveyor; has impersonated another person holding a license or registration or allowed another person to use that land surveyor's license, or aided or abetted a person not licensed as a land surveyor to represent himself or herself as a land surveyor;

(2) Has been convicted of a crime that is substantially related to the practice of land surveying; a copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence therefor;

(3) Has excessively used or abused drugs;

(4) Has engaged in an act of consumer fraud or deception; engaged in the restraint of competition; or participated in price-fixing activities;

(5) Has engaged in illegal, incompetent or grossly negligent conduct in the practice of land surveying;

(6) Has violated a lawful provision of this chapter or any lawful regulation established thereunder;

(7) Has had that land surveyor's license, certification or registration as a land surveyor suspended or revoked or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such

action in another jurisdiction have been presented to the Board by certified record and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every person licensed as a land surveyor in this State shall be deemed to have given consent to the release of this information by the Board or other comparable agencies in another jurisdiction and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses;

(8) Has failed to notify the Board that the land surveyor's license or registration as a land surveyor in another state has been subject to discipline or has been surrendered, suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof; or

(9) While acting as a supervising land surveyor, has failed to supervise and take reasonable steps to see that unlicensed persons acting under the supervising land surveyor's direction or control perform services responsibly, competently and ethically, in accordance with rules and regulations established by the Board. Supervising land surveyors shall be subject to disciplinary action for any acts or offenses which are grounds for such action when such acts or offenses are undertaken by unlicensed persons acting under the supervising land surveyor's direction or control; or

(10) Has practiced or offered to practice as a land surveyor when the practitioner's license has expired or lapsed.

(b) Subject to the provisions of this chapter and subchapter IV of Chapter 101 of Title 29, no license shall be restricted, suspended or revoked by the Board and no practitioner's right to practice land surveying shall be limited by the Board until such practitioner has been given notice and an opportunity to be heard in accordance with the Administrative Procedures Act.

(43 Del. Laws, c. 286, § 20; 24 Del. C. 1953, § 2730; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 5; 63 Del. Laws, c. 101, §§ 6-8; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 265, § 1; 74 Del. Laws, c. 262, § 53; 75 Del. Laws, c. 327, § 6; 76 Del. Laws, c. 170, § 6.)

§ 2713 Complaints.

(a) All complaints shall be received and investigated by the Division of Professional Regulation in accordance with § 8735 of Title 29, and the Division shall be responsible for issuing a final written report at the conclusion of its investigation.

(b) When it is determined that an individual is engaging in the practice of land surveying or is using the title land surveyor and is not licensed under the laws of this State, the Board shall apply to the Office of the Attorney General to issue a cease and desist order.

(43 Del. Laws, c. 286, § 19; 24 Del. C. 1953, § 2728; 53 Del. Laws, c. 108, § 32; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 2; 63 Del. Laws, c. 101, § 5; 72 Del. Laws, c. 265, § 1.)

§ 2714 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 or more of the conditions or violations set forth in § 2712 of this chapter applies to a practitioner regulated by this chapter:

(1) Issue a letter of reprimand.

(2) Censure a practitioner.

(3) Place a practitioner on probationary status, and require the practitioner to:

a. Report regularly to the Board upon the matters which are the basis of the probation;

b. Limit all practice and professional activities to those areas prescribed by the Board.

(4) Suspend any practitioner's license.

(5) Revoke any practitioner's license.

(6) Impose a monetary penalty not to exceed $5,000 for each violation.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies that required such action have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(d) As a condition of reinstatement of a suspended license or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(43 Del. Laws, c. 286, § 20; 24 Del. C. 1953, § 2730; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 5; 63 Del. Laws, c. 101, §§ 6-8; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 265, § 1; 77 Del. Laws, c. 111, § 2; 79 Del. Laws, c. 213, § 2.)

§ 2715 Hearing procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29 alleging violation of § 2714 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings shall be informal, without use of rules of evidence. If the Board finds by a majority vote of all members that the complaint has merit, the Board shall take such action permitted under this chapter as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. The Board's decision shall be mailed immediately to the practitioner.

(c) Where the practitioner is in disagreement with the action of the Board, that practitioner may appeal the Board's decision to the Superior Court within 30 days of service or of the postmarked date of the copy of the decision mailed to the practitioner. Upon such appeal the Court shall hear the evidence on the record. Stays shall be granted in accordance with § 10144 of Title 29.

(43 Del. Laws, c. 286, § 20; 24 Del. C. 1953, § 2730; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 5; 63 Del. Laws, c. 101, §§ 6-8; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 265, § 1.)

§ 2716 Reinstatement of a suspended license; removal from probationary status.

(a) As a condition to reinstatement of a suspended license or removal from probationary status, the Board may reinstate such license if after a hearing the Board is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension and/or the probation.

(b) Applicants for reinstatement shall pay the appropriate fees and submit documentation required by the Board as evidence that all the conditions of a suspension and/or probation have been met. Proof that the applicant has met the continuing education requirements of this chapter may also be required, as appropriate.

(c) [Repealed.]

(43 Del. Laws, c. 286, § 20; 24 Del. C. 1953, § 2731; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 2; 72 Del. Laws, c. 265, § 1; 82 Del. Laws, c. 8, § 10.)

§ 2717 Penalty.

A person not currently licensed under this chapter as a land surveyor, when guilty of engaging in the practice of land surveying, or using in connection with that person's name or otherwise assuming or using any title or description conveying or tending to convey the impression that the person is qualified to practice land surveying, such offender shall be guilty of a misdemeanor. Upon the first offense, the person shall be fined not less than $500 or more than $1000 for each offense. For a second or subsequent conviction, the fine shall be not less than $1000 or more than $2000 for each offense. Justice of the Peace Court shall have jurisdiction over all violations of this chapter.

(43 Del. Laws, c. 286, § 21; 24 Del. C. 1953, § 2735; 58 Del. Laws, c. 501, § 2; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 265, § 1; 75 Del. Laws, c. 373, § 2.)

Subchapter III

Other Provisions

§ 2718 Applicability of chapter.

Nothing in this chapter shall be construed as preventing or restricting the practice, services, or activities of:

(1) Any person or persons licensed to practice engineering or architecture in Delaware;

(2) Any person or persons engaged solely in the teaching of land surveying or courses related to land surveying;

(3) Any employee of the United States government while engaged in the practice of land surveying for said government within this State;

(4) Any person or persons engaged in the practice of land surveying under the direct supervision of a professional land surveyor who is licensed in this State and who assumes responsibility for the activities of the unlicensed person or persons.

(43 Del. Laws, c. 286, § 22; 24 Del. C. 1953, § 2733; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 2; 63 Del. Laws, c. 101, § 10; 72 Del. Laws, c. 265, § 1.)

§ 2719 Seal.

Every land surveyor licensed in this State shall have a seal of a design authorized by the Board by regulation and which bears the professional land surveyor's name. All technical submissions prepared by such land surveyor, or under that land surveyor's direct supervision, shall be stamped with the impression of the professional land surveyor's seal. No professional land surveyor shall impress that land surveyor's seal on any technical submission unless it has been prepared under that land surveyor's direct supervision.

(43 Del. Laws, c. 286, § 15; 24 Del. C. 1953, § 2726; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 4; 63 Del. Laws, c. 101, § 4; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 265, § 1; 75 Del. Laws, c. 327, § 7.)

§ 2720 Public works.

Neither this State, nor any of its political subdivisions, such as counties, incorporated cities and towns, or other political entities or legally constituted boards, commissions, or authorities, or officials, or employees thereof, shall permit the commencement or continuance

of any public work involving land surveying unless the field surveying shall be directly supervised by, and all drawings and documents as a result thereof, shall be prepared or certified by, a professional land surveyor licensed under this chapter, or a person authorized under Chapter 28 of this title to practice professional engineering in this State.

(43 Del. Laws, c. 286, § 18; 24 Del. C. 1953, § 2734; 58 Del. Laws, c. 501, § 2; 61 Del. Laws, c. 434, § 6; 72 Del. Laws, c. 265, § 1.)

§ 2721 Counseling; letter of concern.

(a) The Board may determine after an investigation that a violation of this chapter or of the rules and regulations enacted pursuant to this chapter which warrants formal disciplinary action has not occurred, but that an act or omission of the licensee is a matter of concern and that the licensee's practice may be improved if made aware of the concern. The Board Chair, with the concurrence of the Board, may issue a nondisciplinary, confidential letter of concern regarding the licensee's act or omission.

(b) If a person licensed to practice land surveying receives a total of 3 letters of concern and/or letters of counseling pursuant to this section, the Board may reasonably require a formal assessment of professional competency to assess the licensee's ability in order to protect the health and safety of the public.

(76 Del. Laws, c. 170, § 8; 70 Del. Laws, c. 186, § 1.)

§ 2722 Certificate of authorization.

(a) A professional land surveying corporation or partnership must have a certificate of authorization in order to practice, or offer to practice, land surveying as defined in this chapter.

(b) The practice of or offer to practice land surveying for the public by a professional land surveying corporation or partnership which has been issued a certificate of authorization is permitted, provided that 1 of the officers or 1 of the employees of the said land surveying corporation or partnership:

(1) Is designated as being in responsible charge of the land surveying activities and surveying decisions of the said corporation or partnership; and

(2) Is a licensee.

(c) All personnel of any such land surveying corporation or partnership who practice land surveying on its behalf shall be licensees. The requirements of this chapter shall not prevent a land surveying partnership or corporation and its employees from performing surveying services for the said land surveying partnership or corporation or its subsidiaries or for affiliated corporations.

(d) A land surveying corporation or partnership desiring a certificate of authorization shall file with the Board and the Division an application listing the names and addresses of all officers, board members and principals of the land surveying corporation or partnership and also of any licensee who shall be in responsible charge of the practice of land surveying through the said corporation or partnership, together with any other information required by the Division. The same information must accompany the biennial renewal fee. In the event there shall be a change in any of these persons during that biennial period, such change shall be filed with the Division within 30 days after the effective date of such change. If all the requirements of this section are met, the Board shall issue a certificate of authorization to such land surveying corporation or partnership, and such land surveying corporation or partnership shall be authorized to contract for and to collect fees for furnishing surveying services.

(e) The Board may refuse or reject an applicant if, after a hearing, the Board finds that the applicant has practiced surveying without being authorized under this section. Notwithstanding such a finding, the Board may allow licensure of such applicant if the applicant presents to the Board suitable evidence of reform.

(f) No such land surveying corporation or partnership shall be relieved of responsibility for the conduct or acts of its agents, employees or officers by reason of compliance with the provisions of this section, nor shall any individual practicing land surveying be relieved of responsibility for surveying services performed by reason of employment or relationship with such corporation or partnership.

(g) Applicants for a certificate of authorization must comply with the applicable state tax laws of Chapter 23 of Title 30. Proof of such compliance is required by the Division prior to the issuance of a certificate of authorization.

(h) A licensee who practices, or offers to practice, surveying under a name other than that person's licensed name is required to obtain a certificate of authorization, or to practice under a certificate of authorization.

(77 Del. Laws, c. 111, § 1.)

§ 2723 Entry upon adjacent land.

After making a good faith effort to notify adjacent landowner(s), a professional land surveyor and persons working under the surveyor's direct supervision shall not be liable for civil or criminal trespass for knowingly entering upon adjacent land in the course of preparing a survey.

(84 Del. Laws, c. 118, § 2.)

Chapter 28
PROFESSIONAL ENGINEERS

§ 2801 Short title.

This chapter shall be known and may be cited as the "Delaware Professional Engineers Act."

(24 Del. C. 1953, § 2802; 58 Del. Laws, c. 501, § 1; 74 Del. Laws, c. 267, § 1.)

§ 2802 Declaration of purpose; unlawful practice.

In order to safeguard life, health, and property and to promote the public welfare, the practice of engineering in this State is hereby declared to be subject to regulation in the public interest. It shall be unlawful for any person to practice or to offer to practice engineering in this State; to use in connection with such person's name, by verbal claim, sign, advertisement, letterhead, card or to in any other way, represent himself or herself to be an engineer, a professional engineer or through the use of some other title imply that such person is a professional engineer licensed under this chapter; or to advertise any title or description tending to convey the impression that such person is a professional engineer unless such person has been duly licensed, authorized or exempted under this chapter. The right to engage in the practice of engineering shall be deemed a personal right based on the qualifications of the individual as evidenced by such person's certificate of licensure, which shall not be transferable.

(24 Del. C. 1953, § 2801; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 124, § 2; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 267, § 1.)

§ 2802A Exemption for expert testimony.

Nothing in § 2802 of this title shall be construed as prohibiting an otherwise qualified engineer, duly licensed under the laws of a state other than Delaware, from offering expert testimony in any action or proceeding in the courts of this State, consistent with the requirements of Delaware Uniform Rule of Evidence 702.

(76 Del. Laws, c. 155, § 1.)

§ 2803 Definitions.

The following words, terms, and phrases, when used in this chapter, shall have the meaning ascribed to them, except where the context clearly indicates a different meaning;

(1) "Active roster" shall mean the record of members, associate members, and holders of a certificate of authorization.

(2) "Adjunct member" shall mean an adjunct member of the Association, as defined in § 2806(d) of this title.

(3) "Administrative order" means an order issued by an investigating committee, with the prior approval of the Council pursuant to § 2824(b)(1)g.1. of this title, which attempts to resolve a complaint of a violation under § 2823 of this title. Administrative orders become final 14 days from the day the order is received by the accused but only if there is positive proof of service, such as a signed return receipt or an affidavit of personal service.

(4) "Affiliate member" shall mean an affiliate member of the Association, as defined in § 2806(c) of this title.

(5) "Applicant" shall mean a person who applies to become licensed as a professional engineer, applies to become certified as engineer intern, applies to become an adjunct member of the association, or applies for a certificate of authorization.

(6) "Associate member" shall mean an associate member of the Association, as defined in § 2806(b) of this title.

(7) "Association" shall mean the Delaware Association of Professional Engineers.

(8) "Bylaw" shall mean a bylaw of the Association.

(9) "Certificate of authorization" shall mean an authorization issued by the Council to engage in the practice of engineering.

(10) "Committee" shall mean a committee appointed by the Council.

(11) "Consent order" means a voluntary agreement between parties attempting resolution of a complaint of a violation under § 2823 of this title or a complaint of unlicensed practice under § 2825 of this title. To become a final order, a consent order must be approved by Council pursuant to § 2824(b)(1)g.2. of this title.

(12) "Continuing professional competency" shall mean and refer to compliance with or satisfaction of a published set of guidelines and requirements for the maintenance of professional competency in the practice of engineering.

(13) "Council" shall mean the Council of the Association.

(14) "Engineer" shall mean a person who, by reason of special knowledge and use of the mathematical, physical, and engineering sciences and the principles and methods of engineering analysis and design acquired by an engineering education, through graduation with a baccalaureate degree from a Council-approved 4-year educational program in engineering, in engineering technology or in science related to engineering, is qualified to begin the path to licensure.

(15) "Engineering corporations or partnerships" are corporations or partnerships who practice engineering to provide engineering services to the public.

(16) "Engineer intern" shall mean a person certified as an engineer intern by the Council.

(17) "Examination" shall mean any qualifying examination or examinations required by this chapter.

(18) "Hearing committee" means a committee of Council members to which the Council has delegated authority to adjudicate a complaint of a violation under § 2823 of this title or allegations of unlicensed practice under § 2825 of this title.

(19) “Incompetence” shall mean the failure to exercise appropriate professional judgment or the failure to utilize skill to a degree which shows a lack of general competence.

(20) “Investigating committee” means a committee of the Council to which the Council has delegated authority to investigate a complaint of a violation under § 2823 of this title or allegations of unlicensed practice under § 2825 of this title.

(21) “Licensed” means licensure as a professional engineer under this chapter.

(22) “Licensee” shall mean a person licensed as a professional engineer under this chapter.

(23) “Member” shall mean a member of the Association, as defined in § 2806(a) of this title.

(24) “Misconduct” shall mean that conduct which is recognized to be unsafe or improper by the ethical and competent members of the profession. The term also includes general conduct that is dishonorable or unprofessional.

(25) “Negligence” shall mean an act or omission that deviates from accepted standards of practice or standard of care in the engineering community

(26) “Practice of engineering” or “to practice engineering” includes any professional service performed for the general public such as consultation, investigation, evaluation, planning, design, or responsible supervision of construction or operation in connection with any public or private buildings, structures, utilities, machines, equipment, processes, works, or projects wherein the public welfare or the safeguarding of life, health or property is concerned or involved when such professional service requires the application of engineering principles and data, but it does not include the work ordinarily performed by persons who operate or maintain machinery or equipment, neither does it include engineering services performed by an employee of a firm or corporation that does not offer professional engineering services to the general public.

(27) “Professional engineer” shall mean a person who has been duly licensed as a professional engineer by the Council.

(28) “Responsible charge” means a professional engineer’s supervision of, control over, and possession of detailed professional knowledge of an engineering work. A professional engineer is only considered to be in responsible charge of an engineering work if the professional engineer makes independent professional decisions regarding the engineering work without requiring instruction or approval from another authority and maintains control over those decisions by the professional engineer’s physical presence at the location where the engineering work is performed or by electronic communication with the individual executing the engineering work.

(29) “Retired member” shall mean a person who has elected to claim retired status as defined in § 2806(g) of this title.

(30) “Substantially related” shall mean the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of engineering.

(31) “Successor professional engineer” shall mean a “professional engineer,” as defined in this section, who assumes “responsible charge,” as defined in this section, of a project or component of a project and uses or relies upon the work, findings, or recommendations of the professional engineer who previously was in responsible charge and sealed pertinent documents. The successor professional engineer may assume responsible charge of a project or component of a project only when qualified to do so as detailed in § 2817. A professional engineer who uses or relies upon work performed by another qualified engineer, associate, consultant, or employee is not a successor professional engineer and may sign and seal the documents for the total project. A professional engineer who uses or relies upon work such as, but not limited to, geotechnical reports, soil investigation reports, legal surveys, and other works that may be sealed by others, in order to assume responsible charge of a project, is not considered a successor engineer. The professional engineer shall not affix their professional engineer’s seal to any document not prepared under their supervisory control and review.

(24 Del. C. 1953, § 2803; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 124, § 1; 65 Del. Laws, c. 336, § 1; 69 Del. Laws, c. 43, § 1; 69 Del. Laws, c. 412, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 51, § 4; 74 Del. Laws, c. 267, § 1; 76 Del. Laws, c. 291, §§ 1-6; 79 Del. Laws, c. 112, § 1; 83 Del. Laws, c. 190, § 1; 84 Del. Laws, c. 153, § 1; 84 Del. Laws, c. 482, § 1; 85 Del. Laws, c. 159, § 1; 85 Del. Laws, c. 322, § 1.)

§ 2804 Delaware Association of Professional Engineers; objectives.

There is hereby established the Delaware Association of Professional Engineers, an instrumentality of the State. The objectives of the Association and of this chapter are to regulate the practice of engineering, to provide for the registration of qualified persons as professional engineers and the certification of engineer interns, to define the terms “engineer,” “professional engineer,” “engineer intern” and “the practice of engineering,” to create an organization to regulate the practice of engineering to provide and administer qualifying examinations and grant registration to qualified persons as professional engineers, to provide for the appointment and election of members to the governing body of this Association, to define the powers and duties of the Council, to set forth the minimum qualifications and other requirements for registration as a professional engineer, for certification as an engineer intern, and the granting of a certificate of authorization, to set rules for the establishment of fees, expiration requirements and renewal requirements, to establish continuing professional competency guidelines and requirements, and to provide for the enforcement of this chapter together with penalties for violations of the provisions of this chapter.

(24 Del. C. 1953, § 2804; 58 Del. Laws, c. 501, § 1; 69 Del. Laws, c. 43, § 2; 74 Del. Laws, c. 267, § 1; 79 Del. Laws, c. 112, § 1; 85 Del. Laws, c. 159, § 2.)

§ 2805 Ownership and use of property.

The Association may purchase, acquire, or receive by gift or bequest for the purposes of the Association and furtherance of its objectives, but for no other purposes or objectives, any real or personal property, and may sell, mortgage, lease, or otherwise dispose of any

said property.

(24 Del. C. 1953, § 2805; 58 Del. Laws, c. 501, § 1; 74 Del. Laws, c. 267, § 1.)

§ 2806 Membership.

(a) All persons licensed as professional engineers on July 7, 1972, or hereafter licensed as professional engineers and who are residents of or are employed in or have a place of business within the State, and who subscribe to the code of ethics in accordance with § 2816 of this title, are members of the Association. Members shall be entitled to vote as provided by this chapter.

(b) All other persons licensed as professional engineers, and who subscribe to the code of ethics in accordance with § 2816 of this title, are associate members of the Association and shall not be entitled to vote.

(c) All persons certified as engineer interns within the State on July 7, 1972, or who are hereafter certified as engineer interns, and who subscribe to the code of ethics in accordance with § 2816 of this title, are affiliate members of the Association and shall not be entitled to vote. Affiliate members may use the title "Engineer", "Engineer intern" or "E.I."

(d) All persons meeting the definition of engineer, as set forth in § 2803(14) of this title, and who subscribe to the code of ethics in accordance with § 2816 of this title, who are residents or employed in or have a place of business in the State, are adjunct members of the Association and shall not be entitled to vote. Adjunct members may use the title "Engineer."

(e) *Fees.* — (1) All fees for licensure, membership and renewals shall be in accordance with the bylaws, as approved by the members in accordance with this chapter.

(2) All fees required under the provisions of this section shall be nonreturnable and nontransferable.

(3) Reinstatement fees for professional engineers and holders of certificates of authorization shall be required for return from the inactive roster to the active roster. Reinstatement fees shall be established by bylaw and shall include any unpaid supplemental levies assessed prior to removal from the active roster.

(4) The Council may, whenever the Association has incurred an operating deficit, make a supplemental levy in order to eliminate such deficit. Each member, associate member and each holder of a certificate of authorization shall be assessed in direct proportion to their rate of annual renewal and the total amount assessed shall be the amount of the deficit. Fractional assessments shall be raised to the next highest even dollar amount. The amount assessed against any person pursuant to this paragraph shall not exceed the annual renewal fee paid by such person under this chapter. Such supplemental levy shall be a debt against those assessed and shall be billed only at the time when the annual renewal fees are billed and must be paid within 3 months. Those failing to pay within 3 months shall be declared ineligible to practice engineering in the State and shall be removed from the active roster. Reinstatement will not be allowed until the reinstatement fee has been paid in full.

(f) *Expiration and renewals.* — (1) Members, associate members, adjunct members, and holders of certificates of authorization shall be billed for renewal fees 60 days before expiration of the period for which fees have been paid. If fees are not paid by the expiration date, the member, associate member or holder of a certificate of authorization shall be declared ineligible to practice engineering in the State and shall be removed from the active roster.

(2) Any applicant, associate member, adjunct member, engineering corporation or partnership whose application is approved shall be billed for fees for the quarter-year in which approved and for any remaining full quarters in the Association's licensure period.

(3) Any member, associate member, or holder of certificate of authorization on the active roster who intends to withdraw from the practice of engineering in the State shall notify the Secretary of the Council in writing. That name will then be removed from the active roster and be placed in an inactive status file. That name may be reinstated to active status by a request for reinstatement, in writing, within 4 years of that removal from the active roster, to the Secretary of the Council, by payment of a reinstatement fee and by compliance with and satisfaction of the current continuing professional competency guidelines and requirements. After the expiration of the 4-year period, reinstatement may be obtained only by reapplying for licensure pursuant to § 2817 of this title or for a certificate of authorization pursuant to § 2821 of this title. Any member, associate member or holder of a certificate of authorization in inactive status shall be ineligible to practice engineering in the State.

(4) Any member, associate member, or holder of a certificate of authorization who has not given notice of withdrawal and whose name has been removed from the active roster because of a delinquency in payment of fees, may be reinstated upon petition to the Council within 2 years of the removal from the active roster and by payment of the reinstatement fees plus any delinquency fees. After the expiration of the 2-year period, reinstatement may be obtained only by reapplying for licensure pursuant to § 2817 of this title or for a certificate of authorization pursuant to § 2821 of this title.

(g) Any member, associate member or adjunct member in good standing on the active roster who intends to retire from the practice of engineering in the State shall notify the Secretary of the Council in writing on a form provided to such member by Council at such member's request. Following the filing of this form with the Secretary of Council, the member's name will be removed from the active roster and placed in a retired status file. Retired members shall not be required to pay their biennial membership fee and shall be exempted from any continuing professional competency requirements. Any member, associate member or adjunct member in retired status shall be ineligible to practice engineering in the State, however, a retired member shall be entitled to utilize the term "P.E. (ret.)" in any signature and shall be entitled to vote provided they are residents of the State and subscribe to the code of ethics in accordance with § 2816 of this title. After being placed on the retired status file, the retired member may not apply for reinstatement.

(24 Del. C. 1953, § 2806; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 467, § 1; 69 Del. Laws, c. 43, §§ 3-8; 74 Del. Laws, c. 267, § 1; 76 Del. Laws, c. 291, §§ 7-9; 78 Del. Laws, c. 162, § 1; 79 Del. Laws, c. 112, § 1; 80 Del. Laws, c. 178, § 1; 81 Del. Laws, c. 193, § 1.)

§ 2807 Council of the Delaware Association of Professional Engineers.

(a) There shall be a Council which will be the governing board of the Association. The Council shall consist of 15 voting members, 12 of whom shall be elected, and 3 of whom shall be appointed by the Governor. The immediate past president, if not an elected member of Council, shall be a nonvoting member of Council. A quorum of the Council shall be a majority of the seated members of the Council.

(b) The 12 elected members shall be elected and hold office on the basis of the following constituencies:

(1) One shall be from each of the following 5 engineering disciplines: civil, chemical, electrical, mechanical and any other;

(2) One shall be from each of the following fields of employment: government, industry, private consulting practice and education; and

(3) One shall be from each of the 3 counties: New Castle, Kent and Sussex.

(c) Of the 3 appointed members, 1 shall be from each of the 3 counties. New Castle, Kent and Sussex.

(d) (1) A person elected as a Council member shall, at the time of election, and during the term of office, be a citizen of the United States of America, a resident of the State, a member of the Association and be qualified to represent the constituency from which the person was elected.

(2) A person appointed as a Council member shall, at the time of appointment, and during the term of office, be a citizen of the United States of America and a resident of the State and of the county for which the appointment is designated.

(e) The term of office for members of Council shall, unless otherwise specified, be 4 years. A member of Council, whether appointed or elected, may not serve more than 2 consecutive terms on the Council.

(f) Three members of Council shall be elected each year for a 4-year term to fill vacancies in the field of discipline, field of employment and county of residence. Each Council vacancy will be designated by discipline, field of employment or county of residence in accordance with the constituency designation specified in subsection (b) of this section and each designated vacancy will be voted upon separately by members of the Association. A candidate for Council must announce at the time of nomination or petition the vacancy for which that candidate is seeking election and the candidate must be qualified by discipline, field of employment or residence to fill the vacancy for which that candidate is seeking election. For purposes of election, the candidate discipline shall be that shown on the roster, the field of employment shall be that held by the candidate and the county of residence shall be that of the candidate at the time of nomination or petition as well as at the time of election. The terms of office for Council members shall commence on September 1 of the year elected or appointed and shall expire on August 31, of the year ending the term.

(24 Del. C. 1953, § 2807; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 467, § 2; 67 Del. Laws, c. 368, § 16; 68 Del. Laws, c. 24, § 1; 74 Del. Laws, c. 267, § 1.)

§ 2808 Council election and appointment procedure.

(a) The schedule for the election of the 12 elected members shall be as follows:

(1) Three members representing the constituencies of: Civil engineering, industry and New Castle County shall be elected in 1978 and every 4 years thereafter.

(2) Three members representing the constituencies of: electrical engineering, private consulting practice and Kent County shall be elected in 1979 and every 4 years thereafter.

(3) Three members representing the constituencies of: mechanical engineering, education and Sussex County shall be elected in 1980 and every 4 years thereafter.

(4) Three members representing the constituencies of: chemical engineering, government and any other engineering discipline not previously designated shall be elected in 1981 and every 4 years thereafter.

(b) The schedule for the appointment of the 3 appointed members shall be as follows:

(1) One member from New Castle County shall be appointed in 1994 and every 4 years thereafter.

(2) One member from Kent County shall be appointed in 1993 and every 4 years thereafter.

(3) One member from Sussex County shall be appointed in 1991 and every 4 years thereafter.

(c) All appointments to the Council shall be made by the Governor in accordance with the above schedule and with the requirements of § 2807 of this title. Each term of office of the appointed Council members shall expire on the date specified in the appointment, however, the Council member shall remain eligible to participate in Council proceedings unless and until replaced by the Governor.

(d) The 12 Council members shall be elected by members of the Association through the procedure determined by Council, including, without limitation, electronic voting. The members in contest for each elected Council position receiving a plurality of votes from those voting within the prescribed time for that Council position shall be declared elected to that Council position.

(24 Del. C. 1953, § 2808; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 467, § 3; 66 Del. Laws, c. 56, § 12; 67 Del. Laws, c. 368, § 17; 68 Del. Laws, c. 24, § 2; 69 Del. Laws, c. 412, § 10; 74 Del. Laws, c. 267, § 1; 79 Del. Laws, c. 112, § 1.)

§ 2809 Annual election of officers.

The President, Vice-President, Secretary and Treasurer of the Council shall be elected annually from among the Council members by vote of the Council. No Council President or Vice-President may serve more than 2 consecutive 1-year terms in office.

(24 Del. C. 1953, § 2809; 58 Del. Laws, c. 501, § 1; 74 Del. Laws, c. 267, § 1.)

§ 2810 Powers of the Council.

(a) The Council, under the head of the Council President or the President's designee and seal of the Council, may issue subpoenas for named respondents, witnesses, documents, physical evidence or any other source of evidence needed during the investigation of the complaint and/or for a public hearing on the complaint and/or in a matter involving an application for licensure, the revocation of licensure, or practicing or offering to practice without licensure, or any other matter pursuant to the provisions of this chapter. If the party or person subpoenaed fails to comply, the Council may compel compliance with said subpoena by filing a motion to compel in the Superior Court which shall have jurisdiction. The Superior Court may order costs, attorney's fees and/or a civil fine not to exceed $5,000 if the motion to compel is granted.

(b) Any member of the Council may administer oaths or affirmations to witnesses appearing before the Council.

(c) The records of the Council shall be prima facie evidence of the proceedings of the Council set forth therein. A transcript thereof, duly certified by the Secretary of the Council under seal, shall be admissible in evidence with the same force and effect as if the original were produced.

(d) Except as provided for in § 2804 of this title the Council may not create or promulgate rules or regulations to extend, modify, or in any way supplement the provisions of this chapter governing the regulation or the practice of engineering or the qualification, certification, or licensure of engineers, professional engineers, or engineer interns.

(e) The Council may, in its sole discretion, delegate its authority to investigate allegations of licensee or applicant violations under § 2823 of this title or allegations of unlicensed practice under § 2825 of this title to an investigating committee.

(f) The Council may, in its sole discretion, delegate its authority to adjudicate allegations of licensee or applicant violations under § 2823 of this title or allegations of unlicensed practice under § 2825 of this title to a hearing committee in accordance with § 2824(b)(2) of this title.

(24 Del. C. 1953, § 2810; 58 Del. Laws, c. 501, § 1; 69 Del. Laws, c. 412, § 2; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 93, § 1; 76 Del. Laws, c. 291, § 10.)

§ 2811 Council vacancies.

(a) Where any member of Council is absent from 3 consecutive meetings of the Council without suitable or acceptable reason or becomes incapacitated, the office may be declared vacant by the Council.

(b) When any member of Council resigns, dies, moves that member's residence from the State or otherwise ceases to be a member, or in the case of an elected member who changes that member's engineering discipline in the roster if elected to fill a discipline position on the Council, or in the case of an elected member who changes that member's field of engineering employment if elected to fill a field of engineering employment position on the Council, or in the case of an elected or an appointed member who changes that member's county of residence if elected to fill a county residency position, the office shall be declared vacant.

(c) A declared vacancy of an appointed Council position shall be filled for the unexpired term by appointment by the Governor of the State of a person meeting the qualifications required to hold that appointed Council seat. A declared vacancy of an appointed Council position may, upon a majority vote of Council, be temporarily filled by the former Council member until a new person meeting the qualifications required to hold that appointed Council seat is appointed by the Governor.

(d) A declared vacancy of an elected Council seat shall be temporarily filled either by the former Council member or by a member meeting the qualifications required to hold that Council seat by virtue of a discipline or employment or county of residence, upon the vote of a majority of the Council, until the next annual election when a member shall be elected to fill any remainder of the unexpired term.

(24 Del. C. 1953, § 2811; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 467, § 4; 68 Del. Laws, c. 24, § 3; 69 Del. Laws, c. 412, §§ 11, 12; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 267, § 1.)

§ 2812 Administration.

The Council may appoint or employ such administrative officials as it deems fit.

(24 Del. C. 1953, § 2812; 58 Del. Laws, c. 501, § 1; 74 Del. Laws, c. 267, § 1.)

§ 2813 Immunity of Council and committees.

(a) The Council and the members of any committees appointed by the Council are immune from any claim, suit, liability, damages, or any other recourse, civil or criminal, arising from any act, omission, proceeding, decision, or determination undertaken or performed, or from any recommendation made, so long as the Council or committee member acted in good faith and without gross negligence in carrying out the responsibilities, authority, duties, powers, and privileges conferred by law upon them, with good faith being presumed.

(b) The Attorney General's office shall represent the Council, Council members, council officials, any committee created by Council or committee member, and at the express request of the Council, any person appointed or employed by the Council, in a civil action for damages arising from duties and services performed, or powers to be exercised, for the State. If the Attorney General's office determines it would be improper or unlawful to undertake such representation, then representation of such parties should proceed in accordance with § 3925 of Title 10.

(24 Del. C. 1953, § 2813; 58 Del. Laws, c. 501, § 1; 65 Del. Laws, c. 261, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 267, § 1; 78 Del. Laws, c. 162, § 2.)

§ 2814 Bylaws of the Association.

The Council shall prepare for approval by the membership the following bylaws related to the administrative and domestic duties of the Association:

(1) Prescribing procedures for the nomination of members of Council by a nominating committee and by the nomination by members;

(2) Prescribing procedures for the election of members of the Council;

(3) Prescribing procedures for the nomination and election of Council President, Vice-President, Secretary and Treasurer by the Council;

(4) Prescribing the duties of members of the Council and rules governing their conduct;

(5) Respecting the remuneration and reimbursement of members of the Council;

(6) Respecting the calling, holding and conducting of meetings of the Council and of the Association;

(7) Respecting the management of the property of the Association;

(8) Providing for the borrowing of money on the credit of the Association and the charging, mortgaging or pledging of the real or personal property of the Association to secure any money borrowed or other debt or other obligation or other liability of the Association;

(9) Respecting the receipt and disbursement of the funds of the Association and the investment of funds in a savings account which must be in a bank located within the State for the purpose of earning interest on the investment;

(10) Respecting the establishment of a reserve fund within a year of the initial election of Council for emergency or unforeseen expenses;

(11) Providing for an annual independent audit of the accounts of the Association;

(12) Providing for the appointment of committees of the Council and defining their composition, functions, remuneration and reimbursement;

(13) Providing for the closing of the roster and the registration of recorded changes of addresses or disciplines of the registrants for a period of 20 calendar days immediately preceding any meeting of the Association or any mailing of ballots for vote or election by the membership;

(14) For maintaining a system for the recording of registrants, their disciplines, their residence and business addresses and the counties in which they are resident, recording of the names and addresses of official representatives of engineering corporations and partnerships, and other pertinent data. The official roster of the Association shall be printed at least biannually and be available to the membership;

(15) Fixing and providing for the levying and collection or remitting of annual or other fees approved by the members in accordance with this chapter;

(16) Prescribing applications, certificates, and seals and providing for their issuance and use;

(17) Concerning bonding of officers of the Council and employees of the Association;

(18) Respecting all other things that are deemed necessary or convenient for the attainment of the objectives of the Association and the efficient conduct of its business.

(24 Del. C. 1953, § 2814; 58 Del. Laws, c. 501, § 1; 74 Del. Laws, c. 267, § 1; 85 Del. Laws, c. 159, § 3.)

§ 2815 Bylaw approval by members.

A bylaw is effective when approved by the members. Proposed bylaws or changes shall be submitted to the members for approval by means of a letter ballot returned by not less than 20 percent of the members within 30 days after the mailing thereof. Affirmative votes by the majority of members voting within the prescribed time shall constitute approval by the members.

(24 Del. C. 1953, § 2815; 58 Del. Laws, c. 501, § 1; 74 Del. Laws, c. 267, § 1.)

§ 2816 Code of ethics.

(a) The Council shall prepare and publish a code of ethics designed for the protection of the public.

(b) All applicants, members, associate members, affiliate members, adjunct members, and holders of a certificate of authorization must subscribe to and follow this code of ethics in the practice of professional engineering, or in seeking to register as a professional engineer or seeking certification as an engineer intern.

(c) The Code of Ethics is available on the Delaware Association of Professional Engineers' website.

(24 Del. C. 1953, § 2816; 58 Del. Laws, c. 501, § 1; 69 Del. Laws, c. 412, §§ 3, 16; 74 Del. Laws, c. 267, § 1; 81 Del. Laws, c. 193, § 2; 85 Del. Laws, c. 159, § 4.)

§ 2817 Requirements for licensure.

The following requirements for the 3 essential components of education, experience, and examination shall be considered as the minimum satisfactory evidence that an applicant is qualified for licensure as a professional engineer:

(1) Graduates from an engineering educational program approved by the Engineering Accreditation Commission (EAC) of ABET, Inc. (formerly the Accreditation Board for Engineering and Technology), or from an ABET recognized foreign accreditation agency approved educational program, or an engineering educational program approved by an accrediting agency that is a signatory to the Washington Accord.

a. Graduation with a baccalaureate degree from an engineering educational program accredited by the EAC of ABET, Inc., or by a foreign educational program accreditation agency adjudged by ABET to use substantially equivalent accreditation; procedures or by

an accrediting agency that is a signatory to the Washington Accord; and

b. Professional experience in engineering work of a character satisfactory to the Council in the amount of 4 years or more, such experience indicating that the applicant is competent to practice as a professional engineer; and

c. Successful passing of an examination approved by the Council; and

d. Meeting the additional requirements of paragraph (7) of this section.

(2) Graduates from non-EAC of ABET accredited engineering programs, from engineering technology programs or from science programs related to engineering.

a. Graduation with a baccalaureate degree from a Council approved 4-year educational program in engineering that is not EAC of ABET accredited, in engineering technology or in science related to engineering; and

b. Professional experience in engineering work of a character satisfactory to the Council in the amount of 8 years or more, such experience indicating that the applicant is competent to practice as a professional engineer; and

c. Successful passing of an examination approved by the Council; and

d. Meeting the additional requirements of paragraph (7) of this section.

(3) Graduates from non-EAC of ABET accredited engineering programs, from engineering technology programs or from science programs related to engineering who hold master's degrees in engineering from institutions that offer EAC of ABET-accredited engineering programs, or the equivalent:

a. Graduation with a baccalaureate degree from a Council approved 4-year educational program in engineering that is not EAC of ABET accredited, in engineering technology or in science related to engineering; and

b. Professional experience in engineering work of a character satisfactory to the Council in the amount of 5 years or more, such experience indicating that the applicant is competent to practice as a professional engineer; and

c. Successful passing of an examination approved by the Council; and

d. Meeting the additional requirements of paragraph (7) of this section.

(4) Graduates from non-EAC of ABET accredited engineering programs, from engineering technology programs or from science programs related to engineering who hold doctoral degrees in engineering from institutions that offer EAC of ABET-accredited engineering programs, or the equivalent:

a. Graduation with a baccalaureate degree from a Council approved 4-year educational program in engineering that is not EAC of ABET accredited, in engineering technology or in science related to engineering; and

b. Professional experience in engineering work of a character satisfactory to the Council in the amount of 4 years or more, such experience indicating that the applicant is competent to practice as a professional engineer; and

c. Successful passing of an examination approved by the Council; and

d. Meeting the additional requirements of paragraph (7) of this section.

(5) *Engineering experience and examination.* — a. Professional experience in engineering work of a character satisfactory to the Council, consisting of 15 years or more of lawful practice and indicating that the applicant is competent to practice as a professional engineer; and

b. Successful passing of an examination approved by the Council; and

c. Meeting the additional requirements of paragraph (7) of this section.

(6) *Comity.* — a. The Council may, upon application and payment of the required fee and without further examination, issue a license as a professional engineer to any person holding a current, valid certificate of registration or a license as a professional engineer issued to that person by a proper authority of a state, territory, or possession of the United States, the District of Columbia, or other Council-approved foreign jurisdiction, provided the applicant's certificate or license is in good standing as defined in paragraph (9) of this section, and the applicant's qualifications meet at least 1 of the following:

1. The professional engineering qualifications of the applicant on the effective date of such certificate of registration or a license would have satisfied the requirements for licensure in this State on that date.

2. The professional engineering qualifications of the applicant at any time subsequent to the effective date of such certificate of registration or a license would have satisfied the requirements for licensure in this State in effect at that time. A personal interview may be required by Council to ascertain the facts in the case.

3. [Repealed.]

4. The professional engineering qualifications of the applicant include a minimum of 5 years of continuous and verifiable experience as a professional engineer. The applicant must meet the additional requirements of paragraph (7)a. of this section.

5. An applicant holding a valid NCEES council record issued by the National Council of Examiners for Engineering and Surveying, whose qualifications meet the requirements of this chapter, may be registered by Council as a professional engineer upon receipt from the National Council of Examiners for Engineering and Surveying of a certified copy of such registration record.

6. An applicant who has been designated as a "model law engineer" by the National Council of Examiners for Engineering and Surveying. Such person may be issued a license administratively without Council review.

b. The Council may, upon application and payment of the required fee, issue a license as a professional engineer to an applicant

who is an International Professional Engineer (IntPE) registrant under the International Engineering Alliance (IEA) International Professional Engineers Agreement (IPEA). The applicant's IntPE registration must be current and in good standing as defined in paragraph (9) of this section. Such applicant must also have a minimum of 5 years of continuous and verifiable experience as a professional engineer obtained after receipt of the applicant's IntPE registrant status. The applicant must meet the additional requirements of paragraph (7)a. of this section.

c. If the person who has been licensed in Delaware pursuant to paragraph (6)a. or b. of this section has that person's license to practice revoked in the state in which the person was registered or licensed at the time licensure in Delaware through comity was sought, then the authorization issued in Delaware shall be automatically revoked followed 30 days' written notice from the Council unless the person makes application to the Council for consideration for retaining the Delaware authorization and the Council acts favorably on such application.

(7) *Additional requirements.* — a. Every applicant shall give not less than 5 references, people who state that in their opinion and by their personal knowledge the applicant is qualified to practice as a professional engineer. At least 3 such references shall be registered or licensed professional engineers in this or any other state or territory or possession of the United States, the District of Columbia, other Council-approved foreign jurisdiction, or an IntPE registrant under the IEA.

b. An applicant, otherwise qualified, shall not be required to be actively practicing the applicant's profession at the time of the applicant's application.

c. Every applicant must demonstrate knowledge of the Delaware Professional Engineers Act and the code of ethics to the satisfaction of the Council.

d. The required examination shall consist of a Fundamentals of Engineering examination and a Principles and Practice of Engineering examination furnished by, and scored by, the National Council of Examiners for Engineering and Surveying, or other nationally normed examinations which are approved by the Council.

e. There is no requirement as to when the examination in the Fundamentals of Engineering is taken, other than it is required to be successfully passed before licensure, except for applicants exempt under paragraph (8) of this section; or as specified under paragraph (7)f. of this section.

f. The examination in Principles and Practice of Engineering shall not be taken until after the satisfactory completion of the educational requirements as outlined in paragraphs (1)-(4) of this section or the experience requirements of paragraph (5) of this section. Applicants that are using the engineering experience and examination pathway (licensure without degree) under paragraph (5) of this section must pass the Fundamentals of Engineering examination prior to taking the Principles and Practice of Engineering examination.

g. [Repealed.]

(8) Applicants for licensure as a professional engineer shall be exempt from the requirement to pass the Fundamentals of Engineering Examination, if they are qualified as follows:

a. An individual holding an earned doctoral degree in engineering from a university, which has an undergraduate program, accredited by ABET or by an accrediting agency that is a signatory to the Washington Accord, in that discipline at the time that individual earned the doctoral degree, providing that doctoral degree required the passing of a Ph.D. qualifying examination from that university; or,

b. An individual holding a baccalaureate degree from a Council-approved 4-year engineering educational program, who has at least 15 years of professional experience in the lawful practice of engineering of a character satisfactory to the Council, and which indicates that the applicant is competent to practice as a professional engineer.

(9) The Council may refuse an applicant for licensure if the Council finds that the applicant has:

a. Been convicted of a crime that is substantially related to the practice of engineering; or

b. Misstated or misrepresented a fact in connection with the applicant's application; or

c. Been found guilty of a violation of this chapter or of the Delaware Association of Professional Engineers' Code of Ethics; or

d. Engaged in the practice of engineering in this State without being licensed as a professional engineer. Notwithstanding such a finding, the Council may allow licensure of such applicant if the applicant presents to the Council suitable evidence of reform; or

e. Used improper means to gain information usable by the applicant on or in connection with an examination taken by the applicant to obtain licensure as a professional engineer or certification as an engineer intern; or

f. Been disciplined by another jurisdiction, state, territory, or possession of the United States, the District of Columbia, foreign country, the United States government, or any other governmental entity, if at least 1 of the grounds for discipline is the same or substantially equivalent to those contained in § 2823 of this title; or

g. Voluntarily surrendered an engineering license in order to avoid disciplinary action by another jurisdiction, state, territory, or possession of the United States, the District of Columbia, foreign country, the United States government, or any other governmental entity, if at least 1 of the grounds for discipline is the same or substantially equivalent to those contained in § 2823 of this title.

(10) Where an application of a person has been refused or rejected, and such applicant feels that the Council has acted without justification, has imposed higher or different standards for that person than for other applicants, or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(24 Del. C. 1953, § 2817; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 467, §§ 5, 6; 66 Del. Laws, c. 56, §§ 1-8; 67 Del. Laws, c. 6, §§ 1-4; 68 Del. Laws, c. 24, § 4; 68 Del. Laws, c. 321, §§ 1-4; 69 Del. Laws, c. 43, §§ 9-11; 69 Del. Laws, c. 412, §§ 4, 13-15; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 259, §§ 1-3; 74 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 304, §§ 1, 2; 76 Del. Laws, c. 291, § 11; 77 Del. Laws, c. 50, §§ 1, 2; 78 Del. Laws, c. 162, § 3; 79 Del. Laws, c. 112, § 1; 82 Del. Laws, c. 116, §§ 1-3; 83 Del. Laws, c. 190, § 2; 84 Del. Laws, c. 153, § 2; 85 Del. Laws, c. 159, § 5; 85 Del. Laws, c. 322, § 2; 85 Del. Laws, c. 548, § 21.)

§ 2818 Experience and educational equivalence.

(a) For applicants holding a baccalaureate degree in engineering as described in § 2817(1)a. of this title, the experience of a full-time faculty member teaching advanced engineering subjects in an ABET-accredited, or CEAB-accredited engineering curriculum may be accepted as part of the professional experience specified in § 2817(1) or (2) of this title.

(b) For applicants holding a baccalaureate degree in engineering as described in § 2817(1)a. of this title, the award of a master's degree in engineering involving 1 year or more of post graduate study in an engineering educational program approved by the Council may be accepted as 1 year of professional experience required in § 2817 of this title; or

(c) For applicants holding a baccalaureate degree in engineering as described in § 2817(1)a. of this title, the award of a doctorate, with or without a master's degree, involving full-time post-graduate study in an engineering educational program approved by the Council may be accepted as 2 years of professional experience required in § 2817 of this title.

(24 Del. C. 1953, § 2818; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 467, § 7; 66 Del. Laws, c. 56, § 9; 67 Del. Laws, c. 6, § 5; 74 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 304, § 3; 79 Del. Laws, c. 112, § 1; 79 Del. Laws, c. 380, § 1.)

§ 2819 Requirements for certification as an engineer intern.

The following shall be considered as minimum satisfactory evidence that the applicant is qualified for certification as an engineer intern:

(1) Graduation with a baccalaureate degree from an engineering educational program accredited by the Accreditation Board of Engineering and Technology (ABET), or by a foreign educational program accreditation agency adjudged by ABET to use substantially equivalent accreditation procedures, or from a Council-approved educational program in engineering not accredited by ABET or an ABET-approved foreign educational program accreditation agency, engineering technology or science related to engineering; and

(2) a. Council-approved professional experience of 15 years or more; or

b. Successful passing of a Fundamentals of Engineering examination.

(24 Del. C. 1953, § 2819; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 467, § 8; 66 Del. Laws, c. 56, § 10; 67 Del. Laws, c. 6, § 6; 69 Del. Laws, c. 43, § 12; 74 Del. Laws, c. 267, § 1; 79 Del. Laws, c. 112, § 1.)

§ 2820 Qualifications for a temporary permit [Repealed].

24 Del. C. 1953, § 2820; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 124, § 3; 61 Del. Laws, c. 467, §§ 9, 10; 67 Del. Laws, c. 6, § 7; 74 Del. Laws, c. 267, § 1; 81 Del. Laws, c. 193, § 3; repealed by 83 Del. Laws, c. 190, § 3, effective Sept. 17, 2021.

§ 2821 Certificate of authorization.

(a) An engineering corporation or partnership must have a certificate of authorization in order to practice, or offer to practice, engineering as defined in this chapter.

(b) The practice of or offer to practice engineering for the public by an engineering corporation or partnership which has been issued a certificate of authorization is permitted, provided that 1 of the officers or 1 of the employees of the said engineering corporation or partnership:

(1) Is designated as being in responsible charge of the engineering activities and engineering decisions of the said corporation or partnership; and

(2) Is a licensee.

(c) All personnel of any such engineering corporation or partnership who practice engineering on its behalf shall be licensees. The requirements of this chapter shall not prevent an engineering partnership or corporation and its employees from performing engineering services for the said engineering partnership or corporation or its subsidiaries or for affiliated corporations.

(d) An engineering corporation or partnership desiring a certificate of authorization shall file with the Council an application listing the names and addresses of all officers, board members and principals of the engineering corporation or partnership and also of any licensee who shall be in responsible charge of the practice of engineering through the said engineering corporation or partnership, together with any other information required by the Council. The same information must accompany the annual renewal fee. In the event there shall be a change in any of these persons during the year, such change shall be filed with the Council within 30 days after the effective date of such change. If all the requirements of this section are met, the Council shall issue a certificate of authorization to such engineering corporation or partnership, and such engineering corporation or partnership shall be authorized to contract for and to collect fees for furnishing engineering services.

(e) The Council may refuse or reject an applicant if, the Council finds that the applicant has practiced engineering without being authorized under this section. Notwithstanding such a finding, the Council may allow licensure of such applicant if the applicant presents to the Council suitable evidence of reform.

(f) No such engineering corporation or partnership shall be relieved of responsibility for the conduct or acts of its agents, employees or officers by reason of this compliance with the provisions of this section, nor shall any individual practicing engineering be relieved of responsibility for engineering services performed by reason of this employment or relationship with such corporation or partnership.

(g) Applicants for a certificate of authorization must comply with the applicable state tax laws of Chapter 23 of Title 30. Proof of such compliance is required by the Council prior to the issuance of a certificate of authorization.

(h) A licensee who practices, or offers to practice, engineering under a name other than that person's licensed name is required to obtain a certificate of authorization, or to practice under a certificate of authorization.

(24 Del. C. 1953, § 2821; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 467, § 11; 62 Del. Laws, c. 376, § 1; 66 Del. Laws, c. 56, § 11; 68 Del. Laws, c. 24, § 5; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 51, § 5; 74 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 304, § 4.)

§ 2822 Public works.

(a) The State, its political subdivisions, agencies, commissions, and authorities shall not solicit or receive proposals for, or engage in, the construction of public works involving the practice of engineering as defined in this chapter, unless:

(1) The engineer, partnership or corporation which will perform and/or take responsibility for all engineering work, as identified in the proposal, is authorized to practice engineering under this chapter at the time of submission of the proposal; and

(2) The engineering study, drawings, specifications, and estimates are prepared by, and the construction is executed under the responsible charge or direct supervision of a licensee.

(b) Any contract executed in violation of this section shall be null and void.

(24 Del. C. 1953, § 2822; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 467, § 12; 68 Del. Laws, c. 24, § 6; 74 Del. Laws, c. 267, § 1; 85 Del. Laws, c. 159, § 6.)

§ 2823 Grounds for discipline; appeals.

(a) Applicants, adjunct and affiliate members, and any person licensed under this chapter shall be subject to disciplinary penalties set forth in § 2824(c) of this title, if, after a hearing, the person is found to violate any of the following:

(1) The practice of any fraud or deceit in the attempt to obtain any authorization to practice engineering in this State;

(2) Any negligence, gross negligence, pattern of negligence, incompetence, or misconduct in the practice of engineering;

(3) Violation of the code of ethics promulgated by the Council;

(4) A crime that is substantially related to the practice of engineering;

(5) a. An activity resulting in discipline by another jurisdiction, state, territory, or possession of the United States, foreign country, the District of Columbia, the United States government, or any other governmental agency, if at least 1 of the grounds for discipline is the same or substantially equivalent to those contained in this section;

b. The voluntary surrendering of an engineering license in order to avoid disciplinary action by another jurisdiction, state, territory, or possession of the United States, foreign country, the District of Columbia, the United States government, or any other governmental agency, if at least 1 of the grounds for discipline is the same or substantially equivalent to those contained in this section.

(6) The failure to report instances of out-of-state discipline, as set forth in the immediately preceding paragraph, to the Executive Director of the Delaware Association of Professional Engineers within 60 days of the final order imposing discipline;

(7) Aiding or abetting another person in violating any provision of this chapter;

(8) Signing, affixing the licensee's seal, or permitting the licensee's seal or signature to be affixed to any specification, report, drawing, plan, plat, design information, construction document, or calculation, or revision thereof, that has not been prepared by the licensee or those under the licensee's responsible charge; or

(9) Failure to comply with and satisfy the continuing professional competency guidelines and requirements.

(b) The Council shall have the power to review the actions of any applicants sitting for any examination that is conducted by, or on behalf of, the Association to determine the applicant's qualification for licensure as a professional engineer or certification as an engineer intern.

(1) An examinee may be dismissed from examination and subject to disciplinary or other Council action for conduct, including:

a. Cheating on an examination;

b. Giving assistance to, or receiving assistance from another person;

c. Compromising the integrity of the examination;

d. Disruptive or abusive behavior;

e. Participating in any form of violation of exam policies or procedures during an examination.

(2) Evidence of failing to comply with an examination administrator's policies and procedures subsequent to an examination may also be cause for action by the Council. An examinee who does not fully comply with the examination administrator's policies and procedures during or after an examination may be subject to having those examination results invalidated and being prohibited from taking the examination again for a period determined by the Council. Examinations taken and passed in other jurisdictions while barred from taking that examination in Delaware will not be accepted for licensing purposes in Delaware.

(3) [Repealed.]

(c) The Council, after receipt of a complaint in accordance with § 2824(c) of this title associated with the practice of engineering in Delaware, shall have the power to review the actions and representations of individuals, corporations or partnerships not authorized by this chapter to engage in the practice of engineering in Delaware. Upon notice, hearing and review afforded by subchapters III and V of the Administrative Procedures Act, Chapter 101 of Title 29, the Council may issue a cease and desist order to an individual, corporation or

partnership found to be engaged in the unauthorized practice of engineering, notwithstanding that the individual's, corporation's or partnership's license has lapsed, expired or has been suspended or revoked.

(d) Subject to notice, hearing and review afforded by subchapters III and V of the Administrative Procedures Act, Chapter 101 of Title 29, the Council may fine any person who violates a cease and desist order not less than $100 or more than $1000. Each day a violation continues may be deemed a separate offense in the Council's discretion.

(e) When disciplinary action requires the successful completion of additional training or education courses, Council shall determine the conditions of the additional training or education courses on a case-by-case basis, including, but not limited to, the type and number of hours of training or education. All training or education courses shall be related to the engineering profession and must be approved by Council.

(f) Any individual, corporation or partnership aggrieved by any disciplinary decision by Council may appeal such decision to the Superior Court. The appeal shall be filed within 30 days of the day the notice of the decision was mailed. The appeal shall be on the record without a trial de novo. If the Court determines that the record is insufficient for its review, it shall remand the case to the Council for further proceedings on the record. The Court, when factual determinations are at issue, shall take due account of the experience and specialized competence of the Council and of the purposes of the Delaware Professional Engineers' Act under which Council has acted. The Court's review, in the absence of actual fraud, shall be limited to determination of whether the Council's decision was supported by substantial evidence on the record before it.

(g) When an action is brought in the Court for review of a Council decision, enforcement of such decision may be stayed by the Court only if it finds, upon a preliminary hearing, that the issues and facts presented for review are substantial and the stay is required to prevent irreparable harm.

(h) No appeal for relief of the Court shall be considered as having been taken or made until it has been filed with the Prothonotary and served upon the Council in accordance with the rules of the Court.

(24 Del. C. 1953, § 2823; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 467, § 13; 69 Del. Laws, c. 412, § 5; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 51, §§ 1, 2; 74 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 93, § 2; 75 Del. Laws, c. 304, §§ 5, 6; 76 Del. Laws, c. 245, § 3; 76 Del. Laws, c. 291, §§ 12, 13; 78 Del. Laws, c. 162, § 4; 79 Del. Laws, c. 112, § 1; 81 Del. Laws, c. 193, § 5; 84 Del. Laws, c. 153, § 3; 85 Del. Laws, c. 159, § 7.)

§ 2824 Disciplinary action; procedure.

(a) Whether prompted by receipt of an accusation of wrongdoing by a third party or upon its own initiative, the Council may review the actions and representations of applicants, adjunct and affiliate members, and any person licensed as a professional engineer under this chapter for alleged violations of § 2823 of this title or for unlicensed practice pursuant to § 2825 of this title.

(b) *Complaint investigation and prosecution.* — (1) Upon receipt of a written accusation alleging a violation of § 2823 of this title or alleging the unlicensed practice of professional engineering in violation of § 2825 of this title, the Council may assign the matter to its investigating committee for possible prosecution. The investigating committee may also initiate the prosecution process based upon firsthand knowledge acquired by a member or upon a member's information and belief whether the accusation of wrongdoing is written or oral. Members of the investigating committee shall maintain strict confidentiality of the facts of its investigations and shall not discuss any issues of fact or law relating to an investigation with anyone except other investigating committee members, potential witnesses, the target of the investigation, or the target's legal representative.

a. If any allegations are not supported by the facts stated in the complaint, the investigating committee shall submit a written recommendation to the Council for dismissal of the unsupported allegations. The recommendation must recite the complaint allegations that are recommended for dismissal, indicating the investigating committee's reasoning for recommending dismissal of each allegation. By majority vote of the members present at a properly convened Council meeting, the Council shall approve or reject the investigating committee's written recommendation based only on the information contained in and included with the written recommendation. The Council shall reject the investigating committee's recommendation only if it decides that the investigating committee's recommendation is contrary to a specific state or federal law or regulation, is not supported by substantial evidence, or is arbitrary or capricious. If Council does not approve the investigating committee's recommendation, the matter must be remanded to the investigating committee with the Council's written reasons for withholding its approval. If all allegations in a complaint are dismissed by the Council, the complaint is dismissed.

b. If the investigating committee believes a complaint does state sufficient facts to support 1 or more allegations, the investigating committee shall investigate the allegations and send a copy of the complaint by certified mail with return receipt requested to the last address of record of the accused.

c. The accused is entitled to submit a written answer to the complaint to the investigating committee within 20 calendar days after receiving the complaint.

d. If, at any time before commencement of a hearing 1 or more allegations are found to be unsupported, the investigating committee shall submit a written recommendation to the Council for dismissal of the unsupported allegations. The recommendation must recite verbatim all complaint allegations that are recommended for dismissal, indicating the investigating committee's reasoning for recommending dismissal of each allegation. By majority vote of the members present at a properly convened Council meeting, the Council shall approve or reject the investigating committee's written recommendation based only on the information contained in and included with the written recommendation. The Council shall reject the investigating committee's recommendation only if it decides

that the investigating committee's recommendation is contrary to a specific state or federal law or regulation, is not supported by substantial evidence, or is arbitrary or capricious. If Council does not approve the investigating committee's recommendation, the matter must be remanded to the investigating committee with the Council's written reasons for withholding its approval. If all allegations in a complaint are dismissed by the Council, the complaint is dismissed.

e. If, during the course of an investigation, the investigating committee finds evidence that there may have been violations in addition to those contained in the complaint or those which formed the basis for an internally-initiated investigation, the investigating committee may add additional allegations as appropriate.

f. The investigating committee shall issue a final written report at the conclusion of its investigation. The report must list the evidence reviewed and the witnesses interviewed, cite the law alleged to have been violated, and list all facts supporting 1 or more allegations.

g. The investigating committee shall resolve supported allegations in 1 of the following ways:

1. The investigating committee may submit a written recommendation to the Council that an administrative order be issued. The written recommendation must include a copy of the proposed order. The proposed order must recite all complaint allegations the investigating committee believes are supported by its findings, a brief recitation of those findings, and the proposed penalty or penalties. By majority vote of the members present at a properly convened Council meeting, the Council shall approve or reject the investigating committee's written recommendation based only on the information contained in and included with the written recommendation. The Council shall reject the investigating committee's recommendation only if it decides that the investigating committee's recommendation is contrary to a specific state or federal law or regulation, is not supported by substantial evidence, or is arbitrary or capricious. If Council does not approve the investigating committee's recommendation, the matter must be remanded to the investigating committee with the Council's written reasons for withholding its approval. If the Council approves the recommendation, the order shall be served on the accused by certified mail with return receipt requested to the last address of record of the accused or by personal service. The order must indicate that it will become final unless the accused, within 14 days after receipt of the order, objects to the proposed order and requests a hearing. Administrative orders become final 14 days from the day the order is received by the accused but only if there is positive proof of service, such as a signed return receipt or an affidavit of personal service. Administrative orders are limited to imposing the following penalties, individually or in combination: warning, public reprimand, censure, or requiring completion of training or education courses. If the accused gives notice to the investigating committee that the allegations are contested, the investigating committee shall proceed in accordance with paragraph (b)(1)g.3. of this section.

2. The investigating committee is entitled to negotiate a consent order with the accused. Consent orders must be approved by Council before becoming final. By majority vote of the members present at a properly convened Council meeting, the Council shall approve or reject consent orders, after considering the investigating committee's written recommendation regarding an order, based only on the information contained in and included with the consent order and written recommendation. The Council shall reject consent orders only if it decides that a consent order is contrary to a specific state or federal law or regulation, is not supported by substantial evidence, or is arbitrary or capricious. If the Council approves a consent order, the consent order must be served on the accused by certified mail with return receipt requested to the last address of record of the accused or by personal service. If the Council does not approve the consent order, the matter must be remanded to the investigating committee with the Council's written reasons for withholding its approval.

3. The investigating committee, with the concurrence of the Department of Justice, is entitled to forward the complaint, along with its final investigative report, to the Council with a written recommendation to prosecute the complaint before a hearing committee. By majority vote of the members present at a properly convened Council meeting, the Council shall approve or reject the Investigating Committee's written recommendation based only on the information contained in and included with the written recommendation. The Council shall reject the investigating committee's recommendation only if it decides that the investigating committee's recommendation is contrary to a specific state or federal law or regulation, is not supported by substantial evidence, or is arbitrary or capricious. If Council does not approve the investigating committee's recommendation, the matter must be remanded to the investigating committee with the Council's written reasons for withholding its approval. If the Council approves the recommendation, the Council shall convene a hearing committee if it has not already done so, and assign the matter to the hearing committee for further proceedings. The time and place for the hearing must be fixed by the hearing committee within 90 days of receipt of the formal complaint.

(2) At a properly convened Council meeting, the Council President shall nominate at least 3 Council members to serve on a hearing committee. Nominees must be approved by a majority vote of Council members present at a properly convened Council meeting. The Council President shall designate 1 member of a hearing committee to serve as the hearing committee chair. Hearing committees shall consist of Council members only. Members of the investigating committee may not be members of the hearing committee, but investigating committee members are entitled to assist in the prosecution of the complaint before a hearing committee.

(3) The hearing committee shall properly notice and conduct the hearing. The Delaware Rules of Evidence do not apply to the presentation or admissibility of evidence in hearings. A record must be kept of all public hearings, a transcript of which must be provided at cost upon a party's request. Decisions of the hearing committee must be made by majority vote of the hearing committee's

members. Decisions must be based on the evidence presented at the hearing and must be supported by substantial evidence in the record. Decisions must not be based exclusively on hearsay.

a. If the hearing committee determines that no violation under § 2823 of this title or that no unlicensed practice under § 2825 of this title has occurred, it shall issue an order dismissing the complaint.

b. If the hearing committee determines that a violation under § 2823 of this title or that an unlicensed practice under § 2825 of this title has occurred, it shall issue an order stating its findings of fact, conclusions of law, and penalties.

c. If the accused fails or refuses to appear, the hearing committee may proceed to hear the charges and render a decision by default.

d. Orders issued by the hearing committee are not final until approved by Council. After a quorum has been established at a properly convened Council meeting, by majority vote of the members present who are not recused from the matter, the Council shall approve or reject the hearing committee's written order based only on the information contained in that order. The Council shall overturn the decision of a hearing committee only if it decides that a hearing committee decision is contrary to a specific state or federal law or regulation, is not supported by substantial evidence, or is arbitrary or capricious. If Council does not approve the hearing committee's order, the matter must be remanded to the hearing committee for further proceedings in accordance with the Council's written reasons for withholding its approval. Final orders are appealable to Superior Court within 30 days of the date of mailing. Copies of orders must be served personally or by registered or certified mail to each party.

(c) *Disciplinary penalties and guidelines.* — (1) Disciplinary violations under § 2823(a) of this title are punishable by the following penalties, or any combination thereof: levy fines up to $5,000; require the successful completion of additional training or education courses; issue warnings, public reprimands, and censure; refuse or revoke licensure; impose probation with appropriate terms and conditions; impose suspension of license not to exceed 2 years; and refuse to renew any authorization issued to use the term "engineer" or practice engineering in Delaware. All fines must be paid to Council within 90 days of the date of mailing of an order. All fines collected by the Council must be deposited in the General Fund of the State through the Division of Revenue, provided that the Council may first deduct from the fines an amount equal to the administrative and other direct expenses incurred by the Council, its hearing committee, and its investigating committee, in the prosecution of the complaint.

(2) The Council shall prepare and publish a chart of Disciplinary Penalty Guidelines ("Guidelines") indicating the minimum and maximum penalties available for each basis for discipline in § 2823 of this title. Penalties must be imposed according to the Guidelines after taking into consideration any aggravating or mitigating circumstances in each case. The Guidelines shall be provided to each Association member, associate member, affiliate member, and adjunct member and shall be available free of charge to the public. Copies of the Guidelines must also be made available to prospective applicants as a part of their application materials.

(d) Any person who files a complaint, provides information, or testifies as a witness in a matter alleging a violation under § 2823 of this title or a violation under § 2825 of this title must be afforded the protections of the Delaware Whistleblowers' Protection Act as codified in Chapter 17 of Title 19.

(e) The Council may, upon petition of an adjunct member, affiliate member, individual licensee, engineering corporation, or partnership holding a certificate of authorization, reissue authorization to use the term "engineer," a license or certificate of authorization; provided, however, that a majority of the seated members of the Council vote in favor of such issuance.

(24 Del. C. 1953, § 2824; 58 Del. Laws, c. 501, § 1; 64 Del. Laws, c. 483, § 1; 69 Del. Laws, c. 412, §§ 6-8; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 51, § 3; 74 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 304, § 7—10; 76 Del. Laws, c. 291, §§ 14, 15; 77 Del. Laws, c. 331, § 1; 78 Del. Laws, c. 162, §§ 5, 6; 85 Del. Laws, c. 159, § 8.)

§ 2825 Unlicensed practice.

(a) Persons or engineering corporations or partnerships not licensed, not authorized by Council, or not holding a certificate of authorization may not:

(1) Practice engineering as defined in this chapter.

(2) Use any name, title, description of designation, either orally or in writing, that will lead to the belief that such person is entitled to practice engineering as defined in this chapter, including without limitation the words "engineer" or "engineering" or any modification or derivative of those words.

(3) Advertise or hold oneself or conduct oneself in any way or in any such manner as to lead to the belief that such person is entitled to practice engineering.

(b) [Transferred to subsection (i) of this section.]

(c) Whoever practices or offers to practice engineering in this State without being licensed in accordance with the provisions of this chapter shall be in violation thereof.

(d) Whoever presents or attempts to use as that person's own license, certificate of authorization, or the seal of a professional engineer not that person's own shall be in violation of the provisions of this chapter.

(e) Whoever gives any false or forged evidence of any kind to the Council or to any member thereof in obtaining authorization to use the term "engineer," a license, or a certificate of authorization shall be in violation of the provisions of this chapter.

(f) Whoever falsely impersonates any other adjunct member, affiliate member, licensee, or holder of a certificate of authorization with a similar or different name shall be in violation of the provisions of this chapter.

(g) Whoever attempts to use an expired or revoked authorization to use the term "engineer," a license, or certificate of authorization shall

be in violation of the provisions of this chapter.

(h) Any applicant who misstates or misrepresents any fact in connection with the application or any such applicant who uses improper means to gain information usable by such applicant on or in connection with an examination taken by the applicant to obtain licensure as a professional engineer or certification as an engineer intern shall be in violation of the provisions of this chapter.

(i) Each partner of a partnership and each officer or director of a corporation which practices engineering in violation of this chapter shall also be liable jointly and severally with and to the same extent as such partnership or corporation unless such partner, officer or director who is so liable sustains the burden of proof that the partner, officer or director did not know, and in the exercise of reasonable care could not have known, of the existence of the facts by reason of which the violation is alleged to exist.

(j) This chapter shall not be construed to prevent or to affect:

(1) The work of an employee or a subordinate of a licensee, provided such work is done under the direct responsibility, checking and supervision of a licensee, or

(2) The practice of professional engineering by an architect legally licensed in this State when such practice is incidental to what may be properly considered an architectural project.

(k) Whether prompted by receipt of a complaint or upon its own initiative, the Council or its investigating committee may review allegations of unlicensed practice of engineering.

(*l*) Complaints of unlicensed practice must be investigated and prosecuted in accordance with the administrative hearing procedures in § 2824(b) of this title, except that administrative orders are not available for cases of unlicensed practice.

(m) Unlicensed practice is punishable by a fine up to $5,000 and a cease and desist order that shall include a reasonable date certain for compliance.

(n) *Cease and desist orders.* — (1) The investigating committee may submit a written recommendation to the Council that a cease and desist order be issued. The written recommendation must include a copy of the proposed order. The proposed order must recite verbatim all complaint allegations the investigating committee believes are supported by its findings, brief recitation of those findings, and include a reasonable date certain deadline for the accused to comply with the order. The order must also indicate that the accused may request a hearing in writing any time before passage of the compliance deadline, and that the order will become final and enforceable after passage of the compliance deadline.

(2) By majority vote of the members present at a properly convened Council meeting, the Council shall approve or reject the investigating committee's written recommendation based only on the information contained in and included with the written recommendation. The Council shall reject the investigating committee's recommendation only if it decides that the investigating committee's recommendation is contrary to a specific state or federal law or regulation, is not supported by substantial evidence, or is arbitrary or capricious. If Council does not approve the investigating committee's recommendation, the matter must be remanded to the investigating committee with the Council's written reasons for withholding its approval. If the Council approves the recommendation, the order must be served on the accused by certified mail with return receipt requested to the last address of record of the accused or by personal service.

(3) Cease and desist orders become final after passage of the compliance deadline only with positive proof of service, such as a signed return receipt or an affidavit of personal service. If the accused requests a hearing in writing to the investigating committee, the investigating committee shall proceed in accordance with § 2824(b)(1)g.3. of this title.

(4) The Council may seek injunctive relief as provided in this chapter to enforce cease and desist orders.

(o) Violations of a cease and desist orders must be investigated and prosecuted in accordance with the procedures in § 2824(b) of this title, except that administrative orders are not available. Violation of a cease and desist order is punishable by a fine up to $5,000 for each day a violation occurs.

(24 Del. C. 1953, § 2825; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 467, § 14; 68 Del. Laws, c. 24, § 7; 69 Del. Laws, c. 412, § 9; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 267, § 1; 76 Del. Laws, c. 291, §§ 16-19; 79 Del. Laws, c. 112, § 1; 85 Del. Laws, c. 159, § 9.)

§ 2826 Injunctive relief.

Whenever it appears to the Council that any person has engaged or is about to engage in any act or practice constituting a violation of any provisions of this chapter, it may in its discretion bring an action in the Court of Chancery to temporarily restrain or to enjoin the acts or practices and to enforce compliance with this chapter. Any permanent injunction granted by the Court of Chancery pursuant to this section shall include an award for the costs of the action and reasonable attorneys' fees to be paid by the defendant, with multiple defendants being jointly and severally liable for such costs and fees. The Court shall not require Council to post a bond.

(61 Del. Laws, c. 467, § 15; 72 Del. Laws, c. 298, § 1; 74 Del. Laws, c. 267, § 1.)

§ 2827 Annual reports.

The Association shall submit annually to the Governor and the State Auditor an annual report, certified by a certified public accountant, detailing its income, expenses, assets and liabilities, as well as pertinent statistical and narrative information summarizing its regulatory activities, changes in modus operandi and progress made within its area of responsibility. The Association shall send notice to the General Assembly that such report was submitted to the Governor and the State Auditor.

(24 Del. C. 1953, § 2826; 58 Del. Laws, c. 501, § 1; 61 Del. Laws, c. 467, § 15; 65 Del. Laws, c. 429, § 1; 74 Del. Laws, c. 267, § 1.)

§ 2828 Applicability of Freedom of Information Act.

(a) The Association, the Council, and its committees shall each be deemed a "public body" as that term is used in the Freedom of Information Act, Chapter 100 of Title 29, and for purposes of this section only, all references to "the Council" shall be understood as referring to the Association and committees as well.

(b) In addition to the records which are not deemed public by reason of § 10002 of Title 29, the following records shall not be deemed to be public records:

(1) The application of any person to practice engineering in the State together with all records relating thereto;

(2) Records, reports, correspondence and other documents received by the Council relating to charges against any person that could lead to disciplinary action by the Council; and

(3) All examination materials and related documents.

(c) In addition to the purpose for which a public body may go into executive session pursuant to the Freedom of Information Act, the Council may conduct an executive session for the following purposes:

(1) Consideration of the application of any person for authorization to practice engineering in the State which consideration involves matters of qualification, recommendations, education, experience or testing of the applicant.

(2) Consideration of any charges which could result in disciplinary action by the Council.

(d) For purposes of this section, the term "application" shall mean any application or filing with the Council for the purpose of obtaining authorization to use the term "engineer," licensure, a certification of authorization, or certification as an engineer intern.

(62 Del. Laws, c. 167, § 1; 74 Del. Laws, c. 267, § 1; 78 Del. Laws, c. 162, §§ 7, 8; 78 Del. Laws, c. 382, § 1; 83 Del. Laws, c. 190, § 4.)

§ 2829 Seals, stamps, and signature.

(a) Each licensee shall obtain a seal of the design authorized by the Council, bearing the licensee's name, license number and the legend "professional engineer." The seal format may be embossing, rubber stamp or digital. All new licensees must submit proof of their Delaware seal to the Council office no later than 6 months after the licensee's application approval date, and failure to do so will result in the licensee being placed by the Council in delinquent status.

(b) In addition to the embossing seal required by the foregoing provisions of this section:

(1) Licensees may procure and use a stamp containing the same data as the embossing seal, or

(2) Licensees may use a seal, signature, and date that can be created or transmitted electronically.

(68 Del. Laws, c. 24, § 8; 74 Del. Laws, c. 267, § 1; 81 Del. Laws, c. 193, § 4; 83 Del. Laws, c. 190, § 5.)

§ 2830 Dating, signing and sealing.

(a) All final drawings, specifications and documents involving the practice of engineering as defined in this chapter when issued or filed for public record shall be dated and bear the signature and seal of the licensee or licensees who prepared or approved them.

(b) If original tracings are sealed or stamped, the date of sealing or stamping must appear under the signature.

(c) [Repealed.]

(68 Del. Laws, c. 24, § 9; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 267, § 1; 83 Del. Laws, c. 190, § 6.)

§ 2831 Continuing professional competency.

The Council shall prepare and publish guidelines and requirements for the maintenance of professional competency to further Council's charge to safeguard life, health and property, and to promote the public welfare. All active members shall be required to comply with and satisfy all continuing professional competency guidelines and requirements approved by Council.

(79 Del. Laws, c. 112, § 1.)

§ 2832 Procedures for successor professional engineer using or relying upon the work of previous engineer.

(a) In situations where the professional engineer in responsible charge of an engineering project is unable to complete the project for any reason, or when the project is a site-specific adaption of a previous design, a successor professional engineer may assume responsible charge of that portion of the project if the successor professional engineer meets the following requirements:

(1) Performs or has responsible charge over all professional engineering services related to that portion of the project.

(2) Has direct control and personal supervision over the engineering work and the signed, dated, and sealed originals of all documents over which the successor professional engineer has taken responsible charge.

(3) Dates, signs, and seals the final drawings, specifications, reports and documents involving the practice of engineering as defined in this chapter in compliance with § 2830 of this chapter.

(4) Performs sufficient review and calculation to ensure that all standards of practice required of professional engineers are met.

(b) A successor professional engineer must notify the previous professional engineer in responsible charge of their intention to use the previous engineer's work. Notification shall be by certified mail, or other verifiable communication, to the last known physical or electronic address of the previous professional engineer. The previous engineer's approval is not required for the successor professional engineer to use the previous engineer's sealed work.

(c) A successor professional engineer assumes full professional responsibility by signing and affixing the successor professional engineer's seal to the assumed documents. Such documents will be treated as though they are the successor professional engineer's original

project.

(84 Del. Laws, c. 482, § 2.)

Chapter 29
REAL ESTATE SERVICES, BROKERS, ASSOCIATE BROKERS AND SALESPERSONS

Subchapter I

General Provisions

§ 2900 Objectives.

(a) The primary objective of the Delaware Real Estate Commission, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

(b) The secondary objectives of the Commission are to maintain minimum standards of licensee competency and to maintain certain standards in the delivery of services to the public.

(c) In meeting its objectives, the Commission shall develop standards assuring professional competence; shall monitor complaints brought against licensees regulated by the Commission; shall adjudicate at formal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against licensees and nonlicensees engaged in the practice of providing real estate services.

(63 Del. Laws, c. 463, § 5; 71 Del. Laws, c. 103, § 4; 78 Del. Laws, c. 166, § 1.)

§ 2901 License requirements; exemptions.

(a) No person shall engage in the practice of providing real estate services or hold himself or herself out to the public in this State as being qualified to practice the same; or use in connection with that person's name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the person is qualified to practice real estate services, unless such person has been duly licensed under this chapter.

(b) Any person engaging in the practice of providing real estate services in this State without the proper licensure shall be in violation of this chapter and shall be subject to the provisions of § 10161 of Title 29.

(c) No brokerage organization, corporation, partnership or other business entity shall be licensed under this chapter. Nothing in this chapter, however, shall prevent such brokerage organization from providing real estate services provided the individual or business entity has a broker who is responsible for providing real estate services and who may have affiliated associate brokers or salespersons, properly licensed in this State, who provide such services under the supervision of the broker.

(d) No person shall directly or indirectly provide real estate services through a licensee of the State without having a license in this State.

(e) This chapter shall not apply to:

(1) Any person or a subsidiary or division thereof with common ownership or control who, as owner or lessor, buyer or lessee, or equitable owner of an interest in an agreement of sale not engaged in wholesaling as a business, performs any of the acts enumerated in this section with reference to property owned, purchased, or leased by such person or a subsidiary or division thereof with common ownership or control or to the regular employee of such person, with respect to the property so owned, purchased or leased, where such acts are performed in the regular course of or as an incident to the management of such property and the investment therein; or

(2) Persons acting as attorney in fact under a duly executed power of attorney from a person engaged in a real estate transaction authorizing the final consummation by performance of any agreement of sale, leasing or exchange of real estate.

(3) This chapter shall not be construed to include in any way the services rendered by an attorney-at-law, nor shall it be held to include, while acting as such, the receiver, trustee in bankruptcy, administrator or executor, or any person selling real estate under order of any court, or a trustee acting under a trust agreement, deed of trust or will, or the regular salaried employee thereof.

(4) An "auctioneer" as defined in § 2301(a)(3) of Title 30.

(5) A provider of property management services as defined in § 2902 of this title excepting that a provider of property management services shall not directly or indirectly sell or offer to sell, buy or offer to buy, negotiate the purchase, sale, or exchange of real estate, lease or rent or offer for lease or rent any real estate, or negotiate leases or rental agreements thereof or of the improvements thereon for others.

(35 Del. Laws, c. 63, § 3; Code 1935, §§ 5475, 5480; 24 Del. C. 1953, §§ 2906, 2910; 60 Del. Laws, c. 714, § 3; 67 Del. Laws, c. 121, §§ 6, 23; 67 Del. Laws, c. 381, § 5; 70 Del. Laws, c. 186, § 1; 78 Del. Laws, c. 166, § 1; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 274, § 1.)

§ 2902 Definitions [Effective until Feb. 26, 2027].

(a) As used in this chapter:

(1) "Associate broker" means any individual who holds an associate broker license from the Commission and who is licensed under a broker to sell or offer to sell, or to buy or to offer to buy, or to negotiate the purchase, sale, or exchange of real estate, or to lease or rent or offer for rent any real estate, or to negotiate leases or rental agreements thereof or of the improvements thereon for others.

(2) "Broker" means any individual who holds a broker license from the Commission and who for a compensation or valuable consideration, is self-employed or is employed directly or indirectly by a brokerage organization to sell or offer to sell, or to buy or offer

to buy, or to negotiate the purchase, sale, or exchange of real estate, or to lease or rent or offer for rent any real estate, or to negotiate leases or rental agreements thereof or of the improvements thereon for others. The broker is responsible for providing real estate services and is primarily responsible for the day to day management and supervision of a brokerage organization as it relates to this chapter.

(3) "Brokerage organization" means that individual or business entity which is not licensed but is acting as a broker under § 2901(c) of this title and is the trade name under which the broker operates. The individual or business entity shall have a broker licensed under this chapter.

(4) "Client" means a member of the public who is the principal in the statutory or common law agency relationship.

(5) "Commission" means the Delaware Real Estate Commission.

(6) "Competitive market analysis" or "CMA" means a service provided by a licensee for the purpose of providing either a potential listing price or use or a potential offering price or use in a real estate services transaction. In this method, licensees compare properties whose characteristics are similar in location, style, size and amenities to provide an estimated market price or a potential use for a target property or area. The CMA usually consists of an evaluation of similar properties that have recently sold, are currently under agreement to sell and are currently listed or offered for sale. A CMA may also be referred to as a comparative market analysis, a comparable market analysis, a broker price opinion or broker's market analysis. A CMA is not an appraisal.

(7) "Consumer information statement" or "CIS" means the disclosure form required by § 2938 of this title.

(8) "Conviction" means a verdict of guilty by the trier of fact, whether judge or jury, or a plea of guilty or a plea of nolo contendere accepted by the court.

(9) "Customer" means a member of the general public working with a licensee as a potential buyer, seller, exchangor, exchangee, tenant, or landlord of real property or is consulting with a licensee in 1 of these capacities for the purpose of entering into a brokerage agreement or transaction, but who has not yet entered into a statutory or common law agency relationship with a licensee. A customer is sometimes referred to as a prospect.

(10) "Designated agent" means a licensee appointed by the broker working with a customer or client as a statutory agent.

(11) "Designated on-site supervisor" means a licensee who has at least 5 years of continuous real estate services experience and who has been appointed as the full-time supervisor of a branch office by the broker.

(12) "Division" means the Delaware Division of Professional Regulation.

(13) "Dual agent" means a salesperson, associate broker, broker and/or brokerage organization which represents both buyer and seller or tenant and landlord as clients in a real estate services transaction.

(14) "Escrow account" means a separate account established by the brokerage organization used solely for moneys in which a broker's customers or clients have an interest in accordance with the terms of a real estate services transaction.

(15) "Licensee" means an individual licensed under this chapter as a broker, associate broker or salesperson without implying what legal relationship they have with a customer or client.

(16) "Ministerial task" means a task that does not involve discretion or the exercise of the licensee's own judgment, for example:

a. Performing tasks for a client or customer according to the brokerage agreement or other form of consent before or after the signing of an agreement of sale or lease such as arranging an inspection; or

b. Assisting other persons to perform their part of the transaction such as providing information to the mortgage lender.

(17) "Person" means an individual, firm, partnership, corporation, association, joint stock company, limited partnership, limited liability company and any other legal entity and includes a legal successor of those entities.

(18) "Property management services" means those actions taken for others, pursuant to an agreement, in exchange for a fee, commission, compensation or other valuable consideration which include the supervision and the administration of the physical maintenance and/or the financial matters of real property. These supervision services may include assisting the owner in decisions in the selection of tenants, budgeting for the operation of property or properties, collecting of rent or rents, or maintaining security deposits.

(19) "Psychologically impacted" and "psychological impacts" mean that the property was, or was at any time suspected to have been the site of a homicide, suicide or other felony except arson or that an occupant of real property is or was at any time suspected to be infected or has been infected with human immunodeficiency virus (HIV) or diagnosed with acquired immune deficiency syndrome (AIDS), or any other disease which has been determined by medical evidence to be highly unlikely to be transmitted through the occupancy of a dwelling place.

(20) "Real estate service provider" means a licensee who is providing real estate services.

(21) "Real estate services" means those activities performed by a licensee as defined in this chapter. As promulgated under the rules and regulations, real estate services shall also include the marketing and advertising of properties for sale or lease.

(22) "Rules and regulations" mean those rules and regulations as promulgated by the Commission.

(23) "Salesperson" means any individual who holds a salesperson license from the Commission and who is licensed under a broker to sell or offer to sell, or to buy or to offer to buy, or to negotiate the purchase, sale, auction or exchange of real estate, or to lease or rent or offer for rent any real estate, or to negotiate leases or rental agreements thereof or of the improvements thereon for others.

(24) "State" means the State of Delaware.

(25) "Statutory agent" or "agent" means a licensee functioning as a party in an agency relationship created according to subchapter II of this chapter as an independent contractor and not as a fiduciary. The agent offers real estate services to the public to make a market in

real estate by bringing buyer and seller, or landlord and tenant together for the transaction and assisting the parties with advice and negotiations, and performing ministerial tasks to complete the transaction. Every licensee shall be presumed to be a statutory agent and may refer to themselves as agent or statutory agent unless specifically identified as a common law agent in their brokerage agreement.

(26) "Substantially related" means the nature of the criminal conduct, for which the individual was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of providing real estate services.

(27) a. "Wholesaling" or "wholesale" means any of the following activities:

1. The business of seeking to enter into a contract with an owner of real estate with an intent to make a profit by marketing and advertising for the assignment of an equitable interest in an agreement of sale as the buyer.

2. The business of marketing and advertising for the assignment of an equitable interest in an agreement of sale as the buyer.

b. "Wholesaling" applies only to property with the primary use as a 1-to-4 family residential property prior to taking legal ownership or a single lot of land zoned as residential available for development for a 1-to-4 family residence and does not apply to the assignment of a bid made at an auction by a sheriff, bankruptcy trustee, or partition auction sale.

(b) In applying this chapter to leasing transactions, the word "landlord" may be substituted for "seller", the word "tenant" may be substituted for "buyer", and the word "lease" may be substituted for "agreement of sale" where applicable. The terms "rental agreement" and "lease" may be used interchangeably.

(35 Del. Laws, c. 63, § 4; Code 1935, § 5476; 24 Del. C. 1953, § 2901; 57 Del. Laws, c. 151; 59 Del. Laws, c. 468, § 2; 67 Del. Laws, c. 121, §§ 1, 2, 23; 67 Del. Laws, c. 381, §§ 2, 9; 67 Del. Laws, c. 438, §§ 1, 2; 74 Del. Laws, c. 262, § 54; 75 Del. Laws, c. 277, §§ 3-5; 76 Del. Laws, c. 258, §§ 1, 2; 78 Del. Laws, c. 166, § 1; 85 Del. Laws, c. 274, § 3.)

§ 2902 Definitions [Effective Feb. 26, 2027].

(a) As used in this chapter:

(1) "Associate broker" means any individual who holds an associate broker license from the Commission and who is licensed under a broker to sell or offer to sell, or to buy or to offer to buy, or to negotiate the purchase, sale, or exchange of real estate, or to lease or rent or offer for rent any real estate, or to negotiate leases or rental agreements thereof or of the improvements thereon for others.

(2) "Broker" means any individual who holds a broker license from the Commission and who for a compensation or valuable consideration, is self-employed or is employed directly or indirectly by a brokerage organization to sell or offer to sell, or to buy or offer to buy, or to negotiate the purchase, sale, or exchange of real estate, or to lease or rent or offer for rent any real estate, or to negotiate leases or rental agreements thereof or of the improvements thereon for others. The broker is responsible for providing real estate services and is primarily responsible for the day to day management and supervision of a brokerage organization as it relates to this chapter.

(3) "Brokerage organization" means that individual or business entity which is not licensed but is acting as a broker under § 2901(c) of this title and is the trade name under which the broker operates. The individual or business entity shall have a broker licensed under this chapter.

(4) "Client" means a member of the public who is the principal in the statutory or common law agency relationship.

(5) "Commission" means the Delaware Real Estate Commission.

(6) "Competitive market analysis" or "CMA" means a service provided by a licensee for the purpose of providing either a potential listing price or use or a potential offering price or use in a real estate services transaction. In this method, licensees compare properties whose characteristics are similar in location, style, size and amenities to provide an estimated market price or a potential use for a target property or area. The CMA usually consists of an evaluation of similar properties that have recently sold, are currently under agreement to sell and are currently listed or offered for sale. A CMA may also be referred to as a comparative market analysis, a comparable market analysis, a broker price opinion or broker's market analysis. A CMA is not an appraisal.

(7) "Consumer information statement" or "CIS" means the disclosure form required by § 2938 of this title.

(8) "Conviction" means a verdict of guilty by the trier of fact, whether judge or jury, or a plea of guilty or a plea of nolo contendere accepted by the court.

(9) "Customer" means a member of the general public working with a licensee as a potential buyer, seller, exchangor, exchangee, tenant, or landlord of real property or is consulting with a licensee in 1 of these capacities for the purpose of entering into a brokerage agreement or transaction, but who has not yet entered into a statutory or common law agency relationship with a licensee. A customer is sometimes referred to as a prospect.

(10) "Designated agent" means a licensee appointed by the broker working with a customer or client as a statutory agent.

(11) "Designated on-site supervisor" means a licensee who has at least 5 years of continuous real estate services experience and who has been appointed as the full-time supervisor of a branch office by the broker.

(12) "Division" means the Delaware Division of Professional Regulation.

(13) "Dual agent" means a salesperson, associate broker, broker and/or brokerage organization which represents both buyer and seller or tenant and landlord as clients in a real estate services transaction.

(14) "Escrow account" means a separate account established by the brokerage organization used solely for moneys in which a broker's customers or clients have an interest in accordance with the terms of a real estate services transaction.

(15) "Licensee" means an individual licensed under this chapter as a broker, associate broker or salesperson without implying what

legal relationship they have with a customer or client.

(16) "Ministerial task" means a task that does not involve discretion or the exercise of the licensee's own judgment, for example:

a. Performing tasks for a client or customer according to the brokerage agreement or other form of consent before or after the signing of an agreement of sale or lease such as arranging an inspection; or

b. Assisting other persons to perform their part of the transaction such as providing information to the mortgage lender.

(17) "Person" means an individual, firm, partnership, corporation, association, joint stock company, limited partnership, limited liability company and any other legal entity and includes a legal successor of those entities.

(18) "Property management services" means those actions taken for others, pursuant to an agreement, in exchange for a fee, commission, compensation or other valuable consideration which include the supervision and the administration of the physical maintenance and/or the financial matters of real property. These supervision services may include assisting the owner in decisions in the selection of tenants, budgeting for the operation of property or properties, collecting of rent or rents, or maintaining security deposits.

(19) "Psychologically impacted" and "psychological impacts" mean that the property was, or was at any time suspected to have been the site of a homicide, suicide or other felony except arson or that an occupant of real property is or was at any time suspected to be infected or has been infected with human immunodeficiency virus (HIV) or diagnosed with acquired immune deficiency syndrome (AIDS), or any other disease which has been determined by medical evidence to be highly unlikely to be transmitted through the occupancy of a dwelling place.

(20) "Real estate service provider" means a licensee who is providing real estate services.

(21) "Real estate services" means those activities performed by a licensee as defined in this chapter. As promulgated under the rules and regulations, real estate services shall also include the marketing and advertising of properties for sale or lease. Real estate services also include wholesaling or attempts to engage in a wholesale transaction as defined in this chapter whether for the person or for others.

(22) "Rules and regulations" mean those rules and regulations as promulgated by the Commission.

(23) "Salesperson" means any individual who holds a salesperson license from the Commission and who is licensed under a broker to sell or offer to sell, or to buy or to offer to buy, or to negotiate the purchase, sale, auction or exchange of real estate, or to lease or rent or offer for rent any real estate, or to negotiate leases or rental agreements thereof or of the improvements thereon for others.

(24) "State" means the State of Delaware.

(25) "Statutory agent" or "agent" means a licensee functioning as a party in an agency relationship created according to subchapter II of this chapter as an independent contractor and not as a fiduciary. The agent offers real estate services to the public to make a market in real estate by bringing buyer and seller, or landlord and tenant together for the transaction and assisting the parties with advice and negotiations, and performing ministerial tasks to complete the transaction. Every licensee shall be presumed to be a statutory agent and may refer to themselves as agent or statutory agent unless specifically identified as a common law agent in their brokerage agreement.

(26) "Substantially related" means the nature of the criminal conduct, for which the individual was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of providing real estate services.

(27) a. "Wholesaling" or "wholesale" means any of the following activities:

1. The business of seeking to enter into a contract with an owner of real estate with an intent to make a profit by marketing and advertising for the assignment of an equitable interest in an agreement of sale as the buyer.

2. The business of marketing and advertising for the assignment of an equitable interest in an agreement of sale as the buyer.

b. "Wholesaling" applies only to property with the primary use as a 1-to-4 family residential property prior to taking legal ownership or a single lot of land zoned as residential available for development for a 1-to-4 family residence and does not apply to the assignment of a bid made at an auction by a sheriff, bankruptcy trustee, or partition auction sale.

(b) In applying this chapter to leasing transactions, the word "landlord" may be substituted for "seller", the word "tenant" may be substituted for "buyer", and the word "lease" may be substituted for "agreement of sale" where applicable. The terms "rental agreement" and "lease" may be used interchangeably.

(35 Del. Laws, c. 63, § 4; Code 1935, § 5476; 24 Del. C. 1953, § 2901; 57 Del. Laws, c. 151; 59 Del. Laws, c. 468, § 2; 67 Del. Laws, c. 121, §§ 1, 2, 23; 67 Del. Laws, c. 381, §§ 2, 9; 67 Del. Laws, c. 438, §§ 1, 2; 74 Del. Laws, c. 262, § 54; 75 Del. Laws, c. 277, §§ 3-5; 76 Del. Laws, c. 258, §§ 1, 2; 78 Del. Laws, c. 166, § 1; 85 Del. Laws, c. 274, § 3; 85 Del. Laws, c. 274, § 2.)

§ 2903 Real Estate Commission; appointments; qualifications; terms of office; vacancies; suspension or removal; unexcused absences; compensation.

(a) There is created the Delaware Real Estate Commission, which shall administer and enforce this chapter.

(b) The Commission shall consist of 9 members appointed by the Governor: 5 professional members, 3 of whom shall be licensed brokers, 1 associate broker, and 1 salesperson; and 4 public members. All members shall have been residents of the State for at least 5 years immediately prior to such appointment.

(1) Three of the professional members shall be brokers: 1 shall be a resident of New Castle County; 1 shall be a resident of Kent County; and 1 shall be a resident of Sussex County. Broker members of the Commission shall have been active licensees for at least 5 years immediately prior to their appointment.

(2) One of the professional members shall be an associate broker. The associate broker member shall have been an active licensee for

at least 5 years immediately prior to that associate broker's appointment.

(3) One of the professional members shall be a salesperson. The salesperson member shall have been an active licensee for at least 4 years immediately prior to that salesperson's appointment.

(4) Of the 4 public members, 1 public member shall be from each county and 1 public member shall be from the City of Wilmington. To serve on the Commission, a public member shall not be, nor have been within the last 8 years prior to the effective date of appointment, a licensee, nor a member of the immediate family of a licensee; shall not be, nor have been with the last 8 years prior to the effective date of appointment, employed by a broker or brokerage organization; shall not have had a financial interest in the providing of goods and services to a licensee; and shall not be, nor have been within the last 8 years prior to the effective date of appointment, engaged in an activity directly related to providing real estate services.

(c) Each member shall serve for a term of 3 years, unless otherwise specified in this chapter; and may succeed himself or herself for 1 additional term; provided, however, that where a member was initially appointed to fill a vacancy, such member may succeed himself or herself for only 1 additional full term. Any person appointed to fill a vacancy on the Commission shall hold office for the remainder of the unexpired term of the former member.

(d) No member, while serving on the Commission, shall be a president, president-elect, vice-president, secretary, treasurer, director or other elected official of a professional association for real estate service providers.

(e) Any act or vote by a member appointed in violation of subsection (b) of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (b) of this section, unless such amendment or revision amends this section to permit such an appointment.

(f) A member of the Commission shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetence or neglect of duty or for unprofessional or dishonorable conduct. A member subject to disciplinary hearing shall be disqualified from Commission business until the charge is adjudicated or the matter is otherwise concluded. A Commission member may appeal any suspension or removal to the Superior Court.

(g) Any member who is absent without adequate reason for 3 consecutive meetings or fails to attend at least $^1/_2$ of all regular business meetings during any calendar year shall be guilty of neglect of duty.

(h) The provisions set forth for "employees" in Chapter 58 of Title 29 shall apply to all members of the Commission and to all agents appointed, or otherwise employed by the Commission.

(i) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(35 Del. Laws, c. 63, § 1; Code 1935, § 5473; 24 Del. C. 1953, § 2902; 57 Del. Laws, c. 151; 60 Del. Laws, c. 714, § 1; 63 Del. Laws, c. 463, §§ 1-4; 67 Del. Laws, c. 366, § 11; 67 Del. Laws, c. 368, § 18; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 603, §§ 1-6; 78 Del. Laws, c. 166, § 1; 81 Del. Laws, c. 85, § 15.)

§ 2904 Organization; meetings; officers; quorum.

(a) In the same month of each year, the members shall elect from among their number a Chairperson, Vice-Chairperson and Secretary. Each officer shall serve for 1 year, and shall not succeed himself or herself in the same office.

(b) The Commission shall hold regularly scheduled business meetings at least once in each quarter of a calendar year and at such other times as the Chairperson deems necessary, or at the request of a majority of Commission members. Special or emergency meetings may be held, provided a quorum is present.

(c) A majority of members shall constitute a quorum, and no licensee shall be disciplined without the affirmative vote of at least 5 members.

(d) Minutes of all meetings shall be recorded and copies shall be maintained by the Division. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The person requesting it shall incur the expense of preparing any transcript.

(35 Del. Laws, c. 63, § 2; Code 1935, § 5474; 41 Del. Laws, c. 218, § 1; 24 Del. C. 1953, § 2903; 57 Del. Laws, c. 151; 63 Del. Laws, c. 463, §§ 6, 7; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 603, § 7; 71 Del. Laws, c. 103, § 1; 78 Del. Laws, c. 166, § 1.)

§ 2905 Records.

The Division shall keep a register of all approved applications for licensure and complete records relating to meetings of the Commission, examinations, rosters, changes and additions to the Commission's rules and regulations, complaints, hearings and such other matters as the Commission shall determine. Such records shall be prima facie evidence of the proceedings of the Commission.

(35 Del. Laws, c. 63, §§ 2, 13; 37 Del. Laws, c. 44, § 1; Code 1935, § 5485; 24 Del. C. 1953, § 2905; 57 Del. Laws, c. 151; 57 Del. Laws, c. 741, § 28A; 67 Del. Laws, c. 121, §§ 4, 5; 67 Del. Laws, c. 144, § 5; 67 Del. Laws, c. 381, §§ 3, 4; 74 Del. Laws, c. 262, § 55; 78 Del. Laws, c. 166, § 1.)

§ 2906 Powers and duties [For application of this section, see 85 Del. Laws, c. 277, § 5].

(a) The Commission shall have the authority to:

(1) Formulate rules and regulations, with appropriate notice to those affected; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act, Chapter 101 of Title 29. Each rule or regulation shall implement or clarify a specific section of this chapter.

(2) Approve the forms to be used under this chapter or as directed by other statutory provisions.

(3) Establish the qualifications for licensure and evaluate the credentials of all applicants for a license to practice real estate services, in order to determine whether such applicants meet the qualifications set forth in this chapter.

(4) Grant licenses to, and renew licenses of, all individuals who meet the qualifications for licensure and renewal.

(5) Establish and administer the criteria and standards by rule and regulation for instructors, schools of real estate and course providers.

(6) Establish by rule and regulation prelicensing and continuing education standards required for licensure and license renewal.

(7) Perform random audits of continuing education credits submitted by licensees for license renewal.

(8) Evaluate certified records to determine whether an applicant for licensure who previously has been licensed, certified or registered in another jurisdiction to practice real estate services has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against such applicant for such acts or offenses.

(9) Refer all complaints from licensees and the general public concerning individuals licensed in this chapter or concerning practices of the Commission or of the profession, to the Division for investigation pursuant to § 8735 of Title 29 and assign a member of the Commission to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint.

(10) Conduct hearings and issue orders in accordance with procedures established pursuant to Chapter 101 of Title 29.

(11) Designate and impose the appropriate sanction or penalty where it has been determined after a hearing that penalties or sanctions should be imposed.

(12) Order compensation from the Real Estate Guaranty Fund, when, after a hearing, the Commission finds in favor of an aggrieved party, pursuant to § 2922 of this title.

(13) Issue cease and desist orders and impose fines for unlicensed practice, as specified in the rules and regulations and in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) The Division shall contract with a nationally recognized testing service for the preparation and grading of a written examination for the licensing of real estate service providers. The Commission may appoint a committee to review the written examination to establish its relevancy and accuracy for licensure in this State.

(c) The Commission shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of providing real estate services.

(d) The Commission shall draft, maintain, and distribute a rights and responsibilities guide for landlords and tenants that contains the information required under § 2925A of this title and must be distributed under § 5124 of Title 25.

(35 Del. Laws, c. 63, §§ 2, 13; 37 Del. Laws, c. 44, § 1; Code 1935, § 5485; 24 Del. C. 1953, § 2905; 57 Del. Laws, c. 151; 57 Del. Laws, c. 741, § 28A; 67 Del. Laws, c. 121, §§ 4, 5; 67 Del. Laws, c. 144, § 5; 67 Del. Laws, c. 381, §§ 3, 4; 74 Del. Laws, c. 262, § 55; 78 Del. Laws, c. 166, § 1; 85 Del. Laws, c. 277, § 1.)

§ 2907 Qualifications of applicant; application; examination; report to Attorney General; judicial review.

(a) All applicants shall meet the following conditions:

(1) Shall be competent to transact real estate services by meeting the requirements of this section and the rules and regulations;

(2) Shall not have been the recipient of any administrative penalties regarding real estate services, in this or any other jurisdiction, including but not limited to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, and/or has not entered into any “consent agreements” which contain conditions placed by a licensing commission or board on that applicant’s professional conduct and practice, including any voluntary surrender of a license. Notwithstanding the foregoing, the Commission, after a hearing, may determine whether such administrative penalty is grounds to deny licensure.

(3) Shall not have any impairment related to drugs, alcohol or a finding of mental incompetence by a physician that would limit the applicant’s ability to undertake that applicant’s practice in a manner consistent with the safety of the public.

(4) May not have a criminal conviction record, nor pending criminal charge relating to an offense that is substantially related to the practice of providing real estate services. Applicants who have criminal conviction records or pending criminal charges shall request appropriate authorities to provide information about the conviction or charge directly to the Commission. However, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Commission determines that granting a waiver would not create an unreasonable risk to public safety, the Commission, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(4).

a.-d. [Repealed.]

(b) *Salesperson.* — An applicant who is applying for licensure as a salesperson under this chapter shall submit evidence, verified by oath and satisfactory to the Commission, that such applicant:

(1) Meets the requirements of subsection (a) of this section.

(2) Is at least 18 years of age.

(3) Has successfully completed a prescribed prelicensing course of instruction including real estate principles and practices and Delaware real estate law.

(4) Has passed a uniform national and state examination for salespersons, as is contractually arranged for, with a nationally recognized independent testing service, by the Division; and

(5) Has provided such information as may be required on an application form designed and furnished by the Commission with the approval of the Division. No application form shall require information relating to citizenship, place of birth or length of state residency; nor require personal references.

(c) *Associate broker.* — An applicant who is applying for licensure as an associate broker under this chapter shall submit evidence, verified by oath and satisfactory to the Commission, that such applicant:

(1) Meets the requirements of subsection (a) of this section.

(2) Is at least 23 years of age.

(3) Has the experience requirements as specified under the rules and regulations.

(4) Has the financial prerequisites set forth in the rules and regulations.

(5) Has successfully completed a prescribed prelicensing course of instruction for brokers including real estate principles and practices and Delaware real estate law.

(6) Has passed a uniform national and state examination for brokers, as is contractually arranged for, with a nationally recognized independent testing service, by the Division; and

(7) Has provided such information as may be required on an application form designed and furnished by the Commission with the approval of the Division. No application form shall require a picture of the applicant; require information relating to citizenship, place of birth or length of State residency; nor require personal references.

(d) *Broker.* — In addition to the requirements of subsection (c) of this section, an applicant who is applying for licensure as a broker under this chapter shall submit verification of the applicant's responsibility for the day to day management and supervision of a brokerage organization and meet the experience and education requirements as defined in the rules and regulations.

(e) Where the Commission has found to its satisfaction that an applicant has been intentionally fraudulent or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(f) Where the applicant has been refused or rejected and such applicant feels that the Commission has acted without justification; has imposed higher or different standards than for other applicants or licensees; or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(g) Every application for a license under this chapter shall be accompanied by the fee prescribed by § 2908 of this title and payment of a Guaranty Fund fee.

(35 Del. Laws, c. 63, §§ 5, 6; Code 1935, §§ 5477, 5478; 24 Del. C. 1953, § 2907; 63 Del. Laws, c. 463, § 8; 67 Del. Laws, c. 121, §§ 7, 23; 67 Del. Laws, c. 381, § 6; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 603, § 8; 74 Del. Laws, c. 262, § 56; 75 Del. Laws, c. 436, § 31; 77 Del. Laws, c. 199, §§ 21, 22; 78 Del. Laws, c. 44, §§ 42, 43; 78 Del. Laws, c. 166, § 1; 83 Del. Laws, c. 433, § 19.)

§ 2908 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Commission, as well as the proportional expenses incurred by the Division in its services on behalf of the Commission. There shall be a separate fee charged for each service or activity, but no fee shall be charged for an activity not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each biennium year the Division, or any other state agency acting in its behalf, shall compute for each separate service or activity, the appropriate fee for the coming year.

(35 Del. Laws, c. 63, §§ 6, 13; Code 1935, §§ 5478, 5485; 48 Del. Laws, c. 161, § 1; 24 Del. C. 1953, § 2908; 57 Del. Laws, c. 151; 57 Del. Laws, c. 741, § 28B; 63 Del. Laws, c. 463, § 10; 65 Del. Laws, c. 380, § 4; 67 Del. Laws, c. 121, § 8; 78 Del. Laws, c. 166, § 1.)

§ 2909 Reciprocal licensure.

(a) Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Commission, and subject to the further requirements set forth in this section, the Commission shall grant a license to an applicant who shall present proof of current licensure in "good standing" in another state, the District of Columbia, or territory of the United States and the license shall be in "good standing" as defined in § 2907(a)(2), (3) and (4) of this title.

(b) A salesperson applicant shall also meet one of the following criteria:

(1) Presents proof of at least 3 years of continuous licensure, preceding the date of application, in another state, District of Columbia or territory of the United States and completion of real estate services transactions during those 3 years as specified in the rules and regulations; and has passed the state portion of the Delaware licensing examination; or

(2) Has successfully completed the Delaware law portion of the prelicensing course and passed the state portion of the Delaware licensing examination; or

(3) Has successfully completed the equivalent of the prescribed prelicensing education for the State in the other state, the District of Columbia, or territory of the United States and has passed the state portion of the Delaware licensing examination.

(c) An associate broker applicant shall, in addition to the requirements set forth in subsection (a) of this section, meet the following requirements:

(1) Shall be at least 23 years of age.

(2) Has the experience requirements as specified under the rules and regulations; and

(3) Has the financial prerequisites set forth in the rules and regulations; and

(4) Has passed the state portion of the examination for brokers.

(d) An applicant for a broker's license shall meet the requirements set forth in subsection (c) of this section, shall submit verification of his or her responsibility for the day to day management and supervision of a brokerage organization, and shall meet the experience and education requirements as defined in the rules and regulations.

(e) In the event there is a disciplinary proceeding or unresolved complaint pending, the applicant shall not be licensed until the proceeding or complaint has been resolved. Applicants for licensure in this State shall be deemed to have given consent to the release of information pertaining to the disciplinary proceeding or unresolved complaint and to waive all objections to the admissibility of such information as evidence at any hearing or other proceeding to which the applicant may be subject.

(f) Every applicant who is applying for licensure in an office located outside of this State, prior to being licensed, shall give irrevocable consent that legal action may be commenced against the applicant in the proper court of any county of this State in which a cause of action may arise or in which the plaintiff may reside, by service of any process or pleading authorized by the laws of this State upon any member of the Commission. In case any processes or pleadings are served upon any member of the Commission, a copy thereof shall be immediately forwarded by certified or registered mail to the main office of the licensee against which process or pleadings are directed.

(35 Del. Laws, c. 63, § 11; Code 1935, § 5483; 24 Del. C. 1953, § 2909; 60 Del. Laws, c. 714, § 2; 67 Del. Laws, c. 121, §§ 9, 23; 67 Del. Laws, c. 171, § 1; 67 Del. Laws, c. 381, §§ 11, 12; 70 Del. Laws, c. 186, § 1; 78 Del. Laws, c. 166, § 1.)

§ 2910 Issuance and renewal of licenses; additional licenses; reinstatement.

(a) The Commission shall issue a license to each applicant who meets the requirements of this chapter for licensure as a real estate service provider and who pays the fees prescribed by the Division.

(b) A licensee may obtain an additional license and become affiliated with an additional broker if the licensee:

(1) Obtains, from an additional broker, a written commitment providing that the licensee shall become affiliated with the broker on the granting of an additional license to the licensee;

(2) Gives each broker with whom the licensee is currently affiliated written notice that the licensee intends to affiliate with an additional broker;

(3) Obtains from the broker with whom the licensee is currently affiliated, and from the additional broker, written approval of the licensee's intent to affiliate with an additional broker; and

(4) Complies with the application procedures applicable to additional licenses set forth in the rules and regulations.

(c) On or before the biennial date established by the Division, each licensee shall make application to the Commission for a renewal of license and make payment of the fees prescribed by the Division. The renewal application shall be made online, pursuant to the Commission's rules and regulations.

(d) In addition to the other provisions of this section, each licensee applying for renewal shall be required to successfully complete in the 2-year period prior to the established renewal date continuing education hours in an amount and subject matter as prescribed by the rules and regulations of the Commission. Each licensee at the time of license renewal shall be required to certify to the Commission that he or she has completed the required number of hours in approved courses, seminars and lectures. The Commission shall publish guidelines as to acceptable courses of instruction, seminars and lectures, and shall keep the guidelines current.

(e) At the time of renewal, each licensee shall disclose whether he or she has had any criminal convictions since the last license renewal.

(f) The Commission shall, in its rules and regulations, determine the period of time a licensee may still renew a license if the licensee has failed to renew on or before the established renewal date.

(g) An individual whose license has lapsed may apply to the Commission for reinstatement pursuant to the rules and regulations.

(35 Del. Laws, c. 63, § 12; Code 1935, § 5484; 24 Del. C. 1953, § 2911; 63 Del. Laws, c. 463, § 9; 64 Del. Laws, c. 208, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 603, § 9; 78 Del. Laws, c. 166, § 1.)

§ 2911 Complaints.

All complaints shall be received and investigated by the Division in accordance with § 8735 of Title 29, and the Division shall be responsible for issuing a final written report at the conclusion of its investigation.

(35 Del. Laws, c. 63, § 9; Code 1935, § 5481; 41 Del. Laws, c. 218, § 2; 24 Del. C. 1953, § 2912; 60 Del. Laws, c. 714, §§ 4, 5; 67 Del. Laws, c. 121, §§ 10-12, 23; 67 Del. Laws, c. 171, § 2; 67 Del. Laws, c. 256, § 1; 67 Del. Laws, c. 381, § 13; 68 Del. Laws, c. 166, § 2; 69 Del. Laws, c. 86, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 103, § 2; 75 Del. Laws, c. 88, § 17(2); 78 Del. Laws, c. 166, § 1.)

§ 2912 Grounds for discipline.

(a) A licensee shall be subject to disciplinary sanctions set forth in § 2914 of this title if after a hearing, the Commission finds that the licensee:

(1) Has made any substantial misrepresentation; or

(2) Has made any false promise of a character likely to influence, persuade or induce; or

(3) Has pursued a continued and flagrant course of misrepresentation or the making of false promises through licensees or advertising or otherwise; or

(4) Has failed, within a reasonable time, to account for or to remit any money coming into the licensee's possession which belongs to others; or

(5) Has illegally practiced real estate services; or

(6) Has incompetently or negligently practiced real estate services in such manner as to not safeguard the interest of the public; or

(7) Has paid a commission or valuable consideration to any person for acts or services performed in violation of this chapter; or

(8) Has assisted a person in providing real estate services who does not hold a license to provide real estate services in this State.

(9) Has violated a provision of this chapter, any of the rules and regulations established thereunder, or any order of the Commission; or

(10) Has received or made an arrangement or agreement to receive, directly or indirectly, any form of valuable consideration for products or services relating to a real estate services transaction without prior written disclosure by the licensee to the customer or client of the licensee and the payor for the product or service; or

(11) Has misrepresented the availability of or the content of any statutorily required form such as the seller's disclosure of real property condition report form and/or the radon disclosure as provided in Chapter 25 of Title 6; or

(12) Has employed or knowingly cooperated in fraud or material deception in order to acquire a license or renew a license as a real estate service provider; has impersonated another individual holding a license, or has allowed another individual to use that licensee's license, or has aided or abetted an individual not licensed as a real estate service provider to represent himself or herself as a real estate service provider; or

(13) Has been convicted of a crime that is substantially related to the practice of real estate services. A copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence therefore; or

(14) Has had a license as a real estate service provider suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Commission by certified record and the Commission has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every individual licensed as a real estate service provider in this State shall be deemed to have given consent to the release of this information by the Commission or other comparable agencies in another jurisdiction and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses; or

(15) Has failed to notify the Commission that the licensee's license as a real estate service provider in another jurisdiction has been subject to discipline, or has been surrendered, suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof.

(b) Any unlawful act or violation of this chapter by any real estate service provider, employee, partner, or associate of a licensed broker shall not be cause for the revocation of a license of any broker, unless it appears to the satisfaction of the Commission that such broker had knowledge thereof.

(c) The Commission may suspend or revoke any license issued under this chapter at any time where the licensee has been convicted in a court of competent jurisdiction of the crime of forgery, embezzlement, obtaining money under false pretenses, bribery, larceny, extortion, conspiracy to defraud or any similar offense or has had entered a plea of guilty or nolo contendere to any similar offense.

(d) Subject to the provisions of this chapter and subchapter IV of Chapter 101 of Title 29, no license shall be restricted, suspended or revoked by the Commission, and no licensee's right to practice real estate services shall be limited by the Commission until such licensee has been given notice, and an opportunity to be heard, in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(e) A licensee acting or providing service under an exemption as defined in § 2901 of this title and through the course of delivery of the exempted service is, after a hearing, found to be guilty of paragraphs (a)(1) through (11) of this section shall be subject to discipline pursuant to § 2914 of this title.

(35 Del. Laws, c. 63, § 9; Code 1935, § 5481; 41 Del. Laws, c. 218, § 2; 24 Del. C. 1953, § 2912; 60 Del. Laws, c. 714, §§ 4, 5; 67 Del. Laws, c. 121, §§ 10-12, 23; 67 Del. Laws, c. 171, § 2; 67 Del. Laws, c. 256, § 1; 67 Del. Laws, c. 381, § 13; 68 Del. Laws, c. 166, § 2; 69 Del. Laws, c. 86, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 103, § 2; 75 Del. Laws, c. 88, § 17(2); 78 Del. Laws, c. 166, § 1.)

§ 2913 Hearing procedures.

(a) If a complaint is filed with the Commission pursuant to § 8735 of Title 29 alleging violation of § 2912 of this title, the Commission shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) Where the licensee is in disagreement with the action of the Commission, the licensee may appeal the Commission's decision to the Superior Court within 30 days of the day that notice of the decision is mailed. Upon such appeal the Court shall hear the evidence on the record. Stays shall be granted in accordance with § 10144 of Title 29.

(35 Del. Laws, c. 63, § 10; Code 1935, § 5482; 24 Del. C. 1953, § 2913 2914; 67 Del. Laws, c. 121, § 23; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 103, §§ 3, 4; 78 Del. Laws, c. 166, § 1.)

§ 2914 Disciplinary sanctions.

(a) The Commission may impose any of the following sanctions, singly or in combination, when it finds that 1 or more of the conditions or violations set forth in § 2912 of this title applies to a licensee:

(1) Issue a letter of reprimand;

(2) Place the licensee on probationary status and require the licensee to:

a. Report regularly to the Commission upon the matters which are the basis for the probation; and/or

b. Limit real estate services activities to those areas prescribed by the Commission.

(3) Impose a monetary penalty not to exceed $5,000 for each violation;

(4) Suspend any licensee's license.

(5) Revoke or permanently revoke any licensee's license.

(b) In addition to sanctions imposed under paragraphs (a)(1)-(4) of this section, the Commission may require a licensee to complete continuing education courses in subjects specified by the Commission in addition to those required for licensure renewal.

(c) The Commission may withdraw or reduce conditions of probation when it finds that deficiencies requiring such action have been remedied.

(d) Where the Commission has placed a licensee on probationary status under certain restrictions or conditions and the Commission has determined that such restrictions or conditions are being or have been violated by the licensee, it may, after a hearing on the matter, suspend or revoke the licensee's license.

(35 Del. Laws, c. 63, § 9; Code 1935, § 5481; 41 Del. Laws, c. 218, § 2; 24 Del. C. 1953, § 2912; 60 Del. Laws, c. 714, §§ 4, 5; 67 Del. Laws, c. 121, §§ 10-12, 23; 67 Del. Laws, c. 171, § 2; 67 Del. Laws, c. 256, § 1; 67 Del. Laws, c. 381, § 13; 68 Del. Laws, c. 166, § 2; 69 Del. Laws, c. 86, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 103, § 2; 75 Del. Laws, c. 88, § 17(2); 78 Del. Laws, c. 166, § 1.)

§ 2915 Temporary suspension pending hearing.

(a) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, welfare or safety, the Commission may temporarily suspend the licensee's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Commission Chairperson or the Commission Chairperson's designee. An order temporarily suspending a license may not be issued unless the licensee or the licensee's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the licensee or the licensee's attorney can file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended licensee requests a continuance of the hearing date. If the temporarily suspended licensee requests a continuance, the order of temporary suspension remains in effect until the Commission convenes and a decision is rendered.

(b) A licensee whose license has been temporarily suspended pursuant to this section must be notified of the temporary suspension immediately and in writing. Notification consists of a copy of the complaint and the order of temporary suspension pending a hearing personally served upon the licensee or sent by certified mail, return receipt requested, to the licensee's last known address.

(c) A licensee whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Commission shall schedule the hearing on an expedited basis, provided that the Commission receives the request within 5 calendar days from the date on which the licensee received notification of the decision to temporarily suspend the license.

(d) The case may be heard by the Commission, a hearing officer or a hearing panel, nominated pursuant to the Administrative Procedure Act, § 10161(d)-(f) of Title 29.

(e) After notice to the licensee pursuant to subsection (b) of this section, the Commission, or the hearing officer or the hearing panel, shall convene within 60 days of the date of the issuance of the order of temporary suspension to consider the evidence regarding the matters alleged in the complaint. If the licensee requests in a timely manner an expedited hearing, the Commission, or the hearing officer or hearing panel, shall convene within 15 days of the receipt of the request by the Commission. The Commission, or hearing officer or hearing panel, shall proceed to a hearing in accordance with the Administrative Procedure Act, Chapter 101 of Title 29, and shall render a decision within 30 days of the hearing.

(f) An order of temporary suspension may not remain in effect for longer than 60 days from the date of the decision rendered by the Commission unless the suspended licensee requests an extension of the order pending a final decision of the Commission. Upon the final decision of the Commission, an order of temporary suspension is vacated as a matter of law and is replaced by the disciplinary action, if any, ordered by the Commission.

(71 Del. Laws, c. 103, § 5; 70 Del. Laws, c. 186, § 1; 78 Del. Laws, c. 166, § 1; 79 Del. Laws, c. 168, § 2.)

§ 2916 Reinstatement of a suspended license or issuance of a new license after revocation; removal from probationary status.

(a) Where a license has been suspended due to the disability of the licensee, the Commission may reinstate such license if, after a hearing, the Commission is satisfied that the licensee is able to practice real estate services with reasonable skill and safety.

(b) As a condition to reinstatement of a suspended license, or removal from probationary status, the Commission may reinstate such license if, after a hearing, the Commission is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension and/or the probation.

(c) Individuals seeking reinstatement must pay the appropriate fees and submit documentation required by the Commission as evidence that all the conditions of a suspension and/or probation have been met. Proof that the licensee has met the continuing education requirements of this chapter may also be required, as appropriate.

(d) [Repealed.]

(e) An individual whose license has been revoked must apply as a new applicant pursuant to the rules and regulations.

(71 Del. Laws, c. 103, § 5; 70 Del. Laws, c. 186, § 1; 78 Del. Laws, c. 166, § 1; 82 Del. Laws, c. 8, § 11.)

§ 2917 Effect of revocation of broker's license.

The revocation of a broker's license shall automatically suspend every real estate service provider's license granted to any individual by virtue of employment either directly or indirectly by the broker whose license has been revoked, pending a change of employing broker or brokerage organization and the issuance of a new license. Such new license shall be issued without charge, if granted during the same licensure period in which the licensee's original license was granted.

(35 Del. Laws, c. 63, § 8; Code 1935, § 5480; 24 Del. C. 1953, § 2915; 67 Del. Laws, c. 121, § 23; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 103, § 4; 78 Del. Laws, c. 166, § 1.)

§ 2918 Form and display of license [Repealed].

35 Del. Laws, c. 63, § 7; Code 1935, § 5479; 24 Del. C. 1953, § 2917; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 103, § 4; 78 Del. Laws, c. 166, § 1; repealed by 82 Del. Laws, c. 8, § 11, effective Apr. 9, 2019.

§ 2919 Maintenance of place of business; office permits.

(a) The broker shall maintain an office approved by the Commission or by the state of licensure. Each office shall be under the direction and supervision of the broker. A broker applying for an office in the State of Delaware shall submit an application to the Commission for an office permit with the application fee established by the Division. A permit shall be issued only upon approval by the Commission.

(b) On or before the biennial date established by the Division, the broker shall submit an application to the Division for renewal of the office permit with the renewal fee established by the Division.

(c) The broker's license shall include the address of the approved office.

(d) If the broker maintains more than one office, the broker shall apply for and obtain an additional broker's license and office permit in the broker's name for each branch office. A branch office permit shall be subject to the requirements set forth in subsections (a) and (b) of this section. The application for a branch office shall state the address of the branch office and the designated on-site supervisor. The designated on-site supervisor shall be a licensee with a minimum of 5 years of continuous real estate services experience, which shall be documented on the branch office application. The branch office, any licensees associated with the office and the designated on-site supervisor shall be under the direction and supervision of the broker.

(e) All brokers' offices shall display a conspicuous sign on the outside of the office building as set forth in the Commission's rules and regulations.

(f) Additional requirements for issuance of office permits may be set forth in the Commission's rules and regulations.

(35 Del. Laws, c. 63, § 8; Code 1935, § 5480; 24 Del. C. 1953, § 2918; 60 Del. Laws, c. 714, § 6; 67 Del. Laws, c. 121, § 23; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 103, § 4; 78 Del. Laws, c. 166, § 1.)

§ 2920 Notice of change in location of business; new license.

Notice in writing shall be given to the Commission by the broker and include the names of each licensee included in any change of approved office location, whereupon the Commission shall issue a new license to each licensee for the unexpired period upon payment of the fee established by the Division.

(35 Del. Laws, c. 63, § 8; Code 1935, § 5480; 24 Del. C. 1953, § 2919; 71 Del. Laws, c. 103, § 4; 78 Del. Laws, c. 166, § 1.)

§ 2921 Notice and procedure on termination of licensee's employment; new license as prerequisite to resumption; inactive status.

(a) When any licensee is terminated by the broker or broker organization or voluntarily terminates, the broker shall immediately notify the Commission of such termination.

(1) Upon the broker terminating the licensee, the broker, at the time of the notification to the Commission, shall address a communication to the last known address of such licensee. The communication shall advise the licensee of the termination. A copy of the communication to the licensee shall accompany the notification to the Commission.

(2) No terminated licensee shall perform any real estate services or engage directly or indirectly in providing real estate services until the Commission, in its discretion, shall issue a new license showing a new broker and a new approved business location.

(b) Upon completion of a form as provided in the rules and regulations and payment of the prescribed fee, the Commission shall place any active licensee on an inactive status for an unlimited amount of time. A licensee may reactivate an inactive status license, subject to payment of the biennial registration fees, for such time as the license has been inactive, and upon submission of proof of fulfillment of continuing education requirements for each renewal period.

(c) A licensee may transfer his or her license from 1 broker to another upon completion of a form as provided in the rules and regulations and upon payment of the prescribed fee. The releasing broker must file a completed form with the Commission within 5 business days of obtaining a sponsoring broker and the transferring licensee's signatures on the form.

(35 Del. Laws, c. 63, § 8; Code 1935, § 5480; 24 Del. C. 1953, § 2920; 52 Del. Laws, c. 109; 57 Del. Laws, c. 403, §§ 1-3; 63 Del. Laws, c. 154, § 1; 67 Del. Laws, c. 121, § 23; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 603, § 10; 71 Del. Laws, c. 103, § 4; 78 Del. Laws, c. 166, § 1.)

§ 2922 Real Estate Guaranty Fund.

(a) The Commission shall establish and maintain a Real Estate Guaranty Fund (hereinafter referred to as the "Fund") from which, subject to this section, any person who obtains a final judgment against a licensee or brokerage organization for loss or damage sustained by reason of theft or forgery (as defined in Title 11) or by reason of any fraud, misrepresentation or deceit by or on the part of any such licensee or any employee or owner of a brokerage organization who does not hold a license but engaged in real estate services or was involved with a real estate transaction, may recover, after a hearing and on order of the Commission, compensation in an amount not exceeding in the aggregate the sum of $50,000 in connection with any 1 transaction or claim, regardless of the number of persons aggrieved or parcels of real estate involved in such transaction or claim.

(b) If the aggrieved person obtains a final judgment against a licensee or brokerage organization for loss or damage sustained by reason of theft or forgery (as defined in Title 11) or by reason of fraud, misrepresentation or deceit by or on the part of such licensee or employee thereof or owner of a brokerage organization who does not hold a license but engaged in real estate services or was involved with a real estate transaction, such aggrieved person may file a verified claim with the Commission seeking an order directing payment from the Fund of any amount unpaid upon the judgment, subject to the limitations stated in subsection (a) of this section and this subsection. The verified claim shall be filed within 60 days after the final judgment has been obtained. The verified claim shall include a copy of the complaint, counterclaim or cross-claim, if any, a certified copy of the judgment, and copies of any documentation relating to steps taken to collect on the judgment. The Commission shall proceed upon such claim in a summary manner and, upon the hearing thereof, the aggrieved person shall be required to show all of the following:

(1) That the aggrieved person is not a spouse of the judgment debtor or the personal representative of said spouse.

(2) That the aggrieved person has complied with all the requirements of this section.

(3) That the aggrieved person has obtained a final judgment as set out in this subsection, stating the amount thereof and the amount owing thereon at the date of the filing of the aggrieved person's verified claim.

(4) That the aggrieved person has diligently pursued and exhausted all reasonable remedies and taken all reasonable steps within the discretion of the Commission to collect the amount of the judgment, stating the total amount collected.

(c) If the Commission is satisfied that the aggrieved person has satisfied all the requirements of this section and is entitled to recover compensation from the Fund, it shall enter an order requiring payment from the Fund of whatever sum it shall find to be payable upon the claim, subject to the limitations of subsection (a) of this section. The Commission, in its discretion, may authorize payment of an amount from the Fund less than the claim made pursuant to this subsection.

(d) If the Commission pays from the Fund any amount in settlement of a claim or toward satisfaction of a judgment against a licensee, the license of such licensee may be suspended or revoked by the Commission and, in the discretion of the Commission, such licensee shall be ineligible to receive a new license until the licensee has repaid in full, plus interest at the legal rate, the amount paid from the Fund on the licensee's account. A discharge in bankruptcy shall not relieve a licensee from the penalties and disabilities provided in this subsection.

(e) If at any time the money on deposit in the Fund is insufficient to satisfy any duly authorized claim or portion thereof, the Commission shall, when sufficient money has been deposited in the Fund, satisfy such unpaid claims or portions thereof in the order that such claims or portions thereof were originally filed pursuant to subsection (b) of this section, plus accumulated interest at the legal rate.

(f) Any person filing with the Commission any notice, statement or other document required under subsection (b) of this section which is false or untrue or contains any material misstatement of fact shall be fined not less than $500 nor more than $5,000.

(g) When, upon the order of the Commission or pursuant to a compromise, the Commission has caused to be paid from the Fund any sum to a judgment creditor, the Commission shall be subrogated to all of the rights of the judgment creditor up to the amount paid and the judgment creditor shall assign all of that judgment creditor's right, title and interest in the judgment up to such amount paid by the Commission, and any sums recovered by the Commission on the judgment shall be deposited to the Fund.

(h) Each licensee shall pay a fee of $25 which shall be credited to the Fund; provided, that in no case shall any licensee be required to pay said fee of $25 more than once, unless assessed as provided in subsection (i) of this section.

(i) The Commission shall, at all times, maintain the Fund at a level in excess of $350,000, and to this intent all moneys received pursuant to subsection (g) of this section shall be credited to said Fund and held in a special account other than the General Fund prescribed by § 6102(a) of Title 29. Said account shall be an interest-bearing account and the interest accruing from the funds on deposit shall be added to the principal. Interest in the account shall be credited to the Commission to defray the costs of administering the Fund as approved by the Commission. Interest and principal in the account in excess of $350,000, upon a motion approved by vote of the Commissioners, shall be used for seminars within this State and for continuing education for licensees within this State and to reimburse Commissioners, their administrative staff and legal counsel for membership in the Association of Real Estate License Law Officials and expenses paid to attend in person or online meetings of the Association of Real Estate License Law Officials. The Division shall provide a quarterly statement to Commissioners at their regular meetings detailing the amount in the fund, income, and disbursements.

(j) If the balance of the Fund should fall below the $250,000 level, the Commission shall, at the next license renewal date, assess each licensee a pro rata fee in such amount that the Fund will be returned to the $250,000 level.

(k) Any person aggrieved by any action, decision, order or regulation of the Commission may appeal to the Superior Court.

(60 Del. Laws, c. 259, § 1; 63 Del. Laws, c. 82, § 1; 65 Del. Laws, c. 274, § 1; 67 Del. Laws, c. 121, §§ 14-20, 23; 67 Del. Laws, c. 381, § 15; 68 Del. Laws, c. 139, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 603, §§ 11-13; 71 Del. Laws, c. 103, §§ 4, 6; 78 Del. Laws, c. 166, § 1; 85 Del. Laws, c. 274, § 4.)

§ 2923 Deposits and escrow accounts; accounting; records inspection and audit.

(a) Every broker shall establish and maintain an escrow account or accounts in a federally insured banking institution which has offices within the State.

(1) Accounts shall be opened in the name of the brokerage organization and designated as an escrow account.

(2) The broker shall be a signatory on each such account.

(3) Except for the minimum balance required by the bank and money to cover bank fees, each account shall be used only for escrow deposits, earnest money deposits, rental money or other moneys in which broker's customers or clients have an interest where such money is to be held by broker in accordance with the terms of a real estate services transaction.

(b) All escrow deposits, earnest money deposits, rental money or other moneys accepted by a licensee in accordance with the terms of a real estate services transaction shall be accounted for in full upon the signing of a written agreement by all parties and maintained through the consummation or termination of the real estate services transaction. All moneys held by broker shall be disbursed in accordance with the terms of the transaction unless otherwise agreed upon in writing by the parties to the transaction or ordered by a court.

(c) All escrow deposits accepted by a licensee in accordance with the terms of a real estate services transaction shall be accepted in the name of the brokerage organization unless the parties have agreed to a different third-party escrow agent.

(d) Every licensee, upon the signing of a written agreement by all parties to a real estate services transaction, shall promptly pay over the escrow deposit, earnest money deposit, rental money or other moneys as specified in the transaction. The broker shall deposit the moneys into the broker's escrow account within 72 hours of the signing of the written agreement by all parties, or by the dates defined therein, excluding weekends and federal holidays.

(e) The broker shall have accessible at the broker's approved place of business, all books, records, written agreements and other necessary documents to determine the adequacy of the escrow account or accounts. These accounts and records shall be opened to inspection or audit by the Commission and its duly authorized agents at the broker's approved place of business during regular business hours.

(24 Del. C. 1953, § 2920B; 57 Del. Laws, c. 151; 67 Del. Laws, c. 121, § 23; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 103, § 4; 78 Del. Laws, c. 166, § 1.)

§ 2924 Penalties.

A person not currently licensed under this chapter when guilty of engaging in the practice of providing real estate services, or using in connection with that person's name, or otherwise assuming or using any title or description conveying, or tending to convey the impression that the person is qualified to provide real estate services, such offender shall be guilty of a misdemeanor. Upon the first offense, that person shall be fined not less than $500 nor more than $5,000 for each offense. For a second or subsequent conviction, the fine shall be not less than $1,000 nor more than $10,000 for each offense. The Justices of the Peace shall have jurisdiction over all violations of this section.

(35 Del. Laws, c. 63, § 15; Code 1935, § 5487; 24 Del. C. 1953, § 2923; 57 Del. Laws, c. 151; 71 Del. Laws, c. 103, § 4; 78 Del. Laws, c. 166, § 1.)

§ 2925 Enforcement.

The Commission may report an individual for violation of this chapter before any court of competent jurisdiction and it may take the necessary legal steps for the proper legal officers of this State to enforce this chapter and collect the penalties provided in this chapter.

(35 Del. Laws, c. 63, § 16; Code 1935, § 5488; 24 Del. C. 1953, § 2924; 57 Del. Laws, c. 151; 71 Del. Laws, c. 103, § 4; 78 Del. Laws, c. 166, § 1.)

§ 2925A The Rights and Responsibilities Guide for Landlords and Tenants Committee [For application of this section, see 85 Del. Laws, c. 277, § 5].

(a) For purposes of this section:

(1) "Committee" means the Rights and Responsibilities Guide for Landlords and Tenants Committee.

(2) "Commission" means the Delaware Real Estate Commission.

(3) "Guide" means the informational guide about the rights and responsibilities of landlords and tenants as required under this section and which must be distributed under § 5124 of Title 25.

(b) The Committee is established as part of the Commission to make recommendations about and support the drafting, maintenance, and distribution of the guide.

(c) The guide must include, at a minimum, all of the following:

(1) Information about the availability of renters insurance and flood insurance for tenants.

(2) Where to find information about tenants' rights to legal representation under Chapter 56 of Title 25.

(3) Where to find information about the eviction diversion program under § 5702A of Title 25.

(4) Information about lead-based paint disclosures, which may be required by federal, State, or local law.

(5) Information about local requirements, which may include that the landlord must be licensed, the rental unit must be registered, and that rental unit inspections must occur.

(6) Landlord and tenant obligations relating to bed bug infestation under Chapter 53 of Title 25.

(7) Frequently asked questions and answers about landlord and tenant responsibilities.

(8) Information about federal, State, and local fair housing laws.

(9) Requirements relating to the installation and maintenance of smoke detectors and carbon monoxide detectors.

(10) Information about requirements for landlord and tenant compliance with local ordinances, homeowner association or condominium requirements, or other applicable rules.

(11) How to determine school district feeder patterns.

(12) Where on the Commission's website that the most current version of the guide can be found.

(13) The date that the guide was adopted or amended.

(d) (1) The guide may be amended, including by updating the guide and adding additional information that is relevant to landlords and tenants.

(2) The Committee shall review the guide annually and recommend to the Commission whether the guide should be retained without change or what, if any, amendments should be made.

(3) The Commission may adopt and amend the guide by an affirmative vote of a majority of all Commission members.

(4) The Committee shall monitor State law for any disclosures or document provision requirements that are applicable to landlords and tenants. The Committee may recommend that the Commission send a report to the General Assembly, as needed, with any recommendations for changes to the law to consolidate disclosures or documents into the guide. A report made under this paragraph (d)(4) must be submitted to the Chief Clerk of the House of Representatives, the Secretary of the Senate, and the Director and the Librarian of the Division of Legislative Services.

(e) The Committee consists of the following members:

(1) The following members serving by virtue of position, or a designee appointed by that member:

a. Chairperson of the Commission.

b. Delaware Right to Representation Coordinator of the Delaware Volunteer Legal Services.

c. Director of the Fraud and Consumer Protection Division of the Department of Justice.

d. President of the Delaware Association of Realtors.

e. President of the Delaware Apartment Association.

f. Director of Community Legal Aid Society, Inc.

g. Executive Director of Housing Alliance Delaware.

h. Executive Director of the Division of Human and Civil Rights.

i. Director of the State Council for Persons with Disabilities.

j. Executive Director of Legal Services Corporation of Delaware.

k. Director of the Delaware State Housing Authority.

l. Chief Magistrate of the Justice of the Peace Court.

m. Manufactured Housing Ombudsperson of the Department of Justice.

n. President of Greater Wilmington Housing Providers.

(2) One member of the Commission, appointed by the Chairperson of the Commission.

(3) Three landlords, appointed by the Governor, as follows:

a. One landlord with a residential rental property in New Castle County.

b. One landlord with a residential rental property in Kent County.

c. One landlord with a residential rental property in Sussex County.

(f) A member serving by virtue of position who is granted the ability to designate another individual to attend a Committee meeting must provide the designation in writing to the Chair. An individual attending a meeting for a member as a designee has the same duties and rights as the member.

(g) (1) The Committee shall elect a Chair and a Vice Chair from among its members to serve 1-year terms.

(2) The election of a Chair and Vice Chair occurs in January unless a vacancy in either position occurs, at which point the Committee shall elect a Chair or Vice Chair to serve until the next January election.

(3) The Chair or Vice Chair may be removed from their position by an affirmative vote of at least ⅔ of the Committee members.

(h) The Commission shall provide reasonable staff support and supplies to assist the Committee in performing its duties under this section.

(i) (1) A majority of the members must be present at a committee meeting in order to have a quorum. A vacancy on the Committee is not counted for purposes of a quorum.

(2) Except for as provided in paragraph (g)(3) of this section, official action by the Committee, including making findings and recommendations, requires the affirmative vote of a quorum of the Committee.

(j) (1) The Committee may adopt procedural rules to carry out its functions under this section. If the Committee does not adopt rules or if the adopted rules do not govern a given situation, the current edition of Robert's Rules of Order controls.

(2) The Chair may invite individuals with relevant expertise to participate in committee discussions.

(k) A member receives no compensation but may be reimbursed for the member's actual and necessary expenses incurred in the

performance of the member's official duties.

(*l*) The Committee shall meet at least annually to keep the material in the guide current.

(85 Del. Laws, c. 277, § 2.)

Subchapter II

Business Relationships

§ 2926 Applicability.

This subchapter applies to licensees in their business relationships with customers and clients for all types of real estate services whether they are sales, leases, exchanges, management of real estate for others, or real estate counseling conducted by licensees.

(75 Del. Laws, c. 277, § 6; 78 Del. Laws, c. 166, § 1.)

§ 2927 Certain psychological impacts not material facts.

(a) The fact or suspicion that a property might be or is psychologically impacted is not a material fact that must be disclosed in a real property transaction.

(b) No cause of action shall arise against an owner or landlord of real property or a licensee for failure to inquire about, make a disclosure about or release information about the fact or suspicion that such property is psychologically impacted.

(c) Except as stated in subsection (d) of this section if a customer or client makes a specific written request to the owner, landlord or licensee about the psychological impacts regarding a specific property, the owner, landlord or licensee shall answer the questions truthfully, to the best of such owner's, landlord's or licensee's knowledge. The licensee shall have no duty to inquire about the psychological impacts regarding a specific property unless a customer or client, in writing, specifically requests the licensee to ask the owner or landlord for such information.

(d) The owner, landlord or licensee shall not make any disclosure concerning those psychological impacts of HIV, AIDS, or any other disease which has been determined by medical evidence to be highly unlikely to be transmitted through the occupancy of a dwelling place even if a customer or client specifically asks about such psychological impacts.

(68 Del. Laws, c. 154, § 1; 71 Del. Laws, c. 103, § 4; 78 Del. Laws, c. 166, § 1.)

§ 2928 Internet and World Wide Web.

Entering a name and email address on an Internet or World Wide Web site is sufficient to establish a broker-consumer relationship for the use of that system, but does not in of itself create a broker-customer or client relationship for any other purpose. The broker may deliver the CIS by the Internet or World Wide Web and the customer may acknowledge receipt of it electronically. However, an exclusive business relationship or obligation for the customer or client to pay any compensation may only be created by a written brokerage agreement signed by the customer or client as a separate document. If the brokerage agreement is signed electronically it may not be part of a general consent to the terms of use of an Internet or World Wide Web site or other electronic device, but must be a conspicuous separate document.

(75 Del. Laws, c. 277, § 6; 78 Del. Laws, c. 166, § 1.)

§ 2929 Financial information.

Licensees do not have a duty to conduct an independent investigation of the customer's or client's financial condition and do not have a duty to independently verify the accuracy or completeness of financial statements made by the customer or client or any independent inspector, auditor, or lender, but if the licensee has actual knowledge of false financial information, the licensee shall advise the party to correct it and shall not pass on the information known to be false.

(75 Del. Laws, c. 277, § 6; 78 Del. Laws, c. 166, § 1.)

§ 2930 Compensation.

(a) *Written brokerage agreements.* — Nothing in this chapter obligates a buyer, tenant, seller or landlord to pay compensation to a broker or brokerage organization unless that party has entered into a written brokerage agreement with the broker or brokerage organization specifying the compensation terms. The compensation agreement may specify that the licensee may cooperate with other licensees. Brokers or brokerage organizations may compensate other brokers or brokerage organizations participating in the transaction without further permission of the party. The source of compensation does not by itself determine brokerage relationships. If a brokerage agreement contemplated one type of transaction such as a sale, but then through the course of continuous negotiations the initial transaction changes to another type of transaction such as a lease, the broker is still entitled to compensation; however, if the initial transaction was a lease which later became a sale, the broker is not entitled to compensation unless the listing agreement, other compensation agreement, or lease provided for compensation for a later sale.

(b) *Additional terms.* — Nothing in this chapter shall prohibit consumers from entering into written brokerage agreements with a broker or brokerage organization which contain duties, obligations, or responsibilities which are in addition to those specified in this chapter.

(c) *Different relationships permitted for different transactions or jurisdictions.* — A licensee or brokerage organization may work with a single party in separate transactions pursuant to different brokerage relationships including but not limited to selling 1 property as a seller's

agent and working with that seller in buying another property as buyer's agent, or seller's sub-agent where permitted; provided, however, that the licensee or brokerage organization complies with this chapter in establishing the relationships for each transaction. A licensee who is licensed in another jurisdiction may function as a licensee for properties in that jurisdiction even if the brokerage relationship is different in that jurisdiction such as a "transaction broker", without being considered that status in Delaware.

(d) *Compensation to licensee or entity of licensee.* — All compensation relating to a real estate services transaction to be paid to a licensee shall be paid through the broker or brokerage organization. The broker or brokerage organization may pay the licensee's individual compensation to an entity created by the licensee to receive compensation providing the entity is either already approved by the Commission as a brokerage organization or the entity does not need to be approved because it does not engage in the brokerage business but is only established for business purposes to receive the licensee's compensation. The licensee paid by the broker or brokerage organization may employ licensed or unlicensed staff or team members who shall be paid an hourly wage, salary, or commission according to their agreement with the employing licensee. Nothing in this chapter shall authorize unlicensed personal assistants, independent contractors, or employees to engage in real estate services activities which by statute or regulation of the Commission must be performed by a licensee.

(75 Del. Laws, c. 277, § 6; 78 Del. Laws, c. 166, § 1.)

§ 2931 Competitive market analysis ("CMA").

A competitive market analysis is not an appraisal. A licensee may perform a competitive market analysis as part of providing real estate services. However, a licensee shall not perform a competitive market analysis for the mortgagee on a property that is the subject of a signed agreement of sale. A competitive market analysis as permitted under this chapter shall meet the following criteria:

(1) A competitive market analysis shall only be prepared for the following purposes:

a. An existing or potential seller or owner for the purpose of listing a property for sale or lease; or

b. An existing or potential buyer or tenant for the purpose of purchasing or leasing a property for sale or lease;

(2) The following disclosure shall appear in at least a 12-point bold face type font and located immediately following the estimated market price:

"Notwithstanding any language to the contrary contained herein, this Competitive Market Analysis is NOT an appraisal of the market value for property and is not intended to be used for any legal purpose including approval of a mortgage loan, modification of a mortgage loan, divorce/property separation, estate settlement, bankruptcy proceedings or any other purpose where real estate value is needed. If an appraisal is desired, the services of a licensed or certified appraiser must be obtained."

(3) The competitive market analysis shall comply with the content requirements as provided in the rules and regulations.

(78 Del. Laws, c. 166, § 1.)

§ 2932 Common law of agency.

(a) For transactions where the consumer hires a broker as a common law agent, and the broker agrees to become the consumer's common law agent, the common law of agency applies to the extent it is not inconsistent with applicable provisions of this chapter.

(b) The duties of a licensee as a common law agent and corresponding liabilities of the client begin and terminate based upon the common law of agency.

(c) *Common law agency disclosure.* — (1) All licensees in a common law agency relationship must disclose, in writing, whom they represent. This disclosure shall be made to all parties to a transaction who the licensee does not represent but with whom the licensee has substantive contact, such as prospective sellers, lessors, buyers and lessees.

(2) This disclosure referenced in subsection (a) of this section shall be made at the first substantive contact between the licensee and the person the licensee does not represent. A listing broker who is not also the selling Broker and who has no substantive contact with the prospective buyer or lessee, need not make any agency disclosure to the prospective buyer or lessee.

(3) The Commission may adopt rules and regulations to prescribe the form of disclosure to be used by licensees or minimum criteria for the form of disclosure.

(4) Licensees shall not function in the capacity of a common law agent for transactions concerning a 1-to-4 family residential property unless they have established that relationship in writing and the policy of the broker is to represent only the seller or buyer as a single agent for each transaction and never as a dual agent.

(68 Del. Laws, c. 166, § 1; 71 Del. Laws, c. 103, § 4; 75 Del. Laws, c. 277, §§ 1, 2, 6; 78 Del. Laws, c. 166, § 1.)

§ 2933 Statutory agency.

(a) The common law of agency relative to brokerage relationships in real estate services transactions established pursuant to this chapter is expressly abrogated for any licensee functioning as a broker, associate broker, or salesperson, licensee owner, or brokerage organization as defined in this chapter as a statutory agent. The remainder of this subchapter is intended to occupy completely the field of law relative to brokerage relationships for those real estate services transactions with the licensee or licensee's functioning as statutory agents. For those areas where the public, licensees, regulators, or courts need further guidance as to the conduct of statutory agents, customers and clients, the law governing independent contractor relationships shall apply to the extent it is not inconsistent with the provisions of this chapter.

(b) *Statutory interpretation.* — Performing the functions of a statutory agent as described in this chapter and the rules and regulations of the Commission shall not be construed to automatically or by implication create a common law agency relationship. This section through §

2938 of this title shall be construed as rules of conduct describing how a licensee works for clients, works with customers, or interacts with the general public as a statutory agent in the capacity of an independent contractor and not as a common law agent.

(c) *Presumed statutory agency.* — (1) For properties marketed for sale of 1-to-4 family residences or single lot sales of land intended for a 1-to-4 family residence:

a. The licensee working for the buyer is presumed to be a statutory agent representing the buyer;

b. A licensee working for the seller is presumed to be a statutory agent representing the seller; and

c. A licensee working for both buyer and seller is presumed to be a statutory agent representing both parties as a dual agent.

(2) For new construction onsite sales offices for 1-to-4 family residences or single lot sales of land intended for a 1-to-4 family residence, the onsite licensee shall be presumed to be a statutory agent representing the builder or seller.

(3) The presumption of agency may be rebutted by the consumer signing a consumer information statement establishing a different agency relationship.

(78 Del. Laws, c. 166, § 1.)

§ 2934 Commencement and termination of duties.

(a) *Commencement of duties for a statutory agent.* — The duties of confidentiality as required by § 2936(c) of this title begin upon first contact between a licensee and the customer. The other statutory duties between a licensee and client as required by this subchapter begin upon the earlier of:

(1) The first scheduled appointment;

(2) The first showing of a property;

(3) Making an offering; or

(4) Otherwise working for the client;

unless a CIS is signed indicating there is no agency relationship. For transactions exempt from providing the CIS, the duties of the agent commence when the parties form an agency relationship.

(b) *Duties of a statutory agent after termination.* — A licensee and brokerage organization owe no further duty or obligation to the customer or client after termination, expiration, completion or performance of the transaction or other termination of the brokerage relationship, except the duties of:

(1) Accounting in a timely manner for all money and property related to, and received during the relationship; and

(2) Treating as confidential the information provided by the customer or client during the course of the relationship that may reasonably be expected to have a negative impact on the customer or client's real estate activity unless:

a. The customer or client to whom the information pertains grants written consent;

b. Disclosure of the information, such as defects actually known by the licensee or previously disclosed by the seller on the seller's disclosure of real property condition report or radon disclosure or any other statutorily required form, is required by law;

c. The information is made public or becomes public by the words or conduct of the customer or client to whom the information pertains or from a source other than the licensee or brokerage organization; or

d. Disclosure is necessary to defend the licensee or brokerage organization against an action of wrongful conduct in an administrative or judicial proceeding or before a committee of a professional association.

(75 Del. Laws, c. 277, § 6; 76 Del. Laws, c. 258, § 3; 78 Del. Laws, c. 166, § 1.)

§ 2935 Duty to cooperate.

(a) Licensees shall cooperate with all other licensees involved in a transaction except when cooperation is not in the customer's or client's best interest. The obligation to cooperate does not include any obligation to share commissions or to otherwise compensate another licensee.

(b) In order to cooperate, licensees shall be reasonably available when requested by their customer or client to:

(1) Accept delivery of and present to the customer or client offers and counteroffers to buy, sell, or lease the customer's or client's property, or the property the customer or client seeks to purchase or lease;

(2) Assist the customer or client in developing, communicating, negotiating, and presenting offers, counteroffers, and notices that relate to offers and counteroffers until the agreement of sale or lease is signed and all contingencies are satisfied or waived; and

(3) Answer the customer's or client's questions relating to the offers, counteroffers, notices, negotiations, and contingencies; and

(4) Hold the escrow deposit.

(c) In order to cooperate, licensees shall be reasonably available when requested by a cooperating licensee to undertake the activities described in subsection (b) of this section, but only after disclosing the request to their customer or client and receiving written authorization to undertake the requested activity. If the customer or client fails to authorize the licensee to undertake the requested activity, the licensee shall not undertake such activity. If the broker's or brokerage organization's business model includes offering all of the services explained in the CIS, rather than having separate charges for distinct real estate services, the CIS is sufficient disclosure or written authorization to undertake the activities described in subsection (b) of this section.

(75 Del. Laws, c. 277, § 6; 76 Del. Laws, c. 258, § 9; 78 Del. Laws, c. 166, § 1.)

§ 2936 Broker, associate broker and salesperson as a statutory agent.

(a) Unless specifically hired as a common law agent by a written brokerage agreement, a licensee is a statutory agent and not a common law agent for any party. The broker may from time to time designate 1 or more associate brokers or salespersons licensed under that broker to be the designated associate broker or associate brokers or salesperson or salespersons of a client or clients to the exclusion of all others in the brokerage organization.

(b) *Obligations and responsibilities.* — A licensee shall to the extent applicable to their functions have the following obligations and responsibilities:

(1) Performing the duties required by this chapter;

(2) Performing the terms of the written brokerage agreement, if any;

(3) Exercising reasonable skill and care as a licensee;

(4) Advising the parties to obtain expert advice on material matters about which the licensee knows but the specifics of which are beyond the expertise of such licensee;

(5) Accounting in a timely manner for all money and property received;

(6) Helping to keep the parties informed regarding the progress of the transaction;

(7) Performing ministerial tasks to assist the parties in complying with the terms and conditions of any contract;

(8) Disclosing to all prospective buyers or tenants any adverse material facts actually known by the licensee;

(9) Informing the parties that they shall not be vicariously liable for acts of other licensees;

(10) Informing the parties that notice given to the designated licensee is considered notice to their client;

(11) Informing the parties that oral or written statements made by a licensee without the consent of the party do not bind the party and may not be relied upon by anyone as binding a party. As such, all statements and negotiations shall need to be authorized by or signed by the parties themselves to be binding on the parties unless otherwise stated in the brokerage agreement, agreement of sale, lease, or power of attorney;

(12) Complying with all requirements of this chapter and any rules and regulations promulgated pursuant to this chapter;

(13) Complying with any applicable federal, state, or local laws, rules, regulations, or ordinances; and

(14) Following fair housing and civil rights laws and regulations.

(c) *Confidentiality.* — The following information shall not be disclosed by a licensee without the informed consent of the affected party:

(1) That a buyer or tenant is willing to pay more than the purchase price or lease rate offered for the property;

(2) That a seller or landlord is willing to accept less than the asking price or lease rate for the property;

(3) What the personal motivating factors are for any party to a transaction;

(4) That a seller, buyer, landlord, or tenant will agree to terms other than those offered;

(5) Any material confidential information about the parties or property unless disclosure is required by statute or regulation or failure to disclose such information would constitute fraud or intentional misrepresentation;

(6) Any facts or suspicions regarding circumstances which may psychologically impact or stigmatize any real property pursuant to § 2927 of this title unless required to be disclosed by § 2927 of this title; or

(7) Any facts or suspicions that any party or someone in the community is a registered sex offender under subchapter III of Chapter 41 of Title 11 as amended from time to time, but if asked shall refer the person to the Delaware State Police to seek this information.

(d) *Confidentiality exception.* — For transactions of properties other than those marketed as:

(1) One-to-4 family residences; or

(2) Single family lots of land intended for 1-to-4 family residence;

designated agents who are not dual agents are exempt from subsection (c) of this section; instead, a duty of confidentiality by the agent to the client shall apply after a client relationship is formed.

(e) *Actions permitted by agents.* — An agent may do the following without breaching any obligation, duty, or responsibility to a customer or client:

(1) List and advertise competing properties for sale or lease;

(2) Show customers or clients alternative properties not owned by their broker's other clients;

(3) Show properties in which 1 customer or client is interested to their other customers or clients;

(4) Present offers on the same property for more than 1 customer or client:

(5) Disseminate information that is generally available to licensees. For example, providing information on comparable sales and the licensee's interpretation, advice, and opinion about this information with the customer or client retaining the authority to decide what to do with this information;

(6) Assist buyers and sellers in preparing offers and counteroffers, providing that the forms used advise the parties that they may seek legal advice prior to signing. Presenting all offers and counteroffers in a timely manner regardless of whether the property is subject to a contract for sale, lease or letter of intent unless instructed otherwise by the customer or client;

(7) Develop negotiating strategies or options for how to proceed with a transaction;

(8) Perform ministerial tasks;

(9) Serve as a single agent, sub-agent, or dual agent for the same parties in different transactions or different parties concerning the same property. For example, the licensee could be a statutory agent for the sellers in 1 transaction and a common law agent for the same

people as buyers in another transaction;

(10) Cooperate with other licensees; however, for 1-to-4 family residences or single family lots of land intended for 1-to-4 family residences they shall not engage any common law subagents from other brokers or brokerage organizations;

(11) Disclose information concerning a transaction among the broker, designated associate broker(s) or designated salesperson(s), and office staff working for the brokerage organization on that transaction;

(12) Provide customers with factual information they request. Provide clients with relevant factual information. Tell clients about their choices of how to proceed and provide them with relevant information. Provide clients with information and advice when presented with questions from the client or a request for advice.

(f) *No imputed knowledge.* — There is no imputation of knowledge or information by operation of law among or between the customer, client, broker, associate broker, salesperson, brokerage organization and other licensees or persons within a brokerage organization.

(g) *Notice.* — Notice as defined by law or in the agreement of sale or lease given to a party shall be considered effective notice. Unless specified otherwise in the agreement of sale or lease, notice only given to a designated associate broker(s) or salesperson(s) shall also be considered effective notice to the client of that associate broker or salesperson. Notice to the broker is not considered notice to the designated associate broker(s), designated salesperson(s), or client. Notice only to the designated associate broker or salesperson is not considered notice to the broker, or the rest of the brokerage organization.

(75 Del. Laws, c. 277, § 6; 76 Del. Laws, c. 258, §§ 5-7; 78 Del. Laws, c. 166, § 1.)

§ 2937 Vicarious liability; protections when working with a statutory agent.

(a) A customer or client shall not be liable for a wrongful act, error, omission, or misrepresentation of the licensee except to the extent the customer or client had actual knowledge of the wrongful act, error, omission, or misrepresentation.

(b) A licensee shall not be liable for a wrongful act, error, omission, or misrepresentation of the customer or client except to the extent the licensee had actual knowledge of the wrongful act, error, omission, or misrepresentation.

(c) Nothing in this section shall be construed to diminish or limit any of the other duties or responsibilities of the licensee under this chapter, or the rules promulgated hereunder.

(d) This chapter does not otherwise limit the liability of a broker, for an act, error, or omission of a licensee in the brokerage organization. Notwithstanding any other provision of this chapter, the employer of the licensee is vicariously liable as the employer would be under the doctrine of respondeat superior whether the licensee is employed by the broker or brokerage organization as an employee or as an independent contractor.

(e) This section does not apply if the licensee or brokerage organization is hired as a common law agent.

(75 Del. Laws, c. 277, § 6; 76 Del. Laws, c. 258, § 8; 78 Del. Laws, c. 166, § 1.)

§ 2938 Consumer information statement; confidentiality.

(a) The Commission shall establish by rule and regulation a consumer information statement ("CIS"). The Commission may provide alternative consumer information statements for residential properties, properties that do not contain any residential units, commercial transactions, property management, or other brokerage situations as the Commission deems appropriate. At a minimum, the form shall provide a summary of what a licensee is permitted or prohibited from doing as provided by §§ 2935 and 2936 of this title. The CIS shall explain the circumstances when the consumer may hire the licensee as a common law agent, but that this would require other detailed disclosures of conflicts of interests and could involve significant potential legal liability and financial risk for the consumer.

(b) The consumer information statement required by this chapter shall be delivered to the consumer no later than the earlier of:

(1) The first scheduled appointment;

(2) The first showing of a property; or

(3) Making an offer;

unless the consumer has already been given the CIS by another licensee. A listing licensee who knows that the buyer is working with another licensee is not required to give that buyer a CIS. A licensee working with a buyer who knows that the seller is working with another licensee is not required to give a CIS to that seller. The CIS must be signed by the customer or client prior to signing an agreement of sale, listing agreement or any other brokerage agreement, unless otherwise exempt from providing a CIS.

(c) The CIS shall be available to the consumer at open houses, but does not need to be personally presented by the licensee unless the consumer asks for more than factual information about the property or expresses interest in making an offer on the property during the open house.

(d) The duties of confidentiality as required by § 2936(c) of this title begin upon the first contact between a licensee and the customer. The other statutory duties between a licensee and client as required by this subchapter begin upon the earlier of:

(1) The first scheduled appointment;

(2) The first showing of a property;

(3) Making an offer; or

(4) Otherwise working for the client;

unless a CIS is signed indicating there is no agency relationship. For transactions exempt from providing the CIS, the duties of the agent commence when the parties form an agency relationship.

(e) Nonrenewable leases of 120 days or less are exempt from the requirement to provide the CIS to the potential tenant; provided, however, that the duties of confidentiality required by § 2936(c) of this title and the rest of this chapter still apply to those leases. The broker may still choose to provide the CIS as a matter of brokerage organization policy.

(f) Transactions of properties other than those marketed as:

(1) One-to-4 family residential properties; or

(2) Single lot sales of land intended for a 1-to-4 family residence;

are exempt from the requirement to provide the CIS to the potential parties; however the balance of this chapter shall still apply unless specifically exempted. In lieu of providing a CIS, the agreement of sale or lease shall include the following language after the confirmation of the agency relationships:

"The parties acknowledge that they have certain rights and responsibilities under Delaware agency law (Title 24 of the Delaware Code, Chapter 29) and may consult with their legal counsel."

(g) For rental of residential property not otherwise exempt from the requirement to provide the CIS, the CIS shall be given to the potential tenant no later than the earlier of:

(1) The first scheduled appointment;

(2) The first showing of a property; or

(3) Making an offer,

but does not need to be signed until the potential tenant decides to complete a rental application or the signing of a lease.

(75 Del. Laws, c. 277, § 6; 76 Del. Laws, c. 258, §§ 3, 4, 10; 78 Del. Laws, c. 166, § 1.)

§ 2939 Limitations on local business licensing requirements, fees and taxes.

(a) A county, municipality, or other political subdivision of the State shall not impose local business licensing requirements, fees, or taxes upon real estate brokers, associate brokers, brokerage organizations, or real estate salespersons for any of the following activities in that jurisdiction:

(1) Listing real estate for sale.

(2) Representing buyers in the purchase of real estate.

(3) Rental of real estate for property owners or tenants unless the property is in a city with a population over 50,000.

(b) This section does not prohibit a jurisdiction from charging a real estate broker, associate broker, brokerage organization, or real estate salesperson with a physical office in that jurisdiction for a business license, fees, or taxes on the same basis as other businesses with offices in that jurisdiction.

(c) This section does not prohibit a jurisdiction from charging a wage tax under that jurisdictions' ordinances on the same basis as other wages earned in that jurisdiction.

(82 Del. Laws, c. 159, § 1.)

§ 2940 Requirements for wholesaling; right to cancel agreement of sale or contracts for wholesale transactions.

(a) An owner of a fee simple or leasehold interest in real property who is party, as seller, in a wholesale transaction has the right to cancel an agreement of sale or contract that contains the information required under subsection (e) of this section until midnight of the twenty-first calendar day after the date when the consumer executed the agreement or contract, or until conveyance, whichever occurs first. An agreement of sale or contract for a wholesale transaction that does not contain the information required under subsection (e) of this section may be canceled at any time prior to conveyance. The right to cancel the agreement of sale or contract is not waivable.

(b) Notice of cancellation shall be given by certified return receipt mail or by any other bona fide means of delivery, including electronic delivery or personal delivery, provided that the consumer retains proof of sending. A notice of cancellation given by a bona fide means of delivery is effective on the date postmarked, the date of electronic transmission, the date of personal service, or on the date of deposit of the notice with any bona fide means of delivery.

(c) Within 10 business days after the receipt of a notice of cancellation, all payments of any kind made by the consumer must be refunded to the consumer and an acknowledgment that the agreement of sale or contract is void must be sent by the broker or salesperson to the consumer. In the event of a cancellation under this section, any promotional prizes, gifts, and premiums issued to the consumer remain the property of the consumer.

(d) A consumer who exercises the right of cancellation is not liable for any damages as a result of the exercise of that right.

(e) An agreement of sale or contract for a wholesale transaction shall prominently include the following information which shall be disclosed in the manner and method that the Commission shall establish by a form or forms approved by the Commission and which shall be available in English, Spanish, and Haitian Creole:

(1) A statement that the agreement of sale or contract is for a wholesale transaction in which the licensee intends to assign, sell, or otherwise transfer the interest for a fee, commission, or other valuable monetary consideration without having taken title as the owner of record of the interest.

(2) A statement that the consumer has the right to obtain an appraisal of the property from a real estate appraiser and to consult with a

real estate licensee not affiliated with the wholesaler's broker or to seek legal counsel from a Delaware lawyer before or after entering into the agreement or contract.

(3) A statement that the consumer has the right to cancel the agreement or contract until midnight of the twenty-first calendar day after the date when the consumer executed the agreement or contract, which included the required disclosure form, or until conveyance, whichever occurs first, by certified return receipt mail or by any other bona fide means of delivery, including electronic delivery or personal delivery, provided that the consumer retains proof of sending.

(4) A statement that within 10 business days after the receipt of a notice of cancellation, all payments of any kind made by the consumer shall be refunded to the consumer.

(85 Del. Laws, c. 274, § 5.)

Chapter 30
MENTAL HEALTH AND CHEMICAL DEPENDENCY PROFESSIONALS

Subchapter I

Board of Mental Health and Chemical Dependency Professionals

§ 3001 Objectives.

(a) The primary objective of the Board of Mental Health and Chemical Dependency Professionals, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

(b) The secondary objectives of the Board are to maintain minimum standards of licensee competency and to maintain certain standards in the delivery of services to the public. In meeting these objectives, the Board shall develop standards assuring professional competence; monitor complaints brought against licensees regulated by the Board; adjudicate at formal hearings; promulgate rules and regulations; and impose sanctions where necessary.

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 72 Del. Laws, c. 267, § 1; 74 Del. Laws, c. 355, § 2; 75 Del. Laws, c. 83, § 1.)

§ 3002 Definitions.

The following words, terms and phrases, when used in this chapter, shall have the meanings ascribed to them under this section, except where the context clearly indicates a different meaning:

(1) “Board” means the Board of Mental Health and Chemical Dependency Professionals.

(2) “Conversion therapy” means any practice or treatment that seeks to change an individual’s sexual orientation or gender identity, as “sexual orientation” and “gender identity” are defined in § 710 of Title 19, including any effort to change behaviors or gender expressions or to eliminate or reduce sexual or romantic attractions or feelings toward individuals of the same gender. “Conversion therapy” does not mean any of the following:

a. Counseling that provides assistance to an individual who is seeking to undergo a gender transition or who is in the process of undergoing gender transition.

b. Counseling that provides an individual with acceptance, support, and understanding without seeking to change an individual’s sexual orientation or gender identity.

c. Counseling that facilitates an individual’s coping, social support, and identity exploration and development, including counseling in the form of sexual orientation-neutral interventions or gender identity-neutral interventions provided for the purpose of preventing or addressing unlawful conduct or unsafe sexual practices, without seeking to change an individual’s sexual orientation or gender identity.

(3) “Division” means the Division of Professional Regulation of the State of Delaware.

(4) “Excessive use or abuse of drugs” means any use of narcotics, controlled substances or illegal drugs without a prescription from a licensed physician, or the abuse of alcoholic beverage such that it impairs a licensee’s ability to perform the work of a licensed mental health or chemical dependency professional.

(5) “Person” means a corporation, company, association and partnership, as well as an individual.

(6) “Store and forward transfer” means the transmission of a patient’s medical information either to or from an originating site or to or from the provider at the distant site, but does not require the patient being present nor must it be in real time.

(7) “Substantially related” means the nature of the criminal conduct, for which a person was convicted, has a direct bearing on the fitness or ability of the person to perform 1 or more of the duties or responsibilities of a licensed mental health, chemical dependency, marriage and family therapy, or art therapy professional.

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 267, § 1; 74 Del. Laws, c. 262, § 59; 74 Del. Laws, c. 355, §§ 3, 4; 75 Del. Laws, c. 83, § 1; 80 Del. Laws, c. 80, § 14; 81 Del. Laws, c. 340, § 6; 82 Del. Laws, c. 261, §§ 12, 16; 83 Del. Laws, c. 52, § 15; 83 Del. Laws, c. 433, § 20.)

§ 3003 Board of Mental Health and Chemical Dependency Professionals; appointments; composition; qualifications; terms; vacancies; suspension or removal; unexcused absences; compensation [For application of this section, see 80 Del. Laws, c. 261, § 2].

(a) There is created a Board of Mental Health and Chemical Dependency Professionals, which shall administer and enforce this chapter.

(b) The Board shall consist of 9 members, appointed by the Governor, who are residents of this State. The Board shall be comprised of 6 professional members and 3 public members. The professional members shall consist of at least 2 professional counselors of mental health, at least 2 licensed chemical dependency professionals and when possible at least 1 licensed marriage and family therapist. The public members shall not be, nor ever have been, mental health or chemical dependency professionals, employed by a mental health or chemical dependency professional, nor have been engaged in an activity directly related to the practice of a mental health or chemical dependency professional. The public members shall be accessible to inquiries, comments and suggestions from the public.

(c) Except as provided in subsection (d) of this section, each member shall serve a term of 3 years and may succeed himself or herself for 1 additional term. A term of office expires on the date specified in the appointment; however, the Board member shall remain eligible to participate in Board proceedings unless and until replaced by the Governor.

(d) A person who has never served on the Board may be appointed to the Board for 2 consecutive terms; but no such person shall thereafter be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board, or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(e) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless such an amendment or revision amends this section to permit such an appointment.

(f) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetence or neglect of duty. A member subject to disciplinary hearing shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(g) No member of the Board, while serving on the Board, shall hold elective office in any association of mental health or chemical dependency professionals, nor serve as head of a professional association's political action committee.

(h) The provisions of Chapter 58 of Title 29 shall apply to members of the Board.

(i) A member who is absent without adequate reason for 3 consecutive meetings or who fails to attend at least half of all regular business meetings during any calendar year shall be guilty of neglect of duty.

(j) Each member of the Board shall be compensated at an appropriate and reasonable level as determined by the Division of Professional Regulation and may be reimbursed for all expenses involved in each meeting, including travel, according to Division policy.

(66 Del. Laws, c. 128, § 1; 67 Del. Laws, c. 366, § 12; 67 Del. Laws, c. 368, § 19; 68 Del. Laws, c. 52, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 267, § 1; 74 Del. Laws, c. 355, §§ 5-7; 75 Del. Laws, c. 83, § 1; 80 Del. Laws, c. 261, § 1.)

§ 3004 Organization; meetings; officers; quorum.

(a) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, and at such times as the President deems necessary, or at the request of a majority of the Board members.

(b) The Board annually shall elect a president, vice-president and secretary. Each officer shall serve for 1 year and shall not succeed himself or herself for more than 2 consecutive terms. The office of president must rotate among the professions regulated and the public members.

(c) A majority of the members shall constitute a quorum for the purpose of transacting business and no action shall be taken without the affirmative vote of a majority of the quorum. No disciplinary action shall be taken without the affirmative vote of a majority of the members of the Board, at least 1 of whom must be of the same profession as the individual being disciplined.

(d) Minutes of all meetings shall be recorded and the Division shall maintain copies. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(66 Del. Laws, c. 128, § 1; 67 Del. Laws, c. 366, § 13; 68 Del. Laws, c. 52, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 267, § 1; 74 Del. Laws, c. 355, § 8; 75 Del. Laws, c. 83, § 1.)

§ 3005 Records.

The Division shall keep a register of all approved applications for licenses under this chapter and complete records relating to meetings of the Board, rosters, changes, and additions to the Board's rules and regulations, complaints, hearings and such other matters as the Board shall determine. Such records are prima facie evidence of the proceedings of the Board.

(72 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 83, § 1.)

§ 3006 Powers and duties.

(a) The Board shall have the power to:

(1) Adopt rules and regulations, which shall be promulgated in accordance with the requirements of the Administrative Procedures Act, Chapter 101 of Title 29.

(2) Designate an application form to be used by all applicants and process all applications.

(3) Evaluate the credentials of all applicants in order to determine whether the applicants meet the qualifications for licensing set forth in this chapter.

(4) Grant licenses to and renew licenses of applicants who meet the qualifications for licensure.

(5) Establish by rule and regulation continuing education standards required for license renewal.

(6) Evaluate certified records to determine whether an applicant for license who previously has been licensed, certified or registered in another jurisdiction has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against the applicant for such acts or offenses.

(7) Refer all complaints from licensees and the public concerning persons licensed under this chapter, or concerning practices of the Board or of a profession regulated by the Board, to the Division for investigation pursuant to § 8735 of Title 29 and assign a member of

the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint.

(8) Conduct hearings and issue orders in accordance with procedures established pursuant to Chapter 101 of Title 29.

(9) Where it has been determined after a hearing that penalties or sanctions should be imposed, designate and impose the appropriate sanction or penalty after time for appeal has lapsed.

(10) Adopt a code of ethics for each profession licensed by the Board, which code may be a code of ethics adopted by a national organization which certifies members of the profession.

(11) Appoint 1 or more credentialed art therapists to advise and assist the Board in adopting rules and regulations setting qualifications and procedures for licensing professional art therapists, evaluating credentials of applicants, adopting a code of ethics for the practice of art therapy, setting continuing education standards required for license renewal, and providing such other assistance as determined by the Board.

(b) The Board shall, by regulation, identify crimes which are substantially related to the practice of a mental health or chemical dependency professional.

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 72 Del. Laws, c. 267, § 1; 74 Del. Laws, c. 262, § 60; 74 Del. Laws, c. 355, §§ 9-11; 75 Del. Laws, c. 83, § 1; 81 Del. Laws, c. 165, § 1.)

§ 3007 Fees.

The amount charged for fees imposed under this chapter shall approximate and reasonably reflect costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division in its service on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each licensure period, the Division, or another state agency acting in its behalf, shall compute, for each separate service or activity, the appropriate fee for the period.

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 72 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 83, § 1.)

§ 3008 Issuance and renewal of licenses.

(a) The Board shall issue a license to an applicant who meets the requirements of this chapter for licensure and who pays the fee established by the Board.

(b) A license must be renewed biennially, in such manner as is determined by the Division and upon payment of a renewal fee, submission of a renewal form provided by the Division, and proof that the licensee has met the continuing education requirements established by the Board. In addition each licensee shall submit proof of membership in good standing as of the date of licensure in a national certifying organization acceptable to the Board.

(c) A licensee who fails to renew a license on or before the renewal date shall be granted 1 year to renew the license. The Division shall set a fee for late renewal. An individual who fails to renew a license before the expiration of the 1-year period must reapply as a new applicant, pay a fee set by the Division, and submit proof of fulfillment of continuing education requirements and proof of membership in a national certifying organization acceptable to the Board.

(d) A person licensed pursuant to this chapter, upon written request, may be placed on an inactive register. Provisions for resuming active status shall be established by the Board.

(e) It is the responsibility of a licensee to keep the Division informed of a change of address. Renewal applications will be sent to the last address on file with the Division.

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 72 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 83, § 1.)

§ 3009 Grounds for discipline.

(a) A person licensed under this chapter is subject to the disciplinary sanctions set forth in § 3011 of this title if, after a hearing, the Board finds that the licensee has:

(1) Employed or knowingly cooperated in a fraud or material deception in order to acquire a license under this chapter; has impersonated another person holding a license or allowed another person to use the licensee’s license; or has aided or abetted a person not licensed to represent himself or herself as a person licensed under this chapter.

(2) Been convicted of a crime that is substantially related to the practice of a mental health or chemical dependency professional. A certified copy of the record of conviction shall be conclusive evidence of a conviction.

(3) Excessively used or abused drugs in the past 3 years or currently.

(4) Engaged in an act which involved consumer fraud or deception, restraint of competition, or price fixing.

(5) Violated a provision of this chapter or a regulation promulgated by the Board under this chapter.

(6) Had the licensee’s professional license under this chapter suspended or revoked, or has been the subject of disciplinary action taken by the appropriate licensing authority of another jurisdiction; provided, that the grounds for the disciplinary action of the other jurisdiction have been presented to the Board by a certified record and the Board has determined that the facts found by the other jurisdiction constitute an act specified in this section. A person licensed in this State pursuant to this chapter is deemed to have given consent to the release of information by the Board or by a comparable agency in another jurisdiction and to waive all objections to the admissibility of evidence of a previously adjudicated act or offense.

(7) Failed to notify the Board that the licensee’s license in another jurisdiction has been subject to discipline, or has been surrendered,

suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation of a license shall be conclusive evidence thereof.

(8) Been convicted of a felony sexual offense.

(9) Failed to report child abuse or neglect as required by § 903 of Title 16, or any successor thereto.

(10) Failed to report to the Division of Professional Regulation as required by § 3018 of this title.

(11) Engaged in conversion therapy with a child.

(12) Referred a child to a provider in another jurisdiction to receive conversion therapy.

(b) Subject to the provisions of subchapter IV of Chapter 101 of Title 29, no license shall be restricted, suspended or revoked by the Board and no licensee's right to hold himself or herself out as an individual licensed under this chapter shall be limited by the Board until such licensee has been given notice and an opportunity to be heard in accordance with the Administrative Procedures Act [Chapter 101 of Title 29].

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 267, § 1; 74 Del. Laws, c. 262, §§ 62, 63; 75 Del. Laws, c. 83, § 1; 78 Del. Laws, c. 31, § 1; 79 Del. Laws, c. 213, § 2; 81 Del. Laws, c. 340, § 7.)

§ 3010 Complaints and investigations.

(a) All complaints shall be received and investigated by the Division in accordance with § 8735 of Title 29 and the Division shall be responsible for issuing a final written report at the conclusion of the investigation.

(b) When it is determined that an individual who is not licensed under this chapter is representing himself or herself as being so licensed, or is holding himself or herself out to the public as being licensed under this chapter, the Board shall apply to the Office of the Attorney General to issue a cease and desist order.

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 83, § 1.)

§ 3011 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that a condition or violation for discipline of a licensee regulated by this chapter has been established under § 3009 of this title:

(1) Issue a letter of reprimand.

(2) Censure a licensee.

(3) Place a licensee on probationary status and require the licensee to:

a. Report regularly to the Board upon the matters which are the basis of the probation; or

b. Limit all professional activities to those areas prescribed by the Board.

(4) Suspend a licensee's license.

(5) Revoke a licensee's license.

(6) Impose a monetary penalty not to exceed $500 for each violation.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such action have been remedied.

(c) As a condition to reinstatement of a suspended license or removal from probationary status, the Board may impose such disciplinary or corrective measure as are authorized under this chapter.

(d) The Board shall permanently revoke the license of any person who the Board determines has violated § 3009(a)(8) of this title.

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 83, § 1; 78 Del. Laws, c. 31, § 2.)

§ 3012 Hearing procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29 alleging a violation of § 3009 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings shall be informal, without use of rules of evidence. If the Board finds, by a majority vote of all Board members, that the

complaint has merit, the Board shall take such action permitted under this chapter as it deems necessary. The Board's decision shall be in writing and shall include its reasons for the decision. The decision shall be mailed immediately to the licensee.

(c) A licensee may appeal the Board's decision to the Superior Court within 30 days of the postmarked date of the copy of the decision mailed to the licensee. The appeal shall be in accordance with the provisions of the Administrative Procedures Act, Chapter 101 of Title 29. A stay may be granted in accordance with that act.

(d) All decisions of the Board regarding suspension or revocation of a license shall be made public by the Division in a news release to major media outlets in this State. The release may include any information deemed public under the Delaware Freedom of Information Act, Chapter 100 of Title 29, and shall include a telephone contact number for the Division for further information.

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 72 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 83, § 1.)

§ 3013 Reinstatement of a suspended license; removal from probationary status.

(a) As a condition to reinstatement of a suspended license or removal from probationary status, the Board may reinstate such license if after a hearing the Board is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension and/or probation.

(b) Applicants for reinstatement must pay the fees established by the Board and must submit evidence as required by the Board that all conditions of a suspension and/or probation have been met. Proof that the applicant has met any continuing education requirements of this chapter is also required.

(72 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 83, § 1.)

§ 3014 Issuance of replacement license [Repealed].

72 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 83, § 1; repealed by 82 Del. Laws, c. 8, § 12, effective Apr. 9, 2019.

§ 3015 License required.

No person shall hold himself or herself out to the public as a licensed mental health or chemical dependency professional unless the person is licensed in accordance with this chapter. It shall be unlawful for a person who is not licensed under this chapter, or the person's employer, employees, agents or representatives, to use, in connection with the person's name or business, any words, letters, abbreviations or insignia indicating or implying directly or indirectly that the person is licensed under this chapter.

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 83, § 1.)

§ 3016 Penalty.

(a) A person not currently licensed under this chapter who uses, in connection with that person's name or business, or otherwise assumes or uses any title or description conveying or tending to convey the impression that the person is licensed under this chapter, is guilty of a misdemeanor.

(b) For the first offense, the court may impose a fine of not less than $500 nor more than $1,000. For a second or subsequent conviction, the fine shall be not less than $1,000 nor more than $2,000 for each offense.

(c) Justice of the Peace Courts shall have jurisdiction over violations of this chapter.

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 83, § 1.)

§ 3017 Privileged communications.

Communications between a mental health or chemical dependency professional licensed under this chapter and a client of the professional shall be considered confidential to the same extent as provided by Rule 503 of the Delaware Rules of Evidence.

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 72 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 83, § 1.)

§ 3018 Duty to report conduct that constitutes grounds for discipline or inability to practice.

(a) Every person to whom a license to practice has been issued under this chapter has a duty to report to the Division of Professional Regulation in writing information that the licensee reasonably believes indicates that any other practitioner licensed under chapter, or any other health-care provider, has engaged in or is engaging in conduct that would constitute grounds for disciplinary action under this chapter or the other health-care provider's licensing statute.

(b) Every person to whom a license to practice has been issued under this chapter has a duty to report to the Division of Professional Regulation in writing information that the licensee reasonably believes indicates that any other practitioner licensed under this chapter, or any other health-care provider, may be unable to practice with reasonable skill and safety to the public for any of the following reasons:

(1) Mental illness or mental incompetence.

(2) Physical illness, including deterioration through the aging process or loss of motor skill.

(3) Excessive abuse of drugs, including alcohol.

(c) Every person to whom a license to practice has been issued under this chapter has a duty to report to the Division of Professional Regulation any information that the reporting person reasonably believes indicates that a person certified and registered to practice medicine in this State is or may be guilty of unprofessional conduct or may be unable to practice medicine with reasonable skill or safety to patients by reason of: mental illness or mental incompetence; physical illness, including deterioration through the aging process or loss of motor skill; or excessive use or abuse of drugs, including alcohol.

(d) All reports required under subsections (a), (b) and (c) of this section must be filed within 30 days of becoming aware of such information. A person reporting or testifying in any proceeding as a result of making a report pursuant to this section is immune from claim, suit, liability, damages, or any other recourse, civil or criminal, so long as the person acted in good faith and without gross or wanton negligence; good faith being presumed until proven otherwise, and gross or wanton negligence required to be shown by the complainant.

(78 Del. Laws, c. 31, § 12; 84 Del. Laws, c. 86, § 6.)

§ 3019 Treatment records; discontinuation of a practice; termination of a client relationship; death of a licensee.

(a) (1) An individual licensed under this chapter shall provide notice under this section to all affected clients no less than 30 days before doing any of the following:

a. Discontinuing the licensee's practice in this State when the licensee is not transferring client records to another provider in this State.

b. Terminating a client relationship.

(2) The notice required under paragraph (a)(1) of this section must include all of the following:

a. How the client can obtain the client's records.

b. The name, phone number, and address of other providers in the area who may be available to accept new clients who require that care.

c. The date the licensee will discontinue services.

(3) The notice required under paragraph (a)(1) of this section must be provided by all of the following:

a. If the client is enrolled to receive messages through an electronic medical record system, an electronic message through that system.

b. A letter sent by first-class mail.

(4) When a licensee is closing the licensee's practice and client records will no longer be available at the licensee's place of business, the licensee shall provide to the Board notice of how former clients may obtain the client's records.

(b) (1) If a licensee dies and has not transferred client records to another provider and has not made provisions for a transfer of client records to occur upon the licensee's death, a personal representative of the licensee's estate shall provide notice to the deceased licensee's clients of record by doing all of the following:

a. Publishing a notice to that effect in a newspaper of general circulation in the area where the deceased licensee practiced. The notice must be published at least 1 time per month in the 3-month period after the licensee's death.

b. Providing notice to all clients of record who have not requested their records 30 days after publication of the first notice under paragraph (b)(1)a. of this section by doing all of the following:

1. If the client is enrolled to receive messages through an electronic medical record system, an electronic message through that system.

2. A letter sent by first-class mail.

(2) The notice required under paragraph (b)(1) of this section must include all of the following:

a. That the licensee has died.

b. How the client can obtain the client's records.

(3) The personal representative of the person's estate shall provide the Board notice of how former clients may obtain the client's records.

(c) (1) If a client changes from the care of a licensee to another provider, the licensee shall transfer the client's records to the new provider upon the request of either the client or the new provider with the client's written consent.

(2) If the client and licensee agree, the licensee may forward a summary of the client's treatment record to the new provider in lieu of transferring the entire record, at no charge to the client.

(d) (1) Clients have the right to obtain a copy of their records from a licensee.

(2) Unless a client is requesting a copy of their records under subsection (a) or (b) of this section or to make or complete an application for a disability benefits program, a client who requests a copy of their records is subject to any of the following charges:

a. The reasonable expenses of copying the client's records, according to the payment schedule under paragraph (d)(3) of this section.

b. The actual cost of postage or shipping, if the records are mailed or shipped.

c. Charges for copies of records not susceptible to photostatic reproduction, such as radiology films, models, photographs, or fetal monitoring strips, may be the full cost of the reproduction.

(3) The Board shall establish a payment schedule for copies of client records under this section and must review this payment schedule annually.

(4) The licensee or their third-party release-of-information service may require payment of all costs under paragraph (d)(2) of this section before providing the copies of the records.

(e) This section does not apply to a licensee who has seen or treated a client on referral from another provider and who has provided a copy of the record of the diagnosis or treatment to at least 1 of the following:

(1) The referring provider.

(2) A hospital or an agency that has provided treatment for the client.

(f) A licensee has 45 days from the closure of the record or the assembly of a complete record to fulfill a request for client records, unless a faster response is medically necessary.

(g) (1) A licensee may permanently dispose of a client's record in a manner that ensures confidentiality of the records 7 years after the following:

a. Discontinuing business in this State.

b. The last entry date in the client's record after terminating the client relationship or the client changes from the care of the licensee to another provider.

(2) Seven years after the death of a licensee, the licensee's personal representative may permanently dispose of client records that have not been procured, in a manner that ensures confidentiality of the records.

(3) A licensee or the personal representative of the estate of a licensee who disposes of client records in accordance with this section is not liable for any direct or indirect loss suffered as a result of the disposal of a client's records.

(h) The Board may find that an individual licensed under this chapter who violates this section has committed unprofessional conduct, and any aggrieved client or the client's personal representative may bring a civil action for damages or injunctive relief, or both, against the violator.

(84 Del. Laws, c. 86, § 2.)

§ 3020 Appointment of a custodian of client records.

(a) If the Board receives a formal or informal complaint concerning access to client records as a result of a licensee's physical or mental incapacity, death, or abandonment or involuntary discontinuation of a licensee's practice in this State, the Board may temporarily or permanently appoint an individual or entity as custodian of the licensee's client records after an investigation in accordance with the procedures under § 8735(h) of Title 29.

(b) (1) The custodian of client records appointed under this section shall notify the licensee's clients of record of the custodian's appointment by doing all of the following:

a. Publishing a notice to that effect in a newspaper of general circulation in the area where the licensee practiced. The notice must be published at least 1 time per month in the 3-month period after the custodian's appointment and must explain how a client can procure the client's records.

b. Notifying, by first-class mail, all clients of record who have not requested their records 30 days after publication of the first notice under paragraph (b)(1)a. of this section that the custodian has been appointed and explaining how the client can procure the client's records.

(2) Seven years after being appointed, the custodian may permanently dispose of client records that have not been procured, in a manner that ensures confidentiality of the records.

(c) A custodian of client records appointed under this section who disposes of patient records in accordance with the provisions of this section is not liable for any direct or indirect loss suffered as a result of the disposal of a client's records.

(84 Del. Laws, c. 86, § 2.)

§§ 3021-3029 [Reserved].

Subchapter II

Mental Health Professional Counselors and Associate Counselors

§ 3030 License required.

No person shall hold himself or herself out to the public as a licensed professional counselor of mental health or licensed associate counselor of mental health unless the person is licensed in accordance with this chapter. It shall be unlawful for a person who is not licensed under this chapter, or the person's employees, agents or representatives, to use in connection with the person's name or business the words "licensed professional counselor of mental health," "licensed associate counselor of mental health," or any other words, letters, abbreviations or insignia indicating or implying directly or indirectly that the person is licensed under this chapter.

(74 Del. Laws, c. 355, § 12; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 83, § 1.)

§ 3031 Definitions.

As used in this subchapter:

(1) "Licensed associate counselor of mental health" ("LACMH") is an individual licensed as an associate counselor of mental health under this chapter who is obtaining experience under the professional direct supervision of a licensed professional counselor of mental health or other health professional approved by the Board for the purpose of becoming licensed as a professional counselor of mental health.

(2) “Licensed professional counselor of mental health” (“LPCMH”) is an individual licensed as a professional counselor of mental health under this chapter who offers professional mental health counseling to individuals, groups, organizations or the general public.

(3) “Professional direct supervision” is face-to-face consultation, on a regularly scheduled basis, between a supervisee and a licensed professional counselor of mental health (LPCMH) or other behavioral health professional approved by the Board as required by the nature of the work of the supervisee. The Board approved supervisor is responsible for insuring that the extent, kind and quality of the services rendered are consistent with the supervisee’s education, training and experience.

(4) “Professional mental health counseling” is the application of clinical counseling principles, methods or procedures including the diagnosis and treatment of mental and emotional disorders to assist individuals in achieving more effective personal and social adjustment.

(75 Del. Laws, c. 83, § 1; 80 Del. Laws, c. 80, § 15; 81 Del. Laws, c. 14, § 1.)

§ 3032 Qualifications of applicant.

(a) An applicant who is applying for licensure under this subchapter shall complete a Board approved application, submit the application fee, and supply evidence verified by oath and satisfactory to the Board that the applicant:

(1) Has received a master’s degree from a regionally accredited institution of higher education with a minimum of 60 graduate semester hours in clinical mental health counseling or received a graduate degree equivalent to clinical mental health counseling from a recognized institution as determined by the Board.

(2) Has acquired the equivalent of 2 years of experience in supervised professional mental health counseling acceptable to the Board. The professional mental health counseling experience must consist of not less than 3,200 hours obtained over a period of not less than 2 years and not more than 4 years, at least 1,600 hours of which shall be supervised clinical experience acceptable to the Board.

(3) Has passed the National Counselor Examination (NCE) or other examination acceptable to the Board.

(4) Has not received any administrative penalties regarding the applicant’s actions as a licensed, registered or certified mental health provider, including but not limited to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, and/or has not entered into any “consent agreement” which contains conditions placed by a Board on the applicant’s professional conduct, including any voluntary surrender of a license. The Board, after a hearing, may determine whether such administrative penalty is grounds to deny licensure.

(5) Does not have any impairment related to drugs or alcohol or a finding of mental incompetence by a physician that would limit the applicant’s ability to act as a professional counselor of mental health or associate counselor of mental health in a manner consistent with the safety of the public.

(6) Does not have a criminal conviction nor pending criminal charge relating to an offense that is substantially related to the practice of mental health counseling. Applicants who have a criminal conviction or pending criminal charge shall request appropriate authorities to provide information about the conviction or charge directly to the Board. However, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that the granting of a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(6). No waiver may be granted for a conviction of a felony sexual offense.

a.-e. [Repealed.]

(7) Has not been penalized for any wilful violation of the code of ethics adopted by the Board or the code of ethics of the National Board of Certified Counselors (NBCC) or its successor or other similar professional mental health counseling standard.

(8) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, has not been convicted of a felony sexual offense.

(9) Has submitted, at the applicant’s expense, fingerprints and other necessary information in order to obtain the following:

a. A report of the applicant’s entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

b. A report of the applicant’s entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Board of Mental Health and Chemical Dependency Professionals shall be the screening point for the receipt of said federal criminal history records.

An applicant may not be licensed as a licensed professional counselor of mental health until the applicant’s criminal history reports have been produced. An applicant whose record shows a prior criminal conviction for an offense that is substantially related to the practice of mental health counseling may not be certified by the Board unless a waiver is granted pursuant to paragraph (a)(6) of this section. The State Bureau of Identification may release any subsequent criminal history to the Board.

(b) If the Board finds that an applicant has been intentionally fraudulent or has intentionally supplied false information, the Board shall report its findings to the Attorney General for further action.

(c) Where an application is refused or rejected and the applicant feels the Board has acted without justification, has imposed higher or different standards for the applicant than for other applicants or licensees or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(d) [Repealed.]

(66 Del. Laws, c. 128, § 1; 67 Del. Laws, c. 200, § 3; 68 Del. Laws, c. 52, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 535, § 1; 72

Del. Laws, c. 267, § 1; 74 Del. Laws, c. 262, § 61; 75 Del. Laws, c. 83, § 1; 75 Del. Laws, c. 436, § 32; 77 Del. Laws, c. 199, § 23; 78 Del. Laws, c. 31, §§ 3-5; 78 Del. Laws, c. 44, §§ 44, 45; 79 Del. Laws, c. 277, § 10; 81 Del. Laws, c. 14, § 2; 83 Del. Laws, c. 433, § 20.)

§ 3033 Qualifications of applicant for licensed associate counselor of mental health.

(a) An applicant who is applying for licensure as an associate counselor of mental health under this chapter shall complete an application form, submit the required fee, and furnish evidence, verified by oath and satisfactory to the Board, that such person has met all the requirements established in this subchapter for licensed professional counselors of mental health, except the requirements dealing with required experience.

(b) A plan for professional direct supervision of the associate counselor of mental health shall be submitted to and approved by the Board prior to the applicant's acquiring the professional mental health counseling experience necessary for licensure as a professional counselor of mental health.

(c) The associate counselor of mental health license shall be effective for a period of 2 years. The license may be renewed up to 2 times.

(d) A LACMH may submit an application for LPCMH upon fulfillment of the experience requirements of this subchapter.

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 72 Del. Laws, c. 267, § 1; 75 Del. Laws, c. 83, § 1; 81 Del. Laws, c. 14, § 3.)

§ 3034 Reciprocity.

(a) Upon payment of the application fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who is certified by the National Board for Certified Counselors or other national mental health specialty certifying organization acceptable to the Board who shall present proof of current licensure in good standing in another State, the District of Columbia or territory of the United States, whose standards for licensure are substantially similar to those of this State. A "license in good standing" is defined in § 3032(a)(4) through (8) of this title.

(b) An applicant who is licensed in a jurisdiction whose standards are not substantially similar to those of this State but who has held a license in good standing for a minimum of 5 years in the jurisdiction from which the applicant is applying for reciprocal licensure and who has passed the NCE or other examination acceptable to the Board may be licensed by the Board, provided the applicant meets all other qualifications for reciprocity.

(c) An applicant who is licensed in a jurisdiction whose standards are not substantially similar to those of this State and who lacks the minimum years of licensure as defined in subsection (b) of this section above may apply for licensure as an associate counselor of mental health, in order to obtain the experience necessary to fulfill the requirements of this subchapter.

(d) [Repealed.]

(66 Del. Laws, c. 128, § 1; 68 Del. Laws, c. 52, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 267, § 1; 73 Del. Laws, c. 293, § 1; 75 Del. Laws, c. 83, § 1; 75 Del. Laws, c. 393, § 2; 81 Del. Laws, c. 14, § 4; 81 Del. Laws, c. 425, § 18.)

§ 3035 [Reserved.]

Subchapter III

Chemical Dependency Professionals

§ 3040 License required.

No person shall hold himself or herself out to the public as a licensed chemical dependency professional unless the person is licensed in accordance with this chapter. It shall be unlawful for a person who is not licensed under this chapter, or the person's employees, agents or representatives, to use in connection with the person's name or business, the words "licensed chemical dependency professional" or any other words, letters, abbreviations or insignia indicating or implying directly or indirectly that the person is licensed under this chapter.

(74 Del. Laws, c. 355, § 12; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 83, § 1.)

§ 3041 Definitions.

As used in this subchapter:

(1) "Chemical dependency professional" is a person who uses addiction counseling methods to assist an individual or group to develop an understanding of alcohol and drug dependency problems, define goals, and plan action reflecting the individual's or group's interest, abilities and needs as affected by addiction problems.

(2) "Counseling experience" is a formal, systematic process that focuses on skill development and integration of knowledge related to addiction counseling and reflects the accumulation of hours spent providing substance abuse counseling services while under the supervision of an approved clinical supervisor.

(3) "Licensed chemical dependency professional" is a person who holds a current, valid license issued pursuant to this chapter.

(4) "Professional counseling experience" is the accumulation of hours spent providing chemical dependency counseling services in a substance abuse counseling setting, including face to face interaction with clients and other services directly related to the treatment of clients.

(5) "Supervised counseling experience" is the overseeing of a supervisee's application of chemical dependency counseling principles, methods or procedures to assist clients in achieving more effective personal and social adjustment.

(6) "Uncompensated addictions services" are services offered to chemical dependent individuals free of charge.

(74 Del. Laws, c. 355, § 12; 75 Del. Laws, c. 83, § 1; 80 Del. Laws, c. 80, § 16; 82 Del. Laws, c. 261, §§ 12, 16; 83 Del. Laws, c. 52, § 16.)

§ 3042 License requirements; professional designation.

(a) No person shall hold himself or herself out to the public as a licensed chemical dependency professional or present, call or represent himself or herself as a licensed chemical dependency professional unless licensed under this chapter.

(b) No person shall assume, represent that person's own self as or use the title of "licensed chemical dependency professional," or any of the abbreviations of the above title unless licensed under this chapter and unless the title of designation corresponds to the license held by the person pursuant to this chapter.

(c) A licensed chemical dependency professional pursuant to the provisions of this chapter, or the licensed chemical dependency professional's employee, shall not disclose any confidential information that the counselor or the counselor's employee may have required while performing alcohol and drug counseling services for a patient unless in accordance with the federal regulation regarding the Confidentiality of Alcohol and Drug Abuse Patient Records pursuant to 42 C.F.R. 2.1 et seq.

(74 Del. Laws, c. 355, § 12; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 83, § 1.)

§ 3043 Applicability of subchapter.

(a) Nothing in this subchapter shall be construed to prevent a person from engaging in or offering any addiction services such as self-help, sponsorship through Alcoholics Anonymous, Narcotics Anonymous or other uncompensated addictions services.

(b) Nothing in this subchapter shall be construed to apply to a designated employee or other agent of a private employer who has been designated to provide chemical dependency counseling under the jurisdiction of the company, or an employee or other agent of a recognized academic institution, a federal, state, county or local government institution, agency or facility, or school district, if the individual is performing solely with the company or the agency, as the case may be, or under the jurisdiction of that company or agency, and if a license granted under this chapter is not a requirement for employment.

(c) Nothing in this subchapter shall be construed to apply to a rabbi, priest, minister, or clergy of any religious denomination or sect, when performing within the scope of the person's regular or specialized ministerial duties and for which no separate charge is made, for or under the auspices or sponsorship, individually or in conjunction with others, of an established and legal cognizable church, denomination, or sect, and when the person rendering services remains accountable to the established authority thereof.

(d) Nothing in this subchapter shall be construed to apply to a student, intern or trainee in chemical dependency counseling pursuing a course of study in counseling in a regionally accredited institution, if performed under supervision and constituting a part of the supervised course of study.

(e) Nothing in this subchapter shall be construed to apply to a person licensed in the State to practice medicine and surgery, psychology, social work, clinical social work, licensed professional counselor of mental health or any other person's profession or occupation and doing work of a nature consistent with a person's training, if the person does not hold himself or herself self out to the public as possessing a license issued pursuant to this chapter.

(f) Nothing in this subchapter shall prevent the practice of healing by spiritual means in accordance with the tenets and practice of any church or religious denomination by a duly accredited practitioner thereof. In the practice of healing by spiritual means, no individual shall hold himself or herself out to the public as possessing a license issued pursuant to this subchapter.

(74 Del. Laws, c. 355, § 12; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 83, § 1.)

§ 3044 Qualifications of applicant.

(a) Applicants for chemical dependency professional license by certification under this chapter shall complete an application form, pay the required fee and provide evidence, verified by oath and satisfactory to the Board, that the applicant meets the following requirements:

(1) Received a master's degree from a regionally accredited institution of higher education with a minimum of 30 graduate semester hours in counseling or subjects closely related to counseling.

(2) Subsequent to receiving the master's degree has acquired 3,200 hours of counseling experience, 1,600 hours of which must be under the supervision of a licensed chemical dependency professional. Where supervision by a licensed chemical dependency professional is not available, a licensed clinical social worker, licensed psychologist, licensed professional counselor of mental health or a licensed physician specializing in chemical dependency may supervise the applicant. Of the 1,600 hours of supervised counseling experience, at least 100 hours must be face-to-face consultation between the supervisor and supervisee, which may take place in individual and/or in group settings, as follows:

a. Individual supervision, which consists of 1-to-1, face-to-face meetings between supervisor and supervisee, provided, the entire 100 hour requirement may be fulfilled by individual supervision.

b. Group supervision, which consists of face-to-face meetings between supervisor and no more than 6 supervisees; provided, no more than 40 hours of group supervision shall be acceptable toward the 100 hour requirement.

(3) Is certified by the National Association for Addictions Professionals, (NAADAC) as a national certified addictions counselor

(NCAC or MAC), by the Delaware Certification Board (DCB Inc.) as a certified alcohol and drug counselor, or by a certifying organization acceptable to the Board.

(4) Has not received any administrative penalties regarding the applicant's actions as a Licensed Chemical Dependency Professional, including but not limited to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, and and/or has not entered into any "consent agreement" which contains conditions placed by a Board on the applicant's professional conduct, including any voluntary surrender of a license. The Board, after a hearing, may determine whether such administrative penalty is grounds to deny licensure.

(5) Does not have any impairment related to drugs or alcohol or a finding of mental incompetence by a physician that would limit the applicant's ability to act as a chemical dependency professional in a manner consistent with the safety of the public.

(6) Does not have a criminal conviction nor pending criminal charge for an offense that is substantially related to chemical dependency counseling. Applicants who have a criminal conviction or pending criminal charge shall request appropriate authorities to provide information about the conviction or charge directly to Board. However, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(6). A waiver may not be granted for a conviction of a felony sexual offense.

a.-e. [Repealed.]

(7) Has not been penalized for any wilful violation of the code of ethics adopted by the Board or the code of ethics of a professional organization of chemical dependency professionals.

(8) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, has not been convicted of a felony sexual offense.

(9) Has submitted, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

b. A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Board of Mental Health and Chemical Dependency Professionals shall be the screening point for the receipt of said federal criminal history records.

An applicant may not be licensed as a chemical dependency professional until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction for an offense that is substantially related to the practice of chemical dependency counseling may not be certified by the Board unless a waiver is granted pursuant to paragraph (a)(6) of this section. The State Bureau of Identification may release any subsequent criminal history to the Board.

(b) If the Board finds that an applicant has been intentionally fraudulent or has intentionally supplied false information, the Board shall report its findings to the Attorney General for further action.

(c) Where an application is refused or rejected and the applicant feels the Board has acted without justification, has imposed higher or different standards for the applicant than for other applicants or licensees or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(d) [Repealed.]

(74 Del. Laws, c. 355, § 12; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 83, § 1; 75 Del. Laws, c. 436, § 33; 77 Del. Laws, c. 199, § 24; 78 Del. Laws, c. 31, §§ 6-8; 78 Del. Laws, c. 44, §§ 46, 47; 79 Del. Laws, c. 277, § 10; 81 Del. Laws, c. 14, § 5; 83 Del. Laws, c. 433, § 20.)

§ 3045 Reciprocity.

(a) Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant, who shall present proof of current licensure in good standing in another State, the District of Columbia or territory of the United States, whose standards for licensure are substantially similar to those of this State. Such applicant shall present proof that the applicant's license is in good standing. A license in good standing is defined in § 3044(a)(4)-(7) of this title.

(b) An applicant who is licensed in a jurisdiction whose standards are not substantially similar to those of this State may be licensed by the Board if:

(1) The applicant had a license in good standing for a minimum of 5 years after licensure in the jurisdiction from which that applicant is applying for reciprocal licensure; and

(2) Is certified by the National Association for Addictions Professionals (NAADAC), or the Delaware Certification Board (DCB), or other national certifying organization acceptable to the Board;

provided, however, that the applicant meets all other qualifications for reciprocity in this section.

(c) In lieu of the documentation required by subsection (a) of this section, an applicant may submit a certificate of professional qualification as a licensed chemical dependency professional from a credential bank approved by the Board. The Board shall identify acceptable credentialing organizations in its rules and regulations. In addition, the Board may require the applicant to submit such supplemental information as it deems necessary to assure that the applicant meets the qualifications for licensure.

(75 Del. Laws, c. 393, § 1; 70 Del. Laws, c. 186, § 1.)

Subchapter IV
Marriage and Family Therapists

§ 3050 License required.

No person shall hold himself or herself out to the public as a licensed marriage and family therapist or a licensed associate marriage and family therapist unless the person is licensed in accordance with this chapter. It shall be unlawful for any person who is not licensed under this chapter, or the person's employees, agents, or representatives to use in connection with the person's name or business the words "licensed marriage and family therapist," "licensed associate marriage and family therapist," or any other words, letters, abbreviations or insignia indicating or implying directly or indirectly that such person is licensed.

(75 Del. Laws, c. 83, § 1; 70 Del. Laws, c. 186, § 1.)

§ 3051 Definitions.

(a) [Repealed.]

(b) "Licensed associate marriage and family therapist" (LAMFT) is an individual licensed as an associate marriage and family therapist under this chapter who is obtaining experience under professional direct supervision for the purpose of becoming licensed as a marriage and family therapist.

(c) "Licensed marriage and family therapist" (LMFT) is an individual licensed as a marriage and family therapist under this chapter who offers to individuals, couples, families or groups professional marriage and family services either directly to the general public or through public or private organizations.

(d) "Marriage and family therapy" includes the diagnosis and treatment of mental and emotional disorders, whether cognitive, affective, or behavioral, within the context of interpersonal relationships, including marriage and family systems, and involves the professional application of psychotherapy, assessment instruments, counseling, consultation, treatment planning, and supervision in the delivery of services to individuals, couples and families.

(e) "Professional direct supervision" is face-to-face consultation, on a regularly scheduled basis, between a supervisee and a qualified, licensed marriage and family therapist (LMFT) or other behavioral health professional approved by the Board. The Board approved supervisor is responsible for insuring that the extent, kind and quality of the services rendered are consistent with the supervisee's education, training and experience.

(75 Del. Laws, c. 83, § 1; 80 Del. Laws, c. 80, § 17; 81 Del. Laws, c. 14, § 6; 81 Del. Laws, c. 425, § 19.)

§ 3052 Qualifications of applicant.

(a) An applicant who is applying for licensure under this subchapter shall complete a board-approved application, submit the application fee, and supply evidence verified by oath and satisfactory to the Board that the applicant:

(1) Has completed a master's or doctoral degree in marriage and family therapy from a recognized educational institution with a minimum of 45 semester credits, or a graduate degree in an allied field from a recognized educational institution and graduate level work which is the equivalent to a master's degree in marriage and family therapy, as determined by the Board.

(2) Following completion of the master's degree has successfully completed 2 years of supervised marriage and family therapy experience. The experience must consist of not less than 3,200 hours obtained over a period of not more than 4 consecutive years, at least 1,600 of which shall be supervised clinical experience acceptable to the Board.

(3) Has passed the Association of Marital and Family Therapy Regulatory Boards (AMFTRB) standardized examination or other examination acceptable to the Board.

(4) Has not been the recipient of any administrative penalties regarding the applicant's actions as a marriage and family therapist, including, but not limited to, fines, formal reprimands, license suspensions or revocations (except for license revocations for nonpayment of license renewal fees), probationary limitations, and/or has not entered into any "consent agreements" which contain conditions placed by a Board on the applicant's professional conduct, including any voluntary surrender of a license. The Board, after a hearing, may determine whether such administrative penalty is grounds to deny a license.

(5) Does not have any impairment related to drugs, alcohol or a finding of mental incompetence by a physician that would limit the applicant's ability to act as a licensed marriage and family therapist in a manner consistent with the safety of the public.

(6) Does not have a criminal conviction record, or pending criminal charge, relating to an offense that is substantially related to the practice of marriage and family therapy. Applicants who have criminal conviction records or pending criminal charges shall request appropriate authorities to provide information about the conviction or charge directly to the Board. However, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation, the Board determines that the granting of a waiver would not create an unreasonable risk to public safety, the Board shall waive this paragraph (a)(6). A waiver may not be granted for a conviction of a felony sexual offense.

a.-e. [Repealed.]

(7) Has not been penalized for any wilful violation of the code of ethics adopted by the Board or a code of ethics of a recognized professional marriage and family therapy organization.

(8) Meets all other additional requirements as may be required by the Board in its rules and regulations.

(9) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, has not been convicted of a felony sexual offense.

(10) Has submitted, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

b. A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Board of Mental Health and Chemical Dependency Professionals shall be the screening point for the receipt of said federal criminal history records.

An applicant may not be licensed as a marriage and family therapist until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction that is substantially related to the practice of marriage and family therapy may not be certified by the Board unless a waiver is granted pursuant to paragraph (a)(6) of this section. The State Bureau of Identification may release any subsequent criminal history to the Board.

(b) If the Board finds that an applicant has been intentionally fraudulent or has intentionally supplied false information, the Board shall report its finding to the Attorney General for further action.

(c) Where an application has been refused or rejected and the applicant feels that the Board has acted without justification, has imposed higher or different standards for the applicant than for other applicants or licensees or has in some other manner contributed to or caused the failure of such application, the applicants may appeal to the Superior Court.

(d) [Repealed.]

(75 Del. Laws, c. 83, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 436, § 34; 77 Del. Laws, c. 199, § 25; 78 Del. Laws, c. 31, §§ 9-11; 78 Del. Laws, c. 44, §§ 48, 49; 79 Del. Laws, c. 277, § 10; 81 Del. Laws, c. 14, § 7; 83 Del. Laws, c. 433, § 20.)

§ 3053 Qualifications of applicant for licensed associate marriage and family therapist.

(a) An applicant who is applying for licensure as an associate marriage and family therapist under this chapter shall submit a completed application form, pay the required fee, and furnish evidence, verified by oath and satisfactory to the Board that such person has met all the requirements established in this subchapter for licensed marriage and family therapists, except the requirements dealing with required experience.

(b) A plan for professional direct supervision of the associate marriage and family therapist shall be submitted to and approved by the Board prior to the applicant's acquiring the marriage and family therapy experience necessary for license as a marriage and family therapist.

(c) The associate marriage and family therapist license shall be effective for a period of 2 years. The license may be renewed up to 2 times.

(d) A LAMFT may submit an application for LMFT upon fulfillment of the experience requirements of this subchapter.

(75 Del. Laws, c. 83, § 1; 81 Del. Laws, c. 14, § 8.)

§ 3054 Reciprocity.

(a) Upon payment of the application fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who shall present proof of current licensure in good standing in another state, the District of Columbia or territory of the United States, whose standards for license are substantially similar to those of this State. A license in good standing is defined in § 3052(a)(4)-(8) of this title.

(b) An applicant who is licensed in a jurisdiction whose standards are not substantially similar to those of this State but who has held a license in good standing for a minimum of 5 years in the jurisdiction from which the applicant is applying for reciprocal license, and who has taken and passed the AMFTRB National Examination or other MFT licensing exam acceptable to the Board may be licensed, provided the applicant meets all other qualifications for reciprocity.

(75 Del. Laws, c. 83, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 393, § 3; 81 Del. Laws, c. 14, § 9.)

Subchapter V

Professional Art Therapists

§ 3060 Definitions.

As used in this subchapter:

(1) "Art therapy" means a mental health discipline that integrates use of psychotherapeutic principles, art media, and the creative process to assist individuals, families, or groups in, doing all of the following:

a. Increasing awareness of self and others.

b. Coping with symptoms, stress, and traumatic experiences.

c. Enhancing cognitive abilities.

d. Identifying and assessing clients' needs in order to implement therapeutic interventions to meet developmental, behavioral,

mental, and emotional needs.

(2) "Art therapy services" means all of the following services:

a. Clinical appraisal and treatment activities during individual, couples, family, or group sessions which provide opportunities for expression through art therapy.

b. Using the process and products of art creation to tap into clients' inner conflicts, fears, and core issues.

c. Employing diagnostic and assessment methods, consistent with training and experience, to determine treatment goals and implement therapeutic art interventions which meet developmental, cognitive, behavioral, and emotional needs.

d. Employing art media, the creative process, and the resulting artwork to assist clients to do all of the following:

1. Reduce psychiatric symptoms of depression, anxiety, post-traumatic stress, and attachment disorders.

2. Enhance neurological, cognitive, and verbal abilities; develop social skills; aid sensory impairments; and move developmental capabilities forward in specific areas.

3. Cope with symptoms of stress, anxiety, traumatic experiences, and grief.

4. Explore feelings, gain insight into behaviors, and reconcile emotional conflicts.

5. Improve or restore functioning and a sense of personal well-being.

6. Increase coping skills, self-esteem, awareness of self, and empathy for others.

7. Improve healthy channeling of anger and guilt.

8. Improve school performance, family functioning, and parent/child relationships.

(3) "Board" means the Board of Mental Health and Chemical Dependency Professionals established under § 3003 of this title.

(4) "Licensed associate art therapist" (LAAT) means an individual licensed as an associate art therapist under this chapter who obtains supervised experience from a licensed professional art therapist (LPAT), or other qualified mental health professional approved by the Board for the purposes of becoming licensed as a licensed professional art therapist.

(5) "Licensed professional art therapist" (LPAT) means an individual licensed to practice art therapy under this chapter who offers to individuals, families, or groups professional art therapy services directly to the general public, through public or private organizations, or through use of telemedicine in a manner deemed appropriate in regulation.

(6) "Supervised experience" means face-to-face consultation, on a regularly scheduled basis, between a supervisee and a licensed professional art therapist (LPAT) or other behavioral health professional approved by the Board. The Board approved supervisor is responsible for insuring that the extent, kind, and quality of the services rendered are consistent with the supervisee's education, training, and experience.

(7) "Telemedicine" means as defined in § 3002 of this title.

(81 Del. Laws, c. 165, § 2.)

§ 3061 License required.

An individual may not hold himself or herself out to the public as a licensed professional art therapist (LPAT) or licensed associate art therapist (LAAT) unless the individual is licensed in accordance with this subchapter. It is unlawful for any individual who is not licensed under this chapter, or the individual's employees, agents, or representatives to use in connection with that individual's name or business the words "licensed professional art therapist," "licensed associate art therapist," or otherwise assume or use any title or description conveying or tending to convey the impression that the individual is licensed to practice art therapy, unless such individual has been duly licensed under this chapter.

(81 Del. Laws, c. 165, § 3; 70 Del. Laws, c. 186, § 1.)

§ 3062 Qualifications of licensed professional art therapist.

An applicant who is applying for licensure under this subchapter shall complete a Board-approved application, submit the application fee, and supply evidence verified by oath and satisfactory to the Board that the applicant:

(1) Has completed 1 of the following:

a. A master's or doctoral degree in art therapy from an accredited educational institution with a minimum of 60 credit hours of graduate course work in an art therapy program that was either approved by the American Art Therapy Association or accredited by the Commission on Accreditation of Allied Health Education Programs at the time the degree was conferred. For those graduating with a master's degree prior to January 2013, a master's degree from an accredited educational institution in an art therapy program that was either approved by the American Art Therapy Association or accredited by the Commission on Accreditation of Allied Health Education Programs at the time the degree was conferred is required.

b. A graduate degree in an allied field from an accredited educational institution and graduate-level work which is the equivalent to a master's degree in art therapy, as determined by the Board.

(2) Following the completion of the master's degree, has successfully completed not less than 2 years of supervised experience. The experience must consist of not less than 3,200 hours obtained over a period of not more than 4 consecutive years.

(3) Has passed the board examination of the Art Therapy Credentials Board (ATCB).

(4) Has not done any of the following:

a. Been the recipient of any administrative penalties regarding the applicant's actions as an art therapist, including, fines; formal

reprimands; license suspensions or revocations, except for license revocations for nonpayment of license renewal fees; or probationary limitations. The Board, after a hearing may determine whether such administrative penalty is grounds to deny a license.

b. Entered into any "consent agreements" which contain conditions placed by a board on the applicant's professional conduct, including any voluntary surrender of a license.

(5) Does not have any impairment related to drugs or alcohol or a finding of mental incompetence by a physician that would limit the applicant's ability to act as a licensed art therapist in a manner consistent with the safety of the public.

(6) Does not have a criminal conviction record, or pending criminal charge, relating to an offense that is substantially related to the practice of art therapy. Applicants who have criminal conviction records or pending criminal charges shall request appropriate authorities to provide information about the conviction or charge directly to the Board. However, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (6). A waiver may not be granted for a conviction of a felony sex offense.

a.-e. [Repealed.]

(7) Has not been penalized for any wilful violation of the code of ethics adopted by the Board or a code of ethics of a recognized professional art therapist organization.

(8) Meets all requirements as may be required by the Board in its rules and regulations.

(9) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, has not been convicted of a felony sex offense.

(10) a. Has submitted, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

1. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

2. A report of the applicant's entire federal criminal history record under the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification is the intermediary for purposes of this paragraph and the Board of Mental Health and Chemical Dependency Professionals is the screening point for the receipt of the federal criminal history records.

b. An applicant may not be licensed as a professional art therapist or an associate art therapist until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction that is substantially related to the practice of art therapy may not be licensed by the Board unless a waiver is granted pursuant to paragraph (6) of this section. The State Bureau of Identification may release any subsequent criminal history to the Board.

c. If the Board finds that an applicant has been intentionally fraudulent or has intentionally supplied false information, the Board shall report its finding to the Attorney General for further action.

d. If an application has been refused or rejected and the applicant feels that the Board has acted without justification, has imposed higher or different standards for the applicant than for other applicants or licensees, or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(81 Del. Laws, c. 165, §§ 3, 3; 81 Del. Laws, c. 415, § 1; 83 Del. Laws, c. 433, § 20.)

§ 3063 Qualifications of applicant for licensed associate art therapists.

(a) An applicant who is applying for licensure as an associate art therapist under this subchapter shall submit a completed application form, pay the required fee, and furnish evidence, verified by oath and satisfactory to the Board that such person has met all the requirements established in this subchapter for licensed professional art therapists, except the requirements dealing with required experience and national certification.

(b) A plan for supervised experience of the associate art therapist must be submitted to and approved by the Board prior to the applicant's acquiring the art therapy experience necessary for licensure as a licensed professional art therapist.

(c) The associate art therapy license is effective for a period of 2 years. The license may be renewed up to 2 times.

(d) A licensed associate art therapist may submit an application for licensure as a licensed professional art therapist (LPAT) upon fulfillment of the experience requirements of this subchapter.

(81 Del. Laws, c. 165, §§ 3, 3.)

§ 3064 Licensure by reciprocity and endorsement.

(a) Upon payment of the application fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who presents proof of licensure as an art therapist in good standing in another state, the District of Columbia, or territory of the United States, whose standards for such license are substantially similar to those of this State. A license in good standing is defined in § 3062(4) through (8) of this title.

(b) An applicant who is licensed in a jurisdiction whose standards are not substantially similar to those of this State, but who has held a license in good standing for a minimum of 5 years in the jurisdiction from which the applicant is applying for reciprocal license, and who has taken and passed the Board examination of the Art Therapy Credentials Board (ATCB) acceptable to the Board may be licensed, provided the applicant meets all other qualifications for reciprocity.

(81 Del. Laws, c. 165, § 3.)

Chapter 30A
Multistate Professional Counselor Licensure Compact

§ 3001A Purpose.

The purpose of this Compact is to facilitate interstate practice of licensed professional counselors with the goal of improving public access to professional counseling services. The practice of professional counseling occurs in the state where the client is located at the time of the counseling services. The Compact preserves the regulatory authority of states to protect public health and safety through the current system of state licensure. This Compact is designed to achieve the following objectives:

(1) Increase public access to professional counseling services by providing for the mutual recognition of other member state licenses;

(2) Enhance the states' ability to protect the public's health and safety;

(3) Encourage the cooperation of member states in regulating multistate practice for licensed professional counselors;

(4) Support spouses of relocating active duty military personnel;

(5) Enhance the exchange of licensure, investigative, and disciplinary information among member states;

(6) Allow for the use of telehealth technology to facilitate increased access to professional counseling services;

(7) Support the uniformity of professional counseling licensure requirements throughout the states to promote public safety and public health benefits;

(8) Invest all member states with the authority to hold a licensed professional counselor accountable for meeting all state practice laws in the state in which the client is located at the time care is rendered through the mutual recognition of member state licenses;

(9) Eliminate the necessity for licenses in multiple states; and

(10) Provide opportunities for interstate practice by licensed professional counselors who meet uniform licensure requirements.

(83 Del. Laws, c. 395, § 1.)

§ 3002A Definitions.

As used in this Compact, and except as otherwise provided, the following definitions shall apply:

(1) "Active duty military" means full-time duty status in the active uniformed service of the United States, including members of the National Guard and Reserve on active duty orders pursuant to 10 U.S.C. Chapters 1209 [10 U.S.C. § 12301 et seq.] and 1211 [10 U.S.C. § 12401 et seq.].

(2) "Adverse action" means any administrative, civil, equitable or criminal action permitted by a state's laws which is imposed by a licensing board or other authority against a licensed professional counselor, including actions against an individual's license or privilege to practice such as revocation, suspension, probation, monitoring of the licensee, limitation on the licensee's practice, or any other encumbrance on licensure affecting a licensed professional counselor's authorization to practice, including issuance of a cease and desist action.

(3) "Alternative program" means a nondisciplinary monitoring or practice remediation process approved by a professional counseling licensing board to address impaired practitioners.

(4) "Continuing competence/education" means a requirement, as a condition of license renewal, to provide evidence of participation in, and/or completion of, educational and professional activities relevant to practice or area of work.

(5) "Counseling Compact Commission" or "Commission" means the national administrative body whose membership consists of all states that have enacted the Compact.

(6) "Current significant investigative information" means:

a. Investigative information that a licensing board, after a preliminary inquiry that includes notification and an opportunity for the licensed professional counselor to respond, if required by state law, has reason to believe is not groundless and, if proved true, would indicate more than a minor infraction; or

b. Investigative information that indicates that the licensed professional counselor represents an immediate threat to public health and safety regardless of whether the licensed professional counselor has been notified and had an opportunity to respond.

(7) "Data system" means a repository of information about licensees, including, but not limited to, continuing education, examination, licensure, investigative, privilege to practice and adverse action information.

(8) "Encumbered license" means a license in which an adverse action restricts the practice of licensed professional counseling by the licensee and said adverse action has been reported to the National Practitioners Data Bank (NPDB).

(9) "Encumbrance" means a revocation or suspension of, or any limitation on, the full and unrestricted practice of licensed professional counseling by a licensing board.

(10) "Executive Committee" means a group of directors elected or appointed to act on behalf of, and within the powers granted to them by, the Commission.

(11) "Home state" means the member state that is the licensee's primary state of residence.

(12) "Impaired practitioner" means an individual who has a condition that may impair their ability to practice as a licensed professional counselor without some type of intervention and may include, but are not limited to, alcohol and drug dependence, mental health impairment, and neurological or physical impairments.

(13) “Investigative information” means information, records, and documents received or generated by a professional counseling licensing board pursuant to an investigation.

(14) “Jurisprudence requirement” if required by a member state, means the assessment of an individual’s knowledge of the laws and rules governing the practice of professional counseling in a state.

(15) “Licensed professional counselor” means a counselor licensed by a member state, regardless of the title used by that state, to independently assess, diagnose, and treat behavioral health conditions.

(16) “Licensee” means an individual who currently holds an authorization from the state to practice as a licensed professional counselor.

(17) “Licensing board” means the agency of a state, or equivalent, that is responsible for the licensing and regulation of licensed professional counselors.

(18) “Member state” means a state that has enacted the Compact.

(19) “Privilege to practice” means a legal authorization, which is equivalent to a license, permitting the practice of professional counseling in a remote state.

(20) “Professional counseling” means the assessment, diagnosis, and treatment of behavioral health conditions by a licensed professional counselor.

(21) “Remote state” means a member state other than the home state, where a licensee is exercising or seeking to exercise the privilege to practice.

(22) “Rule” means a regulation promulgated by the Commission that has the force of law.

(23) “Single state license” means a licensed professional counselor license issued by a member state that authorizes practice only within the issuing state and does not include a privilege to practice in any other member state.

(24) “State” means any state, commonwealth, district, or territory of the United States of America that regulates the practice of professional counseling.

(25) “Telehealth” means the application of telecommunication technology to deliver professional counseling services remotely to assess, diagnose, and treat behavioral health conditions.

(26) “Unencumbered license” means a license that authorizes a licensed professional counselor to engage in the full and unrestricted practice of professional counseling.

(83 Del. Laws, c. 395, § 1.)

§ 3003A State participation in the Compact.

(a) To participate in the Compact, a state must currently:

(1) License and regulate licensed professional counselors;

(2) Require licensees to pass a nationally recognized exam approved by the Commission;

(3) Require licensees to have a 60 semester-hour (or 90 quarter-hour) master’s degree in counseling or 60 semester-hours (or 90 quarter-hours) of graduate course work including the following topic areas:

a. Professional counseling orientation and ethical practice;

b. Social and cultural diversity;

c. Human growth and development;

d. Career development;

e. Counseling and helping relationships;

f. Group counseling and group work;

g. Diagnosis and treatment; assessment and testing;

h. Research and program evaluation; and

i. Other areas as determined by the Commission.

(4) Require licensees to complete a supervised postgraduate professional experience as defined by the Commission;

(5) Have a mechanism in place for receiving and investigating complaints about licensees.

(b) A member state shall:

(1) Participate fully in the Commission’s data system, including using the Commission’s unique identifier as defined in rules;

(2) Notify the Commission, in compliance with the terms of the Compact and rules, of any adverse action or the availability of investigative information regarding a licensee;

(3) a. Implement or utilize procedures for considering the criminal history records of applicants for an initial privilege to practice. These procedures shall include the submission of fingerprints or other biometric-based information by applicants for the purpose of obtaining an applicant’s criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state’s criminal records;

b. A member state must fully implement a criminal background check requirement, within a time frame established by rule, by receiving the results of the Federal Bureau of Investigation record search and shall use the results in making licensure decisions.

c. Communication between a member state, the Commission and among member states regarding the verification of eligibility for licensure through the Compact shall not include any information received from the Federal Bureau of Investigation relating to a

federal criminal records check performed by a member state under U.S. Public Law 92-544.

(4) Comply with the rules of the Commission;

(5) Require an applicant to obtain or retain a license in the home state and meet the home state's qualifications for licensure or renewal of licensure, as well as all other applicable state laws;

(6) Grant the privilege to practice to a licensee holding a valid unencumbered license in another member state in accordance with the terms of the Compact and rules; and

(7) Provide for the attendance of the state's commissioner to the Counseling Compact Commission meetings.

(c) Member states may charge a fee for granting the privilege to practice.

(d) Individuals not residing in a member state shall continue to be able to apply for a member state's single state license as provided under the laws of each member state. However, the single state license granted to these individuals shall not be recognized as granting a privilege to practice professional counseling in any other member state.

(e) Nothing in this Compact shall affect the requirements established by a member state for the issuance of a single state license.

(f) A license issued to a licensed professional counselor by a home state to a resident in that state shall be recognized by each member state as authorizing a licensed professional counselor to practice professional counseling, under a privilege to practice, in each member state.

(83 Del. Laws, c. 395, § 1.)

§ 3004A Privilege to practice.

(a) To exercise the privilege to practice under the terms and provisions of the Compact, the licensee shall:

(1) Hold a license in the home state;

(2) Have a valid United States Social Security number or National Practitioner Identifier;

(3) Be eligible for a privilege to practice in any member state in accordance with subsections (d), (g), and (h) of this section;

(4) Have not had any encumbrance or restriction against any license or privilege to practice within the previous 2 years;

(5) Notify the Commission that the licensee is seeking the privilege to practice within a remote state;

(6) Pay any applicable fees, including any state fee, for the privilege to practice;

(7) Meet any continuing competence/education requirements established by the home state;

(8) Meet any jurisprudence tequirements established by the remote state in which the licensee is seeking a privilege to practice; and

(9) Report to the Commission any adverse action, encumbrance, or restriction on license taken by any non-member state within 30 days from the date the action is taken.

(b) The privilege to practice is valid until the expiration date of the home state license. The licensee must comply with the requirements of subsection (a) of this section to maintain the privilege to practice in the remote state.

(c) A licensee providing professional counseling in a remote state under the privilege to practice shall adhere to the laws and regulations of the remote state.

(d) A licensee providing professional counseling services in a remote state is subject to that state's regulatory authority. A remote state may, in accordance with due process and that state's laws, remove a licensee's privilege to practice in the remote state for a specific period of time, impose fines, and/or take any other necessary actions to protect the health and safety of its citizens. The licensee may be ineligible for a privilege to practice in any member state until the specific time for removal has passed and all fines are paid.

(e) If a home state license is encumbered, the licensee shall lose the privilege to practice in any remote state until the following occur:

(1) The home state license is no longer encumbered; and

(2) Have not had any encumbrance or restriction against any license or privilege to practice within the previous 2 years.

(f) Once an encumbered license in the home state is restored to good standing, the licensee must meet the requirements of subsection (a) of this section to obtain a privilege to practice in any remote state.

(g) If a licensee's privilege to practice in any remote state is removed, the individual may lose the privilege to practice in all other remote states until the following occur:

(1) The specific period of time for which the privilege to practice was removed has ended;

(2) All fines have been paid; and

(3) Have not had any encumbrance or restriction against any license or privilege to practice within the previous 2 years.

(h) Once the requirements of subsection (g) of this section have been met, the licensee must meet the requirements in subsection (a) of this section to obtain a privilege to practice in a remote state.

(83 Del. Laws, c. 395, § 1.)

§ 3005A Obtaining a new home state license based on a privilege to practice.

(a) A licensed professional counselor may hold a home state license, which allows for a privilege to practice in other member states, in only 1 member state at a time.

(b) If a licensed professional counselor changes primary state of residence by moving between 2 member states:

(1) The licensed professional counselor shall file an application for obtaining a new home state license based on a privilege to practice, pay all applicable fees, and notify the current and new home state in accordance with applicable rules adopted by the

Commission.

(2) Upon receipt of an application for obtaining a new home state license by virtue of a privilege to practice, the new home state shall verify that the licensed professional counselor meets the pertinent criteria outlined in § 3004A of this title via the data system, without need for primary source verification except for:

a. A Federal Bureau of Investigation fingerprint based criminal background check if not previously performed or updated pursuant to applicable rules adopted by the Commission in accordance with U.S. Public Law 92-544;

b. Other criminal background check as required by the new home state; and

c. Completion of any requisite jurisprudence requirements of the new home state.

(3) The former home state shall convert the former home state license into a privilege to practice once the new home state has activated the new home state license in accordance with applicable rules adopted by the Commission.

(4) Notwithstanding any other provision of this Compact, if the licensed professional counselor cannot meet the criteria in § 3004A of this title, the new home state may apply its requirements for issuing a new single state license.

(5) The licensed professional counselor shall pay all applicable fees to the new home state in order to be issued a new home state license.

(c) If a licensed professional counselor changes primary state of residence by moving from a member state to a non-member state, or from a non-member state to a member state, the state criteria shall apply for issuance of a single state license in the new state.

(d) Nothing in this Compact shall interfere with a licensee's ability to hold a ingle state license in multiple states, however for the purposes of this Compact, a licensee shall have only 1 home state license.

(e) Nothing in this Compact shall affect the requirements established by a member state for the issuance of a single state license.

(83 Del. Laws, c. 395, § 1.)

§ 3006A Active duty military personnel or their spouses.

Active duty military personnel, or their spouse, shall designate a home state where the individual has a current license in good standing. The individual may retain the home state designation during the period the service member is on active duty. Subsequent to designating a home state, the individual shall only change their home state through application for licensure in the new state, or through the process outlined in § 3005A of this title.

(83 Del. Laws, c. 395, § 1.)

§ 3007A Compact privilege to practice telehealth.

(a) Member states shall recognize the right of a licensed professional counselor, licensed by a home state in accordance with § 3003A of this title and under rules promulgated by the Commission, to practice professional counseling in any member state via telehealth under a privilege to practice as provided in the Compact and rules promulgated by the Commission.

(b) A licensee providing professional counseling services in a remote state under the privilege to practice shall adhere to the laws and regulations of the remote state.

(83 Del. Laws, c. 395, § 1.)

§ 3008A Adverse actions.

(a) In addition to the other powers conferred by state law, a remote state shall have the authority, in accordance with existing state due process law, to:

(1) Take adverse action against a licensed professional counselor's privilege to practice within that member state, and

(2) Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing board in a member state for the attendance and testimony of witnesses or the production of evidence from another member state shall be enforced in the latter state by any court of competent jurisdiction, according to the practice and procedure of that court applicable to subpoenas issued in proceedings pending before it. The issuing authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the state in which the witnesses or evidence are located.

(3) Only the home state shall have the power to take adverse action against a licensed professional counselor's license issued by the home state.

(b) For purposes of taking adverse action, the home state shall give the same priority and effect to reported conduct received from a member state as it would if the conduct had occurred within the home state. In so doing, the home state shall apply its own state laws to determine appropriate action.

(c) The home state shall complete any pending investigations of a licensed professional counselor who changes primary state of residence during the course of the investigations. The home state shall also have the authority to take appropriate action and shall promptly report the conclusions of the investigations to the administrator of the data system. The administrator of the coordinated licensure information system shall promptly notify the new home state of any adverse actions.

(d) A member state, if otherwise permitted by state law, may recover from the affected licensed professional counselor the costs of investigations and dispositions of cases resulting from any adverse action taken against that licensed professional counselor.

(e) A member state may take adverse action based on the factual findings of the remote state, provided that the member state follows its

own procedures for taking the adverse action.

(f) *Joint investigations.* — (1) In addition to the authority granted to a member state by its respective professional counseling practice act or other applicable state law, any member state may participate with other member states in joint investigations of licensees.

(2) Member states shall share any investigative, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under the Compact.

(g) If adverse action is taken by the home state against the license of a licensed professional counselor, the licensed professional counselor's privilege to practice in all other member states shall be deactivated until all encumbrances have been removed from the state license. All home state disciplinary orders that impose adverse action against the license of a licensed professional counselor shall include a statement that the licensed professional counselor's privilege to practice is deactivated in all member states during the pendency of the order.

(h) If a member state takes adverse action, it shall promptly notify the administrator of the data system. The administrator of the data system shall promptly notify the home state of any adverse actions by remote states.

(i) Nothing in this Compact shall override a member state's decision that participation in an alternative program may be used in lieu of adverse action.

(83 Del. Laws, c. 395, § 1.)

§ 3009A Establishment of Counseling Compact Commission.

(a) The Compact member states hereby create and establish a joint public agency known as the Counseling Compact Commission:

(1) The Commission is an instrumentality of the Compact states.

(2) Venue is proper and judicial proceedings by or against the Commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings.

(3) Nothing in this Compact shall be construed to be a waiver of sovereign immunity.

(b) *Membership, voting, and meetings.* — (1) Each member state shall have and be limited to 1 delegate selected by that member state's licensing board.

(2) The delegate shall be either:

a. A current member of the licensing board at the time of appointment, who is a licensed professional counselor or public member; or

b. An administrator of the licensing board.

(3) Any delegate may be removed or suspended from office as provided by the law of the state from which the delegate is appointed.

(4) The member state licensing board shall fill any vacancy occurring on the Commission within 60 days.

(5) Each delegate shall be entitled to 1 vote with regard to the promulgation of rules and creation of bylaws and shall otherwise have an opportunity to participate in the business and affairs of the Commission.

(6) A delegate shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for delegates' participation in meetings by telephone or other means of communication.

(7) The Commission shall meet at least once during each calendar year. Additional meetings shall be held as set forth in the bylaws.

(8) The Commission shall by rule establish a term of office for delegates and may by rule establish term limits.

(c) The Commission shall have the following powers and duties:

(1) Establish the fiscal year of the Commission;

(2) Establish bylaws;

(3) Maintain its financial records in accordance with the bylaws;

(4) Meet and take such actions as are consistent with the provisions of this Compact and the bylaws;

(5) Promulgate rules which shall be binding to the extent and in the manner provided for in the Compact;

(6) Bring and prosecute legal proceedings or actions in the name of the Commission, provided that the standing of any state licensing board to sue or be sued under applicable law shall not be affected;

(7) Purchase and maintain insurance and bonds;

(8) Borrow, accept, or contract for services of personnel, including, but not limited to, employees of a member state;

(9) Hire employees, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of the Compact, and establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters;

(10) Accept any and all appropriate donations and grants of money, equipment, supplies, materials, and services, and to receive, utilize, and dispose of the same; provided that at all times the Commission shall avoid any appearance of impropriety and/or conflict of interest;

(11) Lease, purchase, accept appropriate gifts or donations of, or otherwise to own, hold, improve or use, any property, real, personal or mixed; provided that at all times the Commission shall avoid any appearance of impropriety;

(12) Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property real, personal, or mixed;

(13) Establish a budget and make expenditures;

(14) Borrow money;

(15) Appoint committees, including standing committees composed of members, state regulators, state legislators or their representatives, and consumer representatives, and such other interested persons as may be designated in this Compact and the bylaws;

(16) Provide and receive information from, and cooperate with, law-enforcement agencies;

(17) Establish and elect an Executive Committee; and

(18) Perform such other functions as may be necessary or appropriate to achieve the purposes of this Compact consistent with the state regulation of professional counseling licensure and practice.

(d) *The Executive Committee.* — (1) The Executive Committee shall have the power to act on behalf of the Commission according to the terms of this Compact.

(2) The Executive Committee shall be composed of up to 11 members:

a. Seven voting members who are elected by the Commission from the current membership of the Commission; and

b. Up to 4 ex-officio, nonvoting members from 4 recognized national professional counselor organizations.

c. The ex-officio members will be selected by their respective organizations.

(3) The Commission may remove any member of the Executive Committee as provided in bylaws.

(4) The Executive Committee shall meet at least annually.

(5) The Executive Committee shall have the following duties and responsibilities:

a. Recommend to the entire Commission changes to the rules or bylaws, changes to this Compact legislation, fees paid by Compact member states such as annual dues, and any Commission Compact fee charged to licensees for the privilege to practice;

b. Ensure Compact administration services are appropriately provided, contractual or otherwise;

c. Prepare and recommend the budget;

d. Maintain financial records on behalf of the Commission;

e. Monitor Compact compliance of member states and provide compliance reports to the Commission;

f. Establish additional committees as necessary; and

g. Other duties as provided in rules or bylaws.

(e) *Meetings of the Commission.* — (1) All meetings shall be open to the public, and public notice of meetings shall be given in the same manner as required under the rulemaking provisions in § 3011A of this title.

(2) The Commission or the Executive Committee or other committees of the Commission may convene in a closed, nonpublic meeting if the Commission or Executive Committee or other committees of the Commission must discuss:

a. Noncompliance of a member state with its obligations under the Compact;

b. The employment, compensation, discipline or other matters, practices or procedures related to specific employees or other matters related to the Commission's internal personnel practices and procedures;

c. Current, threatened, or reasonably anticipated litigation;

d. Negotiation of contracts for the purchase, lease, or sale of goods, services, or real estate;

e. Accusing any person of a crime or formally censuring any person;

f. Disclosure of trade secrets or commercial or financial information that is privileged or confidential;

g. Disclosure of information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy;

h. Disclosure of investigative records compiled for law-enforcement purposes;

i. Disclosure of information related to any investigative reports prepared by or on behalf of or for use of the Commission or other committee charged with responsibility of investigation or determination of compliance issues pursuant to the Compact; or

j. Matters specifically exempted from disclosure by federal or member state statute.

(3) If a meeting, or portion of a meeting, is closed pursuant to paragraph (e)(2) of this section, the Commission's legal counsel or designee shall certify that the meeting may be closed and shall reference each relevant exempting provision.

(4) The Commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, and the reasons therefore, including a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release by a majority vote of the Commission or order of a court of competent jurisdiction.

(f) *Financing of the Commission.* — (1) The Commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.

(2) The Commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services.

(3) The Commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the Commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the Commission, which shall promulgate a rule binding upon all member states.

(4) The Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the

Commission pledge the credit of any of the member states, except by and with the authority of the member state.

(5) The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission shall be subject to the audit and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Commission shall be audited yearly by a certified or licensed public accountant, and the report of the audit shall be included in and become part of the annual report of the Commission.

(g) *Qualified immunity, defense, and indemnification.* — (1) The members, officers, executive director, employees and representatives of the Commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing in this paragraph (g)(1) shall be construed to protect any such person from suit and/or liability for any damage, loss, injury, or liability caused by the intentional or wilful or wanton misconduct of that person.

(2) The Commission shall defend any member, officer, executive director, employee or representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining counsel; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or wilful or wanton misconduct.

(3) The Commission shall indemnify and hold harmless any member, officer, executive director, employee, or representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or wilful or wanton misconduct of that person.

(83 Del. Laws, c. 395, § 1; 70 Del. Laws, c. 186, § 1; 84 Del. Laws, c. 42, § 1.)

§ 3010A Data system.

(a) The Commission shall provide for the development, maintenance, operation, and utilization of a coordinated database and reporting system containing licensure, adverse action, and Investigative Information on all licensed individuals in member states.

(b) Notwithstanding any other provision of state law to the contrary, a member state shall submit a uniform data set to the data system on all individuals to whom this Compact is applicable as required by the rules of the Commission, including:

(1) Identifying information;

(2) Licensure data;

(3) Adverse actions against a license or privilege to practice;

(4) Nonconfidential information related to alternative program participation;

(5) Any denial of application for licensure, and the reason for such denial;

(6) Current significant investigative information; and

(7) Other information that may facilitate the administration of this Compact, as determined by the rules of the Commission.

(c) Investigative information pertaining to a licensee in any member state will only be available to other member states.

(d) The Commission shall promptly notify all member states of any adverse action taken against a licensee or an individual applying for a license. Adverse action information pertaining to a licensee in any member state will be available to any other member state.

(e) Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state.

(f) Any information submitted to the data system that is subsequently required to be expunged by the laws of the member state contributing the information shall be removed from the data system.

(83 Del. Laws, c. 395, § 1.)

§ 3011A Rulemaking.

(a) The Commission shall promulgate reasonable rules in order to effectively and efficiently achieve the purpose of the Compact. Notwithstanding the foregoing, in the event the Commission exercises its rulemaking authority in a manner that is beyond the scope of the purposes of the Compact, or the powers granted hereunder, then such an action by the Commission shall be invalid and have no force or effect.

(b) The Commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Rules and amendments shall become binding as of the date specified in each rule or amendment.

(c) If a majority of the legislatures of the member states rejects a rule, by enactment of a statute or resolution in the same manner used to adopt the Compact within 4 years of the date of adoption of the rule, then such rule shall have no further force and effect in any member state.

(d) Rules or amendments to the rules shall be adopted at a regular or special meeting of the Commission.

(e) Prior to promulgation and adoption of a final rule by the Commission, and at least 30 days in advance of the meeting at which the

rule will be considered and voted upon, the Commission shall file a notice of proposed rulemaking:

(1) On the website of the Commission or other publicly accessible platform; and

(2) On the website of each member state professional counseling licensing board or other publicly accessible platform or the publication in which each state would otherwise publish proposed rules.

(f) The notice of proposed rulemaking shall include:

(1) The proposed time, date, and location of the meeting in which the rule will be considered and voted upon;

(2) The text of the proposed rule or amendment and the reason for the proposed rule;

(3) A request for comments on the proposed rule from any interested person; and

(4) The manner in which interested persons may submit notice to the Commission of their intention to attend the public hearing and any written comments.

(g) Prior to adoption of a proposed rule, the Commission shall allow persons to submit written data, facts, opinions, and arguments, which shall be made available to the public.

(h) The Commission shall grant an opportunity for a public hearing before it adopts a rule or amendment if a hearing is requested by:

(1) At least 25 persons;

(2) A state or federal governmental subdivision or agency; or

(3) An association having at least 25 members.

(i) (1) If a hearing is held on the proposed rule or amendment, the Commission shall publish the place, time, and date of the scheduled public hearing. If the hearing is held via electronic means, the Commission shall publish the mechanism for access to the electronic hearing.

(2) All persons wishing to be heard at the hearing shall notify the executive director of the Commission or other designated member in writing of their desire to appear and testify at the hearing not less than 5 business days before the scheduled date of the hearing.

(3) Hearings shall be conducted in a manner providing each person who wishes to comment a fair and reasonable opportunity to comment orally or in writing.

(4) All hearings will be recorded. A copy of the recording will be made available on request.

(5) Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the Commission at hearings required by this section.

(j) Following the scheduled hearing date, or by the close of business on the scheduled hearing date if the hearing was not held, the Commission shall consider all written and oral comments received.

(k) If no written notice of intent to attend the public hearing by interested parties is received, the Commission may proceed with promulgation of the proposed rule without a public hearing.

(*l*) The Commission shall, by majority vote of all members, take final action on the proposed rule and shall determine the effective date of the rule, if any, based on the rulemaking record and the full text of the rule.

(m) Upon determination that an emergency exists, the Commission may consider and adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided that the usual rulemaking procedures provided in the Compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an "emergency rule" is 1 that must be adopted immediately in order to:

(1) Meet an imminent threat to public health, safety, or welfare;

(2) Prevent a loss of Commission or member state funds;

(3) Meet a deadline for the promulgation of an administrative rule that is established by federal law or rule; or

(4) Protect public health and safety.

(n) The Commission or an authorized committee of the Commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the Commission. The revision shall be subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing and delivered to the chair of the Commission prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.

(83 Del. Laws, c. 395, § 1.)

§ 3012A Oversight, dispute resolution, and enforcement.

(a) *Oversight.* — (1) The executive, legislative, and judicial branches of state government in each member state shall enforce this Compact and take all actions necessary and appropriate to effectuate the Compact's purposes and intent. The provisions of this Compact and the rules promulgated hereunder shall have standing as statutory law.

(2) All courts shall take judicial notice of the Compact and the rules in any judicial or administrative proceeding in a member state pertaining to the subject matter of this Compact which may affect the powers, responsibilities, or actions of the Commission.

(3) The Commission shall be entitled to receive service of process in any such proceeding and shall have standing to intervene in such a proceeding for all purposes. Failure to provide service of process to the Commission shall render a judgment or order void as to the Commission, this Compact, or promulgated rules.

(b) *Default, technical assistance, and termination.* —

If the Commission determines that a member state has defaulted in the performance of its obligations or responsibilities under this Compact or the promulgated rules, the Commission shall:

(1) Provide written notice to the defaulting state and other member states of the nature of the default, the proposed means of curing the default and/or any other action to be taken by the Commission; and

(2) Provide remedial training and specific technical assistance regarding the default.

(c) If a state in default fails to cure the default, the defaulting state may be terminated from the Compact upon an affirmative vote of a majority of the member states, and all rights, privileges and benefits conferred by this Compact may be terminated on the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.

(d) Termination of membership in the Compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the Commission to the governor, the majority and minority leaders of the defaulting state's legislature, and each of the member states.

(e) A state that has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.

(f) The Commission shall not bear any costs related to a state that is found to be in default or that has been terminated from the Compact, unless agreed upon in writing between the Commission and the defaulting state.

(g) The defaulting state may appeal the action of the Commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the Commission has its principal offices. The prevailing member shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(h) *Dispute resolution.* —

(1) Upon request by a member state, the Commission shall attempt to resolve disputes related to the Compact that arise among member states and between member and non-member states.

(2) The Commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes as appropriate.

(i) *Enforcement.* —

(1) The Commission, in the reasonable exercise of its discretion, shall enforce the provisions and rules of this Compact.

(2) By majority vote, the Commission may initiate legal action in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices against a member state in default to enforce compliance with the provisions of the Compact and its promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing member shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(3) The remedies herein shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or state law.

(83 Del. Laws, c. 395, § 1.)

§ 3013A Date of implementation of the Counseling Compact Commission and associated rules, withdrawal, and amendment.

(a) The Compact shall come into effect on the date on which the Compact statute is enacted into law in the tenth member state. The provisions, which become effective at that time, shall be limited to the powers granted to the Commission relating to assembly and the promulgation of rules. Thereafter, the Commission shall meet and exercise rulemaking powers necessary to the implementation and administration of the Compact.

(b) Any state that joins the Compact subsequent to the Commission's initial adoption of the rules shall be subject to the rules as they exist on the date on which the Compact becomes law in that state. Any rule that has been previously adopted by the Commission shall have the full force and effect of law on the day the Compact becomes law in that state.

(c) (1) Any member state may withdraw from this Compact by enacting a statute repealing the same.

(2) A member state's withdrawal shall not take effect until 6 months after enactment of the repealing statute.

(3) Withdrawal shall not affect the continuing requirement of the withdrawing state's professional counseling licensing board to comply with the investigative and adverse action reporting requirements of this Compact prior to the effective date of withdrawal.

(d) Nothing contained in this Compact shall be construed to invalidate or prevent any professional counseling licensure agreement or other cooperative arrangement between a member state and a non-member state that does not conflict with the provisions of this Compact.

(e) This Compact may be amended by the member states. No amendment to this Compact shall become effective and binding upon any member state until it is enacted into the laws of all member states.

(83 Del. Laws, c. 395, § 1.)

§ 3014A Construction and severability.

This Compact shall be liberally construed so as to effectuate the purposes thereof. The provisions of this Compact shall be severable and if any phrase, clause, sentence or provision of this Compact is declared to be contrary to the constitution of any member state or of the United States or the applicability thereof to any government, agency, person or circumstance is held invalid, the validity of the remainder

of this Compact and the applicability thereof to any government, agency, person or circumstance shall not be affected thereby. If this Compact shall be held contrary to the constitution of any member state, the Compact shall remain in full force and effect as to the remaining member states and in full force and effect as to the member state affected as to all severable matters.

(83 Del. Laws, c. 395, § 1.)

§ 3015A Binding effect of Compact and other laws.

(a) A licensee providing professional counseling services in a remote state under the privilege to practice shall adhere to the laws and regulations, including scope of practice, of the remote state.

(b) Nothing herein prevents the enforcement of any other law of a member state that is not inconsistent with the Compact.

(c) Any laws in a member state in conflict with the Compact are superseded to the extent of the conflict.

(d) Any lawful actions of the Commission, including all rules and bylaws properly promulgated by the Commission, are binding upon the member states.

(e) All permissible agreements between the Commission and the member states are binding in accordance with their terms.

(f) In the event any provision of the Compact exceeds the constitutional limits imposed on the legislature of any member state, the provision shall be ineffective to the extent of the conflict with the constitutional provision in question in that member state.

(83 Del. Laws, c. 395, § 1.)

Chapter 31
FUNERAL SERVICES

Subchapter I

Board of Funeral Services

§ 3100 Objectives.

(a) The primary objective of the Board of Funeral Services, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

(b) The secondary objectives of the Board are to maintain minimum standards of practitioner competency, and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall:

(1) Develop standards assuring professional competence.

(2) Monitor complaints brought against practitioners regulated by the Board.

(3) Adjudicate at formal hearings regarding complaints brought against practitioners regulated by the Board.

(4) Promulgate rules and regulations.

(5) Impose sanctions where necessary against practitioners, both licensed and formerly licensed.

(66 Del. Laws, c. 225, § 1; 71 Del. Laws, c. 460, § 1; 72 Del. Laws, c. 209, §§ 1, 2; 80 Del. Laws, c. 194, § 1.)

§ 3101 Definitions [For application of this section, see 84 Del. Laws, c. 261, § 16].

The following words, terms and phrases, when used in this chapter shall have the meanings ascribed to them under this section, except where the context clearly indicates a different meaning:

(1) “Board” means the State Board of Funeral Services established in this chapter.

(2) “Burial” means the interment of human remains.

(3) “Cremation” means the process of burning human remains to ashes.

(4) “Division” means the State Division of Professional Regulation.

(5) “Embalming” means the disinfecting or preservation of a dead human body, entirely or in part, by the use of chemical substances, fluids, or gases in the body, or by the introduction of the same into the body by vascular or hypodermic injection, or by the direct application of the same into the organs or cavities.

(6) “Embalming room assistant” means a person who has met all of the requirements, including all necessary training in blood borne pathogens standards, and who has received all necessary vaccinations related to the industry, to be able to perform their duties in the embalming or dressing room areas for the preparation of a deceased human remains. Such individual shall not possess the ability to embalm a decedent.

(7) “Funeral director” means a person engaged in the care of human remains or in the disinfecting and preparing by embalming of human remains for the funeral service, transportation, burial, entombment, cremation, or natural organic reduction, and who files all death certificates or permits as required by Chapter 31 of Title 16.

(8) “Funeral establishment” means any place used in the care and preparation of human remains for funeral service, burial, entombment, cremation, or natural organic reduction, including areas for embalming, the convenience of the bereaved for viewing, and other services associated with human remains. A funeral establishment also includes a place or office in which the business matters associated with funeral services are conducted.

(9) “Funeral services” means those services rendered for the disinfecting, embalming, burial, entombment, cremation, or natural organic reduction of human remains, including the sale of those goods and services usual to arranging and directing funeral services.

(10) “Housing status” means as defined in § 204 of Title 19.

(11) “Intern” means a person, duly registered with the Board, engaged in training to become a licensed funeral director in this State under the direction and personal supervision of a state-licensed funeral director.

(12) “Natural organic reduction” means as defined in § 3101 of Title 16.

(13) “Natural organic reduction facility” means as defined in § 3101 of Title 16.

(14) “Nonresident funeral director” means a funeral director licensed in another state, district, territory or foreign country.

(15) “Person” means a corporation, company, association and partnership, as well as an individual.

(16) “Practitioner” means a funeral director.

(17) “Protective hairstyle” includes braids, locks, and twists.

(18) “Race” includes traits historically associated with race, including hair texture and a protective hairstyle.

(19) “Student of mortuary science” means a person registered in an official accredited Institution of Mortuary Science program.

(20) “Substantially related” means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the provision of funeral services.

(66 Del. Laws, c. 225, § 1; 69 Del. Laws, c. 147, § 1; 71 Del. Laws, c. 460, § 1; 74 Del. Laws, c. 262, § 64; 80 Del. Laws, c. 194, § 1; 83 Del. Laws, c. 13, § 21; 84 Del. Laws, c. 261, § 4; 84 Del. Laws, c. 429, § 10; 85 Del. Laws, c. 181, § 1.)

§ 3102 Board of Funeral Services.

(a) There is created a State Board of Funeral Services which shall administer and enforce this chapter.

(b) The Board shall consist of 7 members appointed by the Governor who are residents of this State: Four of whom shall be funeral directors licensed under this chapter and 3 public members. The public members shall not be, nor ever have been, funeral directors, nor members of the immediate family of a funeral director, shall not have been employed by a funeral director, shall not have a material interest in the providing of goods and services to funeral directors; nor have been engaged in an activity directly related to funeral services. The public members shall be accessible to inquiries, comments and suggestions from the general public.

(c) Except as provided in subsection (d) of this section, each member shall serve a term of 3 years, and may succeed oneself for 1 additional term, provided that, where a member was initially appointed to fill a vacancy, such member may succeed oneself for only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member. Each term of office shall expire on the date specified in the appointment; however, the Board member shall remain eligible to participate in Board proceedings unless and until replaced by the Governor.

(d) A person who has never served on the Board may be appointed to the Board for 2 consecutive terms; but, no such person shall thereafter be eligible for 2 consecutive appointments. No person, who has been twice appointed to the Board or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 year has expired since such person last served.

(e) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless such an amendment or revision amends this section to permit such an appointment.

(f) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetency, or neglect of duty. A member subject to disciplinary hearing shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(g) A member shall be deemed in neglect of duty if the member is absent from 3 consecutive meetings without good cause or if the member attends less than 50% of regular business meetings in a calendar year.

(h) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount to be determined by the Division in accordance with Del. Const. art. III, § 9.

(i) No member of the Board, while serving on the Board, shall:

(1) Hold elective office in any local, state, or national professional funeral services association.

(2) Serve as the head of a professional funeral services association's political action committee.

(3) Have any financial interest in any funeral services college, school, or cemetery.

(j) Chapter 58 of Title 29 shall apply to all members of the Board.

(k) A member of the Board may not discriminate against any applicant or person holding or applying for a license to practice funeral services by reason of sex, race, color, age, creed, national origin, housing status, or any other basis protected under Chapter 45 of Title 6.

(*l*) No member of the Board shall participate in any action of the Board involving, directly or indirectly, any person related in any way by blood or marriage to said member.

(m) No member of the Board shall be subject to, and all members of the Board shall be immune from, claims, suits, liability, damages, or any other recourse, civil or criminal, arising from any act or proceeding, decision or determination undertaken or performed, or recommendation made, so long as such member of the Board acted in good faith and without malice in carrying out the responsibilities, authority, duties, powers, and privileges of the office conferred by law upon the member under this chapter, or any other provisions of Delaware or federal law or rules or regulations, or duly adopted rule or regulation of the Board. Good faith is presumed unless otherwise proven and malice is required to be proven by the complainant.

(66 Del. Laws, c. 225, § 1; 67 Del. Laws, c. 368, § 20; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1; 72 Del. Laws, c. 209, §§ 3, 4; 80 Del. Laws, c. 194, § 1; 81 Del. Laws, c. 85, § 16; 84 Del. Laws, c. 429, § 11; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 144, § 14.)

§ 3103 Organization; meetings; officers; quorum.

(a) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, and at such times as the President deems necessary, or at the request of a majority of the board members.

(b) The Board shall elect annually a President and Secretary. Each officer shall serve for 1 year, and shall not succeed himself or herself for more than 2 consecutive terms.

(c) A majority of members shall constitute a quorum for the purpose of transacting business; and no disciplinary action shall be taken without the affirmative vote of at least 4 members of the Board.

(d) Minutes of all meetings shall be recorded, and copies shall be maintained by the Division. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(66 Del. Laws, c. 225, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1; 80 Del. Laws, c. 194, § 1.)

§ 3104 Records.

The Division shall keep a register of all approved applications for license as a funeral director, registration as an intern, and registration of establishment permits. The Division shall also complete records relating to meetings of the Board, examinations, rosters, changes and additions to the Board's rules and regulations, complaints, hearings, and such other matters as the Board shall determine. Such register and records shall be prima facie evidence of the proceedings of the Board.

(71 Del. Laws, c. 460, § 1; 72 Del. Laws, c. 209, § 5; 80 Del. Laws, c. 194, § 1.)

§ 3105 Powers and duties [For application of this section, see 84 Del. Laws, c. 261, § 16].

(a) The Board of Funeral Services may do all of the following:

(1) Formulate rules and regulations, with appropriate notice to those affected; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act of this State (Chapter 101 of Title 29). Each rule or regulation shall implement or clarify a specific section of this chapter.

(2) Designate the application form to be used by all applicants and process all applications.

(3) Designate the written, standardized examination on funeral services, prepared by an independent testing service, recognized by the Conference of Funeral Service Examining Boards, or its successor, and approved by the Division. The examination shall be taken by all persons applying for licensure, except those applicants who qualify for licensure by reciprocity.

(4) Designate a written, validated examination, prepared by an independent testing service and approved by the Division, based solely on the laws of Delaware governing the professional of funeral services. The Division shall administer the state examination.

(5) Provide for the administration of examinations, including notice and information to applicants.

(6) Establish minimum education, training and experience requirements for licensure as funeral directors.

(7) Establish minimum requirements, and issue permits for funeral establishments that meet the requirements of § 3117 of this title.

(8) Evaluate the credentials of all persons or establishments applying for a license to practice funeral services in Delaware, in order to determine whether such persons or establishments meet the qualifications for licensing set forth in this chapter.

(9) Grant licenses to, and renew licenses of, all persons who meet the qualifications for licensure, and register persons who are fulfilling the licensure experience requirement under the personal supervision of a state-licensed funeral director.

(10) Establish by rule and regulation continuing education standards required for license renewal for those practitioners under 65 years of age, provided that, in establishing rules for continuing funeral services education, the Board shall consider potential economic hardship on single practitioners and other licensees, and shall not impose rules that are likely to place undue economic hardship on licensees. License renewal shall not consist of, nor be dependent upon, retesting for those practitioners under 65 years of age, provided that, in establishing rules for continuing funeral services education, the Board shall consider potential economic hardship on single practitioners and other licensees, and shall not impose rules that are likely to place undue economic hardship on licensees.

(11) Evaluate certified records to determine whether an applicant for licensure, who previously has been licensed, certified or registered in another jurisdiction to practice funeral services, has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against such applicant for such acts or offenses.

(12) Refer all complaints from licensees and the public concerning practitioners, or concerning practices of the Board or of the profession, to the Division for investigation pursuant to § 8735 of Title 29, and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint.

(13) Conduct hearings and issue orders in accordance with procedures established pursuant to this chapter, Chapter 101 of Title 29, and § 8735 of Title 29. Where such provisions conflict with this chapter, this chapter shall govern. The Board shall determine whether a practitioner shall be subject to a disciplinary hearing and, if so, shall conduct such hearing in accordance with this chapter and the Administrative Procedures Act.

(14) Where it has been determined, after a disciplinary hearing, that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty after time for appeal has lapsed.

(15) Adopt and implement an inspection program for funeral establishments and crematoriums. Through the inspection program, the Board shall have the authority to:

a. Review all licenses for accuracy and refer any inaccuracies to the appropriate agencies for investigation and resolution.

b. Send written notification to any individual or entity who is in violation of any of the licensing regulations of the State, as an initial warning. If the violation is not corrected, it may be forwarded to the appropriate agencies for investigation and resolution.

(16) Regulate cremation and crematoriums.

(17) Regulate natural organic reduction and natural organic reduction facilities.

(b) The Board of Funeral Services shall promulgate regulations specifically identifying those crimes which are substantially related to the provision of funeral services.

(66 Del. Laws, c. 225, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1; 72 Del. Laws, c. 209, §§ 6-10; 74 Del. Laws, c. 262, § 65; 80 Del. Laws, c. 194, § 1; 84 Del. Laws, c. 261, § 5.)

Subchapter II

License and Registration; Limited License; Establishment Permit

§ 3106 License; registration required; responsibility.

(a) No person shall, without a license, engage in the practice of funeral services; hold himself or herself out to the public in this State as being qualified to practice funeral services; use or display in connection with that person's name any sign or advertise in any manner as being a funeral director or provider of funeral services; or otherwise assume or use any title or description conveying or tending to convey the impression that the person is qualified to practice funeral services.

(b) Practitioners regulated under this chapter shall observe and be subject to all federal, state, and municipal regulations relating to the control of contagious and infectious diseases, and any and all matters pertaining to public health, including reporting to the proper health office the same as other practitioners.

(c) Whenever a license to practice as a funeral director in this State has expired or been suspended or revoked, it shall be unlawful for the person to practice funeral services in this State and, if the individual is a sole proprietor, the establishment permit shall also be revoked.

(d) No person shall act as an intern or hold himself or herself out as a funeral services intern unless such person has been duly registered by the Board under this chapter.

(e) [Repealed.]

(f) No person or entity outside of this State shall broker funeral services within this State without being a duly-licensed Delaware funeral director operating from a duly licensed Delaware funeral establishment.

(66 Del. Laws, c. 225, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1; 80 Del. Laws, c. 194, § 2; 80 Del. Laws, c. 231, § 1.)

§ 3107 Qualifications of applicants for licensure; judicial review; report to Attorney General.

(a) An applicant who is applying for licensure as a funeral director under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that such person:

(1) Has graduated from an accredited high school, or its equivalent, and has received an Associate Degree or its equivalent in mortuary science, consisting of 60 credit hours, from a school fully accredited by the American Board of Funeral Services Education, or its successor.

(2) Has achieved the passing score, as established by an independent testing service, on the written, standardized examination on funeral services recognized by the Conference of Funeral Service Examining Boards, or its successor.

(3) Has achieved the passing score, as established by an independent testing service, on a written, validated examination based solely on the laws of Delaware governing the profession of funeral services. In conjunction with the independent testing service, said examination shall be administered by the Division, which shall have sole authority to contract for the validated examination.

(4) Has satisfactorily completed an internship in this state of 1 year's duration, under the auspices of a licensed Delaware funeral director. An applicant is responsible for arranging the internship. If, after contacting 20 firms, the applicant is unable to obtain an internship within 6 months, the applicant shall so stipulate in an affidavit presented to the Board. The Board shall determine whether the applicant has pursued a position as intern to the applicant's fullest capability. The Board shall have 6 months from the date it receives the affidavit to place the applicant in an internship. If no position has been made available, the applicant shall be issued a license upon satisfaction of all other requirements for licensure.

(5) Shall not have been the recipient of any administrative penalties regarding the applicant's practice of funeral services, including fines, formal reprimands, license suspensions or revocation other than for nonpayment of license renewal fees, and probationary limitations; and shall not have entered into any "consent agreements" which contain conditions placed by a board on the applicant's professional conduct and practice, including any voluntary surrender of a license. The Board may determine, after a hearing, whether such administrative penalty is grounds to deny licensure.

(6) Shall not have any impairment related to drugs or alcohol or a finding of mental incompetence by a physician that would limit the applicant's ability to undertake the practice of funeral services in a manner consistent with the safety of the public.

(7) Shall not have a criminal conviction record, nor pending criminal charge relating to an offense that is substantially related to the practice of funeral services. Applicants who have criminal conviction records or pending criminal charges shall require appropriate authorities to provide information about the record or charge directly to the Board. If after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(7).

a.-d. [Repealed.]

(8) Has provided such information as may be required on an application form designed and furnished by the Board and approved by the Division. No application form shall require a picture of the applicant, except where required for verification of identity for testing purposes; information relating to citizenship, place of birth, or length of state residence; or personal references. The applicant shall not be required to submit a police report as a condition of application for licensure or internship.

(b) Where the Board has found to its satisfaction that an application has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(c) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification,

imposed higher or different standards for that person than for other applicants or licensees, or, in some other manner, contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(d) The Board shall not violate an applicant's rights during the application and internship process for licensure as a funeral director in this State.

(66 Del. Laws, c. 225, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1; 72 Del. Laws, c. 209, §§ 11-14; 74 Del. Laws, c. 262, § 66; 75 Del. Laws, c. 436, § 35; 77 Del. Laws, c. 199, § 26; 78 Del. Laws, c. 44, §§ 50, 51; 80 Del. Laws, c. 194, § 2; 83 Del. Laws, c. 433, § 21.)

§ 3108 Limited license.

Upon payment to the Board of a fee, established by the Division, and completion of an application on forms provided by the Board, the Board shall issue a limited license to a person who is validly licensed as a funeral director by another state of the United States, its possessions, territory, or the District of Columbia, provided that a similar privilege is granted by that jurisdiction to Delaware licensed funeral directors. A limited license will allow the licensee to make a removal of a dead human body in this State, return the body to another state or country, return dead bodies from another state or country to this State for final disposition, complete the family history portion of the death certificate, sign the death certificate in the licensee's capacity as a licensed funeral director, and execute any other procedures necessary to arrange for the final disposition of a dead human body.

(71 Del. Laws, c. 460, § 1; 80 Del. Laws, c. 194, § 2.)

§ 3109 Reciprocity.

(a) Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who presents proof of current licensure in good standing in another state, the District of Columbia, or territory of the United States, whose standards for licensure are substantially similar to those of this State, as determined by the Board, and who meets all of the following criteria:

(1) The applicant's license is in "good standing" as defined in § 3107(a)(5) through (7) of this title.

(2) The applicant has achieved a passing score on the exam required by § 3107(a)(3) of this title.

(b) An applicant who is licensed in a state whose standards are not substantially similar to those of this State shall have practiced for at least 3 of the past 5 years in another jurisdiction, provided that the applicant meets all other qualifications for reciprocity in this section.

(c) An applicant from a state that separately licenses funeral directors and embalmers must have both licenses to qualify for licensure under subsection (b) of this section.

(d) It shall be the responsibility of the applicant to provide verification of all information required by § 3107 of this title.

(66 Del. Laws, c. 225, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1; 72 Del. Laws, c. 209, § 15; 75 Del. Laws, c. 294, § 1; 75 Del. Laws, c. 436, § 36; 80 Del. Laws, c. 194, § 2.)

§ 3110 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division in its service on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each licensure biennium, the Division of Professional Regulation, or any other state agency acting in its behalf, shall compute, for each separate service or activity, the appropriate Board fees for the licensure biennium.

(66 Del. Laws, c. 225, § 1; 71 Del. Laws, c. 460, § 1; 80 Del. Laws, c. 194, § 2.)

§ 3111 Licensure; renewal of license; lapsed license; inactive status; special exception.

(a) The Board shall issue a license to each applicant who meets the requirements of this title for licensure as a funeral director and who pays the fees established under § 3110 of this title. Prior to practicing in this State, each applicant shall file for and obtain an occupational license from the Division of Revenue in accordance with Chapter 23 of Title 30.

(b) Each license shall be renewed biennially, in such manner as is determined by the Division, and upon payment of the appropriate fee, submission of a renewal form provided by the Division, and proof that the licensee has met the continuing education requirements established by the Board.

(c) Any licensee whose license lapses as a result of a failure to renew may regain the license within 12 months of such lapse upon payment to the Board of a fee established by the Division, and evidence of successful completion of continuing education courses required by the Board.

(d) Any licensee, upon written request to the Board, may be placed on inactive status not to exceed 5 years. Such licensee may reenter practice upon notification to the Board of an intention to do so, provided the licensee has satisfied all continuing education requirements prescribed by the Board. The fee to reenter practice shall be in accordance with § 3110 of this title.

(e) Notwithstanding subsection (b) of this section, in the event a funeral director who was previously licensed in Delaware and allowed his or her license to lapse for a period in excess of 12 months is applying for licensure under this section, the Board shall grant a license to such applicant, subject to the applicant complying with all of the following:

(1) Completion of the continuing education requirements set forth in subsection (b) of this section.

(2) Formal submission of a completed, written application on forms provided by the Board.

(3) Successful completion of the state law examination required by § 3105 of this title.

(4) Payment of a reinstatement fee established by the Division.

(66 Del. Laws, c. 225, § 1; 67 Del. Laws, c. 397, § 1; 68 Del. Laws, c. 36, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1; 72 Del. Laws, c. 209, § 16; 80 Del. Laws, c. 194, § 2.)

§ 3112 Grounds for discipline.

(a) A practitioner licensed under this chapter shall be subject to disciplinary actions set forth in § 3114 of this title, if, after a hearing, the Board finds 1 or more of the following:

(1) The funeral director has employed or knowingly cooperated in fraud or material deception in order to acquire a license as a funeral director, impersonated another person holding a license or allowed another person to use that practitioner's license, or aided or abetted a person not licensed as a funeral director to represent himself or herself as a funeral director.

(2) The funeral director has illegally, incompetently, or negligently practiced funeral services.

(3) The funeral director has been convicted of a crime that is substantially related to the provision of funeral services or any offense which would limit the ability of the practitioner to carry out the practitioner's professional duties with due regard for the health and safety of the public. A copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence of the conviction.

(4) The funeral director has used or abused drugs either in the past or currently. For the purposes of this subsection, "excessive use or abuse of drugs" shall mean any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed physician, or the abuse of alcoholic beverage such that it impairs the practitioner's ability to perform the work of a funeral director.

(5) The funeral director has engaged in an act of consumer fraud or deception; engaged in the restraint of competition; or participated in price-fixing activities.

(6) The funeral director has violated a lawful provision of this chapter, or any lawful regulation established under this chapter.

(7) The practitioner's license as a funeral director was suspended or revoked, or other disciplinary action was taken by the appropriate licensing authority in another jurisdiction, provided that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every person licensed as a funeral director in this State shall be deemed to have given consent to the release of this information by the Board or other comparable agencies in another jurisdiction and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses.

(8) Failed to notify the Board that the practitioner, in his or her capacity as a funeral director in another state, has been subjected to discipline, or the practitioner's license as a funeral director in another state has been surrendered, suspended, or revoked. A certified copy of the record of disciplinary action, surrender, suspension, or revocation shall be conclusive evidence thereof.

(9) A physical condition such that the performance of funeral services is or may be injurious or prejudicial to the public.

(10) Has solicited a customer or potential customer to grant a power of attorney to the funeral director or a business associate, employee, or family member of the funeral director, or to make any of the foregoing an heir of the customer or potential customer.

(b) Where a practitioner fails to comply with the Board's request that the practitioner attend a hearing, the Board may petition the Superior Court to order such attendance, and the Court or any judge assigned thereto shall have the jurisdiction to issue such order.

(c) Subject to this chapter and subchapter IV of Chapter 101 of Title 29, no license shall be restricted, suspended, or revoked by the Board, and no practitioner's right to practice funeral services shall be limited by the Board, until such practitioner has been given notice and an opportunity to be heard in accordance with the Administrative Procedures Act.

(66 Del. Laws, c. 225, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1; 72 Del. Laws, c. 209, §§ 17, 18; 74 Del. Laws, c. 262, § 67; 75 Del. Laws, c. 238, § 1; 80 Del. Laws, c. 194, § 2.)

§ 3113 Complaints.

(a) All complaints shall be received and investigated by the Division in accordance with § 8735 of Title 29, and the Division shall be responsible for issuing a final written report at the conclusion of its investigation.

(b) When it is determined that an individual is engaging or has engaged in the practice of funeral services, or is using the title "funeral director" and is not licensed under the laws of this State, the Board shall apply to the Attorney General to issue a cease and desist order, after formally warning the unlicensed practitioner in accordance with this chapter.

(c) Any complaints involving allegations of unprofessional conduct or incompetence shall be investigated by the Division.

(66 Del. Laws, c. 225, § 1; 71 Del. Laws, c. 460, § 1; 80 Del. Laws, c. 194, § 2.)

§ 3114 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 or more of the conditions or violations set forth in § 3112 of this title applies to a practitioner regulated by this chapter:

(1) Issue a letter of reprimand.

(2) Censure a practitioner.

(3) Place a practitioner on probationary status, and require the practitioner to:

a. Report regularly to the Board upon the matters which are the basis of the probation.

b. Limit all practice and professional activities to those areas prescribed by the Board.

(4) Suspend any practitioner's license.

(5) Revoke any practitioner's license.

(6) Impose a monetary penalty not to exceed $1,000 for each violation.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such action have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety, or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension, so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order, unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(d) Where a license has been suspended due to a disability of the licensee, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee is able to practice with reasonable skill and safety.

(e) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(66 Del. Laws, c. 225, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1; 72 Del. Laws, c. 209, § 19; 79 Del. Laws, c. 213, § 2; 80 Del. Laws, c. 194, § 2.)

§ 3115 Hearing procedures.

(a) If a complaint which alleges a violation of § 3112 of this title is filed with the Board pursuant to § 8735 of Title 29, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings shall be informal and without use of rules of evidence. If the Board finds, by a majority vote of all members, that the complaint has merit, the Board shall take such action permitted under this chapter as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. The Board's decision shall be mailed immediately to the practitioner.

(c) Where the practitioner is in disagreement with the action of the Board, the practitioner may appeal the Board's decision to the Superior Court within 30 days of service, or of the postmarked date of the copy of the decision mailed to the practitioner. Upon such appeal the Court shall hear the evidence on the record. Stays shall be granted in accordance with § 10144 of Title 29.

(66 Del. Laws, c. 225, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1; 80 Del. Laws, c. 194, § 2.)

§ 3116 Reinstatement of a suspended license; removal from probationary status.

(a) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension or probation.

(b) Where a license or registration has been suspended due to the licensee's inability to practice pursuant to this chapter, the Board may reinstate such license, if, after a hearing, the Board is satisfied that the licensee is again able to perform the essential functions of a funeral director, with or without reasonable accommodations, and there is no longer a significant risk of substantial harm to the health and safety of the individual or others.

(c) Applicants for reinstatement must pay the appropriate fees and submit documentation required by the Board as evidence that all the conditions of a suspension or probation have been met. Proof that the applicant has met the continuing education requirements of this chapter may also be required, as appropriate.

(d) [Repealed.]

(71 Del. Laws, c. 460, § 1; 80 Del. Laws, c. 194, § 2; 82 Del. Laws, c. 8, § 13.)

Subchapter III

Other Provisions

§ 3117 Funeral establishment permit; circumstances for termination and continuation.

(a) Upon completion of an application provided by the Board, payment of the appropriate fee, and fulfillment of all standards set by the Board by regulation, the Board shall issue a funeral establishment permit when the applicant provides evidence, verified by oath, that the establishment meets all of the following requirements:

(1) Funeral services shall be conducted from a building that meets the requirements of a funeral establishment as defined in § 3101 of this title.

(2) The funeral establishment shall have in charge full time therein a person licensed in accordance with this chapter; provided, however, that this paragraph shall not apply to funeral establishments maintained, operated, or conducted prior to September 6, 1972.

(3) The property on which the funeral establishment is located shall be properly zoned by the local zoning authority.

(4) The funeral establishment has acquired all appropriate business licenses issued by the State Division of Revenue.

(b) No person may conduct, maintain, manage, or operate a funeral establishment unless a permit for the establishment has been issued by the Board. Violation of this subsection is a ground for discipline. When a funeral service is held in a private residence, church, or lodge hall, no permit is required, provided that the funeral director of record is operating from a firm with a funeral establishment permit.

(c) All funeral establishment permits shall be renewed biennially in a manner determined by the Division, and shall be accompanied by a fee determined by the Division pursuant to § 3110 of this title. All permits shall list the name of the licensed full-time funeral director in charge of the establishment.

(d) The applicant to whom the establishment permit has been issued shall not permit the unauthorized practice of funeral services, personally or by agents, on or off the premises of said funeral establishment.

(e) In the event of the death, disability, or circumstance that prevents the direct supervision and management by the funeral director of the funeral establishment, said permit is void, except under the occurrence of at least 1 of the following conditions:

(1) Where a funeral establishment has been operated by a funeral director under the director's license, the director's estate may continue the funeral establishment under the supervision and management of a licensed funeral director of this State until such time as the estate may be settled, but for no more than 2 years after the date of the decedent's death.

(2) Where a funeral establishment has been operated by a corporation, said corporation may continue operating and assume all responsibilities of the funeral establishment, provided that an officer of the corporation is a licensed funeral director of this State. Any change in officers of the corporation shall be reported to the Board.

(f) The Board is authorized to suspend or revoke a permit, after notice and hearing, for failure to comply with this statute or any lawful regulation applicable to funeral establishments.

(g) A funeral establishment with multiple branch locations in Delaware operated under the same trade name or owned by the same owner or owners is required to maintain an embalming area in at least 1 of its Delaware locations, and the embalming area shall be disclosed on the appropriate establishment licenses. Nothing in this chapter shall grant permission for the transfer, on a regular basis, of decedents back and forth across state boundaries for the purposes of centralized embalming.

(66 Del. Laws, c. 225, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 209, § 20; 73 Del. Laws, c. 203, §§ 1, 2; 80 Del. Laws, c. 194, § 3; 82 Del. Laws, c. 8, § 13; 85 Del. Laws, c. 181, § 2.)

§ 3118 Exemptions.

(a) Nothing in this chapter shall be construed to prevent persons licensed to practice in any other state, district, territory, or foreign country who, as practicing funeral directors, enter this State to transport or bury human remains, or to consult with a funeral director of this State. Such consultation shall be limited to examination, recommendation, or testimony in litigation.

(b) Nothing in this chapter shall be construed to prevent the practice of funeral services by any student of an accredited school or college of funeral services, or any intern duly registered with the Board, from receiving practical training under the personal supervision of a licensed funeral director in this State.

(c) Nothing in this chapter shall be construed to prevent the practice of funeral services by any funeral director commissioned by any of the armed forces of the United States, or by the Public Health Service, provided that practice as a funeral director is limited to the confines of a military reservation or Public Health Service facility.

(d) Nothing in this chapter shall be construed to prevent the practice of funeral services by individuals performing the administrative and management aspects of funeral services under the direct supervision of a license funeral director, provided that any sales of goods and services must be reviewed and authorized by, and under the signature of, the licensed funeral director in this State.

(66 Del. Laws, c. 225, § 1; 71 Del. Laws, c. 460, § 1; 80 Del. Laws, c. 194, § 3.)

§ 3119 Interference with free choice of funeral establishment; operating mortuary in cemetery; accepting fees from cemeteries [For application of this section, see 84 Del. Laws, c. 261, § 16].

A person licensed for the practice of funeral services, or any person acting on behalf of the licensee, may not do any of the following:

(1) Take part in any transaction or business which in any way interferes with the freedom of choice of the general public to choose a funeral establishment, except where the body or a part thereof is given for anatomical purposes.

(2) Operate a mortuary or funeral establishment located within the confines of, or connected with, any cemetery.

(3) Receive or accept any commission, fee, remuneration, or benefit of any kind from any cemetery, mausoleum, crematory, or natural organic reduction facility, or from any proprietor or agent thereof, in connection with the sale or transfer of any cemetery lot, entombment vault, burial privilege, cremation, or natural organic reduction, nor act, directly or indirectly, as a broker or jobber of any

cemetery property or interest therein.

(24 Del. C. 1953, § 3111; 58 Del. Laws, c. 415, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1; 80 Del. Laws, c. 194, § 3; 84 Del. Laws, c. 261, § 6.)

§ 3120 Interstate transportation of human remains.

66 Del. Laws, c. 225, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1; 72 Del. Laws, c. 209, §§ 21-23; repealed by 80 Del. Laws, c. 194, § 3, eff. Nov. 10, 2015.

§ 3121 Cremation or natural organic reduction [For application of this section, see 84 Del. Laws, c. 261, § 16].

(a) If not previously identified, human remains may not be cremated or subjected to natural organic reduction until they have been identified by either the next-of-kin, the person authorized to make funeral arrangements, or the medical examiner. This subsection does not apply to disposition of human remains by any school of anatomy, medicine, or dentistry.

(b) A natural organic reduction facility may not admit human remains under the following circumstances:

(1) The human remains contain radioactive implants.

(2) The human remains are those of an individual who died as the result of a radiological incident or accident.

(3) The remains are those of an individual who had or is suspected of having 1 or more of the following conditions:

a. Prion disease infection.

b. Mycobacterium tuberculosis infection.

c. Ebola virus disease infection.

d. Any viral or other public health risk the Division of Public Health determines may not be eliminated in the process of natural organic reduction.

(c) Human remains designated for cremation must be transported to a crematory using a rigid, leak-resistant container which meets all of the following criteria:

(1) Is made of readily combustible material.

(2) Is of sufficient strength and rigidity for ease of handling.

(3) Complies with all local, state, and federal governmental emissions regulations.

(4) Is not composed of metal or polyethylene.

(5) Maintains a secure closure for the respectful conveyance of the decedent.

(d) Human remains designated for natural organic reduction must be transported to a natural organic reduction facility using a leak-resistant container that maintains a secure closure for the respectful conveyance of the decedent.

(e) A person who knowingly engages a Delaware-licensed funeral establishment to arrange for the cremation or natural organic reduction of the deceased human remains shall also be responsible, following cremation or natural organic reduction, for the identification and arrangement of the final disposition of the human remains, in accordance with all applicable laws and regulations. Failure to do so within 60 days of the date of death is grounds for the funeral establishment of record to submit notification to the Attorney General that the cremated remains or remains following natural organic reduction have been abandoned.

(f) Cremated remains which have been unclaimed or abandoned for a period of more than 12 months from the date of death may be disposed of in a cemetery of the funeral establishment's choice, in a manner so as to permit the return of the cremated remains to the appropriate authorized individual at a future date. All expenses surrounding the disposition and subsequent retrieval of the cremated remains shall be at the sole expense of the authorizing party. A record of the disposition of the cremated remains must be maintained by the funeral establishment of record.

(g) Human remains following natural organic reduction which have been unclaimed or abandoned for a period of more than 2 months from the date of completion of the natural organic reduction process may be disposed of in a cemetery or other natural, protected area under the ownership or control of the funeral establishment, in accordance with all applicable laws and regulations. All expenses surrounding the disposition and disposal of the remains following the natural organic reduction process are at the sole expense of the authorizing party.

(66 Del. Laws, c. 225, § 1; 71 Del. Laws, c. 460, § 1; 80 Del. Laws, c. 194, § 3; 84 Del. Laws, c. 261, § 7.)

§ 3122 Processing human remains where investigation of death is required.

No person licensed under this chapter shall remove or embalm human remains when the person has information indicating that an investigation of death is required pursuant to § 4706 of Title 29.

(66 Del. Laws, c. 225, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1.)

§ 3123 Penalties.

(a) A person is guilty of a misdemeanor when:

(1) The person is not licensed as a funeral director under this chapter and, while not licensed, that person does at least 1 of the following:

a. Engages in the practice of funeral services.

b. Uses that person's name or otherwise assumes or uses any title or description conveying or tending to convey the impression

that the person is qualified to practice funeral services.

(2) The person is not registered as an intern under this chapter and, while not registered, that person does at least 1 of the following:

a. Acts as an intern registered under this title.

b. Uses that person's name or otherwise assumes or uses any title or description conveying or tending to convey the impression that the person is qualified to act as an intern registered under this title.

(b) Upon the first conviction of an offense under this section, the person shall be fined not less than $500 nor more than $1,000 for each offense and shall pay all costs.

(c) Upon the second or subsequent conviction for an offense under this section, the person shall be fined not less than $1,000 nor more than $2,000 for each offense and shall pay all costs.

(d) Superior Court shall have jurisdiction over all violations of this chapter.

(e) Where it is alleged that such violation of this section has resulted in injury to any person, the offender shall be charged and tried under the applicable provisions of Title 11.

(66 Del. Laws, c. 225, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 460, § 1; 80 Del. Laws, c. 194, § 3.)

§ 3124 Criminal background checks of licensed funeral directors.

An applicant for licensure to practice as a licensed funeral director shall submit, at the applicant's expense, fingerprints and other necessary information in order to obtain all of the following:

(1) A report of the individual's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

(2) A report of the individual's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Board shall be the screening point for the receipt of the individual's federal criminal history records.

(80 Del. Laws, c. 194, § 3.)

Chapter 33
VETERINARIANS
Subchapter I
General Terms

§ 3300 Short title.

This chapter shall be known as the "Delaware Veterinary Practice Act."

(24 Del. C. 1953, § 3301; 57 Del. Laws, c. 178; 75 Del. Laws, c. 295, § 1.)

§ 3301 Objectives of Board.

The primary objective of the Board of Veterinary Medicine, to which all other objectives and purposes are secondary, is to protect the general public (specifically those persons who are direct recipients of services regulated by this chapter) from unsafe practices, and from occupational practices which tend to reduce competition or fix the price of services rendered. The secondary objectives of the Board are to maintain minimum standards of practitioner competency, and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at formal complaints hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against practitioners, both licensed and formerly licensed.

(63 Del. Laws, c. 460, § 1; 72 Del. Laws, c. 207, § 1; 75 Del. Laws, c. 295, § 1.)

§ 3302 Definitions.

The following words, terms and phrases, when used in this chapter shall have the meanings ascribed to them under this section, except where the context clearly indicates a different meaning:

(1) "Accredited veterinary medicine school" shall mean any veterinary college or division of a university or college that offers the degree of Doctor of Veterinary Medicine or its equivalent and that conforms to the standards required for accreditation by the American Veterinary Medical Association (AVMA).

(2) "Animal" shall mean any animal other than man or woman, and includes fowl, birds, fish and reptiles, wild or domestic, living or dead.

(3) "Board" shall mean the State Board of Veterinary Medicine established in this chapter.

(4) "Division" shall mean the state Division of Professional Regulation.

(5) "Practice of veterinary medicine" shall mean:

a. To diagnose, prognose, treat, correct, change, relieve or prevent animal disease, deformity, defect, injury or other physical or mental conditions, including the prescription or administration of any drug, medicine, biologic, apparatus, application, anesthetic or other therapeutic or diagnostic substance or technique, for testing for pregnancy or for correcting sterility or infertility, or to render advice or recommendation with regard to any of the above;

b. To represent directly or indirectly, publicly or privately, an ability and willingness to do any act described in paragraph (5)a. of this section;

c. To use any title, words, abbreviation or letters in a manner or under circumstances, which induce the belief that the person using them is qualified to do any act, described in paragraph (5)a. of this section, except where such person is a veterinarian.

(6) "State" shall mean the State of Delaware.

(7) "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of veterinary medicine.

(8) "Veterinarian" shall mean a person who has received a degree in veterinary medicine from a school of veterinary medicine.

(9) "Veterinary medicine" shall include veterinary surgery, obstetrics, dentistry and all other branches or specialties of veterinary medicine.

(10) "Veterinary technician" shall mean a person who has received a degree from a veterinary technician program or its equivalent.

(4 Del. C. 1953, § 3302; 57 Del. Laws, c. 178; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 207, § 2; 74 Del. Laws, c. 262, § 68; 75 Del. Laws, c. 295, §§ 2-6.)

§ 3303 License requirement and exceptions.

A person may not practice veterinary medicine in this State unless the person is a Delaware-licensed veterinarian.

(24 Del. C. 1953, § 3303; 57 Del. Laws, c. 178; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 207, §§ 3, 4; 75 Del. Laws, c. 295, § 7; 75 Del. Laws, c. 295, §§ 7-11; 84 Del. Laws, c. 232, § 1.)

§ 3303A Veterinarian-client-patient relationship.

(a) For a veterinarian to practice veterinary medicine, a veterinarian-client-patient relationship must be established and maintained. Except as provided under subsection (b) of this section, a veterinarian-client-patient relationship is established and maintained if all of the

following are met:

(1) The veterinarian has assumed the responsibility for making medical judgements regarding the health of the patient and the client has agreed to follow the veterinarian's instructions.

(2) The veterinarian has sufficient knowledge of the patient to initiate at least a general or preliminary diagnosis of the medical condition of the patient. This means that the veterinarian is personally acquainted with the keeping and care of the patient by virtue of a timely examination of the patient, or medically-appropriate and timely visits to the operation where the patient is managed.

(3) The veterinarian is readily available for follow-up evaluation or has arranged for the following: veterinary emergency coverage and continuing care and treatment.

(4) The veterinarian provides oversite of treatment, compliance, and outcome.

(5) Patient records are maintained.

(b) In operations where there are several animals, such as shelters, farms, laboratories, or zoos, the veterinarian-client-patient requirement may be established and maintained by 1 of the following:

(1) Examination of health, laboratory, or production records.

(2) Consultation with owners, managers, directors, caretakers, or other supervisory staff who oversee the health-care management of the operation.

(3) Maintenance of information regarding the local epidemiology of diseases for the appropriate species.

(84 Del. Laws, c. 232, § 2.)

§ 3303B Exemptions.

This chapter may not be construed to prohibit any of the following:

(1) An employee of the federal, state, or local government performing official duties.

(2) A person who is a regular student in a veterinary school or veterinary technician program performing duties or actions assigned by instructors, or working under the direct supervision of a licensed veterinarian during the school vacation period.

(3) A person advising with respect to or performing acts which the Board rule has or has not prescribed as accepted livestock management practices.

(4) A veterinarian regularly licensed in another state consulting with a licensed veterinarian in this State.

(5) Any merchant or manufacturer selling at the merchant's or manufacturer's regular place of business medicines, feed, appliances, or other products used in the prevention or treatment of animal diseases.

(6) The owner of an animal and the owner's employee caring for and treating the animal belonging to the owner, except where the ownership of the animal was transferred for purposes of circumventing this chapter.

(7) A member of the faculty of a veterinary school performing regular functions, or a person lecturing or giving instructions or demonstrations at a veterinary school or in connection with a continuing education course or seminar.

(8) Any person selling or applying any pesticide, insecticide, or herbicide.

(9) Any person engaging in bona fide scientific research which reasonably requires experimentation involving animals.

(10) Any person from performing support activities under the supervision, as determined by regulations adopted by the Board, of a Delaware-licensed veterinarian. The support activities must not include diagnosing, prognosing, prescribing, inducing anesthesia, performing surgery, or other support activities as defined in regulations adopted by the Board.

(11) A licensed veterinary technician from performing support activities under the supervision, as determined by regulations adopted by the Board, of a Delaware-licensed veterinarian. The support activities must not include diagnosing, prognosing, prescribing, performing surgery, or other support activities as defined in regulations adopted by the Board.

(12) a. A veterinarian or a veterinary technician who is licensed in another state, and who is in good standing in the other state, providing services during an emergency or natural disaster within the scope and location of assigned veterinary medical duties of the response efforts if all of the following apply:

1. An official declaration of the disaster or emergency has been made by the governor or the delegated state official.

2. An official invitation has been extended to the veterinarian or veterinary technician for a specified time by the authority that has jurisdiction for coordinating the animal or agricultural issues in this State during emergencies.

b. A person practicing as a veterinarian or veterinary technician in this State under this paragraph (12) is subject to the personal and subject matter jurisdiction and disciplinary and regulatory authority of the Board. The person shall comply with applicable provisions of the laws, rules, and regulations of the Board.

(84 Del. Laws, c. 232, § 3.)

§ 3304 Board of Veterinary Medicine — Appointment; composition; qualifications; term of office; suspension or removal; compensation.

(a) There is created a State Board of Veterinary Medicine which shall administer and enforce this chapter.

(b) The Board of Veterinary Medicine shall consist of 7 members appointed by the Governor: 3 professional members who shall be licensed veterinarians; 2 professional members who shall be licensed veterinary technicians appointed so that the terms of the 2 newly appointed veterinary technicians do not expire in the same year; and 2 public members. To serve on the Board, a public member shall not

be nor ever have been a veterinarian or veterinary technician, nor a member of the immediate family of a veterinarian or veterinary technician; shall not have been employed by a veterinarian or veterinary technician; shall not have had a material financial interest in the providing of goods and services to veterinarian or veterinary technicians nor have been engaged in an activity directly related to veterinary medicine or veterinary technicians. Such public member shall be accessible to inquiries, comments and suggestions from the general public.

(c) Each member shall serve for a term of 3 years and may successively serve for 1 additional term; provided, however, that where a member was initially appointed to fill a vacancy, such member may successively serve for only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member. Each term of office shall expire on the date specified in the appointment; however, the Board member shall remain eligible to participate in Board proceedings unless and until replaced by the Governor.

(d) A person who has never served on the Board may be appointed to the Board 2 consecutive times, but no such person shall thereafter be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board, or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(e) Any act or vote by a person appointed in violation of subsection (c) of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (c) of this section, unless such amendment or revision amends this section to permit such an appointment.

(f) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance or malfeasance. A member subject to disciplinary proceedings shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(g) No member of the Board, while serving on the Board, shall hold elective office in any state or national professional association of veterinarians or veterinary technicians. Board members are prohibited from serving as an officer of their professional association's Political Action Committee (PAC).

(h) The provisions set forth in Chapter 58 of Title 29 shall apply to all members of the Board.

(i) Each Board member shall be reimbursed for all expenses involved in each meeting, including travel; and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(22 Del. Laws, c. 364, § 3; Code 1915, § 730; Code 1935, § 738; 24 Del. C. 1953, § 3304; 57 Del. Laws, c. 178; 63 Del. Laws, c. 460, § 2; 64 Del. Laws, c. 448, §§ 1, 10; 67 Del. Laws, c. 368, § 21; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 207, §§ 5-10; 75 Del. Laws, c. 295, §§ 12, 13; 81 Del. Laws, c. 85, § 17.)

§ 3305 Board of Veterinary Medicine — Officers; meetings; quorum.

(a) In the same month of each year, the members shall elect, from among their number, a President and a Vice President. Each officer shall serve for 1 year and may successively serve in the same office for 1 additional year.

(b) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, and at such other times as the President deems necessary; or at the request of a majority of Board members. Special or emergency meetings may be held without notice provided a quorum is present.

(c) A majority of members shall constitute a quorum; and no action shall be taken without the affirmative vote of at least 4 members. Any member who fails to attend 3 consecutive meetings, or who fails to attend at least $^1/_2$ of all regular business meetings during any calendar year, shall automatically upon such occurrence be deemed to have resigned from office and a replacement shall be appointed.

(d) Minutes of all meetings shall be recorded, and copies shall be maintained by the Division of Professional Regulation. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(24 Del. C. 1953, § 3304A; 57 Del. Laws, c. 178; 63 Del. Laws, c. 460, § 3; 64 Del. Laws, c. 117, § 2; 65 Del. Laws, c. 355, § 1; 68 Del. Laws, c. 244, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 207, § 11; 75 Del. Laws, c. 295, § 14.)

§ 3306 Board of Veterinary Medicine — Powers and duties [For application of this section, see 85 Del. Laws, c. 415, § 4].

(a) The Board of Veterinary Medicine shall have authority to:

(1) Formulate rules and regulations, with appropriate notice to those affected, where such notice can reasonably be given; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act [Chapter 101 of Title 29] of this State. Each rule or regulation shall implement or clarify a specific section of this chapter;

(2) Designate the application form to be used by all applicants, and process all applications; however, no application form shall require a picture of the applicant, nor require information relating to citizenship, place or date of birth, length of state residency, marital status, professional association memberships, moral character or require personal or professional references;

(3) Designate the written national examinations for veterinarians and veterinary technicians, prepared by either the applicable national professional association or by a recognized legitimate national testing service, and determine whether applicants are qualified to take such examinations. The examinations shall be prepared for testing on a national basis, and not specifically prepared at the request of the Board for its individual use. Veterinarians and veterinary technicians applying for licensure shall take the applicable national

examination; and both applicants who qualify for original licensure and licensure by reciprocity shall have achieved the passing score on the national examination;

(4) Evaluate the credentials of all persons applying for a license to practice veterinary medicine or as a veterinary technician in Delaware in order to determine whether such persons meet the qualifications for licensing set forth in this chapter;

(5) Grant licenses to, and renew licenses of, all persons who meet the qualifications for licensure and/or renewal of licenses;

(6) Establish by rule and regulation continuing education standards required for license renewal, which must include training on mandatory reporting requirements under § 3037F of Title 16;

(7) Evaluate certified records to determine whether an applicant for licensure, who has been previously licensed, certified, or registered in another jurisdiction to practice veterinary medicine or as a veterinary technician, has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against such applicants for such acts or offenses;

(8) Refer all complaints from licensees and the public concerning licensed veterinarians or veterinary technicians, or concerning practices of the Board or of the professions, to the Division for investigation pursuant to § 8735 of Title 29; and, assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint;

(9) Conduct hearings and issue orders in accordance with procedures established pursuant to Chapter 101 of Title 29;

(10) Where it has been determined after a disciplinary hearing that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty.

(b) The Board may require by subpoena the attendance and testimony of witnesses and production of papers, records or other documentary evidence.

(c) The Board of Veterinary Medicine shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of veterinary medicine.

(24 Del. C. 1953, § 3304B; 57 Del. Laws, c. 178; 63 Del. Laws, c. 460, § 4; 68 Del. Laws, c. 244, § 2; 72 Del. Laws, c. 207, §§ 12-16; 74 Del. Laws, c. 262, § 69; 75 Del. Laws, c. 295, §§ 15-19; 84 Del. Laws, c. 232, § 4; 85 Del. Laws, c. 415, § 2.)

§ 3307 Records.

The Division of Professional Regulation shall keep a register of all approved applications for license as a veterinarian or veterinary technician, and complete records relating to meetings of the Board, examinations, rosters, changes and additions to the Board's rules and regulations, complaints, hearings and such other matters as the Board shall determine. Such records shall be prima facie evidence of the proceedings of the Board.

(72 Del. Laws, c. 207, § 18; 75 Del. Laws, c. 295, § 21.)

§ 3308 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division of Professional Regulation in its services on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for an activity not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each licensure biennium the Division of Professional Regulation, or any other state agency acting in its behalf, shall compute, for each separate service or activity, the appropriate fee for the licensure biennium.

(24 Del. C. 1953, § 3308; 57 Del. Laws, c. 178; 63 Del. Laws, c. 460, § 8; 65 Del. Laws, c. 355, § 1; 72 Del. Laws, c. 207, §§ 20-23; 75 Del. Laws, c. 295, § 23.)

§ 3309 Issuance of license; renewal; inactive status; reinstatement [For application of this section, see 85 Del. Laws, c. 415, § 4].

(a) The Board shall issue a license to each applicant, who meets the requirements of this chapter for licensure as a veterinarian or as a veterinary technician, and who pays the fee established under § 3308 of this title.

(b) Each license shall be renewed biennially upon submission of a renewal application provided by the Board along with the evidence of continuing education courses, unless waived by the board, as may be required by the rules and regulations set forth by the Board and payment of the renewal fee as determined by the Division of Professional Regulation. The Board shall, in its rules and regulations, determine the period of time within which a practitioner may still renew the license, notwithstanding the fact that such practitioner has failed to renew on or before the renewal date; provided, however, that such period shall not exceed 1 year. At the expiration of the period designated by the Board, the license shall be deemed to be lapsed and not renewable, unless the former licensee reapplies under the same conditions which govern reciprocity.

(c) A licensee, upon written request, may be placed in an inactive status. The renewal fee of such person shall be prorated in accordance with the amount of time such person was inactive. Such person may reenter practice upon written notification to the Board of the intent to do so and completion of continuing education as required in the Board's rules and regulations.

(d) Each licensure application and licensure renewal application under this chapter must include an attestation that the applicant has completed the mandatory reporting training requirement under § 3037F of Title 16.

(24 Del. C. 1953, § 3309; 57 Del. Laws, c. 178; 63 Del. Laws, c. 460, § 9; 64 Del. Laws, c. 163, § 1; 64 Del. Laws, c. 448, §§ 4-9; 65

Del. Laws, c. 355, § 1; 67 Del. Laws, c. 145, §§ 2-4; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 207, §§ 24-29; 75 Del. Laws, c. 295, § 24; 85 Del. Laws, c. 415, § 3.)

§ 3310 Complaints.

Anyone desiring to file a complaint against any person practicing veterinary medicine shall file a written complaint with the Division of Professional Regulation. All complaints shall be received and investigated by the Division of Professional Regulation in accordance with § 8735 of Title 29 of the Delaware Code, and the Division shall be responsible for issuing a final written report at the conclusion of its investigation.

(24 Del. C. 1953, § 3310; 57 Del. Laws, c. 178; 63 Del. Laws, c. 460, § 10; 65 Del. Laws, c. 355, § 1; 72 Del. Laws, c. 207, § 30; 75 Del. Laws, c. 295, § 25.)

§ 3311 Hearing procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29, alleging violation of § 3316 or § 3321 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings shall be informal without use of rules of evidence. If the Board finds, by a majority vote of all members, that the complaint has merit, the Board shall take such action permitted under this chapter, as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. The Board's decision shall be mailed immediately to the licensee.

(c) Where the licensee is in disagreement with the action of the Board, the licensee may appeal the Board's decision to the Superior Court within 30 days of service, or of the postmarked date of the copy of the decision mailed to the licensee. Upon such appeal the Court shall hear the evidence on the record. Stays shall be granted in accordance with § 10144 of Title 29.

(24 Del. C. 1953, § 3313; 57 Del. Laws, c. 178; 63 Del. Laws, c. 460, § 13; 65 Del. Laws, c. 323, § 1; 72 Del. Laws, c. 207, § 32; 75 Del. Laws, c. 295, § 28.)

§ 3312 Reinstatement of a suspended license; removal from probationary status.

(a) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension and/or the probation.

(b) Applicants for reinstatement must pay the appropriate fees and submit documentation required by the Board as evidence that all the conditions of a suspension and/or probation have been met. Proof that the applicant has met the continuing education requirements of this chapter may also be required, as appropriate.

(c) [Repealed.]

(24 Del. C. 1953, § 3315; 57 Del. Laws, c. 178; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 207, § 34; 75 Del. Laws, c. 295, § 30; 82 Del. Laws, c. 8, § 14.)

Subchapter II

Veterinarians

§ 3313 Qualifications of applicant; report to Attorney General; judicial review.

(a) An applicant who is applying for licensure as a veterinarian under this subchapter shall submit evidence, verified by oath and satisfactory to the Board, that such person:

(1) Has received a degree of Doctor of Veterinary Medicine or its equivalent from a school or college accredited by the American Veterinary Medical Association, or if the applicant's degree is not from an AVMA-accredited school or college, possess a certificate issued by a certifying Commission approved by the Delaware Board;

(2) Has achieved the passing score on the written standardized examination for veterinarians designated by the Board pursuant to § 3306 of this title.

(3) Has not had that applicant's United States Drug Enforcement Administration (DEA) privileges restricted or revoked; or

(4) Shall not have any impairment related to drugs or alcohol that would limit the applicant's ability to undertake the practice of veterinary medicine in a manner consistent with the safety of a patient or the public;

(5) Shall not have a criminal conviction record, or pending criminal charge relating to an offense that is substantially related to the practice of veterinary medicine. Applicants who have criminal conviction records or pending criminal charges shall require appropriate authorities to provide information about the record or charge directly to the Board; however, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation, the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(5).

a.-d. [Repealed.]

(6) Shall not have engaged in any of the acts or offenses that would be grounds for disciplinary action under this subchapter and has

no disciplinary proceedings or unresolved complaints pending against the applicant in any jurisdiction where the applicant has previously been or currently is licensed as a veterinarian.

(b) If the applicant receives an administrative penalty regarding that applicant's practice of veterinary medicine, including a fine, formal reprimand, license suspension or revocation (except for a license revocation for nonpayment of a license renewal fee), or probationary limitation, or enters into a "consent agreement" which contains conditions placed by the Board or a veterinary medicine licensing board of another state on that applicant's professional conduct and practice, including any voluntary surrender of a license, the applicant shall furnish all information regarding the administrative penalty or consent agreement to the Board. The Board may, after a hearing or review of documentation, determine that the administrative penalty or consent agreement is grounds to deny licensure.

(c) In the event the applicant has not taken the national examination designated by the Board pursuant to § 3306 of this title, the applicant shall sit for the latest examination at such times as determined by the testing service.

(d) Where the Board has found to its satisfaction that an applicant has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(e) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification; has imposed higher or different standards for the applicant than for other applicants or licensees; or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(24 Del. C. 1953, § 3305; 57 Del. Laws, c. 178; 63 Del. Laws, c. 460, § 5; 64 Del. Laws, c. 448, § 2; 68 Del. Laws, c. 332, § 1; 69 Del. Laws, c. 255, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 207, § 17; 74 Del. Laws, c. 262, § 70; 75 Del. Laws, c. 295, §§ 20, 31-35; 75 Del. Laws, c. 436, § 37; 77 Del. Laws, c. 199, § 27; 78 Del. Laws, c. 44, §§ 52, 53; 83 Del. Laws, c. 433, § 22; 84 Del. Laws, c. 232, § 5.)

§ 3314 Reciprocity.

(a) Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant, who shall present proof of current licensure in "good standing " in another state, the District of Columbia, or territory of the United States. A license in "good standing" is defined in § 3313(a)(3)-(6) of this title; and

(b) The applicant has passed the national examination designated by the Board pursuant to § 3306 of this title excluding the Clinical Competency Test prior to 1996, unless at the time the applicant became licensed in the State, District of Columbia, or territory of the United States, from which that applicant is applying, the national examination designated by the Board was/were not required by this State (in which case, the applicant need only present evidence of passing whatever national licensing examinations were required of entry level licensees in this State at that time).

(c) Applicants who are not graduates of schools of veterinary medicine accredited by the American Veterinary Medical Association must possess a certificate issued by a certifying commission approved by the Board.

(d) The Board shall grant a license to an applicant, who was previously licensed as a veterinarian in this State, and who has let that applicant's license lapse, subject to the applicant's meeting the requirements of subsection (a) of this section, and continuing education requirements as provided for in the Board's rules and regulations.

(e) If a disciplinary proceeding or unresolved complaint is pending in this State or another state, the applicant may not be licensed in this State until the proceeding or complaint is resolved. An applicant for licensure as a veterinarian in this State is deemed to have given consent to the release of information related to the pending disciplinary proceeding or unresolved complaint, and to waive all objections to the admissibility of the information as evidence at any hearing or other proceeding to which the applicant may be subject.

(24 Del. C. 1953, § 3307; 57 Del. Laws, c. 178; 63 Del. Laws, c. 460, § 7; 67 Del. Laws, c. 145, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 207, § 19; 75 Del. Laws, c. 295, § 22; 75 Del. Laws, c. 436, § 38; 84 Del. Laws, c. 232, § 6.)

§ 3315 Temporary license.

(a) Upon payment of the appropriate fee and on submission of a written application on forms provided by the Board, the Board shall issue a temporary license to a person who has applied for licensure as a veterinarian under this subsection and who either is being considered for licensure under the reciprocity provision of this subchapter, or, is eligible to take the examination provided for in this subchapter. Such temporary license will be available to an applicant only with respect to the first application for licensure, and the applicant shall use the temporary license only while under the supervision of a licensed veterinarian. In all cases, such temporary license shall expire automatically if the applicant fails the examination or fails to sit for the same at the earliest opportunity. In all cases where a temporary license is issued to an applicant for licensure by reciprocity, such temporary license shall expire automatically upon written notice to the applicant by the Board that it proposes to deny such application. Upon expiration, the temporary license shall be surrendered to the Board.

(b) [Repealed.]

(24 Del. C. 1953, § 3309; 57 Del. Laws, c. 178; 63 Del. Laws, c. 460, § 9; 64 Del. Laws, c. 163, § 1; 64 Del. Laws, c. 448, §§ 4-9; 65 Del. Laws, c. 355, § 1; 67 Del. Laws, c. 145, §§ 2-4; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 207, §§ 24-29; 75 Del. Laws, c. 295, § 36; 84 Del. Laws, c. 232, § 7.)

§ 3316 Grounds for discipline; procedure.

(a) A veterinarian licensed under this subchapter shall be subject to disciplinary sanctions set forth in § 3317 of this title, if, after a hearing, the Board finds that the veterinarian has:

(1) Been found guilty of unprofessional conduct as defined in the Board's rules and regulations;

(2) Employed or knowingly cooperated in fraud or material deception in order to acquire a license as a veterinarian; has impersonated another person holding a license, or allowed another person to use that practitioner's license, or aided or abetted a person not licensed as a veterinarian to represent himself or herself as a veterinarian;

(3) Illegally, incompetently or negligently practiced veterinary medicine;

(4) Been convicted of any crime that is substantially related to the practice veterinary medicine or any offense that would limit the ability of the licensee to carry out the licensee's professional duties with due regard for the health and safety of animals. A copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence therefor;

(5) Excessively used or abused drugs; excessive use or abuse of drugs shall mean any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed physician, or the abuse of alcoholic beverage such that it impairs the licensee's ability to perform the work of a veterinarian;

(6) Engaged in an act of consumer fraud or deception; engaged in the restraint of competition; or participated in price-fixing activities;

(7) Wilfully violated any privileged communication;

(8) Been fraudulent or dishonest in the application or reporting of any test for disease in animals;

(9) Failed to keep that applicant's veterinary premises and equipment in clean and sanitary condition;

(10) Failed to report, as required by law, or has made a false report of any contagious or infectious disease;

(11) Been dishonest or negligent in the inspection of foodstuffs, or in the issuance of health or inspection certificates;

(12) Been cruel to animals;

(13) Violated a lawful provision of this chapter, or any lawful regulation established thereunder;

(14) Had that applicant's license as a veterinarian suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record; and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every person licensed as a veterinarian in this State shall be deemed to have given consent to the release of this information by the Board of Veterinarians or other comparable agencies in another jurisdiction and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses;

(15) Failed to notify the Board that the applicant's license as a veterinarian in another State has been subject to discipline, or has been surrendered, suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof.

(b) Subject to the provisions of this chapter and subchapter IV, Chapter 101 of Title 29, no license shall be restricted, suspended or revoked by the Board, and no licensee's right to practice veterinary medicine shall be limited by the Board until such licensee has been given notice, and an opportunity to be heard, in accordance with the Administrative Procedures Act [Chapter 101 of Title 29].

(24 Del. C. 1953, § 3311; 57 Del. Laws, c. 178; 63 Del. Laws, c. 460, § 11; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 207, § 31; 74 Del. Laws, c. 262, § 71; 75 Del. Laws, c. 295, § 26.)

§ 3317 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 of the conditions or violations set forth in § 3316 of this title applies to a licensee regulated by this chapter:

(1) Issue a letter of reprimand;

(2) [Repealed.]

(3) Place a licensee on probationary status, and require the licensee to:

a. Report regularly to the Board upon the matters which are the basis of the probation;

b. Limit all practice and professional activities to those areas prescribed by the Board; and/or

c. Continue or renew professional education until the required degree of skill has been attained in those areas which are the basis of the probation;

(4) Suspend any licensee's license;

(5) Permanently revoke a licensee's license; or

(6) Impose a monetary penalty not to exceed $2,000 for each violation.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such action have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily

suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(d) Where a license has been suspended due to a disability of the licensee, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee is able to practice with reasonable skill and safety.

(e) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this subchapter.

(f) Where the Board has placed a licensee on probationary status under certain restrictions or conditions, and the Board has determined that such restrictions or conditions are being or have been violated by the licensee, the Board, after a hearing on the matter, may suspend or revoke the licensee's license.

(24 Del. C. 1953, § 3312; 57 Del. Laws, c. 178; 63 Del. Laws, c. 460, § 12; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 295, § 27; 79 Del. Laws, c. 213, § 2; 84 Del. Laws, c. 232, § 8.)

§ 3318 Practicing without a license; penalties.

(a) It is unlawful for a person who is not licensed under this subchapter to use, in connection with the person's name or business, or otherwise assume or use any title or description conveying or tending to convey the impression that the person is licensed under this subchapter.

(b) For a first offense, the court may impose a fine of not less than $500 nor more than $1,000 for each offense. For a second or subsequent offense, the court may impose a fine of not less than $1,000 nor more than $2,000 for each offense.

(c) The Justice of the Peace Court has jurisdiction over a violation of this subchapter.

(24 Del. C. 1953, § 3314; 57 Del. Laws, c. 178; 63 Del. Laws, c. 460, § 14; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 207, § 33; 75 Del. Laws, c. 295, § 37; 84 Del. Laws, c. 232, § 9.)

Subchapter III

Veterinary Technicians

§ 3319 Qualifications of applicant; report to Attorney General; judicial review.

(a) An applicant who is applying for licensure as a veterinary technician under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that such person:

(1) Has received a degree from a veterinary technician program accredited by the American Veterinary Medical Association ("AVMA") or from a foreign veterinary technician program approved by the AVMA.

(2) Has achieved the passing score on the written standardized national examination designated by the Board pursuant to § 3306 of this title;

(3) Shall not have any impairment related to drugs or alcohol that would limit the applicant's ability to practice as a veterinary technician in a manner consistent with the safety of a patient or the public;

(4) Shall not have a criminal conviction record, or pending criminal charge relating to an offense that is substantially related to practice as a veterinary technician. Applicants who have criminal conviction records or pending criminal charges shall require appropriate authorities to provide information about the record or charge directly to Board; however, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(4);

a.-d. [Repealed.]

(5) Shall not have engaged in any of the acts or offenses that would be grounds for disciplinary action under this chapter and has no disciplinary proceedings or unresolved complaints pending against that applicant in any jurisdiction where the applicant has previously been or currently is licensed as a veterinary technician.

(b) If the applicant receives an administrative penalty regarding that applicant's practice as a veterinary technician, including a fine, formal reprimand, license suspension or revocation (except for a license revocation for nonpayment of a license renewal fee), or probationary limitation, or enters into a "consent agreement" which contains conditions placed by the Board or a veterinary medicine licensing board of another state on that applicant's professional conduct and practice, including any voluntary surrender of a license, the applicant shall furnish all information regarding the administrative penalty or consent agreement to the Board. The Board may, after a hearing or review of documentation, determine that the administrative penalty or consent agreement is grounds to deny licensure.

(c) In the event the applicant has not taken the national examination designated by the Board pursuant to § 3306 of this title, the applicant shall sit for the latest examination at such times as are determined by the testing service.

(d) Where the Board has found to its satisfaction that an applicant has been intentionally fraudulent, or that false information has been

intentionally supplied, it shall report its findings to the Attorney General for further action.

(e) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification; has imposed higher or different standards for the applicant than for other applicants or licensees; or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(75 Del. Laws, c. 295, § 39; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 199, § 28; 78 Del. Laws, c. 44, §§ 54, 55; 83 Del. Laws, c. 433, § 22; 84 Del. Laws, c. 232, § 10.)

§ 3320 Reciprocity, lapsed license, reinstatement, and temporary licensure.

(a) On payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who meets all of the following:

(1) Presents to the Board proof of current licensure in "good standing" in another state, the District of Columbia, or territory of the United States. A license in "good standing" is defined in § 3319(a)(3) through (5) of this title.

(2) Has received a degree from a veterinary technician program accredited by the American Veterinary Medical Association ("AVMA") or from a foreign veterinary program approved by the AVMA.

(3) Has achieved the passing score on the written standardized national examination designated by the Board under § 3306 of this title. This requirement does not apply to any applicant under this section who has continuously maintained a license in another state and graduated from an AVMA accredited school before 1990.

(b) The Board shall grant a license to an applicant who meets all of the following:

(1) Was previously licensed as a veterinary technician in this State.

(2) Has allowed the applicant's license to lapse.

(3) Meets the continuing education requirements in the Board's rules and regulations.

(c) On payment of the appropriate fee and on submission of an application, the Board shall issue a temporary license to a person who has applied for licensure as a veterinary technician under this subchapter and who is eligible to take the examination provided for in this subchapter. A temporary license is available to an applicant only with respect to the first application for licensure, and the applicant may only use the temporary license while under the supervision of a licensed veterinarian. A temporary license expires automatically if the applicant fails the examination or fails to sit for the examination at the earliest opportunity. If a temporary license is issued to an applicant for licensure by reciprocity, the temporary license expires automatically on written notice to the applicant by the Board that it proposes to deny the application. On expiration, the temporary license must be surrendered to the Board.

(d) If a disciplinary proceeding or unresolved complaint is pending in this State or another state, the applicant may not be licensed in this State until the proceeding or complaint is resolved. An applicant for licensure as a veterinary technician in this State is deemed to have given consent to the release of information related to the pending disciplinary proceeding or unresolved complaint and to waive all objections to the admissibility of the information as evidence at any hearing or other proceeding to which the applicant may be subject.

(75 Del. Laws, c. 295, § 39; 70 Del. Laws, c. 186, § 1; 84 Del. Laws, c. 232, § 11.)

§ 3321 Grounds for discipline; procedure.

(a) A veterinary technician licensed under this subchapter shall be subject to disciplinary actions set forth in § 3322 of this title, if, after a hearing, the Board finds that the veterinary technician:

(1) Has been found guilty of unprofessional conduct as defined in the Board's rules and regulations;

(2) Has employed or knowingly cooperated in fraud or material deception in order to acquire a license as a veterinary technician; has impersonated another person holding a license, or allowed another person to use that veterinary technician's license, or aided or abetted a person not licensed as a veterinary technician to represent himself or herself as a veterinary technician;

(3) Has illegally, incompetently or negligently practiced as a veterinary technician;

(4) Has been convicted of any crime that is substantially related to the practice of veterinary medicine as determined by the Board or any offense that would limit the ability of the licensee to carry out the licensee's professional duties with due regard for the health and safety of animals. A copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence therefore;

(5) Has expressively used or abused drugs; excessive use or abuse of drugs shall mean any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed physician, or the abuse of alcoholic beverage such that it impairs the licensee's ability to perform the work of a veterinary technician;

(6) Has engaged in an act of consumer fraud or deception; engaged in the restraint of competition; or participated in price-fixing activities;

(7) Has wilfully violated any privileged communication;

(8) Has been cruel to animals;

(9) Has violated a lawful provision of this chapter, or any lawful regulation established thereunder;

(10) Has had that veterinary technician's license as a veterinary technician suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record; and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every person licensed as a veterinary technician

in this State shall be deemed to have given consent to the release of this information by the Board of Veterinary Technicians or other comparable agencies in another jurisdiction and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses;

(11) Has failed to notify the Board that the veterinary technician's license as a veterinary technician in another State has been subject to discipline, or has been surrendered, suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof.

(b) Subject to the provisions of this chapter and subchapter IV, Chapter 101 of Title 29, no license shall be restricted, suspended or revoked by the Board, and no licensee's right to practice as a veterinary technician shall be limited by the Board until such licensee has been given notice, and an opportunity to be heard, in accordance with the Administrative Procedures Act [Chapter 101 of Title 29].

(75 Del. Laws, c. 295, § 39; 70 Del. Laws, c. 186, § 1.)

§ 3322 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 of the conditions or violations set forth in § 3321 of this title applies to a licensee regulated by this subchapter:

(1) Issue a letter of reprimand;

(2) [Repealed.]

(3) Place a licensee on probationary status, and require the licensee to:

a. Report regularly to the Board upon the matters which are the basis of the probation;

b. Limit all practice and professional activities to those areas prescribed by the Board; and/or

c. Continue or renew professional education until the required degree of skill has been attained in those areas which are the basis of the probation;

(4) Suspend a veterinary technician's license;

(5) Permanently revoke a veterinary technician's license; or

(6) Impose a monetary penalty not to exceed $500 for each violation.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such action have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(d) Where a license has been suspended due to a disability of the licensee, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee is able to practice with reasonable skill and safety.

(e) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(f) Where the Board has placed a licensee on probationary status under certain restrictions or conditions, and the Board has determined that such restrictions are being or have been violated by the licensee, the Board, after a hearing on the matter, may suspend or revoke the licensee's license.

(75 Del. Laws, c. 295, § 39; 79 Del. Laws, c. 213, § 2; 84 Del. Laws, c. 232, § 12.)

§ 3323 Practicing without a license; penalties.

(a) It is unlawful for a person who is not licensed under this subchapter to use in connection with the person's name or business, or otherwise assume or use any title or description conveying or tending to convey the impression that the person is licensed under this subchapter.

(b) For a first offense, the court may impose a fine of not less than $500 nor more than $1,000 for each offense. For a second or subsequent offense, the court may impose a fine of not less than $1,000 nor more than $2,000 for each offense.

(c) The Justice of the Peace Court has jurisdiction over a violation of this subchapter.

(75 Del. Laws, c. 295, § 39; 84 Del. Laws, c. 232, § 13.)

Chapter 35
PSYCHOLOGY

Subchapter I

Board of Examiners of Psychologists

§ 3501 Objectives.

The primary objective of the Board of Examiners of Psychologists, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

The secondary objectives of the Board are to maintain minimum standards of practitioner competency and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at formal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against practitioners, both licensed and unlicensed.

(70 Del. Laws, c. 57, § 1.)

§ 3502 Definitions [For application of this section, see 85 Del. Laws, c. 317, § 25].

For purposes of this chapter:

(1) "Board" means the State Board of Examiners of Psychologists established in this chapter.

(2) "Conversion therapy" means any practice or treatment that seeks to change an individual's sexual orientation or gender identity, as "sexual orientation" and "gender identity" are defined in § 710 of Title 19, including any effort to change behaviors or gender expressions or to eliminate or reduce sexual or romantic attractions or feelings toward individuals of the same gender. "Conversion therapy" does not mean any of the following:

a. Counseling that provides assistance to an individual who is seeking to undergo a gender transition or who is in the process of undergoing gender transition.

b. Counseling that provides an individual with acceptance, support, and understanding without seeking to change an individual's sexual orientation or gender identity.

c. Counseling that facilitates an individual's coping, social support, and identity exploration and development, including counseling in the form of sexual orientation-neutral interventions or gender identity-neutral interventions provided for the purpose of preventing or addressing unlawful conduct or unsafe sexual practices, without seeking to change an individual's sexual orientation or gender identity.

(3) "Doctoral-level psychological assistant" means an individual who is registered with the Board to perform only the specific functions within the practice of psychology that are listed in the statement submitted under § 3509(a)(1) of this title, only under the direct supervision of a supervising psychologist, and who is authorized by the Board to use the title "doctoral-level psychological assistant."

(4) "Excessive use or abuse of drugs" means any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed physician, or the abuse of alcoholic beverages such that it impairs the individual's ability to perform the work of a psychologist, licensed psychology associate, doctoral-level psychological assistant, or master's-level psychological assistant.

(5) "Licensed psychology associate" means an individual who licensed and authorized to engage on the practice of psychology under § 3508B of this title.

(6) "Licensee" means an individual who is licensed as a psychologist or a licensed psychology associate under this chapter.

(7) "Master's-level psychological assistant" means an individual who is registered with the Board to perform only the specific functions within the practice of psychology that are listed in the statement submitted under § 3509B(a)(1) of this title, only under the direct supervision of a supervising psychologist or a supervising licensed psychology associate, and who is authorized by the Board to use the title "master's-level psychological assistant", while attaining further requirements for licensure, as specified by the Board.

(8) a. "Practice of psychology" means observing, describing, evaluating, testing, assessing, interpreting, and modifying human behavior by applying psychological principles, methods, and procedures that are grounded in a scientific orientation toward psychological knowledge and methods, for 1 or more of the following purposes:

1. Preventing, evaluating, assessing, or treating any symptomatic, maladaptive, or undesired behavior, emotion, cognition, physical condition, or social barrier.

2. Evaluating, assessing, treating, or facilitating the enhancement of individual, family, or group effectiveness, including adaptive behavior, interpersonal relationships, work and life adjustment, personal effectiveness, behavioral health, mental health, and individual or group performance.

b. The practice of psychology includes all of the following:

1. Psychological testing.

2. The evaluation or assessment of individual or group performance.

3. Conducting evidence-based assessments or evaluations of personal characteristics, such as intelligence, personality, abilities, interests, aptitudes, behavior, achievement, and neuropsychological function.

4. Providing evidence-based therapeutic interventions, such as cognitive rehabilitation, counseling, psychoanalysis, psychotherapy, hypnosis, biofeedback, and behavior analysis and therapy to improve cognitive, mental, behavioral, physical, functional, and sociocultural health and wellness.

5. Diagnosing, treatment planning, treating, and managing psychological function; mental and emotional disorders; mental, developmental, or intellectual disabilities; substance misuse; substance use disorders; disorders of habit or conduct; and the psychological functional, and sociocultural aspects of physical illness, accident, injury, or and disability.

6. Providing psychoeducational evaluation, therapy, remediation, and consultation.

7. Providing psychological screening, interviewing, and diagnostic assessment to carry out the psychological services listed under paragraph (8)b.3. of this section.

8. Consulting and collaborating with other professionals on patient or family diagnoses, impairment, treatment planning, and removing barriers to health or care.

9. Conducting psychological testing.

10. Supervising a doctoral-level psychological assistant, subject to § 3509 of this title.

11. Supervising a master's-level psychological assistant, subject to § 3509B of this title.

c. The "practice of psychology" is grounded in a scientific orientation towards psychological methods, including using, to the extent possible, existing evidence-based literature or, if relevant evidence-based literature does not exist, a scientific orientation to guide professional activities and decision-making.

d. The "practice of psychology" occurs regardless of whether payment is received for services rendered.

(9) "Psychological testing" includes administration and interpretation of all of the following:

a. Standardized intelligence and neuropsychological tests that yield an intelligence quotient or are the basis for a diagnosis of brain-based disorders for the purposes of classification or disability determination.

b. Psychological tests that are the basis of a diagnosis of mental or emotional disorder.

(10) "Psychologist" means an individual who makes representations to the public by any title or description of services incorporating the words "psychology," "psychological," "psychologist," or who engages in the practice of psychology.

(11) "Registrant" means an individual who is registered as a doctoral-level psychological assistant or master's-level psychological assistant under this chapter.

(12) "Substantially related" means the nature of the criminal conduct, for which an individual is convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of psychology.

(13) "Supervising licensed psychology associate" means a licensed psychology associate licensed in this State who supervises a master's-level psychological assistant, as provided by § 3509B of this title.

(14) "Supervising psychologist" means a psychologist licensed in this State who supervises a doctoral-level psychological assistant, as provided by § 3509 of this title, or a master's-level psychological assistant, as provided by § 3509B of this title.

(15) "Supervision" means the face-to-face consultation between a doctoral-level psychological assistant or master's level psychological assistant and the supervising psychologist or supervising licensed psychology associate, as required by the nature of the work of the doctoral-level psychological assistant or master's-level psychological assistant.

(24 Del. C. 1953, § 3501; 58 Del. Laws, c. 380; 62 Del. Laws, c. 314, § 1; 70 Del. Laws, c. 57, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 72; 80 Del. Laws, c. 80, § 18; 81 Del. Laws, c. 340, § 8; 82 Del. Laws, c. 261, §§ 13, 16; 83 Del. Laws, c. 52, § 17; 85 Del. Laws, c. 317, § 1.)

§ 3503 Board of Examiners of Psychologists; appointments; qualifications; term; vacancies; suspension or removal; unexcused absences; compensation [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) There is created a State Board of Examiners of Psychologists which shall administer and enforce this chapter.

(b) The Board consists of 9 members who are appointed by the Governor and meet the following qualifications:

(1) All 9 members must be residents of this State.

(2) Five of the members must be psychologists licensed under this chapter.

(3) Four members must be public members.

(4) At least 3 members must be engaged full time in the practice of psychology.

(5) The public members may not be, nor ever have been, psychologists, licensed psychology associates, doctoral-level psychological assistants, or master's-level psychological assistants, nor members of the immediate family of a psychologist, licensed psychology associate, doctoral-level psychological assistant, or master's-level psychological assistant; may not have been employed by a psychologist, licensed psychology associate, doctoral-level assistant, or master's-level psychological assistant; may not have a material interest in the providing of goods and services to psychologists, licensed psychology associates, doctoral-level psychological assistants, or master's-level psychological assistants; and may not have been engaged in an activity directly related to psychology. The public members must be accessible to inquiries, comments, and suggestions from the general public.

(c) Except as otherwise provided in subsection (d) of this section, each member is appointed for a period of 3 years and may serve 1 additional term in succession; however, if a member was initially appointed to fill a vacancy, the member may serve only 1 additional full term in succession. Any individual appointed to fill a vacancy on the Board serves for the remainder of the unexpired term of the former member. Each member's term expires on the date specified in the appointment; however, the member remains eligible to participate in Board proceedings until replaced by the Governor.

(d) An individual who has never served on the Board may be appointed to the Board for 2 consecutive terms; however, after the individual has been appointed for 2 consecutive terms the individual is not eligible for 2 consecutive appointments. An individual who has been twice appointed to the Board or who has served on the Board for 6 years within any 9-year period may not be appointed to the Board again until an interim period of at least 1 year has expired since the individual last served.

(e) Any act or vote by an individual appointed in violation of this section is invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless the amendment or revision amends this section to permit such an appointment.

(f) The Governor may suspend or remove a member for misfeasance, nonfeasance, malfeasance, misconduct, incompetency, or neglect of duty. A member subject to disciplinary hearing is disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A member may appeal any suspension or removal to the Superior Court.

(g) While serving on the Board, a member may not hold elective office in any professional association of psychologists.

(h) The provisions set forth for "employees" in Chapter 58 of Title 29 apply to all members and to all agents appointed, or otherwise employed, by the Board.

(i) Any member who is absent, without adequate reason, for 3 consecutive meetings, or who fails to attend at least half of all regular Board meetings during any calendar year, is deemed in neglect of duty.

(j) Each member must be reimbursed for all expenses involved in each meeting, including travel, and in addition must receive compensation per meeting attended in an amount determined by the Division of Professional Regulation under Section 9 of Article III of the Delaware Constitution.

(24 Del. C. 1953, § 3502; 58 Del. Laws, c. 380; 62 Del. Laws, c. 314, §§ 2, 3; 65 Del. Laws, c. 223, § 1; 67 Del. Laws, c. 366, § 14; 67 Del. Laws, c. 368, § 22; 70 Del. Laws, c. 57, § 1; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 85, § 18; 85 Del. Laws, c. 317, § 2.)

§ 3504 Organization; meetings; officers; quorum [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) The Board shall hold regularly scheduled meetings at least once in each quarter of a calendar year, and at other times the President deems necessary or at the request of a majority of the members.

(b) The Board shall elect annually a President, Vice President, and Secretary. Each officer shall serve for 1 year, and each officer may not be elected for more than 2 consecutive terms.

(c) A majority of the members constitutes a quorum for the purpose of transacting business. Disciplinary action may not be taken without the affirmative vote of at least 5 members.

(d) Minutes of all meetings must be recorded, and copies must be maintained by the Division of Professional Regulation. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared must be made. The cost of preparing any transcript is paid by the person requesting the transcript.

(24 Del. C. 1953, § 3504; 58 Del. Laws, c. 380; 62 Del. Laws, c. 314, §§ 5, 6; 67 Del. Laws, c. 366, § 16; 70 Del. Laws, c. 57, § 1; 70 Del. Laws, c. 186, § 1; 85 Del. Laws, c. 317, § 3.)

§ 3505 Records [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) The Division of Professional Regulation shall keep all of the following:

(1) A register of all applications for licensure as a psychologist or licensed psychology associate, and for registration as a doctoral-level psychological assistant or master's-level psychological assistant.

(2) Complete records relating to all of the following:

a. Meetings of the Board.

b. Examinations.

c. Rosters.

d. Changes and additions to the Board's rules and regulations.

e. Complaints.

f. Hearings.

g. Other matters as the Board may determine.

(b) The records kept under this section are prima facie evidence of the proceedings of the Board.

(24 Del. C. 1953, § 3505; 58 Del. Laws, c. 380; 62 Del. Laws, c. 314, § 7; 70 Del. Laws, c. 57, § 1; 85 Del. Laws, c. 317, § 4.)

§ 3506 Powers and duties [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) The Board of Examiners of Psychologists may do all of the following:

(1) Promulgate rules and regulations, with appropriate notice to those affected and in accordance with the procedures specified in the

Administrative Procedures Act (Chapter 101 of Title 29). Each rule or regulation must implement or clarify a specific section of this chapter.

(2) Designate the application form to be used by all applicants, and to process all applications.

(3) Designate the written standardized Examination for Professional Practice in Psychology (EPPP) to be taken by all applicants applying for licensure. Applicants who qualify for licensure by reciprocity must have achieved a passing score on the EPPP.

(4) Provide for the administration of all examinations, including notice and information to applicants.

(5) Establish minimum education, training, and experience requirements for licensure as psychologists or licensed psychology associates and for registration as doctoral-level psychological assistants or master's-level psychological assistants.

(6) Evaluate the credentials of all applicants applying for licensure as a psychologist or licensed psychology associate and all applicants applying for registration as a doctoral-level psychological assistant or master's-level psychological assistant, in order to determine if each applicant meets the qualifications for licensing or registration set forth in this chapter.

(7) Grant licenses to and renew the licenses of all individuals who meet the qualifications for licensure or license renewal under this chapter.

(8) Register and renew the registration of all individuals who meet the qualifications for registration or registration renewal under this chapter.

(9) Establish, by rule and regulation, continuing education standards required for license renewal and registration renewal.

(10) Evaluate certified records to determine if an applicant for licensure or registration, who has been previously licensed, certified, or registered in another jurisdiction to engage in the practice of psychology, has committed any act or offense that would be grounds for disciplinary action under this chapter and if there are disciplinary proceedings or unresolved complaints pending against the applicants for the act or offense.

(11) Refer to the Division of Professional Regulation, for investigation pursuant to § 8735(h) of Title 29, all complaints from licensees and the public concerning any of the following:

a. Licensees.

b. Registrants.

c. The Board's practices.

d. The practice of psychology.

(12) Assign a member of the Board to assist the Division of Professional Regulation, in an advisory capacity, with the investigation of the technical aspects of a complaint referred under paragraph (a)(11) of this section.

(13) Conduct hearings and issue orders in accordance with procedures established under this chapter, Chapter 101 of Title 29, and § 8735(h) of Title 29. But if the provisions of Chapter 101 of Title 29 or § 8735(h) of Title 29 conflict with the provisions of this chapter, this chapter governs.

(14) If it is determined after a disciplinary hearing that penalties or sanctions should be imposed, designate and impose the appropriate sanction or penalty after time for appeal has lapsed.

(15) Suspend or revoke a supervising psychologist's authorization to supervise a doctoral-level psychological assistant or a master's-level psychological assistant, suspend or revoke a supervising licensed psychology associate's authorization to supervise a master's-level psychological assistant, and to otherwise discipline a supervising psychologist or a supervising licensed psychology associate whenever a doctoral-level psychological assistant or a master's-level psychological assistant is in violation of this chapter or commits any of the acts or offenses that are grounds for disciplinary action under this chapter.

(16) Determine the number of doctoral-level psychological assistants and master's-level psychological assistants that a supervising psychologist may supervise, the number of master's-level psychological assistants a supervising licensed psychology associate may supervise, and the requirements for the supervision.

(b) The Board shall do all of the following:

(1) Promulgate regulations specifically identifying those crimes which are substantially related to the practice of psychology.

(2) Determine if a licensee or registrant is subject to a disciplinary hearing, and if so, shall conduct the hearing in accordance with this chapter and the Administrative Procedures Act (Chapter 101 of Title 29).

(3) Adopt the administration, grading procedures, and passing score of the Association of State and Provincial Psychology Boards (ASPPB), or, if a national examination is not available, of a comparable alternative national or regional examination.

(70 Del. Laws, c. 57, § 1; 74 Del. Laws, c. 262, § 73; 85 Del. Laws, c. 317, § 5.)

Subchapter II

License and Registration

§ 3507 License; registration required [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) Unless an individual has been duly licensed under this chapter, the individual may not do any of the following:

(1) Engage in the practice of psychology.

(2) Represent to the public in this State that the individual is qualified to engage in the practice of psychology.

(3) Use in connection with that individual's name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the individual is qualified to engage in the practice of psychology.

(b) If an individual's license to practice as a psychologist or a licensed psychology associate in this State has expired or has been suspended or revoked, it is unlawful for the individual to engage in the practice of psychology in this State.

(c) An individual may not act as a doctoral-level psychological assistant or a master's-level psychological assistant or represent that the individual is a doctoral-level psychological assistant or a master's-level psychological assistant, unless the individual has been duly registered under this chapter.

(24 Del. C. 1953, § 3506; 58 Del. Laws, c. 380; 62 Del. Laws, c. 314, § 8; 70 Del. Laws, c. 57, § 1; 70 Del. Laws, c. 186, § 1; 85 Del. Laws, c. 317, § 6.)

§ 3508 Qualifications of applicants for licensure as a psychologist; report to Attorney General; judicial review [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) An applicant who is applying for licensure as a psychologist under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that the applicant has done all of the following qualifications:

(1) a. Received a doctoral degree based on a program of study that is psychological in content and specifically designed to train and prepare psychologists. The doctoral degree must be all of the following:

1. From a college or university accredited by the United States Department of Education or by an accrediting agency recognized by the Council on Postsecondary Accreditation, or its successor, and which has a graduate program that states its purpose to be the training and preparation of psychologists.

2. Based on a program of studies accredited as a professional psychology program by the American Psychological Association (APA), the Canadian Psychological Association (CPA), the Psychological Clinical Science Accreditation System (PCSAS), or an equivalent program approved by the Board.

b. Applicants holding degrees from programs outside the United States or its territories must provide evidence of training and a degree equivalent to accredited programs. The applicants are required to have their credentials evaluated by a credential evaluation service, approved by the National Association of Credential Evaluation Services (NACES), to determine equivalency to the accreditation requirements of this subsection.

(2) Successfully completed a predoctoral internship which complies with the Board's rules and regulations.

(3) After receiving the doctoral degree required under paragraph (a)(1) of this section, has at least 1 year of supervised professional experience in psychological work of a type satisfactory to the Board.

(4) Achieved a passing score on the Examination for Professional Practice in Psychology (EPPP) developed by the Association of State and Provincial Psychology Boards (ASPPB), or its successor.

(5) Subject to § 8735(x) of Title 29, has not engaged in any acts or offenses that would be grounds for disciplinary action under this chapter and has no disciplinary proceedings or unresolved complaints pending against the applicant in any jurisdiction where the applicant has previously been or currently is licensed or certified as a psychologist.

(b) (1) An applicant who is applying for licensure as a psychologist must submit, at the applicant's expense, fingerprints and other necessary information to obtain all of the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no criminal history information relating to that applicant.

b. A report of the applicant's entire federal criminal history record under the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (34 U.S.C. § 41101). The State Bureau of Identification is the intermediary for purposes of this subsection, and the Board is the screening point for the receipt of federal criminal history records.

(2) Unless a waiver is granted under § 8735(x)(3) of Title 29, the Board may not grant a license to an applicant whose record shows a prior criminal conviction that is substantially related to the practice of psychology.

(3) The State Bureau of Identification may release any subsequent criminal history to the Board.

(c) Whenever the Board finds that an application is intentionally fraudulent, or that false information is intentionally supplied, the Board shall report its findings to the Attorney General for further action.

(d) An applicant may appeal to the Superior Court if the applicant's application has been refused or rejected and the applicant feels that the Board has done any of the following:

(1) Acted without justification.

(2) Imposed higher or different standards for the applicant than for other applicants or licensees.

(3) In some other manner contributed to or caused the failure of the applicant's application.

(24 Del. C. 1953, § 3507; 58 Del. Laws, c. 380; 59 Del. Laws, c. 265, § 1; 62 Del. Laws, c. 314, § 9; 65 Del. Laws, c. 223, § 2; 67 Del. Laws, c. 200, § 4; 70 Del. Laws, c. 57, § 1; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 280, § 1; 79 Del. Laws, c. 364, § 1; 83 Del. Laws, c. 433, § 23; 85 Del. Laws, c. 317, § 7.)

§ 3508B Qualifications of applicants for licensure as a licensed psychology associate; scope of practice; report to Attorney General; judicial review [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) An applicant who is applying for licensure as a licensed psychology associate under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that the applicant meets all of the following qualifications:

(1) a. Received a master's degree based on a program of study that is psychological in content and specifically designed to train and prepare individuals for the practice of psychology. The master's degree must be all of the following:

1. From a college or university accredited by the United States Department of Education or by an accrediting agency recognized by the Council on Postsecondary Accreditation, or its successor, and which has a graduate program that states its purpose to be the training and preparation of psychologists.

2. Based on a program of studies accredited as a professional psychology program by the American Psychological Association (APA), the Canadian Psychological Association (CPA), the Psychological Clinical Science Accreditation System (PCSAS) or an equivalent program approved by the Board.

b. Applicants holding degrees from programs outside the United States or its territories must provide evidence of training and a degree equivalent to accredited programs. The applicants are required to have their credentials evaluated by a credential evaluation service, approved by National Association of Credential Services (NACES), to determine equivalency to the accreditation requirements of this subsection.

(2) Successfully completed an internship that complies with the Board's rules and regulations.

(3) After receiving the master's degree required under paragraph (a)(1) of this section, has at least 2 years of supervised professional experience in psychological work of a type satisfactory to the Board.

(4) Achieved a passing score on the Examination for Professional Practice in Psychology (EPPP) developed by the Association of State and Provincial Psychology Boards (ASPPB), or its successor.

(5) Subject to § 8735(x) of Title 29, has not engaged in any acts or offenses that are grounds for disciplinary action under this chapter and has no disciplinary proceedings or unresolved complaints pending against the applicant in any jurisdiction where the applicant has previously been or currently is licensed or certified to engage in the practice of psychology.

(b) (1) An applicant who is applying for licensure as a licensed psychology associate must submit, at the applicant's expense, fingerprints and other necessary information to obtain all of the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no criminal history information relating to that applicant.

b. A report of the applicant's entire federal criminal history record under the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (34 U.S.C. § 41101). The State Bureau of Identification is the intermediary for purposes of this subsection, and the Board is the screening point for the receipt of federal criminal history records.

(2) Unless a waiver is granted under § 8735(x)(3) of Title 29, the Board may not grant a license to an applicant whose record shows a prior criminal conviction that is substantially related to the practice of psychology.

(3) The State Bureau of Identification may release any subsequent criminal history to the Board.

(c) Whenever the Board finds that an application is intentionally fraudulent, or that false information is intentionally supplied, the Board shall report its findings to the Attorney General for further action.

(d) An applicant may appeal to the Superior Court if the applicant's application has been refused or rejected and the applicant feels that the Board has done any of the following:

(1) Acted without justification.

(2) Imposed higher or different standards for the applicant than for other applicants or licensees.

(3) In some other manner contributed to or caused the failure of the applicant's application.

(e) (1) Except as otherwise provided in paragraph (e)(2) of this section, a licensed psychology associate may independently engage in the practice of psychology at the master's-level of education and within the scope of the licensed psychology associate's training. A licensed psychology associate may use screening instruments, questionnaires, rating scales, measures of intellectual ability, or interview guides that are generally available to all mental health professionals, including all of the following:

a. Brief screening instruments of orientation and current mental status.

b. Repeated measures of symptoms related to psychopathology.

c. General measures of aptitude and interest.

(2) A licensed psychology associate may not engage in the practice of psychology that involves comprehensive specialty and subspecialty testing, assessment, and consultation that requires doctoral-level psychology education and training. Additionally, a licensed psychology associate may not do any of the following:

a. Accept referrals for neuropsychological evaluations or perform neuropsychological evaluations.

b. Accept referrals for forensic evaluations or perform forensic evaluations, including capacity or competency evaluations and evaluations that involve local security, national security, or potential risk to the public.

c. Medical or health capacity evaluations, including pre-surgical evaluations, consultation-liaison assessments in an acute medical care setting, and medical specialty consultations regardless of the setting.

d. Personality, performance, and projective evaluations and assessments if the conclusions from the evaluations or assessments serve as a final determination, including second opinion evaluations.

e. Child custody and parental fitness evaluations.

f. Public safety employment evaluations, including fitness for duty evaluations.

(f) A licensed psychology associate may not hold themselves out to the public by any title or description stating or implying that the licensed psychology associate is a licensed psychologist, including a neuropsychologist or a forensic psychologist.

(85 Del. Laws, c. 317, § 8.)

§ 3509 Qualifications of applicants for registration as a doctoral-level psychological assistant; number of doctoral-level psychological assistants; requirements for supervision [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) An applicant who is applying for registration as a doctoral-level psychological assistant under this chapter shall do all of the following:

(1) Provide the Board with a statement that is signed by a supervising psychologist and clearly delineates the specific functions that the applicant will perform under the supervising psychologist's direct supervision and control. The supervising psychologist who signs the statement must have been practicing as a licensed psychologist for at least 2 years.

(2) Submit evidence, verified by oath and satisfactory to the Board, that the applicant meets all of the following qualifications:

a. 1. Has completed all requirements for a doctoral degree in psychology from an American Psychological Association (APA) accredited program, a Canadian Psychological Association (CPA) accredited program, a Psychological Clinical Science Accreditation System (PCSAS) accredited program, or an equivalent program approved by the Board. A doctoral-level psychological assistant registered before July 17, 2010, who maintains the doctoral-level psychological assistant's registration is exempt from this requirement.

2. Subject to paragraph (a)(2)a.1. of this section, applicants holding degrees from programs outside the United States or its territories must provide evidence of training and a degree equivalent to accredited programs. The applicants are required to have their credentials evaluated by a credential evaluation service, approved by National Association of Credential Services (NACES) to determine equivalency to the accreditation requirements of this subsection.

b. Has successfully completed a predoctoral internship which complies with the Board's rules and regulations.

c. Will perform the specific functions that are delineated by the supervising psychologist in the statement of intended area or areas of practice, from the office of the supervising psychologist.

d. Will receive proper training; will fulfill continuing education requirements; and will be supervised, directed, and evaluated in accordance with a specific supervisory plan that must include face-to-face consultation as required by the nature of the applicant's work.

e. Subject § 8735(x) of Title 29, has not engaged in any acts or offenses that would be grounds for disciplinary action under this chapter and does not have disciplinary proceedings or unresolved complaints pending against the applicant in any jurisdiction where the applicant has been or currently is licensed, registered, or certified to engage in the practice of psychology.

(b) An applicant who is applying for registration as a doctoral-level psychological assistant shall provide statements under oath to the Board that the applicant will do all of the following:

(1) Perform only the specific functions that are delineated in the supervising psychologist's statement.

(2) Not practice independently, but only under the supervision of a licensed psychologist.

(3) Not represent themselves as a licensed psychologist.

(c) (1) An applicant who is applying for registration as a doctoral-level psychological assistant must submit, at the applicant's expense, fingerprints and other necessary information to obtain all of the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no criminal history information relating to that applicant.

b. A report of the applicant's entire federal criminal history record under the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (34 U.S.C. § 41101). The State Bureau of Identification is the intermediary for purposes of this subsection, and the Board is the screening point for the receipt of federal criminal history records.

(2) Unless a waiver is granted under § 8735(x)(3) of Title 29, the Board may not grant a license to an applicant whose record shows a prior criminal conviction that is substantially related to the practice of psychology.

(3) The State Bureau of Identification may release any subsequent criminal history to the Board.

(d) The Board, in its regulations, shall determine the number of doctoral-level psychological assistants that a supervising psychologist may supervise and the requirements for supervision.

(e) An individual registered under this section as a "psychological assistant" before [the implementation date of 85 Del. Laws, c. 317] is considered a "doctoral-level psychological assistant" and may use the title "doctoral-level psychological assistant", as approved by the Board.

(70 Del. Laws, c. 57, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 414, § 1; 79 Del. Laws, c. 364, § 1; 80 Del. Laws, c. 286, § 1; 83 Del. Laws, c. 433, § 23; 85 Del. Laws, c. 317, § 9.)

§ 3509B Qualifications of applicants for registration as a master's-level psychological assistant; number of master's-level psychological assistants; requirements for supervision [For application of this section, see 85

Del. Laws, c. 317, § 25].

(a) An applicant who is applying for registration as a master's-level psychological assistant under this chapter shall do all of the following:

(1) Provide the Board with a statement that is signed by a supervising psychologist or supervising licensed psychology associate and clearly delineates the specific functions that the applicant will perform under the supervising psychologist's or supervising licensed psychology associate's direct supervision and control. The supervising psychologist or supervising licensed psychology associate who signs the statement must have been practicing as a licensed psychologist or licensed psychology associate for at least 3 years.

(2) Submit evidence, verified by oath and satisfactory to the Board, that the applicant meets all of the following qualifications:

a. 1. Has completed all requirements for a master's degree in psychology from an American Psychological Association (APA) accredited program, a Canadian Psychological Association (CPA) accredited program, a Psychological Clinical Science Accreditation System (PCSAS) accredited program, or an equivalent program approved by the Board.

2. Applicants holding degrees from programs outside the United States or its territories must provide evidence of training and a degree equivalent to accredited programs. The applicants are required to have their credentials evaluated by a credential evaluation service, approved by National Association of Credential Services (NACES) to determine equivalency to the accreditation requirements of this subsection.

b. Is seeking further requirements for licensure under this chapter, as specified by the Board's rules and regulations.

c. Will perform the specific functions that are delineated by the supervising psychologist or supervising licensed psychology associate in the statement of intended area or areas of practice, from the office of the supervising psychologist or supervising licensed psychology associate.

d. Will receive proper training; will fulfill continuing education requirements; and will be supervised, directed, and evaluated in accordance with a specific supervisory plan that must include face-to-face consultation as required by the nature of the applicant's work.

e. Subject to § 8735(x) of Title 29, has not engaged in any acts or offenses that would be grounds for disciplinary action under this chapter and does not have disciplinary proceedings or unresolved complaints pending against the applicant in any jurisdiction where the applicant has been or currently is licensed, registered, or certified to engage in the practice of psychology.

(b) An applicant who is applying for registration as a master's-level psychological assistant shall provide statements, under oath, to the Board that the applicant will do all of the following:

(1) Perform only the specific functions that are delineated in the supervising psychologist's or supervising licensed psychology associate's statement.

(2) Not practice independently, but only under the supervision of a licensed psychologist or licensed psychology associate.

(3) Not represent themselves as licensed psychologists or licensed psychology associates.

(c) (1) An applicant who is applying for registration a master's-level psychological assistant must submit, at the applicant's expense, fingerprints and other necessary information to obtain all of the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no criminal history information relating to that applicant.

b. A report of the applicant's entire federal criminal history record under the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (34 U.S.C. § 41101). The State Bureau of Identification is the intermediary for purposes of this subsection, and the Board is the screening point for the receipt of federal criminal history records.

(2) Unless a waiver is granted under § 8735(x)(3) of Title 29, the Board may not grant a license to an applicant whose record shows a prior criminal conviction that is substantially related to the practice of psychology.

(3) The State Bureau of Identification may release any subsequent criminal history to the Board.

(d) The Board in its regulations shall determine the number of master's-level psychological assistants that a supervising psychologist or a supervising licensed psychology associate may supervise and the requirements for supervision.

(85 Del. Laws, c. 317, § 10.)

§ 3510 Licensing or registration under special conditions; interstate practice of psychology [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) Individuals who are licensed as psychologists or registered as doctoral-level psychological assistants in this State prior to June 12, 1995, are considered to have been licensed or registered under this chapter and fully qualified to act as licensed psychologists or registered doctoral-level psychological assistants.

(b) The Board shall qualify for licensing without examination any individual who applies for licensure and who is certified by the American Board of Professional Psychology, subject to the provisions of this chapter.

(c) Nothing in this chapter prohibits the practice of psychology in this State by an individual holding an earned doctoral degree in psychology from an institution of higher education, who is licensed or certified as a psychologist under the laws of another jurisdiction if the individual does not exceed an aggregate of 6 days of professional services as a psychologist, per calendar year.

(d) An individual practicing psychology in this State, under this section, may not engage in conversion therapy with a child or refer a child to a provider in another jurisdiction to receive conversion therapy.

(24 Del. C. 1953, § 3508; 58 Del. Laws, c. 380; 62 Del. Laws, c. 314, § 10; 70 Del. Laws, c. 57, § 1; 81 Del. Laws, c. 340, § 9; 85 Del. Laws, c. 317, § 11.)

§ 3511 Reciprocity [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) If an applicant is already licensed or certified as a doctoral-level psychologist or a licensed psychological associate in another jurisdiction and has practiced continually for 2 years in that jurisdiction, the Board shall require all of the following:

(1) A certificate or other evidence that the applicant is currently licensed or certified.

(2) Evidence that the applicant has practiced continually for 2 years.

(3) Evidence that the applicant has achieved the passing score set by the Board on the Examination for Professional Practice in Psychology (EPPP) developed by the Association of State and Provincial Psychology Boards (ASPPB), or its successor, as approved by the Board.

(4) For an applicant applying as doctoral-level psychologist, evidence that the applicant has received a doctoral degree in psychology, or in a closely-allied field if the Board finds that the training required for the doctoral degree in a closely-allied field is substantially similar to the training required for a doctoral degree in psychology, from a recognized educational institution or has otherwise had training in psychology that the Board deems equivalent to a doctoral degree in psychology. Applicants holding a degree from a program outside the United States or its territories are required to have their credentials evaluated by a credential evaluation service, approved by National Association of Credential Services (NACES), to determine equivalency to the accreditation requirements of § 3508 of this title.

(5) For an applicant applying as a licensed psychological associate, evidence that the applicant has received a master's degree in psychology, or in a closely-allied field if the Board finds that the training required for the master's degree in a closely-allied field is substantially similar to the training required for a master's degree in psychology, from a recognized educational institution or has otherwise had training in psychology that the Board deems equivalent to a master's degree in psychology. Applicants holding a degree from a program outside the United States or its territories are required to have their credentials evaluated by a credential evaluation service, approved by the NACES, to determine equivalency to the accreditation requirements of § 3508B of this title.

(b) (1) Upon receipt of an application from an applicant applying under this section, the Board shall request a certified statement from the licensing authority, or comparable agency, in the other jurisdiction to determine whether there are disciplinary proceedings or unresolved complaints pending against the applicant or whether the applicant has engaged in any acts or offenses that would be grounds for disciplinary action under this chapter.

(2) If a disciplinary proceeding or unresolved complaint is pending, the applicant may not be licensed or registered until the proceeding or complaint has been resolved. Applicants for licensure under this section are deemed to have given consent to the release of such information and to waive all objections to the admissibility of such evidence.

(c) In lieu of the documentation required by subsections (a) and (b) of this section, the applicant may submit a certificate of professional qualification in psychology from a credential bank approved by the Board. The Board shall identify acceptable credentialing organizations in its rules and regulations. In addition, the Board may require the applicant to submit supplemental information that the Board deems necessary to assure that the applicant meets the qualifications for licensure.

(70 Del. Laws, c. 57, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 337, § 1; 79 Del. Laws, c. 364, § 1; 83 Del. Laws, c. 197, § 1; 85 Del. Laws, c. 317, § 12.)

§ 3512 Fees [For application of this section, see 85 Del. Laws, c. 317, § 25].

The amount charged for each fee imposed under this chapter must reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division of Professional Regulation in its service on behalf of the Board. There must be a separate fee for each service or activity, but a fee may not be charged for a purpose not specified in this chapter. The application fee may not be combined with any other fee. At the beginning of each calendar year, the Division of Professional Regulation, or any other state agency acting on its behalf, shall compute, for each separate service or activity, the appropriate Board fees for the coming year.

(24 Del. C. 1953, § 3510; 58 Del. Laws, c. 380; 62 Del. Laws, c. 314, § 11; 65 Del. Laws, c. 380, § 5; 70 Del. Laws, c. 57, § 1; 70 Del. Laws, c. 186, § 1; 85 Del. Laws, c. 317, § 13.)

§ 3513 Issuance and renewal of licenses; registration [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) The Board shall issue a license or register each applicant who meets the requirements of this chapter for licensure as a psychologist or a licensed psychology associate or for registration as a doctoral-level psychological assistant or master's-level psychological assistant and who pays the fees charged under § 3512 of this title.

(b) Each license or registration must be renewed biennially, in the manner determined by the Division of Professional Regulation, upon payment of the appropriate fee, submission of a renewal form provided by the Division of Professional Regulation, and submission of proof that the licensee or registrant has met the continuing education requirements established by the Board.

(c) The Board, in its rules and regulations, shall determine the period within which a licensed psychologist, a licensed psychology associate, a registered doctoral-level psychological assistant, or a registered master's-level psychological assistant may still renew the licensee's license or registrant's registration, after the licensee or registrant fails to renew on or before the renewal date.

(d) All licensees are required to be fingerprinted by the State Bureau of Identification, at the licensee's expense, to perform subsequent criminal background checks.

(e) All licensee's, upon written request, may be placed in an inactive status in accordance with the Board's rules and regulations. A licensee who has been placed in an inactive status may reenter practice upon written notification to the Board of the licensee's intent to reenter practice and completion of continuing education required by the Board's rules and regulations. The Board may promulgate regulations for resuming active status.

(24 Del. C. 1953, § 3511; 58 Del. Laws, c. 380; 70 Del. Laws, c. 57, § 1; 70 Del. Laws, c. 186, § 1; 78 Del. Laws, c. 148, § 2; 79 Del. Laws, c. 129, § 1; 79 Del. Laws, c. 277, § 11; 85 Del. Laws, c. 317, § 14.)

§ 3514 Grounds for refusal, revocation, or suspension of licenses and registrations [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) A licensee or registrant is subject to disciplinary actions set forth in § 3516 of this title, if, after a hearing, the Board finds that the individual has done any of the following:

(1) Employed or knowingly cooperated in fraud or material deception in order to acquire a license as a psychologist or a licensed psychology associate, or registration as a doctoral-level psychological assistant or master's-level psychological assistant; has impersonated another individual who is licensed or registered under this chapter, or allowed another person to use the psychologist's or licensed psychology associate's license or doctoral-level psychological assistant's or master's-level psychological assistant's registration, or aided or abetted an individual not licensed as a psychologist or a licensed psychology associate, or registered as a doctoral-level psychological assistant or master's-level psychological assistant to represent that the individual is a psychologist, a licensed psychology associate, a doctoral-level psychological assistant, or a master's-level psychological assistant.

(2) a. Been convicted of a crime that is substantially related to the practice of psychology. A copy of the record of conviction certified by the clerk of the court entering the conviction is conclusive evidence of the conviction. But if, after consideration of the factors set forth in § 8735(x)(3) of Title 29, through a hearing or review of documentation, the Board determines that granting a waiver to an initial applicant would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(2). The Board may not grant a waiver for a conviction of a felony sexual offense.

b.-f. [Repealed.]

(3) Excessively used or abused drugs.

(4) Engaged in an act of consumer fraud or deception; engaged in the restraint of competition; or participated in price-fixing activities .

(5) Failed to conduct the licensee's or registrant's professional activities in conformity with the Ethical Principles of Psychologists and Code of Conduct of the American Psychological Association (APA) (hereinafter referred to as the "Ethics Code"); and in conformity with the rules and regulations adopted by the Board to implement the Ethics Code.

(6) Violated a lawful provision of this chapter, or any lawful regulation established under this chapter.

(7) Had a license, certification, or registration as a psychologist, licensed psychology associate, doctoral-level psychological assistant, or master's-level psychological assistant suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction, if the underlying grounds for the disciplinary action in another jurisdiction have been presented to the Board by certified record and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every individual licensed as a psychologist or a licensed psychology associate and every individual registered as a doctoral-level psychological assistant or master's-level psychological assistant in this State is deemed to have given consent to the release of disciplinary information by the Board of Examiners of Psychologists or other comparable agencies in another jurisdiction and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses.

(8) Failed to notify the Board that the licensee's or registrant's license, certification, or registration as a psychologist, a licensed psychology associate, a doctoral-level psychological assistant, or a master's-level psychological assistant in another state has been subject to discipline, or has been surrendered, suspended, or revoked. A certified copy of the record of disciplinary action, surrender, suspension, or revocation is conclusive evidence of the disciplinary action, surrender, suspension, or revocation.

(9) While acting as a supervising psychologist or a supervising licensed psychology associate, failed to supervise and take reasonable steps to see that doctoral-level psychological assistants or master's-level psychological assistants perform services responsibly, competently, and ethically, in accordance with rules and regulations established by the Board. Supervising psychologists and supervising licensed psychology associates are subject to disciplinary action for any acts or offenses that are grounds for disciplinary action when the acts or offenses are undertaken by the doctoral-level psychological assistant or the master's-level psychological assistant acting under the supervising psychologist's or supervising licensed psychology associate's direction or control.

(10) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, been convicted of a felony sexual offense.

(11) Failed to report child abuse or neglect as required by § 903 of Title 16, or any successor to § 903 of Title 16.

(12) Failed to report to the Division of Professional Regulation as required by § 3519 of this title.

(13) Engaged in conversion therapy with a child.

(14) Referred a child to a provider in another jurisdiction to receive conversion therapy.

(b) Whenever a licensee or registrant fails to comply with the Board's request that the licensee or registrant attend a hearing, the Board may petition the Superior Court to order the licensee's or registrant's attendance, and the Court has jurisdiction to issue such order.

(c) Subject to the provisions of this chapter and subchapter IV of Chapter 101 of Title 29, the Board may not restrict, suspend, or revoke

a license or registration or limit a licensee's or registrant's right to engage in the practice of psychology or to act as a psychologist, licensed psychology associate, doctoral-level psychological assistant, or master's-level psychological assistant until the licensee or registrant has been given notice and an opportunity to be heard, in accordance with the Administrative Procedures Act [Chapter 101 of Title 29].

(24 Del. C. 1953, § 3513; 58 Del. Laws, c. 380; 62 Del. Laws, c. 314, § 13; 70 Del. Laws, c. 57, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 74; 75 Del. Laws, c. 436, § 39; 77 Del. Laws, c. 199, § 29; 78 Del. Laws, c. 44, §§ 56, 57; 78 Del. Laws, c. 148, §§ 1, 3; 79 Del. Laws, c. 364, § 1; 80 Del. Laws, c. 286, § 2; 81 Del. Laws, c. 340, § 10; 83 Del. Laws, c. 433, § 23; 85 Del. Laws, c. 317, § 15.)

§ 3515 Complaints [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) The Division of Professional Regulation shall receive and investigate all complaints, in accordance with § 8735(h) of Title 29. The Division of Professional Regulation shall issue a final written report at the conclusion of its investigation.

(b) Whenever it is determined that an individual is engaging in the practice of psychology or is using the title "psychologist" and is not licensed or registered under this chapter, the Board shall apply to the Office of the Attorney General to issue a cease and desist order after formally warning the unlicensed individual in accordance with the provisions of this chapter.

(c) The Division of Professional Regulation shall investigate complaints involving allegations of unprofessional conduct or incompetence.

(70 Del. Laws, c. 57, § 1; 85 Del. Laws, c. 317, § 16.)

§ 3516 Disciplinary sanctions [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) Whenever the Board finds that a licensee or registrant has violated § 3514 of this title, the Board may impose 1 or more of the following sanctions:

(1) Issue a letter of reprimand.

(2) Censure a licensee or registrant.

(3) Place a licensee or registrant on probationary status, and require the licensee or registrant to do all of the following:

a. Report regularly to the Board upon the matters which are the basis of the probation.

b. Limit all practice and professional activities to the areas prescribed by the Board.

(4) Suspend any licensee's license or registrant's registration.

(5) Revoke any licensee's license or registrant's registration.

(6) Impose a monetary penalty not to exceed $500 for each violation.

(7) The Board shall permanently revoke the license or registration of any individual who the Board determines has been convicted of a felony sexual offense.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required the Board to place an individual on probationary status have been remedied.

(c) If a formal or informal complaint concerning activity of a licensee or registrant that presents a clear and immediate danger to the public health, safety, or welfare, the Board may temporarily suspend the licensee's license or registrant's registration, pending a hearing, upon the written order of the Secretary of State, or the Secretary's designee, with the concurrence of the Board President, or the Board President's designee. An order temporarily suspending a license may not be issued unless the individual or the individual's attorney receives at least 24 hours' written or oral notice before the temporary suspension so that the individual or the individual's attorney may file a written response to the proposed temporary suspension. The decision whether to issue the temporary order of suspension must be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended individual requests a continuance of the hearing date. If the temporarily suspended individual requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. An individual whose license or registration has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, if the Board receives the request within 5 calendar days from the date on which the individual received notification of the decision to temporarily suspend the individual's license or registration.

(d) Whenever an individual's license or registration has been suspended due to a disability of the individual, the Board may reinstate the individual's license or registration if, after a hearing, the Board is satisfied that the individual is able to practice with reasonable skill and safety.

(e) As a condition to reinstatement of a suspended license or registration, or removal from probationary status, the Board may impose any disciplinary or corrective measures authorized under this chapter.

(70 Del. Laws, c. 57, § 1; 78 Del. Laws, c. 148, § 4; 79 Del. Laws, c. 213, § 2; 80 Del. Laws, c. 286, § 3; 85 Del. Laws, c. 317, § 17.)

§ 3517 Hearing procedures [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) If a complaint is filed with the Board pursuant to § 8735(h) of Title 29, alleging violation of § 3514 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing must be given and the hearing conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings are informal, without use of rules of evidence. If the Board finds, by a majority vote of all members, that the complaint has merit, the Board shall take such action permitted under this chapter as it deems necessary. The Board's decision must be in writing and

must include the Board's reasons for its decision. The Board's decision must be mailed immediately to the licensee or registrant.

(c) If a licensee or registrant disagrees with the action of the Board, the licensee or registrant may appeal the Board's decision to the Superior Court within 30 days of service or within 30 days of the postmarked date of the copy of the decision mailed to the licensee or registrant. Upon a licensee's or registrant's appeal the Court shall hear the evidence on the record. Stays must be granted in accordance with § 10144 of Title 29.

(70 Del. Laws, c. 57, § 1; 70 Del. Laws, c. 186, § 1; 85 Del. Laws, c. 317, § 18.)

§ 3518 Reinstatement of a suspended license or registration; removal from probationary status [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) As a condition to reinstatement of a suspended license or registration, or removal from probationary status, the Board may reinstate a suspended license or registration or remove a licensee or registrant from probationary status if, after a hearing, the Board is satisfied that the licensee or registrant has taken the prescribed corrective actions and satisfied all of the conditions of the suspension or the probation.

(b) Whenever a license or registration has been suspended due to the licensee's or registrant's inability to practice under this chapter, the Board may reinstate the suspended license or registration, if, after a hearing, the Board is satisfied that the licensee or registrant is again able to perform the essential functions of a psychologist, licensed psychological associate, doctoral-level psychological assistant, or master's-level psychological assistant, with or without reasonable accommodations; and there is no longer a significant risk of substantial harm to the health and safety of the individual or others.

(c) Licensees or registrants seeking reinstatement must pay the appropriate fees and submit documentation required by the Board as evidence that all the conditions of a suspension or probation have been met. The Board may also require proof that the licensee or registrant has met the continuing education requirements of this chapter, as appropriate.

(d) [Repealed.]

(24 Del. C. 1953, § 3514; 58 Del. Laws, c. 380; 67 Del. Laws, c. 200, § 8; 70 Del. Laws, c. 57, § 1; 82 Del. Laws, c. 8, § 15; 85 Del. Laws, c. 317, § 19.)

§ 3519 Duty to report conduct that constitutes grounds for discipline or inability to practice [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) Every licensee under this chapter shall report to the Division of Professional Regulation, in writing, information that the licensee reasonably believes indicates that any other licensee, or any other health-care provider, has engaged in or is engaging in conduct that would constitute grounds for disciplinary action under this chapter or the other health-care provider's licensing statute.

(b) Every licensee shall report to the Division of Professional Regulation, in writing, information that the licensee reasonably believes indicates that any other licensee, or any other health-care provider, may be unable to practice with reasonable skill and safety to the public for any of the following reasons:

(1) Mental illness or mental incompetence.

(2) Physical illness, including deterioration through the aging process or loss of motor skill.

(3) Excessive use or abuse of drugs.

(c) Every licensee shall report to the Division of Professional Regulation, in writing, any information that the reporting licensee reasonably believes indicates that an individual certified and registered to practice medicine in this State is, or may be, guilty of unprofessional conduct or may be unable to practice medicine with reasonable skill or safety to patients for any of the following reasons:

(1) Mental illness or mental incompetence.

(2) Physical illness, including deterioration through the aging process or loss of motor skill.

(3) Excessive use or abuse of drugs.

(d) A licensee shall file all reports required under subsections (a), (b), and (c) of this section within 30 days of the licensee becoming aware of any information that the licensee is required to report. A licensee reporting or testifying in any proceeding as a result of making a report under this section is immune from claim, suit, liability, damages, or any other recourse, civil or criminal, so long as the licensee acted in good faith and without gross or wanton negligence. For purposes of this section, good faith is presumed until proven otherwise, and a complainant must show gross or wanton negligence.

(78 Del. Laws, c. 148, § 5; 84 Del. Laws, c. 86, § 7; 85 Del. Laws, c. 317, § 20.)

Subchapter III

Other Provisions

§ 3531 Exemptions [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) Nothing in this chapter may be construed to prevent the teaching of psychology, the conduct of psychological research, or the provision of services or consultation to organizations or institutions, if such teaching, research, or service or consultation does not involve the direct practice of psychology with individuals or groups of individuals who are the intended beneficiaries of such services. Individuals holding an earned doctoral degree in psychology from an institution of higher education may use the title "psychologist" in conjunction

with the activities permitted by this subsection.

(b) Nothing in this chapter may be construed to prevent an individual who is a qualified member of other recognized professions from rendering services consistent with the individual's professional training, the code of ethics of the individual's respective profession, and the laws of this State, if the individual does not represent themselves to the public by using any title or description stating or implying that the individual is a psychologist, a licensed psychology associate, a doctoral-level psychological assistant, or a master's-level psychological assistant, that the individual is licensed as a psychologist or licensed psychology associate, or that the individual is registered as a doctoral-level psychological assistant or a master's-level psychological assistant.

(c) Nothing in this chapter may be construed to restrict the activities of rabbis, priests, ministers, or the clergy of any church, synagogue, religious denomination, or sect, if the activities are within the scope of the performance of their regular or specialized ministerial duties and no separate charge is made, or if such activities are performed, whether with or without charge, for, or under auspices or sponsorship, individually, or in conjunction with others, of an established and legally recognizable church, synagogue, religious denomination, or sect; and the person rendering service remains accountable to its established authority; and if they do not represent themselves to be psychologists, licensed psychological associates, doctoral-level psychological assistants, or master's-level psychological assistants.

(d) Individuals who have been certified as school psychologists by the Department of Education are permitted to use the title "school psychologist" or "certified school psychologist." But the individuals are restricted in their practice to employment within those settings under the purview of the Department of Education and the State Board of Education.

(e) [Repealed].

(70 Del. Laws, c. 57, § 1; 73 Del. Laws, c. 65, § 29; 77 Del. Laws, c. 414, § 2; 85 Del. Laws, c. 317, § 21.)

§ 3532 Penalty [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) An individual is guilty of a misdemeanor whenever an individual not currently licensed as a psychologist or a licensed psychology associate, or registered as a doctoral-level psychological assistant or master's-level psychological assistant, under this chapter, does any of the following:

(1) Engages in the practice of psychology.

(2) Acts as a psychologist, licensed psychology associate, doctoral-level psychological assistant, or master's-level psychological assistant.

(3) Uses, in connection with the individual's own name, or otherwise assumes or uses any title or description that conveys or tends to convey the impression that the individual is a psychologist, a licensed psychology associate, a doctoral-level psychological assistant, or a master's-level psychological assistant.

(b) Upon the first offense, the individual is subject to a fine of not less than $500 nor more than $1,000 for each offense and, in addition, may be imprisoned for not more than 1 year. For each subsequent offense, the individual is subject to a fine of not less than $1,000 nor more than $2,000. The Superior Court has jurisdiction over all violations of this chapter.

(24 Del. C. 1953, § 3519; 58 Del. Laws, c. 380; 70 Del. Laws, c. 57, § 1; 70 Del. Laws, c. 186, § 1; 85 Del. Laws, c. 317, § 22.)

§ 3533 Treatment records; discontinuation of a practice; termination of a patient relationship; death of a licensee [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) (1) A licensee shall provide notice to all affected patients at least 30 days before doing any of the following:

a. Discontinuing a psychology practice in this State if the licensee is not transferring patient records to another provider in this State.

b. Terminating a patient relationship.

(2) The notice required under paragraph (a)(1) of this section must include all of the following:

a. Instructions on how the patient can obtain the patient's records.

b. The name, phone number, and address of providers in the area who may be available to accept new patients who require the same medical care.

c. The date the licensee will discontinue services.

(3) The notice required under paragraph (a)(1) of this section must be provided through all of the following methods:

a. If the patient is enrolled to receive messages through an electronic medical record system, an electronic message through that system.

b. A letter sent by first-class mail.

(4) When a licensee is closing a psychology practice and patient records will no longer be available at the licensee's place of business, the licensee shall notify the Board of how former patients can obtain the patient's records.

(b) (1) If a licensee dies and has not transferred patient records to another provider or arranged for the transfer of patient records upon the the licensee's death, a personal representative of the licensee's estate shall provide notice to the deceased licensee's patients of record by doing all of the following:

a. Publishing a notice in a newspaper of general circulation in the area where the deceased licensee practiced. The notice must be published at least 1 time per month in the 3-month period after the licensee's death.

b. Providing notice to all patients of record who have not requested their records within 30 days after publishing the notice

published under paragraph (b)(1)a. of this section through all of the following methods:

1. If the patient is enrolled to receive messages through an electronic medical record system, an electronic message through that system.

2. A letter sent by first-class mail.

(2) The notice required under paragraph (b)(1) of this section must include all of the following information:

a. That the licensee has died.

b. How the patient can obtain the patient's records.

(3) The personal representative of the licensee's estate shall notify the Board of how a former patient may obtain the patient's records.

(c) (1) If a patient changes from the care of a licensee to another provider, the licensee shall transfer, with the patient's written consent, the patient's records to the new provider upon the request of either the patient or the new provider.

(2) If the patient and licensee agree, the licensee may forward a summary of the patient's record to the new provider in lieu of transferring the entire record, at no charge to the patient.

(d) (1) Patients have the right to obtain a copy of their records from a licensee.

(2) Unless a patient is requesting a copy of their records under subsection (a) or (b) of this section to make or complete an application for a disability benefits program, a patient who requests a copy of their records is subject to 1 or more of the following charges:

a. The reasonable expenses of copying the patient's records, according to the payment schedule established under paragraph (d)(3) of this section.

b. The actual cost of postage or shipping, if the records are mailed or shipped.

c. Charges for copies of records not susceptible to photostatic reproduction, such as radiology films, models, photographs, or fetal monitoring strips, may be the full cost of the reproduction.

(3) The Board shall establish a payment schedule for copies of patient records under this section and must review this payment schedule annually.

(4) The licensee or the licensee's third-party release-of-information service may require payment of all costs allowed under paragraph (d)(2) of this section before providing the copies of the records.

(e) This section does not apply to a licensee who has seen or treated a patient on referral from another provider and who has provided a copy of the record of the diagnosis or treatment to at least 1 of the following:

(1) The referring provider.

(2) A hospital or an agency that has provided treatment for the patient.

(f) A licensee has 45 days from the closure of the record or the assembly of a complete record to fulfill a request for patient records, unless a faster response is medically necessary.

(g) (1) A licensee may permanently dispose of a patient's record in a manner that ensures confidentiality of the records 7 years after the dates of any the following events:

a. Discontinuing business in this State.

b. The last entry in the patient's record after terminating the patient relationship or the patient changes from the care of the licensee to another provider.

(2) Seven years after the death of the licensee, the licensee's personal representative may permanently dispose of patient records that have not been procured, in a manner that ensures confidentiality of the records.

(3) A licensee, or the personal representative of the licensee who disposes of patient records in accordance with this section is not liable for any direct or indirect loss suffered as a result of disposing of a patient's records.

(h) The Board may find that a licensee who violates this section has committed unprofessional conduct, and any aggrieved patient, or the patient's personal representative, may bring a civil action for damages or injunctive relief against the licensee.

(84 Del. Laws, c. 86, § 3; 85 Del. Laws, c. 317, § 23.)

§ 3534 Appointment of a custodian of patient records [For application of this section, see 85 Del. Laws, c. 317, § 25].

(a) If the Board receives a formal or informal complaint concerning access to patient records as a result of a licensee's physical or mental incapacity, death, or abandonment or involuntary discontinuation of a psychology practice in this State, the Board may temporarily or permanently appoint an individual or entity as custodian of the licensee's patient records after an investigation is completed under § 8735(h) of Title 29.

(b) (1) The custodian of patient records appointed under subsection (a) of this section shall notify the licensee's patients of record of the custodian's appointment by doing all of the following:

a. Publishing notice in a newspaper of general circulation in the area where the licensee practiced. The notice must be published at least 1 time per month in the 3-month period after the custodian's appointment.

b. Sending, by first-class mail, notice to all patients of record who have not requested their records within 30 days after publication of the notice published under paragraph (b)(1)a. of this section.

(2) The notice required under paragraph (b)(1) of this section must include all of the following information:

a. That the licensee has died.

b. How the patient can obtain the patient's records.

(c) Seven years after being appointed, the custodian may permanently dispose of patient records that have not been procured, in a manner that ensures confidentiality of the records.

(d) A custodian who disposes of patient records under subsection (c) of this section is not liable for any direct or indirect loss suffered as a result of the disposal of a patient's records.

(84 Del. Laws, c. 86, § 3; 85 Del. Laws, c. 317, § 24.)

Chapter 35A
Psychology Interjurisdictional Compact

§ 3501A Definitions.

As used in this chapter:

(1) "Adverse action" means: Any action taken by a state psychology regulatory authority which finds a violation of a statute or regulation that is identified by the state psychology regulatory authority as discipline and is a matter of public record.

(2) "Association of State and Provincial Psychology Boards" ("ASPPB") means: the recognized membership organization composed of state and provincial psychology regulatory authorities responsible for the licensure and registration of psychologists throughout the United States and Canada.

(3) "Authority to practice interjurisdictional telepsychology" means: a licensed psychologist's authority to practice telepsychology, within the limits authorized under this Compact, in another compact state.

(4) "Bylaws" means: those bylaws established by the Psychology Interjurisdictional Compact Commission pursuant to § 3509A of this title for its governance, or for directing and controlling its actions and conduct.

(5) "Client/patient" means: the recipient of psychological services, whether psychological services are delivered in the context of healthcare, corporate, supervision, or consulting services.

(6) "Commissioner" means: the voting representative appointed by each state psychology regulatory authority pursuant to § 3509A of this title.

(7) "Compact state" means: a state, the District of Columbia, or United States territory that has enacted this Compact legislation and which has not withdrawn pursuant to § 3512A(c) of this title or been terminated pursuant to § 3511A(b) of this title.

(8) "Coordinated licensure information system" also referred to as "coordinated database" means: an integrated process for collecting, storing, and sharing information on psychologists' licensure and enforcement activities related to psychology licensure laws, which is administered by the recognized membership organization composed of state and provincial psychology regulatory authorities.

(9) "Confidentiality" means: the principle that data or information is not made available or disclosed to unauthorized persons or processes.

(10) "Day" means: any part of a day in which psychological work is performed.

(11) "Distant state" means: the compact state where a psychologist is physically present (not through the use of telecommunications technologies), to provide temporary in-person, face-to-face psychological services.

(12) "E-Passport" means: a certificate issued by the Association of State and Provincial Psychology Boards (ASPPB) that promotes the standardization in the criteria of interjurisdictional telepsychology practice and facilitates the process for licensed psychologists to provide telepsychological services across state lines.

(13) "Executive Board" means: a group of directors elected or appointed to act on behalf of, and within the powers granted to them by, the Commission.

(14) "Home state" means: a compact state where a psychologist is licensed to practice psychology. If the psychologist is licensed in more than 1 compact state and is practicing under the authorization to practice interjurisdictional telepsychology, the home state is the compact state where the psychologist is physically present when the telepsychological services are delivered. If the psychologist is licensed in more than 1 compact state and is practicing under the temporary authorization to practice, the home state is any compact state where the psychologist is licensed.

(15) "Identity history summary" means: a summary of information retained by the FBI, or other designee with similar authority, in connection with arrests and, in some instances, federal employment, naturalization, or military service.

(16) "In-person, face-to-face" means: interactions in which the psychologist and the client/patient are in the same physical space and which does not include interactions that may occur through the use of telecommunication technologies.

(17) "Interjurisdictional Practice Certificate" ("IPC") means: a certificate issued by the Association of State and Provincial Psychology Boards (ASPPB) that grants temporary authority to practice based on notification to the state psychology regulatory authority of intention to practice temporarily, and verification of one's qualifications for such practice.

(18) "License" means: authorization by a state psychology regulatory authority to engage in the independent practice of psychology, which would be unlawful without the authorization.

(19) "Non-compact state" means: any state which is not at the time a compact state.

(20) "Psychologist" means: an individual licensed for the independent practice of psychology.

(21) "Psychology Interjurisdictional Compact Commission" also referred to as "Commission" means: the national administration of which all compact states are members.

(22) "Receiving state" means: a compact state where the client/patient is physically located when the telepsychological services are delivered.

(23) "Rule" means: a written statement by the Psychology Interjurisdictional Compact Commission promulgated pursuant to § 3510A of this title that is of general applicability, implements, interprets, or prescribes a policy or provision of the Compact, or an

organizational, procedural, or practice requirement of the Commission and has the force and effect of statutory law in a compact state, and includes the amendment, repeal or suspension of an existing rule.

(24) "Significant investigatory information" means either of the following:

a. Investigative information that a state psychology regulatory authority, after a preliminary inquiry that includes notification and an opportunity to respond if required by state law, has reason to believe, if proven true, would indicate more than a violation of state statute or ethics code that would be considered more substantial than minor infraction.

b. Investigative information that indicates that the psychologist represents an immediate threat to public health and safety regardless of whether the psychologist has been notified and/or had an opportunity to respond.

(25) "State" means: a state, commonwealth, territory, or possession of the United States, the District of Columbia.

(26) "State psychology regulatory authority" means: the board, office, or other agency with the legislative mandate to license and regulate the practice of psychology.

(27) "Telepsychology" means: the provision of psychological services using telecommunication technologies.

(28) "Temporary authorization to practice" means: a licensed psychologist's authority to conduct temporary in-person, face-to-face practice, within the limits authorized under this Compact, in another compact state.

(29) "Temporary in-person, face-to-face practice" means: where a psychologist is physically present (not through the use of telecommunications technologies), in the distant state to provide for the practice of psychology for 30 days within a calendar year and based on notification to the distant state.

(82 Del. Laws, c. 76, § 1.)

§ 3502A Home state licensure.

(a) The home state shall be a compact state where a psychologist is licensed to practice psychology.

(b) A psychologist may hold 1 or more compact state licenses at a time. If the psychologist is licensed in more than 1 compact state, the home state is the compact state where the psychologist is physically present when the services are delivered as authorized by the authority to practice interjurisdictional telepsychology under the terms of this Compact.

(c) Any compact state may require a psychologist not previously licensed in a compact state to obtain and retain a license to be authorized to practice in the compact state under circumstances not authorized by the authority to practice interjurisdictional telepsychology under the terms of this Compact.

(d) Any compact state may require a psychologist to obtain and retain a license to be authorized to practice in a compact state under circumstances not authorized by temporary authorization to practice under the terms of this Compact.

(e) A home state's license authorizes a psychologist to practice in a receiving state under the authority to practice interjurisdictional telepsychology only if the compact state does all of the following:

(1) Currently requires the psychologist to hold an active E-Passport.

(2) Has a mechanism in place for receiving and investigating complaints about licensed individuals.

(3) Notifies the Commission, in compliance with the terms herein, of any adverse action or significant investigatory information regarding a licensed individual.

(4) Requires an identity history summary of all applicants at initial licensure, including the use of the results of fingerprints or other biometric data checks compliant with the requirements of the Federal Bureau of Investigation FBI, or other designee with similar authority, no later than 10 years after activation of the Compact.

(5) Complies with the bylaws and rules of the Commission.

(f) A home state's license grants temporary authorization to practice to a psychologist in a distant state only if the compact state does all of the following:

(1) Currently requires the psychologist to hold an active IPC.

(2) Has a mechanism in place for receiving and investigating complaints about licensed individuals.

(3) Notifies the Commission, in compliance with the terms herein, of any adverse action or significant investigatory information regarding a licensed individual.

(4) Requires an identity history summary of all applicants at initial licensure, including the use of the results of fingerprints or other biometric data checks compliant with the requirements of the Federal Bureau of Investigation FBI, or other designee with similar authority, no later than 10 years after activation of the Compact.

(5) Complies with the bylaws and rules of the Commission.

(82 Del. Laws, c. 76, § 1.)

§ 3503A Compact privilege to practice telepsychology.

(a) Compact states shall recognize the right of a psychologist, licensed in a compact state in conformance with § 3502A of this title, to practice telepsychology in other compact states (receiving states) in which the psychologist is not licensed, under the authority to practice interjurisdictional telepsychology as provided in the Compact.

(b) To exercise the authority to practice interjurisdictional telepsychology under the terms and provisions of this Compact, a psychologist licensed to practice in a compact state must comply with the following provisions in paragraphs (b)(1) through (8) of this

section:

(1) Hold a graduate degree in psychology from an institute of higher education that was, at the time the degree was awarded either:

a. Regionally accredited by an accrediting body recognized by the U.S. Department of Education to grant graduate degrees, or authorized by provincial statute or royal charter to grant doctoral degrees.

b. A foreign college or university deemed to be equivalent to paragraph (b)(1)a. of this section above by a foreign credential evaluation service that is a member of the National Association of Credential Evaluation Services (NACES) or by a recognized foreign credential evaluation service.

(2) Hold a graduate degree in psychology that meets all of the following criteria:

a. The program, wherever it may be administratively housed, must be clearly identified and labeled as a psychology program. Such a program must specify in pertinent institutional catalogues and brochures its intent to educate and train professional psychologists.

b. The psychology program must stand as a recognizable, coherent, organizational entity within the institution.

c. There must be a clear authority and primary responsibility for the core and specialty areas whether or not the program cuts across administrative lines.

d. The program must consist of an integrated, organized sequence of study.

e. There must be an identifiable psychology faculty sufficient in size and breadth to carry out its responsibilities.

f. The designated director of the program must be a psychologist and a member of the core faculty.

g. The program must have an identifiable body of students who are matriculated in that program for a degree.

h. The program must include supervised internship training appropriate to the practice of psychology.

i. The curriculum shall encompass the completion of a doctoral degree in professional psychology.

j. The program includes an acceptable residency as defined by the rules of the Commission.

(3) Possess a current, full, and unrestricted license to practice psychology in a home state which is a compact state.

(4) Have no history of adverse action that violate the rules of the Commission.

(5) Have no criminal record history reported on an identity history summary that violates the rules of the Commission.

(6) Possess a current, active E-Passport.

(7) Provide attestations in regard to areas of intended practice, conformity with standards of practice, competence in telepsychology technology; criminal background; and knowledge and adherence to legal requirements in the home and receiving states, and provide a release of information to allow for primary source verification in a manner specified by the Commission.

(8) Meet other criteria as defined by the rules of the Commission.

(c) The home state maintains authority over the license of any psychologist practicing into a receiving state under the authority to practice interjurisdictional telepsychology.

(d) A psychologist practicing into a receiving state under the authority to practice interjurisdictional telepsychology will be subject to the receiving state's scope of practice. A receiving state may, in accordance with that state's due process law, limit or revoke a psychologist's authority to practice interjurisdictional telepsychology in the receiving state and may take any other necessary actions under the receiving state's applicable law to protect the health and safety of the receiving state's citizens. If a receiving state takes action, the state shall promptly notify the home state and the Commission.

(e) If a psychologist's license in any home state, another compact state, or any authority to practice interjurisdictional telepsychology in any receiving state, is restricted, suspended or otherwise limited, the E-Passport shall be revoked and therefore the psychologist shall not be eligible to practice telepsychology in a compact state under the authority to practice interjurisdictional telepsychology.

(82 Del. Laws, c. 76, § 1.)

§ 3504A Compact temporary authorization to practice.

(a) Compact states shall also recognize the right of a psychologist, licensed in a compact state in conformance with § 3502A of this title, to practice temporarily in other compact states (distant states) in which the psychologist is not licensed, as provided in the Compact.

(b) To exercise the temporary authorization to practice under the terms and provisions of this Compact, a psychologist licensed to practice in a compact state must comply with the following provisions in paragraphs (b)(1) through (8) of this section:

(1) Hold a graduate degree in psychology from an institute of higher education that was, at the time the degree was awarded either:

a. Regionally accredited by an accrediting body recognized by the U.S. Department of Education to grant graduate degrees, or authorized by provincial statute or royal charter to grant doctoral degrees.

b. A foreign college or university deemed to be equivalent to paragraph (b)(1)a. of this section above by a foreign credential evaluation service that is a member of the National Association of Credential Evaluation Services (NACES) or by a recognized foreign credential evaluation service.

(2) Hold a graduate degree in psychology that meets all of the following criteria:

a. The program, wherever it may be administratively housed, must be clearly identified and labeled as a psychology program. Such a program must specify in pertinent institutional catalogues and brochures its intent to educate and train professional psychologists.

b. The psychology program must stand as a recognizable, coherent, organizational entity within the institution.

c. There must be a clear authority and primary responsibility for the core and specialty areas whether or not the program cuts across administrative lines.

d. The program must consist of an integrated, organized sequence of study.

e. There must be an identifiable psychology faculty sufficient in size and breadth to carry out its responsibilities.

f. The designated director of the program must be a psychologist and a member of the core faculty.

g. The program must have an identifiable body of students who are matriculated in that program for a degree.

h. The program must include supervised internship training appropriate to the practice of psychology.

i. The curriculum shall encompass the completion of a doctoral degree in professional psychology.

j. The program includes an acceptable residency as defined by the rules of the Commission.

(3) Possess a current, full and unrestricted license to practice psychology in a home state which is a compact state.

(4) No history of adverse action that violate the rules of the Commission.

(5) No criminal record history that violates the rules of the Commission.

(6) Possess a current, active IPC.

(7) Provide attestations in regard to areas of intended practice and work experience and provide a release of information to allow for primary source verification in a manner specified by the Commission.

(8) Meet other criteria as defined by the rules of the Commission.

(c) A psychologist practicing into a distant state under the temporary authorization to practice shall practice within the scope of practice authorized by the distant state.

(d) A psychologist practicing into a distant state under the temporary authorization to practice will be subject to the distant state's authority and law. A distant state may, in accordance with that state's due process law, limit or revoke a psychologist's temporary authorization to practice in the distant state and may take any other necessary actions under the distant state's applicable law to protect the health and safety of the distant state's citizens. If a distant state takes action, the state shall promptly notify the home state and the Commission.

(e) If a psychologist's license in any home state, another compact state, or any temporary authorization to practice in any distant state, is restricted, suspended, or otherwise limited, the IPC shall be revoked and therefore the psychologist shall not be eligible to practice in a compact state under the temporary authorization to practice.

(82 Del. Laws, c. 76, § 1.)

§ 3505A Conditions of telepsychology practice in a receiving state.

A psychologist may practice in a receiving state under the authority to practice interjurisdictional telepsychology only in the performance of the scope of practice for psychology as assigned by an appropriate state psychology regulatory authority, as defined in the rules of the Commission, and under the following circumstances:

(1) The psychologist initiates a client/patient contact in a home state via telecommunications technologies with a client/patient in a receiving state.

(2) Other conditions regarding telepsychology as determined by rules promulgated by the Commission.

(82 Del. Laws, c. 76, § 1.)

§ 3506A Adverse actions.

(a) A home state shall have the power to impose adverse action against a psychologist's license issued by the home state. A distant state shall have the power to take adverse action on a psychologist's temporary authorization to practice within that distant state.

(b) A receiving state may take adverse action on a psychologist's authority to practice interjurisdictional telepsychology within that receiving state. A home state may take adverse action against a psychologist based on an adverse action taken by a distant state regarding temporary in-person, face-to-face practice.

(c) If a home state takes adverse action against a psychologist's license, that psychologist's authority to practice interjurisdictional telepsychology is terminated and the E-Passport is revoked. Furthermore, that psychologist's temporary authorization to practice is terminated and the IPC is revoked.

(1) All home state disciplinary orders which impose adverse action shall be reported to the Commission in accordance with the rules promulgated by the Commission. A compact state shall report adverse actions in accordance with the rules of the Commission.

(2) In the event discipline is reported on a psychologist, the psychologist will not be eligible for telepsychology or temporary in-person, face-to-face practice in accordance with the rules of the Commission.

(3) Other actions may be imposed as determined by the rules promulgated by the Commission.

(d) A home state's psychology regulatory authority shall investigate and take appropriate action with respect to reported inappropriate conduct engaged in by a licensee which occurred in a receiving state as it would if such conduct had occurred by a licensee within the home state. In such cases, the home state's law shall control in determining any adverse action against a psychologist's license.

(e) A distant state's psychology regulatory authority shall investigate and take appropriate action with respect to reported inappropriate conduct engaged in by a psychologist practicing under temporary authorization practice which occurred in that distant state as it would if such conduct had occurred by a licensee within the home state. In such cases, distant state's law shall control in determining any adverse action against a psychologist's temporary authorization to practice.

(f) Nothing in this Compact shall override a compact state's decision that a psychologist's participation in an alternative program may be

used in lieu of adverse action and that such participation shall remain non-public if required by the compact state's law. Compact states must require psychologists who enter any alternative programs to not provide telepsychology services under the authority to practice interjurisdictional telepsychology or provide temporary psychological services under the temporary authorization to practice in any other compact state during the term of the alternative program.

(g) No other judicial or administrative remedies shall be available to a psychologist in the event a compact state imposes an adverse action pursuant to subsection (c) of this section, above.

(82 Del. Laws, c. 76, § 1.)

§ 3507A Additional authorities invested in a compact state's psychology regulatory authority.

In addition to any other powers granted under state law, a compact state's psychology regulatory authority shall have the authority under this Compact to:

(1) Issue subpoenas, for both hearings and investigations, which require the attendance and testimony of witnesses and the production of evidence. Subpoenas issued by a compact state's psychology regulatory authority for the attendance and testimony of witnesses, or the production of evidence from another compact state shall be enforced in the latter state by any court of competent jurisdiction, according to that court's practice and procedure in considering subpoenas issued in its own proceedings. The issuing state psychology regulatory authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the state where the witnesses and/or evidence are located; and

(2) Issue cease and desist or injunctive relief orders to revoke a psychologist's authority to practice interjurisdictional telepsychology or temporary authorization to practice.

(3) During the course of any investigation, a psychologist may not change home state licensure. A home state psychology regulatory authority is authorized to complete any pending investigations of a psychologist and to take any actions appropriate under its law. The home state psychology regulatory authority shall promptly report the conclusions of such investigations to the Commission. Once an investigation has been completed, and pending the outcome of said investigation, the psychologist may change home state licensure. The Commission shall promptly notify the new home state of any such decisions as provided in the rules of the Commission. All information provided to the Commission or distributed by compact states pursuant to the psychologist shall be confidential, filed under seal and used for investigatory or disciplinary matters. The Commission may create additional rules for mandated or discretionary sharing of information by compact states.

(82 Del. Laws, c. 76, § 1.)

§ 3508A Coordination licensure information system.

(a) The Commission shall provide for the development and maintenance of a coordinated licensure information system (coordinated database) and reporting system containing licensure and disciplinary action information on all psychologists individuals to whom this Compact is applicable in all compact states as defined by the rules of the Commission.

(b) Notwithstanding any other provision of state law to the contrary, a compact state shall submit a uniform data set to the Coordinated Database on all licensees as required by the rules of the Commission, including:

(1) Identifying information.

(2) Licensure data.

(3) Significant investigatory information.

(4) Adverse actions against a psychologist's license.

(5) An indicator that a psychologist's authority to practice interjurisdictional telepsychology or temporary authorization to practice is revoked.

(6) Non-confidential information related to alternative program participation information.

(7) Any denial of application for licensure, and the reasons for such denial.

(8) Other information which may facilitate the administration of this Compact, as determined by the rules of the Commission.

(c) The coordinated database administrator shall promptly notify all compact states of any adverse action taken against, or significant investigative information on, any licensee in a compact state.

(d) Compact states reporting information to the coordinated database may designate information that may not be shared with the public without the express permission of the compact state reporting the information.

(e) Any information submitted to the coordinated database that is subsequently required to be expunged by the law of the compact state reporting the information shall be removed from the coordinated database.

(82 Del. Laws, c. 76, § 1.)

§ 3509A Establishment of the Psychology Interjurisdictional Compact Commission.

(a) The compact states hereby create and establish a joint public agency known as the Psychology Interjurisdictional Compact Commission.

(1) The Commission is a body politic and an instrumentality of the compact states.

(2) Venue is proper and judicial proceedings by or against the Commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional

defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings.

(3) Nothing in this Compact shall be construed to be a waiver of sovereign immunity.

(b) *Membership, voting, and meetings*.

(1) The Commission shall consist of 1 voting representative appointed by each compact state who shall serve as that state's Commissioner. The state psychology regulatory authority shall appoint its delegate. This delegate shall be empowered to act on behalf of the compact state. This delegate shall be limited to the following individuals:

a. Executive director, executive secretary or similar executive.

b. Current member of the state psychology regulatory authority of a compact state.

c. Designee empowered with the appropriate delegate authority to act on behalf of the compact state.

(2) Any Commissioner may be removed or suspended from office as provided by the law of the state from which the Commissioner is appointed. Any vacancy occurring in the Commission shall be filled in accordance with the laws of the compact state in which the vacancy exists.

(3) Each Commissioner shall be entitled to 1 vote with regard to the promulgation of rules and creation of bylaws and shall otherwise have an opportunity to participate in the business and affairs of the Commission. A Commissioner shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for Commissioners' participation in meetings by telephone or other means of communication.

(4) The Commission shall meet at least once during each calendar year. Additional meetings shall be held as set forth in the bylaws.

(5) All meetings shall be open to the public, and public notice of meetings shall be given in the same manner as required under the rulemaking provisions in § 3510A of this title.

(6) The Commission may convene in a closed, non-public meeting if the Commission must discuss any of the following:

a. Non-compliance of a compact state with its obligations under the Compact.

b. The employment, compensation, discipline, or other personnel matters, practices, or procedures related to specific employees or other matters related to the Commission's internal personnel practices and procedures.

c. Current, threatened, or reasonably anticipated litigation against the Commission.

d. Negotiation of contracts for the purchase or sale of goods, services or real estate.

e. Accusation against any person of a crime or formally censuring any person.

f. Disclosure of trade secrets or commercial or financial information which is privileged or confidential.

g. Disclosure of information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy.

h. Disclosure of investigatory records compiled for law-enforcement purposes.

i. Disclosure of information related to any investigatory reports prepared by or on behalf of or for use of the Commission or other committee charged with responsibility for investigation or determination of compliance issues pursuant to the Compact.

j. Matters specifically exempted from disclosure by federal and state statute.

(7) If a meeting, or portion of a meeting, is closed pursuant to this provision, the Commission's legal counsel or designee shall certify that the meeting may be closed and shall reference each relevant exempting provision. The Commission shall keep minutes which fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, of any person participating in the meeting, and the reasons therefore, including a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release only by a majority vote of the Commission or order of a court of competent jurisdiction.

(c) The Commission shall, by a majority vote of the Commissioners, prescribe bylaws or rules to govern its conduct as may be necessary or appropriate to carry out the purposes and exercise the powers of the Compact, including but not limited to:

(1) Establishing the fiscal year of the Commission.

(2) Providing reasonable standards and procedures for both of the following:

a. The establishment and meetings of other committees.

b. Governing any general or specific delegation of any authority or function of the Commission.

(3) Providing reasonable procedures for calling and conducting meetings of the Commission, ensuring reasonable advance notice of all meetings and providing an opportunity for attendance of such meetings by interested parties, with enumerated exceptions designed to protect the public's interest, the privacy of individuals of such proceedings, and proprietary information, including trade secrets. The Commission may meet in closed session only after a majority of the Commissioners vote to close a meeting to the public in whole or in part. As soon as practicable, the Commission must make public a copy of the vote to close the meeting revealing the vote of each Commissioner with no proxy votes allowed.

(4) Establishing the titles, duties and authority and reasonable procedures for the election of the officers of the Commission.

(5) Providing reasonable standards and procedures for the establishment of the personnel policies and programs of the Commission. Notwithstanding any civil service or other similar law of any compact state, the bylaws shall exclusively govern the personnel policies and programs of the Commission.

(6) Promulgating a code of ethics to address permissible and prohibited activities of Commission members and employees.

(7) Providing a mechanism for concluding the operations of the Commission and the equitable disposition of any surplus funds that may exist after the termination of the Compact after the payment and/or reserving of all of its debts and obligations.

(8) The Commission shall publish its bylaws in a convenient form and file a copy thereof and a copy of any amendment thereto, with the appropriate agency or officer in each of the compact states.

(9) The Commission shall maintain its financial records in accordance with the bylaws.

(10) The Commission shall meet and take such actions as are consistent with the provisions of this Compact and the bylaws.

(d) The Commission shall have the following powers:

(1) The authority to promulgate uniform rules to facilitate and coordinate implementation and administration of this Compact. The rule shall have the force and effect of law and shall be binding in all compact states.

(2) To bring and prosecute legal proceedings or actions in the name of the Commission, provided that the standing of any state psychology regulatory authority or other regulatory body responsible for psychology licensure to sue or be sued under applicable law shall not be affected.

(3) To purchase and maintain insurance and bonds.

(4) To borrow, accept or contract for services of personnel, including employees of a compact state.

(5) To hire employees, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of the Compact, and to establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters.

(6) To accept any and all appropriate donations and grants of money, equipment, supplies, materials and services, and to receive, utilize and dispose of the same; provided that at all times the Commission shall strive to avoid any appearance of impropriety or conflict of interest.

(7) To lease, purchase, accept appropriate gifts or donations of, or otherwise to own, hold, improve or use, any property, real, personal or mixed; provided that at all times the Commission shall strive to avoid any appearance of impropriety.

(8) To sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property real, personal or mixed.

(9) To establish a budget and make expenditures.

(10) To borrow money.

(11) To appoint committees, including advisory committees comprised of members, state regulators, state legislators or their representatives, and consumer representatives, and such other interested persons as may be designated in this Compact and the bylaws.

(12) To provide and receive information from, and to cooperate with, law-enforcement agencies.

(13) To adopt and use an official seal.

(14) To perform such other functions as may be necessary or appropriate to achieve the purposes of this Compact consistent with the state regulation of psychology licensure, temporary in-person, face-to-face practice and telepsychology practice.

(e) The elected officers shall serve as the Executive Board, which shall have the power to act on behalf of the Commission according to the terms of this Compact.

(1) The Executive Board shall be comprised of 6 members:

a. Five voting members who are elected from the current membership of the Commission by the Commission;

b. One ex-officio, nonvoting member from the recognized membership organization composed of state and provincial psychology regulatory authorities.

(2) The ex-officio member must have served as staff or member on a state psychology regulatory authority and will be selected by its respective organization.

(3) The Commission may remove any member of the Executive Board as provided in bylaws.

(4) The Executive Board shall meet at least annually.

(5) The Executive Board shall have all of the following duties and responsibilities:

a. Recommend to the entire Commission changes to the rules or bylaws, changes to this Compact legislation, fees paid by Compact States such as annual dues, and any other applicable fees.

b. Ensure Compact administration services are appropriately provided, contractual or otherwise.

c. Prepare and recommend the budget.

d. Maintain financial records on behalf of the Commission.

e. Monitor Compact compliance of member states and provide compliance reports to the Commission.

f. Establish additional committees as necessary.

g. Other duties as provided in rules or bylaws.

(f) *Financing of the Commission*.

(1) The Commission shall pay, or provide for the payment of the reasonable expenses of its establishment, organization, and ongoing activities.

(2) The Commission may accept any and all appropriate revenue sources, donations and grants of money, equipment, supplies, materials and services.

(3) The Commission may levy on and collect an annual assessment from each compact state or impose fees on other parties to cover

the cost of the operations and activities of the Commission and its staff which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the Commission which shall promulgate a rule binding upon all compact states.

(4) The Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the Commission pledge the credit of any of the compact states, except by and with the authority of the compact state.

(5) The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission shall be subject to the audit and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Commission shall be audited yearly by a certified or licensed public accountant and the report of the audit shall be included in and become part of the annual report of the Commission.

(g) *Qualified immunity, defense, and indemnification*.

(1) The members, officers, Executive Director, employees and representatives of the Commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit and/or liability for any damage, loss, injury or liability caused by the intentional or willful or wanton misconduct of that person.

(2) The Commission shall defend any member, officer, Executive Director, employee or representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error or omission that occurred within the scope of commission employment, duties or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining his or her own counsel; and provided further, that the actual or alleged act, error or omission did not result from that person's intentional or willful or wanton misconduct.

(3) The Commission shall indemnify and hold harmless any member, officer, Executive Director, employee or representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of commission employment, duties or responsibilities, provided that the actual or alleged act, error or omission did not result from the intentional or willful or wanton misconduct of that person.

(82 Del. Laws, c. 76, § 1.)

§ 3510A Rulemaking.

(a) The Commission shall exercise its rulemaking powers pursuant to the criteria set forth in this Article and the Rules adopted thereunder. Rules and amendments shall become binding as of the date specified in each rule or amendment.

(b) If a majority of the legislatures of the compact states rejects a rule, by enactment of a statute or resolution in the same manner used to adopt the Compact, then such rule shall have no further force and effect in any compact state.

(c) Rules or amendments to the rules shall be adopted at a regular or special meeting of the Commission.

(d) Prior to promulgation and adoption of a final rule or rules by the Commission, and at least 60 days in advance of the meeting at which the rule will be considered and voted upon, the Commission shall file a notice of proposed rulemaking:

(1) On the website of the Commission; and

(2) On the website of each compact states' psychology regulatory authority or the publication in which each state would otherwise publish proposed rules.

(e) The notice of proposed rulemaking shall include:

(1) The proposed time, date, and location of the meeting in which the rule will be considered and voted upon;

(2) The text of the proposed rule or amendment and the reason for the proposed rule;

(3) A request for comments on the proposed rule from any interested person; and

(4) The manner in which interested persons may submit notice to the Commission of their intention to attend the public hearing and any written comments.

(f) Prior to adoption of a proposed rule, the Commission shall allow persons to submit written data, facts, opinions and arguments, which shall be made available to the public.

(g) The Commission shall grant an opportunity for a public hearing before it adopts a rule or amendment if a hearing is requested by:

(1) At least 25 persons who submit comments independently of each other;

(2) A governmental subdivision or agency; or

(3) A duly appointed person in an association that has having at least 25 members.

(h) If a hearing is held on the proposed rule or amendment, the Commission shall publish the place, time, and date of the scheduled public hearing.

(1) All persons wishing to be heard at the hearing shall notify the Executive Director of the Commission or other designated member in writing of their desire to appear and testify at the hearing not less than 5 business days before the scheduled date of the hearing.

(2) Hearings shall be conducted in a manner providing each person who wishes to comment a fair and reasonable opportunity to

comment orally or in writing.

(3) No transcript of the hearing is required, unless a written request for a transcript is made, in which case the person requesting the transcript shall bear the cost of producing the transcript. A recording may be made in lieu of a transcript under the same terms and conditions as a transcript. This subsection shall not preclude the Commission from making a transcript or recording of the hearing if it so chooses.

(4) Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the Commission at hearings required by this section.

(i) Following the scheduled hearing date, or by the close of business on the scheduled hearing date if the hearing was not held, the Commission shall consider all written and oral comments received.

(j) The Commission shall, by majority vote of all members, take final action on the proposed rule and shall determine the effective date of the rule, if any, based on the rulemaking record and the full text of the rule.

(k) If no written notice of intent to attend the public hearing by interested parties is received, the Commission may proceed with promulgation of the proposed rule without a public hearing.

(*l*) Upon determination that an emergency exists, the Commission may consider and adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided that the usual rulemaking procedures provided in the Compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately to accomplish all of the following:

(1) Meet an imminent threat to public health, safety, or welfare.

(2) Prevent a loss of commission or compact state funds.

(3) Meet a deadline for the promulgation of an administrative rule that is established by federal law or rule.

(4) Protect public health and safety.

(m) The Commission or an authorized committee of the Commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the Commission. The revision shall be subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule.

A challenge shall be made in writing, and delivered to the Chair of the Commission prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.

(82 Del. Laws, c. 76, § 1.)

§ 3511A Oversight, dispute resolution and enforcement.

(a) *Oversight*.

(1) The executive, legislative, and judicial branches of state government in each compact state shall enforce this compact and take all actions necessary and appropriate to effectuate the Compact's purposes and intent. The provisions of this Compact and the rules promulgated hereunder shall have standing as statutory law.

(2) All courts shall take judicial notice of the Compact and the rules in any judicial or administrative proceeding in a compact state pertaining to the subject matter of this Compact which may affect the powers, responsibilities, or actions of the Commission.

(3) The Commission shall be entitled to receive service of process in any such proceeding, and shall have standing to intervene in such a proceeding for all purposes. Failure to provide service of process to the Commission shall render a judgment or order void as to the Commission, this Compact or promulgated rules.

(b) *Default, technical assistance, and termination*.

(1) If the Commission determines that a compact state has defaulted in the performance of its obligations or responsibilities under this Compact or the promulgated rules, the Commission shall both:

a. Provide written notice to the defaulting state and other compact states of the nature of the default, the proposed means of remedying the default and/or any other action to be taken by the Commission.

b. Provide remedial training and specific technical assistance regarding the default.

(2) If a state in default fails to remedy the default, the defaulting state may be terminated from the Compact upon an affirmative vote of a majority of the compact states, and all rights, privileges and benefits conferred by this Compact shall be terminated on the effective date of termination. A remedy of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.

(3) Termination of membership in the Compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be submitted by the Commission to the governor, the majority and minority leaders of the defaulting state's legislature, and each of the compact states.

(4) A compact state which has been terminated is responsible for all assessments, obligations and liabilities incurred through the effective date of termination, including obligations which extend beyond the effective date of termination.

(5) The Commission shall not bear any costs incurred by the state which is found to be in default or which has been terminated from the Compact, unless agreed upon in writing between the Commission and the defaulting state.

(6) The defaulting state may appeal the action of the Commission by petitioning the U.S. District Court for the State of Georgia or the federal district where the Compact has its principal offices. The prevailing member shall be awarded all costs of such litigation, including reasonable attorney's fees.

(c) *Dispute resolution*.

(1) Upon request by a compact state, the Commission shall attempt to resolve disputes related to the Compact which arise among compact states and between Compact and non-compact states.

(2) The Commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes that arise before the commission.

(d) *Enforcement*.

(1) The Commission, in the reasonable exercise of its discretion, shall enforce the provisions and rules of this Compact.

(2) By majority vote, the Commission may initiate legal action in the United States District Court for the State of Georgia or the federal district where the Compact has its principal offices against a compact state in default to enforce compliance with the provisions of the Compact and its promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing member shall be awarded all costs of such litigation, including reasonable attorney's fees.

(3) The remedies herein shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or state law.

(82 Del. Laws, c. 76, § 1.)

§ 3512A Date of implementation of the Psychology Interjurisdictional Compact Commission and Association rules, withdrawal, and amendments.

(a) The Compact shall come into effect on the date on which the Compact is enacted into law in the seventh compact state. The provisions which become effective at that time shall be limited to the powers granted to the Commission relating to assembly and the promulgation of rules. Thereafter, the Commission shall meet and exercise rulemaking powers necessary to the implementation and administration of the Compact.

(b) Any state which joins the Compact subsequent to the Commission's initial adoption of the rules shall be subject to the rules as they exist on the date on which the Compact becomes law in that state. Any rule which has been previously adopted by the Commission shall have the full force and effect of law on the day the Compact becomes law in that state.

(c) Any compact state may withdraw from this Compact by enacting a statute repealing the same.

(1) A compact state's withdrawal shall not take effect until 6 months after enactment of the repealing statute.

(2) Withdrawal shall not affect the continuing requirement of the withdrawing state's psychology regulatory authority to comply with the investigative and adverse action reporting requirements of this act prior to the effective date of withdrawal.

(d) Nothing contained in this Compact shall be construed to invalidate or prevent any psychology licensure agreement or other cooperative arrangement between a compact state and a non-compact state which does not conflict with the provisions of this Compact.

(e) This Compact may be amended by the compact states. No amendment to this Compact shall become effective and binding upon any compact state until it is enacted into the law of all compact states.

(82 Del. Laws, c. 76, § 1.)

§ 3513A Construction and severability.

This Compact shall be liberally construed so as to effectuate the purposes thereof. If this Compact shall be held contrary to the constitution of any state member thereto, the Compact shall remain in full force and effect as to the remaining compact states.

(82 Del. Laws, c. 76, § 1.)

Chapter 36
GEOLOGY

Subchapter I

Board of Geologists

§ 3601 Objectives.

The primary objective of the Board of Geologists, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

The secondary objectives of the Board are to maintain minimum standards of practitioner competency; and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at formal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against licensed practitioners.

(71 Del. Laws, c. 298, § 1.)

§ 3602 Definitions.

The following words, terms and phrases, when used in this chapter shall have the meanings ascribed to them under this section, except where the context clearly indicates a different meaning:

(1) “Board” shall mean the State Board of Geologists established in this chapter.

(2) “Excessive use or abuse of drugs” shall mean any use of narcotics, controlled substances or illegal drugs without a prescription from a licensed physician, or the abuse of alcoholic beverage such that it impairs a person’s ability to perform the work of a geologist.

(3) “Geologist” shall mean a person who is qualified to practice professional geology including specialists in its various subdisciplines.

(4) “Person” shall mean a corporation, company, association and partnership, as well as an individual.

(5) “Practice of geology” shall mean any service or creative work, the adequate performance of which requires geologic education, training and experience in the application of the principles, theories, laws and body of knowledge encompassed in the science of geology. This may take the form of, but is not limited to, consultation, research, investigation, evaluations, mapping, sampling, planning of geologic projects and embracing such geological services or work in connection with any public or private utilities, structures, roads, building, processes, works or projects. A person shall be construed to practice geology, who by verbal claim, sign, advertisement or in any other way represents oneself to be a geologist, or who holds oneself out as able to perform or who does perform geologic services or work.

(6) “Responsible charge” shall mean the individual control and direction, by the use of initiative, skill and individual judgment, of the practice of geology.

(7) “Substantially related” means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of geology.

(24 Del. C. 1953, § 3601; 58 Del. Laws, c. 477; 61 Del. Laws, c. 477, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 298, § 1; 74 Del. Laws, c. 262, § 75; 83 Del. Laws, c. 153, § 1; 84 Del. Laws, c. 42, § 1.)

§ 3603 Board of Geologists; appointments; composition; qualifications; term; vacancies; suspension or removal; unexcused absences; compensation.

(a) There is created a State Board of Geologists, which shall administer and enforce this chapter.

(b) The Board shall consist of 7 members, appointed by the Governor, who are residents of this State: Four shall be geologists licensed under this chapter, 1 of whom shall be a member of the Delaware Geological Survey, either the State Geologist, or the State Geologist’s designee if the State Geologist declines the appointment, and 3 public members. The public members shall not be, nor ever have been, geologists, nor members of the immediate family of a geologist; shall not have been employed by a geologist or a company engaged in the practice of geology; shall not have a material interest in the providing of goods and services to geologists; nor have been engaged in an activity directly related to geology. The public members shall be accessible to inquiries, comments and suggestions from the general public.

(c) Except as provided in subsection (d) of this section, each member shall serve a term of 3 years, and may succeed himself or herself for 1 additional term; provided however, that where a member was initially appointed to fill a vacancy, such member may succeed himself or herself for only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member. Each term of office shall expire on the date specified in the appointment; however, the Board member shall remain eligible to participate in Board proceedings unless and until replaced by the Governor.

(d) A person who has never served on the Board may be appointed for 2 consecutive terms. No person who has been twice appointed to the Board or has served on the Board for 6 years shall again be appointed until an interim period of at least 1 year has expired since the

person last served. This section shall not apply to the State Geologist or the State Geologist's designee who shall be appointed by the Governor to serve at the pleasure of the Governor with no term limits.

(e) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless such an amendment or revision amends this section to permit such an appointment.

(f) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetency or neglect of duty. A member subject to disciplinary hearing shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(g) No member of the Board, while serving on the Board, shall hold elective office in any professional association of geologists; this includes a prohibition against serving as head of the professional association's Political Action Committee (PAC).

(h) Chapter 58 of Title 29 shall apply to all members of the Board.

(i) Any member, who is absent without adequate reason for 3 consecutive meetings, or fails to attend at least $^{1}/_{2}$ of all regular business meetings during any calendar year, shall be guilty of neglect of duty.

(j) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(24 Del. C. 1953, § 3603; 58 Del. Laws, c. 477; 61 Del. Laws, c. 477, § 1; 66 Del. Laws, c. 105, § 2; 67 Del. Laws, c. 368, § 23; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 298, § 1; 77 Del. Laws, c. 404, §§ 1, 2; 81 Del. Laws, c. 85, § 19.)

§ 3604 Organization; meetings; officers; quorum.

(a) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, and at such times as the President deems necessary, or at the request of a majority of the Board members.

(b) The Board annually shall elect a President, Vice-President and Secretary. Each officer shall serve for 1 year, and shall not succeed himself or herself for more than 2 consecutive terms.

(c) A majority of the members shall constitute a quorum for the purpose of transacting business. No disciplinary action shall be taken without the affirmative vote of at least 4 members of the Board.

(d) Minutes of all meetings shall be recorded, and copies shall be maintained by the Division of Professional Regulation. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(24 Del. C. 1953, § 3604; 58 Del. Laws, c. 477; 61 Del. Laws, c. 477, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 298, § 1.)

§ 3605 Records.

The Division of Professional Regulation shall keep a register of all approved applications for license as a geologist, and complete records relating to meetings of the Board, examinations, rosters, changes and additions to the Board's rules and regulations, complaints, hearings and such other matters as the Board shall determine. Such records shall be prima facie evidence of the proceedings of the Board.

(24 Del. C. 1953, § 3605; 58 Del. Laws, c. 477; 61 Del. Laws, c. 477, § 1; 66 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 298, § 1.)

§ 3606 Powers and duties.

(a) The Board of Geologists shall have authority to:

(1) Formulate rules and regulations, with appropriate notice to those affected; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act [Chapter 101 of Title 29] of this State. Each rule or regulation shall implement or clarify a specific section of this chapter;

(2) Designate the application form to be used by all applicants, and to process all applications;

(3) Designate the written, standardized examination administered by the National Association of State Boards of Geology (ASBOG) to be taken by all persons applying for licensure; applicants who qualify for licensure by reciprocity shall have achieved a passing score on all parts of the ASBOG examination or a comparable, alternative national or regional examination, if a national examination is not available;

(4) Establish minimum education, training and experience requirements for licensure as geologists;

(5) Evaluate the credentials of all persons applying for a license to practice geology in Delaware, in order to determine whether such persons meet the qualifications for licensing set forth in this chapter;

(6) Grant licenses to, and renew licenses of, all persons who meet the qualifications for licensure;

(7) Require all technical submissions to be stamped with the impression of the state-licensed geologist's seal;

(8) Establish by rule and regulation continuing education standards required for license renewal;

(9) Evaluate certified records to determine whether an applicant for licensure, who previously has been licensed, certified or registered in another jurisdiction to practice geology, has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against such applicant for such acts or offenses;

(10) Refer all complaints from licensees and the public concerning licensed geologists, or concerning practices of the Board or of the

profession, to the Division of Professional Regulation for investigation pursuant to § 8735 of Title 29; and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint;

(11) Conduct hearings and issue orders in accordance with procedures established pursuant to this chapter and Chapter 101 of Title 29. Where such provisions conflict with this chapter, this chapter shall govern. The Board shall determine whether or not a geologist shall be subject to a disciplinary hearing, and if so, shall conduct such hearing in accordance with this chapter and the Administrative Procedures Act [Chapter 101 of Title 29];

(12) Where it has been determined after a hearing, that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty after time for appeal has lapsed.

(b) The Board of Geologists shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of geology.

(24 Del. C. 1953, § 3606; 58 Del. Laws, c. 477; 61 Del. Laws, c. 477, § 1; 66 Del. Laws, c. 105, § 4; 71 Del. Laws, c. 298, § 1; 74 Del. Laws, c. 262, § 76.)

Subchapter II

License

§ 3607 License required.

(a) No person shall engage in the practice of geology or hold himself or herself out to the public in this State as being qualified to practice geology; or use in connection with that person's name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the person is qualified to practice geology, unless such person has been duly licensed under this chapter.

(b) Whenever a license to practice as a geologist in this State has expired or been suspended or revoked, it shall be unlawful for the person to practice geology in this State.

(61 Del. Laws, c. 477, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 298, § 1.)

§ 3608 Qualifications of applicant; report to Attorney General; judicial review.

(a) An applicant who is applying for licensure as a geologist under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that such person:

(1) Has received a degree from an accredited college or university with a major in geology; or has completed 30 credit hours of geology or its subdisciplines, of which 24 credits are third or fourth year courses or graduate courses;

(2) Has acquired 5 years of experience in geologic work satisfactory to the Board and as defined in its rules and regulations;

(3) Has achieved the passing score on all parts of the written, standardized examination administered by the National Association of State Boards of Geology (ASBOG), or its successor;

(4) Shall not have been the recipient of any administrative penalties regarding that applicant's practice of geology, including but not limited to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations and/or has not entered into any "consent agreements" which contain conditions placed by a Board on that applicant's professional conduct and practice, including any voluntary surrender of a license; the Board may determine, after a hearing, whether such administrative penalty is grounds to deny licensure;

(5) Shall not have any impairment related to drugs, alcohol or a finding of mental incompetence by a physician that would limit the applicant's ability to undertake the practice of geology in a manner consistent with the safety of the public;

(6) Shall not have a criminal conviction record, nor pending criminal charge relating to an offense that is substantially related to the practice of geology. Applicants who have criminal conviction records or pending criminal charges shall require appropriate authorities to provide information about the record or charge directly to the Board. However, if after review of the factors set forth in § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that the granting of a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(6).

a.-d. [Repealed.]

(b) Where the Board has found to its satisfaction that an applicant has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(c) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification; has imposed higher or different standards for that person than for other applicants or licensees; or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(61 Del. Laws, c. 477, § 1; 66 Del. Laws, c. 105, § 5; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 298, § 1; 74 Del. Laws, c. 262, § 77; 75 Del. Laws, c. 374, § 1; 75 Del. Laws, c. 436, § 40; 77 Del. Laws, c. 199, § 30; 78 Del. Laws, c. 44, §§ 58, 59; 83 Del. Laws, c. 433, § 24.)

§ 3609 Reciprocity.

Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who shall present proof of current licensure in good standing in another State, the District of

Columbia, or territory of the United States; and who meets the following criteria:

(1) Has received a degree from an accredited college or university with a major in geology; or has completed 30 credit hours of geology or its subdisciplines, of which 24 credits are third or fourth year courses or graduate courses;

(2) The applicant's license is in good standing as defined in § 3608(a)(4)-(6), of this title;

(3) Has achieved the passing score on all parts of the written, standardized examination administered by the National Association of State Boards of Geology (ASBOG), or its successor; unless at the time the applicant became licensed in the State, District of Columbia, or territory of the United States, from which the applicant is applying, the examination prepared under the authority of ASBOG, or subsequent examination or examinations prepared under the authority of ASBOG was/were not required by the State of Delaware; and

(4) Shall have practiced for a minimum of 2 years after licensure in the jurisdiction from which that applicant is applying for licensure and acquired 5 years experience, or its equivalent, in geologic work satisfactory to the Board as defined in its rules and regulations; provided however, that the applicant meets all other qualifications for reciprocity in this section.

(24 Del. C. 1953, § 3607; 58 Del. Laws, c. 477; 61 Del. Laws, c. 477, § 1; 66 Del. Laws, c. 105, § 6; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 298, § 1; 75 Del. Laws, c. 374, § 2; 75 Del. Laws, c. 436, § 41.)

§ 3610 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division of Professional Regulation in its service on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each licensure biennium, the Division of Professional Regulation, or any other state agency acting in its behalf, shall compute, for each separate service or activity, the appropriate Board fees for the licensure biennium.

(66 Del. Laws, c. 105, § 8; 71 Del. Laws, c. 298, § 1.)

§ 3611 Issuance and renewal of licenses.

(a) The Board shall issue a license to each applicant who meets the requirements of this chapter for licensure as a geologist and who pays the fee established under § 3610 of this title.

(b) Each license shall be renewed biennially, in such manner as is determined by the Division of Professional Regulation, and upon payment of the appropriate fee and submission of a renewal form provided by the Division of Professional Regulation, and proof that the licensee has met the continuing education requirements established by the Board.

(c) The Board, in its rules and regulations, shall determine the period of time within which a licensed geologist may still renew that licensed geologist's license, notwithstanding the fact that such licensee has failed to renew on or before the renewal date.

(d) A person licensed pursuant to this chapter, upon written request, may be placed in an inactive status. Such person may reenter practice upon written notification to the Board of the intent to do so and completion of continuing education as required in the Board's rules and regulations. The Board may establish by regulation provisions for resuming active status.

(24 Del. C. 1953, § 3609; 58 Del. Laws, c. 477; 61 Del. Laws, c. 477, § 1; 66 Del. Laws, c. 105, § 7; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 298, § 1; 79 Del. Laws, c. 129, § 2.)

§ 3612 Grounds for discipline.

(a) A practitioner licensed under this chapter shall be subject to disciplinary actions set forth in § 3614 of this title, if, after a hearing, the Board finds that the geologist:

(1) Has employed or knowingly cooperated in fraud or material deception in order to acquire a license as a geologist; has impersonated another person holding a license, or allowed another person to use that practitioner's license, or aided or abetted a person not licensed as a geologist to represent himself or herself as a geologist;

(2) Has illegally, incompetently or negligently practiced geology;

(3) Has been convicted of a crime that is substantially related to the practice of geology;

(4) A copy of the record of conviction certified by the Clerk of the Court entering the conviction shall be conclusive evidence therefor;

(5) Has excessively used or abused drugs either in the past 2 years or currently; excessive use or abuse of drugs shall mean any use of narcotics, controlled substances or illegal drugs without a prescription from a licensed physician, or the abuse of alcoholic beverage such that it impairs the practitioner's ability to perform the work of a geologist;

(6) Has engaged in an act of consumer fraud or deception; engaged in the restraint of competition; or participated in price-fixing activities;

(7) Has violated a lawful provision of this chapter, or any lawful regulation established thereunder;

(8) Has had that practitioner's license as a geologist suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record; and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute one or more of the acts defined in this chapter. Every person licensed as a geologist in this State shall be deemed to have given consent to the release of this information by the Board of Geologists or other comparable agencies in another

jurisdiction and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses;

(9) Has failed to notify the Board that the practitioner's license as a geologist in another state has been subject to discipline, or has been surrendered, suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof; or

(10) Has a physical condition such that the performance of geology is or may be injurious or prejudicial to the public.

(b) Where a practitioner fails to comply with the Board's request that the practitioner attend a hearing, the Board may petition the Superior Court to order such attendance, and the said Court or any judge assigned thereto shall have the jurisdiction to issue such order.

(c) Subject to this chapter and subchapter IV of Chapter 101 of Title 29, no license shall be restricted, suspended or revoked by the Board, and no practitioner's right to practice geology shall be limited by the Board until such practitioner has been given notice, and an opportunity to be heard, in accordance with the Administrative Procedures Act [Chapter 101 of Title 29].

(24 Del. C. 1953, § 3610; 58 Del. Laws, c. 477; 61 Del. Laws, c. 477, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 298, § 1; 74 Del. Laws, c. 262, § 79.)

§ 3613 Complaints.

(a) All complaints shall be received and investigated by the Division of Professional Regulation in accordance with § 8735 of Title 29, and the Division shall be responsible for issuing a final written report at the conclusion of its investigation.

(b) When it is determined that an individual is engaging, or has engaged, in the practice of geology, or is using the title "geologist" and is not licensed under the laws of this State, the Board shall apply to the Office of the Attorney General to issue a cease and desist order after formally warning the unlicensed practitioner in accordance with this chapter.

(71 Del. Laws, c. 298, § 1.)

§ 3614 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that one of the conditions or violations set forth in § 3612 of this title applies to a practitioner regulated by this chapter:

(1) Issue a letter of reprimand;

(2) Censure a practitioner;

(3) Place a practitioner on probationary status, and require the practitioner to:

a. Report regularly to the Board upon the matters which are the basis of the probation;

b. Limit all practice and professional activities to those areas prescribed by the Board;

(4) Suspend any practitioner's license;

(5) Revoke any practitioner's license;

(6) Impose a monetary penalty not to exceed $500 for each violation.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such action have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(d) Where a license has been suspended due to a disability of the licensee, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee is able to practice with reasonable skill and safety.

(e) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(24 Del. C. 1953, § 3611; 58 Del. Laws, c. 477; 61 Del. Laws, c. 477, § 1; 66 Del. Laws, c. 105, § 8; 71 Del. Laws, c. 298, § 1; 79 Del. Laws, c. 213, § 2.)

§ 3615 Hearing procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29, alleging violation of § 3612 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings shall be informal without use of rules of evidence. If the Board finds, by a majority vote of all members, that the

complaint has merit, the Board shall take such action permitted under this chapter as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. The Board's decision shall be mailed immediately to the practitioner.

(c) Where the practitioner is in disagreement with the action of the Board, the practitioner may appeal the Board's decision to the Superior Court within 30 days of service, or of the postmarked date of the copy of the decision mailed to the practitioner. Upon such appeal the Court shall hear the evidence on the record. Stays shall be granted in accordance with § 10144 of Title 29.

(71 Del. Laws, c. 298, § 1; 70 Del. Laws, c. 186, § 1.)

§ 3616 Reinstatement of a suspended license; removal from probationary status.

(a) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension and/or the probation.

(b) Where a license or registration has been suspended due to the licensee's inability to practice pursuant to this chapter, the Board may reinstate such license, if, after a hearing, the Board is satisfied that the licensee is again able to perform the essential functions of a geologist, with or without reasonable accommodations; and/or there is no longer a significant risk of substantial harm to the health and safety of the individual or others.

(c) Applicants for reinstatement must pay the appropriate fees and submit documentation required by the Board as evidence that all the conditions of a suspension and/or probation have been met. Proof that the applicant has met the continuing education requirements of this chapter may also be required, as appropriate.

(d) [Repealed.]

(71 Del. Laws, c. 298, § 1; 82 Del. Laws, c. 8, § 16.)

Subchapter III

Other Provisions

§ 3617 Exemptions.

(a) Nothing in this chapter shall be construed to prevent persons engaged solely in teaching the science of geology from continuing to engage in the act of teaching the science of geology;

(b) Nothing in this chapter shall be construed to prevent the practice of geology by persons working under the direct supervision of a Delaware licensed geologist; such licensed geologist shall be responsible for the activities of unlicensed persons practicing geology in this State. The supervising licensed geologist shall inform the Board of the unlicensed practice of geology.

(71 Del. Laws, c. 298, § 1.)

§ 3618 Penalty.

A person not currently licensed as a geologist under this chapter, when guilty of engaging in the practice of geology, or using in connection with that person's name, or otherwise assuming or using any title or description conveying, or tending to convey the impression that the person is qualified to practice geology, such offender shall be guilty of a misdemeanor. Upon the first offense, the person shall be fined not less than $500 nor more than $1,000 for each offense. For a second or subsequent conviction, the fine shall be not less than $1,000 nor more than $2,000 for each offense. Justice of the Peace Court shall have jurisdiction over all violations of this chapter.

(71 Del. Laws, c. 298, § 1; 70 Del. Laws, c. 186, § 1.)

§ 3619 Seal.

Every geologist licensed in this State shall have a seal of a design authorized by the Board by regulation. All technical submissions prepared by such geologist, or under that geologist's direct supervision, shall be stamped with the impression of that geologist's seal. No licensed geologist shall impress that geologist's seal on any technical submission unless it has been prepared under that geologist's direct supervision.

(71 Del. Laws, c. 298, § 1; 70 Del. Laws, c. 186, § 1.)

Chapter 37

Speech/Language Pathologists, Audiologists, and Hearing Aid Dispensers [For application of this chapter, see 84 Del. Laws, c. 418, § 2]

Subchapter I

Board of Speech/Language Pathologists, Audiologists, and Hearing Aid Dispensers [For application of this subchapter, see 84 Del. Laws, c. 418, § 2]

§ 3701 Objectives [For application of this section, see 84 Del. Laws, c. 418, § 2].

The primary objective of the Board of Speech/Language Pathologists, Audiologists, and Hearing Aid Dispensers, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

The secondary objectives of the Board are to maintain minimum standards of practitioner competency and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at formal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against licensed practitioners.

(24 Del. C. 1953, § 3601; 59 Del. Laws, c. 206, § 1; 63 Del. Laws, c. 151, § 2; 65 Del. Laws, c. 224, § 1; 72 Del. Laws, c. 266, § 1; 84 Del. Laws, c. 418, § 1.)

§ 3702 Definitions [For application of this section, see 84 Del. Laws, c. 418, § 2].

For purposes of this chapter:

(1) “Audiologist” means a person who is licensed to practice audiology pursuant to this chapter and who offers such services to the public under any title or description of services incorporating the words “audiologist,” “hearing clinician,” “hearing therapist,” “aural rehabilitator,” or any other similar title or description of service.

(2) “Board” means the State Board of Speech/Language Pathologists, Audiologists, and Hearing Aid Dispensers established in this chapter.

(3) “Division” means the state Division of Professional Regulation.

(4) “Excessive use or abuse of drugs” means any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed physician, or the abuse of alcoholic beverage such that it impairs an individual’s ability to perform the work of a speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser.

(5) “Hearing aid dispenser” means a person licensed to dispense prescription hearing aids pursuant to this chapter.

(6) “Over-the-counter hearing aid” means an air-conduction hearing aid that does not require implantation or other surgical intervention, and is intended for use by a person age 18 or older to compensate for perceived mild to moderate hearing impairment. The device, through tools, tests, or software, allows the user to control the hearing aid and customize it to the user’s hearing needs. The device may use wireless technology or may include tests for self-assessment of hearing loss. The device is available over-the-counter, without the supervision, prescription, or other order, involvement, or intervention of a licensed person, to consumers through in-person transactions, by mail, or online, provided that the device satisfies the requirements in this section.

(7) “Person” means a corporation, company, association, or partnership, as well as an individual. Licenses shall be issued only to individuals under this chapter.

(8) “Practice of audiology” means the application of principles, methods and procedures of measurement, testing, evaluation, prediction, consultation, counseling, instruction, habilitation, and rehabilitation related to hearing, disorders of hearing, and balance for the purpose of evaluating, identifying, preventing, ameliorating, or modifying such disorders and conditions in individuals and groups. For the purpose of this paragraph, the terms “habilitation” and “rehabilitation” include hearing aid evaluation, recommendation, and fitting and selecting, adapting, and distributing or selling of hearing aids. The practice of audiology includes the practice of dispensing prescription hearing aids.

(9) “Practice of hearing aid dispensing” means the selection, fitting, dispensing, adapting, selling, or renting of prescription hearing aids to a prospective hearing aid user who is at least 18 years of age.

a. A hearing aid dispenser may:

1. Perform otoscopic observation of the ear canal solely for the purpose of fitting a prescription hearing aid or making necessary referrals.

2. Perform nondiagnostic testing of hearing solely for the purpose of fitting a prescription hearing aid or making necessary referrals.

3. Make ear impressions for manufacture or modification of ear molds and prescription hearing aids.

4. Make adjustments and repairs to prescription hearing aids for impaired hearing only.

5. Provide instruction, orientation, and counseling on the use and operation of a prescription hearing aid.

b. A hearing aid dispenser may not:

1. Provide cerumen management services.

2. Adapt or adjust prescription hearing aids to conduct sound therapy treatment for tinnitus management.

3. Verbally or in writing make a statement or reference to a prospective prescription hearing aid user regarding any audiologic or medical condition or diagnosis.

c. For the purpose of this paragraph, "audiologic diagnosis" means the diagnosis of a conductive and sensorineural hearing loss. Before dispensing a prescription hearing aid, a hearing aid dispenser shall advise a prospective hearing aid user to consult immediately with a licensed physician if the hearing aid dispenser determines the presence of any of the following:

1. Visible congenital or traumatic deformity of the ear.

2. History of active drainage from the ear within the previous 90 days.

3. History of sudden or rapidly progressive hearing loss within the previous 90 days.

4. Acute or chronic dizziness.

5. Unilateral hearing loss within the previous 90 days or since the last evaluation.

6. Audiometric air bone gap equal to or greater than 15 dB at 500 Hertz, 100 Hertz, and 2000 Hertz.

7. Visible evidence of significant cerumen accumulation or a foreign body in the ear canal.

8. Tinnitus as a primary symptom.

9. Pain or discomfort in the ear.

(10) "Practice of speech/language pathology" means the application of principles, methods, and procedures for measurement, testing, evaluation, prediction, counseling, instruction, habilitation, or rehabilitation related to the development and disorders of speech, language, voice, fluency, cognition, and swallowing for the purpose of evaluating, preventing, ameliorating, or modifying such disorders in individuals and groups.

(11) "Prescription hearing aid" means a hearing aid that is not an over-the-counter hearing aid as defined in this section.

(12) "Speech/language pathologist" means a person who is licensed to practice speech/language pathology pursuant to this chapter and who offers such services to the public under any title or description of services incorporating the words "speech/language pathologist," "speech pathologist," "language pathologist," "speech and/or language therapist," "speech and/or language correctionist," "speech and/or language clinician," "voice therapist," "communicologist," "aphasiologist," or any other similar title or description of service.

(13) "Speech/language pathology assistant" means a person licensed by the Board who performs tasks prescribed, directed, and supervised by a licensed speech/language pathologist.

a. A speech/language pathology assistant may do all of the following under the supervision of a licensed speech/language pathologist:

1. Conduct speech and language screenings without interpretation, using screening protocols specified by the supervising speech/language pathologist.

2. Provide direct treatment assistance identified by the supervising speech/language pathologist by following written treatment plans, individualized education programs, individual support plans, or protocol developed by the supervising speech/language pathologist.

3. Document patient, client, or student progress toward meeting established objectives as stated in the treatment plan, individual support plan, or individualized education program without interpreting the findings and report this information to the supervising speech/language pathologist.

4. Assist the supervising speech/language pathologist in collecting and tallying data for assessment purposes, without interpreting the data.

5. Assist with informal documentation during an intervention session by collecting and tallying data as directed by the supervising speech/language pathologist, preparing materials, and assisting with other clerical duties as specified by the supervising speech/language pathologist.

6. Schedule activities and prepare charts, records, graphs, or other displays of data.

7. Perform checks and maintenance of equipment.

8. Participate with the supervising speech/language pathologist in research projects, in-service training, and public relations programs.

9. Sign and initial treatment notes for review and co-signature by the supervising speech/language pathologist.

b. A speech/language pathology assistant may not do any of the following:

1. Conduct swallowing screening, assessment, and intervention protocols, including modified barium swallow studies.

2. Administer standardized or nonstandardized diagnostic tests or formal or informal evaluations or interpret test results.

3. Participate in parent conferences, case conferences, or any interdisciplinary team meeting without the presence of the supervising speech/language pathologist.

4. Write, develop, or modify a patient's, client's, or student's treatment plan, individual support plan, or individualized

education program, whether or not prepared by the supervising speech/language pathologist.

5. Provide intervention for patients, clients, or students without following the treatment plan, individual support plan, or individualized education program prepared by the supervising speech/language pathologist.

6. Sign any formal documents, including treatment plans, individualized education programs, reimbursement forms, or reports.

7. Select patients, clients, or students for services.

8. Discharge patients, clients, or students from services.

9. Unless required by law, disclose clinical or confidential information orally or in writing to anyone not designated by the supervising speech/language pathologist.

10. Make a referral for any additional service.

11. Communicate with the patient, client, or student or with the family or others regarding any aspect of the patient, client, or student status without the specific consent of the supervising speech/language pathologist.

12. Claim to be a speech/language pathologist.

13. Write a formal screening, diagnostic, progress, or discharge note.

14. Perform any task without the express knowledge and approval of the supervising speech/language pathologist.

(14) "State" means the State of Delaware.

(15) "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of speech/language pathology, speech/language pathology assistant, audiology, and/or the dispensing of hearing aids.

(16) "Supervising speech/language pathologist" means a licensed speech/language pathologist who meets the requirements of the Board's rules and regulations and who provides direction and evaluation of the tasks assigned to the speech/language pathology assistant, including direct and indirect supervision as set forth in the Board's rules and regulations.

(24 Del. C. 1953, § 3603; 59 Del. Laws, c. 206, § 1; 63 Del. Laws, c. 151, § 4; 65 Del. Laws, c. 224, § 1; 72 Del. Laws, c. 266, § 1; 74 Del. Laws, c. 262, § 80; 77 Del. Laws, c. 154, § 1; 84 Del. Laws, c. 234, § 1; 84 Del. Laws, c. 418, § 1.)

§ 3703 Board of Speech/Language Pathologists, Audiologists, and Hearing Aid Dispensers; appointments; composition; qualifications; term; vacancies; suspension or removal; unexcused absences; compensation [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) There is created a State Board of Speech/Language Pathologists, Audiologists, and Hearing Aid Dispensers, which shall administer and enforce this chapter.

(b) The Board consists of 9 members appointed by the Governor who must be residents of this State and are as follows:

(1) Three must be speech/language pathologists licensed under this chapter.

(2) Two must be audiologists licensed under this chapter.

(3) One must be a hearing aid dispenser licensed under this chapter.

(4) Three public members. The public members must be accessible to inquiries, comments, and suggestions from the general public and are subject to all of the following:

a. A public member may not be, nor ever have been, any of the following:

1. A speech/language pathologist.

2. A speech/language pathology assistant.

3. An audiologist.

4. A hearing aid dispenser.

5. A member of the immediate family of a speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser.

6. Employed by a speech/language pathologist, audiologist, or hearing aid dispenser, or a company engaged in the practice of speech/language pathology, audiology, or dispensing hearing aids.

b. The member may not have a material interest in the providing of goods and services to speech/language pathologists, speech/language pathology assistants, audiologists, or hearing aid dispensers.

c. The member may not have been engaged in an activity directly related to speech/language pathology, audiology, or dispensing hearing aids.

(c) Each member serves for a period of 3 years and may be reappointed to serve 1 additional 3-year term. Each term of office expires on the date specified in the appointment, except that a member may serve until a successor is duly appointed.

(d) A person who has never served on the Board may be appointed to the Board for 2 consecutive terms; but no such person shall thereafter be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board or who has served on the Board for 6 years within any 9-year period may again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(e) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section unless such an amendment or revision amends this section to permit such an appointment.

(f) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetency, or neglect of duty. A member subject to disciplinary hearing is disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(g) No member of the Board, while serving on the Board, may hold elective office in any professional association of speech/language pathologists, speech/language pathology assistants, audiologists, or hearing aid dispensers; this includes a prohibition against serving as head of a professional association's Political Action Committee (PAC).

(h) The provisions set forth in Chapter 58 of Title 29 apply to all members of the Board.

(i) Any member who is absent without adequate reason for 3 consecutive meetings or fails to attend at least half of all regular business meetings during any calendar year is guilty of neglect of duty.

(j) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel; and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(24 Del. C. 1953, § 3607; 59 Del. Laws, c. 206, § 1; 63 Del. Laws, c. 151, § 8; 65 Del. Laws, c. 224, § 1; 67 Del. Laws, c. 368, § 24; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 266, § 1; 77 Del. Laws, c. 154, § 2; 81 Del. Laws, c. 85, § 20; 84 Del. Laws, c. 234, § 2; 84 Del. Laws, c. 418, § 1.)

§ 3704 Organization; meetings; officers; quorum [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year and at such times as the President deems necessary or at the request of a majority of the Board members.

(b) The Board annually shall elect a President and Secretary. Each officer serves for 1 year, and may not succeed oneself for more than 2 consecutive terms.

(c) A majority of the members constitutes a quorum for the purpose of transacting business, and no disciplinary action may be taken without the affirmative vote of at least 5 members.

(d) The Division shall take and maintain minutes of all meetings.

(24 Del. C. 1953, § 3607; 59 Del. Laws, c. 206, § 1; 63 Del. Laws, c. 151, § 8; 65 Del. Laws, c. 224, § 1; 67 Del. Laws, c. 368, § 24; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 266, § 1; 84 Del. Laws, c. 42, § 1; 84 Del. Laws, c. 234, § 3; 84 Del. Laws, c. 418, § 1.)

§ 3705 Records [For application of this section, see 84 Del. Laws, c. 418, § 2].

The Division shall keep a register of all approved applications for license as a speech/language pathologist, speech/language pathology assistant, audiologist, and hearing aid dispenser, and complete records relating to meetings of the Board, examinations, rosters, changes and additions to the Board's rules and regulations, complaints, hearings, and such other matters as the Board shall determine. Such records are prima facie evidence of the proceedings of the Board.

(72 Del. Laws, c. 266, § 1; 84 Del. Laws, c. 418, § 1.)

§ 3706 Powers and duties; immunity [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) The Board of Speech/Language Pathologists, Audiologists, and Hearing Aid Dispensers has authority to:

(1) Formulate rules and regulations, with appropriate notice to those affected. All rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act [Chapter 101 of Title 29] of this State. Each rule or regulation shall implement or clarify a specific section of this chapter.

(2) Designate the application form to be used by all applicants and to process all applications.

(3) Designate the national, written, standardized examinations in speech/language pathology, audiology, and hearing aid dispensing, prepared by a national testing service, to be taken by all persons applying for licensure as speech/language pathologists, audiologists, or hearing aid dispensers. Applicants who qualify for licensure by reciprocity must have achieved a passing score on all parts of the designated written national examination in the applicant's specialty.

(4) Evaluate the credentials of all persons applying for a license to practice speech/language pathology, audiology, or to dispense prescription hearing aids in this State in order to determine whether such persons meet the qualifications set forth in this chapter.

(5) Grant licenses to and renew licenses of all persons who meet the qualifications for licensure, including those persons who apply for temporary licensure.

(6) Establish by rule and regulation continuing education standards required for license renewal.

(7) Evaluate certified records to determine whether an applicant for licensure who previously has been licensed, certified, or registered in another jurisdiction to practice speech/language pathology, audiology, or dispense hearing aids has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against such applicant for such acts or offenses.

(8) Refer all complaints from licensees and the public concerning persons licensed in this chapter or concerning practices of the Board or of the profession, to the Division for investigation pursuant to § 8735 of Title 29 and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint.

(9) Conduct hearings and issue orders in accordance with procedures established pursuant to Chapter 101 of Title 29.

(10) Where it has been determined after a hearing that penalties or sanctions should be imposed, to designate and impose the

appropriate sanction or penalty.

(11) Establish by rule and regulation a code of ethics for each professional specialty.

(12) Establish by rule and regulation standards for electronic equipment used for the purpose of measuring hearing, and require proof of calibration for such equipment annually.

(13) Establish requirements for licensed hearing aid dispenser and licensed audiologist to:

a. At the time of the initial examination for fitting and sale of a hearing aid, to notify the prospective purchaser or client of the operation and benefits of telecoil, also known as "t" coil, or "t" switch technology, in using a hearing aid with "hearing loop" technology.

b. Provide written information explaining telecoil and its uses, including increased access to telephones, and communication with businesses and in the community, and noninvasive access to assistive listening systems.

(14) Establish by rule and regulation standards for the sale of prescription hearing aids.

(b) No member may participate in any action of the Board involving directly or indirectly any person related in any way by blood or marriage to said member.

(c) The Board shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of speech/language pathology, audiology, or the dispensing of hearing aids.

(24 Del. C. 1953, § 3608; 59 Del. Laws, c. 206, § 1; 65 Del. Laws, c. 224, § 1; 72 Del. Laws, c. 266, § 1; 74 Del. Laws, c. 262, § 81; 80 Del. Laws, c. 315, § 1; 84 Del. Laws, c. 234, § 4; 84 Del. Laws, c. 418, § 1.)

Subchapter II

License [For application of this subchapter, see 84 Del. Laws, c. 418, § 2]

§ 3707 License required [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) No person may engage in the practice of speech/language pathology, audiology, or dispense hearing aids or hold oneself out to the public in this State as being qualified to act as a speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser, or otherwise assume or use any title or description conveying or tending to convey the impression that the person is qualified to practice speech/language pathology, audiology, or dispense hearing aids, unless such person has been duly licensed under this chapter.

(b) Whenever a license to practice as a speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser in this State has expired or been suspended or revoked, it is unlawful for the person to practice speech/language pathology, speech/language pathology assistant, audiology, or dispense hearing aids in this State.

(c) The Board may issue separate licenses in speech/language pathology, speech/language pathology assistant, audiology, and for hearing aid dispensers. A person may be licensed in more than 1 specialty if such person meets the requirements of each specialty for which the person has applied for licensure.

(24 Del. C. 1953, § 3603; 59 Del. Laws, c. 206, § 1; 63 Del. Laws, c. 151, § 4; 65 Del. Laws, c. 224, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 266, § 1; 84 Del. Laws, c. 418, § 1.)

§ 3708 Qualifications of applicant; report to Attorney General; judicial review [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) An applicant who is applying for licensure under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that such person:

(1) For licensure as a speech/language pathologist, has current certification of clinical competence issued by the American Speech-Language-Hearing Association (ASHA) or its successors.

a-d. [Repealed.]

(2) For licensure as an audiologist, has current certification of clinical competence issued by ASHA, or its successors, has been issued board certification from the American Board of Audiology, or its successors, or has met the following requirements:

a. Possession of a doctoral degree in audiology from an accredited college or university, except that audiologists licensed in Delaware prior to July 10, 2009, who have maintained Delaware licensure, are exempted from this requirement.

b. Successful completion of a national examination in the area of the applicant's specialty prepared by a national testing service approved by the Division.

c. [Repealed.]

(3) a. For licensure as a hearing aid dispenser, has met the current standards promulgated by the International Hearing Society or its successor. In addition, the applicant shall do all of the following:

1. Provide verification of a high school diploma or its equivalent.

2. Provide proof of successful completion of a national examination prepared by a national testing service and approved by the Division.

3. Complete 6 months of training prior to taking the examination. The Board in its rules and regulations shall establish the content of the training and the frequency of direct supervision during the training period.

b. Paragraphs (a)(3)a.1. and (a)(3)a.3. of this section shall not apply to applicants who are licensed audiologists.

(4) For licensure as a speech/language pathology assistant, has received a speech/language pathology assistant's certification (C-SLPA) through ASHA, or its successor, or another organization acceptable to the Board.

(b) All applicants must meet the following conditions:

(1) May not have been the recipient of any administrative penalties regarding the applicant's practice of speech/language pathology, audiology, or dispensing of hearing aids, including fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, or has not entered into any "consent agreements" which contain conditions placed by a Board on that applicant's professional conduct and practice, including any voluntary surrender of a license. The Board may determine whether such administrative penalty is grounds to deny licensure.

(2) May not have excessively used or abused drugs or have a finding of mental incompetence by a physician that would limit the applicant's ability to undertake that applicant's practice in a manner consistent with the safety of the public.

(3) Does not have a criminal conviction record, nor pending criminal charge relating to an offense that is substantially related to their licensed practice. Applicants who have criminal conviction records or pending criminal charges shall request appropriate authorities to provide information about the conviction or charge directly to the Board. However, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (b)(3). A waiver may not be granted for conviction of a felony sexual offense.

a-d. [Repealed.]

(4) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, has not been convicted of a felony sexual offense.

(5) Shall submit, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

b. A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification is the intermediary for purposes of this section and the Board of Speech/Language Pathologists, Audiologists, and Hearing Aid Dispensers is the screening point for the receipt of said federal criminal history records.

c. An applicant may not be licensed as a speech/language pathologist, speech/language pathology assistant,audiologist, or hearing aid dispenser until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction that is substantially related to the applicant's professional practice area may not be licensed by the Board unless a waiver is granted pursuant to paragraph (b)(3) of this section.

(c) Where the Board has found to its satisfaction that an applicant has been intentionally fraudulent or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(d) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification, has imposed higher or different standards for that person than for other applicants or licensees, or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(e) All individuals licensed to practice speech/language pathology, audiology, or hearing aid dispensing in this State are required to be fingerprinted by the State Bureau of Identification, at the licensee's expense, for the purposes of performing subsequent criminal background checks.

(24 Del. C. 1953, § 3605; 59 Del. Laws, c. 206, § 1; 63 Del. Laws, c. 151, § 6; 65 Del. Laws, c. 224, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 266, § 1; 74 Del. Laws, c. 262, § 82; 75 Del. Laws, c. 359, §§ 1, 2; 75 Del. Laws, c. 436, § 42; 77 Del. Laws, c. 154, §§ 3-11; 77 Del. Laws, c. 199, § 31; 78 Del. Laws, c. 44, §§ 60, 61; 79 Del. Laws, c. 277, § 12; 82 Del. Laws, c. 9, § 2; 83 Del. Laws, c. 37, § 26; 83 Del. Laws, c. 433, § 25; 84 Del. Laws, c. 234, § 5; 84 Del. Laws, c. 418, § 1; 84 Del. Laws, c. 514, § 46.)

§ 3709 Examination [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) The examination described in § 3708 (a)(2) and (a)(3)a.2. of this title shall be graded by the testing service providing the examinations. The passing score for all examinations shall be established by the testing agency.

(b), (c) [Repealed.]

(24 Del. C. 1953, § 3614; 59 Del. Laws, c. 206, § 1; 63 Del. Laws, c. 151, § 9; 65 Del. Laws, c. 224, § 1; 72 Del. Laws, c. 266, § 1; 77 Del. Laws, c. 154, § 12; 84 Del. Laws, c. 234, § 6; 84 Del. Laws, c. 418, § 1; 84 Del. Laws, c. 514, § 47.)

§ 3710 Reciprocity [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) Upon payment of the appropriate fee and submission and acceptance of an application, the Board shall grant a license to an applicant who presents proof of current licensure in good standing, as defined in § 3708(b) of this title, in another state, the District of Columbia, or territory of the United States whose standards for licensure are substantially similar to those of this State. An individual with a license from a state with less stringent requirements than those of this State may obtain a license through reciprocity if the individual can prove to the satisfaction of the Board that the individual has worked in another jurisdiction or jurisdictions in the field for which the individual is seeking a license in Delaware for a minimum of 5 years after licensure. All applicants shall submit evidence verified by oath that, in all states in which the applicant is or was licensed, the applicant's license is in good standing.

(b) Audiologists licensed prior to July 10, 2009, and who have maintained licensure, are exempted from the educational requirement set forth in § 3708(a)(2) of this title.

(c) An applicant for licensure as a speech/language pathologist who has received a degree from a foreign school, college, or university, shall have received a master's degree, or its equivalent, or a doctoral degree, or its equivalent, and shall submit an evaluation of professional education and training, prepared by a Board approved credentialing agency, and paid for by the applicant. The evaluation must provide evidence and documentation that the applicant's education is substantially equivalent to the education of a speech/language pathologist who graduated from a program approved for the educational preparation of speech/language pathologists by the appropriate accrediting agency recognized by the Board. An applicant for licensure as an audiologist, who has received a degree from a foreign school, college, or university, shall have received a doctoral degree or its equivalent, and shall submit an evaluation of professional education and training, prepared by a Board-approved credentialing agency, and paid for by the applicant. The evaluation must provide evidence and documentation that the applicant's education is substantially equivalent to the education of an audiologist who graduated from a program approved for the educational preparation of audiologists by the appropriate accrediting agency recognized by the Board.

(d) In the event that a disciplinary proceeding or unresolved complaint is pending at the time of application, the applicant shall report the final disposition of the matter to the Board within 20 days.

(72 Del. Laws, c. 266, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 154, §§ 13-15; 84 Del. Laws, c. 234, § 7; 84 Del. Laws, c. 418, § 1.)

§ 3711 Fees [For application of this section, see 84 Del. Laws, c. 418, § 2].

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division in its service on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee may be charged for a purpose not specified in this chapter. The application fee may not be combined with any other fee or charge. At the beginning of each licensure biennium, the Division, or any other state agency acting in its behalf, shall compute, for each separate service or activity, the appropriate Board fees for the licensure biennium.

(24 Del. C. 1953, § 3612; 59 Del. Laws, c. 206, § 1; 65 Del. Laws, c. 224, § 1; 65 Del. Laws, c. 380, § 6; 72 Del. Laws, c. 266, § 1; 84 Del. Laws, c. 418, § 1.)

§ 3712 Issuance and renewal of licenses [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) The Board shall issue a license to each applicant who meets the requirements of this chapter for licensure as a speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser and who pays the fee established under § 3711 of this title.

(b) Each license shall be renewed biennially, in such manner as is determined by the Division, and upon payment of the appropriate fee and attestation, as set forth in the Board's rules and regulations, that the licensee has met the continuing education requirements established by the Board. In addition, audiologists and hearing aid dispensers shall attest to calibration of electronic equipment used to assess hearing, as set forth in the Board's rules and regulations.

(c) The Board, in its rules and regulations, shall determine the period of time within which a licensee may still renew the licensee's license, notwithstanding the fact that such licensee has failed to renew on or before the renewal date, provided, however, that such period may not exceed 1 year.

(d) A licensee may be placed in an inactive status for no more than 5 years. Such person, who desires to reactivate that person's license, shall submit a request for reactivation and afee set by the Division, and submit proof of fulfillment of continuing education requirements in accordance with the rules and regulations of the Board.

(e) Audiologists licensed in Delaware prior to July 10, 2009, and who meet the renewal requirements set forth in this section and maintain Delaware licensure, are exempted from the educational requirement set forth in § 3708(a)(2)a.

(f) An applicant or licensee must notify the Division of a change in address or in any other information on the application, registration, or renewal within 30 days of the change.

(24 Del. C. 1953, § 3617; 59 Del. Laws, c. 206, § 1; 65 Del. Laws, c. 224, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 266, § 1; 77 Del. Laws, c. 154, § 16; 84 Del. Laws, c. 234, § 8; 84 Del. Laws, c. 418, § 1.)

§ 3713 Temporary license [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) The Board may issue a temporary license to practice speech/language pathology in this State to an applicant who completes the application and pays the temporary license fee; and who, in addition, has completed all academic and clinical practicum requirements in that applicant's specialty but who has not completed a clinical fellowship (cf). The application shall be accompanied by a copy of the Cf plan signed by a sponsor holding a valid state license as a speech/language pathologist.

(b) The temporary license issued to a speech/language pathologist shall expire at the end of 1 year from issuance. The temporary license may be renewed 3 times for a maximum of 48 months. The licensee must apply on a yearly basis for renewal of the temporary license. The request for renewal must be received prior to expiration of the temporary license.

(c) The Board may issue a temporary license to dispense prescription hearing aids to an applicant waiting to take the examination for licensure who completes the application and pays the application fee. The application must be accompanied by a statement from a Delaware-licensed audiologist or hearing aid dispenser who affirms that the licensed audiologist or hearing aid dispenser will provide

direct supervision and training of the applicant during the period of temporary licensure.

(65 Del. Laws, c. 224, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 266, § 1; 77 Del. Laws, c. 154, §§ 17, 18; 82 Del. Laws, c. 9, § 2; 84 Del. Laws, c. 234, § 9; 84 Del. Laws, c. 418, § 1.)

§ 3714 Complaints [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) All complaints shall be received and investigated by the Division in accordance with § 8735, Title 29, and the Division shall be responsible for issuing a final written report at the conclusion of its investigation.

(b) When it is determined that an individual is engaging or has engaged in the practice of speech/language pathology, audiology, or dispensing of hearing aids, or is using the title "speech/language pathologist," "audiologist," or "hearing aid dispenser" and is not licensed under the laws of this State, the Board shall apply to the Office of the Attorney General to issue a cease and desist order.

(65 Del. Laws, c. 224, § 1; 65 Del. Laws, c. 355, § 1; 72 Del. Laws, c. 266, § 1; 84 Del. Laws, c. 418, § 1.)

§ 3715 Grounds for discipline [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) A practitioner licensed under this chapter is subject to disciplinary actions set forth in § 3716 of this title if after a hearing, the Board finds that the speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser has done any of the following:

(1) Has employed or knowingly cooperated in fraud or material deception in order to acquire a license as a speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser; has impersonated another person holding a license, or has allowed another person to use that practitioner's license, or has aided or abetted a person not licensed as a speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser to be represented as a speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser.

(2) Has illegally, incompetently, or negligently practiced speech/language pathology, audiology, or hearing aid dispensing.

(3) Has been convicted of a crime that is substantially related to the practice of speech/language pathology, audiology, or the dispensing of hearing aids. A copy of the record of conviction certified by the clerk of the court entering the conviction is conclusive evidence therefor.

(4) Has excessively used or abused drugs.

(5) Has engaged in an act of consumer fraud or deception; engaged in the restraint of competition; or participated in price-fixing activities.

(6) Has violated a lawful provision of this chapter, or any lawful regulation established thereunder.

(7) Has had the practitioner's license as a speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every person licensed as a speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser in this State shall be deemed to have given consent to the release of this information by the Board of Speech/Language Pathologists, Audiologists, and Hearing Aid Dispensers or other comparable agencies in another jurisdiction and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses.

(8) Has failed to notify the Board that the practitioner's license as a speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser in another jurisdiction has been subject to discipline, or has been surrendered, suspended, or revoked. A certified copy of the record of disciplinary action, surrender, suspension, or revocation is conclusive evidence thereof.

(9) Has a physical condition such that the performance of speech/language pathology, audiology, or dispensing of hearing aids is or may be injurious or prejudicial to the public.

(b) Subject to the provisions of this chapter and subchapter IV of Chapter 101 of Title 29, no license may be restricted, suspended, or revoked by the Board, and no practitioner's right to practice speech/language pathology, audiology, or dispense hearing aids may be limited by the Board until such practitioner has been given notice, and an opportunity to be heard, in accordance with the Administrative Procedures Act [Chapter 101 of Title 29].

(24 Del. C. 1953, § 3618; 59 Del. Laws, c. 206, § 1; 65 Del. Laws, c. 224, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 266, § 1; 74 Del. Laws, c. 262, § 84; 75 Del. Laws, c. 436, § 43; 84 Del. Laws, c. 42, § 1; 84 Del. Laws, c. 234, § 10; 84 Del. Laws, c. 418, § 1.)

§ 3716 Disciplinary sanctions [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that one of the conditions or violations set forth in § 3715 of this title applies to a practitioner regulated by this chapter:

(1) Issue a letter of reprimand.

(2) [Repealed.]

(3) Place a practitioner on probationary status, and require the practitioner to:

a. Report regularly to the Board upon the matters that are the basis of the probation.

b. Limit all practice and professional activities to those areas prescribed by the Board.

(4) Suspend any practitioner's license.

(5) Revoke any practitioner's license.

(6) Impose a monetary penalty not to exceed $1,000 for each violation.

(7) The Board shall permanently revoke the license to practice speech/language pathology, audiology, or hearing aid dispensing of a person who is convicted of a felony sexual offense.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies that required such action have been remedied.

(c) Where a license has been suspended due to a disability of the licensee, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee is able to practice with reasonable skill and safety.

(d) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(e) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety, or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(72 Del. Laws, c. 266, § 1; 79 Del. Laws, c. 213, § 2; 79 Del. Laws, c. 277, § 12; 84 Del. Laws, c. 234, § 11; 84 Del. Laws, c. 418, § 1.)

§ 3717 Hearing procedures [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29 alleging violation of § 3715 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) [Repealed.]

(c) Where the practitioner is in disagreement with the action of the Board, the practitioner may appeal the Board's decision to the Superior Court within 30 days of the day that notice of the decision was mailed. Upon such appeal the Court shall hear the evidence on the record. Stays shall be granted in accordance with § 10144 of Title 29.

(24 Del. C. 1953, § 3619; 59 Del. Laws, c. 206, § 1; 63 Del. Laws, c. 151, §§ 10, 11; 65 Del. Laws, c. 224, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 266, § 1; 84 Del. Laws, c. 234, § 12; 84 Del. Laws, c. 418, § 1.)

§ 3718 Reinstatement of a suspended license; removal from probationary status [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension or the probation.

(b) Where a license or registration has been suspended due to the licensee's inability to practice pursuant to this chapter, the Board may reinstate such license if after a hearing, the Board is satisfied that the licensee is again able to perform the essential functions of a speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser, with or without reasonable accommodations, and there is no longer a significant risk of substantial harm to the health and safety of the individual or others.

(c) Applicants for reinstatement must pay the appropriate fees and submit documentation required by the Board as evidence that all the conditions of a suspension or probation have been met. Proof that the applicant has met the continuing education requirements of this chapter may also be required, as appropriate.

(d) [Repealed.]

(72 Del. Laws, c. 266, § 1; 82 Del. Laws, c. 8, § 17; 84 Del. Laws, c. 418, § 1.)

Subchapter III

Other Provisions [For application of this subchapter, see 84 Del. Laws, c. 418, § 2]

§ 3719 Exemptions [For application of this section, see 84 Del. Laws, c. 418, § 2].

Nothing in this chapter shall be construed to prevent:

(1) Any person from performing industrial hearing screenings under the supervision of a physician licensed in this State.

(2) Any person who is not licensed under this chapter from engaging in the practice of speech/language pathology or audiology in this State, provided that such services are practiced in cooperation with a person licensed under this chapter and shall be practiced for no more than 30 days in any calendar year. The speech/language pathologist or audiologist must meet the qualifications and requirements for application for licensure described in this chapter, or must hold a valid license from another state which has requirements equivalent to this chapter, or must hold a certificate of clinical competence in speech/language pathology or audiology issued by the American Speech, Language and Audiology Association.

(3) Any person who is licensed to practice as a speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser in any other state, district, or foreign country who, as a practicing speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser, from entering this State to consult with a licensed speech/language pathologist, speech/language pathology assistant, audiologist, or hearing aid dispenser of this State. Such consultation is limited to examination, recommendation, and testimony in litigation.

(4) Any student of an accredited school or college of speech/language pathology or audiology from receiving practical training under the personal supervision of a licensed speech/language pathologist or audiologist in this State.

(36 Del. Laws, c. 210, § 6; Code 1935, § 2736; 14 Del. C. 1953, § 3707; 71 Del. Laws, c. 180, § 167E; 72 Del. Laws, c. 266, § 1; 84 Del. Laws, c. 418, § 1.)

§ 3720 Practicing without a license; penalties [For application of this section, see 84 Del. Laws, c. 418, § 2].

(a) It is unlawful for a person who is not currently licensed as a speech/language pathologist, speech/language pathology assistant, audiologist, or dispenser of hearing aids under this chapter to do any of the following:

(1) Engage in the practice of speech/language pathology, audiology, or dispensing of hearing aids.

(2) Use in connection with that person’s name, or otherwise assume or use any title or description conveying, or tending to convey, the impression that the person is qualified to practice speech/language pathology, audiology, or dispense hearing aids.

(b) Anyone who violates subsection (a) of this section is guilty of a misdemeanor.

(1) For a first offense, the court may impose a fine of not less than $500 dollars nor more than $1,000 dollars for each offense.

(2) For a second or subsequent offense, the the court may impose a fine of not less than $1,000 nor more than $2,000 for each offense .

(c) The Justice of the Peace Court has jurisdiction over all violations of this chapter.

(24 Del. C. 1953, § 3622; 59 Del. Laws, c. 206, § 1; 65 Del. Laws, c. 224, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 266, § 1; 84 Del. Laws, c. 418, § 1.)

Chapter 37A
Audiology and Speech-Language Pathology Interstate Compact

§ 3701A Audiology and Speech-Language Pathology Interstate Compact.

The State hereby enters into the Audiology and Speech-Language Pathology Interstate Compact ("Compact") as set forth in this chapter. The text of the Compact is set forth in this chapter.

(83 Del. Laws, c. 396, § 1.)

§ 3702A Purpose.

The purpose of this Compact is to facilitate interstate practice of audiology and speech-language pathology with the goal of improving public access to audiology and speech-language pathology services. The practice of audiology and speech-language pathology occurs in the state where the patient/client/student is located at the time of the patient/client/student encounter. The Compact preserves the regulatory authority of states to protect public health and safety through the current system of state licensure.

This Compact is designed to achieve the following objectives:

(1) Increase public access to audiology and speech-language pathology services by providing for the mutual recognition of other member states.

(2) Enhance the states' ability to protect the public's health and safety.

(3) Encourage the cooperation of member states in regulating multistate audiology and speech-language pathology practice.

(4) Support spouses of relocating active duty military personnel.

(5) Enhance the exchange of licensure, investigative, and disciplinary information between member states.

(6) Allow a remote state to hold a provider of services with a compact privilege in that state accountable to that state's practice standards.

(7) Allow for the use of telehealth technology to facilitate increased access to audiology and speech-language pathology services.

(83 Del. Laws, c. 396, § 1.)

§ 3703A Definitions.

As used in this Compact, and except as otherwise provided, the following definitions shall apply:

(1) "Active duty military" means full-time duty status in the active uniformed service of the United States, including members of the National Guard and Reserve on active duty orders pursuant to 10 U.S.C. Chapter 1209 [10 U.S.C. § 12301 et seq.] and 10 U.S.C. Chapter 1211 [10 U.S.C. § 12401 et seq.].

(2) "Adverse action" means any administrative, civil, equitable or criminal action permitted by a state's laws which is imposed by a licensing board or other authority against an audiologist or speech-language pathologist, including actions against an individual's license or privilege to practice such as revocation, suspension, probation, monitoring of the licensee, or restriction on the licensee's practice.

(3) "Alternative program" means a nondisciplinary monitoring process approved by an audiology or speech-language pathology licensing board to address impaired practitioners.

(4) "Audiologist" means an individual who is licensed by a state to practice audiology.

(5) "Audiology" means the care and services provided by a licensed audiologist as set forth in the member state's statutes and rules.

(6) "Audiology and Speech-Language Pathology Compact Commission" or "Commission" means the national administrative body whose membership consists of all states that have enacted the Compact.

(7) "Audiology and speech-language pathology licensing board," "audiology licensing board," "speech-language pathology licensing board," or "licensing board" means the agency of a state that is responsible for the licensing and regulation of audiologists and/or speech-language pathologists.

(8) "Compact privilege" means the authorization granted by a remote state to allow a licensee from another member state to practice as an audiologist or speech-language pathologist in the remote state under its laws and rules. The practice of audiology or speech-language pathology occurs in the member state where the patient/client/student is located at the time of the patient/client/student encounter.

(9) "Current significant investigative information" means investigative information that a licensing board, after an inquiry or investigation that includes notification and an opportunity for the audiologist or speech-language pathologist to respond, if required by state law, has reason to believe is not groundless and, if proved true, would indicate more than a minor infraction.

(10) "Data system" means a repository of information about licensees, including continuing education, examination, licensure, investigative, compact privilege, and adverse action.

(11) "Encumbered license" means a license in which an adverse action restricts the practice of audiology or speech-language pathology by the licensee and said adverse action has been reported to the National Practitioners Data Bank (NPDB).

(12) "Executive Committee" means a group of directors elected or appointed to act on behalf of, and within the powers granted to them by, the Commission.

(13) "Home state" means the member state that is the licensee's primary state of residence.

(14) “Impaired practitioner” means individuals whose professional practice is adversely affected by substance abuse, addiction, or other health-related conditions.

(15) “Licensee” means an individual who currently holds an authorization from the state licensing board to practice as an audiologist or speech-language pathologist.

(16) “Member state” means a state that has enacted the Compact.

(17) “Privilege to practice” means a legal authorization permitting the practice of audiology or speech-language pathology in a remote state.

(18) “Remote state” means a member state other than the home state where a licensee is exercising or seeking to exercise the compact privilege.

(19) “Rule” means a regulation, principle, or directive promulgated by the Commission that has the force of law.

(20) “Single-state license” means an audiology or speech-language pathology license issued by a member state that authorizes practice only within the issuing state and does not include a privilege to practice in any other member state.

(21) “Speech-language pathologist” means an individual who is licensed by a state to practice speech-language pathology.

(22) “Speech-language pathology” means the care and services provided by a licensed speech-language pathologist as set forth in the member state’s statutes and rules.

(23) “State” means any state, commonwealth, district, or territory of the United States of America that regulates the practice of audiology and speech-language pathology.

(24) “State practice laws” means a member state’s laws, rules and regulations that govern the practice of audiology or speech-language pathology, define the scope of audiology or speech-language pathology practice, and create the methods and grounds for imposing discipline.

(25) “Telehealth” means the application of telecommunication, audio-visual, or other technology that meets the applicable standard of care to deliver audiology or speech-language pathology services at a distance for assessment, intervention and/or consultation.

(83 Del. Laws, c. 396, § 1.)

§ 3704A State participation in the Compact.

(a) A license issued to an audiologist or speech-language pathologist by a home state to a resident in that state shall be recognized by each member state as authorizing an audiologist or speech-language pathologist to practice audiology or speech-language pathology, under a privilege to practice, in each member state where the licensee obtains such a privilege.

(b) A state must implement or utilize procedures for considering the criminal history records of applicants for initial privilege to practice. These procedures shall include the submission of fingerprints or other biometric-based information by applicants for the purpose of obtaining an applicant’s criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state’s criminal records:

(1) A member state must fully implement a criminal background check requirement, within a time frame established by rule, by receiving the results of the Federal Bureau of Investigation record search on criminal background checks and use the results in making licensure decisions.

(2) Communication between a member state, the Commission and among member states regarding the verification of eligibility for licensure through the Compact shall not include any information received from the Federal Bureau of Investigation relating to a federal criminal records check performed by a member state under U.S. Public Law 92-544.

(c) Upon application for a privilege to practice, the licensing board in the issuing remote state shall ascertain, through the data system, whether the applicant has ever held, or is the holder of, a license issued by any other state, whether there are any encumbrances on any license or privilege to practice held by the applicant, and whether any adverse action has been taken against any license or privilege to practice held by the applicant.

(d) Each member state shall require an applicant to obtain or retain a license in the home state and meet the home state’s qualifications for licensure or renewal of licensure, as well as all other applicable state laws.

(e) For an audiologist:

(1) Must meet 1 of the following educational requirements:

a. On or before, Dec. 31, 2007, has graduated with a master’s degree or doctorate in audiology, or equivalent degree regardless of degree name, from a program that is accredited by an accrediting agency recognized by the Council for Higher Education Accreditation, or its successor, or by the United States Department of Education and operated by a college or university accredited by a regional or national accrediting organization recognized by the board.

b. On or after, Jan. 1, 2008, has graduated with a doctoral degree in audiology, or equivalent degree, regardless of degree name, from a program that is accredited by an accrediting agency recognized by the Council for Higher Education Accreditation, or its successor, or by the United States Department of Education and operated by a college or university accredited by a regional or national accrediting organization recognized by the board.

c. Has graduated from an audiology program that is housed in an institution of higher education outside of the United States (i) for which the program and institution have been approved by the authorized accrediting body in the applicable country and (ii) the degree program has been verified by an independent credentials review agency to be comparable to a state licensing board-approved

program.

(2) Has completed a supervised clinical practicum experience from an accredited educational institution or its cooperating programs as required by the Commission.

(3) Has successfully passed a national examination approved by the Commission;

(4) Holds an active, unencumbered license.

(5) Has not been convicted or found guilty, and has not entered into an agreed disposition, of a felony related to the practice of audiology, under applicable state or federal criminal law.

(6) Has a valid United States Social Security or National Practitioner Identification number.

(f) For a speech-language pathologist:

(1) Must meet 1 of the following educational requirements:

a. Has graduated with a master's degree from a speech-language pathology program that is accredited by an organization recognized by the United States Department of Education and operated by a college or university accredited by a regional or national accrediting organization recognized by the board.

b. Has graduated from a speech-language pathology program that is housed in an institution of higher education outside of the United States (i) for which the program and institution have been approved by the authorized accrediting body in the applicable country and (ii) the degree program has been verified by an independent credentials review agency to be comparable to a state licensing board-approved program.

(2) Has completed a supervised clinical practicum experience from an educational institution or its cooperating programs as required by the Commission.

(3) Has completed a supervised postgraduate professional experience as required by the Commission.

(4) Has successfully passed a national examination approved by the Commission.

(5) Holds an active, unencumbered license.

(6) Has not been convicted or found guilty, and has not entered into an agreed disposition, of a felony related to the practice of speech-language pathology, under applicable state or federal criminal law.

(7) Has a valid United States Social Security or National Practitioner Identification number.

(g) The privilege to practice is derived from the home state license.

(h) An audiologist or speech-language pathologist practicing in a member state must comply with the state practice laws of the state in which the client is located at the time service is provided. The practice of audiology and speech-language pathology shall include all audiology and speech-language pathology practice as defined by the state practice laws of the member state in which the client is located. The practice of audiology and speech-language pathology in a member state under a privilege to practice shall subject an audiologist or speech-language pathologist to the jurisdiction of the licensing board, the courts, and the laws of the member state in which the client is located at the time service is provided.

(i) Individuals not residing in a member state shall continue to be able to apply for a member state's single-state license as provided under the laws of each member state. However, the single-state license granted to these individuals shall not be recognized as granting the privilege to practice audiology or speech-language pathology in any other member state. Nothing in this Compact shall affect the requirements established by a member state for the issuance of a single-state license.

(j) Member states may charge a fee for granting a compact privilege.

(k) Member states must comply with the bylaws and rules and regulations of the Commission.

(83 Del. Laws, c. 396, § 1.)

§ 3705A Compact privilege.

(a) To exercise the compact privilege under the terms and provisions of the Compact, the audiologist or speech-language pathologist shall:

(1) Hold an active license in the home state.

(2) Have no encumbrance on any state license.

(3) Be eligible for a compact privilege in any member state in accordance with § 3704A of this title.

(4) Have not had any adverse action against any license or compact privilege within the previous 2 years from date of application.

(5) Notify the Commission that the licensee is seeking the compact privilege within a remote state(s).

(6) Pay any applicable fees, including any state fee, for the compact privilege.

(7) Report to the Commission adverse action taken by any non-member state within 30 days from the date the adverse action is taken.

(b) For the purposes of the compact privilege, an audiologist or speech-language pathologist shall only hold 1 home state license at a time.

(c) Except as provided in § 3707A of this title, if an audiologist or speech-language pathologist changes primary state of residence by moving between 2 member states, the audiologist or speech-language pathologist must apply for licensure in the new home state, and the license issued by the prior home state shall be deactivated in accordance with applicable rules adopted by the Commission.

(d) The audiologist or speech-language pathologist may apply for licensure in advance of a change in primary state of residence.

(e) A license shall not be issued by the new home state until the audiologist or speech-language pathologist provides satisfactory

evidence of a change in primary state of residence to the new home state and satisfies all applicable requirements to obtain a license from the new home state.

(f) If an audiologist or speech-language pathologist changes primary state of residence by moving from a member state to a non-member state, the license issued by the prior home state shall convert to a single-state license, valid only in the former home state, and the privilege to practice in any member state is deactivated in accordance with the rules promulgated by the Commission.

(g) The compact privilege is valid until the expiration date of the home state license. The licensee must comply with the requirements of subsection (a) of this section to maintain the compact privilege in the remote state.

(h) A licensee providing audiology or speech-language pathology services in a remote state under the compact privilege shall function within the laws and regulations of the remote state.

(i) A licensee providing audiology or speech-language pathology services in a remote state is subject to that state's regulatory authority. A remote state may, in accordance with due process and that state's laws, remove a licensee's compact privilege in the remote state for a specific period of time, impose fines, and/or take any other necessary actions to protect the health and safety of its citizens.

(j) If a home state license is encumbered, the licensee shall lose the compact privilege in any remote state until all of the following occur:

(1) The home state license is no longer encumbered.

(2) Two years have elapsed from the date of the adverse action.

(k) Once an encumbered license in the home state is restored to good standing, the licensee must meet the requirements of subsection (a) of this section to obtain a compact privilege in any remote state.

(*l*) Once the requirements of subsection (j) of this section have been met, the licensee must meet the requirements in subsection (a) of this section to obtain a compact privilege in a remote state.

(83 Del. Laws, c. 396, § 1.)

§ 3706A Compact privilege to practice telehealth.

Member states shall recognize the right of an audiologist or speech-language pathologist, licensed by a home state in accordance with § 3704A of this title and under rules promulgated by the Commission, to practice audiology or speech-language pathology in any member state via telehealth under a privilege to practice as provided in the Compact and rules promulgated by the Commission.

A licensee providing audiology or speech-language pathology services in a remote state under the compact privilege shall function within the laws and regulations of the state where the patient/client is located.

(83 Del. Laws, c. 396, § 1.)

§ 3707A Active duty military personnel or their spouses.

Active duty military personnel, or their spouse, shall designate a home state where the individual has a current license in good standing. The individual may retain the home state designation during the period the service member is on active duty. Subsequent to designating a home state, the individual shall only change their home state through application for licensure in the new state.

(83 Del. Laws, c. 396, § 1.)

§ 3708A Adverse actions.

(a) In addition to the other powers conferred by state law, a remote state shall have the authority, in accordance with existing state due process law, to:

(1) Take adverse action against an audiologist's or speech-language pathologist's privilege to practice within that member state.

(2) Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing board in a member state for the attendance and testimony of witnesses or the production of evidence from another member state shall be enforced in the latter state by any court of competent jurisdiction, according to the practice and procedure of that court applicable to subpoenas issued in proceedings pending before it. The issuing authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the state in which the witnesses or evidence are located.

(3) Only the home state shall have the power to take adverse action against a audiologist's or speech-language pathologist's license issued by the home state.

(b) For purposes of taking adverse action, the home state shall give the same priority and effect to reported conduct received from a member state as it would if the conduct had occurred within the home state. In so doing, the home state shall apply its own state laws to determine appropriate action.

(c) The home state shall complete any pending investigations of an audiologist or speech-language pathologist who changes primary state of residence during the course of the investigations. The home state shall also have the authority to take appropriate action(s) and shall promptly report the conclusions of the investigations to the administrator of the data system. The administrator of the data system shall promptly notify the new home state of any adverse actions.

(d) If otherwise permitted by state law, the member state may recover from the affected audiologist or speech-language pathologist the costs of investigations and disposition of cases resulting from any adverse action taken against that audiologist or speech-language pathologist.

(e) The member state may take adverse action based on the factual findings of the remote state, provided that the member state follows

the member state's own procedures for taking the adverse action.

(f) *Joint investigations.* — (1) In addition to the authority granted to a member state by its respective audiology or speech-language pathology practice act or other applicable state law, any member state may participate with other member states in joint investigations of licensees.

(2) Member states shall share any investigative, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under the Compact.

(g) If adverse action is taken by the home state against an audiologist's or speech language pathologist's license, the audiologist's or speech-language pathologist's privilege to practice in all other member states shall be deactivated until all encumbrances have been removed from the state license. All home state disciplinary orders that impose adverse action against an audiologist's or speech language pathologist's license shall include a statement that the audiologist's or speech-language pathologist's privilege to practice is deactivated in all member states during the pendency of the order.

(h) If a member state takes adverse action against a licensee, it shall promptly notify the administrator of the data system. The administrator of the data system shall promptly notify the home state and any remote states in which the licensee has a privilege to practice, of any adverse actions by the home state or remote states.

(i) Nothing in this Compact shall override a member state's decision that participation in an alternative program may be used in lieu of adverse action.

(83 Del. Laws, c. 396, § 1.)

§ 3709A Establishment of the Audiology and Speech-Language Pathology Compact Commission.

(a) The Compact member states hereby create and establish a joint public agency known as the Audiology and Speech-Language Pathology Compact Commission:

(1) The Commission is an instrumentality of the Compact states.

(2) Venue is proper and judicial proceedings by or against the Commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings.

(3) Nothing in this Compact shall be construed to be a waiver of sovereign immunity.

(b) Membership, voting and meetings:

(1) Each member state shall have 2 delegates selected by that member state's licensing board. The delegates shall be current members of the licensing board. One shall be an audiologist and 1 shall be a speech-language pathologist.

(2) An additional 5 delegates, who are either a public member or board administrator from a state licensing board, shall be chosen by the Executive Committee from a pool of nominees provided by the Commission at Large.

(3) Any delegate may be removed or suspended from office as provided by the law of the state from which the delegate is appointed.

(4) The member state board shall fill any vacancy occurring on the Commission, within 90 days.

(5) Each delegate shall be entitled to 1 vote with regard to the promulgation of rules and creation of bylaws and shall otherwise have an opportunity to participate in the business and affairs of the Commission.

(6) A delegate shall vote in person or by other means as provided in the bylaws. The bylaws may provide for delegates' participation in meetings by telephone or other means of communication.

(7) The Commission shall meet at least once during each calendar year. Additional meetings shall be held as set forth in the bylaws.

(c) The Commission shall have the following powers and duties:

(1) Establish the fiscal year of the Commission.

(2) Establish bylaws.

(3) Establish a code of ethics.

(4) Maintain its financial records in accordance with the bylaws.

(5) Meet and take actions as are consistent with the provisions of this Compact and the bylaws.

(6) Promulgate uniform rules to facilitate and coordinate implementation and administration of this Compact. The rules shall have the force and effect of law and shall be binding in all member states to the extent and in the manner provided for in the Compact.

(7) Bring and prosecute legal proceedings or actions in the name of the Commission, provided that the standing of any state audiology or speech-language pathology licensing board to sue or be sued under applicable law shall not be affected.

(8) Purchase and maintain insurance and bonds.

(9) Borrow, accept, or contract for services of personnel, including employees of a member state.

(10) Hire employees, elect or appoint officers, fix compensation, define duties, grant individuals appropriate authority to carry out the purposes of the Compact, and to establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters.

(11) Accept any and all appropriate donations and grants of money, equipment, supplies, materials and services, and to receive, utilize, and dispose of the same; provided that at all times the Commission shall avoid any appearance of impropriety and/or conflict of interest.

(12) Lease, purchase, accept appropriate gifts or donations of, or otherwise to own, hold, improve, or use, any property, real, personal

or mixed; provided that at all times the Commission shall avoid any appearance of impropriety.

(13) Sell convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property real, personal, or mixed.

(14) Establish a budget and make expenditures.

(15) Borrow money.

(16) Appoint committees, including standing committees composed of members, and other interested persons as may be designated in this Compact and the bylaws.

(17) Provide and receive information from, and cooperate with, law enforcement agencies.

(18) Establish and elect an Executive Committee.

(19) Perform other functions as may be necessary or appropriate to achieve the purposes of this Compact consistent with the state regulation of audiology and speech-language pathology licensure and practice.

(20) The Commission shall have no authority to change or modify the laws of the member states which define the practice of audiology and speech-language pathology in the respective states.

(d) *The Executive Committee. —*

The Executive Committee shall have the power to act on behalf of the Commission, within the powers of the Commission, according to the terms of this Compact:

(1) The Executive Committee shall be composed of 10 members:

a. Seven voting members who are elected by the Commission from the current membership of the Commission.

b. Two ex-officio members, consisting of 1 nonvoting member from a recognized national audiology professional association and 1 nonvoting member from a recognized national speech-language pathology association.

c. One ex-officio, nonvoting member from the recognized membership organization of the audiology and speech-language pathology licensing boards.

d. The ex-officio members shall be selected by their respective organizations.

(2) The Commission may remove any member of the Executive Committee as provided in bylaws.

(3) The Executive Committee shall meet at least annually.

(4) The Executive Committee shall have the following duties and responsibilities:

a. Recommend to the entire Commission changes to the rules or bylaws, changes to this Compact legislation, fees paid by Compact member states such as annual dues, and any commission Compact fee charged to licensees for the compact privilege.

b. Ensure Compact administration services are appropriately provided, contractual or otherwise.

c. Prepare and recommend the budget.

d. Maintain financial records on behalf of the Commission.

e. Monitor Compact compliance of member states and provide compliance reports to the Commission.

f. Establish additional committees as necessary.

g. Other duties as provided in rules or bylaws.

(e) *Meetings of the Commission or the Executive Committee. —*

All meetings shall be open to the public, and public notice of meetings shall be given in the same manner as required under the rulemaking provisions in § 3711A of this title.

(f) The Commission or the Executive Committee or other committees of the Commission may convene in a closed, nonpublic meeting if the Commission or Executive Committee or other committees of the Commission must discuss:

(1) Noncompliance of a member state with its obligations under the Compact.

(2) The employment, compensation, discipline or other matters, practices or procedures related to specific employees or other matters related to the Commission's internal personnel practices and procedures.

(3) Current, threatened, or reasonably anticipated litigation.

(4) Negotiation of contracts for the purchase, lease, or sale of goods, services, or real estate.

(5) Accusing any person of a crime or formally censuring any person.

(6) Disclosure of trade secrets or commercial or financial information that is privileged or confidential.

(7) Disclosure of information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy.

(8) Disclosure of investigative records compiled for law-enforcement purposes.

(9) Disclosure of information related to any investigative reports prepared by or on behalf of or for use of the Commission or other committee charged with responsibility of investigation or determination of compliance issues pursuant to the Compact.

(10) Matters specifically exempted from disclosure by federal or member state statute.

(g) If a meeting, or portion of a meeting, is closed pursuant to this provision, the Commission's legal counsel or designee shall certify that the meeting may be closed and shall reference each relevant exempting provision.

(h) The Commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, and the reasons therefore, including a description of the views expressed. All documents considered in connection with an action shall be identified in minutes. All minutes and documents of meetings other than closed meetings shall be made

available to members of the public upon request at the requesting person's expense. All minutes and documents of a closed meeting shall remain under seal, subject to release by a majority vote of the Commission or order of a court of competent jurisdiction.

(i) *Financing of the Commission.* —

(1) The Commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.

(2) The Commission may accept any and all appropriate revenue sources, donations, and grants of money, equipment, supplies, materials, and services.

(3) The Commission may levy on and collect an annual assessment from each member state or impose fees on other parties to cover the cost of the operations and activities of the Commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount shall be allocated based upon a formula to be determined by the Commission, which shall promulgate a rule binding upon all member states.

(j) The Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the Commission pledge the credit of any of the member states, except by and with the authority of the member state.

(k) The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission shall be subject to the audit and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Commission shall be audited yearly by a certified or licensed public accountant, and the report of the audit shall be included in and become part of the annual report of the Commission.

(*l*) *Qualified immunity, defense, and indemnification.* —

(1) The members, officers, executive director, employees, and representatives of the Commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties or responsibilities; provided that nothing in this paragraph (*l*)(1) shall be construed to protect any person from suit or liability for any damage, loss, injury, or liability caused by the intentional or wilful or wanton misconduct of that person.

(2) The Commission shall defend any member, officer, executive director, employee, or representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining counsel; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or wlful or wanton misconduct.

(3) The Commission shall indemnify and hold harmless any member, officer, executive director, employee, or representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or wilful or wanton misconduct of that person.

(83 Del. Laws, c. 396, § 1; 70 Del. Laws, c. 186, § 1; 84 Del. Laws, c. 42, § 1.)

§ 3710A Data system.

(a) The Commission shall provide for the development, maintenance, and utilization of a coordinated database and reporting system containing licensure, adverse action, and investigative information on all licensed individuals in member states.

(b) Notwithstanding any other provision of state law to the contrary, a member state shall submit a uniform data set to the data system on all individuals to whom this Compact is applicable as required by the rules of the Commission, including:

(1) Identifying information.

(2) Licensure data.

(3) Adverse actions against a license or compact privilege.

(4) Nonconfidential information related to alternative program participation.

(5) Any denial of application for licensure, and the reason(s) for denial.

(6) Other information that may facilitate the administration of this Compact, as determined by the rules of the Commission.

(c) Investigative information pertaining to a licensee in any member state shall only be available to other member states.

(d) The Commission shall promptly notify all member states of any adverse action taken against a licensee or an individual applying for a license. Adverse action information pertaining to a licensee in any member state shall be available to any other member state.

(e) Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state.

(f) Any information submitted to the data system that is subsequently required to be expunged by the laws of the member state contributing the information shall be removed from the data system.

(83 Del. Laws, c. 396, § 1.)

§ 3711A Rulemaking.

(a) The Commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Rules and amendments shall become binding as of the date specified in each rule or amendment.

(b) If a majority of the legislatures of the member states rejects a rule, by enactment of a statute or resolution in the same manner used to adopt the Compact within 4 years of the date of adoption of the rule, the rule shall have no further force and effect in any member state.

(c) Rules or amendments to the rules shall be adopted at a regular or special meeting of the Commission.

(d) Prior to promulgation and adoption of a final rule or rules by the Commission, and at least 30 days in advance of the meeting at which the rule shall be considered and voted upon, the Commission shall file a notice of proposed rulemaking:

(1) On the website of the Commission or other publicly accessible platform.

(2) On the website of each member state audiology or speech-language pathology licensing board or other publicly accessible platform or the publication in which each state would otherwise publish proposed rules.

(e) The notice of proposed rulemaking shall include:

(1) The proposed time, date, and location of the meeting in which the rule shall be considered and voted upon.

(2) The text of the proposed rule or amendment and the reason for the proposed rule.

(3) A request for comments on the proposed rule from any interested person.

(4) The manner in which interested persons may submit notice to the Commission of their intention to attend the public hearing and any written comments.

(f) Prior to the adoption of a proposed rule, the Commission shall allow persons to submit written data, facts, opinions, and arguments, which shall be made available to the public.

(g) The Commission shall grant an opportunity for a public hearing before it adopts a rule or amendment if a hearing is requested by:

(1) At least 25 persons;

(2) A state or federal governmental subdivision or agency; or

(3) An association having at least 25 members.

(h) If a hearing is held on the proposed rule or amendment, the Commission shall publish the place, time, and date of the scheduled public hearing. If the hearing is held via electronic means, the Commission shall publish the mechanism for access to the electronic hearing.

(1) All persons wishing to be heard at the hearing shall notify the Executive Director of the Commission or other designated member in writing of their desire to appear and testify at the hearing not less than 5 business days before the scheduled date of the hearing.

(2) Hearings shall be conducted in a manner providing each person who wishes to comment a fair and reasonable opportunity to comment orally or in writing.

(3) All hearings shall be recorded. A copy of the recording shall be made available to any person upon on request and at the requesting person’s expense.

(4) Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the Commission at hearings required by this section.

(i) Following the scheduled hearing date, or by the close of business on the scheduled hearing date if the hearing was not held, the Commission shall consider all written and oral comments received.

(j) If no written notice of intent to attend the public hearing by interested parties is received, the Commission may proceed with promulgation of the proposed rule without a public hearing.

(k) The Commission shall, by majority vote of all members, take final action on the proposed rule and shall determine the effective date of the rule, if any, based on the rulemaking record and the full text of the rule.

(*l*) Upon determination that an emergency exists, the Commission may consider and adopt an emergency rule without prior notice, opportunity for comment, or hearing, provided that the usual rulemaking procedures provided in the Compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an “emergency rule” is 1 that must be adopted immediately in order to:

(1) Meet an imminent threat to public health, safety, or welfare;

(2) Prevent a loss of Commission or member state funds; or

(3) Meet a deadline for the promulgation of an administrative rule that is established by federal law or rule.

(m) The Commission or an authorized committee of the Commission may direct revisions to a previously adopted rule or amendment for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the Commission. The revision shall be subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing and delivered to the chair of the Commission prior to the end of the notice period. If no challenge is made, the revision shall take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.

(83 Del. Laws, c. 396, § 1.)

§ 3712A Oversight, dispute resolution, and enforcement.

(a) *Dispute resolution. —*

(1) Upon request by a member state, the Commission shall attempt to resolve disputes related to the Compact that arise among member states and between member and non-member states.

(2) The Commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes as appropriate.

(b) *Enforcement. —*

(1) The Commission, in the reasonable exercise of its discretion, shall enforce the provisions and rules of this Compact.

(2) By majority vote, the Commission may initiate legal action in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices against a member state in default to enforce compliance with the provisions of the Compact and its promulgated rules and bylaws. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing member shall be awarded all costs of litigation, including reasonable attorneys' fees.

(3) The remedies herein shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or state law.

(83 Del. Laws, c. 396, § 1.)

§ 3713A Date of implementation of the Interstate Commission for Audiology and Speech-Language Pathology Practice and associated rules, withdrawal, and amendment.

(a) The Compact shall come into effect on the date on which the Compact statute is enacted into law in the tenth member state. The provisions, which become effective at that time, shall be limited to the powers granted to the Commission relating to assembly and the promulgation of rules. Thereafter, the Commission shall meet and exercise rulemaking powers necessary to the implementation and administration of the Compact.

(b) Any state that joins the Compact subsequent to the Commission's initial adoption of the rules shall be subject to the rules as they exist on the date on which the Compact becomes law in that state. Any rule that has been previously adopted by the Commission shall have the full force and effect of law on the day the Compact becomes law in that state.

(c) Any member state may withdraw from this Compact by enacting a statute repealing the same.

(1) A member state's withdrawal shall not take effect until 6 months after enactment of the repealing statute.

(2) Withdrawal shall not affect the continuing requirement of the withdrawing state's audiology or speech-language pathology licensing board to comply with the investigative and adverse action reporting requirements of this Compact prior to the effective date of withdrawal.

(d) Nothing contained in this Compact shall be construed to invalidate or prevent any audiology or speech-language pathology licensure agreement or other cooperative arrangement between a member state and a non-member state that does not conflict with the provisions of this Compact.

(e) This Compact may be amended by the member states. No amendment to this Compact shall become effective and binding upon any member state until it is enacted into the laws of all member states.

(83 Del. Laws, c. 396, § 1.)

§ 3714A Construction and severability.

This Compact shall be liberally construed so as to effectuate the purposes thereof. The provisions of this Compact shall be severable and if any phrase, clause, sentence, or provision of this Compact is declared to be contrary to the constitution of any member state or of the United States or the applicability thereof to any government, agency, person, or circumstance is held invalid, the validity of the remainder of this Compact and the applicability thereof to any government, agency, person, or circumstance shall not be affected thereby. If this Compact shall be held contrary to the constitution of any member state, the Compact shall remain in full force and effect as to the remaining member states and in full force and effect as to the member state affected as to all severable matters.

(83 Del. Laws, c. 396, § 1.)

§ 3715A Binding effect of Compact and other laws.

(a) Nothing herein prevents the enforcement of any other law of a member state that is not inconsistent with the Compact.

(b) All laws in a member state in conflict with the Compact are superseded to the extent of the conflict.

(c) All lawful actions of the Commission, including all rules and bylaws promulgated by the Commission, are binding upon the member states.

(d) All agreements between the Commission and the member states are binding in accordance with their terms.

(e) In the event any provision of the Compact exceeds the constitutional limits imposed on the legislature of any member state, the provision shall be ineffective to the extent of the conflict with the constitutional provision in question in that member state.

(83 Del. Laws, c. 396, § 1.)

Chapter 38
DIETITIAN/NUTRITIONIST LICENSURE ACT

§ 3801 Statement of purpose.

The intent of this chapter is to establish minimum standards of education, experience and examination for professional dietitians/nutritionists so that the public can readily identify those who meet these minimum standards. It is also the intent of this chapter to provide a licensure process for professional dietitians/nutritionists, a scope of practice for dietetic and nutrition therapy, and to establish "Licensed Dietitian/Nutritionist" as the state-recognized legal title for professional dietitians/nutritionists. It is also the intent of this chapter to assure consumers the right to choose from whom they receive information and advice. Recognition of these goals will protect the health of the public by broadening access to appropriate dietetic and nutrition therapy.

(69 Del. Laws, c. 306, § 1; 76 Del. Laws, c. 49, § 1.)

§ 3802 Definitions.

The following words, terms and phrases, when used in this chapter, shall have the meanings ascribed to them in this chapter, except where the context clearly indicates a different meaning:

(1) "Board" shall mean the State Board of Dietetics/Nutrition.

(2) "Dietetic and nutrition therapy" shall mean the scope of services utilized in the delivery of preventive nutrition services and nutrition therapy. It involves an assessment of the individual's specific nutritional needs and the development and implementation of an intervention plan. The intervention plan can include nutrition education, counseling, administration and monitoring of specialized nutrition support and referrals for additional services. This application and practice of "dietetic and nutrition therapy" shall include the following Scope of Practice:

Scope of Practice:

(a) Nutrition assessment to include the establishment of nutritional care plans, including the development of nutritional related priorities, goals and objectives.

(b) Provision of nutrition counseling or education as components of preventive, and restorative health care.

(c) Evaluation and maintenance of appropriate standards of quality in food and nutrition.

(d) Evaluation and education of nutrient-drug interactions.

(e) Interpreting and recommending interventions to meet nutrient needs relative to individual health status, including but not limited to medically prescribed diets, tube feedings and specialized intravenous solutions.

(f) Development, administration, evaluation and consultation regarding nutritional care standards.

(g) Conduct independent research or collaborate in research areas including, but not limited to food and pharmaceutical companies, universities and hospitals by directing or conducting experiments to answer critical nutrition and food science questions and develop nutrition recommendations for the public.

(h) Direct supervision of registered dietetic technicians.

(3) "Dietetics/nutrition" shall mean the integration and application of principles derived from the sciences of food, nutrition, biochemistry, physiology and behavior as an integral part of health-care delivery to achieve and maintain a person's health throughout the life cycle. Its application to health care is both preventive and in response to an illness, injury or condition. The application of dietetics/nutrition to health care shall be called "dietetic and nutrition therapy." The terms "dietetics" and "nutrition" are used interchangeably in this chapter.

(4) "Dietitian" and/or "nutritionist" shall mean a person who engages in the provision of nutrition services. The terms "nutritionist" and "dietitian" are used interchangeably in this chapter.

(5) "L.D.N." shall be the abbreviation for the title "licensed dietitian/nutritionist".

(6) "License" shall mean any document which indicates that a person is currently licensed by the Board of Dietetics/Nutrition.

(7) "Licensed dietitian/nutritionist" shall mean a person holding a current license under this chapter.

(8) "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the provision of dietetics/nutrition therapy services.

(69 Del. Laws, c. 306, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 85; 76 Del. Laws, c. 49, § 1; 80 Del. Laws, c. 80, § 19; 82 Del. Laws, c. 261, §§ 14, 16; 83 Del. Laws, c. 52, § 18.)

§ 3803 Board of Dietetics/Nutrition; appointment; composition; qualifications; term of office; suspension or removal; compensation.

(a) *Appointment.* — The Board of Dietetics/Nutrition shall consist of 5 members who are residents of this State and shall be appointed by the Governor. Members shall be appointed so that the terms of 3 members shall expire 2 years after the initial appointment and that the terms of the remaining 2 members shall expire 3 years after the initial appointment. Thereafter, appointments shall be made for a term of 3 years. A member of the Board shall be eligible for reappointment, but a member shall not be appointed to serve more than 2 consecutive

terms. Each term of office shall expire on a date specified in the appointment except that each member shall serve until a successor is duly appointed. A member who was initially appointed to fill a vacancy may successively serve for only 1 additional full term.

(b) *Composition and provisions.* — Three members shall be Delaware Licensed Dietitian/Nutritionists. The remaining 2 members shall be from the general public, who are not Licensed Dietitians/Nutritionists and are not in any way, connected to the provision of nutrition services either monetarily, through business activity, through educational activity or by their immediate family relations. No member of the Board, while serving on the Board, shall be a president, chair or other elected official of any state professional association for dietitians or nutritionists. The provisions set forth for "employees" in Chapter 58 of Title 29 shall apply to all members of the Board, and to all appointed by or otherwise employed by the Board.

(c) *Suspension or removal.* — A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance or malfeasance. A member subject to disciplinary proceedings shall be disqualified from the Board business until the charge is adjudicated, or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(d) *Compensation.* — Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(69 Del. Laws, c. 306, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 49, § 1; 81 Del. Laws, c. 85, § 21.)

§ 3804 Officers; meetings; quorum.

(a) The Board shall elect annually from its membership a chair, vice-chair and secretary.

(b) The Board shall hold a regularly scheduled business meeting at least once each quarter and at such other times as the chair deems necessary or at the request of a majority of Board members.

(c) A majority of members shall constitute a quorum; and no action shall be taken without the affirmative vote of at least 3 members. Any member who fails to attend 3 consecutive meetings, or who fails to attend at least $^1/_2$ of all regular business meetings during any calendar year, shall automatically, upon such occurrence, be deemed to have resigned from office and a replacement shall be appointed.

(d) Minutes of all meetings shall be recorded, and copies of the minutes shall be maintained by the Division of Professional Regulation. At any hearing in which evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(69 Del. Laws, c. 306, § 1; 76 Del. Laws, c. 49, § 1.)

§ 3805 Powers and duties.

The Board of Dietetics/Nutrition shall have the authority to:

(1) Formulate rules and regulations with appropriate notice to those affected; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act, Chapter 101 of Title 29. Each rule or regulation shall implement or clarify a specific section of this chapter.

(2) Grant or deny a license to an applicant in accordance with the qualifications criteria set forth in this chapter.

(3) Evaluate the credentials of all persons applying for a license as a Licensed Dietitian/Nutritionist in this State, in order to determine whether such persons meet the qualifications for licensing set forth in this chapter.

(4) Designate the application form to be used by all applicants and to process all applications.

(5) Designate the examination to be taken by persons applying for licensure where such examination is required by this chapter.

(6) Refer all complaints from practitioners and from the public to the Division of Professional Regulation for investigation pursuant to § 8735 of Title 29 and assign a member of the Board to assist the Division in an advisory capacity with the investigation.

(7) Hold hearings and take such actions as are permitted under the provisions of the Administrative Procedures Act, Chapter 101 of Title 29, and this chapter.

(8) Where it has been determined after a disciplinary hearing, that disciplinary action should be imposed, to designate and impose appropriate disciplinary action after time for appeal has lapsed.

(9) Issue cease and desist orders, injunctive orders and/or seek the imposition of other civil penalties defined in this chapter, and/or fine persons for violating cease and desist orders and/or sue persons for recovery of fines imposed under this chapter, after a hearing conducted in accordance with this chapter and the Administrative Procedures Act [Chapter 101 of Title 29].

(10) Prepare and maintain a registry of Licensed Dietitians/Nutritionists.

(11) Promulgate regulations specifically identifying those crimes which are substantially related to the provision of dietetic and nutrition therapy.

(69 Del. Laws, c. 306, § 1; 74 Del. Laws, c. 262, § 86; 76 Del. Laws, c. 49, § 1.)

§ 3806 Qualifications of applicants.

(a) An applicant who is applying for licensure under this chapter shall have the following qualifications:

(1) For applicants who graduated before January 1, 2024, a minimum of a baccalaureate degree from a United States (U.S.) regionally accredited college or university. —

Applicants who have obtained their education outside the U.S. and its territories must have their academic degree or degrees validated as equivalent to the baccalaureate or master's degree conferred by a regionally accredited college or university in the U.S.

(2) For applicants who graduated on or after January 1, 2024, a minimum of a master's degree from a United States (U.S.) regionally accredited college or university. —

Applicants who have obtained their education outside the U.S. and its territories must have their academic degree or degrees validated as equivalent to the master's degree conferred by a regionally accredited college or university in the U.S.

(3) A major course of study in human nutrition, nutrition education, food and nutrition, dietetics, or food systems management.

(4) Submitted proof to the Board of the completion of a supervised practice in dietetics/nutrition which consists of a documented supervised practice experience component in dietetics practice, of not less than 900 hours under the supervision of a registered dietitian, a state's licensed healthcare practitioner or an individual with a doctoral degree conferred by a U.S. regionally accredited college or university with a major course study in human nutrition, nutrition education, food and nutrition, dietetics, or food systems management. Supervised practice experience must be completed in the U.S. or its territories. Supervisors who obtained their doctoral degree outside the U.S. and its territories must have their degree validated as equivalent to the doctoral degree conferred by a U.S. regionally accredited college or university.

(5) Appear at a time and place designated by the Board and submit to examination as to the person's qualification for registration as a L.D.N.

(b) Persons who provide evidence of current registration as a registered dietitian awarded by the Commission on Dietetic Registration, credentialing agency of the American Dietetic Association shall be considered to have met the qualifications for licensure under this chapter in lieu of subsection (a) of this section.

(c) The Board may refuse or reject an applicant if, after hearing, the Board finds that the applicant meets any of the following conditions or actions:

(1) Those specified in § 3811(a)(1)-(5) of this title.

a.-d. [Repealed.]

(2) Has been convicted of a crime that is substantially related to the provision of dietetic and nutrition therapy. However, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (c)(2).

(3) Has been the recipient of any administrative penalties from any other jurisdiction or jurisdictions regarding the applicant's practice of dietetic and nutrition therapy, including but not limited to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, and/or has entered into any "consent agreements" which contain conditions placed by a Board on the applicant's professional conduct and practice, including any voluntary surrender of a license in lieu of discipline. The Board may determine, after a hearing, whether such administrative penalty is grounds to deny licensure.

(d) Where the Board has found to its satisfaction that an application has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(e) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification, has imposed higher or different standards for the applicant than for other applicants, or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(f) [Repealed.]

(69 Del. Laws, c. 306, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 49, § 1; 76 Del. Laws, c. 323, §§ 1, 2; 77 Del. Laws, c. 199, § 32; 78 Del. Laws, c. 44, §§ 62, 63; 83 Del. Laws, c. 433, § 26; 85 Del. Laws, c. 178, § 1.)

§ 3807 Reciprocity.

Reciprocity will be provided for registered, certified or licensed dietitians or registered, certified or licensed nutritionists from other states provided that the standards for registration, certification and/or licensure in that state are reasonably equivalent to those set forth in § 3806 of this title. Reciprocity applicants must follow the rules and regulations for application established under § 3809 of this title.

(69 Del. Laws, c. 306, § 1; 76 Del. Laws, c. 49, § 1.)

§ 3808 Continuing education.

In order to maintain eligibility for licensure, renewal applicants must submit proof of continuing education. Thirty hours of continuing education are required during the 2-year licensure period. Continuing education hours must meet the requirements of the American Dietetic Association to be valid.

(69 Del. Laws, c. 306, § 1; 76 Del. Laws, c. 49, § 1.)

§ 3809 Issuance and renewal of licenses; fees.

(a) The amount charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board and the proportional expenses incurred by the Division of Professional Regulation in its services on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each calendar year, the Division of Professional Regulation, or any other state agency acting on its behalf, shall compute for each separate service or activity the appropriate Board fees for the coming year.

(b) The Board shall issue a license to each applicant who meets the requirements of this chapter for licensure as a dietitian/nutritionist and who pays the established fees.

(c) Each license shall be renewed biennially, in such manner as is determined by the Division and upon payment of the appropriate fee and submission of a renewal form provided by the Division, and proof that the licensee has met the continuing education requirements established by the Board.

(d) The Board, in its rules and regulation, shall determine the period of time within which a licensee may still renew the licensee's license and determine late fees associated with the license renewal, notwithstanding the fact that such licensee has failed to renew on or before the renewal date, provided, however that such period shall not exceed 1 year.

(e) A licensee, upon written request, may be placed in an inactive status for no more than 5 years. Such person, who desires to reactivate that person's license, shall complete a Board-approved application form, submit a renewal fee, and proof of fulfillment of continuing education requirements in accordance with the rules and regulation of the Board.

(69 Del. Laws, c. 306, § 1; 76 Del. Laws, c. 49, § 1.)

§ 3810 Licensure required.

No person shall represent oneself or engage in the practice of dietetics and nutrition therapy as a Licensed Dietitian/Nutritionist in this State or use the title "Licensed Dietitian," "Licensed Nutritionist," "Nutritionist," "Dietitian," use the letters "L.D.N.", or any combination of above terms and/or abbreviations unless such a person is licensed under this chapter. This chapter does not prohibit or restrict:

(1) Any person licensed in this State under any other act from engaging in the practice for which that person is licensed.

(2) The practice of dietetic and nutrition therapy by a person who is employed by the United States or state government or any of its bureaus, divisions, or agencies while in the discharge of the employee's official duties.

(3) The supervised practice of dietetic and nutrition therapy of person pursuing a course of study leading to a degree in dietetics, nutrition or an equivalent major, as authorized by the Board, from a regionally accredited school or program, if the activities and services constitute a part of a supervised course of study and if the person is designated by a title that clearly indicates the person's status as a student. This period is not to exceed 2 years unless written approval is provided by the Board. The individual will be supervised by an individual licensed under this chapter.

(4) An herbalist, retailer or other person who does not hold himself or herself out to be a dietitian or nutritionist by using 1 or more of the titles restricted by this chapter, who makes recommendations regarding lifestyle, or who markets, distributes, sells, or who recommends, advises, or furnishes nonfraudulent information about, herbs, vitamins, minerals, amino acids, carbohydrates, sugars, enzymes, food concentrates, foods, other food supplements, or dietary supplements. For purposes of this paragraph, "fraud" shall be defined as an intentional misrepresentation for financial gain. Legitimate disagreement about the role of the above-listed nutrients and foods as they apply to human nutrition shall not, in and of itself, constitute fraud.

(5) The practice of the tenets of any religion, sect or denomination whatsoever, provided that a member of such religion, sect or denomination shall not designate himself or herself by any other term or title which implies that such member is engaged in the practice of dietetic and nutrition therapy.

(6) A person presenting a general program of instruction for weight control need not be a Licensed Dietitian/Nutritionist provided the general program is approved in writing by:

a. A dietitian registered by the Commission of Dietetic Registration of the American Dietetic Association; or

b. A licensed physician.

(7) The practice of dietetic and nutrition therapy by a person who is eligible to take the registration examination for dietitians as administered by the Commission of Dietetic Registration, the credentialing agency of the American Dietetic Association. This individual is excluded under this chapter for a period of 1 year upon completion of qualifying experience as set forth by the American Dietetic Association.

(69 Del. Laws, c. 306, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 49, § 1.)

§ 3811 Grounds for discipline; sanctions; penalties of unlicensed practice.

(a) The following conditions and actions of an applicant or L.D.N. may result in disciplinary action as set forth in subsection (b) of this section if, after a hearing, the Board finds that an applicant or L.D.N.:

(1) Has employed or knowingly cooperated in fraud or material deception in order to be licensed; or

(2) Has engaged in illegal, incompetent or negligent conduct in the provision of dietetic and nutrition therapy; or

(3) Has as a dietitian/nutritionist or otherwise, in the practice of the profession, knowingly engaged in an act of consumer fraud or deception, or engaged in the restraint of competition, or participated in price-fixing activities; or

(4) Has violated the Code of Ethics as established by the American Dietetic Association; or

(5) Has violated a lawful provision of this chapter or any lawful rule or regulation established hereunder; or

(6) Has been convicted of a crime that is substantially related to the provision of dietetic and nutrition therapy.

(b) Persons regulated under this chapter who have been determined to be in violation of this chapter shall be subject to the following disciplinary actions:

(1) Issuance of a letter of reprimand.

(2) Censorship.
(3) Placement on probationary status.
(4) Denial of license.
(5) Suspension of license.
(6) Revocation of license.

(c) As a condition to reinstatement of a suspended license or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(d) *Penalties of unlicensed practice.* — (1) Where the Board has determined, upon notice and hearing pursuant to Chapter 101 of Title 29 that a person is engaged in the practice of dietetics and nutrition therapy and regulated by this chapter without having lawfully obtained a license or that a person previously licensed under this chapter is engaged in the practice of dietetic and nutrition therapy as regulated by this chapter notwithstanding that the person's license has been suspended or revoked, the Board may issue a cease and desist order. In addition to the power to issue a cease and desist order, the Board may seek an injunctive order prohibiting such unlawful practice and/or seek the imposition of other civil penalties defined by this chapter.

(2) Upon notice and hearing pursuant to Chapter 101 of Title 29, the Board may fine any person who violates such cease and desist order not less than $100 or more than $1000. Each day a violation continues may be deemed a separate offense in the Board's discretion .

(3) Any person who violates any provisions of this chapter or any rules and regulations promulgated hereunder shall be liable for a civil penalty of not more than $2,500 for the first offense; and not more than $5,000 for the second and each subsequent offense, which penalty may be sued for, and recovered by the Board. Nothing in this section shall be construed to prevent prosecution under, or be inconsistent with, Chapter 5 of Title 11.

(e) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(69 Del. Laws, c. 306, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 87; 75 Del. Laws, c. 436, § 44; 76 Del. Laws, c. 49, § 1; 79 Del. Laws, c. 213, § 2.)

§ 3812 Administrative procedures.

All procedures under this chapter shall be governed by the Delaware Administrative Procedures Act, Chapter 101 of Title 29.

(69 Del. Laws, c. 306, § 1; 76 Del. Laws, c. 49, § 1.)

Chapter 39
Board of Social Work Examiners

§ 3901 Objectives of the Board.

The Board of Social Work Examiners' primary objective, to which all other objectives and purposes are secondary, is to protect the general public, specifically those who are direct recipients of services that this chapter regulates, from unsafe practices and occupational practices which tend to reduce competition or fix the price of services rendered. The Board achieves this objective through the effective control and regulation of the practice of social work and the licensure, control, and regulation of individuals who practice social work within Delaware. The Board's secondary objectives are to maintain minimum standards of licensee competency and certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competency, monitor complaints brought against licensees, adjudicate at formal complaint hearings, promulgate rules and regulations, and impose sanctions against licensees where necessary.

(63 Del. Laws, c. 462, § 2; 70 Del. Laws, c. 143, § 1; 77 Del. Laws, c. 224, § 1; 81 Del. Laws, c. 263, § 2.)

§ 3902 Definitions.

As used in this chapter:

(1) "Advanced practice" means the specialized professional application of social work theory, knowledge, methods, principles, values, and ethics, and the professional use of self to community and organizational systems, meaning systemic and macrocosm issues, and other indirect, nonclinical services. "Advanced practice" includes activities such as community organization and development; social planning and policy development; administration of social work policies, programs, and activities; outcome evaluation; client education; research; nonclinical supervision of employees; nonclinical consultation; nonclinical assessment and referral; mediation; expert testimony; and advocacy.

(2) "Another jurisdiction" means another state of the United States, the District of Columbia, a territory of the United States, or a country outside of the United States or its territories.

(3) "Applicant" means an individual seeking licensure under this chapter.

(4) "Baccalaureate social work" is the entry level of social work and means the application of social work theory, knowledge, methods, ethics, and the professional use of self to restore or enhance social, psychosocial, or biopsychosocial functioning of individuals, couples, families, groups, organizations, and communities. "Baccalaureate social work" is generalist practice.

(5) "Baccalaureate social worker" means an individual licensed to practice baccalaureate social work.

(6) "Board" means the Board of Social Work Examiners.

(7) "Case management" means a method to plan, provide, evaluate, and monitor services from a variety of resources on behalf of and in collaboration with a client.

(8) "Client" means an individual, couple, family, group, organization, or community that seeks or receives social work services from a social worker or an organization whether those services are free or for a fee.

(9) "Clinical supervisor" means a licensed clinical social worker who has met the qualifications as determined by the Board.

(10) "Consultation" means an advisory professional relationship between a social worker and other professionals, with the social worker ethically maintaining responsibility for all judgments and decisions regarding service to a client.

(11) "Conversion therapy" means any practice or treatment that seeks to change an individual's sexual orientation or gender identity, as "sexual orientation" and "gender identity" are defined in § 710 of Title 19, including any effort to change behaviors or gender expressions or to eliminate or reduce sexual or romantic attractions or feelings toward individuals of the same gender. "Conversion therapy" does not mean any of the following:

a. Counseling that provides assistance to an individual who is seeking to undergo a gender transition or who is in the process of undergoing gender transition.

b. Counseling that provides an individual with acceptance, support, and understanding without seeking to change an individual's sexual orientation or gender identity.

c. Counseling that facilitates an individual's coping, social support, and identity exploration and development, including counseling in the form of sexual orientation-neutral interventions or gender identity-neutral interventions provided for the purpose of preventing or addressing unlawful conduct or unsafe sexual practices, without seeking to change an individual's sexual orientation or gender identity.

(12) "Counseling" means a method, in addition to psychotherapy, advocacy, research, and consultation, used by social workers to assist individuals, couples, families, and groups in learning how to solve problems and make decisions about personal, health, social, educational, vocational, financial, and other interpersonal concerns.

(13) "Division" means the Division of Professional Regulation.

(14) "Excessive use or abuse of drugs" means the use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed physician or other professional licensed to prescribe, or the abuse of alcoholic beverages such that it impairs an individual's ability to perform social work.

(15) “Generalist practice” means a professional problem process that includes engagement, assessment, treatment planning, intervention, and evaluation. Methods of generalist practice include case management, information and referral, counseling, consultation, education, advocacy, community organization, research, and the development, implementation, and administrations of policies, programs, or activities.

(16) “Good standing” means meeting the standards of § 3907(a) of this title.

(17) “Licensed clinical social work” means the specialty within the practice of master’s social work, that requires the application of specialized clinical knowledge and advanced clinical skills of social work theory, knowledge, methods, and ethics, as applied to a clinical, therapeutic relationship which may include the person-in-environment perspective, to the assessment, diagnosis, prevention, and treatment of biopsychosocial dysfunction, disability, and impairment, including mental and emotional disorders, developmental disabilities, and substance abuse. “Licensed clinical social work” includes the provision of individual, marital, couple, family and group counseling, and psychotherapy, as they are related to clinical, therapeutic relationship. “Licensed clinical social work” also includes private practice and supervision. “Licensed clinical social work” does not include the administration of psychological tests, which are reserved exclusively for use by licensed psychologists under Chapter 35 of this title.

(18) “Licensed clinical social worker” means an individual licensed to practice licensed clinical social work.

(19) “Licensee” means an individual licensed under this chapter.

(20) “Master’s social work” means the application of social work theory, knowledge, methods, ethics, and the professional use of self to restore or enhance social, psychosocial, or biopsychosocial functioning of individuals, couples, families, groups, organizations, and communities. “Master’s social work” is the application of generalist practice, specialized knowledge, and advanced practice skills.

(21) “Master’s social worker” means an individual licensed to practice master’s social work.

(22) “Person-in-environment perspective” means observing human behavior, development, and function in the context of the environment, social functioning, mental health, physical health, or any combination thereof.

(23) “Social work” means baccalaureate social work, master’s social work, and licensed clinical social work, collectively or, if context demands, individually.

(24) “Social worker” means baccalaureate social worker, master’s social worker, and licensed clinical social worker, collectively or, if context demands, individually.

(25) “Substantially related” means the nature of the criminal conduct for which the individual was convicted has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to social work.

(26) “Supervision” means the professional relationship between a clinical supervisor and a social worker that provides evaluation and direction over the services that the social worker provides and promotes continued development of the social worker’s knowledge, skills, and abilities to provide social work services in an ethical and competent manner.

(63 Del. Laws, c. 462, § 2; 70 Del. Laws, c. 143, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 106, §§ 1, 2; 74 Del. Laws, c. 262, § 88; 77 Del. Laws, c. 224, §§ 2, 3; 80 Del. Laws, c. 80, § 20; 81 Del. Laws, c. 340, § 11; 81 Del. Laws, c. 263, § 3; 82 Del. Laws, c. 261, §§ 15, 16; 83 Del. Laws, c. 52, § 19; 84 Del. Laws, c. 438, § 1.)

§ 3903 License required.

(a) It is unlawful for an individual who is not licensed under this chapter to do any of the following:

(1) Engage in the practice of social work.

(2) Hold the individual out to the public in this State as being qualified to practice social work.

(3) Use in connection with the individual’s name or otherwise assume or use any title or description conveying or tending to convey the impression that the individual is qualified to practice social work.

(b) It is unlawful for an individual to practice social work in this State if the individual’s license to practice social work is expired, suspended, or revoked.

(c) *Exemptions*.

(1) This chapter does not apply to an individual who meets any of the following criteria:

a. Is licensed in good standing to practice social work in another jurisdiction, provided that the individual has made prior written application to the Board to practice social work in this State and the Board has approved the application. An individual may practice social work, within the scope of practice designated by the individual’s license, in this State under this subsection for no more than 30 days per year. An individual who provides services under this subsection is deemed to have submitted to the Board’s jurisdiction and bound by the laws of this State.

b. Is certified or licensed in this State by any other law, and is engaged in and acting within the scope of the profession or occupation for which the individual is certified or licensed.

c. Is clergy of any denomination, when engaging in activities that are within the scope of the performance of that individual’s regular or specialized ministerial duties.

d. Performs assessments such as basic information collection, gathering of demographic data, and informal observations, screening, and referral to determine a client’s general eligibility for a program or service and a client’s functional status for the purpose of determining need for services unrelated to a behavioral health diagnosis or treatment plan.

e. Creates, develops, or implements a service plan unrelated to a behavioral health diagnosis or treatment plan. Service plans may

include job training and employability, housing, general public assistance, in-home services and supports or home-delivered meals, de-escalation techniques, peer services, or skill development.

f. Participates as a member of a multi-disciplinary team to implement behavioral health services or a treatment plan, provided that all of the following conditions are met:

1. The team includes 1 or more health-care professionals licensed under this title.

2. The activities that each team member performs are consistent with the scope of practice for that member's license under this title.

3. Individuals exempted under this paragraph (c)(1)f. do not engage in any of the following restricted practices:

A. Diagnosis of mental, emotional, behavioral, addictive, and developmental disorders and disabilities.

B. Client assessment and evaluation.

C. Provision of psychotherapeutic treatment.

D. Development and implementation of assessment-based treatment plans.

(2) Nothing in this subsection may be construed as requiring a license for any particular activity or function solely because the activity or function is not listed in this subsection.

(3) Licensure of social workers employed on June 11, 2019, by a state agency or private or nonprofit agency is voluntary. However, an individual who is newly employed or employed in a new position by a state agency or private or nonprofit agency on or after June 11, 2024, must be licensed under this chapter if the individual provides services as a social worker. Individuals to whom this paragraph applies and who choose to seek licensure must do so under the grandfathering provisions of § 3907B of this title.

(63 Del. Laws, c. 462, § 2; 70 Del. Laws, c. 143, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 106, § 3; 77 Del. Laws, c. 224, § 4; 81 Del. Laws, c. 263, § 4; 82 Del. Laws, c. 131, § 1; 82 Del. Laws, c. 141, § 20.)

§ 3904 Board of Social Work Examiners — Appointment; composition; qualifications; term of office; suspension or removal; compensation.

(a) The Board of Social Work Examiners ("Board") consists of 7 members who are appointed by the Governor and meet the following qualifications:

(1) a. Four professional members, consisting of 2 licensed clinical social workers and 2 at-large professional members who may be baccalaureate social workers, master's social workers, or licensed clinical social workers.

b. Three public members who are accessible to inquiries, comments, and suggestions from the general public and are not, nor have ever been, any of the following:

1. A social worker or a member of the immediate family of a social worker.

2. Employed by a social work agency.

3. Holder of a material financial interest in the providing of goods and services to social workers.

4. Engaged in an activity directly related to social work.

(b) Each member is appointed for a period of 3 years, and may serve 1 additional term. Each term of office expires on the date specified in the appointment; however, a member remains eligible to participate in Board proceedings until the Governor replaces that member.

(c) An individual who has served on the Board for 6 years may not be appointed to the Board again until the expiration of an interim period of at least 3 years since the individual last served.

(d) The Governor may suspend or remove a member for misfeasance, nonfeasance, malfeasance, or neglect of duty.

(1) A member is deemed in neglect of duty if the member is absent from 3 consecutive Board meetings without good cause or fails to attend at least 50% of all regular Board meetings in a calendar year.

(2) A member who is deemed in neglect of duty is considered to have resigned.

(3) A member subject to disciplinary proceedings is disqualified from Board business until the charge is adjudicated, or the matter is otherwise concluded.

(4) A member may appeal any suspension or removal to the Superior Court.

(e) A member while serving on the Board may not be a president, chair, or other elected official of a professional association for social workers other than the Board.

(f) The provisions set forth for employees in Chapter 58 of Title 29, apply to all members and agents that the Board appoints or otherwise employs.

(g) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(h) An act or vote by an individual appointed in violation of this section is invalid. An amendment or revision of this chapter is not sufficient cause for an appointment or attempted appointment in violation of subsection (e) of this section, unless the amendment or revision amends this chapter to permit such an appointment.

(63 Del. Laws, c. 462, § 2; 65 Del. Laws, c. 282, § 1; 67 Del. Laws, c. 368, § 25; 70 Del. Laws, c. 143, § 1; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 85, § 22; 81 Del. Laws, c. 263, § 5.)

§ 3905 Board of Social Work Examiners — Organization; officers; meetings; quorum.

(a) In the same month of each year, the members shall elect, from among their number, a President, Vice-President, and Secretary. Each

officer serves for 1 year, and may serve no more than 2 consecutive years in the same office.

(b) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, and at such other times as the President deems necessary, or at the request of a majority of the members.

(c) A majority of members constitutes a quorum for the purpose of transacting business. The Board may not take disciplinary action without the affirmative vote of at least 4 members.

(d) Minutes of all meetings must be recorded and the Division shall maintain copies of meeting minutes. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared must be made. The expense of preparing any transcript must be incurred by the person requesting it.

(63 Del. Laws, c. 462, § 2; 64 Del. Laws, c. 117, § 3; 65 Del. Laws, c. 282, §§ 2, 3; 65 Del. Laws, c. 355, § 1; 70 Del. Laws, c. 143, § 1; 81 Del. Laws, c. 263, § 6.)

§ 3906 Board of Social Work Examiners — Powers and duties.

(a) The Board may do all of the following:

(1) Formulate rules and regulations, with appropriate notice to those affected. Rules and regulations must be promulgated in accordance with the procedures specified in the Administrative Procedures Act of this State (Chapter 101 of Title 29). Each rule or regulation must implement or clarify a specific section of this chapter.

(2) Designate the application form to be used by all applicants and process all applications. An application form may not require a picture of the applicant; information relating to the applicant's citizenship, place of birth, or length of state residency; or personal references.

(3) Designate, under § 3908(a) of this title, a written national examination, prepared by either a national professional association or recognized legitimate national testing service, approved by the Division, and administered to applicants. The examination must be prepared for testing on a national basis, and not specifically prepared at the Board's request for its individual use.

(4) [Repealed.]

(5) Evaluate certified records to determine whether an applicant who has been previously licensed, certified, or registered in another jurisdiction to practice social work has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against the applicant for such acts or offenses.

(6) Grant licenses to all applicants who meet the qualifications for licensure or renewal of licenses.

(7) Establish by rule and regulation continuing education standards required for license renewal.

(8) Refer all complaints from licensees and the public concerning licensees, or concerning practices of the Board or of the profession, to the Division for investigation under § 8735(h) of Title 29 and assign a Board member to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint. A Board member who is assigned to assist the Division under this paragraph may not participate in deliberations on the complaint.

(9) [Repealed.]

(10) Conduct hearings and issue orders in accordance with procedures established under this chapter, Chapter 101 of Title 29, and § 8735 of Title 29.

(11) If the Board determines after a disciplinary hearing that penalties or sanctions should be imposed, designate and impose the appropriate sanction or penalty.

(12) Bring proceedings in the courts for the enforcement of this chapter.

(13) Perform random post-renewal audits of continuing education credits submitted by licensees for license renewal.

(14) Request a copy of supervisory logs from an applicant who has applied for license under this chapter, as established in the rules and regulations.

(b) The Board shall promulgate regulations specifically identifying crimes which are substantially related to social work.

(63 Del. Laws, c. 462, § 2; 70 Del. Laws, c. 143, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 89; 81 Del. Laws, c. 263, § 7.)

§ 3907 Qualifications of applicants; licensure tiers; report to Attorney General; judicial review.

(a) An applicant who is applying for examination and licensure under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that the applicant meets all of the following criteria:

(1) [Repealed.]

(2) Has not engaged in any of the acts or offenses that would be grounds for disciplinary action under this chapter. Applicants who have been or who currently are licensed to practice social work in another jurisdiction must provide the Board with a certified statement to this effect from the board or comparable agency of each jurisdiction in which the applicant has ever been licensed to practice social work. Applicants are deemed to have given consent to the release of such information and waived all objections to the admissibility of such evidence.

(3) Notwithstanding the time limitation set forth in § 8735(x)(4) of Title 29, has not been convicted of a felony sexual offense.

(4) a. Has submitted, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

1. A report from the State Bureau of Identification of the applicant's entire criminal history record in this State or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that individual.

2. A report of the applicant's entire federal criminal history record under the Federal Bureau of Investigation appropriation of

Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification is the intermediary for purposes of this section and the Board is the screening point for the receipt of the federal criminal history records.

b. An applicant may not be licensed as a social worker until the applicant's criminal history reports have been produced. The State Bureau of Identification may release any subsequent criminal history to the Board.

(5) Does not have any impairment related to drugs or alcohol or a finding of mental incompetence by a physician, licensed mental health professional, or licensed or certified substance abuse professional that would limit the applicant's ability to undertake the practice of social work in a manner consistent with the safety of the public.

(6) Has or will provide all information required on the application.

(7) Has not been convicted of a crime that is substantially related to social work. However, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by affirmative vote of a majority of the quorum, shall waive this paragraph (a)(7). A waiver may not be granted for a conviction of a felony sexual offense.

a.-e. [Repealed.]

(8) Has submitted to the Board reports from child and adult abuse registries in Delaware and every state in which the applicant has ever been licensed or employed, or resided as an adult.

(b) *Licensed clinical social worker*. —

An applicant who is applying for licensure as a licensed clinical social worker shall submit evidence, verified by oath and satisfactory to the Board, that the applicant meets all of the following requirements:

(1) Meets the criteria under subsection (a) of this section.

(2) Has graduated and received a master's or doctoral degree in social work from a program accredited by the Council on Social Work Education or its successor, or has graduated and received a doctoral degree from a program the Board has approved.

(3) Has successfully passed an examination that the Board designated under § 3906(a)(3) of this title.

(4) Subject to § 3907C of this title, has completed 2 years of supervised experience that the Board has approved, under the supervision of a licensed clinical social worker, after receiving a master's or doctoral degree described in paragraph (b)(2) of this section. If an applicant demonstrates to the Board's satisfaction and in compliance with the Board's rules and regulations that a licensed clinical social worker was not available for supervision, the applicant may complete 2 years of supervised experience supervised by a licensed psychologist or licensed psychiatrist, if the supervision meets all other requirements established in the Board's rules and regulations.

(c) *Master's social worker*. —

An applicant who is applying for licensure as a master's social worker shall submit evidence, verified by oath and satisfactory to the Board, that the applicant meets all of the following requirements:

(1) Meets the criteria under subsection (a) of this section.

(2) Has graduated and received a master's degree in social work from a program accredited by the Council on Social Work Education or its successor.

(3) Has successfully passed an examination that the Board designated under § 3906(a)(3) of this title.

(d) *Baccalaureate social worker*. —

An applicant who is applying for licensure as a baccalaureate social worker shall submit evidence, verified by oath and satisfactory to the Board, that the applicant meets all of the following requirements:

(1) Meets the criteria under subsection (a) of this section.

(2) Has graduated and received a baccalaureate degree in social work from a program accredited by the Council on Social Work Education or its successor.

(3) Has successfully passed an examination that the Board designated under § 3906(a)(3) of this title.

(e) If the Board finds, to its satisfaction, that an application is fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(f) If an applicant believes the Board has denied an applicant without justification, imposed higher or different standards for the applicant than for other applicants or licensees, or in some other manner contributed to or caused the failure of the application, the applicant may, within 30 days of such denial, appeal the Board's decision to the Superior Court.

(63 Del. Laws, c. 462, § 2; 65 Del. Laws, c. 282, § 4; 70 Del. Laws, c. 143, § 1; 77 Del. Laws, c. 224, § 5; 78 Del. Laws, c. 26, §§ 1, 2; 79 Del. Laws, c. 277, § 13; 81 Del. Laws, c. 263, § 8; 83 Del. Laws, c. 433, § 27; 84 Del. Laws, c. 438, § 2.)

§ 3907A Applicants educated outside of the United States or its territories.

In addition to meeting all other applicable requirements under §§ 3907 and 3909 of this title, an applicant whose application is based on a diploma or degree issued by a social work program outside of the United States or its territories shall furnish evidence satisfactory to the Board that the applicant completed a course of professional instruction equivalent to a program approved by the Council on Social Work Education or its successor. The applicant shall arrange and pay for a credential evaluation of the foreign program, to be completed by an agency that the Board has approved.

(81 Del. Laws, c. 263, § 9.)

§ 3907B Qualifications of applicant; grandfathering.

(a) *Grandfathering period*. —

(1) The Board shall issue a license to an applicant who meets all the applicable requirements under this section and has submitted a completed, signed application and the applicable fee by June 11, 2021.

(2) If the applicant does not provide all of the information that the Board has requested by June 11, 2021, the application for licensure is considered ineligible for grandfathering and is closed.

(b) An applicant who applies for licensure as a master's social worker during the grandfathering period shall submit evidence, verified by oath and satisfactory to the Board, that the applicant meets the criteria under § 3907(a) of this title and has at least 1 of the following:

(1) At least 10 years of work experience obtained within 12 years immediately preceding application and supported by an experience affidavit, as established by the Board's rules and regulations. The applicant must have obtained the work experience within the scope of master's social work.

(2) At least 2 years of work experience obtained within the 4 years immediately preceding application and supported by an experience affidavit, as established by the Board's rules and regulations. The applicant must have obtained the work experience within the scope of master's social work. An applicant seeking licensure under this subsection must also have graduated and received a master's degree that meets at least 1 of the following criteria:

a. Is a master's degree in social work from a program accredited by the Council on Social Work Education or its successor.

b. Is a master's degree in human services, social and behavioral sciences, psychology, sociology, or other related degree that the Board accepts, as established by the Board's rules and regulations, from an accredited college or university.

(c) An applicant who applies for licensure as a baccalaureate social worker during the grandfathering period shall submit evidence, verified by oath and satisfactory to the Board, that the applicant meets the criteria under § 3907(a) of this title and has at least 1 of the following:

(1) At least 3 years of work experience obtained within 5 years immediately preceding application and supported by an experience affidavit, as established by the Board's rules and regulations. The applicant must have obtained work experience within the scope of baccalaureate social work.

(2) At least 1 year of work experience obtained within 2 years immediately preceding application and supported by an experience affidavit, as established by the Board's rules and regulations. The applicant must have obtained work experience within the scope of baccalaureate social work. The applicant must have also graduated and received a baccalaureate degree that meets at least 1 of the following criteria:

a. Is a baccalaureate degree in social work from a program accredited by the Council on Social Work Education or its successor.

b. Is a baccalaureate degree from an accredited college or university in human services, social and behavioral sciences, psychology, sociology, or other related degree that the Board accepts, as established by the Board's rules and regulations.

(81 Del. Laws, c. 263, § 10; 82 Del. Laws, c. 131, § 2; 82 Del. Laws, c. 141, § 21.)

§ 3907C Supervision requirements for licensed clinical social worker; grandfathering.

(a) (1) Subject to paragraph (a)(2) of this section, an applicant or licensed clinical social worker who has started or completed supervision by a master's social worker on or before September 26, 2024, will satisfy the supervision requirement under § 3907(b)(4) of this title if the applicant or licensed clinical social worker is otherwise in compliance with § 3907(b)(4) of this title.

(2) An applicant who will not complete the 2-year supervised experience requirement on or before March 25, 2025, must continue any necessary supervision under the supervision of a licensed clinical social worker, licensed psychologist, or licensed psychiatrist, in accordance with § 3907(b)(4) of this title.

(b) Notwithstanding the removal of a master's social worker's supervision authority under § 3907(b)(4) of this title, a master's social worker who is supervising an applicant as of September 26, 2024, may continue to supervise the applicant until after March 25, 2025.

(84 Del. Laws, c. 438, § 3.)

§ 3908 Examination.

(a) The Board shall promulgate regulations to designate each of the following:

(1) An examination, as described in § 3906(a)(3) of this title, to be administered to applicants.

(2) The maximum number of times an applicant may take the national examination designated under paragraph (a)(1) of this section.

(b) In the event the applicant has already taken and passed the national examination designated under paragraph (a)(1) of this section, the Board shall accept the certificate or other evidence of successful completion, and the applicant is not required to take any further state examination.

(63 Del. Laws, c. 462, § 2; 65 Del. Laws, c. 282, §§ 5, 7; 70 Del. Laws, c. 143, § 1; 81 Del. Laws, c. 263, § 11.)

§ 3909 Reciprocity.

(a) Upon payment of the required fee and submission and acceptance of a written application on forms that the Board provides, the Board shall grant a license to an applicant who has done all of the following:

(1) Presented proof of a current, active license in good standing and with no disciplinary action taken against the applicant in another jurisdiction whose standards the Board has determined are substantially similar to those of this State.

(2) Presented proof that, in any other jurisdiction in which the applicant is or was licensed, the applicant's license is in good standing or the applicant is voluntarily no longer licensed.

(3) Successfully passed an examination that the Board designated under § 3906(a)(3) of this title.

(4) Provided the Board with a certified statement as to whether any outstanding or ongoing disciplinary actions or ethical violations are against the applicant, or whether the applicant has engaged in any of the acts or offenses that may be grounds for disciplinary action under this chapter. Applicants are deemed to consent to the release of information regarding disciplinary actions or ethical violations and waive all objections to the admissibility of the information as evidence at any hearing or other proceeding to which the applicant may be subject under this chapter.

(b) An applicant who has a license in another jurisdiction that has less stringent requirements than those of this State may obtain a license under this section if the applicant can prove to the Board's satisfaction that the applicant has worked in another jurisdiction in the field for which the applicant is seeking a license in this State for at least 5 years in the 7 years immediately preceding application in this State. The Board may determine whether the requirements of another jurisdiction are less stringent than those of this State.

(63 Del. Laws, c. 462, § 2; 70 Del. Laws, c. 143, § 1; 71 Del. Laws, c. 106, § 4; 81 Del. Laws, c. 263, § 12.)

§ 3910 Fees.

The amount to be charged for each fee imposed under this chapter must approximate and reasonably reflect all costs necessary to defray the Board's expenses and proportional expenses that the Division incurs in its services on behalf of the Board. A separate fee must be charged for each service or activity, but no fee may be charged for a purpose not specified in this chapter.

(63 Del. Laws, c. 462, § 2; 65 Del. Laws, c. 355, § 1; 70 Del. Laws, c. 143, § 1; 81 Del. Laws, c. 263, § 13.)

§ 3911 Issuance of license; renewal; inactive status.

(a) The Board shall issue a license to each applicant who meets the applicable qualifications and pays the fee required under this chapter.

(b) (1) Each license must be renewed biennially, in such a manner that the Division determines, and upon payment of the required fee and attestation that the licensee has met the continuing education requirements that the Board established.

(2) Each license expires on January 31 of the renewal year.

(3) The Division shall set a late fee. If a licensee fails to renew the license in 1 year from the renewal date, the licensee must reapply for licensure.

(c) (1) Any licensee, upon written request, may be placed in an inactive status for up to 3 years.

(2) The renewal fee of a licensee on inactive status must be prorated in accordance with the amount of time the licensee is on inactive status.

(3) The licensee may reactivate the license after meeting all of the following criteria:

a. Providing the Board with written notification that the licensee intends to reactivate the license.

b. Satisfying all the continuing education requirements.

c. Paying the appropriate renewal fee.

(4) A licensee who fails to reactivate a license within 3 years of being placed on inactive status must reapply for licensure.

(d) [Repealed.]

(63 Del. Laws, c. 462, § 2; 65 Del. Laws, c. 355, § 1; 70 Del. Laws, c. 143, § 1; 81 Del. Laws, c. 263, § 14.)

§ 3912 Continuing education.

The Board shall promulgate regulations that require licensees to complete continuing education hours for each biennial licensing period.

(63 Del. Laws, c. 462, § 2; 65 Del. Laws, c. 282, § 6; 70 Del. Laws, c. 143, § 1; 71 Del. Laws, c. 106, § 5; 81 Del. Laws, c. 263, § 15.)

§ 3913 Privileged communications.

A social worker may not disclose any information acquired from a person consulting the social worker in a professional capacity except:

(1) With the written consent of the person or, in the case of death or disability, the written consent of the person's personal representative.

(2) A social worker is not required to treat as confidential a communication that reveals the planning of any violent crime or act.

(3) A social worker who knows or reasonably suspects child abuse or neglect must report to the Division of Family Services of the Department of Services for Children, Youth and Their Families according to § 904 of Title 16.

(4) If the person waives the privilege by bringing charges against the licensed social worker.

(63 Del. Laws, c. 462, § 2; 70 Del. Laws, c. 143, § 1; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 263, § 16.)

§ 3914 Complaints.

(a) The Division shall receive and investigate, in accordance with § 8735 of Title 29, all complaints under this chapter.

(b) The Division shall issue a final, written report at the conclusion of its investigation of a complaint under this chapter.

(63 Del. Laws, c. 462, § 2; 65 Del. Laws, c. 355, § 1; 70 Del. Laws, c. 143, § 1; 81 Del. Laws, c. 263, § 17.)

§ 3915 Grounds for discipline; procedure.

(a) A licensee or former licensee is subject to disciplinary actions established in § 3916 of this title if, after a hearing, the Board finds

that the licensee or former licensee has done any of the following:

(1) Employed or knowingly cooperated in fraud or material deception in order to be licensed as a social worker, impersonated licensee, allowed another individual to use the licensee's or former licensee's license, or aided or abetted an individual not licensed as a social worker to represent the individual as a social worker.

(2) Illegally, incompetently, or negligently practiced social work.

(3) Engaged within the previous 10 years or currently engages in the excessive use or abuse of drugs.

(4) Been convicted of a crime that is substantially related to the practice of social work.

(5) Violated a lawful provision of this chapter or any lawful regulation established under this chapter.

(6) Exceeded the scope of the licensee's license, as the scope is defined in this chapter or any lawful regulation established under this chapter. For example, baccalaureate social work may be practiced only under supervision as provided by regulation. And, a master's social worker must be supervised to provide clinical services as provided by regulation.

(7) Had the licensee's license, certification, or registration as a social worker suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction, if the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record. Every licensee is deemed to have given consent to the social work board or other comparable agency in another jurisdiction to release this information and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses.

(8) Failed to notify the Board that the licensee's license as a social worker in another jurisdiction has been subject to discipline or has been surrendered, suspended, or revoked. A certified copy of the record of disciplinary action, surrender, suspension, or revocation is conclusive evidence of the discipline, surrender, suspension, or revocation.

(9) Been convicted of a felony sexual offense.

(10) Failed to report child abuse or neglect as required by § 903 of Title 16, or any of its successors;

(11) Engaged in conversion therapy with a child;

(12) Referred a child to a provider in another jurisdiction to receive conversion therapy.

(b) If a licensee fails to comply with the Board's request that the licensee attend a hearing, the Board may petition the Superior Court to order such attendance, and the Court has jurisdiction to issue such an order.

(c) Subject to the provisions of this chapter and subchapter IV of Chapter 101 of Title 29, the Board may not restrict, suspend, or revoke a license, or limit the licensee's right to practice, until the licensee has been given notice and an opportunity to be heard in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(63 Del. Laws, c. 462, § 2; 70 Del. Laws, c. 143, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 90; 75 Del. Laws, c. 436, § 45; 77 Del. Laws, c. 199, § 33; 78 Del. Laws, c. 26, §§ 3-6; 78 Del. Laws, c. 44, §§ 64, 65; 81 Del. Laws, c. 340, § 12; 81 Del. Laws, c. 263, § 18.)

§ 3916 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that a licensee has violated any condition or committed any violation set forth in § 3915 of this title:

(1) Issue a letter of reprimand.

(2) [Repealed.]

(3) Place the licensee on probationary status, and require the licensee to do 1 or more of the following:

a. Report regularly to the Board upon the matters which are the basis of the probation.

b. Limit all practice and professional activities to those areas the Board prescribes.

c. Continue or renew the licensee's professional education until the required degree of skill has been attained in those areas which are the basis of the probation.

(4) Suspend the license.

(5) Permanently revoke the license.

(6) Impose a monetary penalty not to exceed $10,000 for each violation.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such action have been remedied.

(c) (1) If the Board receives a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety, or welfare, the Board may suspend the licensee's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee.

(2) The Board may not suspend a license unless the licensee or licensee's attorney received at least 24 hours' written or oral notice before the suspension so that the licensee or licensee's attorney may file a written response to the proposed suspension.

(3) The decision as to whether to issue the order of suspension must be decided on the written submissions.

(4) An order of suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the licensee requests a continuance of the hearing date. If the licensee requests a continuance, the order of suspension remains in effect until the hearing is convened and the Board renders a decision. A licensee whose license has been suspended under this section may request an expedited hearing. The Board must schedule the hearing on an expedited basis, provided that the Board receives

the request within 5 calendar days from the date on which the licensee received notification of the decision to suspend the license.

(d) If a license has been suspended due to a disability of the licensee, the Board, at a Board meeting, may reinstate the license if the Board is satisfied that the licensee is able to practice with reasonable skill and safety.

(e) As a condition of reinstatement of a suspended license or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(f) The Board shall permanently revoke the license of any licensee who is convicted of a felony sexual offense.

(63 Del. Laws, c. 462, § 2; 70 Del. Laws, c. 143, § 1; 70 Del. Laws, c. 186, § 1; 78 Del. Laws, c. 26, § 7; 79 Del. Laws, c. 213, § 2; 81 Del. Laws, c. 263, § 19.)

§ 3917 Hearing procedures.

(a) If a complaint is filed with the Board under § 8735 of Title 29 alleging violation of § 3915 of this title, the Board shall set a time and place to conduct a hearing on the complaint. The Board shall provide notice of the hearing and conduct the hearing in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) [Repealed.]

(c) A licensee may appeal the Board's decision to the Superior Court within 30 days of the date that notice of the Board's decision is mailed. Upon such appeal, the Court shall hear the evidence on the record. The Court may grant a stay in accordance with § 10144 of Title 29.

(63 Del. Laws, c. 462, § 2; 70 Del. Laws, c. 143, § 1; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 263, § 20.)

§ 3918 Penalties.

(a) It is unlawful for an individual who is not licensed under this chapter to do any of the following:

(1) Engage in the practice of social work.

(2) Use in connection with that individual's name or otherwise assume or use any title or description that conveys or tends to convey the impression that the individual is qualified to practice social work.

(b) An individual who violates subsection (a) of this section is guilty of a misdemeanor and subject to the following penalties:

(1) For the first offense, a fine of not less than $500 nor more than $1,000 for each offense.

(2) For each subsequent offense, a fine of not less than $1,000 nor more than $2,000 for each offense.

(c) Superior Court has jurisdiction over all violations of this chapter.

(d) [Repealed.]

(63 Del. Laws, c. 462, § 2; 70 Del. Laws, c. 143, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 106, §§ 6, 7; 81 Del. Laws, c. 263, § 21.)

§ 3919 Duty to report conduct that constitutes grounds for discipline or inability to practice.

(a) A licensee to whom a license to practice has been issued under this chapter has a duty to report to the Division in writing information that the licensee reasonably believes indicates any of the following:

(1) That any other licensee, or any other health-care provider, has engaged in or is engaging in conduct that would constitute grounds for disciplinary action under this chapter or the other health-care provider's licensing statute.

(2) That any other licensee, or any other health-care provider, may be unable to practice with reasonable skill and safety to the public for any of the following reasons:

a. Mental illness or mental incompetence.

b. Physical illness, including deterioration through the aging process or loss of motor skill.

c. Excessive use or abuse of drugs, including alcohol.

(3) That an individual certified and registered to practice medicine in this State is or may be guilty of unprofessional conduct or may be unable to practice medicine with reasonable skill or safety to clients for any of the following reasons:

a. Mental illness or mental incompetence.

b. Physical illness, including deterioration through the aging process or loss of motor skill.

c. Excessive use or abuse of drugs, including alcohol.

(b) A licensee must file a report required under subsection (a) of this section within 30 days of becoming aware of information listed in paragraphs (a)(1), (2), or (3) of this section. A licensee reporting or testifying in any proceeding as a result of making a report under this section is immune from claim, suit, liability, damages, or any other recourse, civil or criminal, if the licensee acted in good faith and without gross or wanton negligence. Good faith is presumed until proven otherwise, and the licensee alleging gross or wanton negligence has the burden of proof.

(78 Del. Laws, c. 26, § 8; 81 Del. Laws, c. 263, § 22; 84 Del. Laws, c. 86, § 8.)

§ 3920 Telehealth and telemedicine.

(a) Licensed clinical social work may be provided through the use of telemedicine as permitted by regulation, and may include participation in telehealth as further defined in this chapter and by regulation.

(b) Notwithstanding any other provision of law, insurers, social workers, and clients may agree to alternative siting arrangements other than the originating site, as they deem appropriate.

(81 Del. Laws, c. 263, § 23; 82 Del. Laws, c. 261, §§ 15, 16.)

§ 3921 Treatment records; discontinuation of a practice; termination of a client relationship; death of a licensed clinical social worker.

(a) (1) A licensed clinical social worker licensed under this chapter shall provide notice under this section to all affected clients no less than 30 days before doing any of the following:

a. Discontinuing a social work practice in this State when the licensee is not transferring client records to another provider in this State.

b. Terminating a client relationship.

(2) The notice required under paragraph (a)(1) of this section must include all of the following:

a. How the client can obtain the client's records.

b. The name, phone number, and address of other providers in the area who may be available to accept new clients who require that care.

c. The date the licensed clinical social worker will discontinue services.

(3) The notice required under paragraph (a)(1) of this section must be provided by all of the following:

a. If the client is enrolled to receive messages through an electronic medical record system, an electronic message through that system.

b. A letter sent by first-class mail.

(4) When a licensed clinical social worker is closing a social work practice and client records will no longer be available at the licensee's place of business, the licensee shall provide to the Board of Social Work Examiners notice of how former clients may obtain the client's records.

(b) (1) If a licensed clinical social worker dies and has not transferred client records to another provider and has not made provisions for a transfer of client records to occur upon the licensee's death, a personal representative of the licensee's estate shall provide notice to the deceased licensee's clients of record by doing all of the following:

a. Publishing a notice to that effect in a newspaper of general circulation in the area where the deceased licensee practiced. The notice must be published at least 1 time per month in the 3-month period after the licensee's death.

b. Providing notice to all clients of record who have not requested their records 30 days after publication of the first notice under paragraph (b)(1)a. of this section by all of the following:

1. If the client is enrolled to receive messages through an electronic medical record system, an electronic message through that system.

2. A letter sent by first-class mail.

(2) The notice required under paragraph (b)(1) of this section must include all of the following:

a. That the licensee has died.

b. How the client can obtain the client's records.

(3) The personal representative of the person's estate shall provide the Board of Social Work Examiners notice of how former clients may obtain the client's records.

(c) (1) If a client changes from the care of a licensed clinical social worker to another provider, the licensee shall transfer the client's records to the new provider upon the request of either the client or the new provider with the client's written consent.

(2) If the client and licensee agree, the licensee may forward a summary of the client's treatment record to the new provider in lieu of transferring the entire record, at no charge to the client.

(d) (1) Clients have the right to obtain a copy of their records from a licensed clinical social worker.

(2) Unless a client is requesting a copy of their records under subsection (a) or (b) of this section or to make or complete an application for a disability benefits program, a client who requests a copy of their records is subject to any of the following charges:

a. The reasonable expenses of copying the client's records, according to the payment schedule under paragraph (d)(3) of this section.

b. The actual cost of postage or shipping, if the records are mailed or shipped.

c. Charges for copies of records not susceptible to photostatic reproduction, such as radiology films, models, photographs, or fetal monitoring strips, may be the full cost of the reproduction.

(3) The Board of Social Work Examiners shall establish a payment schedule for copies of client records under this section and must review this payment schedule annually.

(4) The licensed clinical social worker or their third-party release-of-information service may require payment of all costs under paragraph (d)(2) of this section before providing the copies of the records.

(e) This section does not apply to a licensed clinical social worker who has seen or treated a client on referral from another provider and who has provided a copy of the record of the diagnosis or treatment to at least 1 of the following:

(1) The referring provider.

(2) A hospital or an agency that has provided treatment for the client.

(f) A licensed clinical social worker has 45 days from the closure of the record or the assembly of a complete record to fulfill a request

for client records, unless a faster response is medically necessary.

(g) (1) A licensee may permanently dispose of a client's record in a manner that ensures confidentiality of the records 7 years after the following:

a. Discontinuing business in this State.

b. The last entry date in the client's record after terminating the client relationship or the client changes from the care of the licensee to another provider.

(2) Seven years after the death of the licensee, the licensee's personal representative may permanently dispose of client records that have not been procured, in a manner that ensures confidentiality of the records.

(3) A licensed clinical social worker or the personal representative of the estate of a licensed clinical social worker who disposes of client records in accordance with this section is not liable for any direct or indirect loss suffered as a result of the disposal of a client's records.

(h) The Board of Social Work Examiners may find that a licensed clinical social worker who violates this section has committed unprofessional conduct, and any aggrieved client or the client's personal representative may bring a civil action for damages or injunctive relief, or both, against the violator.

(84 Del. Laws, c. 86, § 4.)

§ 3922 Appointment of a custodian of client records.

(a) If the Board of Social Work Examiners receives a formal or informal complaint concerning access to client records as a result of a licensed clinical social worker's physical or mental incapacity, death, or abandonment or involuntary discontinuation of a social work practice in this State, the Board of Social Work Examiners may temporarily or permanently appoint an individual or entity as custodian of the licensed clinical social worker's client records after an investigation in accordance with the procedure under § 8735(h) of Title 29.

(b) (1) The custodian of client records appointed under this section shall notify the licensed clinical social worker's clients of record of the custodian's appointment by doing all of the following:

a. Publishing a notice to that effect in a newspaper of general circulation in the area where the licensed clinical social worker practiced. The notice must be published at least 1 time per month in the 3-month period after the custodian's appointment and must explain how a client can procure the client's records.

b. Notifying, by first-class mail, all clients of record who have not requested their records 30 days after publication of the first notice under paragraph (b)(1)a. of this section that the custodian has been appointed and explaining how the client can procure the client's records.

(2) Seven years after being appointed, the custodian may permanently dispose of client records that have not been procured, in a manner that ensures confidentiality of the records.

(c) A custodian of client records appointed under this section who disposes of client records in accordance with the provisions of this section is not liable for any direct or indirect loss suffered as a result of the disposal of a client's records.

(84 Del. Laws, c. 86, § 4.)

Chapter 39D
Social Work Licensure Compact

§ 3900D Adoption.

(a) The State hereby enters into the Social Work Licensure Compact ("Compact") as set forth in this chapter.

(b) The Social Work Licensure Compact is hereby enacted into law and entered into with all other jurisdictions joining therein, in substantially the same form as in this chapter.

(85 Del. Laws, c. 174, § 1.)

§ 3901D Purpose.

The purpose of this Compact is to facilitate interstate practice of regulated social workers by improving public access to competent social work services. The Compact preserves the regulatory authority of states to protect public health and safety through the current system of state licensure.

This Compact is designed to achieve the following objectives:

(1) Increase public access to social work services.

(2) Reduce overly burdensome and duplicative requirements associated with holding multiple licenses.

(3) Enhance the member states' ability to protect the public's health and safety.

(4) Encourage the cooperation of member states in regulating multistate practice.

(5) Promote mobility and address workforce shortages by eliminating the necessity for licenses in multiple states by providing for the mutual recognition of other member state licenses.

(6) Support military families.

(7) Facilitate the exchange of licensure and disciplinary information among member states.

(8) Authorize all member states to hold a regulated social worker accountable for abiding by a member state's laws, regulations, and applicable professional standards in the member state in which the client is located at the time care is rendered.

(9) Allow for the use of telehealth to facilitate increased access to regulated social work services.

(85 Del. Laws, c. 174, § 1.)

§ 3902D Definitions.

As used in this Compact, and except as otherwise provided, the following definitions apply:

(1) "Active military member" means any individual with full-time duty status in the active armed forces of the United States including members of the National Guard and Reserve.

(2) "Adverse action" means any administrative, civil, equitable, or criminal action permitted by a state's laws which is imposed by a licensing authority or other authority against a regulated social worker, including actions against an individual's license or Multistate Authorization to Practice such as revocation, suspension, probation, monitoring of the licensee, limitation on the licensee's practice, or any other encumbrance on licensure affecting a regulated social worker's authorization to practice, including issuance of a cease and desist action.

(3) "Alternative program" means a nondisciplinary monitoring or practice remediation process approved by a licensing authority to address practitioners with an impairment.

(4) "Charter member states" means member states who have enacted legislation to adopt this Compact where such legislation predates April 12, 2024.

(5) "Compact Commission" or "Commission" means the government agency whose membership consists of all states that have enacted this Compact, which is known as the Social Work Licensure Compact Commission, as described in § 3910D of this title, and which shall operate as an instrumentality of the member states.

(6) "Current significant investigative information" means either of the following:

a. Investigative information that a licensing authority, after a preliminary inquiry that includes notification and an opportunity for the regulated social worker to respond has reason to believe is not groundless and, if proved true, would indicate more than a minor infraction as may be defined by the Commission.

b. Investigative information that indicates that the regulated social worker represents an immediate threat to public health and safety, as may be defined by the Commission, regardless of whether the regulated social worker has been notified and has had an opportunity to respond.

(7) "Data system" means a repository of information about licensees, including continuing education, examination, licensure, current significant investigative information, disqualifying event, multistate licenses, adverse action information, or other information as required by the Commission.

(8) "Disqualifying event" means any adverse action or incident which results in an encumbrance that disqualifies or makes the licensee ineligible to either obtain, retain or renew a Multistate License.

(9) “Domicile” means the jurisdiction in which the licensee resides and intends to remain indefinitely.

(10) “Encumbrance” means a revocation or suspension of, or any limitation on, the full and unrestricted practice of social work licensed and regulated by a licensing authority.

(11) “Executive committee” means a group of delegates elected or appointed to act on behalf of, and within the powers granted to them by, the Compact and Commission.

(12) “Home state” means the member state that is the licensee’s primary domicile.

(13) “Impairment” means a condition that may impair a practitioner’s ability to engage in full and unrestricted practice as a regulated social worker without some type of intervention and may include alcohol and drug dependence, mental health impairment, and neurological or physical impairments.

(14) “Licensee” means an individual who currently holds a license from a state to practice as a regulated social worker.

(15) “Licensing authority” means the board or agency of a member state, or equivalent, that is responsible for the licensing and regulation of regulated social workers.

(16) “Member state” means a state, commonwealth, district, or territory of the United States of America that has enacted this Compact.

(17) “Multistate Authorization to Practice” means a legally authorized privilege to practice, which is equivalent to a license, associated with a Multistate License permitting the practice of social work in a remote state.

(18) “Multistate License” means a license to practice as a regulated social worker issued by a home state licensing authority that authorizes the regulated social worker to practice in all member states under a Multistate Authorization to Practice.

(19) “Qualifying national exam” means a national licensing examination approved by the Commission.

(20) “Regulated social worker” means any clinical, master’s, or bachelor’s social worker licensed by a member state regardless of the title used by that member state.

(21) “Remote state” means a member state other than the licensee’s home state.

(22) “Rules” or “rules of the Commission” means a regulation or regulations duly promulgated by the Commission, as authorized by the Compact, that has the force of law.

(23) “Single state license” means a social work license issued by any state that authorizes practice only within the issuing state and does not include Multistate Authorization to Practice in any member state.

(24) “Social work” or “social work services” means the application of social work theory, knowledge, methods, ethics, and the professional use of self to restore or enhance social, psychosocial, or biopsychosocial functioning of individuals, couples, families, groups, organizations, and communities through the care and services provided by a regulated social worker as set forth in the member state’s statutes and regulations in the state where the services are being provided.

(25) “State” means any state, commonwealth, district, or territory of the United States of America that regulates the practice of social work.

(26) “Unencumbered license” means a license that authorizes a regulated social worker to engage in the full and unrestricted practice of social work.

(85 Del. Laws, c. 174, § 1.)

§ 3903D State participation in the Compact.

(a) To be eligible to participate in the compact, a potential member state must currently meet all of the following criteria:

(1) License and regulate the practice of social work at either the clinical, master’s, or bachelor’s category.

(2) Require applicants for licensure to graduate from a program that is:

a. Operated by a college or university recognized by the licensing authority.

b. Accredited, or in candidacy by an institution that subsequently becomes accredited, by an accrediting agency recognized by either of the following:

1. The Council for Higher Education Accreditation, or its successor.

2. The United States Department of Education.

c. Corresponds to the licensure sought as outlined in § 3904D of this title.

(3) Require applicants for clinical licensure to complete a period of supervised practice.

(4) Have a mechanism in place for receiving, investigating, and adjudicating complaints about licensees.

(b) To maintain membership in the Compact, a member state shall:

(1) Require that applicants for a Multistate License pass a qualifying national exam for the corresponding category of Multistate License sought as outlined in § 3904D of this title.

(2) Participate fully in the Commission’s data system, including using the Commission’s unique identifier as defined in rules.

(3) Notify the Commission, in compliance with the terms of the Compact and rules, of any adverse action or the availability of current significant investigative information regarding a licensee.

(4) Implement procedures for considering the criminal history records of applicants for a Multistate License. Such procedures include the submission of fingerprints or other biometric-based information by applicants for the purpose of obtaining an applicant’s criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state’s criminal

records.

(5) Comply with the rules of the Commission.

(6) Require an applicant to obtain or retain a license in the home state and meet the home state's qualifications for licensure or renewal of licensure, as well as all other applicable home state laws.

(7) Authorize a licensee holding a Multistate License in any member state to practice in accordance with the terms of the Compact and rules of the Commission.

(8) Designate a delegate to participate in the Commission meetings.

(c) A member state meeting the requirements of subsections (a) and (b) of this section shall designate the categories of social work licensure that are eligible for issuance of a Multistate License for applicants in such member state. To the extent that any member state does not meet the requirements for participation in the Compact at any particular category of social work licensure, such member state may choose, but is not obligated to, issue a Multistate License to applicants that otherwise meet the requirements of § 3904D of this title for issuance of a Multistate License in such category or categories of licensure.

(d) The home state may charge a fee for granting the Multistate License.

(85 Del. Laws, c. 174, § 1.)

§ 3904D Social worker participation in the Compact.

(a) To be eligible for a Multistate License under the terms and provisions of the Compact, an applicant, regardless of category must:

(1) Hold or be eligible for an active, unencumbered license in the home state.

(2) Pay any applicable fees, including any state fee, for the Multistate License.

(3) Submit, in connection with an application for a Multistate License, fingerprints or other biometric data for the purpose of obtaining criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state's criminal records.

(4) Notify the home state of any adverse action, encumbrance, or restriction on any professional license taken by any member state or nonmember state within 30 days from the date the action is taken.

(5) Meet any continuing competence requirements established by the home state.

(6) Abide by the laws, regulations, and applicable standards in the member state where the client is located at the time care is rendered.

(b) An applicant for a clinical-category Multistate License must meet all of the following requirements:

(1) Fulfill a competency requirement, which shall be satisfied by any of the following:

a. Passage of a clinical-category qualifying national exam.

b. Licensure of the applicant in their home state at the clinical category, beginning prior to such time as a qualifying national exam was required by the home state and accompanied by a period of continuous social work licensure thereafter, all of which may be further governed by the rules of the Commission; or

c. The substantial equivalency of the foregoing competency requirements, from paragraphs (b)(1)a. and b. of this section, which the Commission may determine by rule.

(2) Attain at least a master's degree in social work from a program that is:

a. Operated by a college or university recognized by the licensing authority.

b. Accredited, or in candidacy that subsequently becomes accredited, by an accrediting agency recognized by either:

1. The Council for Higher Education Accreditation or its successor.

2. The United States Department of Education.

(3) Fulfill a practice requirement, which shall be satisfied by demonstrating completion of any of the following:

a. A period of postgraduate supervised clinical practice equal to a minimum of 3,000 hours; or

b. A minimum of 2 years of full-time postgraduate supervised clinical practice; or

c. The substantial equivalency of the foregoing practice requirements, from paragraphs (b)(3)a. and b. of this section, which the Commission may determine by rule.

(c) An applicant for a master's-category Multistate License must meet all of the following requirements:

(1) Fulfill a competency requirement, which shall be satisfied by any of the following:

a. Passage of a master's-category qualifying national exam.

b. Licensure of the applicant in their home state at the master's category, beginning prior to such time as a qualifying national exam was required by the home state at the master's category and accompanied by a continuous period of social work licensure thereafter, all of which may be further governed by the rules of the Commission.

c. The substantial equivalency of the foregoing competency requirements, from paragraphs (c)(1)a. and b. of this section, which the Commission may determine by rule.

(2) Attain at least a master's degree in social work from a program that is:

a. Operated by a college or university recognized by the licensing authority.

b. Accredited, or in candidacy that subsequently becomes accredited, by an accrediting agency recognized by either:

1. The Council for Higher Education Accreditation or its successor.

2. The United States Department of Education.

(d) An applicant for a bachelor's-category Multistate License must meet all of the following requirements:

(1) Fulfill a competency requirement, which shall be satisfied by any of the following:

a. Passage of a bachelor's-category qualifying national exam.

b. Licensure of the applicant in their home state at the bachelor's category, beginning prior to such time as a qualifying national exam was required by the home state and accompanied by a period of continuous social work licensure thereafter, all of which may be further governed by the rules of the Commission.

c. The substantial equivalency of the foregoing competency requirements, from paragraphs (d)(1)a. and b. of this section, which the Commission may determine by rule.

(2) Attain at least a bachelor's degree in social work from a program that is:

a. Operated by a college or university recognized by the licensing authority.

b. Accredited, or in candidacy that subsequently becomes accredited, by an accrediting agency recognized by either:

1. The Council for Higher Education Accreditation or its successor.

2. The United States Department of Education.

(e) The Multistate License for a regulated social worker is subject to the renewal requirements of the home state. The regulated social worker must maintain compliance with the requirements of subsection (a) of this section to be eligible to renew a Multistate License.

(f) The regulated social worker's services in a remote state are subject to that member state's regulatory authority. A remote state may, in accordance with due process and that member state's laws, remove a regulated social worker's Multistate Authorization to Practice in the remote state for a specific period of time, impose fines, and take any other necessary actions to protect the health and safety of its citizens.

(g) If a Multistate License is encumbered, the regulated social worker's Multistate Authorization to Practice shall be deactivated in all remote states until the Multistate License is no longer encumbered.

(h) If a Multistate Authorization to Practice is encumbered in a remote state, the regulated social worker's Multistate Authorization to Practice may be deactivated in that state until the Multistate Authorization to Practice is no longer encumbered.

(85 Del. Laws, c. 174, § 1.)

§ 3905D Issuance of a Multistate License.

(a) Upon receipt of an application for Multistate License, the home state licensing authority shall determine the applicant's eligibility for a Multistate License in accordance with § 3904D of this title.

(b) If such applicant is eligible pursuant to § 3904D of this title, the home state licensing authority shall issue a Multistate License that authorizes the applicant or regulated social worker to practice in all member states under a Multistate Authorization to Practice.

(c) Upon issuance of a Multistate License, the home state licensing authority shall designate whether the regulated social worker holds a Multistate License in the bachelor's, master's, or clinical category of social work.

(d) A Multistate License issued by a home state to a resident in that state shall be recognized by all Compact member states as authorizing social work practice under a Multistate Authorization to Practice corresponding to each category of licensure regulated in each member state.

(85 Del. Laws, c. 174, § 1.)

§ 3906D Authority of Interstate Compact Commission and member state licensing authorities.

(a) Nothing in this Compact, nor any rule of the Commission, shall be construed to limit, restrict, or in any way reduce the ability of a member state to enact and enforce laws, regulations, or other rules related to the practice of social work in that state, where those laws, regulations, or other rules are not inconsistent with the provisions of this Compact.

(b) Nothing in this Compact shall affect the requirements established by a member state for the issuance of a single state license.

(c) Nothing in this Compact, nor any rule of the Commission, shall be construed to limit, restrict, or in any way reduce the ability of a member state to take adverse action against a licensee's single state license to practice social work in that state.

(d) Nothing in this Compact, nor any rule of the Commission, shall be construed to limit, restrict, or in any way reduce the ability of a remote state to take adverse action against a licensee's Multistate Authorization to Practice in that state.

(e) Nothing in this Compact, nor any rule of the Commission, shall be construed to limit, restrict, or in any way reduce the ability of a licensee's home state to take adverse action against a licensee's Multistate License based upon information provided by a remote state.

(85 Del. Laws, c. 174, § 1.)

§ 3907D Reissuance of a Multistate License by a new home state.

(a) A Licensee can hold a Multistate License, issued by their home state, in only 1 member state at any given time.

(b) If a licensee changes their home state by moving between 2 member states:

(1) The licensee shall immediately apply for the reissuance of their Multistate License in their new home state. The licensee shall pay all applicable fees and notify the prior home state in accordance with the rules of the Commission.

(2) Upon receipt of an application to reissue a Multistate License, the new home state shall verify that the Multistate License is active, unencumbered, and eligible for reissuance under the terms of the Compact and the rules of the Commission. The Multistate License issued by the prior home state will be deactivated and all member states notified in accordance with the applicable rules adopted by the

Commission.

(3) Prior to the reissuance of the Multistate License, the new home state shall conduct procedures for considering the criminal history records of the licensee. Such procedures shall include the submission of fingerprints or other biometric-based information by applicants for the purpose of obtaining an applicant's criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state's criminal records.

(4) If required for initial licensure, the new home state may require completion of jurisprudence requirements in the new home state.

(5) Notwithstanding any other provision of this Compact, if a licensee does not meet the requirements set forth in this Compact for the reissuance of a Multistate License by the new home state, then the licensee shall be subject to the new home state requirements for the issuance of a single state license in that state.

(c) If a Licensee changes their primary state of residence by moving from a member state to a nonmember state, or from a nonmember state to a member state, then the licensee shall be subject to the state requirements for the issuance of a single state license in the new home state.

(d) Nothing in this Compact shall interfere with a licensee's ability to hold a single state license in multiple states; however, for the purposes of this Compact, a licensee shall have only 1 home state, and only 1 Multistate License.

(e) Nothing in this Compact shall interfere with the requirements established by a member state for the issuance of a single state license.

(85 Del. Laws, c. 174, § 1.)

§ 3908D Military families.

An active military member or the military member's spouse shall designate a home state where the individual has a Multistate License. The individual may retain the individual's home state designation during the period the service member is on active duty.

(85 Del. Laws, c. 174, § 1.)

§ 3909D Adverse actions.

(a) In addition to the other powers conferred by state law, a remote state has the authority, in accordance with existing state due process law, to:

(1) Take adverse action against a regulated social worker's Multistate Authorization to Practice only within that member state, and issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing authority in a member state for the attendance and testimony of witnesses or the production of evidence from another member state shall be enforced in the latter state by any court of competent jurisdiction, according to the practice and procedure of that court applicable to subpoenas issued in proceedings pending before it. The issuing licensing authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the state in which the witnesses or evidence are located.

(2) Only the home state has the power to take adverse action against a regulated social worker's Multistate License.

(b) For purposes of taking adverse action, the home state shall give the same priority and effect to reported conduct received from a member state as it would if the conduct had occurred within the home state. In so doing, the home state shall apply its own state laws to determine appropriate action.

(c) The home state shall complete any pending investigations of a regulated social worker who changes their home state during the course of the investigations. The home state shall also have the authority to take appropriate action and shall promptly report the conclusions of the investigations to the administrator of the data system. The administrator of the data system shall promptly notify the new home state of any adverse actions.

(d) A member state, if otherwise permitted by state law, may recover from the affected regulated social worker the costs of investigations and dispositions of cases resulting from any adverse action taken against that regulated social worker.

(e) A member state may take adverse action based on the factual findings of another member state, provided that the member state follows its own procedures for taking the adverse action.

(f) Joint investigations. —

(1) In addition to the authority granted to a member state by its respective social work practice act or other applicable state law, any member state may participate with other member states in joint investigations of licensees.

(2) Member states shall share any investigative, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under the Compact.

(g) If adverse action is taken by the home state against the Multistate License of a regulated social worker, the regulated social worker's Multistate Authorization to Practice in all other member states shall be deactivated until all encumbrances have been removed from the Multistate License. All home state disciplinary orders that impose adverse action against the license of a regulated social worker shall include a statement that the regulated social worker's Multistate Authorization to Practice is deactivated in all member states until all conditions of the decision, order, or agreement are satisfied.

(h) If a member state takes adverse action, it shall promptly notify the administrator of the data system. The administrator of the data system shall promptly notify the home state and all other member states of any adverse actions by remote states.

(i) Nothing in this Compact shall override a member state's decision that participation in an alternative program may be used in lieu of

adverse action. Nothing in this Compact shall authorize a member state to demand the issuance of subpoenas for attendance and testimony of witnesses or the production of evidence from another member state for lawful actions within that member state.

(j) Nothing in this Compact shall authorize a member state to impose discipline against a regulated social worker who holds a Multistate Authorization to Practice for lawful actions within another member state.

(85 Del. Laws, c. 174, § 1.)

§ 3910D Establishment of Social Work Licensure Compact Commission.

(a) The Compact member states hereby create and establish a joint government agency whose membership consists of all member states that have enacted the compact known as the Social Work Licensure Compact Commission. The Commission is an instrumentality of the Compact states acting jointly and not an instrumentality of any 1 state. The Commission shall come into existence on or after April 12, 2024.

(b) *Membership, voting, and meetings. —*

(1) Each member state shall have and be limited to 1 delegate selected by that member state's state licensing authority.

(2) The delegate shall be either of the following:

a. A current member of the state licensing authority at the time of appointment, who is a regulated social worker or public member of the state licensing authority.

b. An administrator of the state licensing authority or the administrator's designee.

(3) The Commission shall by rule or bylaw establish a term of office for delegates and may by rule or bylaw establish term limits.

(4) The Commission may recommend removal or suspension of any delegate from office.

(5) A member state's state licensing authority shall fill any vacancy of its delegate occurring on the Commission within 60 days of the vacancy.

(6) Each delegate shall be entitled to 1 vote on all matters before the Commission requiring a vote by Commission delegates.

(7) A delegate shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for delegates to meet by telecommunication, videoconference, or other means of communication.

(8) The Commission shall meet at least once during each calendar year. Additional meetings may be held as set forth in the bylaws. The Commission may meet by telecommunication, video conference, or other similar electronic means.

(c) The Commission shall have the following powers:

(1) Establish the fiscal year of the Commission.

(2) Establish code of conduct and conflict of interest policies.

(3) Establish and amend rules and bylaws.

(4) Maintain its financial records in accordance with the bylaws.

(5) Meet and take such actions as are consistent with the provisions of this Compact, the Commission's rules, and the bylaws.

(6) Initiate and conclude legal proceedings or actions in the name of the Commission, provided that the standing of any state licensing board to sue or be sued under applicable law shall not be affected.

(7) Maintain and certify records and information provided to a member state as the authenticated business records of the Commission, and designate an agent to do so on the Commission's behalf.

(8) Purchase and maintain insurance and bonds.

(9) Borrow, accept, or contract for services of personnel, including employees of a member state.

(10) Conduct an annual financial review.

(11) Hire employees, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of the Compact, and establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters.

(12) Assess and collect fees.

(13) Accept any and all appropriate gifts, donations, grants of money, other sources of revenue, equipment, supplies, materials, and services, and receive, utilize, and dispose of the same; provided that at all times the Commission shall avoid any appearance of impropriety or conflict of interest.

(14) Lease, purchase, retain, own, hold, improve, or use any property, real, personal, or mixed, or any undivided interest therein.

(15) Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property, real, personal, or mixed.

(16) Establish a budget and make expenditures.

(17) Borrow money.

(18) Appoint committees, including standing committees, composed of members, state regulators, state legislators or the legislators' representatives, and consumer representatives, and such other interested persons as may be designated in this Compact and the bylaws.

(19) Provide and receive information from, and cooperate with, law enforcement agencies.

(20) Establish and elect an executive committee, including a chair and a vice chair.

(21) Determine whether a state's adopted language is materially different from the model compact language such that the state would not qualify for participation in the Compact.

(22) Perform such other functions as may be necessary or appropriate to achieve the purposes of this Compact.

(d) *The Executive Committee*. —

(1) The Executive Committee shall have the power to act on behalf of the Commission according to the terms of this Compact. The powers, duties, and responsibilities of the Executive Committee shall include:

a. Oversee the day-to-day activities of the administration of the Compact including enforcement and compliance with the provisions of the Compact, its rules and bylaws, and other such duties as deemed necessary.

b. Recommend to the Commission changes to the rules or bylaws, changes to this Compact legislation, fees charged to Compact member states, fees charged to licensees, and other fees.

c. Ensure Compact administration services are appropriately provided, including by contract.

d. Prepare and recommend the budget.

e. Maintain financial records on behalf of the Commission.

f. Monitor Compact compliance of member states and provide compliance reports to the Commission.

g. Establish additional committees as necessary.

h. Exercise the powers and duties of the Commission during the interim between Commission meetings, except for adopting or amending rules, adopting or amending bylaws, and exercising any other powers and duties expressly reserved to the Commission by rule or bylaw.

i. Other duties as provided in the rules or bylaws of the Commission.

(2) The Executive Committee shall be composed of up to 11 members:

a. The chair and vice chair of the Commission shall be voting members of the Executive Committee.

b. The Commission shall elect 5 voting members from the current membership of the Commission.

c. Up to 4 ex-officio, nonvoting members from 4 recognized national social work organizations.

d. The ex-officio members will be selected by their respective organizations.

(3) The Commission may remove any member of the Executive Committee as provided in the Commission's bylaws.

(4) The Executive Committee shall meet at least annually.

a. Executive Committee meetings shall be open to the public, except that the Executive Committee may meet in a closed, nonpublic meeting as provided in paragraph (f)(2) of this section.

b. The Executive Committee shall give 7 days' notice of its meetings, posted on its website and as determined to provide notice to persons with an interest in the business of the Commission.

c. The Executive Committee may hold a special meeting in accordance with paragraph (f)(1)b. of this section.

(e) The Commission shall adopt and provide to the member states an annual report.

(f) *Meetings of the Commission*. —

(1) All meetings shall be open to the public, except that the Commission may meet in a closed, nonpublic meeting as provided in paragraph (2) of this subsection.

a. Public notice for all meetings of the full Commission of meetings shall be given in the same manner as required under the rulemaking provisions in § 3912D of this title, except that the Commission may hold a special meeting as provided in paragraph (f)(1)b. of this section.

b. The Commission may hold a special meeting when it must meet to conduct emergency business by giving 48 hours' notice to all commissioners, on the Commission's website, and other means as provided in the Commission's rules. The Commission's legal counsel shall certify that the Commission's need to meet qualifies as an emergency.

(2) The Commission or the Executive Committee or other committees of the Commission may convene in a closed, nonpublic meeting for the Commission or Executive Committee or other committees of the Commission to receive legal advice or to discuss:

a. Noncompliance of a member state with its obligations under the Compact.

b. The employment, compensation, discipline, or other matters, practices, or procedures related to specific employees.

c. Current or threatened discipline of a licensee by the Commission or by a member state's licensing authority.

d. Current, threatened, or reasonably anticipated litigation.

e. Negotiation of contracts for the purchase, lease, or sale of goods, services, or real estate.

f. Accusing any person of a crime or formally censuring any person.

g. Trade secrets or commercial or financial information that is privileged or confidential.

h. Information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy.

i. Investigative records compiled for law enforcement purposes.

j. Information related to any investigative reports prepared by or on behalf of or for use of the Commission or other committee charged with responsibility of investigation or determination of compliance issues pursuant to the Compact.

k. Matters specifically exempted from disclosure by federal or member state law.

l. Other matters as promulgated by the Commission by rule.

(3) If a meeting, or portion of a meeting, is closed, the presiding officer shall state that the meeting will be closed and reference each relevant exempting provision, and such reference shall be recorded in the minutes.

(4) The Commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and

accurate summary of actions taken, and the reasons therefore, including a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release only by a majority vote of the Commission or order of a court of competent jurisdiction.

(g) *Financing of the Commission*. —

(1) The Commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.

(2) The Commission may accept any and all appropriate revenue sources as provided in paragraph (c)(13) of this section.

(3) The Commission may levy on and collect an annual assessment from each member state and impose fees on licensees of member states to whom it grants a Multistate License to cover the cost of the operations and activities of the Commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount for member states shall be allocated based upon a formula that the Commission shall promulgate by rule.

(4) The Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the Commission pledge the credit of any of the member states, except by and with the authority of the member state.

(5) The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission shall be subject to the financial review and accounting procedures established under its bylaws. However, all receipts and disbursements of funds handled by the Commission shall be subject to an annual financial review by a certified or licensed public accountant, and the report of the financial review shall be included in and become part of the annual report of the Commission.

(h) *Qualified immunity, defense, and indemnification*. —

(1) The members, officers, executive director, employees, and representatives of the Commission shall be immune from suit and liability, both personally and in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit or liability for any damage, loss, injury, or liability caused by the intentional or wilful or wanton misconduct of that person. The procurement of insurance of any type by the Commission shall not in any way compromise or limit the immunity granted hereunder.

(2) The Commission shall defend any member, officer, executive director, employee, or representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or as determined by the Commission that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining their own counsel at their own expense; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or wilful or wanton misconduct.

(3) The Commission shall indemnify and hold harmless any member, officer, executive director, employee, or representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or wilful or wanton misconduct of that person.

(4) Nothing herein shall be construed as a limitation on the liability of any licensee for professional malpractice or misconduct, which shall be governed solely by any other applicable state laws.

(5) Nothing in this Compact shall be interpreted to waive or otherwise abrogate a member state's state action immunity or state action affirmative defense with respect to antitrust claims under the Sherman Act [15 U.S.C. § 1 et seq.], Clayton Act [15 U.S.C. § 12 et seq.], or any other state or federal antitrust or anticompetitive law or regulation.

(6) Nothing in this Compact shall be construed to be a waiver of sovereign immunity by the member states or by the Commission.

(85 Del. Laws, c. 174, § 1.)

§ 3911D Data system.

(a) The Commission shall provide for the development, maintenance, operation, and utilization of a coordinated data system.

(b) The Commission shall assign each applicant for a Multistate License a unique identifier, as determined by the rules of the Commission.

(c) Notwithstanding any other provision of state law to the contrary, a member state shall submit a uniform data set to the data system on all individuals to whom this Compact is applicable as required by the rules of the Commission, including:

(1) Identifying information.

(2) Licensure data.

(3) Adverse actions against a license and information related thereto.

(4) Nonconfidential information related to alternative program participation, the beginning and ending dates of such participation, and other information related to such participation not made confidential under member state law.

(5) Any denial of application for licensure, and the reason for such denial.

(6) The presence of current significant investigative information.

(7) Other information that may facilitate the administration of this Compact or the protection of the public, as determined by the rules of the Commission.

(d) The records and information provided to a member state pursuant to this Compact or through the data system, when certified by the Commission or an agent thereof, shall constitute the authenticated business records of the Commission, and shall be entitled to any associated hearsay exception in any relevant judicial, quasi-judicial or administrative proceedings in a member state.

(e) Current significant investigative information pertaining to a licensee in any member state will only be available to other member states.

(1) It is the responsibility of the member states to report any adverse action against a licensee and to monitor the database to determine whether adverse action has been taken against a licensee. Adverse action information pertaining to a licensee in any member state will be available to any other member state.

(f) Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state.

(g) Any information submitted to the data system that is subsequently expunged pursuant to federal law or the laws of the member state contributing the information shall be removed from the data system.

(85 Del. Laws, c. 174, § 1.)

§ 3912D Rulemaking.

(a) The Commission shall promulgate reasonable rules in order to effectively and efficiently implement and administer the purposes and provisions of the Compact. A rule shall be invalid and have no force or effect only if a court of competent jurisdiction holds that the rule is invalid because the Commission exercised its rulemaking authority in a manner that is beyond the scope and purposes of the Compact, or the powers granted hereunder, or based upon another applicable standard of review.

(b) The rules of the Commission shall have the force of law in each member state, provided however that where the rules of the Commission conflict with the laws of the member state that establish the member state's laws, regulations, and applicable standards that govern the practice of social work as held by a court of competent jurisdiction, the rules of the Commission shall be ineffective in that state to the extent of the conflict.

(c) The Commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Rules shall become binding on the day following adoption or the date specified in the rule or amendment, whichever is later.

(d) If a majority of the legislatures of the member states rejects a rule or portion of a rule, by enactment of a statute or resolution in the same manner used to adopt the Compact within 4 years of the date of adoption of the rule, then such rule shall have no further force and effect in any member state.

(e) Rules shall be adopted at a regular or special meeting of the Commission.

(f) Prior to adoption of a proposed rule, the Commission shall hold a public hearing and allow persons to provide oral and written comments, data, facts, opinions, and arguments.

(g) Prior to adoption of a proposed rule by the Commission, and at least 30 days in advance of the meeting at which the Commission will hold a public hearing on the proposed rule, the Commission shall provide a notice of proposed rulemaking:

(1) On the website of the Commission or other publicly accessible platform.

(2) To persons who have requested notice of the Commission's notices of proposed rulemaking.

(3) In such other ways as the Commission may by rule specify.

(h) The notice of proposed rulemaking shall include:

(1) The time, date, and location of the public hearing at which the Commission will hear public comments on the proposed rule and, if different, the time, date, and location of the meeting where the Commission will consider and vote on the proposed rule.

(2) If the hearing is held via telecommunication, video conference, or other electronic means, the Commission shall include the mechanism for access to the hearing in the notice of proposed rulemaking.

(3) The text of the proposed rule and the reason therefor.

(4) A request for comments on the proposed rule from any interested person.

(5) The manner in which interested persons may submit written comments.

(i) All hearings will be recorded. A copy of the recording and all written comments and documents received by the Commission in response to the proposed rule shall be available to the public.

(j) Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the Commission at hearings required by this section.

(k) The Commission shall, by majority vote of all members, take final action on the proposed rule based on the rulemaking record and the full text of the rule.

(1) The Commission may adopt changes to the proposed rule provided the changes do not enlarge the original purpose of the proposed rule.

(2) The Commission shall provide an explanation of the reasons for substantive changes made to the proposed rule as well as reasons for substantive changes not made that were recommended by commenters.

(3) The Commission shall determine a reasonable effective date for the rule. Except for an emergency as provided in subsection (*l*) of this section, the effective date of the rule shall be no sooner than 30 days after issuing the notice that it adopted or amended the rule.

(*l*) Upon determination that an emergency exists, the Commission may consider and adopt an emergency rule with 48 hours' notice, with opportunity to comment, provided that the usual rulemaking procedures provided in the Compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately in order to do any of the following:

(1) Meet an imminent threat to public health, safety, or welfare.

(2) Prevent a loss of Commission or member state funds.

(3) Meet a deadline for the promulgation of a rule that is established by federal law or rule.

(4) Protect public health and safety.

(m) The Commission or an authorized committee of the Commission may direct revisions to a previously adopted rule for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the Commission. The revision shall be subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing and delivered to the Commission prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the Commission.

(n) No member state's rulemaking requirements shall apply under this Compact.

(85 Del. Laws, c. 174, § 1.)

§ 3913D Oversight, dispute resolution, and enforcement.

(a) *Oversight. —*

(1) The executive and judicial branches of state government in each member state shall enforce this Compact and take all actions necessary and appropriate to implement the Compact.

(2) Except as otherwise provided in this Compact, venue is proper and judicial proceedings by or against the Commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings. Nothing herein shall affect or limit the selection or propriety of venue in any action against a licensee for professional malpractice, misconduct, or any such similar matter.

(3) The Commission shall be entitled to receive service of process in any proceeding regarding the enforcement or interpretation of the Compact and shall have standing to intervene in such a proceeding for all purposes. Failure to provide the Commission service of process shall render a judgment or order void as to the Commission, this Compact, or promulgated rules.

(b) *Default, technical assistance, and termination. —*

(1) If the Commission determines that a member state has defaulted in the performance of its obligations or responsibilities under this Compact or the promulgated rules, the Commission shall provide written notice to the defaulting state. The notice of default shall describe the default, the proposed means of curing the default, and any other action that the Commission may take, and shall offer training and specific technical assistance regarding the default.

(2) The Commission shall provide a copy of the notice of default to the other member states.

(c) If a state in default fails to cure the default, the defaulting state may be terminated from the Compact upon an affirmative vote of a majority of the delegates of the member states, and all rights, privileges, and benefits conferred on that state by this Compact may be terminated on the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.

(d) Termination of membership in the Compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the Commission to the governor, the majority and minority leaders of the defaulting state's legislature, the defaulting state's state licensing authority and each of the member states' state licensing authority.

(e) A state that has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.

(f) Upon the termination of a state's membership from this Compact, that state shall immediately provide notice to all licensees within that state of such termination. The terminated state shall continue to recognize all licenses granted pursuant to this Compact for a minimum of 6 months after the date of said notice of termination.

(g) The Commission shall not bear any costs related to a state that is found to be in default or that has been terminated from the Compact, unless agreed upon in writing between the Commission and the defaulting state.

(h) The defaulting state may appeal the action of the Commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the Commission has its principal offices. The prevailing party shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(i) *Dispute resolution. —*

(1) Upon request by a member state, the Commission shall attempt to resolve disputes related to the Compact that arise among member states and between member and nonmember states.

(2) The Commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes as appropriate.

(j) *Enforcement. —*

(1) By majority vote as provided by rule, the Commission may initiate legal action against a member state in default in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices to enforce compliance with the provisions of the Compact and its promulgated rules. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorneys' fees. The remedies herein shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under federal or the defaulting member state's law.

(2) A member state may initiate legal action against the Commission in the U.S. District Court for the District of Columbia or the federal district where the Commission has its principal offices to enforce compliance with the provisions of the Compact and its promulgated rules. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorneys' fees.

(3) No person other than a member state shall enforce this Compact against the Commission.

(85 Del. Laws, c. 174, § 1.)

§ 3914D Effective date, withdrawal, and amendment.

(a) The Compact shall come into effect on the date on which the Compact statute is enacted into law in the seventh member state [April 12, 2024].

(1) On or after April 12, 2024, the Commission shall convene and review the enactment of each of the first 7 member states ("charter member states") to determine if the statute enacted by each such charter member state is materially different than the model Compact statute.

a. A charter member state whose enactment is found to be materially different from the model Compact statute shall be entitled to the default process set forth in § 3913D of this title.

b. If any member state is later found to be in default, or is terminated or withdraws from the Compact, the Commission shall remain in existence and the Compact shall remain in effect even if the number of member states should be less than 7.

(2) Member states enacting the Compact subsequent to the 7 initial charter member states shall be subject to the process set forth in § 3910D(c)(21) of this title to determine if their enactments are materially different from the model Compact statute and whether they qualify for participation in the Compact.

(3) All actions taken for the benefit of the Commission or in furtherance of the purposes of the administration of the Compact prior to April 12, 2024, or the Commission coming into existence shall be considered to be actions of the Commission unless specifically repudiated by the Commission.

(4) Any state that joins the Compact subsequent to the Commission's initial adoption of the rules and bylaws shall be subject to the rules and bylaws as they exist on the date on which the Compact becomes law in that state. Any rule that has been previously adopted by the Commission shall have the full force and effect of law on the day the Compact becomes law in that state.

(b) Any member state may withdraw from this Compact by enacting a statute repealing the same.

(1) A member state's withdrawal shall not take effect until 180 days after enactment of the repealing statute.

(2) Withdrawal shall not affect the continuing requirement of the withdrawing state's licensing authority to comply with the investigative and adverse action reporting requirements of this Compact prior to the effective date of withdrawal.

(3) Upon the enactment of a statute withdrawing from this compact, a state shall immediately provide notice of such withdrawal to all licensees within that state. Notwithstanding any subsequent statutory enactment to the contrary, such withdrawing state shall continue to recognize all licenses granted pursuant to this compact for a minimum of 180 days after the date of such notice of withdrawal.

(c) Nothing contained in this Compact shall be construed to invalidate or prevent any licensure agreement or other cooperative arrangement between a member state and a nonmember state that does not conflict with the provisions of this Compact.

(d) This Compact may be amended by the member states. No amendment to this Compact shall become effective and binding upon any member state until it is enacted into the laws of all member states.

(85 Del. Laws, c. 174, § 1.)

§ 3915D Construction and severability.

(a) This Compact and the Commission's rulemaking authority shall be liberally construed so as to effectuate the purposes, and the implementation and administration of the Compact. Provisions of the Compact expressly authorizing or requiring the promulgation of rules shall not be construed to limit the Commission's rulemaking authority solely for those purposes.

(b) The provisions of this Compact shall be severable and if any phrase, clause, sentence, or provision of this Compact is held by a court of competent jurisdiction to be contrary to the constitution of any member state, a state seeking participation in the Compact, or of the United States, or the applicability thereof to any government, agency, person, or circumstance is held to be unconstitutional by a court of competent jurisdiction, the validity of the remainder of this Compact and the applicability thereof to any other government, agency, person, or circumstance shall not be affected thereby.

(c) Notwithstanding subsection (b) of this section, the Commission may deny a state's participation in the Compact or, in accordance

with the requirements of § 3913D(b) of this title, terminate a member state's participation in the Compact, if it determines that a constitutional requirement of a member state is a material departure from the Compact. Otherwise, if this Compact shall be held to be contrary to the constitution of any member state, the Compact shall remain in full force and effect as to the remaining member states and in full force and effect as to the member state affected as to all severable matters.

(85 Del. Laws, c. 174, § 1.)

§ 3916D Consistent effect and conflict with other state laws.

(a) A licensee providing services in a remote state under a Multistate Authorization to Practice shall adhere to the laws and regulations, including laws, regulations, and applicable standards, of the remote state where the client is located at the time care is rendered.

(b) Nothing herein shall prevent or inhibit the enforcement of any other law of a member state that is not inconsistent with the Compact.

(c) Any laws, statutes, regulations, or other legal requirements in a member state in conflict with the Compact are superseded to the extent of the conflict.

(d) All permissible agreements between the Commission and the member states are binding in accordance with their terms.

(85 Del. Laws, c. 174, § 1.)

Chapter 40
REAL ESTATE APPRAISERS

Subchapter I

Council on Real Estate Appraisers

§ 4001 Objectives.

(a) The primary objective of the Council on Real Estate Appraisers, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

(b) The secondary objectives of the Council are to maintain minimum standards of practitioner competency and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Council shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Council; shall adjudicate at informal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against licensed practitioners.

(75 Del. Laws, c. 105, § 3.)

§ 4002 Definitions.

The following words, terms and phrases, when used in this chapter shall have the meanings ascribed to them under this section, except where the context clearly indicates a different meaning:

(1) "Appraisal" shall mean an analysis, opinion, or conclusion relating to the nature, quality, value, or utility of specified interests in, or aspects of, identified real estate as of a specific date. An appraisal may be classified by subject matter into either a valuation or an analysis. A valuation is an estimate of the value of real estate or real property. An analysis is a study of real estate or real property other than estimating value. A competitive market analysis is not an appraisal.

(2) "Appraisal management company" means a corporation, partnership, sole proprietorship, subsidiary or other business entity that directly or indirectly performs appraisal management services, regardless of the use of the term "appraisal management company," "mortgage technology provider," "lender processing services," "lender services," "loan processor," "mortgage services," "real estate closing services provider," "settlement services provider," "vendor management company" or any other term, and that does any of the following:

a. Administers an appraiser panel of independent contract appraisers to perform real property appraisal services in this State for clients.

b. Receives requests for real property appraisal services from clients and, for a fee paid by the client, enters into an agreement with 1 or more independent appraisers to perform the real property appraisal services contained in the request.

c. Otherwise serves as a third-party liaison of appraisal management services between clients and appraisers.

(3) "Appraisal management services" means the process of receiving a request for the performance of real property appraisal services from a client, and for a fee paid by the client, entering into an agreement with 1 or more independent appraisers who are part of an appraiser panel to perform the real property appraisal services contained in the request.

(4) "Appraiser panel" means a group of independent appraisers that has been selected by an appraisal management company to perform real property appraisal services for the appraisal management company.

(5) "Appraisal review" means the act or process of developing and communicating an opinion about the quality of another appraiser's work that was performed as part of an appraisal assignment, but does not include an examination of an appraisal for grammatical, typographical or other similar errors that do not communicate an opinion related to the appraiser's data collection, analysis, opinions, conclusions, estimate of value or compliance with the Uniform Standards of Professional Appraisal Practice.

(6) "AQB" shall mean the Appraisal Qualifications Board appointed by the Appraisal Foundation to establish the minimum education, examination, and experience requirements for real estate appraisers providing appraisals in federally-related transactions.

(7) "Certified general real property appraiser" shall mean a person, who has met the certification requirements of this chapter pertaining to the appraisal of residential and nonresidential real property utilized in connection with federally-related transactions, and who holds a current, valid certificate issued under this chapter.

(8) "Certified residential appraiser" shall mean a person, who has met the certification requirements of this chapter, pertaining solely to the appraisal of residential real property utilized in connection with federally-related transactions, and who holds a current, valid certificate issued under this chapter.

(9) "Classroom hour" shall mean 50 minutes out of each 60-minute hour.

(10) "Controlling person" means any of the following:

a. An owner, officer or director of a corporation, partnership or other business entity seeking to offer appraisal management services in this State.

b. An individual who is employed, appointed or authorized by an appraisal management company and who has the authority to

enter into a contractual relationship with clients for the performance of appraisal management services and to enter into agreements with independent appraisers for the performance of real property appraisal services.

c. An individual who possesses, directly or indirectly, the power to direct or cause the direction of the management or policies of an appraisal management company.

(11) "Council" shall mean the State Council on Real Estate Appraisers established in this chapter.

(12) "Division" shall mean the State Division of Professional Regulation.

(13) "Excessive use or abuse of drugs" shall mean any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed practitioner, or the abuse of alcoholic beverage such that it impairs the ability to perform the work of an appraiser.

(14) "Federal financial institutions regulatory agencies" means the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, the Office of the Comptroller of the Currency, the Office of Thrift Supervision, and the National Credit Union Administration.

(15) "Federally-related transaction" means any real-estate-related financial transaction which a federal financial institutions regulatory agency or the Resolution Trust Corporation engages in, contracts for, or regulates; and requires the services of an appraiser.

(16) "FIRREA" shall mean the Financial Institutions Reform, Recovery and Enforcement Act of 1989, the act of July 21, 2010 [P.L. 111-203, 124 Stat. 2190].

(17) "Licensed real property appraiser" shall mean a person, who has met the licensing requirements of this chapter and who may appraise noncomplex 1 to 4 residential units having a transaction value less than $1,000,000 and complex 1 to 4 residential units having a transaction value of $400,000 or less, and who holds a current, valid license issued under this chapter. Licensed appraisers cannot appraise a property with a market value in excess of $1,000,000.

(18) "Person" shall mean an individual, firm, partnership, corporation, association, joint stock company, limited partnership, limited liability company, and any other legal entity and includes a legal successor of those entities.

(19) "Personal supervision" shall mean the active oversight by the state-licensed or certified real estate appraiser of the real property appraiser trainee. The trainee may assist in the completion of an appraisal report, including an opinion of value, and may co-sign an appraisal, provided that the trainee has been under the personal supervision of the state certified or licensed real estate appraiser, and provided further that the state-certified or licensed real estate appraiser shall review and sign the appraisal report and accept total responsibility for said appraisal report.

(20) "Real estate appraiser" means any person who advises, consults, or prepares analyses with respect to real estate values, uses, sales, developments or disposition, including acquisitions by eminent domain, or renders opinions relevant to the marketability of real estate, as a whole or partial vocation.

(21) "Real estate-related financial transaction" shall mean a transaction involving the following:

a. Sale, lease, purchase, investment in or exchange of real property, including interests in property or the financing thereof.

b. Refinancing of real property or interests in real property.

c. Use of real property or interests in property as security for a loan or investment, including mortgage-backed securities.

(22) "Real property" shall mean 1 or more defined interests, benefits, and rights inherent in the ownership of real estate.

(23) "State" shall mean the State of Delaware.

(24) "Substantially related" shall mean the nature of the criminal conduct for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of real estate appraisal.

(25) "Trainee" shall mean a person who has completed classroom hours of education on real estate matters satisfactory to the Council, as indicated in the rules and regulations, including classroom hours on the topic of Uniform Standards of Professional Appraisal Practice.

(26) "Uniform Standards of Professional Appraisal Practice" (USPAP) shall mean the standards of appraisal practice established by The Appraisal Foundation.

(75 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 340, §§ 1, 2; 79 Del. Laws, c. 163, § 1; 82 Del. Laws, c. 88, § 1; 83 Del. Laws, c. 532, § 1.)

§ 4003 Council on Real Estate Appraisers; appointments; composition; qualifications; term; vacancies; suspension or removal; unexcused absences; compensation.

(a) There is created a State Council on Real Estate Appraisers, which shall administer and enforce this chapter.

(b) The Council shall consist of 9 members, who are residents of this State, and are appointed by the Governor. Four of the 9 members shall be licensed or certified appraisers engaged primarily in the real estate appraisal business or in the appraisal management business, including at least 1 certified general real property appraiser and at least 2 certified residential appraisers. One of the 9 members shall be a certified real estate appraiser also engaged in the real estate brokerage business. One member shall be from the banking community. Three of the 9 members shall be public members. The public members shall not be, nor ever have been, appraisers nor members of the immediate family of an appraiser; shall not have been employed by an appraiser or a company engaged in the practice of appraising; shall not have a material interest in the providing of goods and services to appraisers; nor have been engaged in an activity directly related to appraising. The public members shall be accessible to inquiries, comments and suggestions from the general public.

(c) Except as provided in subsection (d) of this section, each member shall serve a term of 3 years, and may succeed himself or herself

for 1 additional term; provided, however, that where a member was initially appointed to fill a vacancy, such member may succeed himself or herself for only 1 additional full term. Any person appointed to fill a vacancy on the Council shall hold office for the remainder of the unexpired term of the former member. Each term of office shall expire on the date specified in the appointment; however, the member shall remain eligible to participate in Council proceedings unless and until replaced by the Governor.

(d) A person, who has never served on the Council, may be appointed to the Council for 2 consecutive terms; but no such person shall thereafter be eligible for 2 consecutive appointments. No person, who has been twice appointed to the Council or who has served on the Council for 6 years within any 9-year period, shall again be appointed to the Council until an interim period of at least 1 term has expired since such person last served.

(e) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless such an amendment or revision amends this section to permit such an appointment.

(f) A member of the Council shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetency, or neglect of duty. A member subject to disciplinary hearing shall be disqualified from Council business until the charge is adjudicated or the matter is otherwise concluded. A member may appeal any suspension or removal to the Superior Court.

(g) No member of the Council, while serving on the Council, shall hold elective office in any professional association of real estate appraisers; this includes a prohibition against serving as head of the professional association's Political Action Committee (PAC).

(h) The provisions set forth in Chapter 58 of Title 29 shall apply to all members of the Council.

(i) Any member, who is absent without adequate reason for 3 consecutive meetings, or who fails to attend at least 1/2 of all regular business meetings during any calendar year, shall be guilty of neglect of duty.

(j) Each member of the Council shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(75 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 340, § 3; 79 Del. Laws, c. 163, § 1; 81 Del. Laws, c. 85, § 23.)

§ 4004 Organization; meetings; officers; quorum.

(a) The Council shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, and at such times as the chair deems necessary, or, at the request of a majority of Council members.

(b) The Council annually shall elect a chair and vice-chair. Each officer shall serve for 1 year and shall not succeed himself or herself for more than 2 consecutive terms.

(c) A majority of the members shall constitute a quorum for the purpose of transacting business. No disciplinary action shall be taken without the affirmative vote of at least 5 members of the Council.

(d) Minutes of all meetings shall be recorded and the Division shall maintain copies. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(75 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1.)

§ 4005 Records.

The Division shall keep a register of all approved applications for certified real estate appraiser, certified residential real property appraiser, licensed real property appraiser, and real property appraiser trainee, and complete records relating to meetings of the Council, examinations, rosters, changes and additions to the Council's rules and regulations, complaints, hearings, and such other matters as the Council shall determine. Such records shall be prima facie evidence of the proceedings of the Council.

(75 Del. Laws, c. 105, § 3.)

§ 4006 Powers and duties.

(a) The Council on Real Estate Appraisers shall have authority to:

(1) Formulate rules and regulations, with appropriate notice to those affected; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act of this State [Chapter 101 of Title 29]. Each rule or regulation shall implement or clarify a specific section of this chapter.

(2) Designate the application form to be used by all applicants and process all applications.

(3) Designate the written, standardized examination, endorsed by the Appraiser Qualifications Board (AQB), or its successor, and approved by the Council, and graded by the testing service, to be taken by all persons applying for licensure and certification; applicants, who qualify for licensure or certification by reciprocity, shall have achieved a passing score on all parts of the designated examination or a comparable, alternative national or regional examination, if the designated examination was not available at the time of the applicant's original licensure.

(4) Evaluate the credentials of all persons applying for a license or a certificate as an appraiser in this State, in order to determine whether such persons meet the qualifications for licensing or certification set forth in this chapter.

(5) Grant certificates and licenses to, and renew certificates and licenses of, all persons who meet the qualifications for certification or licensure.

(6) Register applicants as real property appraiser trainees.

(7) Issue temporary certificates or licenses to persons who qualify.

(8) Establish by rule and regulation continuing education standards required for license or certification renewal.

(9) [Repealed.]

(10) Refer all complaints from certificate holders, licensees and the public concerning certified or licensed appraisers or concerning practices of the Council or of the profession, to the Division for investigation pursuant to § 8735 of Title 29; and assign a member of the Council to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint.

(11) Conduct hearings and issue orders in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(12) Where it has been determined after a hearing, that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty after time for appeal has lapsed.

(b) The Council on Real Estate Appraisers shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of real estate appraisal.

(75 Del. Laws, c. 105, § 3; 79 Del. Laws, c. 163, § 1.)

Subchapter II

Certificate or License

§ 4007 Certificate or license required.

(a) No person, partnership, association, or corporation shall hold himself, herself, or itself out to the public in this State as being qualified to act as a real estate appraiser, or advertise, or engage in the practice of appraising or assume to act as an appraiser, or use in connection with the person's, partnership's, association's or corporation's name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the person, partnership, association or corporation is qualified to act as an appraiser, unless such person has been duly certified or licensed under this chapter.

(b) Whenever a certificate or license to practice as an appraiser in this State has expired or been suspended or revoked, it shall be unlawful for the person to act as an appraiser in this State.

(c) No person shall act as an appraiser trainee or hold himself or herself out to be an appraiser trainee unless such person has been duly registered by the Council under this chapter.

(75 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 340, § 4.)

§ 4008 Qualifications of applicant; report to Attorney General; judicial review.

(a) An applicant, who is applying for certification or licensure as an appraiser under this chapter, for the relevant certificate, license or registration, shall submit evidence, verified by oath and satisfactory to the Council, that such person:

(1) Has met the qualifications established by the AQB and incorporated into this section by reference.

(2) Shall not have been the recipient of any administrative penalties regarding that applicant's practice as an appraiser, including but not limited to fines, formal reprimands, license suspensions or revocation, (except for license revocations for nonpayment of license renewal fees), probationary limitations, and/or has not entered into any "consent agreements" which contain conditions placed by a Council on that applicant's professional conduct and practice, including any voluntary surrender of a license. The Council may determine after a hearing whether such administrative penalty is grounds to deny licensure.

(3) Shall not have any impairment related to drugs or alcohol that would limit the applicant's ability to act as an appraiser in a manner consistent with the safety of the public.

(4) Does not have a criminal conviction record, nor pending criminal charge relating to an offense that is substantially related to real estate appraising. Applicants, who have criminal conviction records or pending criminal charges, shall request appropriate authorities to provide information about the record or charge directly to the Council. However, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Council finds that a waiver would not create an unreasonable risk to public safety, the Council, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(4).

a.-d. [Repealed.]

(5) Has no disciplinary proceedings or unresolved complaints pending against the applicant in any jurisdiction where the applicant has previously been or currently is licensed, certified, or registered.

(b) Where the Council has found to its satisfaction that an applicant has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(c) Where the application of a person has been refused or rejected and such applicant feels that the Council has acted without justification, has imposed higher or different standards for the applicant than for other applicants, registrants, certificants or licensees, or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(75 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 436, § 46; 77 Del. Laws, c. 199, § 34; 78 Del. Laws, c. 44, §§ 66, 67; 83 Del. Laws, c. 433, § 28.)

§ 4009 Appraiser trainee; requirements of supervision.

(a) Persons, who are presented to the Council by a supervising appraiser for registration as an appraiser trainee, shall provide a statement to the Council that the trainee:

(1) Shall perform only those specific functions, which have been delineated in the supervising appraiser's statement; and

(2) Shall practice only under the direct supervision of a state-certified appraiser; and

(3) Shall identify themselves to the public as a real estate appraisal trainee; and

(4) Has not been convicted of a crime that is substantially related to the practice of real estate appraisal. However, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Council determines that granting a waiver would not create an unreasonable risk to public safety, the Council, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(4).

a.-d. [Repealed.]

(b) An applicant, who has been registered by the Council as an appraiser trainee, may assist in the completion of an appraisal report, including an opinion of value, and may co-sign an appraisal, provided that the appraiser trainee is actively and personally supervised by a state-certified real estate appraiser.

(c) In addition, the supervising state-certified real estate appraiser shall review and sign all appraisals prepared under the supervising state-certified real estate appraiser's supervision by the appraiser trainee and shall accept total responsibility for the appraisal report.

(d) The Council in its regulations shall determine the number of appraiser trainees that a supervising appraiser may supervise and the requirements of their supervision.

(75 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 436, § 47; 76 Del. Laws, c. 340, § 5; 77 Del. Laws, c. 199, § 35; 78 Del. Laws, c. 44, §§ 68, 69; 82 Del. Laws, c. 9, § 3; 83 Del. Laws, c. 433, § 28.)

§ 4010 Temporary license.

(a) A real estate appraiser from another state, who is licensed or certified by the appraiser licensing or certifying agency in such state, may apply for registration to receive temporary licensing or certification privileges in this State by paying all required fees and filing with the Council an application, on a form prescribed by the Council for such purpose, which shall set forth and include:

(1) The applicant's name, address, social security number, and such other information as may be necessary to identify the applicant; and

(2) The type of license or certificate held by the applicant and the license or certificate number;

(3) The dates of licensure or certification and the expiration date of the applicant's current license or certificate;

(4) Whether the license or certificate was issued as a result of passing a licensure or certification examination, by reciprocity, or by some other means;

(5) A statement that the person has met the requirements of § 4008(a)(2), (3), (4), and (5) of this title;

(6) A statement that the applicant agrees to abide by all appraiser laws and rules of this State and to cooperate with any investigation initiated as provided under this chapter;

(7) Identification of the property to be appraised and the anticipated duration of the assignment; and

(8) Such other information as may be necessary to determine the applicant's eligibility for temporary appraiser licensing or certification privileges in this State.

(b) Licensing and certification privileges granted under the provisions of this section shall expire upon completion of the specific appraisal assignment for which the Council has issued the temporary license or certificate.

(c) The Division is empowered to issue a temporary license or certificate to an appraiser from another state, who has documented compliance with the requirements of this section.

(75 Del. Laws, c. 105, § 3; 82 Del. Laws, c. 9, § 3.)

§ 4011 Reciprocity.

(a) Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Council, the Council shall grant a license to each applicant, who shall present proof of current licensure in good standing in another state, the District of Columbia, or territory of the United States, whose standards for licensure are substantially similar to those of this State.

(b) An applicant, who is licensed in a state whose standards are not substantially similar to those of this State, shall have practiced for a minimum of 5 years after licensure; provided however, that the applicant meets all other qualifications for reciprocity in this section.

(c) An applicant, who is a graduate of a foreign college or university, and who is not licensed in another state, the District of Columbia, or territory of the United States, shall submit a certified copy of the applicant's college or university record for evaluation by the Council, in addition to fulfilling the applicable requirements for licensure of §§ 4008 and 4009 of this title.

(75 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1; 79 Del. Laws, c. 163, § 1.)

§ 4012 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Council, as well as the proportional expenses incurred by the Division in its service on behalf of the Council. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each licensure biennium, the Division, or any other

state agency acting in its behalf, shall compute, for each separate service or activity, the appropriate fees for the licensure biennium. The Division shall charge a biennial fee to licensees and certificate holders for enrollment in the federal roster or registry.

(75 Del. Laws, c. 105, § 3.)

§ 4013 Issuance and renewal of licenses and certification.

(a) The Council shall issue a license to each applicant, who meets all of the requirements of this chapter for licensure or certification as an appraiser and who pays the fee established under § 4012 of this title.

(b) Each license or certificate shall be renewed biennially in such manner as is determined by the Division, and upon payment of the appropriate fee and submission of a renewal form provided by the Division, and proof that the licensee has met the continuing education requirements established by the Council, and shall meet the requirements of § 4008(a)(2), (3), (4) and (5) of this title.

(c) Licensees and certificate holders shall be enrolled in the Federal roster or registry of state-licensed and state-certified real property appraisers. The licensee or certificate holder shall pay the fee established for that purpose biennially to the State.

(d) The Council, in its rules and regulations, shall determine the period of time within which a licensed or certified appraiser may still renew that appraiser's license, notwithstanding the fact that such licensee or certificate holder has failed to renew on or before the renewal date.

(e) A licensee or certificate holder, upon written request, may be placed in an inactive status in accordance with the Council's rules and regulations. The renewal fee of such person shall be prorated according to the amount of time such person was inactive. Such person may reenter practice upon written notification to the Council of the intent to do so and completion of continuing education as required in the Council's rules and regulations.

(75 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1; 82 Del. Laws, c. 88, § 2; 83 Del. Laws, c. 532, § 1.)

§ 4014 Grounds for discipline.

(a) A practitioner licensed or certified under this chapter shall be subject to disciplinary actions set forth in § 4016 of this title, if, after a hearing, the Council finds that the appraiser:

(1) Has employed or knowingly cooperated in fraud or material deception in order to acquire a license or certificate as an appraiser; has impersonated another person holding a license or certificate, or allowed another person to use that appraiser's license or certificate, or aided or abetted a person not licensed or certified as an appraiser to represent himself or herself as an appraiser.

(2) Has illegally, incompetently or negligently practiced appraising.

(3) Has been convicted of a crime that is substantially related to the practice of real estate appraisal. A copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence therefor.

(4) Has excessively used or abused drugs either in the past 2 years or currently; excessive use or abuse of drugs shall mean any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed practitioner, or the abuse of alcoholic beverage such that it impairs the practitioner's ability to perform the work of an appraiser.

(5) Has violated a lawful provision of this chapter, or any lawful regulation established thereunder.

(6) Has had that appraiser's own license or certificate as an appraiser suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Council by certified record; and the Council has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every person licensed or certified as an appraiser in this State shall be deemed to have given consent to the release of this information by the Council or other comparable agencies in another jurisdiction, and have waived all objections to the admissibility of previously adjudicated evidence of such acts or offenses.

(7) Has failed to notify the Council that the appraiser's license or certificate as an appraiser in another state has been subject to discipline, or has been surrendered, suspended, or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof.

(b) Where a practitioner fails to comply with the Council's request that the practitioner attend a hearing, the Council may petition the Superior Court to order such attendance, and the said Court or any judge assigned thereto shall have the jurisdiction to issue such order.

(c) Subject to the provisions of subchapter IV of Chapter 101 of Title 29, no license or certificate shall be restricted, suspended, or revoked by the Council, and no practitioner's right to practice appraising shall be limited by the Council until such practitioner has been given notice, and an opportunity to be heard, in accordance with the Administrative Procedures Act [Chapter 101 of Title 29].

(75 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1.)

§ 4015 Complaints.

(a) All complaints shall be received and investigated by the Division in accordance with § 8735 of Title 29, and the Division shall be responsible for issuing a final written report at the conclusion of its investigation.

(b) When it is determined that an individual is engaging, or has engaged, in the practice of appraising, or is using the title "appraiser" or other title implying that the individual is competent to act as an "appraiser" and is not licensed or certified under the laws of this State, the Council shall apply to the Office of the Attorney General to issue a cease and desist order.

(75 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1.)

§ 4016 Disciplinary sanctions.

(a) The Council may impose any of the following sanctions, singly or in combination, when it finds that 1 of the conditions or violations set forth in § 4014 of this title applies to a practitioner regulated by this chapter:

(1) Issue a letter of reprimand.

(2) Censure a practitioner.

(3) Place a practitioner on probationary status, and require the practitioner to:

a. Report regularly to the Council on the matters, which are the basis of the probation.

b. Limit all practice and professional activities to those areas prescribed by the Council.

(4) Suspend any practitioner's license or certificate.

(5) Revoke any practitioner's license or certificate.

(6) Impose a monetary penalty not to exceed $500 for each violation.

(b) The Council may withdraw or reduce conditions of probation when it finds that the deficiencies, which required such action, have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(75 Del. Laws, c. 105, § 3; 79 Del. Laws, c. 213, § 2.)

§ 4016A Counseling; letters of concern.

(a) If the Council determines after an investigation that a violation of this chapter or of the rules and regulations enacted pursuant to this chapter warranting formal disciplinary action has not occurred, but that an act or omission of the licensee or certificate holder is a matter of concern and that licensee's or certificate holder's practice may be improved if made aware of the concern, the Council may issue a nondisciplinary confidential letter of concern regarding the licensee's or certificate holder's act or omission.

(b) If a person licensed under this chapter receives a total of 3 letters of concern pursuant to this section, the Council may reasonably require a formal assessment of professional competency to assess the licensee's or certificate holder's ability in order to protect the health and safety of the public. At such hearing, all of the licensee's or certificate holder's letters of concern may be deemed no longer confidential and may be admitted into evidence.

(79 Del. Laws, c. 163, § 1.)

§ 4017 Hearing procedures.

(a) If a complaint is filed with the Council pursuant to § 8735 of Title 29, alleging violation of § 4016 of this title, the Council shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings shall be informal without use of rules of evidence. If the Council finds, by a majority vote of all members, that the complaint has merit, the Council shall take such action permitted under this chapter, as it deems necessary. The Council's decision shall be in writing and shall include its reasons for such decision. The Council's decision shall be mailed immediately to the practitioner.

(c) Where the practitioner is in disagreement with the action of the Council, the practitioner may appeal the Council's decision to the Superior Court within 30 days of service, or of the postmarked date of the copy of the decision mailed to the practitioner. Upon such appeal the Court shall hear the evidence on the record. Stays shall be granted in accordance with § 10144 of Title 29.

(75 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1.)

§ 4018 Reinstatement of a suspended license; removal from probationary status.

(a) As a condition to reinstatement of a suspended license, or removal from probationary status, the Council may reinstate such license if, after a hearing, the Council is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension and/or the probation.

(b) Applicants for reinstatement shall pay the appropriate fees and submit documentation required by the Council as evidence that all the conditions of a suspension and/or probation have been met. Proof that the applicant has met the continuing education requirements of this chapter may also be required, as appropriate.

(c) [Repealed.]
(75 Del. Laws, c. 105, § 3; 82 Del. Laws, c. 8, § 18.)

Subchapter III

Other Provisions

§ 4019 Exception.

(a) This chapter shall not apply to any Delaware licensed real estate salesperson or broker, who prepares a competitive market analysis survey used only for the purpose of listing a property for sale or lease, nor to any individual, who prepares real estate appraisals for the licensee's full-time employer for the employer's internal use only, and which is performed in the regular course of employee's position.

(b) Nothing in this chapter shall require a geologist licensed under Chapter 36 of this title to meet the requirements for either certification or licensure, provided that the geologist's written estimate of value is not the sole determinant of a property's value and that any such estimate of value is not used as an appraisal in federally-related transactions.

(c) Nothing in the chapter shall require an auctioneer to meet the requirement for either certification or licensure under this chapter, provided that the auctioneer provides only a verbal estimate of sale and not a written appraisal of the value of any real property.

(d) This chapter shall not invalidate nor shall it apply to real estate tax assessments or reassessments done for municipal or county governments where such appraisals are done by full-time municipal or county government employees acting in the regular course of business.

(e) The Council on Real Estate Appraisers shall develop standards in cooperation with the Delaware Association of Counties and the Executive Director of the League of Local Governments or his or her designee for licensing and training of assessors in order for municipal and county assessment departments to be in compliance within 3 years of the development and adoption of said standards.

(75 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1.)

§ 4020 Penalty.

A person, not currently licensed as an appraiser under this chapter, when guilty of engaging in the practice of appraising, or using in connection with the person's name, or otherwise assuming or using any title or description conveying, or tending to convey the impression that the person is qualified to act as an appraiser, such offender shall be guilty of a misdemeanor. Upon the first offense, the person shall be fined not less than $500 or more than $1,000 for each offense. For a second or subsequent conviction, the fine shall be not less than $1,000 or more than $2,000 for each offense. Justice of the Peace Court shall have jurisdiction over all violations of this chapter.

(75 Del. Laws, c. 105, § 3; 70 Del. Laws, c. 186, § 1.)

§ 4021 Criminal background checks of new applicants.

An applicant for licensure or certification under § 4008 or § 4009 of this title shall submit, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

(1) A report of the individual's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

(2) A report of the individual's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Council shall be the screening point for the receipt of said federal criminal history records.

(79 Del. Laws, c. 163, § 1.)

Subchapter IV

Appraisal Management Companies

§ 4022 Registration of appraisal management companies.

(a) A person shall not directly or indirectly engage or attempt to engage in business as an appraisal management company, directly or indirectly perform or attempt to perform appraisal management services or advertise or hold itself out as engaging in or conducting business as an appraisal management company without first obtaining a registration issued by the Council pursuant to this section, regardless of the entity's use of appraisal management company, mortgage technology company or any other name.

(b) A person who wishes to be registered as an appraisal management company in this State must file a written application with the Council on a form prepared and furnished by the Council and pay a fee in an amount to be determined by the Division of Professional Regulation. The registration required by subsection (a) of this section shall include:

(1) The name, residence address, business address and telephone number of the applicant and the location of each principal office and branch office at which the appraisal management company will conduct business in this State.

(2) The name under which the applicant will conduct business as an appraisal management company.

(3) The name, residence address, business address and telephone number of each person who will have an interest in the appraisal management company as a principal, partner, officer, director or trustee, specifying the capacity and title of each person.

(4) If the person seeking registration is a corporation that is not domiciled in this State, the name and contact information for the company's registered agent for service of process in this State.

(5) A certification that the person seeking registration has a system and process in place to verify that a person being added to the appraiser panel for the appraisal management company's appraisal management services in this State holds a license or certification in good standing in this State.

(6) A certification that the person seeking registration has a system in place to review the work of all independent appraisers that are performing real property appraisal services for the appraisal management company on a periodic basis to confirm that the real property appraisal services are being conducted in accordance with Uniform Standards of Professional Appraisal Practice.

(7) A certification that the person maintains a detailed record of each service request that it receives and the independent appraiser that performs the real property appraisal services for the appraisal management company.

(8) A certification that the person seeking registration has a system in place to train those who select individual appraisers for real property services in this State, to ensure that the selectors have appropriate training in placing appraisal assignments.

(9) Proof of a surety bond of $20,000.

(10) A registration fee to cover the cost of enrollment in the federal roster or registry.

(79 Del. Laws, c. 163, § 2; 82 Del. Laws, c. 19, § 1.)

§ 4023 Exemptions from registration.

Nothing in this chapter shall apply to:

(1) An appraisal management company that is a subsidiary owned and controlled by a financial institution that is subject to direct regulation by an agency of the United States government or of this State.

(2) A corporation, partnership, sole proprietorship, subsidiary or other business entity that employs real estate appraisers exclusively on an employer and employee basis for the performance of all real property appraisal services in the normal course of its business and that is responsible for ensuring that the real property appraisal services being performed by its employees are being performed in accordance with Uniform Standards of Professional Appraisal Practice and federal and state law.

(79 Del. Laws, c. 163, § 2.)

§ 4024 Registration form for appraisal management companies.

(a) An applicant for initial and renewal registration as an appraisal management company shall submit to the Council an application on a form prescribed by the Council.

(b) An initial registration granted by the Council pursuant to this chapter is valid for 1 year. Registration renewals are renewed annually.

(79 Del. Laws, c. 163, § 2; 83 Del. Laws, c. 532, § 1.)

§ 4025 Owner requirements for appraisal management companies.

(a) An appraisal management company applying for registration may not be owned by a person or have any principal of the company who has had any financial, real estate or mortgage lending industry license or certificate refused, denied, canceled, revoked or voluntarily surrendered in this State or in any other state, unless such license or certificate was subsequently granted or reinstated. This requirement may be waived by appeal and at the discretion of the Council.

(b) Each person that owns an appraisal management company in this State shall:

(1) Submit, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

b. A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Council on Real Estate Appraisers shall be the screening point for the receipt of said federal criminal history records.

c. An applicant may not be registered until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction that is substantially related to the practice of real estate appraisal may not be registered by the Council unless a waiver is granted pursuant to § 4008(a)(4) of this title. The State Bureau of Identification may release any subsequent criminal history to the Council.

(2) Certify to the Council that the person has never had any financial, real estate or mortgage lending industry license or certificate refused, denied, canceled, revoked or voluntarily surrendered in this State or in any other state, unless such license or certificate was subsequently granted or reinstated. This requirement may be waived by appeal and at the discretion of the Council.

(79 Del. Laws, c. 163, § 2; 82 Del. Laws, c. 88, § 3; 83 Del. Laws, c. 433, § 28.)

§ 4026 Appraisal management company controlling person.

(a) Each appraisal management company applying to the Council for registration in this State shall designate 1 controlling person that will be the main contact for all communication between the Council and the appraisal management company.

(b) To serve as a controlling person of an appraisal management company, a person shall:

(1) Certify to the Council that the person has never had any financial, real estate or mortgage lending industry license or certificate issued by this State, or any other state, refused, denied, canceled, revoked or voluntarily surrendered, unless such license or certificate was subsequently granted or reinstated. This requirement may be waived by appeal and at the discretion of the Council.

(2) a. A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534). The State Bureau of Identification shall be the intermediary for purposes of this section and the Council on Real Estate Appraisers shall be the screening point for the receipt of said federal criminal history records.

b. An applicant may not be registered until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction that is substantially related to the practice of real estate appraisal may not be registered by the Council unless a waiver is granted pursuant to § 4008(a)(4) of this title. The State Bureau of Identification may release any subsequent criminal history to the Council.

(79 Del. Laws, c. 163, § 2; 83 Del. Laws, c. 433, § 28.)

§ 4027 Appraisal management company employees.

Any employee of an appraisal management company that performs an appraisal review shall have demonstrated knowledge of the Uniform Standards of Professional Appraisal Practice and hold a valid appraiser license or certification in this or any state.

(79 Del. Laws, c. 163, § 2.)

§ 4028 Agreements with independent appraisers; limitations.

An appraisal management company registered in this State pursuant to this chapter may not enter into contracts or agreements with an independent appraiser for the performance of real property appraisal services in this State unless that person is licensed or certified in good standing with the Council.

(79 Del. Laws, c. 163, § 2.)

§ 4029 Annual certification; renewal.

(a) Each appraisal management company registered in this State shall certify to the Council on an annual basis at the time of renewal, on a form prescribed by the Council and after paying the appropriate fee, that the appraisal management company has a system and process in place to verify that a person being added to the appraiser panel of the appraisal management company holds a license or certificate in good standing in this State pursuant to the Council.

(b) Each appraisal management company registered in this State shall certify to the Council on an annual basis, at the time of renewal, that it has a system in place to review the quality of appraisals of all independent appraisers that are performing real property appraisal services for the appraisal management company on a periodic basis to confirm that the real property appraisal services are being conducted in accordance with uniform standards of professional appraisal practice.

(c) Each appraisal management company registered shall certify to the Council on an annual basis, at the time of renewal, that it maintains a detailed record of each service request that it receives and the name of the independent appraiser that performs the real property appraisal services for the appraisal management company. An appraisal management company shall maintain a detailed record for the same time period that an appraiser is required to maintain an appraisal record for the same real property appraisal activity.

(d) Each appraisal management company registered shall certify to the Council on an annual basis, at the time of renewal, that it has a system in place to train those who select individual appraisers for real property services in this State, to ensure that the selectors have appropriate training in placing appraisal assignments.

(79 Del. Laws, c. 163, § 2; 83 Del. Laws, c. 532, § 1.)

§ 4030 Disclosure of fees.

The appraisal management company shall not prohibit the appraiser from reporting in the appraisal report the fee paid to the appraiser.

(79 Del. Laws, c. 163, § 2.)

§ 4031 Appraiser independence; prohibitions.

(a) Any employee, director, officer or agent of an appraisal management company registered pursuant to this chapter shall not influence or attempt to influence the development, reporting or review of an appraisal through coercion, extortion, collusion, compensation, inducement, intimidation, bribery or any other manner, including:

(1) Withholding or threatening to withhold timely payment for an appraisal.

(2) Withholding or threatening to withhold future business for an independent appraiser or demoting or terminating, or threatening to demote or terminate, an independent appraiser.

(3) Expressly or implicitly promising future business, promotions or increased compensation for an independent appraiser.

(4) Conditioning the request for an appraisal service or the payment of an appraisal fee or salary or bonus on the opinion, conclusion or valuation to be reached or on a preliminary estimate or opinion requested from an independent appraiser.

(5) Requesting that an independent appraiser provide an estimated, predetermined or desired valuation in an appraisal report or provide estimated values or comparable sales at any time before the independent appraiser's completion of an appraisal service.

(6) Providing to an independent appraiser an anticipated, estimated, encouraged or desired value for a subject property or a proposed

or target amount to be loaned to the borrower, except that a copy of the sales contract for purchase transactions may be provided.

(7) Providing to an independent appraiser, or any entity or person related to the appraiser, stock or other financial or nonfinancial benefits.

(8) Allowing the removal of an independent appraiser from an appraiser panel, without prior written notice to the appraiser.

(9) Obtaining, using or paying for a second or subsequent appraisal or ordering an automated valuation model in connection with a mortgage financing transaction, unless such action is required by law or there is a reasonable basis to believe that the initial appraisal was flawed or tainted and the basis is clearly and appropriately noted in the loan file or unless the appraisal or automated valuation model is done pursuant to a bona fide prefunding or postfunding appraisal review or quality control process.

(10) Engaging in any other act or practice that impairs or attempts to impair an appraiser's independence, objectivity or impartiality.

(b) An appraisal fee offered or paid may not be based on the predetermined appraised value or range of appraised value of the subject property or the amount of the transaction price.

(c) Subsections (a) and (b) of this section do not prohibit an appraisal management company from requesting that an independent appraiser either:

(1) Provide additional information about the basis for a valuation.

(2) Correct objective factual errors in an appraisal report.

(3) Consider additional appropriate property information.

(79 Del. Laws, c. 163, § 2.)

§ 4032 Payment.

Except in cases of breach of contract or substandard performance of services, each appraisal management company shall make payment to an independent appraiser for the completion of an appraisal or valuation assignment within 45 days after the date on which the independent appraiser transmits or otherwise provides the completed appraisal or valuation study to the appraisal management company or its assignee.

(79 Del. Laws, c. 163, § 2.)

§ 4033 Appraisal reports; alteration; use.

An appraisal management company shall not:

(1) Alter, modify, revise or otherwise change a completed appraisal report submitted by an independent appraiser, including removing the signature of the appraiser.

(2) Use an appraisal report submitted by an independent appraiser for any purpose other than the intended use stated in the report.

(79 Del. Laws, c. 163, § 2.)

§ 4034 Removal of appraisers from appraiser panels.

(a) An appraisal management company shall not remove an appraiser from its appraiser panel, or otherwise refuse to assign requests for real property appraisal services to an independent appraiser, without notifying the appraiser in writing of the reasons for the appraiser being removed from the appraiser panel of the appraisal management company.

(b) An independent appraiser that is removed from the appraiser panel of an appraisal management company for alleged illegal conduct, violation of the Uniform Standards of Professional Appraisal Practice or violation of state licensing standards may file a complaint with the Council for a review of the decision of the appraisal management company, except that in no case shall the Council make any determination regarding the nature of the business relationship between the appraiser and the appraisal management company that is unrelated to the actions specified in subsection (a) of this section.

(c) If an independent appraiser files a complaint against an appraisal management company pursuant to subsection (b) of this section, the Council shall adjudicate the complaint within a reasonable time.

(d) If after opportunity for a hearing and review, the Council determines that an independent appraiser did not commit a violation of law, a violation of the Uniform Standards of Professional Appraisal Practice or a violation of this chapter, the Council shall order that the appraiser be added to the appraiser panel of the appraisal management company that was the subject of the complaint. The Council shall furnish the appraisal management company with all written documentation and investigation records that support the Council's findings.

(79 Del. Laws, c. 163, § 2; 82 Del. Laws, c. 88, § 4.)

§ 4035 Enforcement.

The Council may censure an appraisal management company, conditionally or unconditionally suspend or revoke any registration issued under this chapter or impose civil penalties not to exceed $15,000 per violation if, after a hearing, the Council finds that an appraisal management company is attempting to perform, has performed or has attempted to perform any of the following acts:

(1) Committing any act in violation of this chapter.

(2) Violating any rule adopted by the Council in the interest of the public and consistent with this chapter.

(3) Knowingly making or causing to be made to the Council any false representation of material fact.

(4) Suppressing or withholding from the Council any information that the applicant possesses and that, if submitted by the applicant, would have rendered the applicant ineligible to be registered pursuant to rules adopted by the Council.

(5) Violating the Federal Financial Institutions Reform, Recovery and Enforcement Act of 1989, the act of July 21, 2010 [P. L. 111-203, 124 Stat. 2190].

(79 Del. Laws, c. 163, § 2.)

§ 4036 Disciplinary hearing.

(a) The Council may conduct disciplinary proceedings in accordance with subchapter III of Chapter 101 of Title 29.

(b) The written notice required by subchapter III of Chapter 101 of Title 29 shall be satisfied by personal service on the controlling person of the registrant or the registrant's agent for service of process in this State or by sending the notice by certified mail to the controlling person of the registrant to the registrant's address on file with the Council.

(79 Del. Laws, c. 163, § 2.)

§ 4037 Rule-making authority.

The Council shall adopt rules that are reasonably necessary to implement, administer and enforce this subchapter.

(79 Del. Laws, c. 163, § 2.)

§ 4038 Effective date.

All appraisal management companies operating in this State as of July 1, 2013, must become registered on or before July 1, 2014.

(79 Del. Laws, c. 163, § 2.)

Chapter 41
HOME INSPECTORS

Subchapter I

Board of Home Inspectors

§ 4101 Objectives.

(a) The primary objective of the Board of Home Inspectors, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

(b) The secondary objectives of the Board are to maintain minimum standards of practitioner competency and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at informal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against licensed practitioners.

(78 Del. Laws, c. 170, § 1.)

§ 4102 Definitions.

The following words, terms and phrases, when used in this chapter shall have the meanings ascribed to them under this section, except where the context clearly indicates a different meaning:

(1) “Board” shall mean the State Board of Home Inspectors established in this chapter.

(2) “Division” shall mean the State Division of Professional Regulation.

(3) “Excessive use or abuse of drugs” shall mean any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed practitioner, or the abuse of alcoholic beverage such that it impairs the ability to perform the work of a home inspector.

(4) “Home” shall mean any residential property, or manufactured or modular home, which is a single-family dwelling, duplex, triplex, quadruplex, condominium unit, or cooperative unit. The term does not include the common areas of condominiums or cooperatives.

(5) “Home inspection” shall mean a visual analysis for the purposes of providing a written professional opinion of the condition of a building and its carports and garages, any reasonably accessible installed components and the operation of the building systems, including the controls normally operated by the owner, for the following components of a residential building of 4 units or fewer: heating system; electrical system; cooling system; plumbing system; structural components; foundation; roof covering; exterior and interior components; and site aspects as they affect the building.

(6) “Licensed home inspector” shall mean a person, who has met the licensing requirements of this chapter and who holds a current, valid license issued under this chapter.

(7) “Person” shall mean an individual, firm, partnership, corporation, association, joint stock company, limited partnership, limited liability company, and any other legal entity and includes a legal successor of those entities.

(8) “Personal supervision” shall mean the active oversight by the licensed home inspector of the home inspector trainee. The trainee may assist in the completion of a home inspection report, and may co-sign a home inspection report, provided that the trainee has been under the personal supervision of the licensed home inspector, and provided further that the licensed home inspector shall review and sign the home inspection report and accept total responsibility for said home inspection report.

(9) “State” shall mean the State of Delaware.

(10) “Substantially related” shall mean the nature of the criminal conduct for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of home inspecting.

(11) “Trainee” shall mean a person who has satisfied the requirements as set forth in § 4109 of this title and any requirements as set forth in the rules and regulations as established by the Board.

(78 Del. Laws, c. 170, § 1.)

§ 4103 Board of Home Inspectors; appointments; composition; qualifications; term; vacancies; suspension or removal; unexcused absences; compensation.

(a) There is created a State Board of Home Inspectors, which shall enforce and administer this chapter.

(b) The Board shall consist of 5 members, who are residents of this State, and are appointed by the Governor. Three of the 5 members shall be licensed home inspectors, 1 of which shall be a certified HUD inspector, engaged primarily in the home inspection business. The initial members of the Board required to be licensed home inspectors shall be given a reasonable amount of time after their appointment to the Board to become licensed home inspectors pursuant to the licensure requirements set forth in this chapter. Two of the 5 members shall be public members. A public member shall not be, nor ever have been, a home inspector nor a member of the immediate family of a home inspector; shall not have been employed by a home inspector or a company engaged in the practice of home inspection; shall not have a material interest in the providing of goods and services to home inspectors; nor have been engaged in an activity directly related to home

inspection.

(c) Except as provided in subsection (d) of this section, each member shall serve a term of 3 years, and may succeed himself or herself for 1 additional term; provided, however, that where a member was initially appointed to fill a vacancy, such member may succeed himself or herself for only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member. Each term of office shall expire on the date specified in the appointment; however, the member shall remain eligible to participate in board proceedings unless and until replaced by the Governor.

(d) A person, who has never served on the Board, may be appointed to the Board for 2 consecutive terms; but no such person shall thereafter be eligible for 2 consecutive appointments. No person, who has been twice appointed to the Board or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(e) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless such an amendment or revision amends this section to permit such an appointment.

(f) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetency, or neglect of duty. A member subject to disciplinary hearing shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A member may appeal any suspension or removal to the Superior Court.

(g) No member of the Board, while serving on the Board, shall hold elective office in any professional association of home inspectors; this includes a prohibition against serving as head of the professional association's political action committee (PAC).

(h) The provisions set forth in Chapter 58 of Title 29 shall apply to all members of the Board.

(i) Any member, who is absent without adequate reason for 3 consecutive meetings, or who fails to attend at least half of all regular business meetings during any calendar year, shall be guilty of neglect of duty.

(j) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(78 Del. Laws, c. 170, § 1; 70 Del. Laws, c. 186, § 1; 81 Del. Laws, c. 85, § 24.)

§ 4104 Organization; meetings; officers; quorum.

(a) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, and at such times as the chair deems necessary, or, at the request of a majority of Board members.

(b) The Board annually shall elect a chair and vice-chair. Each officer shall serve for 1 year and shall not succeed himself or herself for more than 2 consecutive terms.

(c) A majority of the members shall constitute a quorum for the purpose of transacting business. No disciplinary action shall be taken without the affirmative vote of at least 3 members of the Board.

(d) Minutes of all meetings shall be maintained and the Division shall maintain copies. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(78 Del. Laws, c. 170, § 1; 70 Del. Laws, c. 186, § 1.)

§ 4105 Records.

The Division shall keep a register of all approved applications for licensed home inspectors and home inspector trainees, and complete records relating to meetings of the Board, examinations, rosters, changes and additions to the Board's rules and regulations, complaints, hearings, and such other matters as the Board shall determine. Such records shall be prima facie evidence of the proceedings of the Board.

(78 Del. Laws, c. 170, § 1.)

§ 4106 Powers and duties.

(a) The Board of Home Inspectors shall have authority to:

(1) Formulate rules and regulations, with appropriate notice to those affected, including rules and regulations governing any training, experience, or educational requirements to licensure as a home inspector; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act (Chapter 101 of Title 29) of this State. Each rule or regulation shall implement or clarify a specific section of this chapter;

(2) Designate the application form to be used by all applicants and process all applications;

(3) Designate the written, standardized examination to be taken by all persons applying for licensure and certification. The Board shall determine whether to use an exam that is prepared by a national entity. Applicants who qualify for licensure or certification by reciprocity shall have achieved a passing score on all parts of the designated examination or a comparable, alternative national or regional examination, if the designated examination was not available at the time of the applicant's original licensure;

(4) Evaluate the credentials of all persons applying for a license as a home inspector in this State, in order to determine whether such persons meet the qualifications for licensing set forth in this chapter;

(5) Grant licenses to, and renew licenses of, all persons who meet the qualifications for licensure and delegate license issuance to the Division for applications that meet criteria established by the Board and the Director;

(6) Register applicants as home inspector trainees;

(7) Issue temporary licenses to persons who qualify;

(8) Establish by rule and regulation continuing education standards required for license renewal;

(9) Evaluate certified records to determine whether an applicant for licensure, who previously has been licensed, certified, or registered in another jurisdiction as a home inspector, has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against such applicant for such acts or offenses;

(10) Refer all complaints from licensees and the public concerning licensed home inspectors or concerning practices of the Board or of the profession, to the Division for investigation pursuant to § 8735 of Title 29; and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint;

(11) Conduct hearings and issue orders in accordance with the Administrative Procedures Act, Chapter 101 of Title 29; and

(12) Where it has been determined after a hearing, that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty.

(b) The Board of Home Inspectors shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of home inspection.

(78 Del. Laws, c. 170, § 1.)

Subchapter II

License

§ 4107 License required.

(a) No person, partnership, association, or corporation shall hold himself, herself, or itself out to the public in this State as being qualified to act as a home inspector, or advertise, or engage in the practice of inspecting homes or assume to act as a home inspector, or use in connection with the person's, partnership's, association's or corporation's name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the person, partnership, association or corporation is qualified to act as a home inspector, unless such person has been duly licensed under this chapter.

(b) Whenever a license to practice as a home inspector in this State has expired or been suspended or revoked, it shall be unlawful for the person to act as a home inspector in this State.

(c) No person shall act as a home inspector trainee or hold himself or herself out to be a home inspector trainee unless such person has been duly registered by the Board under this chapter.

(78 Del. Laws, c. 170, § 1; 70 Del. Laws, c. 186, § 1.)

§ 4108 Qualifications of applicant; report to Attorney General; judicial review.

(a) An applicant, who is applying for licensure as a home inspector under this chapter, for the relevant license, shall submit evidence, verified by oath and satisfactory to the Board, that such person:

(1) Has successfully completed high school or its equivalent;

(2) Has passed a written, standardized examination as designated by the Board;

(3) Has acquired the required training and experience requirements for licensure as may be established by the Board, including any educational courses of study as established by the Board;

(4) Shall not have been the recipient of any administrative penalties regarding that applicant's practice as a home inspector, including but not limited to fines, formal reprimands, license suspensions or revocation, (except for license revocations for nonpayment of license renewal fees), probationary limitations, and/or has not entered into any "consent agreements" which contain conditions placed by a Board on that applicant's professional conduct and practice, including any voluntary surrender of a license. The Board may determine after a hearing or review of documentation whether such administrative penalty is grounds to deny licensure;

(5) Shall not have any impairment related to drugs or alcohol that would limit the applicant's ability to act as a home inspector in a manner consistent with the safety of the public;

(6) Has not been convicted of a crime that is substantially related to the practice of home inspection; however, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board shall, by an affirmative vote of a majority of the quorum, waive this paragraph (a)(6).

a.-d. [Repealed.]

(7) Has no disciplinary proceedings or unresolved complaints pending against the applicant in any jurisdiction where the applicant has previously been or currently is licensed, certified, or registered.

(8) Has provided evidence that the applicant or the applicant's employer has and will maintain liability and errors and omissions insurance in the form and minimum amount to be determined by the Board in its rules and regulations.

(b) Where the Board has found to its satisfaction that an applicant has been intentionally fraudulent, or that false information has been

intentionally supplied, it shall report its findings to the Attorney General for further action.

(c) Where the application of a person that is not under investigation in this or any other jurisdiction has been refused or rejected and such applicant feels that the Board has acted without justification, has imposed higher or different standards for the applicant than for other applicants, registrants, or licensees, or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(d) A person already engaged in the business of performing home inspections as of August 6, 2013, is allowed until November 4, 2013, to comply with the provisions of this chapter for the purpose of qualifying to perform home inspections. Such person will qualify for a license without being required to satisfy paragraphs (a)(1), (a)(2), and (a)(3) of this section if such person can document to the satisfaction of the Board that such person has conducted not fewer than 250 home inspections in Delaware for compensation or has been engaged in the practice of home inspection for compensation for not fewer than 5 years prior to August 6, 2013. Nothing in this subsection (d) shall exempt a licensed home inspector from complying with any continuing education requirements for licensed home inspectors as may be established by the Board.

(78 Del. Laws, c. 170, § 1; 70 Del. Laws, c. 186, § 1; 79 Del. Laws, c. 126, § 1; 83 Del. Laws, c. 433, § 29; 84 Del. Laws, c. 42, § 1.)

§ 4109 Home inspector trainee; requirements of supervision.

(a) Persons, who are presented to the Board by a supervising home inspector for registration as a home inspector trainee, shall provide a statement to the Board that the trainee:

(1) Shall perform only those specific functions, which have been delineated in the supervising home inspector's statement;

(2) Shall practice only under the direct supervision of a licensed home inspector;

(3) Shall identify themselves to the public as a home inspector trainee;

(4) Shall not have been convicted of a crime that is substantially related to the practice of home inspection; however, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, shall waive this paragraph (a)(4).

a.-d. [Repealed.]

(5) Has acquired the required training and experience requirements to act as a home inspector trainee as may be established by the Board, including any educational courses of study as established by the Board.

(b) An applicant, who has been registered by the Board as a home inspector trainee, may assist in the completion of a home inspection report, and may co-sign a home inspection, provided that the home inspector trainee is actively and personally supervised by a licensed home inspector.

(c) In addition, the supervising licensed home inspector shall review and sign all home inspection reports prepared under the supervising licensed home inspector's supervision by the home inspector trainee and shall accept total responsibility for the home inspection report.

(d) No person, while registered as a home inspector trainee, shall be required to pay any fee, charge or other thing of value to a supervising licensed home inspector, or be required to execute a covenant not to compete with a supervising licensed home inspector, as a condition of satisfying the home inspector trainee requirements of this subchapter.

(e) The Board in its regulations shall determine the number of home inspector trainees that a supervising home inspector may supervise and the requirements of their supervision.

(78 Del. Laws, c. 170, § 1; 79 Del. Laws, c. 126, § 2; 79 Del. Laws, c. 392, § 1; 82 Del. Laws, c. 9, § 4; 83 Del. Laws, c. 433, § 29.)

§ 4110 Temporary license.

(a) A home inspector from another state, who is licensed or certified by the home inspector licensing or certifying agency in such state, may apply for registration to receive temporary licensing privileges in this State for the purpose of completing specific home inspection services by paying all required fees and filing with the Board an application, on a form prescribed by the Board for such purpose, which shall set forth and include:

(1) The applicant's name, address, social security number, and such other information as may be necessary to identify the applicant;

(2) The type of license or certificate held by the applicant and the license or certificate number;

(3) The dates of licensure or certification and the expiration date of the applicant's current license or certificate;

(4) Whether the license or certificate was issued as a result of passing a licensure or certification examination, by reciprocity, or by some other means;

(5) A statement that the person has met the requirements of § 4108(a)(4), (5), (6), and (7) of this title;

(6) A statement that the applicant agrees to abide by all home inspector laws and rules of this State and to cooperate with any investigation initiated as provided under this chapter;

(7) Identification of the property to be inspected and the anticipated duration of the assignment; and

(8) Such other information as may be necessary to determine the applicant's eligibility for temporary home inspector licensing privileges in this State.

(b) Licensing privileges granted under the provisions of this section shall expire upon completion of the specific home inspection assignment for which the Board has issued the temporary license.

(c) The Division is empowered to issue a temporary license to a home inspector from another state, who has documented compliance with the requirements of this section.

(78 Del. Laws, c. 170, § 1; 82 Del. Laws, c. 9, § 4.)

§ 4111 Endorsement.

(a) Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant, who shall present proof of current licensure in good standing in another state, the District of Columbia, or territory of the United States, whose standards for licensure are substantially similar to those of this State. A license in "good standing" is defined in § 4108(a)(4), (5), (6), and (7) of this title.

(b) An applicant, who is licensed in good standing, as defined in subsection (a) of this section, in a state whose standards are not substantially similar to those of this State, shall be licensed by endorsement if such person:

(1) Has practiced for a minimum of 1 year, performed at least 75 fee-paid home inspections, and holds the designation of inspector or certified inspector as a member of the American Society of Home Inspectors ("ASHI") or the designation of regular member or certified real estate inspector as a member of the National Association of Home Inspectors ("NAHI"); or

(2) Has practiced for a minimum of 5 years after licensure; provided however, that the applicant meets all other qualifications for endorsement in this section.

(c) An applicant, who is a graduate of a foreign college or university or who has completed formal training as a home inspector in a foreign jurisdiction, and who is not licensed in another state, the District of Columbia, or territory of the United States, shall submit a certified copy of the applicant's college or university record or documentation evidencing formal training as a home inspector for evaluation by the Board, in addition to fulfilling the applicable requirements for licensure of §§ 4108 and 4109 of this title.

(78 Del. Laws, c. 170, § 1; 79 Del. Laws, c. 392, § 2.)

§ 4112 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division in its service on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. The Division, or any other state agency acting in its behalf, shall compute, for each separate service or activity, the appropriate fees for the licensure biennium.

(78 Del. Laws, c. 170, § 1.)

§ 4113 Issuance and renewal of licenses.

(a) The Board shall issue a license to each applicant, who meets all of the requirements of this chapter for licensure as a home inspector and who pays the fee established under § 4112 of this title.

(b) Each license shall be renewed biennially, in such manner as is determined by the Division, and upon payment of the appropriate fee and submission of a renewal form provided by the Division, and proof that the licensee has met the continuing education requirements established by the Board, and shall meet the requirements of § 4108(a)(4), (5), (6) and (7) of this title. The Board may determine, after a hearing, whether the failure to meet the requirements of § 4108(a)(4), (5), (6) and (7) of this title is grounds to deny renewal. The Board may withhold renewal of any applicant failing to meet the requirements of § 4108(a)(4), (5), (6) and (7) of this title pending investigation and the conclusion of disciplinary proceedings under §§ 4115 and 4116 of this title.

(c) The Board, in its rules and regulations, shall determine the late fee and period of time within which a licensed home inspector may still renew that home inspector's license, notwithstanding the fact that such licensee has failed to renew on or before the renewal date.

(d) A licensee, upon written request, may be placed in an inactive status in accordance with the Board's rules and regulations. The renewal fee of such person shall be prorated according to the amount of time such person was inactive. Such person may reenter practice upon written notification to the Board of the intent to do so and completion of continuing education as required in the Board's rules and regulations.

(78 Del. Laws, c. 170, § 1.)

§ 4114 Grounds for discipline.

(a) A practitioner licensed under this chapter shall be subject to disciplinary actions set forth in § 4116 of this title, if, after a hearing, the Board finds that the home inspector:

(1) Has employed or knowingly cooperated in fraud or material deception in order to acquire a license as a home inspector; has impersonated another person holding a license, or allowed another person to use that home inspector's license, or aided or abetted a person not licensed as a home inspector to represent himself or herself as a home inspector.

(2) Has illegally, incompetently or negligently practiced home inspection.

(3) Has been convicted of a crime that is substantially related to the practice of home inspection. A copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence therefor.

(4) Has excessively used or abused drugs either in the past 2 years or currently; excessive use or abuse of drugs shall mean any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed practitioner, or the abuse of alcoholic beverage

such that it impairs the practitioner's ability to perform the work of a home inspector.

(5) Has violated a lawful provision of this chapter, or any lawful regulation established thereunder.

(6) Has had that home inspector's own license as a home inspector suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record; and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every person licensed as a home inspector in this State shall be deemed to have given consent to the release of this information by the Board or other comparable agencies in another jurisdiction, and have waived all objections to the admissibility of previously adjudicated evidence of such acts or offenses.

(7) Has failed to notify the Board that the home inspector's license as home inspector in another state has been subject to discipline, or has been surrendered, suspended, or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof.

(b) Subject to the provisions of subchapter IV of Chapter 101 of Title 29, no license shall be restricted, suspended, or revoked by the Board, and no practitioner's right to practice home inspection shall be limited by the Board until such practitioner has been given notice, and an opportunity to be heard, in accordance with the Administrative Procedures Act, Chapter 101 of Title 29. Notice shall be accomplished by mail to the last address of record provided by the licensee. It is the licensee's responsibility to notify the Division of a change of address within 15 days.

(78 Del. Laws, c. 170, § 1; 70 Del. Laws, c. 186, § 1.)

§ 4115 Complaints.

(a) All complaints shall be received and investigated by the Division in accordance with § 8735 of Title 29.

(b) When it is determined that an individual is engaging, or has engaged, in the practice of home inspection, or is using the title "home inspector" or other title implying that the individual is competent to act as a "home inspector" and is not licensed under the laws of this State, the Board may institute proceedings under § 10161 of Title 29 for issuance of a cease and desist order and a fine.

(78 Del. Laws, c. 170, § 1.)

§ 4116 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 of the conditions or violations set forth in § 4114 of this title applies to a practitioner regulated by this chapter:

(1) Issue a letter of reprimand.

(2) Place a practitioner on probationary status, and require the practitioner to:

a. Report regularly to the Board on the matters, which are the basis of the probation.

b. Limit all practice and professional activities to those areas prescribed by the Board.

(3) Suspend any practitioner's license.

(4) Revoke any practitioner's license.

(5) Impose a monetary penalty not to exceed $1,000 for each violation.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies, which required such action, have been remedied.

(c) (1) In the event of a formal or informal complaint concerning the activity of a person licensed to practice home inspection that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license to practice home inspection, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license to practice home inspection may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney can file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(2) A person whose license to practice home inspection has been temporarily suspended pursuant to this section must be notified of the temporary suspension immediately and in writing. Notification consists of a copy of the complaint and the order of temporary suspension pending a hearing personally served upon the person or sent by certified mail, return receipt requested, to the person's last known address.

(3) A person whose license to practice home inspection has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license to

practice home inspection.

(4) The Board shall convene a hearing within 60 days of the date of issuance of the order of temporary suspension to consider the evidence regarding the matters alleged in the complaint. If the person requests in a timely manner an expedited hearing, the Board shall convene a hearing within 15 days of the Board's receipt of the request. Upon the final decision of the Board, an order of temporary suspension is vacated as a matter of law and is replaced by the disciplinary action, if any, ordered by the Board.

(78 Del. Laws, c. 170, § 1; 79 Del. Laws, c. 213, § 2.)

§ 4117 Hearing procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29, alleging violation of § 4114 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings shall be informal without use of rules of evidence. If the Board finds, by a majority vote of all members, that the complaint has merit, the Board shall take such action permitted under this chapter, as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. The Board's decision shall be mailed immediately to the practitioner.

(c) Where the practitioner is in disagreement with the action of the Board, the practitioner may appeal the Board's decision to the Superior Court within 30 days of service, or of the postmarked date of the copy of the decision mailed to the practitioner. Upon such appeal the Court shall hear the evidence on the record. Stays shall be granted in accordance with § 10144 of Title 29.

(78 Del. Laws, c. 170, § 1.)

§ 4118 Reinstatement of a suspended license; removal from probationary status.

(a) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may reinstate such license if, after a hearing or review of documentation, the Board is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension and/or the probation.

(b) Applicants for reinstatement shall pay the appropriate fees and submit documentation required by the Board as evidence that all the conditions of a suspension and/or probation have been met. Proof that the applicant has met the continuing education requirements of this chapter may also be required, as appropriate.

(78 Del. Laws, c. 170, § 1.)

Subchapter III

Other Provisions

§ 4119 Exception.

Nothing in this chapter prevents:

(1) A person who is employed by a governmental entity from inspecting residential buildings if the inspection is within official duties and responsibilities.

(2) A person from performing a home inspection if the inspection will be used solely by a bank, savings and loan association or credit union to monitor progress on the construction of a residential structure.

(3) A person who is employed as a property manager for a residential structure and whose official duties and responsibilities include inspecting the residential structure from performing an inspection on the structure if the person does not receive separate compensation for the inspection work.

(4) A person who is regulated in another profession, such as a licensed professional engineer or a certified HUD inspector, from acting within the scope of that person's license, registration or certification.

(78 Del. Laws, c. 170, § 1.)

Chapter 44
MANUFACTURED HOME INSTALLATION

Subchapter I

General Provisions

§ 4401 Short title.

This chapter shall be known as and may be cited as the “Manufactured Home Installation Act.”

(75 Del. Laws, c. 213, § 1.)

§ 4402 Scope.

This chapter governs the installation of manufactured homes wherever situated in the State of Delaware, and shall apply to single section, multiple section or expandable homes for use as a permanent dwelling.

(75 Del. Laws, c. 213, § 1.)

§ 4403 Definitions.

For the purposes of this chapter, the following terms and phrases when used in this chapter shall have, unless the context clearly indicates otherwise, the meanings given to them in this section:

(1) “Applicant” means any person, individual, natural person who seeks to become licensed as a manufactured housing installer.

(2) “Approval decal” means the decal approved by and purchased from the Board on which an installer shall inscribe the date of setup, the name of the installer, and the number of the installer’s license. Affixing an approval decal to the manufactured home data plate signifies the installer’s certification that the home was installed in accord with all applicable laws, rules and regulations.

(3) “Authorized inspection agency” means a state, county, or municipal administrative department or agency, or other instrumentality of the State of Delaware, that has been assigned the function of inspecting manufactured home installations to ensure compliance with this chapter and the Board’s rules and regulations or inspectors hired by the State, county or municipal administrative department or agency on a contract basis to perform inspections.

(4) “Board” means the Manufactured Home Installation Board.

(5) “Division” means the Division of Professional Regulation.

(6) “Homeowner” means, for purposes of this chapter, an individual who owns manufactured housing in Delaware that is used as a residence.

(7) “Installation” means the assembly of manufactured homes on site and the process of affixing manufactured homes to the land by the use of a foundation, footings, utilities, or to an existing building. The term includes the process of affixing manufactured home components to or within the housing structure for which they are designated. It shall also mean the installation of support and or anchoring systems to secure the home to the ground.

(8) “Installation Code” means the requirements for installation of a manufactured home or housing as they are set forth in this chapter .

(9) “Installation instructions” means written instructions provided by the manufacturer in the installation manual, or equivalent, which accompanies each manufactured home when it leaves the factory, and that detail the manufacturers requirements for ground support, anchoring systems, and other work to be completed on site during the installation process. If there are no manufacturer’s instructions available then the term means either NCSBCS/ANSI 225.1, 1994 national standards, as amended, or in accordance with plans sealed by a registered professional engineer designed for that specific home.

(10) “Installer” means any person who is engaged in the business of performing manufactured housing installations as they are defined above. Any individual who is acting at all times under the supervision of a licensee need not be licensed in order to assist in the installation of manufactured housing.

(11) “Licensee” means any person who has completed the required training and who has paid the applicable fee for and received a license, under this chapter, for the installation of manufactured housing.

(12) a. “Manufactured home” or “Manufactured housing’ means a factory-built, single-family dwelling:

1. Transportable in 1 or more sections, which is either 8 body feet or more in width and 40 body feet or more in length, or, when erected on site, has more than 400 square feet in living area; and

2. With or without a permanent foundation and designed to be used as a year-round dwelling when connected to the required utilities; and

3. If manufactured since June 15, 1976, built in accordance with manufactured housing construction requirements promulgated by the federal Department of Housing and Urban Development (HUD) or by other applicable codes.

b. The terms “manufactured home” and “manufactured housing” are synonymous with the term “manufactured home” as that term is used and defined in Chapter 70 of Title 25, but shall not be interpreted to include any recreational vehicle, recreational trailer,

travel trailer, park trailer, camping trailer, or truck camper as those terms are defined in Chapter 1 of Title 21.

(13) "Manufactured home installation inspector" means any person who holds a manufactured home installation inspector's certificate issued pursuant to this chapter.

(14) "NCSBCS/ANSI 225.1, 1994" means the National Conference of States on Building Codes and Standards, Inc., American National Standard Home Installations, as adopted on January 4, 1994, as amended.

(15) "New manufactured home" means a manufactured home that has not been previously sold or previously installed. Homes that would otherwise have been considered new under this paragraph shall not lose that status as a result of having been set up on a temporary basis for display in a retail sales center, facility or its equivalent.

(16) "Person" means an individual, firm, partnership, corporation, association, joint stock company, limited partnership, limited liability company and any other legal entity and includes a legal successor of those entities.

(17) "Previously owned manufactured home" means any manufactured home that has been previously sold and been subject to an installation as defined herein.

(18) "Recreational vehicle" means a travel trailer, camping trailer, park model trailer, campers, or motor homes which are primarily designed as temporary living quarters for recreational camping, seasonal or travel use and which either have their own motor power or are mounted on or drawn by another vehicle.

(19) "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the person's fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the work of a manufactured home installer.

(20) "Supervision" means managing the acts of another by overseeing the performance or operation of the person and by taking full responsibility for acts or omissions of the person that are being performed at the direction of a licensee or under the authority of a licensee's license.

(21) "Unauthorized practitioner" means any person, who engages in the occupational practices regulated by the Board, and who is not licensed or certified by the Board to do so.

(75 Del. Laws, c. 213, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 169, §§ 1, 2.)

§ 4404 Division responsibilities; register of licensed installers; Board records; administrative support.

(a) The Division of Professional Regulation shall keep a register of all approved manufactured home installer licensure applications.

(b) The Division shall maintain complete records relating to meetings of the Manufactured Home Installation Board including, but not limited to: minutes, examinations, rosters, changes and additions to the Board's rules and regulations, complaints, hearing records, and such other matters as the Board shall determine. Such records shall be prima facie evidence of the proceedings of the Board.

(c) The Division shall provide administrative support necessary for the Board to carry out its duties under this chapter.

(75 Del. Laws, c. 213, § 1.)

Subchapter II

The Manufactured Home Installation Board

§ 4411 The Manufactured Home Installation Board.

There is created a Manufactured Home Installation Board which shall administer and enforce this chapter.

(75 Del. Laws, c. 213, § 1.)

§ 4412 The Manufactured Home Installation Board; objectives.

(a) The primary objective of the Manufactured Home Installation Board, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

(b) The secondary objectives of the Board are to maintain minimum standards of competence; and, to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competence; shall monitor complaints brought against persons regulated by the Board; shall adjudicate at formal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against persons licensed or certified pursuant to this chapter.

(75 Del. Laws, c. 213, § 1.)

§ 4413 The Manufactured Home Installation Board; appointments; composition; qualifications; term; succession; conflicts of interest; public inquiries.

(a) The Board shall consist of 5 members, appointed by the Governor, who are residents of this State. These members shall consist of:

(1) Two manufactured home installers, licensed under this chapter.

(2) One manufactured home installation inspector, certified under this chapter.

(3) Two members of the public.

(b) Except as provided in subsection (c) of this section, each member shall serve a term of 3 years, and may succeed oneself; provided,

however, that where a member was initially appointed to fill a vacancy, such member may succeed oneself for only 1 additional full term. Any person appointed to fill a vacancy on the Board, or to replace a member who has held over following the expiration of that member's term of office, shall hold office for the remainder of the unexpired term of the former member. Each term of office shall expire on the date specified in the appointment; however, the Board member shall remain eligible to participate in Board proceedings unless and until replaced by the Governor. Any person appointed to replace a member who has held over following the expiration of that member's term of office, shall serve a term of less than 3 years when necessary to ensure that Board members' terms expire on a rotating annual basis.

(c) A person may be appointed to the Board for up to 2 consecutive terms and, if the person has been twice appointed to the Board or has served on the Board for 6 or more years within any 9-year period, that person shall again be eligible for appointment to the Board only after an interim period of at least 3 years has expired since such person last served.

(d) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of this section, unless such an amendment or revision amends this section to permit such an appointment.

(e) In addition to the criteria set forth in subsection (a) of this section:

(1) No member of the Board, while serving on the Board, shall hold elective office in any professional association of manufactured home installers, including but not limited to serving as the head of the professional association's Political Action Committee (PAC);

(2) The public members shall be accessible to inquiries, comments, and suggestions from the general public; and

(3) No public member of the Board shall be, or ever have been:

a. A manufactured housing installer;

b. A member of the immediate family of a licensed manufactured housing installer;

c. Employed by a manufactured home installation company or contractor;

d. Materially interested in the providing of goods and services to manufactured home installers;

e. Engaged in an activity directly related to the manufactured home installation business.

(75 Del. Laws, c. 213, § 1; 70 Del. Laws, c. 186, § 1; 85 Del. Laws, c. 39, § 1; 84 Del. Laws, c. 42, § 1.)

§ 4414 The Manufactured Home Installation Board; vacancies; suspension or removal; member conduct; unexcused absences; compensation.

(a) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetence, or neglect of duty. A member subject to disciplinary hearing shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(b) The provisions set forth in Chapter 58 of Title 29 shall apply to all members of the Board.

(c) Any member, who is absent without adequate reason for 3 consecutive meetings, or who fails to attend at least half of all regular business meetings during any calendar year, shall be guilty of neglect of duty.

(d) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(75 Del. Laws, c. 213, § 1; 81 Del. Laws, c. 85, § 25.)

§ 4415 The Manufactured Home Installation Board; meetings; officers; quorum.

(a) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, and at such times as the president deems necessary, or, at the request of a majority of Board members.

(b) The Board annually shall elect a president and vice-president. Each officer shall serve for 1 year and may not serve more than 2 consecutive terms.

(c) A majority of the members constitutes a quorum for the purpose of transacting business. No disciplinary action may be taken without the affirmative vote of at least 3 members of the Board.

(d) Minutes of all meetings shall be recorded and delivered to the Division in a timely manner following the meeting. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The person requesting the transcript shall incur the cost of preparing any transcript.

(75 Del. Laws, c. 213, § 1; 70 Del. Laws, c. 186, § 1; 85 Del. Laws, c. 39, § 2.)

§ 4416 The Manufactured Home Installation Board; powers and duties.

(a) The Board shall have all the powers and authority necessary or convenient to carry out and effectuate the purposes and provisions of this chapter, including the powers set forth in this section in addition to others granted in this chapter.

(b) The Manufactured Home Installation Board may:

(1) Formulate rules and regulations, with appropriate notice to those affected; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act [Chapter 101 of Title 29] of this State. Each rule or regulation shall implement or clarify a specific section of this chapter.

(2) Designate application forms to be used by all applicants and process all applications;

(3) Designate the written, standardized examination, approved by the Division, and administered and graded by the testing service, to be taken by all persons applying for licensure, except applicants who qualify for licensure by reciprocity;

(4) Evaluate the credentials of all persons applying for a license as a manufactured home installer, in this State, in order to determine whether such persons meet the qualifications for licensing set forth in this chapter.

(5) Evaluate the credentials of all persons applying for a certificate as a manufactured home installation inspector, in order to determine whether such persons meet the qualifications for certification set forth in this chapter.

(6) Grant licenses to and renew licenses of all persons who meet the qualifications for licensure;

(7) Grant certificates to and renew certifications of all person who meet the qualifications for certification;

(8) Establish by rule and regulation continuing education standards, which shall be a requirement of continued licensure and certification, as well as a requirement for renewal;

(9) Evaluate certified records to determine whether an applicant for licensure or certification, who previously has been licensed, certified or registered in another jurisdiction as a manufactured home installer or installation inspector, has engaged in any act or offense that would be grounds for disciplinary action under this chapter, and whether there are disciplinary proceedings or unresolved complaints pending against such applicant for such acts or offenses;

(10) Refer all complaints from licensees and certified installation inspectors and the public concerning licensees and certified installation inspectors, concerning unauthorized practitioners, or concerning practices of the Board or of the profession, to the Division for investigation pursuant to § 8735 of Title 29; and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint;

(11) Conduct hearings and issue orders in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(12) Grant a license to, and renew the license of, any person holding an inactive license, as defined in the Board's rules and regulations, provided the individual does not use the license to perform manufactured home installations, and who in addition, submits proof of completion of biennial continuing education requirements.

(13) In the event an installation is not in compliance with this chapter, direct a licensed installer to take such corrective actions as it deems necessary to bring the installation into compliance.

(14) Assess administrative penalties against any unauthorized practitioner.

(15) Designate and impose the appropriate sanction or penalty, after time for appeal has lapsed, when the Board determined after a hearing, that penalties or sanctions should be imposed.

(16) Monitor federal laws and regulations governing manufactured home installations and installation inspections to ensure its continued compliance with them.

(c) The Board shall require that all persons receiving a license, display on the vehicles used in the performance of their work, the words "Licensed Manufactured Home Installer", or the abbreviation "Lic. Mfd. Home Installer" and the number assigned to them, in not less than 2-inch letters and numbers.

(d) The Board shall promulgate regulations specifically identifying those crimes which are substantially related to the work of a manufactured home installer or the practice of manufactured home installation.

(75 Del. Laws, c. 213, § 1; 77 Del. Laws, c. 169, §§ 3-5.)

Subchapter III

Manufactured Home Installation Code

§ 4421 Manufactured home installations.

(a) Every manufactured home installed in Delaware must be installed by a manufactured home installer licensed by the Board pursuant to this chapter.

(b) All manufactured home installations shall performed in a manner consistent with the regulations of the United States Department of Housing and Urban Development and shall be completed:

(1) Pursuant to the requirements of the manufactured home manufacturer's written installation instructions or manual; or

(2) If the manufacturer's written installation instructions or manual is not available, then pursuant to the applicable provisions of NCSBCS/ANSI 225.1, 1994, as amended; or

(3) If the manufacturer's written installation instructions or manual is not available, and the provisions of NCSBCS/ANSI 225.1, 1994, as amended do not apply to the specific manufactured home, then pursuant to a set of plans designed for that specific manufactured home under the seal of a registered professional engineer.

(c) At the discretion of the county where the manufactured home is sited, and for a period not to exceed 6 months, limited exceptions to the above installation requirements may be permitted where installation of a manufactured home is made on a temporary basis, as emergency relief to a resident whose legal dwelling has been damaged or destroyed by fire or other natural disaster until said damage can be repaired or the dwelling replaced with a legal dwelling, or for a temporary installation where a manufactured home is being replaced with anther legal dwelling.

(d) All manufactured home installations shall be inspected pursuant to this chapter, by an employee or contractor of an authorized inspection agency who has been certified by the Board as a manufactured home installation inspector.

(e) This section is intended to establish minimal standards for the installation of any manufactured home within the State of Delaware and to govern the installation of both previously owned manufactured homes and new manufactured homes. These standards are intended to satisfy the standards established by the federal Manufactured Housing Improvement Act of 2000 [P.L. 106-569, Title VI], and shall be liberally construed to that end.

(75 Del. Laws, c. 213, § 1; 77 Del. Laws, c. 169, § 6.)

§ 4422 Installation inspections.

(a) All installations of manufactured homes, whether new or previously owned, shall be subject to a minimum of 2 and a maximum of 5 inspections conducted prior to the owner taking occupancy, and the issuance of a certificate of completion or occupancy. At least 1 inspection shall be performed upon completion of an installation for the purposes of ensuring the safety and stability of the installation and the habitability of the manufactured home. Re-inspections required due to a failure of a previous inspection do not count towards the maximum number of inspections.

(b) Inspections shall be conducted by the land use department or other applicable agency or department of the county in which the manufactured home is located, unless a local government agency that currently performs such inspections on traditional housing desires to assume responsibility for inspections of manufactured housing. If the local government agency declines to do the inspection then the county shall be the authorized inspection agency. Counties or municipalities may hire inspectors on a contract basis to perform inspections on the county's or municipality's behalf, consistent with their current practices for other types of inspections. Such inspectors are subject to the requirements of subsection (d) of this section below. In no event shall any installation be subject to multiple inspections by other jurisdictions.

(c) The county or other local applicable agency which performs the inspection may charge a reasonable fee, as it relates to the actual cost to the inspecting agency, for the inspection procedure.

(d) No person shall undertake an installation inspection pursuant to this chapter without first having been individually certified by the Board pursuant to this chapter.

(75 Del. Laws, c. 213, § 1; 77 Del. Laws, c. 169, § 7.)

§ 4423 Requirement to provide notification of installation information.

All licensed manufactured home installers shall purchase approval decals from the Board, for a fee to be established by the Division. Such decal shall denote the date of setup, the name of the installer, and the number of the installer's license. Approval decals shall be positioned and permanently affixed next to the manufactured home data plate.

(75 Del. Laws, c. 213, § 1.)

Subchapter IV

Licensure and Certification

§ 4431 Licensure requirements; reciprocal licensure.

(a) To obtain a manufactured home installer license, a person must:

(1) Apply to the Board for the license, in a manner designated by the Board;

(2) Pay an application fee established by the Division to offset the administrative costs associated with the functions of the Board;

(3) Be at least 18 years old;

(4) Provide evidence that the applicant or that applicant's employer has and will maintain a surety bond or irrevocable letter of credit issued by a federally-insured financial institution, in the form and minimum amount to be determined by the Board in its rules and regulations, that will cover the cost of repairing all damage to the home and its supports caused by the installer, or the installer's or employer's employees or agents, during the installation. The Board may require the licensed installer to provide proof of the surety bond or irrevocable letter of credit at any time. The licensed installer must notify the Board in writing, within 7 days of any changes or cancellations of the surety bond or irrevocable letter of credit. The employer must notify the Board of the termination of employment of any licensee who is covered by the employer's surety bond or irrevocable letter of credit. Entities or individuals who maintain a surety bond or irrevocable letter of credit as provided in this paragraph are responsible for all acts or omissions of the licensed manufactured home installer and any individual acting under the supervision of or assisting the installer in the installation of manufactured housing;

(5) Provide evidence that the applicant or that applicant's employer has and will maintain liability insurance in the form and minimum amount to be determined by the Board in its rules and regulations. The Board may require the licensed installer to provide proof of liability insurance at any time. The licensed installer must notify the Board in writing, within 7 days of any changes or cancellations of the liability insurance. The employer must notify the Board of the termination of employment of any licensee who is covered by the employer's liability insurance. Entities or individuals who maintain liability insurance as provided in this paragraph are responsible for all acts or omissions of the licensed manufactured home installer and any individual acting under the supervision of or assisting the installer in the installation of manufactured housing;

(6) Complete the educational requirements established by the Board;

(7) Have passed a licensure test established or adopted by the Board, and presented proof of the same to the Board;

(8) Agree to be responsible for all acts or omissions of any individual acting under the supervision of the applicant while assisting in the installation of manufactured housing;

(9) Not been have been the recipient of any administrative penalties regarding the applicant's actions as a licensed manufactured home installer, including but not limited to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, and and/or has not entered into any "consent agreement" which contains conditions placed by a Board on the applicant's professional conduct, including any voluntary surrender of a license. The Board, after a hearing, may determine whether such administrative penalty is grounds to deny licensure; and

(10) Not have a criminal conviction nor pending criminal charge relating to an offense that is substantially related to the work of a licensed manufactured home installer. Applicants who have a criminal conviction or pending criminal charge shall request appropriate authorities to provide information about the conviction or charge directly to the Board. However, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board shall, by an affirmative vote of a majority of the quorum, waive this paragraph (a)(10).

a.-d. [Repealed.]

(b) The Board may waive the education and examination requirements and grant a reciprocal license upon the receipt of an application and the payment of the fees to any person who presents proof of current licensure, registration, or certification as a manufactured home installer in any other state, district, or territory of the United States whose laws and regulations governing licensure, registration, or certification are considered by the Board to be substantially similar to the requirements for licensure set forth in this chapter and the Board's rules and regulations.

(75 Del. Laws, c. 213, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 436, § 48; 77 Del. Laws, c. 169, §§ 8, 9; 77 Del. Laws, c. 199, § 36; 78 Del. Laws, c. 44, §§ 70, 71; 83 Del. Laws, c. 433, § 30.)

§ 4432 Certification requirements.

The Board may issue a manufactured home installation inspector's certificate to any full-time, part-time, or casual/seasonal employee of an authorized inspection agency who has completed a certification course established or approved by the Board.

(75 Del. Laws, c. 213, § 1.)

§ 4433 License and certification renewal; inactive licenses; refusal to renew; finality of Board's decision.

(a) All licenses issued pursuant to this chapter shall be renewed biennially. All certificates issued pursuant to this chapter shall be renewed on a schedule established by the Board.

(b) The Board may establish requirements to grant an inactive status to any licensee upon request.

(c) Renewal decisions of the Board shall be final when announced to the applicant.

(75 Del. Laws, c. 213, § 1.)

Subchapter V

Disciplinary Proceedings

§ 4441 Grounds for discipline.

(a) A practitioner licensed or certified under this chapter shall be subject to disciplinary actions set forth in § 4443 of this title, if, after a hearing, the Board finds that the practitioner:

(1) Has employed or knowingly cooperated in fraud or material deception in order to acquire a license as a manufactured home installer or a certificate as a manufactured home installation inspector; has impersonated another person holding a license or certificate, or allowed another person to use that manufactured home installer's license or manufactured home installation inspector's certificate, or aided or abetted a person not licensed as a manufactured home installer or certified as a manufactured home installation inspector to represent himself or herself as a manufactured home installer or manufactured home installation inspector.

(2) Has illegally, incompetently or negligently practiced manufactured home installation or manufactured home installation inspection.

(3) Has been convicted of a crime that is substantially related to the practice of manufactured home installation or manufactured home installation inspection. A copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence thereof.

(4) Has excessively used or abused drugs either in the past 2 years or currently; excessive use or abuse of drugs shall mean any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed practitioner, or the abuse of alcoholic beverage such that it impairs the practitioner's ability to perform the work of a manufactured home installer or manufactured home installation inspector.

(5) Has violated a lawful provision of this chapter, or any lawful regulation established thereunder.

(6) Has had that practitioner's manufactured home installer's license or manufactured home installation inspector's certificate suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record; and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every person licensed as a manufactured home installer or certified as a manufactured home installation inspector in this State shall be deemed to have given consent to the release of this information by the Board or other comparable agencies in another jurisdiction, and to have waived all objections to the admissibility of previously adjudicated evidence of such acts or offenses.

(7) Has failed to notify the Board that the manufactured home installer's license or manufactured home installation inspector's certificate in another state has been subject to discipline, or has been surrendered, suspended, or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof.

(8) Has failed to comply with a lawful board order.

(b) Subject to the provisions of subchapter IV of Chapter 101 of Title 29, no license or certificate shall be restricted, suspended, or revoked by the Board, and no practitioner's right to practice manufactured home installation or manufactured home installation inspection shall be limited by the Board until such practitioner has been given notice, and an opportunity to be heard, in accordance with the Administrative Procedures Act, Chapter 101 of Title 29. Notice shall be accomplished by mail to the last address of record provided by the practitioner. It is the practitioner's responsibility to notify the Division of a change of address within 15 days of that change.

(75 Del. Laws, c. 213, § 1; 70 Del. Laws, c. 186, § 1; 79 Del. Laws, c. 171, § 1.)

§ 4442 Hearing procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29 alleging violation of § 4441 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) Where the practitioner is in disagreement with the action of the Board, the practitioner may appeal the Board's decision to the Superior Court within 30 days of the day that notice of the decision is mailed, in accordance with the Administrative Procedures Act, § 10142 of Title 29.

(75 Del. Laws, c. 213, § 1; 79 Del. Laws, c. 171, § 1.)

§ 4443 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 or more of the conditions or violations set forth in § 4441 of this title applies to a practitioner:

(1) Issue a letter of reprimand;

(2) Place the practitioner on probationary status and require the practitioner to:

a. Report regularly to the Board upon the matters which are the basis for the probation; and/or

b. Limit all practice and professional activities to those areas prescribed by the Board.

(3) Impose a monetary penalty as set forth in § 4445(c) of this title;

(4) Suspend any practitioner's license or certificate.

(5) Revoke or permanently revoke any practitioner's license or certificate.

(b) The Board may withdraw or reduce conditions of probation when it finds that deficiencies requiring such action have been remedied.

(c) Where the Board has placed a practitioner on probationary status under certain restrictions or conditions and the Board has determined that such restrictions or conditions are being or have been violated by the practitioner, it may, after a hearing on the matter, suspend or revoke the practitioner's license or certificate.

(79 Del. Laws, c. 171, § 1.)

§ 4444 Temporary suspension pending hearing.

In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(79 Del. Laws, c. 171, § 1.)

§ 4445 Penalties.

(a) Where the Board has determined, upon notice and hearing pursuant to Chapter 101 of Title 29 that a person is engaged in the practice of manufactured home installation or manufactured home installation inspection regulated by this chapter without having lawfully obtained a license or certificate or that a person previously licensed or certified under this chapter is engaged in a practice regulated by this chapter notwithstanding that the person's license or certificate has been suspended or revoked, the Board may issue a cease and desist order. In addition to the power to issue a cease and desist order, the Board may seek an injunctive order prohibiting such unlawful practice and/or seek the imposition of other civil penalties defined by this chapter.

(b) Upon notice and hearing pursuant to Chapter 101 of Title 29, the Board may fine any person who violates such cease and desist order not less than $100 or more than $1,000. Each day a violation continues may be deemed a separate offense in the Board's discretion.

(c) Any person who violates any provisions of this chapter or any rules or regulations promulgated hereunder shall be liable for a civil penalty of not more than $5,000 for the first offense; and not more than $10,000 for the second and each subsequent offense, which penalty may be sued for, and recovered by, the Board. Nothing in this section shall be construed to prevent prosecution under, or be inconsistent with, Title 11.

(d) In addition to the sanctions set forth in subsections (a) and (b) of this section, a person, not currently licensed as a manufactured home installer or certified as a manufactured home installation inspector under this chapter, when guilty of performing manufactured home installation or manufactured home installation inspection, or using in connection with that person's name, or otherwise assuming or using any title or description conveying, or tending to convey, the impression that the person is qualified to perform manufactured home installation or manufactured home installation inspection, such offender shall be guilty of a misdemeanor. Upon the first offense, the person shall be fined not less than $500 nor more than $1,000 for each offense. For a second or subsequent conviction, the fine shall be not less than $1,000 nor more than $2,000 for each offense. Justice of the Peace Courts shall have jurisdiction over all violations of this chapter.

(79 Del. Laws, c. 171, § 1.)

Chapter 50

Board of Funeral Service Practitioners [Repealed].

§§ 5001-5016 Objectives; regulation of practitioners; transfer of rights, powers and duties of Board of Services; license required; exceptions; composition of Board; qualifications; term; vacancies; removal of members; members as officers of professional associations; employees; expenses; officers; meetings; quorum; powers and duties; qualifications of applicants for licensure; judicial review; report to Attorney General; examinations; reciprocity; fees; issuance of license; renewal; inactive status; reinstatement; complaints; grounds for discipline; procedure; disciplinary sanctions; hearing procedures; unauthorized practice; violation of probation; second or subsequent offenses [Repealed].

Repealed by 66 Del. Laws, c. 225, § 1, effective June 11, 1988.

Chapter 51
COSMETOLOGY AND BARBERING AND LICENSURE OF AESTHETICIANS

Subchapter I

Board of Cosmetology and Barbering

§ 5100 Objectives.

The primary objective of the Board of Cosmetology and Barbering, to which all other objectives and purposes are secondary, is to protect the general public (specifically those persons who are direct recipients of services regulated by these subchapters) from unsafe practices, and from occupational practices which tend to reduce competition or artificially fix the price of services rendered. The secondary objectives of the Board are to maintain minimum standards of practitioner competency, and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board shall develop standards assuring professional competency; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at formal complaint hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against practitioners.

(63 Del. Laws, c. 146, § 3; 64 Del. Laws, c. 8, § 1; 69 Del. Laws, c. 178, § 1; 77 Del. Laws, c. 65, § 1.)

§ 5101 Definitions.

As used in this chapter:

(1) “Aesthetician” is an individual who practices any of the following:

a. Cleansing, stimulating, manipulating and beautifying skin by hand or mechanical or electric apparatus or appliance.

b. Application of lash extensions, additions or enhancements, including permanent waving and tinting.

c. Removal of superfluous hair.

d. Gives treatments to keep skin healthy and attractive.

An aesthetician is not authorized to prescribe medication or provide medical treatment in the same manner as a dermatologist.

(2) “Apprentice” means any person who is engaged in the learning of any or all the practices of cosmetology, barbering, nail technology or electrology from a practitioner licensed in the profession the apprentice is studying. The apprentice may perform or assist the licensed practitioner in any of the functions which the practitioner is licensed to perform.

(3) “Barber” means any person licensed under this chapter who, for a monetary consideration, shaves or trims beards, cuts or dresses hair, gives facial or scalp massages, or treats beards or scalps with preparations made for this purpose.

(4) “Board” means the state Board of Cosmetology and Barbering under this chapter.

(5) “Classroom hour” means 50 minutes of each 60-minute hour.

(6) “Cosmetologist” means any person licensed under this chapter who is not an apprentice or student practicing cosmetology, who shall have the qualifications provided for by this chapter.

(7) “Cosmetology” means performing any of the following services for compensation:

a. The embellishment, cleansing and beautification of human hair, such as arranging, dressing, curling, permanent waving, cutting, singeing, pressing, chemically bleaching or coloring, chemically straightening, or similar services.

b. Applying lash extensions, additions or enhancements, including permanent waving and tinting.

c. The temporary removal of superfluous hair.

d. Nail technology.

e. Massaging, stimulating, beautifying, or similar services, of the scalp, face, arms, hands or the upper body.

Any service performed under the definition of “cosmetology” may be performed by hand or mechanical or electrical devices and may include the use of cosmetic preparations, tonics, lotions or creams.

(8) “Cosmetology shop” means any place or part thereof wherein cosmetology, barbering, electrology, nail technology, aesthetics, or any of their practices, are performed for compensation, whether or not the establishment holds itself out as a cosmetology shop.

(9) “Division” means the Delaware Division of Professional Regulation.

(10) “Electrologist” means any person licensed under this chapter who, for a monetary consideration, engages in the removal of superfluous hair by use of specially designed electric needles.

(11) “Instructor” means any person who teaches cosmetology, barbering, electrology or nail technology.

(12) “Master barber” means any person licensed under this chapter who, for a monetary consideration, shaves or trims beards, gives facial or scalp massages, treats beards or scalps with preparations made for this purpose, or embellishes, cleans or beautifies human hair, which includes arranging, dressing, curling, permanent waving, cutting, singeing, pressing, chemically bleaching or coloring, chemically straightening, or similar work.

(13) “Nail technician” means any person licensed under this chapter who engages only in the practice of manicuring, pedicuring or sculpting nails, including acrylic nails, of any person.

(14) “Person” means a corporation, company, association or partnership, as well as an individual.

(15) “Professional-in-charge” means a licensee who is responsible for the operation of a cosmetology shop, including ensuring that all employees are licensed, where required by law.

(16) “School of cosmetology,” “school of electrology,” “school of nail technology,” “school of barbering” means any place or part thereof where cosmetology, barbering, electrology, nail technology or any of the practices are taught, whether or not such place holds itself out as such.

(17) “State” means the State of Delaware.

(18) “Substantially related” means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to cosmetology, barbering, electrology, nail technology or aesthetics.

(69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 158, § 1; 74 Del. Laws, c. 262, § 91; 75 Del. Laws, c. 169, § 1; 77 Del. Laws, c. 65, § 1; 79 Del. Laws, c. 170, § 1; 80 Del. Laws, c. 317, §§ 1, 2; 82 Del. Laws, c. 77, § 1; 83 Del. Laws, c. 76, § 1.)

§ 5102 Authority to regulate.

The Board of Cosmetology and Barbering shall regulate persons performing any of the functions outlined in the duties of a cosmetologist, barber, electrologist, nail technician, aesthetician or instructor.

(64 Del. Laws, c. 8, § 1; 69 Del. Laws, c. 178, § 1; 77 Del. Laws, c. 65, § 1.)

§ 5103 License requirement; applicability of chapter; exemptions.

(a) No person shall engage in the practice of cosmetology, barbering, electrology, or nail technology, or act as an instructor in said professions, or hold himself or herself out to the public as being qualified to practice the same; or use in connection with that person’s name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the person is qualified to practice cosmetology, barbering, electrology, or nail technology, or act as an instructor in said professions, unless such person has been duly licensed under this chapter.

(b) Whenever a license to practice as a cosmetologist, barber, electrologist, or nail technician, or act as an instructor in said professions, has expired or been suspended or revoked, it shall be unlawful for the person to practice cosmetology, barbering, electrology, nail technology, or act as an instructor in said professions.

(c) This chapter shall not be construed to prohibit practice by:

(1) Persons who are licensed to practice cosmetology, barbering, electrology or nail technology in any other state, district or foreign country who, as practicing cosmetologists, barbers, electrologists or nail technicians enter this State to consult with a cosmetologist, barber, electrologist or nail technician of this State. Such consultation shall be limited to less than 30 days in any calendar year.

(2) Any student of an accredited school of cosmetology, barbering, electrology or nail technology who is receiving practical training under the personal supervision of a licensed instructor in cosmetology, barbering, electrology or nail technology.

(3) Any student who is enrolled in a work-study, student-learner, apprenticeship or similar program where the employment is an integral part of the course of study, and the employment is procured and supervised by the Delaware public school system.

(4) Any cosmetologist, barber, electrologist or nail technician, commissioned by any of the armed forces of the United States, or by the United States Public Health Service.

(5) Persons employed to demonstrate, recommend or administer cosmetic preparations, lotions, creams, makeup, perfume or hair appliances or tools intended for home use, for the purposes of effecting retail sales, if those persons neither accept payment from the consumer for that demonstration nor make the demonstration contingent upon the purchase of any product or service.

(6) Persons employed to render cosmetology or hairstyling services in the course of, and incidental to, the business or employers engaged in the theatrical, radio, television or motion picture production industries, modeling or photography.

(7) Persons authorized by the laws of this State to practice medicine and surgery, dentistry, chiropractic and similar occupations, including registered nurses, licensed practical nurses, nurses’ aides, physical therapists and physical therapy assistants, when acting within the scope of their profession or occupation.

(8) Persons engaged in the practice of hair braiding. Hair braiding does not include hair cutting, application of dyes, reactive chemicals or other preparations to alter the color of the hair or to straighten, curl, or alter the structure of the hair. Hair braiding may involve the use of hair extensions when the extensions are attached only by natural means.

(d) *Shop license; necessity.* — No person, firm, corporation, partnership or other legal entity shall operate, maintain or use premises for the offering of or rendering of any 1 or more of the services encompassed in the definition of cosmetology without first having secured a shop license from the Board.

(e) *Services rendered in unlicensed shop or school; prohibition; exceptions.* — No person shall offer or render any of the services encompassed within the definition of cosmetology in a place which is not licensed as a shop or school, except that a practicing licensee, duly licensed pursuant to this chapter, may render the services which that practicing licensee is licensed to offer, as long as the practicing licensee is sponsored by a licensed shop and a record of those services is maintained by that shop, on the following individuals:

(1) Patients in hospitals, nursing homes, and other licensed health care facilities;

(2) A decedent in a funeral home;

(3) An invalid or handicapped person in the person’s place of residence;

(4) Inmates or residents of institutions of the Department of Correction or the Department of Human Services;

(5) Performers or models, prior to, in anticipation of, or during a performance; or

(6) Potential consumers of cosmetic preparations, lotions, creams, makeup or perfume which are intended for home use if the application of the product is made for the purposes of effecting a retail sale and the person neither accepts payment from the consumer for the service, nor makes the provision of the service contingent upon the purchase of any product or service.

(64 Del. Laws, c. 8, § 1; 69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 65, § 1; 80 Del. Laws, c. 317, § 3.)

§ 5104 Board of Cosmetology and Barbering; appointment; composition; qualifications; term of office; suspension or removal; compensation; continuation of former Board.

(a) The Board of Cosmetology and Barbering shall consist of 13 members appointed by the Governor and shall be composed of the following:

(1) Two cosmetologists.

(2) One nail technician.

(3) One barber.

(4) One aesthetician.

(5) One cosmetology instructor.

(6) One owner or operator of a shop licensed under this chapter.

(7) One owner or administrator of a school licensed under this chapter.

(8) Five public members. A public member may not be any of the following:

a. At any time, a cosmetologist, barber, electrologist, nail technician or aesthetician.

b. A member of the immediate family of a cosmetologist, barber, electrologist, nail technician or aesthetician.

c. Employed by a cosmetologist, barber, electrologist, nail technician or aesthetician.

d. At any time have a material or financial interest in the providing of goods or services to a cosmetologist, barber, electrologist, nail technician or aesthetician.

e. At any time engaged in an activity directly related to cosmetology, barbering, electrology, nail technology or aesthetics.

A public member shall be accessible to inquiries, comments and suggestions from the general public.

(b) Each member shall serve for a term of 3 years, and may successively serve for 1 additional term; provided, however, that where a member was initially appointed to fill a vacancy, such member may successively serve for only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member.

(c) A person who has never served on the Board may be appointed to serve on the Board for 2 consecutive terms, but no such person shall thereafter be eligible for 2 consecutive appointments. No person who has been twice appointed to the Board, or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(d) Any act or vote by a person appointed in violation of subsection (c) of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (c) of this section, unless such amendment or revision amends this section to permit such an appointment.

(e) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance or malfeasance. A member subject to disciplinary proceedings shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded.

(f) Any member who fails to attend 3 consecutive regular business meetings, or who fails to attend at least $^{1}/_{2}$ of all regular business meetings during any calendar year, shall automatically upon such occurrence be deemed to have resigned from office and a replacement shall be appointed by the Governor.

(g) No member of the Board of Cosmetology and Barbering, while serving on the Board, shall be a president, chairperson or other official of a professional cosmetology, barbering, nail technology, electrology or aesthetics association.

(h) The provisions set forth in Chapter 58 of Title 29 shall apply to all members of the Board.

(i) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(63 Del. Laws, c. 146, § 3; 64 Del. Laws, c. 8, § 1; 67 Del. Laws, c. 368, § 26; 69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 72 Del. Laws, c. 177, § 1; 73 Del. Laws, c. 158, §§ 2, 3; 77 Del. Laws, c. 65, § 1; 80 Del. Laws, c. 317, § 4; 81 Del. Laws, c. 85, § 26; 83 Del. Laws, c. 76, § 2.)

§ 5105 Officers; meetings; quorum.

(a) In the same month of each year the members shall elect, from among their number, a president, a vice-president and a secretary for 1 year. Each officer shall serve for 1 year, and may successively serve in the same office for 1 additional term. In the event that the president shall leave the Board, the vice-president shall become president and an election shall be held within 90 days of the president's departure.

(b) The Board shall hold a regularly scheduled business meeting at least once in each quarter of a calendar year, and at such other times as the president deems necessary or at the request of a majority of board members.

(c) A majority of members shall constitute a quorum for the purpose of transacting business. No action shall be taken without the affirmative vote of a majority of the quorum. No disciplinary action shall be taken without the affirmative vote of at least 7 members.

(d) Minutes of all meetings shall be recorded, and copies of the minutes shall be maintained by the Division of Professional Regulation. At any hearing where evidence is presented, such hearing shall be recorded by a court reporter and any stenographic transcript requested shall be at the expense of the party making the request.

(63 Del. Laws, c. 146, § 3; 64 Del. Laws, c. 8, § 1; 65 Del. Laws, c. 355, § 1; 68 Del. Laws, c. 409, § 1; 69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 77 Del. Laws, c. 65, § 1.)

§ 5106 Powers and duties.

(a) The Board of Cosmetology and Barbering shall have the power to:

(1) Formulate rules and regulations, with appropriate notice given. All rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act, Chapter 101 of Title 29. Each rule or regulation shall implement or clarify a specific section of this chapter;

(2) Designate and process the application form to be used by all applicants; however, no application form shall require a picture of the applicant, require information relating to citizenship, race, place of birth or length of state residency; nor shall it require personal references;

(3) Designate a written examination, prepared by either a national professional association or by a recognized legitimate national testing service;

(4) Provide for the administration of all examinations, subject to the approval of the Division of Professional Regulation, including notice and information to applicants;

(5) Design and administer practical examinations, subject to the approval of the Division of Professional Regulation, for cosmetology, nail technology, barbering and electrolysis, only;

(6) Grant licenses to, and renew licenses of, all persons who meet the qualifications for licensure and who have paid the appropriate fees as determined by the Division;

(7) Grant temporary licenses to all persons who qualify. Rules and regulations for the issuance of temporary licenses shall be established by the Board;

(8) Refer all complaints from licensees and the public concerning persons licensed by the Board, or concerning practices of the Board or of the profession, to the Division for investigation pursuant to § 8735 of Title 29, and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint;

(9) Conduct hearings and issue orders in accordance with procedures established pursuant to Chapter 101 of Title 29;

(10) Where it has been determined after a disciplinary hearing that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty;

(11) Bring proceedings in the courts for the enforcement of this chapter;

(12) Take such action outlined in § 5117 of this title with regard to unlicensed practitioners;

(13) Evaluate certified records to determine whether an applicant for licensure who previously has been licensed, certified or registered in another jurisdiction to practice cosmetology, barbering, nail technology and/or electrology has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against such applicant for such acts or offenses;

(14) Authorize agents of the Division to inspect any shop or school where cosmetology, barbering, electrology, nail technology or aesthetics services are offered, rendered or taught, or any other place where such services are offered, rendered or taught;

(15) Require continuing education of licensees as established by Board rules and regulations;

(16) Prohibit the use of methyl methacrylate (MMA); and

(17) Establish by rule and regulation advanced training requirements to assure that the licensee meets accepted standards of care for different techniques and services.

(b) The Board of Cosmetology and Barbering shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of cosmetology, barbering, electrology, nail technology or aesthetics.

(63 Del. Laws, c. 146, § 3; 64 Del. Laws, c. 8, § 1; 69 Del. Laws, c. 178, § 1; 71 Del. Laws, c. 380, § 1; 73 Del. Laws, c. 158, §§ 4-10; 74 Del. Laws, c. 262, § 92; 75 Del. Laws, c. 169, § 2; 77 Del. Laws, c. 65, § 1; 79 Del. Laws, c. 170, § 1; 80 Del. Laws, c. 317, § 5.)

§ 5107 Qualifications of applicant; judicial review; report to Attorney General.

(a) All persons applying for a license to practice under this chapter:

(1) Shall have successfully completed an education equivalent to a tenth grade education. Instructors shall have successfully completed an education equivalent to completion of a twelfth grade education. Proof of the required education shall be a certified high school transcript or any other document or affidavit which constitutes reliable proof of educational attainment as determined by the Board.

(2) Shall have passed a written and practical examination to the satisfaction of the Board as set forth in board rules and regulations.

(3) Shall have paid the appropriate fee as established by the Division of Professional Regulation. In addition, except as otherwise provided for in this chapter, no individual shall be permitted to sit for an examination or shall be granted a license to practice in any of the professions regulated by this chapter, unless the individual meets the following education requirements, or has successfully completed an apprenticeship. The requirements are for:

a. *Cosmetologists.* — The successful completion of a minimum of 1,500 classroom hours of continuous training for a complete course in cosmetology. School owners shall have the option of the amount of hours of training per day and shall be able to choose which days of the week the student works provided the hours accumulated do not exceed 40 hours per week, excluding make-up hours. The Board shall establish by regulation the portion of the 1,500 classroom hours that may be credited to an applicant who previously obtained classroom hours while studying to become an aesthetician, nail technician or electrologist. A cosmetologist may obtain a shaving certification in connection with the cosmetologist's license upon successful completion of a course in shaving consisting of at least 35 hours of instruction from a licensed barbering instructor.

b. *Apprentice cosmetologists.* — The completion of 3,000 hours in an apprenticeship to a licensed cosmetologist with the total number of hours worked not to exceed 40 hours per week. The Board shall establish by regulation the portion of the 3,000 apprenticeship hours that may be credited to an applicant who previously obtained apprenticeship hours while studying to become an aesthetician, nail technician or electrologist.

c. *Transfer of apprentice hours to a cosmetology program.* — An apprentice cosmetologist may transfer up to 1,800 apprentice hours at a rate of 2 apprentice hours to 1 transfer hour to a cosmetology program totaling 1,500 hours. A minimum of 600 hours of course work must be completed at school. The Board must provide documentation of the apprentice hours to the school prior to transfer.

d. *Master barbers.* — For a licensed barber, the successful completion of an additional 600 hour apprenticeship for chemicals, as set forth in the Board's rules and regulations, and the passing of the master barber's examination.

For all other applicants, the successful completion of a minimum of 1,500 classroom hours of continuous training for a complete course in master barbering and the passing of the master barber's examination, or the completion of 3,000 hours in a master barber apprenticeship, as set forth in the Board's rules and regulations, and the passing of the master barber's examination. School owners shall have the option of the amount of hours of training per day and shall be able to choose which days of the week the student works provided the hours accumulated do not exceed 40 hours per week, excluding make-up hours. Any barber who was issued a barber's license by the Division prior to April 28, 2008, shall be deemed a master barber. A master barber may obtain a skin and nails certification in connection with a master barber's license upon completion of at least 250 hours of instruction in a licensed cosmetology school or a 500 hour apprenticeship in skin and nails in accordance with the Board's rules and regulations.

e. *Barbers.* — The successful completion of a minimum of 1,250 classroom hours of continuous training for a complete course in barbering, or the completion of 3,000 hours in an apprenticeship to a licensed barber with the total number of hours worked not to exceed 40 hours per week.

f. *Transfer of apprentice hours to a barbering program.* — An apprentice barber may transfer up to 1,800 apprentice hours at a rate of 2 apprentice hours to 1 transfer hour to a barbering program totaling 1,500 hours. A minimum of 600 hours of course work must be completed at school. The Board must provide documentation of the apprentice hours to the school prior to transfer.

g. *Nail technicians.* — The successful completion of a course of training in nail technology of not less than 300 hours in a school of nail technology or cosmetology; or successful completion of 600 hours as an apprentice under the supervision of a licensed nail technician. In either case, training is not to exceed 40 hours per week, excluding make-up hours.

h. *Electrologists.* — The successful completion of a course of training in electrology of not less than 300 hours in a school of electrology or cosmetology, or successful completion of 600 hours as an apprentice under the supervision of a licensed electrologist. In either case, training is not to exceed 40 hours per week, excluding make-up hours.

i. [Repealed.]

j. *Cosmetology and barbering instructors.* — For cosmetology and barbering, an instructor must have a license in the respective field of cosmetology or barbering and the successful completion of a teacher training course, consisting of at least 500 hours of instruction in a registered school of cosmetology or barbering, or at least 2 years' experience as an active licensed, practicing cosmetologist or barber, supplemented by at least 250 hours of instruction in a teacher training course. In addition, the applicant shall have successfully passed an instructor examination designated by the Board in its rules and regulations. A person licensed as a cosmetology instructor may also provide instruction in nail technology and aesthetics. A person licensed as a cosmetology instructor may obtain a certification to instruct barbering upon successful completion of a course in shaving consisting of at least 35 hours of instruction from a licensed barbering instructor.

k. *Electrology instructor.* — An instructor must have a license in electrology and the successful completion of a teacher training course, consisting of at least 500 hours of instruction in a registered school of electrology or cosmetology; or at least 2 years' experience as an active licensed, practicing electrologist, supplemented by at least 250 hours' instruction in a teacher training course. In addition, the applicant shall have successfully passed an examination designated by the Board in its rules and regulations.

l. Nail technician instructor. — An instructor must have a license in nail technology and the successful completion of a teacher training course, consisting of at least 500 hours of instruction in a registered school of cosmetology or nail technology; or at least 2 years' experience as an active licensed, practicing nail technician, supplemented by at least 250 hours of instruction in a teacher training course. Proof of education or experience shall be provided to the satisfaction of the Board. In addition, the applicant shall have successfully passed an examination designated by the Board in its rules and regulations.

(4) Shall not have been the recipient of any administrative penalties regarding that person's licensed practice, including but not

limited to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, and/or have not entered into any agreements which contain conditions placed by a board on that person's professional conduct and practice, including any voluntary surrender of a license. The Board may, after a hearing, determine whether such administrative penalty is grounds to deny licensure;

(5) Shall not have any impairment related to drugs or alcohol that would limit the applicant's ability to undertake that applicant's licensed practice in a manner consistent with the safety of the public;

(6) Shall not have been convicted of a crime substantially related to the practice of cosmetology, barbering, electrology or nail technology, unless the applicant was previously so licensed or was enrolled in a training program to be so licensed while an offender under the supervision of the Department of Correction prior to July 10, 2001. In determining whether a crime is substantially related to the professions regulated by this chapter, the Board shall follow the restrictions set forth under § 8735(x)(4) of Title 29. If after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, or during the time period between Board meetings, the Board President or the President's designee, shall waive this paragraph (a)(6).

a.-d. [Repealed.]

(7) Shall not have a pending criminal charge relating to an offense that is substantially related to the practice of cosmetology, barbering, electrology or nail technology. Applicants who have criminal conviction records or pending criminal charges shall require appropriate authorities to provide information about the record or charge directly to the Board in sufficient specificity to enable the Board to make a determination under § 8735(x)(3) of Title 29 whether a waiver is required.

(8) Shall not have any disciplinary proceedings or unresolved complaints pending against that person in any jurisdiction where the applicant previously has been, or currently is, licensed to practice cosmetology, barbering, electrology or nail technology.

(b) As set forth in board rules and regulations, foreign-trained applicants shall provide evidence satisfactory to the Board of training equivalent to that required in paragraph (a)(3) of this section, in addition to meeting all other requirements of this section.

(c) When a person who feels the Board has refused or rejected an application without justification; has imposed higher or different conditions for the person than for other applicants or persons now licensed; or has in some other manner contributed to or caused the failure of such person's application, the applicant may appeal to Superior Court.

(d) Where the Board has found to its satisfaction that an application has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(64 Del. Laws, c. 8, § 1; 69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 158, §§ 11-13; 74 Del. Laws, c. 150, §§ 1, 2; 75 Del. Laws, c. 169, § 3; 75 Del. Laws, c. 436, § 49; 77 Del. Laws, c. 65, § 1; 77 Del. Laws, c. 199, § 37; 78 Del. Laws, c. 44, §§ 72, 73; 79 Del. Laws, c. 170, § 1; 79 Del. Laws, c. 418, § 1; 81 Del. Laws, c. 214, § 1; 82 Del. Laws, c. 77, § 2; 83 Del. Laws, c. 76, § 3; 83 Del. Laws, c. 433, § 31.)

§ 5108 Examinations.

(a) There shall be separate written and practical examinations for licensure for barbering, cosmetology, nail technology, electrology and aesthetics which shall be professionally developed and used on a national basis. Each of these examinations shall be offered at least semi-annually. If the required written or practical examination cannot be procured from a professional testing service, the Board may develop the written or practical examination subject to the approval of the Division of Professional Regulation.

(b) No Board member or designee of the Board may administer a practical examination to any student from an educational institution or commercial establishment where the Board member or designee of the Board is employed or has a fiduciary interest therein.

(c) Examination services shall be contracted and approved by the Division of Professional Regulation. Grading will be performed by the contracted testing service where professionally developed examinations are used. All scoring for practical examinations shall be approved by the Division of Professional Regulation.

(d) The Board, in its rules and regulations, shall determine the number of times that an applicant may retake the examination.

(64 Del. Laws, c. 8, § 1; 69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 430, § 2; 77 Del. Laws, c. 65, § 1.)

§ 5109 Reciprocity.

(a) Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who shall present proof of current licensure in good standing in another state, the District of Columbia, or territory of the United States whose standards for licensure are substantially similar to those of this State. An individual with a license from a state with less stringent requirements than those of this State may obtain a license through reciprocity if the individual can prove to the satisfaction of the Board that the individual has worked in another jurisdiction or jurisdictions in the field for which the individual is seeking a license in Delaware for a period of 1 continuous year out of the last 5 years immediately preceding application in this State. The 1 continuous year must be work experience obtained while the individual was holding a license in the same field for which the individual is seeking a license. All applicants shall submit evidence verified by oath that, in all states in which the applicant is or was licensed, the applicant's license is in good standing as defined in § 5107(a)(4), (5), (6), (7) and (8) of this title.

(b) An applicant who took the applicable written examination in a language other than the English language shall demonstrate the ability to communicate in the English language as determined by board rules and regulations.

(64 Del. Laws, c. 8, § 1; 69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 158, § 14; 77 Del. Laws, c. 65, § 1; 79 Del. Laws, c. 170, § 1; 80 Del. Laws, c. 135, § 1; 82 Del. Laws, c. 77, § 3.)

§ 5110 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division of Professional Regulation in its services on behalf of the Board. There shall be a separate fee charged for each service or activity; but no fee shall be charged for an activity not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each licensure biennium, the Division, or any other state agency acting on its behalf, shall compute, for each separate service or activity, the appropriate Board fees for the licensure biennium.

(64 Del. Laws, c. 8, § 1; 65 Del. Laws, c. 355, § 1; 69 Del. Laws, c. 178, § 1; 73 Del. Laws, c. 158, § 15; 77 Del. Laws, c. 65, § 1.)

§ 5111 Issuance of license; renewal; reinstatement; lapsed license.

(a) Each person who has passed the examinations required by this chapter, who has been admitted to practice in this State by reciprocity, or who has otherwise qualified for a license shall, prior to receiving such license, file for and obtain an occupational license from the Division of Revenue, if required, in accordance with Chapter 23 of Title 30. The Board shall issue a license to each person who has qualified for same under this chapter. A duplicate license shall be issued to a practitioner licensed under this chapter upon payment of a fee established by the Division of Professional Regulation. The license shall be clearly marked "DUPLICATE."

(b) Each license shall be renewed biennially, in such manner as is determined by the Division, and upon payment of the appropriate fee, and proof that the licensee has met any continuing education requirements established by the Board. A licensee who has allowed that licensee's license to lapse for less than 5 years may renew such lapsed license upon payment of a late fee established by the Division, and proof that the licensee has met any continuing education requirements established by the Board. A licensee who has allowed that licensee's license to lapse for longer than 5 years may reinstate such license by taking and passing the practical examination for the profession for which the licensee is seeking reinstatement.

(1)-(4) [Repealed.]

(c) A shop or school that allows its license to lapse has 45 days from the expiration date to apply for reinstatement. After that time, any shop or school must reapply.

(d) A former licensee whose license has been revoked shall apply for a new license, successfully complete all examinations, and pay all appropriate fees before the person may be licensed.

(64 Del. Laws, c. 8, § 1; 64 Del. Laws, c. 144, § 1; 69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 158, § 16; 77 Del. Laws, c. 65, § 1; 79 Del. Laws, c. 170, § 1; 82 Del. Laws, c. 77, § 4.)

§ 5112 Complaints.

(a) All complaints shall be received and investigated by the Division in accordance with § 8735 of Title 29, and the Division shall be responsible for issuing a final written report at the conclusion of its investigation. Investigators of the Division may enter any nail salon, beauty salon, barbershop or aesthetics shop in furtherance of their investigation. Upon a determination that an individual is practicing cosmetology, barbering, electrology, nail technology or aesthetics without a license, the investigator shall request that a Justice of the Peace Court issue a summons for a violation of § 5117(b) or (c) of this title, as applicable. The investigator or the Attorney General or their designee, or any other person authorized by law, shall prosecute the matter. A copy of the investigator's report, including the summons and complaint, shall be sent to the Board. A condition of bond shall be that the accused shall not practice the regulated conduct without first obtaining a license from the Division, and any violations of bond shall be treated as criminal contempt, pursuant to § 1271(3) of Title 11.

(b) Those complaints involving unsanitary conditions or other conditions in any nail salon, cosmetology or beauty salon, barber shop, electrology salon or aesthetics shop which may harm the health of those receiving the services outlined in this chapter shall be investigated by the Division of Public Health.

(64 Del. Laws, c. 8, § 1; 65 Del. Laws, c. 355, § 1; 69 Del. Laws, c. 178, § 1; 71 Del. Laws, c. 299, § 1; 73 Del. Laws, c. 158, §§ 17, 18; 77 Del. Laws, c. 65, § 1.)

§ 5113 Grounds for discipline; procedure.

(a) Practitioners regulated under this chapter shall be subject to those disciplinary actions set forth in § 5114 of this title if, after a hearing, the Board finds that the practitioner has:

(1) Employed or knowingly cooperated in fraud or material deception in order to acquire or renew a license to practice cosmetology, barbering, electrology, nail technology or aesthetics; or impersonated another person holding a license, or has allowed another person to use that licensee's license;

(2) Been incompetent or negligent in the practice of cosmetology, barbering, electrology, nail technology or aesthetics;

(3) Excessively used or abused drugs either in the past 2 years or currently; excessive use or abuse of drugs shall mean any use of narcotics, controlled substances or illegal drugs without a prescription from a licensed physician, or the abuse of alcoholic beverage such that it impairs the practitioner's ability to perform the work of a cosmetologist, barber, electrologist, nail technician or aesthetician;

(4) Been convicted of a crime that is substantially related to the practice of cosmetology, barbering, electrology, nail technology or aesthetics;

(5) As a cosmetologist, barber, electrologist, nail technician or aesthetician, or otherwise in the practice of the profession, knowingly engaged in an act of consumer fraud or deception, engaged in the restraint of competition, or participated in price-fixing activities;

(6) Violated a lawful provision of this chapter, or any lawful regulation established thereunder;

(7) Knowingly employed or cooperated in the hiring or contracting for the services of, or, as the owner or operator of a beauty salon or aesthetics shop, leased space or otherwise entered into a contractual relationship with, any unlicensed person or persons required by this chapter to hold an unrestricted license to practice any of the professions regulated by this chapter;

(8) Violated a standard or regulation adopted by the Department of Health and Social Services for public health assurance in the practice of cosmetology and barbering or in the operation of beauty salons, aesthetics shops and schools of cosmetology, electrology, nail technology, barbering and aesthetics;

(9) Had that practitioner's own license as a cosmetologist, barber, electrologist, nail technician or aesthetician suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record; and provided that the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Each person licensed as a cosmetologist, barber, electrologist, nail technician or aesthetician in this State shall be deemed to have given consent to release of this information by the board of cosmetology and barbering, or other comparable agencies, in another jurisdiction and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses;

(10) Failed to notify the Board that the person's license as a cosmetologist, barber, electrologist, nail technician or aesthetician in another state has been subject to discipline, or has been surrendered, suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof.

(b) Subject to subchapter IV of Chapter 101 of Title 29, no license shall be restricted, suspended or revoked by the Board, and no practitioner's rights to practice shall be limited by the Board, until such practitioner has been given notice, and an opportunity to be heard in accordance with the Administrative Procedures Act (Chapter 101 of Title 29).

(64 Del. Laws, c. 8, § 1; 69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 441, § 2; 73 Del. Laws, c. 158, §§ 19-23; 74 Del. Laws, c. 262, § 93; 75 Del. Laws, c. 169, §§ 4, 5; 77 Del. Laws, c. 65, § 1.)

§ 5114 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 of the conditions or violations set forth in § 5113 of this title applies to a practitioner regulated by this chapter:

(1) Issue a letter of reprimand;

(2) Impose a civil penalty not to exceed $500 for each violation of this chapter;

(3) Place a practitioner on probationary status, and require the practitioner to:

a. Report regularly to the Board upon the matters which are the basis of the probation;

b. Limit all practice and professional activities to those areas prescribed by the Board;

(4) Suspend any practitioner's license;

(5) Revoke a practitioner's license;

(6) Permanently revoke a practitioner's license.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such action have been remedied.

(c) As a condition of reinstatement of a suspended license, or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(64 Del. Laws, c. 8, § 1; 69 Del. Laws, c. 178, § 1; 77 Del. Laws, c. 65, § 1; 79 Del. Laws, c. 170, § 1.)

§ 5115 Temporary suspension pending hearing.

In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(77 Del. Laws, c. 65, § 1; 79 Del. Laws, c. 213, § 2.)

§ 5116 Hearing procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29, alleging a violation of § 5113 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with Chapter 101 of Title 29.

(b) All hearings shall be informal without use of rules of evidence. If the Board finds, by a majority vote of all members, that the complaint has merit, the Board shall take such action permitted under this chapter as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. A copy of the decision shall be mailed immediately to the practitioner.

(c) Where the practitioner is in disagreement with the action of the Board, the practitioner may appeal the Board's decision to the Superior Court within 30 days of the day the notice of decision was mailed. Upon such appeal the Court shall hear the evidence on the record. Board action shall not be stayed upon appeal unless so ordered by the Superior Court.

(64 Del. Laws, c. 8, § 1; 69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 441, § 3; 73 Del. Laws, c. 158, §§ 24-26; 77 Del. Laws, c. 65, § 1.)

§ 5117 Practicing without a license; penalties.

(a) Where the Board has placed a practitioner on probationary status under certain restrictions or conditions, and the Board has determined that such restrictions or conditions are being or have been violated by the practitioner, it may, after a hearing on the matter, suspend or revoke the practitioner's license.

(b) Where a person not currently licensed as a cosmetologist, barber, electrologist, nail technician, aesthetician or instructor, in any of the professions for which a license is required, is convicted of unlawfully practicing cosmetology, barbering, electrology, nail technology or aesthetics in violation of this chapter, such offender shall, upon the first offense, be fined not less than $100 nor more than $500, and shall pay all costs; provided, however, that where it is alleged that such violation has resulted in injury to any person, the offender shall be charged and tried under the applicable provision or provisions of Title 11.

(c) Where a person previously convicted of unlawfully practicing cosmetology, barbering, electrology, nail technology, or aesthetics or instructing in any of the professions for which a license is required under this chapter, is convicted a second or subsequent time of such offense, the fine assessed against such person shall be not less than $500 nor more than $1,000 for each subsequent offense thereafter.

(d) Where a person in violation of this section unlawfully practiced cosmetology, barbering, electrology, nail technology or aesthetics or instruction of these professions, the shop or school owner and/or manager shall, upon the first offense, be fined not less than $500 nor more than $1000, and shall pay all costs. Upon the second offense and each offense thereafter, the shop or school owner and/or manager shall be fined not less than $1000 nor more than $1500.

(64 Del. Laws, c. 8, § 1; 69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 299, § 2; 72 Del. Laws, c. 176, §§ 1, 2; 77 Del. Laws, c. 65, § 1.)

§ 5118 Licensure of cosmetology shops.

(a) All cosmetology shops must be licensed pursuant to this chapter. Applications for licensure shall be submitted on a form prescribed by the Board, together with the required fees set biennially by the Division of Professional Regulation.

(b) An application for shop licensure shall identify the professional-in-charge and shall include notarized acknowledgement by the person identified as the professional-in-charge. At all times, the professional-in-charge shall be licensed pursuant to this chapter and shall hold a license in good standing as defined in § 5107(a)(4)-(8) of this title. A licensee may serve as professional-in-charge for only 1 shop at any given time. The Board shall be notified in writing of any change in the professional-in-charge within 10 business days of such change.

(c) All cosmetology shops shall renew their licensure biennially, paying fees set by the Division of Professional Regulation. All cosmetology shops shall fully comply with all the rules and regulations promulgated by the Board as provided for in this chapter. Nothing contained in this chapter shall prevent a person from operating a licensed cosmetology shop in the person's home, provided there is full compliance with all applicable health regulations and this chapter.

(d) No cosmetology shop shall accept an apprentice unless said salon or shop shall have on its staff at least 1 individual licensed in the profession for which instruction is being provided; further, that such salon or shop may register 2 additional apprentices for each additional licensed professional attached to its staff. In addition, such salon or shop shall possess the necessary apparatus and equipment for the proper instruction in all subjects for the practices for which a license is required under this chapter; and shall maintain a daily record of the attendance of such apprentice or apprentices, together with the number of hours of apprenticeship; and shall certify to the Board upon termination of such apprenticeship the credits earned. Such instruction shall consist of the necessary training for a complete course comprising all, or the majority, of the practices of cosmetology, barbering, nail technology and electrology as provided in this chapter; and such course shall include theoretical studies and practical demonstrations in sanitation, sterilization and other safety measures, and the use of antiseptics, cosmetics and electrical appliances, consistent with the practical and theoretical requirements as applicable to cosmetology, barbering, nail technology and electrology as provided for in this chapter.

(e) Any person, firm or corporation teaching any or all of the practices of cosmetology, including barbering, nail technology and electrology, shall be required to comply with all provisions applicable to establishments having apprentices; and any and all rules which may be promulgated by the Board established in accordance with this chapter. No school of cosmetology, barbering, nail technology or electrology or beauty salon, barbershop, nail salon or electrology establishment, shall operate within this State unless a proper license under this chapter has first been obtained. The practice of cosmetology and other professions regulated by this chapter shall not be taught or practiced in this State; except in a duly licensed establishment except as provided for elsewhere in this chapter.

(f) Nothing contained in this chapter shall affect the instructional program of cosmetology as conducted in the public schools of this State. Any student, who has successfully completed the prescribed course in cosmetology in a state public school, shall be eligible to take the examinations required by this chapter.

(69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 158, § 27; 77 Del. Laws, c. 65, § 1; 79 Del. Laws, c. 170, § 1; 79 Del. Laws, c. 418, § 2.)

§ 5119 Licensing and requirements of a school.

(a) Each school of barbering, cosmetology, electrology or nail technology shall be licensed pursuant to this chapter and shall comply with the requirements of the Delaware Department of Education. Each school shall employ at least 1 instructor for the first 25 students enrolled, and 1 additional instructor for each additional 25 students enrolled. Each school shall possess apparatus and equipment sufficient for the proper and full teaching of all subjects of its curriculum; shall keep a daily record of the attendance of each student; maintain regular class and instruction hours; establish grades and hold examinations before issuance of diplomas. Each school shall require training for a complete course comprising all, or the majority, of the practices regulated under this chapter, as provided in this chapter, together with the minimum number of hours therein prescribed, and shall include practical demonstrations and theoretical studies, and study in sanitation, sterilization, other safety measures, and the use of antiseptics, cosmetics and electrical appliances, consistent with the practical and theoretical requirements as applicable to any practice for which a license is required under this chapter, as provided in this chapter.

(b) An instructor shall decide when a student of any of the practices for which a license or certification is required under this chapter, is sufficiently competent to perform those services for the public.

(c) Each school for a profession regulated by this chapter shall display, in a conspicuous place within the clinic area of the school, a sign which shall read as follows:

"ALL SERVICES IN THIS SCHOOL PERFORMED BY STUDENTS WHO ARE IN TRAINING."

(d) Each school licensed under this chapter shall afford to its students the full course of instruction required under this chapter, in default of which a proportionate amount of the tuition paid by the student shall be refunded.

(e) Each school licensed under this chapter, but not yet accredited pursuant to subsection (h) of this section, shall maintain the following records for each student:

(1) Daily attendance records;

(2) Scholastic records; and

(3) Financial records.

A school shall submit to the Board a notarized quarterly report on a form approved by the Board. The report shall be submitted by the following dates: April 15, July 15, October 15 and January 15 — and shall include the names and license numbers of the teachers employed and be personally signed by the owner and supervisor of the school. The school may charge the student a fee that covers the reasonable cost of making copies of the records. A school shall retain records of student attendance and scholastic records for a minimum of 5 years. A school that discontinues operation shall comply with Delaware Department of Education requirements with respect to the disposition of student records.

(f) A school that enrolls a transfer student from another cosmetology school shall obtain for that student:

(1) A notarized transcript from the original school listing the hours earned by the student in the required curriculum areas; and

(2) Verification from the appropriate licensing authority that the original school is licensed in that state, in the case of a student transferring from an out-of-state school to a school in Delaware.

(g) Failure to comply with any of the requirements applicable to a school constitutes grounds for immediate revocation of the school's license.

(h) Within 1 year after being licensed by the Board, a school shall submit to the Board proof that it has applied for accreditation with a nationally recognized accrediting agency approved by the Board. Within 3 years after being licensed by the Board, a school shall submit to the Board proof that it is accredited by a nationally recognized accrediting agency. A school that has been continually licensed and in good standing for more than 5 years prior to June 26, 2010, is exempted from this requirement. A school that has been continually licensed in good standing by the Board for less than 5 years prior to June 26, 2010, shall submit proof of accreditation by a nationally recognized accrediting agency before 5 years after June 26, 2010.

(69 Del. Laws, c. 178, § 1; 77 Del. Laws, c. 65, § 1.)

§ 5120 Display of license [Repealed].

73 Del. Laws, c. 158, § 33; 77 Del. Laws, c. 65, § 1; repealed by 82 Del. Laws, c. 8, § 19, effective Apr. 9, 2019.

§ 5121 Requirements for apprenticeships.

(a) An individual who chooses to seek eligibility for the cosmetologist, barbering, electrology or nail technician examination by apprenticeship shall apply to the Board for an apprentice permit.

(b) The owner of a shop that employs apprentices shall submit to the Board, on a form provided by the Board, a quarterly report of the hours earned by each apprentice. The reports shall be submitted by the following dates: April 15, July 15, October 15, and January 15.

(c) [Repealed.]

(d) An apprentice may not be the employer of the supervising licensee.

(77 Del. Laws, c. 65, § 1; 70 Del. Laws, c. 186, § 1; 79 Del. Laws, c. 170, § 1; 82 Del. Laws, c. 8, § 19.)

§ 5122 Inspections.

(a) An agent of the Division may enter and inspect during business hours, without prior notice, any shop or school where cosmetology, barbering, electrology, nail technology or aesthetics services are offered, rendered or taught, or any other place where such services are offered, rendered or taught.

(b) An agent of the Division acting pursuant to subsection (a) of this section: may inspect and copy records required to be kept by this chapter; may inspect within reasonable limits and in a reasonable manner the premises and all pertinent equipment; and may inspect other things therein, including records, files, papers and facilities relating to violation of this chapter.

(c) All information gathered under this section shall be kept confidential in accordance with all federal and state laws regarding privacy.

(77 Del. Laws, c. 65, § 1.)

Subchapter II

Aesthetician License

§ 5123 Objectives.

The primary purpose for the licensing of aestheticians is to guarantee to the public that each licensed practitioner has achieved a minimum level of competence as an aesthetician.

(69 Del. Laws, c. 178, § 1; 73 Del. Laws, c. 158, § 32; 77 Del. Laws, c. 65, § 1.)

§ 5124 Definitions.

For the purpose of this subchapter:

(1) “Aesthetician” is person who practices any of the following:

a. Cleansing, stimulating, manipulating and beautifying skin by hand or mechanical or electric apparatus or appliance.

b. Application of lash extensions, additions or enhancements, including permanent waving and tinting.

c. Removal of superfluous hair.

d. Gives treatments to keep skin healthy and attractive.

An aesthetician is not authorized to prescribe medication or provide medical treatment in the same manner as a dermatologist.

(2) “Aesthetics shop” means any place or part thereof wherein aesthetics are performed for compensation, whether or not the establishment holds itself out as an aesthetic shop. This definition shall not apply to places where aesthetics are performed by licensed health care professionals acting within the scope of their licensed profession.

(3) “Apprentice in aesthetics” means any person who is engaged in the learning of any or all the practices of aesthetics from a practitioner licensed in the profession the apprentice is studying. The apprentice may perform or assist the licensed practitioner in any of the functions which the practitioner is certified to perform.

(4) “Board” means the Board of Cosmetology and Barbering.

(5) “School of aesthetics” shall mean any place or part thereof where aesthetics or any of the practices are taught, whether or not such place holds itself out as such.

(69 Del. Laws, c. 178, § 1; 73 Del. Laws, c. 158, § 32; 77 Del. Laws, c. 65, § 1; 83 Del. Laws, c. 76, § 4.)

§ 5125 License requirement; applicability of chapter.

(a) No person shall engage in the practice of aesthetics, act as an instructor of aesthetics, or hold himself or herself out to the public as being qualified to practice aesthetics; or use in connection with the person’s name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the person is qualified to practice aesthetics, or act as an instructor of aesthetics, unless such person has been duly licensed under this chapter.

(b) Whenever a license to practice as an aesthetician or act as an instructor of aesthetics has expired or been suspended or revoked, it shall be unlawful for the person to practice aesthetics or act as an instructor of aesthetics.

(c) A person may not seek a license or renewal of license by means of false or fraudulent actions or misrepresentations.

(d) No person, firm, corporation, partnership or other legal entity shall operate, maintain or use premises for the offering or rendering of any 1 or more of the services encompassed in the definition of aesthetics without first having secured a shop license from the Board. Applications for licensure shall be submitted on a form prescribed by the Board, together with the required fees set biennially by the Division of Professional Regulation. All aesthetics shops shall renew their licensure biennially, paying fees set by the Division of Professional Regulation. All aesthetics shops shall fully comply with all the rules and regulations promulgated by the Board as provided for in this chapter.

(67 Del. Laws, c. 299, § 1; 69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 158, §§ 28, 29, 32, 34; 77 Del. Laws, c. 65, § 1.)

§ 5126 Exemptions.

Nothing in this subchapter shall prohibit:

(1) A licensed cosmetologist from performing services as an aesthetician;

(2) Persons authorized by the laws of this State to practice medicine and surgery, dentistry, chiropractic or similar professions, including registered nurses, licensed practical nurses, nurses' aides, physical therapists and physical therapy assistants from acting within the scope of their profession or occupation.

(67 Del. Laws, c. 299, § 1; 69 Del. Laws, c. 178, § 1; 73 Del. Laws, c. 158, § 32; 77 Del. Laws, c. 65, § 1.)

§ 5127 Qualifications.

(a) No person shall be licensed under this subchapter unless the person has done all of the following:

(1) Successfully completed an education equivalent to a tenth grade education. Proof of the required education shall be a certified high school transcript or any other document or affidavit which constitutes reliable proof of educational attainment as determined by the Board.

(2) Completed a course of study of not less than 600 hours in the principles pertaining to the practice of aesthetics; or completed 1200 hours in an apprenticeship to a licensed aesthetician, with the total number of hours worked per day not to exceed 10, nor to exceed 40 per week, excluding make-up hours. An apprenticeship must be completed within 2 years.

(3) Passed the national examination required in § 5128 of this title.

(4) Paid the appropriate fee as established by the Division of Professional Regulation.

(5) Shall not have any impairment related to drugs or alcohol that would limit the applicant's ability to undertake that applicant's licensed practice in a manner consistent with the safety of the public.

(6) Shall not have been convicted of a crime substantially related to the practice of aesthetics. In determining whether a crime is substantially related to the practice of aesthetics, the Board shall observe the limitations set forth in § 8735(x)(4) of Title 29. If after a consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board, by an affirmative vote of a majority of the quorum, or, during the time period between Board meetings, the Board President or the Board President's designee, determines that granting a waiver would not create an unreasonable risk to public safety, the Board, by an affirmative vote of a majority of the quorum, or during the time period between Board meetings, the Board President or President's designee, shall waive this paragraph (a)(6).

a.-d. [Repealed.]

(7) Shall not have been the recipient of any administrative penalties regarding that person's licensed practice, including but not limited to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, and/or have not entered into any "agreements" which contain conditions placed by a Board on that person's professional conduct and practice, including any voluntary surrender of a license. The Board may, after a hearing, determine whether such administrative penalty is grounds to deny licensure.

(8) Shall not have any disciplinary proceedings or unresolved complaints pending against that person in any jurisdiction where the applicant previously has been, or currently is, licensed to practice aesthetics.

(b) As set forth in Board rules and regulations, foreign-trained applicants shall provide evidence satisfactory to the Board of training equivalent to that required in paragraph (a)(2) of this section, in addition to meeting all other requirements of this section.

(c) When a person who feels the Board has refused or rejected an application without justification; has imposed higher or different conditions for the person than for other applicants or persons now licensed; or has in some other manner contributed to or caused the failure of such person's application, the applicant may appeal to the Superior Court.

(d) Where the Board has found to its satisfaction that an application has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(67 Del. Laws, c. 299, § 1; 69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 158, § 32; 75 Del. Laws, c. 169, § 6; 75 Del. Laws, c. 436, § 50; 77 Del. Laws, c. 65, § 1; 77 Del. Laws, c. 199, § 38; 78 Del. Laws, c. 44, §§ 74, 75; 79 Del. Laws, c. 170, § 1; 81 Del. Laws, c. 214, § 2; 83 Del. Laws, c. 76, § 5; 83 Del. Laws, c. 433, § 31; 84 Del. Laws, c. 42, § 1.)

§ 5128 Examinations.

(a) Examinations for licensure as an aesthetician shall be professionally developed and used on a national basis.

(b) Examination services shall be contracted and approved by the Division of Professional Regulation.

(c) The Division of Professional Regulation or its designee shall administer the examination for licensure. Grading will be performed by the contracted testing service.

(67 Del. Laws, c. 299, § 1; 69 Del. Laws, c. 178, § 1; 73 Del. Laws, c. 158, § 32; 77 Del. Laws, c. 65, § 1.)

§ 5129 Display of certificate [Repealed].

67 Del. Laws, c. 299, § 1; 69 Del. Laws, c. 178, § 1; 70 Del. Laws, c. 186, § 1; 73 Del. Laws, c. 158, § 32; 77 Del. Laws, c. 65, § 1; repealed by 82 Del. Laws, c. 8, § 19, effective Apr. 9, 2019.

§ 5130 Fees.

The amount to be charged for each fee imposed under this subchapter shall approximate and reasonably reflect all costs necessary to defray the proportional expenses incurred by the Division in its services pursuant to this subchapter. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this subchapter. The application fee shall not be

combined with any other fee or charge. At the beginning of each licensure biennium, the Division, or any other state agency acting on its behalf, shall compute, for each separate service or activity, the appropriate fees for the coming licensure biennium.

(67 Del. Laws, c. 299, § 1; 69 Del. Laws, c. 178, § 1; 73 Del. Laws, c. 158, §§ 31, 32; 77 Del. Laws, c. 65, § 1.)

§ 5131 Reciprocity.

(a) Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who shall present proof of current licensure in good standing in another state, the District of Columbia, or territory of the United States whose standards for licensure are substantially similar to those of this State. An individual with a license from a state with less stringent requirements than those of this State may obtain a license through reciprocity if the individual can prove to the satisfaction of the Board that the individual has worked in another jurisdiction or jurisdictions in the field for which the individual is seeking a license in Delaware for a period of 3 out of the last 5 years immediately preceding application in this State. All applicants shall submit evidence verified by oath that, all states in which the applicant is or was licensed, the applicant's license is in good standing as defined in § 5127(a)(5), (6), (7) and (8) of this title.

(b) An applicant who took the applicable written examination in a language other than the English language shall demonstrate the ability to communicate in the English language as determined by board rules and regulations.

(77 Del. Laws, c. 65, § 1; 79 Del. Laws, c. 170, § 1; 80 Del. Laws, c. 135, § 2.)

§ 5132 Operation of aesthetics schools.

(a) Any school which holds a current license to conduct a school for the purpose of teaching cosmetology and/or its branches, may apply for approval by the Board to teach a course pertaining to the principles of aesthetics. Every school shall at all times be in the charge, and under the immediate supervision, of an aesthetics instructor.

(b) All other schools shall be separately licensed and pay the prescribed fee.

(c) All aesthetics schools shall comply with the requirements of the Delaware Department of Education.

(d) All aesthetic schools must maintain compliance with § 5119 of this title, pertaining to cosmetology and barbering schools. All aesthetic schools must become accredited within 5 years of June 26, 2010, as provided in § 5119(h) of this title.

(67 Del. Laws, c. 299, § 1; 69 Del. Laws, c. 178, § 1; 73 Del. Laws, c. 158, § 32; 77 Del. Laws, c. 65, § 1; 79 Del. Laws, c. 170, § 1.)

§ 5133 Equipment.

Every school shall have, and shall maintain in good working condition, appropriate and sufficient equipment for its entire student body.

(67 Del. Laws, c. 299, § 1; 69 Del. Laws, c. 178, § 1; 73 Del. Laws, c. 158, § 32; 77 Del. Laws, c. 65, § 1; 79 Del. Laws, c. 170, § 1.)

§ 5134 Instructors.

(a) Aesthetic instructors shall have successfully completed an education equivalent to a twelfth grade education. Proof of the required education shall be a certified high school transcript or any other document or affidavit which constitutes reliable proof of educational attainment as determined by the Board. In addition, the applicant shall be licensed pursuant to this chapter and shall have completed a teacher training course, consisting of a minimum of 500 hours of instruction in a registered school of aesthetics, or at least 2 years' experience as an active licensed, practicing aesthetician, supplemented by at least 250 hours of instruction in a teacher training course. In addition, an aesthetics instructor shall have successfully passed an instructor examination designated by the Board in its rules and regulations. A licensed aesthetician who has been teaching aesthetics prior to enactment of this statute, and who provides the Board with proof, to the Board's satisfaction, of not less than 900 hours of teaching experience at a registered school of aesthetics, shall be exempted from this provision.

(b) As set forth in Board rules and regulations, foreign-trained applicants shall provide evidence satisfactory to the Board of training equivalent to that required in subsection (a) of this section, in addition to meeting all other requirements of this subchapter.

(67 Del. Laws, c. 299, § 1; 69 Del. Laws, c. 178, § 1; 73 Del. Laws, c. 158, § 32; 77 Del. Laws, c. 65, § 1; 79 Del. Laws, c. 170, § 1.)

§ 5135 Course of study.

Each school of aesthetics shall maintain a course of study of not less than 600 hours, extending over a period of a maximum of 160 hours a month. Every school shall maintain regular class hours with a daily schedule.

(67 Del. Laws, c. 299, § 1; 69 Del. Laws, c. 178, § 1; 73 Del. Laws, c. 158, § 32; 77 Del. Laws, c. 65, § 1.)

Subchapter III

Cosmetology Licensure Compact

§ 5140 Short title.

This subchapter is known as the "Cosmetology Licensure Compact."

(85 Del. Laws, c. 289, § 1.)

§ 5141 Title and purpose.

The purpose of this Compact is to facilitate the interstate practice and regulation of cosmetology with the goal of improving public access to, and the safety of, cosmetology services and reducing unnecessary burdens related to cosmetology licensure. Through this Compact, the member states seek to establish a regulatory framework that provides for a new multistate licensing program. Through this new licensing program, the member states seek to provide increased value and mobility to licensed cosmetologists in the member states, while ensuring. safe, effective, and reliable services are provided to the public. This Compact is designed to achieve all of the following objectives, and the member states ratify the same intentions by subscribing to this Compact:

(1) Provide opportunities for interstate practice by cosmetologists who meet uniform requirements for multistate licensure.

(2) Enhance the abilities of member states to protect public health and safety, and prevent fraud and unlicensed activity within the profession.

(3) Ensure and encourage cooperation between member states in the licensure and regulation of the practice of cosmetology.

(4) Support relocating military members and their spouses.

(5) Facilitate the exchange of information between member states related to the licensure, investigation, and discipline of the practice of cosmetology.

(6) Provide for the licensure and mobility of the workforce in the profession, while addressing the shortage of workers and lessening the associated burdens on the member states.

(85 Del. Laws, c. 289, § 1.)

§ 5142 Definitions.

As used in this Compact:

(1) "Active military member" means any person with full-time duty status in the armed forces of the United States, including members of the National Guard and Reserve.

(2) "Adverse action" means any administrative, civil, equitable, or criminal action permitted by a member state's laws which is imposed by a state licensing authority or other regulatory body against a cosmetologist. "Adverse action" includes actions against an individual's license or authorization to practice such as revocation, suspension, probation, monitoring of the licensee, limitation of the licensee's practice, or any other encumbrance on a license affecting an individual's ability to participate in the cosmetology industry, including the issuance of a cease and desist order.

(3) "Alternative program" means a nondisciplinary monitoring or prosecutorial diversion program approved by a member state's state licensing authority.

(4) "Authorization to practice" means a legal authorization associated with a multistate license permitting the practice of cosmetology in that remote state, which is subject to the enforcement jurisdiction of the state licensing authority in that remote state.

(5) "Background check" means the submission of information for an applicant for the purpose of obtaining that applicant's "criminal history record information," as further defined in 28 C.F.R. § 20.3(d), from the Federal Bureau of Investigation and the agency responsible for retaining state criminal or disciplinary history in the applicant's home state.

(6) "Charter member state" means a member state who has enacted legislation to adopt this Compact before the effective date of this Compact as defined in § 5153 of this title.

(7) "Commission" means the government agency whose membership consists of all states that have enacted this Compact, which is known as the "Cosmetology Licensure Compact Commission," as defined in § 5149 of this title, and which operates as an instrumentality of the member states.

(8) "Cosmetologist" means an individual licensed in their home state to practice cosmetology.

(9) "Cosmetology", "cosmetology services", and the "practice of cosmetology" mean the care and services provided by a cosmetologist as set forth in the member state's statutes and regulations in the state where the services are being provided.

(10) "Current significant investigative information" means any of the following:

a. Investigative information that a state licensing authority, after an inquiry or investigation that complies with a member state's due process requirements, has reason to believe is not groundless and, if proved true, would indicate a violation of that state's laws regarding fraud or the practice of cosmetology.

b. Investigative information that indicates that a licensee has engaged in fraud or represents an immediate threat to public health and safety, regardless of whether the licensee has been notified and had an opportunity to respond.

(11) "Data system" means a repository of information about licensees, including license status, investigative information, and adverse actions.

(12) "Disqualifying event" means any event that disqualifies an individual from holding a multistate license under this Compact, which the Commission may by rule or order specify.

(13) "Encumbered license" means a license where an adverse action restricts the practice of cosmetology by a licensee, or where the adverse action has been reported to the Commission.

(14) "Encumbrance" means a revocation or suspension of, or any limitation on, the full and unrestricted practice of cosmetology by a state licensing authority.

(15) "Executive Committee" means a group of delegates elected or appointed to act on behalf of, and within the powers granted to them by, the Commission.

(16) “Home state” means the member state that is a licensee’s primary state of residence, and where that licensee holds an active and unencumbered license to practice cosmetology.

(17) “Investigative information” means information, records, or documents received or generated by a state licensing authority pursuant to an investigation or other inquiry.

(18) “Jurisprudence requirement” means the assessment of an individual’s knowledge of the laws and rules governing the practice of cosmetology in a state.

(19) “Licensee” means an individual who currently holds a license from a member state to practice as a cosmetologist.

(20) “Member state” means any state that has adopted this Compact.

(21) “Multistate license” means a license issued by and subject to the enforcement jurisdiction of the state licensing authority in a licensee’s home state, which authorizes the practice of cosmetology in member states and includes authorizations to practice cosmetology in all remote states under this Compact.

(22) “Remote state” means any member state other than the licensee’s home state.

(23) “Rule” means any rule or regulation promulgated by the Commission under this Compact which has the force of law.

(24) “Single-state license” means a cosmetology license issued by a member state that authorizes the practice of cosmetology only within the issuing member state and does not include any authorization to practice outside of the issuing member state.

(25) “State” means a state, territory, or possession of the United States and the District of Columbia.

(26) “State licensing authority” means a member state’s regulatory body responsible for issuing cosmetology licenses or otherwise overseeing the practice of cosmetology in that member state.

(85 Del. Laws, c. 289, § 1.)

§ 5143 Member state requirements.

(a) To be eligible to join this Compact, and to maintain eligibility as a member state, a state must do all of the following:

(1) License and regulate cosmetology.

(2) Have a mechanism or entity in place to receive and investigate complaints about licensees practicing in that state.

(3) Require that licensees within the state pass a cosmetology competency examination prior to being licensed to provide cosmetology services to the public in that state.

(4) Require that licensees satisfy educational or training requirements in cosmetology prior to being licensed to provide cosmetology services to the public in that state.

(5) Implement procedures for considering 1 or more of the following categories of information from applicants for licensure: criminal history, disciplinary history, or background check. The procedures may include the submission of information by applicants to obtain an applicant’s background check as defined in this Charter.

(6) Participate in the data system, including by using unique identifiers.

(7) Share information related to adverse actions with the Commission and other member states, both through the data system and otherwise.

(8) Notify the Commission and other member states, in compliance with the terms of this Compact and the rules, of the existence of investigative information or current significant investigative information in the state’s possession regarding a licensee practicing in that state.

(9) Comply with rules that the Commission may enact to administer this Compact.

(10) Accept licensees from other member states as established in § 5144 of this title.

(b) Member states may charge a fee for granting a license to practice cosmetology.

(c) Individuals not residing in a member state continue to be able to apply for a member state’s single-state license as provided under the laws of each member state. However, the single-state license granted to these individuals does not grant a multistate license to provide services in any other member state.

(d) Nothing in this Compact affects the requirements established by a member state for the issuance of a single-state license.

(e) A multistate license issued to a licensee by a home state to a resident of that state must be recognized by each member state as authorizing a licensee to practice cosmetology in each member state.

(f) At no point does the Commission have the power to define the educational or professional requirements for a license to practice cosmetology. The member states retain sole jurisdiction over the provision of these requirements.

(85 Del. Laws, c. 289, § 1.)

§ 5144 Multistate license.

(a) To be eligible to apply to their home state’s state licensing authority for an initial multistate license under this Compact, a licensee must hold an active and unencumbered single-state license to practice cosmetology in their home state.

(b) Upon the receipt of an application for a multistate license, according to the rules, a member state’s state licensing authority shall determine if the applicant meets the requirements for a multistate license under this Compact.

(c) If an applicant meets the requirements for a multistate license under this Compact and any applicable rules, the state licensing authority in receipt of the application shall grant, within a reasonable time, a multistate license to that applicant and inform all member

states of the grant of the multistate license.

(d) A multistate license to practice cosmetology issued by a member state's state licensing authority must be recognized by each member state as authorizing the practice of cosmetology as though that licensee held a single-state license to practice cosmetology in each member state, subject to the restrictions in this section.

(e) A multistate license granted under this Compact may be effective for a definite period, concurrent with the licensure renewal period in the home state.

(f) To maintain a multistate license under this Compact, a licensee must do all of the following:

(1) Agree to abide by the rules of the state licensing authority, and the state scope of practice laws governing the practice of cosmetology, of any member state in which the licensee provides cosmetology services.

(2) Pay all required fees related to the application and process, and any other fees which the Commission may by rule require.

(3) Comply with all other requirements regarding multistate licenses which the Commission may by rule provide.

(g) A licensee practicing in a member state is subject to all scope of practice laws governing cosmetology services in that state.

(h) The practice of cosmetology under a multistate license granted under this Compact subjects the licensee to the jurisdiction of the state licensing authority, the courts, and the laws of the member state in which the cosmetology services are provided.

(85 Del. Laws, c. 289, § 1.)

§ 5145 Reissuance of a multistate license by a new home state.

(a) A licensee may hold a multistate license, issued by their home state, in only 1 member state at any given time.

(b) (1) If a licensee changes their home state by moving between 2 member states, the licensee shall immediately apply for the reissuance of their multistate license in their new home state. The licensee shall pay all applicable fees and notify the prior home state in accordance with the rules.

(2) Upon receipt of an application to reissue a multistate license, the new home state shall verify that the multistate license is active, unencumbered, and eligible for reissuance under the terms of this Compact and the rules. The multistate license issued by the former home state must be deactivated and all member states notified in accordance with the applicable rules adopted by the Commission.

(3) If required for initial licensure, the new home state may require a background check, as specified in the laws of the new home state, or the compliance with any jurisprudence requirements of the new home state.

(4) Notwithstanding any other provision of this Compact, if a licensee does not meet the requirements set forth in this Compact for the reissuance of a multistate license by the new home state, then the licensee is subject to the new home state's requirements for the issuance of a single-state license in that state.

(c) If a licensee changes their primary state of residence by moving from a member state to a nonmember state, or from a nonmember state to a member state, then the licensee is subject to the state requirements for the issuance of a single-state license in the new home state.

(d) Nothing in this Compact interferes with a licensee's ability to hold a single-state license in multiple states; however, for the purposes of this Compact, a licensee may have only 1 home state and only 1 multistate license.

(e) Nothing in this Compact interferes with the requirements established by a member state for the issuance of a single-state license.

(85 Del. Laws, c. 289, § 1.)

§ 5146 Authority of the Cosmetology Licensure Compact Commission and state licensing authorities.

(a) Nothing in this Compact, or any commission rule or regulation, may be construed to limit, restrict, or in any way reduce the ability of a member state to enact and enforce laws, regulations, or other rules related to the practice of cosmetology in that state, where those laws, regulations, or other rules are not inconsistent with the provisions of this Compact.

(b) Insofar as practical, a member state's state licensing authority shall cooperate with the Commission and with each entity exercising independent regulatory authority over the practice of cosmetology according to the provisions of this Compact.

(c) Discipline is the sole responsibility of the state in which cosmetology services are provided. Accordingly, each state licensing authority is responsible for receiving complaints about individuals practicing cosmetology in that state, and for communicating all relevant investigative information about any adverse action to the other member states through the data system in addition to any other methods the Commission may by rule require.

(85 Del. Laws, c. 289, § 1.)

§ 5147 Adverse actions.

(a) A licensee's home state has exclusive power to impose an adverse action against a licensee's multistate license issued by the home state.

(b) A home state may take adverse action on a multistate license based on the investigative information, current significant investigative information, or adverse action of a remote state.

(c) In addition to the powers conferred by state law, each remote state's state licensing authority has the power to do all of the following:

(1) Take adverse action against a licensee's authorization to practice cosmetology through the multistate license in that member state, provided that:

a. Only the licensee's home state has the power to take adverse action against the multistate license issued by the home state.

b. For the purposes of taking adverse action, the home state's state licensing authority shall give the same priority and effect to

reported conduct received from a remote state as it would if the reported conduct had occurred within the home state. In so doing, the home state shall apply its own state laws to determine the appropriate action.

(2) Issue cease and desist orders or impose an encumbrance on a licensee's authorization to practice within that remote state.

(3) Complete any pending investigation of a licensee who changes their home state during the course of such an investigation. The state licensing authority may also report the results of an investigation to the Commission through the data system as described in § 5150 of this title.

(4) Issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses, as well as the production of evidence. Subpoenas issued by a state licensing authority in a member state for the attendance and testimony of witnesses or the production of evidence from another member state must be enforced in the latter member state by any court of competent jurisdiction, according to the practice and procedure of that court applicable to subpoenas issued in proceedings before it. The issuing state licensing authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the state in which the witnesses or evidence are located.

(5) If otherwise permitted by state law, recover from the affected licensee the costs of investigations and disposition of cases resulting from any adverse action taken against that licensee.

(6) Take adverse action against the licensee's authorization to practice in that state based on the factual findings of another remote state.

(d) A licensee's home state shall complete any pending investigation of a cosmetologist who changes their primary state of residence during the course of the investigation. The home state may also take appropriate action and shall promptly report the conclusions of the investigations to the data system.

(e) If a home state takes an adverse action against a licensee's multistate license, the licensee's authorization to practice in all other member states must be deactivated until all encumbrances have been removed from the home state license. All home state disciplinary orders that impose an adverse action against a licensee's multistate license must include a statement that the cosmetologist's authorization to practice is deactivated in all member states during the pendency of the order.

(f) Nothing in this Compact overrides a member state's authority to accept a licensee's participation in an alternative program in lieu of adverse action. A licensee's multistate license is suspended for the duration of the licensee's participation in any alternative program.

(g) *Joint investigations. —*

(1) In addition to the authority granted to a member state by its respective scope of practice laws or other applicable state law, a member state may participate with other member states in joint investigations of licensees.

(2) Member states shall share any investigative, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under this Compact.

(85 Del. Laws, c. 289, § 1.)

§ 5148 Active military members and their spouses.

An active military member, or their spouse, shall designate a home state where the individual has a current license to practice cosmetology in good standing. The individual may retain their home state designation during any period of service when that individual or their spouse is on active-duty assignment.

(85 Del. Laws, c. 289, § 1.)

§ 5149 Establishment and operation of the Cosmetology Licensure Compact Commission.

(a) The compact member states hereby create and establish a joint government agency, known as the Cosmetology Licensure Compact Commission, whose membership consists of all member states that have enacted this Compact. The Commission is an instrumentality of this compact member states acting jointly and not an instrumentality of any 1 state. The Commission shall come into existence on or after the effective date of this Compact as set forth in § 5153 of this title.

(b) *Membership, voting, and meetings. —*

(1) Each member state has and is limited to 1 delegate selected by that member state's state licensing authority.

(2) The delegate must be an administrator of the member state's state licensing authority or their designee.

(3) The Commission shall by rule or bylaw establish a term of office for delegates and may by rule or bylaw establish term limits.

(4) The Commission may recommend removal or suspension of any delegate from office.

(5) A member state's state licensing authority shall fill any vacancy of its delegate occurring on the Commission within 60 days of the vacancy.

(6) Each delegate is entitled to 1 vote on all matters that are voted on by the Commission.

(7) The Commission shall meet at least once during each calendar year. Additional meetings may be held as set forth in the Commission's bylaws. The Commission may meet by telecommunication, video conference, or other similar electronic means.

(c) The Commission has all of the following powers:

(1) Establish the fiscal year of the Commission.

(2) Establish code of conduct and conflict of interest policies.

(3) Adopt rules and bylaws.

(4) Maintain its financial records in accordance with the bylaws.

(5) Meet and take actions that are consistent with the provisions of this Compact, the rules, and the Commission's bylaws.

(6) Initiate and conclude legal proceedings or actions in the name of the Commission, provided that the standing of any state licensing authority to sue or be sued under applicable law may not be affected.

(7) Maintain and certify records and information provided to a member state as the Commission's authenticated business records and designate an agent to do so on the Commission's behalf.

(8) Purchase and maintain insurance and bonds.

(9) Borrow, accept, or contract for services of personnel, including employees of a member state.

(10) Conduct an annual financial review.

(11) Hire employees, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of this Compact, and establish the Commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters;

(12) As set forth in the rules, charge a fee to a licensee for the grant of a multistate license and thereafter, as may be established by rule, charge the licensee a multistate license renewal fee for each renewal period. Nothing in this section may be construed to prevent a home state from charging a licensee a fee for a multistate license or renewals of a multistate license, or a fee for the jurisprudence requirement if the member state imposes such a requirement for the grant of a multistate license.

(13) Assess and collect fees.

(14) Accept any appropriate gifts, donations, grants of money, other sources of revenue, equipment, supplies, materials, and services, and receive, utilize, and dispose of the same; provided that at all times the Commission shall avoid any appearance of impropriety or conflict of interest.

(15) Lease, purchase, retain, own, hold, improve, or use any property, real, personal, or mixed, or any undivided interest in such property.

(16) Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property real, personal, or mixed.

(17) Establish a budget and make expenditures.

(18) Borrow money.

(19) Appoint committees, including standing committees, composed of members, state regulators, state legislators or their representatives, consumer representatives, and other interested persons as may be designated in this Compact and the Commission's bylaws.

(20) Provide and receive information from, and cooperate with, law-enforcement agencies.

(21) Elect a Chair, Vice Chair, Secretary and Treasurer and other officers of the Commission as provided in the Commission's bylaw.

(22) Establish and elect an Executive Committee, including a chair and a vice chair.

(23) Adopt and provide an annual report to the member states.

(24) Determine whether a state's adopted language is materially different from the model compact language such that the state would not qualify for participation in this Compact.

(25) Perform other functions as may be necessary or appropriate to achieve the purposes of this Compact.

(d) *The Executive Committee.* —

(1) The Executive Committee has the power to act on behalf of the Commission according to the terms of this Compact. The powers, duties, and responsibilities of the Executive Committee include all of the following:

a. Overseeing the day-to-day activities of the administration of this Compact including compliance with the provisions of this Compact, the Commission's rules and bylaws, and other such duties as deemed necessary.

b. Recommending to the Commission changes to the rules or bylaws, changes to this compact legislation, fees charged to compact member states, fees charged to licensees, and other fees.

c. Ensuring compact administration services are appropriately provided, including by contract.

d. Preparing and recommending the budget.

e. Maintaining financial records on behalf of the Commission.

f. Monitoring compact compliance of member states and providing compliance reports to the Commission.

g. Establishing additional committees as necessary.

h. Exercising the powers and duties of the Commission during the interim between commission meetings, except for adopting or amending rules, adopting or amending bylaws, and exercising any other powers and duties expressly reserved to the Commission by rule or bylaw.

i. Other duties as provided in the rules or the Commission's bylaws.

(2) The Executive Committee is composed of up to 7 voting members.

a. The Chair and Vice Chair of the Commission and any other members of the Commission who serve on the Executive Committee are voting members of the Executive Committee.

b. Other than the Chair, Vice Chair, Secretary and Treasurer, the Commission shall elect 3 voting members from the current membership of the Commission.

c. The Commission may elect ex-officio, nonvoting members from a recognized national cosmetology professional association as approved by the Commission. The Commission's bylaws must identify qualifying organizations and the manner of appointment if the number of organizations seeking to appoint an ex-officio member exceeds the number of members specified in this section.

(3) The Commission may remove any Executive Committee member as provided in the Commission's bylaws.

(4) The Executive Committee shall meet at least annually.

a. Annual executive committee meetings, as well as any executive committee meeting at which it does not take or intend to take formal action on a matter for which a commission vote would otherwise be required, must be open to the public, except that the Executive Committee may meet in a closed, nonpublic session of a public meeting when dealing with any of the matters covered under paragraph (f)(4) of this section.

b. The Executive Committee shall give 5 business days advance notice of its public meetings, posted on its website and as determined to provide notice to persons with an interest in the public matters the Executive Committee intends to address at those meetings.

(5) The Executive Committee may hold an emergency meeting when acting for the Commission to do any of the following:

a. Meet an imminent threat to public health, safety, or welfare.

b. Prevent a loss of commission or member state funds.

c. Protect public health and safety.

(e) The Commission shall adopt and provide to the member states an annual report.

(f) *Meetings of the Commission.* —

(1) All commission meetings that are not closed under paragraph (f)(4) of this section must be open to the public. Notice of public meetings must be posted on the Commission's website at least 30 days prior to the public meeting.

(2) Notwithstanding paragraph (f)(1) of this section, the Commission may convene an emergency public meeting by providing at least 24 hours' prior notice on the Commission's website, and any other means as provided in the Commission's rules, for any of the reasons it may dispense with notice of proposed rulemaking under § 5151(*l*) of this title. The Commission's legal counsel shall certify that 1 of the reasons justifying an emergency public meeting has been met.

(3) The notice of a commission meeting must provide the time, date, and location of the meeting. If the meeting is to be held or accessible via telecommunication, video conference, or other electronic means, the notice must include the mechanism for access to the meeting.

(4) The Commission may convene in a closed, nonpublic meeting for the Commission to discuss any of the following:

a. Noncompliance of a member state with its obligations under this Compact.

b. The employment, compensation, discipline, other matters, practices or procedures related to specific employees or other matters related to the Commission's internal personnel practices and procedures.

c. Current or threatened discipline of a licensee by the Commission or by a state licensing authority.

d. Current, threatened, or reasonably anticipated litigation.

e. Negotiation of contracts for the purchase, lease, or sale of goods, services, or real estate.

f. Accusing any person of a crime or formally censuring any person.

g. Trade secrets or commercial or financial information that is privileged or confidential.

h. Information of a personal nature if disclosure of the information would constitute a clearly unwarranted invasion of personal privacy.

i. Investigative records compiled for law-enforcement purposes.

j. Information related to any investigative reports prepared by, on behalf of, or for use of the Commission or other committee charged with responsibility of investigation or determination of compliance issues under this Compact.

k. Legal advice.

l. Matters specifically exempted from disclosure to the public by federal or member state law.

m. Other matters as promulgated by the Commission by rule.

(5) If a meeting, or portion of a meeting, is closed, the presiding officer shall state that the meeting will be closed and reference each relevant exempting provision, and such reference must be recorded in the minutes.

(6) The Commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, and the reasons for taking the actions, including a description of the views expressed. All documents considered in connection with an action must be identified in the minutes. All minutes and documents of a closed meeting must remain under seal, subject to release only by a majority vote of the Commission or order of a court of competent jurisdiction.

(g) *Financing of the Commission.* —

(1) The Commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.

(2) The Commission may accept any appropriate sources of revenue, donations, and grants of money, equipment, supplies, materials, and services.

(3) The Commission may levy on and collect an annual assessment from each member state and impose fees on licensees of member

states to whom it grants a multistate license to cover the cost of the operations and activities of the Commission and its staff. The annual assessment and fees must be in a total amount sufficient to cover the Commission's annual budget, as approved each year, for which revenue is not provided by other sources. The aggregate annual assessment amount for member states must be allocated based on a formula that the Commission shall promulgate by rule.

(4) The Commission may not incur obligations of any kind prior to securing the funds adequate to meet the obligations. The Commission may not pledge the credit of any member states, except by and with the authority of the member state.

(5) The Commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the Commission are subject to the financial review and accounting procedures established under its bylaws. All receipts and disbursements of funds handled by the Commission are subject to an annual financial review by a certified or licensed public accountant, and the report of the financial review must be included in and become part of the Commission's annual report.

(h) *Qualified immunity, defense, and indemnification. —*

(1) The members, officers, executive director, employees and representatives of the Commission are immune from suit and liability, both personally and in their official capacity, for any claim for damage to or loss of property, personal injury, or other civil liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities. Nothing in this paragraph may be construed to protect any such person from suit or liability for any damage, loss, injury, or liability caused by the intentional or wilful or wanton misconduct of that person. The procurement of insurance of any type by the Commission does not in any way compromise or limit the immunity granted under this paragraph (h)(1).

(2) The Commission shall defend any member, officer, executive director, employee, and representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties, or responsibilities, or as determined by the Commission that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities if the actual or alleged act, error, or omission did not result from that person's intentional or wilful or wanton misconduct. Nothing in this section may be construed to prohibit that person from retaining their own counsel at their own expense.

(3) The Commission shall indemnify and hold harmless any member, officer, executive director, employee, and representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties, or responsibilities, or that the person had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities, if the actual or alleged act, error, or omission did not result from the intentional or wilful or wanton misconduct of that person.

(4) Nothing in this section may be construed as a limitation on the liability of any licensee for professional malpractice or misconduct, which is governed solely by any other applicable state laws.

(5) Nothing in this Compact may be interpreted to waive or otherwise abrogate a member state's state action immunity or state action affirmative defense with respect to antitrust claims under the Sherman Act [15 U.S.C. § 1 et seq.], Clayton Act [15 U.S.C. § 12 et seq.], or any other state or federal antitrust or anticompetitive law or regulation.

(6) Nothing in this Compact may be construed to be a waiver of sovereign immunity by the member states or by the Commission.

(85 Del. Laws, c. 289, § 1.)

§ 5150 Data system.

(a) The Commission shall provide for the development, maintenance, operation, and utilization of a coordinated database and reporting system.

(b) The Commission shall assign each applicant for a multistate license a unique identifier, as determined by the rules.

(c) Notwithstanding any other provision of state law to the contrary, a member state shall submit a uniform data set to the data system on all individuals to whom this Compact is applicable as required by the rules, including all of the following:

(1) Identifying information.

(2) Licensure data.

(3) Adverse actions against a license and information related to the adverse actions.

(4) Nonconfidential information related to alternative program participation, the beginning and ending dates of such participation, and other information related to such participation.

(5) Any denial of application for licensure, and the reason for the denial, excluding the reporting of any criminal history record information where prohibited by law.

(6) The existence of investigative information.

(7) The existence of current significant investigative information.

(8) Other information that may facilitate the administration of this Compact or the protection of the public, as determined by the rules.

(d) When certified by the Commission or an agent of the Commission, the records and information provided to a member state under this Compact or through the data system constitute the authenticated business records of the Commission and are entitled to any associated hearsay exception in any relevant judicial, quasi-judicial, or administrative proceedings in a member state.

(e) The existence of current significant investigative information and the existence of investigative information pertaining to a licensee in

any member state is only available to other member states.

(f) It is the responsibility of the member states to monitor the database to determine whether adverse action has been taken against a licensee or license applicant. Adverse action information pertaining to a licensee or license applicant in any member state is available to any other member state.

(g) Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing member state.

(h) Any information submitted to the data system that is subsequently expunged under federal law or the laws of the member state contributing the information must be removed from the data system.

(85 Del. Laws, c. 289, § 1.)

§ 5151 Rulemaking.

(a) The Commission shall promulgate reasonable rules to effectively and efficiently implement and administer the purposes and provisions of this Compact. A rule is invalid and has no force or effect only if a court of competent jurisdiction holds that the rule is invalid because the Commission exercised its rulemaking authority in a manner that is beyond the scope and purposes of this Compact, or the powers granted under this Compact, or based on another applicable standard of review.

(b) The rules have the force of law in each member state. But if a court of competent jurisdiction holds that the rules of the Commission conflict with the laws of the member state that establish the member state's scope of practice laws governing the practice of cosmetology, the rules are ineffective in that state to the extent of the conflict.

(c) The Commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted under this section. Rules become binding as of the date specified by the Commission for each rule.

(d) If a majority of the legislatures of the member states rejects a rule or portion of a rule, by enactment of a statute or resolution in the same manner used to adopt this Compact within 4 years of the date of adoption of the rule then the rule has no further force and effect in any member state or to any state applying to participate in this Compact.

(e) Rules must be adopted at a regular or special meeting of the Commission.

(f) Prior to adoption of a proposed rule, the Commission shall hold a public hearing and allow persons to provide oral and written comments, data, facts, opinions, and arguments.

(g) Prior to adoption of a proposed rule by the Commission, and at least 30 days in advance of the meeting at which the Commission will hold a public hearing on the proposed rule, the Commission shall provide a notice of proposed rulemaking as follows:

(1) On the website of the Commission or other publicly-accessible platform.

(2) To persons who have requested notice of the Commission's notices of proposed rulemaking.

(3) In other ways as the Commission may by rule specify.

(h) The notice of proposed rulemaking must include all of the following:

(1) The time, date, and location of the public hearing at which the Commission will hear public comments on the proposed rule and, if different, the time, date, and location of the meeting where the Commission will consider and vote on the proposed rule.

(2) If the public hearing is held via telecommunication, video conference, or other electronic means, the mechanism for access to the hearing.

(3) The text of the proposed rule and the reason for the proposed rule.

(4) A request for comments on the proposed rule from any interested person.

(5) The manner in which interested persons may submit written comments.

(i) All public hearings must be recorded. A copy of the recording and all written comments and documents received by the Commission in response to the proposed rule must be available to the public.

(j) Nothing in this section may be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the Commission at public hearings required by this section.

(k) The Commission shall, by majority vote of all members, take final action on the proposed rule based on the rulemaking record and the full text of the rule.

(1) The Commission may adopt changes to the proposed rule if the changes do not enlarge the original purpose of the proposed rule.

(2) The Commission shall provide an explanation of the reasons for substantive changes made to the proposed rule and the reasons for substantive changes not made that were recommended by commenters.

(3) The Commission shall determine a reasonable effective date for the rule. Except for an emergency as provided in subsection (*l*) of this section, the effective date of the rule may not be sooner than 45 days after the Commission issuing the notice that it adopted or amended the rule.

(*l*) Upon determination that an emergency exists, the Commission may consider and adopt an emergency rule with 5 days' notice, with opportunity to comment, if the usual rulemaking procedures provided in this Compact and in this section are retroactively applied to the rule as soon as reasonably possible, but not later than 90 days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately to do any of the following:

(1) Meet an imminent threat to public health, safety, or welfare.

(2) Prevent a loss of commission or member state funds.

(3) Meet a deadline for the promulgation of a rule that is established by federal law or rule.

(4) Protect public health and safety.

(m) The Commission, or an authorized committee of the Commission, may direct revisions to a previously adopted rule for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions must be posted on the Commission's website. The revision is subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge must be made in writing and delivered to the Commission prior to the end of the notice period. If no challenge is made, the revision takes effect without further action. If the revision is challenged, the revision may not take effect without the Commission's approval.

(n) A member state's rulemaking requirements do not apply under this Compact.

(85 Del. Laws, c. 289, § 1.)

§ 5152 Oversight, dispute resolution, and enforcement.

(a) *Oversight. —*

(1) The executive and judicial branches of state government in each member state shall enforce this Compact and take all actions necessary and appropriate to implement this Compact.

(2) Venue is proper and judicial proceedings by or against the Commission must be brought exclusively in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings. Nothing in this subsection affects or limits the selection or propriety of venue in any action against a licensee for professional malpractice, misconduct, or any similar matter.

(3) The Commission is entitled to receive service of process in any proceeding regarding the enforcement or interpretation of this Compact and has standing to intervene in such a proceeding for all purposes. Failure to provide the commission service of process renders a judgment or order void as to the Commission, this Compact, or promulgated rules.

(b) *Default, technical assistance, and termination. —*

(1) If the Commission determines that a member state has defaulted in the performance of its obligations or responsibilities under this Compact or the promulgated rules, the Commission shall provide written notice to the defaulting state. The notice of default must describe the default, the proposed means of curing the default, and any other action that the Commission may take, and must offer training and specific technical assistance regarding the default.

(2) The Commission shall provide a copy of the notice of default to the other member states.

(3) If a member state in default fails to cure the default, the defaulting state may be terminated from this Compact upon an affirmative vote of a majority of the delegates of the member states, and all rights, privileges, and benefits conferred on that member state by this Compact may be terminated on the effective date of termination. A cure of the default does not relieve the defaulting state of obligations or liabilities incurred during the period of default.

(4) Termination of membership in this Compact may be imposed only after all other means of securing compliance have been exhausted. The Commission shall give notice of intent to suspend or terminate to the defaulting state's governor, the majority and minority leaders of the defaulting state's legislature, the defaulting state's state licensing authority, and each of the member states' state licensing authorities.

(5) A state that has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.

(6) On the termination of a state's membership from this Compact, that state shall immediately provide notice of the termination to all licensees who hold a multistate license within that state. The terminated state shall continue to recognize all licenses granted under this Compact for a minimum of 180 days after the date of the notice of termination.

(7) The Commission does not bear any costs related to a state that is found to be in default or that has been terminated from this Compact, unless agreed upon in writing between the Commission and the defaulting state.

(8) The defaulting state may appeal the Commission's action by petitioning the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices. The prevailing party must be awarded all costs of the litigation, including reasonable attorneys' fees.

(c) *Dispute resolution. —*

(1) On request by a member state, the Commission shall attempt to resolve disputes related to this Compact that arise among member states and between member and nonmember states.

(2) The Commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes as appropriate.

(d) *Enforcement. —*

(1) The Commission, in the reasonable exercise of its discretion, shall enforce the provisions of this Compact and the rules.

(2) By majority vote as provided by rule, the Commission may initiate legal action against a member state in default in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices to enforce compliance with the provisions of this Compact and its promulgated rules. The relief sought may include both injunctive relief and damages. If judicial enforcement is necessary, the prevailing party must be awarded all costs of the litigation, including reasonable attorneys' fees. The remedies under this paragraph (d)(2) are not the Commission's exclusive remedies. The Commission may pursue

any other remedies available under federal or the defaulting member state's law.

(3) A member state may initiate legal action against the Commission in the United States District Court for the District of Columbia or the federal district where the Commission has its principal offices to enforce compliance with the provisions of this Compact and its promulgated rules. The relief sought may include both injunctive relief and damages. If judicial enforcement is necessary, the prevailing party must be awarded all costs of the litigation, including reasonable attorneys' fees.

(4) An individual or entity other than a member state may not enforce this Compact against the Commission.

(85 Del. Laws, c. 289, § 1.)

§ 5153 Effective date, withdrawal, and amendment.

(a) This Compact comes into effect on the date on which the compact statute is enacted into law in the seventh member state.

(1) On or after the effective date of this Compact, the Commission shall convene and review the enactment of each of the charter member states to determine if the statute enacted by each charter member state is materially different from the model compact statute.

a. A charter member state whose enactment is found to be materially different from the model compact statute is entitled to the default process set forth in § 5152 of this title.

b. If any member state is later found to be in default, or is terminated or withdraws from this Compact, the Commission remains in existence and this Compact remains in effect even if the number of member states is less than 7.

(2) Member states enacting this Compact subsequent to the charter member states are subject to the process set forth in § 5149(c)(24) of this title to determine if their enactments are materially different from the model compact statute and whether they qualify for participation in this Compact.

(3) All actions taken for the benefit of the Commission or in furtherance of the purposes of the administration of this Compact prior to the effective date of this Compact or the Commission coming into existence are considered to be actions of the Commission unless specifically repudiated by the Commission.

(4) Any state that joins this Compact is subject to the rules and the Commission's bylaws as they exist on the date on which this Compact becomes law in that state. Any rule that has been previously adopted by the Commission has the full force and effect of law on the day this Compact becomes law in that state.

(b) Any member state may withdraw from this Compact by enacting a statute repealing that state's enactment of this Compact.

(1) A member state's withdrawal may not take effect until 180 days after enactment of the repealing statute.

(2) Withdrawal does not affect the continuing requirement of the withdrawing state's state licensing authority to comply with the investigative and adverse action reporting requirements of this Compact prior to the effective date of withdrawal.

(3) On the enactment of a statute withdrawing from this Compact, a state shall immediately provide notice of the withdrawal to all licensees within that state. Notwithstanding any subsequent statutory enactment to the contrary, the withdrawing state shall continue to recognize all licenses granted under this Compact for a minimum of 180 days after the date of the notice of withdrawal.

(c) Nothing contained in this Compact may be construed to invalidate or prevent any licensure agreement or other cooperative arrangement between a member state and a nonmember state that does not conflict with the provisions of this Compact.

(d) This Compact may be amended by the member states. An amendment to this Compact does not become effective and binding upon any member state until it is enacted into the laws of all member states.

(85 Del. Laws, c. 289, § 1.)

§ 5154 Construction and severability.

(a) This Compact and the Commission's rulemaking authority must be liberally construed so as to effectuate the purposes, and the implementation and administration of this Compact. Provisions of this Compact expressly authorizing or requiring the promulgation of rules may not be construed to limit the Commission's rulemaking authority solely for those purposes.

(b) The provisions of this Compact are severable. If any phrase, clause, sentence, or provision of this Compact is held by a court of competent jurisdiction to be contrary to the constitution of any member state, a state seeking participation in this Compact, or of the United States, or the applicability thereof to any government, agency, person or circumstance is held to be unconstitutional by a court of competent jurisdiction, the validity of the remainder of this Compact and the applicability thereof to any other government, agency, person or circumstance may not be affected.

(c) Notwithstanding subsection (b) of this section, the Commission may deny a state's participation in this Compact or, in accordance with the requirements of § 5152 of this title, terminate a member state's participation in this Compact, if it determines that a constitutional requirement of a member state is a material departure from this Compact. Otherwise, if this Compact is held to be contrary to the constitution of any member state, this Compact remains in full force and effect as to the remaining member states and in full force and effect as to the member state affected as to all severable matters.

(85 Del. Laws, c. 289, § 1.)

§ 5155 Consistent effect and conflict with other state laws.

(a) Nothing in this Compact prevents or inhibits the enforcement of any other law of a member state that is not inconsistent with this Compact.

(b) Any laws, statutes, regulations, or other legal requirements in a member state in conflict with this Compact are superseded to the

extent of the conflict.

(c) All permissible agreements between the Commission and the member states are binding in accordance with their terms.

(85 Del. Laws, c. 289, § 1.)

Chapter 52
NURSING HOME ADMINISTRATORS

Subchapter I

Board of Examiners of Nursing Home Administrators

§ 5201 Objectives.

The primary objective of the Board of Examiners of Nursing Home Administrators, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

The secondary objectives of the Board are to maintain minimum standards of practitioner competency; and, to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board: shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at formal hearings; shall promulgate rules and regulations; and shall impose sanctions when necessary against licensed practitioners.

(64 Del. Laws, c. 159, § 2; 76 Del. Laws, c. 89, § 1.)

§ 5202 Definitions.

The following words, terms, and phrases, when used in this chapter, shall have the meanings ascribed to them under this section, except where the context clearly indicates a different meaning:

(1) "Board" shall mean the State Board of Examiners of Nursing Home Administrators established in this chapter.

(2) "Direct supervision" shall mean oversight on the premises of a nursing home.

(3) "Division" shall mean the State Division of Professional Regulation.

(4) "Excessive use or abuse of drugs" shall mean any use of narcotics, controlled substances, or illegal drugs without a prescription from a licensed health care provider, or the abuse of alcoholic beverage such that it impairs that nursing home administrator's ability to perform the work of a nursing home administrator.

(5) "Nursing home" shall mean any licensed residential health facility for aged, infirm, chronically ill or convalescent persons, excluding neighborhood homes and group homes licensed by the Division of Health Care Quality, that provides shelter and food to more than 4 persons who:

a. Because of their physical and/or mental condition require a level of care and services suitable to their needs to contribute to their health, comfort, and welfare; and

b. Who are not related within the second degree of consanguinity to the controlling person or persons of the facility.

(6) "Nursing home administrator" shall mean the individual licensed under this chapter to practice nursing home administration.

(7) "Nursing home administrator-in-training" or "AIT" shall mean an individual who is registered with the Board to obtain the experience for licensure under the direct supervision of a preceptor.

(8) "Person" shall mean an individual, firm, partnership, corporation, association, joint stock company, limited partnership, limited liability company, and any other legal entity and includes a legal successor of those entities.

(9) "Practice of nursing home administration" shall mean the performance of any act or the making of any decision involved in the planning, organizing, directing, or controlling of the operations of a nursing home, whether or not such acts are performed, or decisions made, by 1 or more persons.

(10) "Preceptor" shall mean a state-licensed nursing home administrator who is qualified under this chapter and approved by the Board to exercise direct supervision of a registered nursing home administrator-in-training.

(11) "State" shall mean the State of Delaware.

(12) "Substantially related' means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to nursing home administration.

(64 Del. Laws, c. 159, § 2; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 94; 76 Del. Laws, c. 89, § 1; 81 Del. Laws, c. 209, § 10.)

§ 5203 Board of Examiners of Nursing Home Administrators; appointments; composition; qualifications; term; vacancies; suspension or removal; unexcused absences; compensation.

(a) There is created a State Board of Examiners of Nursing Home Administrators, which shall administer and enforce this chapter.

(b) The Board shall consist of 9 members, appointed by the Governor, who are residents of this State:

(1) Three nursing home administrators licensed under this chapter;

(2) Two nonadministrator from a profession concerned with the care of chronically ill and infirm, aged persons; and

(3) Four public members.

(c) Except as provided in subsection (e) of this section, each member shall serve a term of 3 years, and may succeed himself or herself for 1 additional term; provided, however, that if a member was initially appointed to fill a vacancy, such member may succeed himself or

herself for only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member. Each term of office shall expire on the date specified in the appointment; however, the Board member shall remain eligible to participate in Board proceedings unless and until replaced by the Governor.

(d) The public members shall not be, nor ever have been, nursing home administrators, nor members of the immediate family of a nursing home administrator; shall not have been employed by a nursing home, nursing home administrator, or a company engaged in the practice of administering nursing homes; shall not have a material interest in the providing of goods and services to nursing homes; nor have been engaged in an activity directly related to nursing home administration. The public members shall be accessible to inquiries, comments and suggestions from the general public.

(e) A person, who has never served on the Board, may be appointed to the Board for 2 consecutive terms; but no such person shall thereafter be eligible for 2 consecutive appointments. No person, who has been twice appointed to the Board or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 term has expired since such person last served.

(f) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless such an amendment or revision amends this section to permit such an appointment.

(g) The Governor may suspend or remove a member of the Board for misfeasance, nonfeasance, malfeasance, misconduct, incompetence, or neglect of duty. A Board member may appeal any suspension or removal to the Superior Court.

(h) No member of the Board, while serving on the Board, shall hold a leadership position in any professional association representing nursing home administrators, or serve as head of a political action committee (PAC) for any professional association representing nursing home administrators.

(i) The provisions set forth in Chapter 58 of Title 29 shall apply to all members of the Board.

(j) Any member, who is absent without adequate reason for 3 consecutive meetings, or who fails to attend at least half of all regular business meetings during any calendar year, shall be guilty of neglect of duty.

(k) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(*l*) A member subject to disciplinary proceedings shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded.

(64 Del. Laws, c. 159, § 2; 67 Del. Laws, c. 366, § 17; 67 Del. Laws, c. 368, § 27; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 95; 76 Del. Laws, c. 89, § 1; 81 Del. Laws, c. 85, § 27.)

§ 5204 Organization; meetings; officers; quorum.

(a) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year, and at such times as the President deems necessary, or, at the request of a majority of Board members.

(b) The Board annually shall elect a President, Vice-President, and Secretary. Each officer shall serve for 1 year and shall not succeed himself or herself for more than 2 consecutive terms.

(c) A majority of the members shall constitute a quorum for the purpose of transacting business. No disciplinary action shall be taken without the affirmative vote of at least 5 members of the Board.

(d) Minutes of all meetings shall be recorded and the Division of Professional Regulation shall maintain copies. At any hearing in which evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(64 Del. Laws, c. 159, § 2; 65 Del. Laws, c. 355, § 1; 67 Del. Laws, c. 366, § 18; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 89, § 1.)

§ 5205 Records.

The Division of Professional Regulation shall keep a register of all approved applications for license as a nursing home administrator, registration as a nursing home administrator-in-training, and license as an acting nursing home administrator, and complete records relating to meetings of the Board, examinations, rosters, changes and additions to the Board's rules and regulations, complaints, hearings and such other matters as the Board shall determine. Such records shall be prima facie evidence of the proceedings of the Board.

(76 Del. Laws, c. 89, § 1.)

§ 5206 Powers and duties.

The Board of Examiners of Nursing Home Administrators shall have authority to:

(1) Formulate rules and regulations, with appropriate notice to those affected; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act [Chapter 101 of Title 29] of this State. Each rule or regulation shall implement or clarify a specific section of this chapter;

(2) Designate the application form to be used by all applicants and process all applications;

(3) Designate a written national examination, prepared by either a recognized national professional association or by a recognized legitimate national testing service and approved by the Division of Professional Regulation. The examination shall be prepared for testing on a national basis, and not specifically prepared at the request of the Board for its individual use. The examination shall be taken

by all persons applying for licensure and graded by a national testing service. Applicants who qualify for licensure by reciprocity shall have achieved a passing score on all parts of the designated national examination or a comparable, alternative national or, if a national examination was not available at the time of the applicant's original licensure, regional examination;

(4) Establish minimum education, training, and experience requirements for licensure as nursing home administrators;

(5) Evaluate the credentials of all persons applying for a license as a nursing home administrator in this State, in order to determine whether such persons meet the qualifications for licensing set forth in this chapter;

(6) Conduct a criminal history background check on all applicants for registration and licensure, including temporary licensure and licensure by reciprocity;

(7) Grant licenses to, and renew licenses of, all persons who meet the qualifications for licensure;

(8) Register applicants as nursing home administrators-in-training;

(9) Issue temporary licenses pursuant to § 5211 of this title;

(10) Establish by rule and regulation continuing education standards required for license renewal;

(11) Evaluate certified records to determine whether an applicant for licensure, who previously has been licensed, certified, or registered in another jurisdiction as a nursing home administrator, has engaged in any act or offense that would be grounds for disciplinary action under this chapter and whether there are disciplinary proceedings or unresolved complaints pending against such applicant for such acts or offenses;

(12) Refer all complaints from licensees and the public concerning licensed nursing home administrators, practices of the Board, or of the profession to the Division of Professional Regulation for investigation pursuant to § 8735 of Title 29; and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint;

(13) Conduct hearings and issue orders in accordance with the Administrative Procedures Act, Chapter 101 of Title 29;

(14) Promulgate regulations specifically identifying those crimes which are substantially related to the practice of nursing home administration; and

(15) When it has been determined after a hearing that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty after time for appeal has lapsed.

(64 Del. Laws, c. 159, § 2; 76 Del. Laws, c. 89, § 1.)

Subchapter II

License

§ 5207 License required.

(a) No person shall engage in the practice of nursing home administration or hold himself or herself out to the public in this State as being qualified to act as nursing home administrator; or use in connection with that person's own name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the person is qualified to act as nursing home administrator, unless such person has been duly licensed under this chapter.

(b) Whenever a license to practice as a nursing home administrator in this State has expired or been suspended or revoked, it shall be unlawful for the person to act as a nursing home administrator in this State.

(c) No person shall act as a nursing home administrator-in-training, or hold out that that person is a nursing home administrator-in-training, unless such person has been duly registered by the Board under this chapter.

(76 Del. Laws, c. 89, § 1; 70 Del. Laws, c. 186, § 1.)

§ 5208 Criminal history background checks.

(a) Applicants for original licensure, licensure by reciprocity, temporary licensure, registration, or licensure renewal shall submit, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

(1) A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person; and

(2) A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544 (28 U.S.C. § 534).

(b) The State Bureau of Identification shall be the intermediary for purposes of this section and the Board of Examiners of Nursing Home Administrators shall be the screening point for the receipt of said federal criminal history records.

(76 Del. Laws, c. 89, § 1.)

§ 5209 Qualifications of applicant; report to Attorney General; judicial review.

(a) An applicant applying for original licensure as a nursing home administrator under this chapter shall submit evidence, verified by oath and satisfactory to the Board, that such person:

(1) Has completed a Board-approved course of study in nursing home administration at an accredited educational institution and meets the educational and experience requirements of the Board, including:

a. Having received a baccalaureate or graduate degree from an accredited college or university with a major in health and human services, hospital administration, nursing or business administration; has been registered by the Board; and successfully completed a 6-month, pre-approved nursing home AIT program under the direct supervision of a Board-approved preceptor; or

b. Having received a baccalaureate or graduate degree in a field other than health and human services, hospital administration, nursing or business administration; has been registered by the Board; and successfully completed a 9-month, pre-approved nursing home AIT program under the direct supervision of a Board-approved preceptor; or

c. Having received an associate degree in any field from an accredited college or university, or holding a current Delaware license as a registered nurse; has been registered by the Board; and successfully completed a 12-month, pre-approved nursing home AIT program under the direct supervision of a Board-approved preceptor;

(2) Has achieved a passing score on all examinations prescribed by the Board;

(3) Has not received any administrative penalties regarding that applicant's own practice as a nursing home administrator, including but not limited to fines, formal reprimands, license suspensions or revocation (other than for nonpayment of renewal fees), probationary limitations, and/or has not entered into any "consent agreements" which contain conditions placed by a licensing board on that applicant's professional conduct and practice, including any voluntary surrender of a license. The Board may determine after a hearing whether an administrative penalty is grounds to deny licensure;

(4) Does not have any impairment related to drugs or alcohol that would limit the applicant's ability to act as a nursing home administrator in a manner consistent with the safety of the public;

(5) Has not been adjudicated mentally incompetent by any court or administrative entity under any circumstances that would limit the applicant's ability to act as a nursing home administrator in a manner consistent with the safety of the public. The Board may determine after a hearing whether such mental incompetence is grounds to deny licensure; and

(6) Has complied with the provisions of § 5208 of this title regarding criminal background records and does not have a criminal conviction record nor pending criminal charge which is substantially related to nursing home administration. However, if after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board, by an affirmative vote of a majority of the quorum, determines that granting a waiver would not create an unreasonable risk to public safety, the Board shall waive this paragraph (a)(6).

a.-d. [Repealed.]

(b) If the Board has found to its satisfaction that an applicant has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(c) If the application of a person has been refused or rejected, and such applicant has reason to believe the Board acted without justification; has imposed higher or different standards for that applicant than for other applicants or licensees; or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(64 Del. Laws, c. 159, § 2; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 89, § 1; 77 Del. Laws, c. 199, § 39; 78 Del. Laws, c. 44, §§ 76, 77; 83 Del. Laws, c. 433, § 32.)

§ 5210 Criteria for registration as a nursing home administrator-in-training; preceptors; requirements of supervision.

(a) The Board may, upon the written request of a nursing home administrator who wishes to act as a preceptor, register an individual as a nursing home administrator-in-training under the following circumstances:

(1) The preceptor has submitted that preceptor's own request in a form approved by the Board;

(2) The preceptor has been licensed in this State or in any other jurisdiction for at least the 2 years immediately prior to date of the written request;

(3) The written request includes a clear statement by the preceptor agreeing to act as preceptor for the individual for whom that preceptor is seeking registration;

(4) The written request includes a clear statement by the preceptor of the specific functions and responsibilities that the individual for whom the preceptor is seeking registration will perform;

(5) The written request includes a clear statement by both the preceptor and the individual for whom that preceptor is seeking registration indicating that the individual has met the requirements of § § 5208 and 5209(a)(4) through (a)(6) of this title; and

(6) The written request includes, as attachments when necessary, evidence satisfactory to the Board supporting the veracity of the statement required by paragraph (a)(5) of this section.

(b) Persons presented to the Board by a preceptor shall provide a notarized statement to the Board that they will:

(1) Only perform those specific functions which have been delineated in the preceptor's statement;

(2) Only practice under the direct supervision of a preceptor; and

(3) Not represent themselves to the public, residents, or patients as licensed nursing home administrators.

(c) The preceptor shall be responsible and available to provide direction, observation, aid, training, and instruction to the administrator-in-training, including the submission of progress reports. This is an interactive process between the preceptor and the administrator-in-training intended to ensure the extent, quality, and scope of experience of the duties performed as a nursing home administrator.

(d) The Board, in its regulations, shall determine the number of nursing home administrators-in-training that a preceptor may supervise

and the requirements of their supervision.

(76 Del. Laws, c. 89, § 1; 70 Del. Laws, c. 186, § 1.)

§ 5211 Temporary licensure.

(a) Immediately upon receipt of an application therefore, the Board may issue a temporary license to an individual who wishes to serve as a temporary nursing home administrator in the event that a facility's licensed nursing home administrator is removed from the position by death or other unexpected cause. The owner, governing body, or other appropriate authority of the nursing home suffering such removal may designate an individual to serve as a temporary nursing home administrator subject to such regulations set forth and approved by the Board. The owner, governing body, or other appropriate authority of the nursing home, not the individual serving as the temporary nursing home administrator, must submit:

(1) A written application for temporary licensure to the Board, in a form approved by the Board, immediately after the individual begins undertaking the functions of a nursing home administrator;

(2) A clear statement that the individual has not held a temporary license issued pursuant to this section within the preceding 12 months;

(3) A clear statement that the individual understands that the temporary license will expire 90 days after the date of its issuance, that the temporary license may only be renewed once, for an additional 90 days, at the Board's discretion, and that the individual is not eligible for a subsequent temporary license within the 12 months immediately following its expiration;

(4) An affidavit from the individual that the individual has 3 years of health care management experience acceptable to the Board; and

(5) Verification that the individual holds either a degree in any field from an accredited college or university or holds a current Delaware license as a registered nurse.

(b) A temporary license issued pursuant to this section shall expire 90 days after the date of its issuance and may only be renewed once, for an additional 90 days, at the Board's discretion. No person having previously been issued a temporary license may, within 12 months following its expiration, be granted a subsequent temporary license pursuant to this section.

(c) The Board shall not grant more than 1 temporary license to be used at a single facility at any 1 time.

(d) The Board shall not grant consecutive temporary licenses for use at a single facility.

(e) No person serving as a nursing home administrator pursuant to this section shall be permitted to concurrently serve as a registered nursing home administrator-in-training.

(f) A temporary license is only valid for the individual named in the license to work as a temporary nursing home administrator in the facility that submitted the temporary licensure application.

(g) The Board shall designate 1 of its members to review temporary licensure applications received between regular Board meetings. The designated Board member will have the authority to approve or deny such applications on behalf of the Board between regular Board meetings. At the subsequent regular Board meeting, the Board will review the temporary licensure application and either ratify or overturn the individual Board member's decision.

(76 Del. Laws, c. 89, § 1; 70 Del. Laws, c. 186, § 1.)

§ 5212 Administrator-in-Training Program.

The Board shall approve an Administrator-In-Training Program that complies with the guidelines of the National Association of Boards of Long-Term Care Administrators (NAB), and contains at a minimum the following:

(1) Introduction and orientation;

(2) Admission procedures;

(3) Medical records requirements;

(4) Resident rights;

(5) Administration;

(6) Food service;

(7) Nursing;

(8) Housekeeping/maintenance/janitorial;

(9) Medical and allied health;

(10) Recreation;

(11) Rehabilitation services;

(12) Social services;

(13) Disaster/emergency services;

(14) Medicare/Medicaid;

(15) Professional ethics; and

(16) Applicable state and federal laws and regulations.

(76 Del. Laws, c. 89, § 1.)

§ 5213 Reciprocity.

Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board:

(1) The Board shall grant a license to each applicant who presents proof of current licensure in "good standing" in another state, the District of Columbia, or territory of the United States, whose standards for licensure are substantially similar to those of this State; and

(2) The Board may grant a license to an applicant who presents proof of current licensure in "good standing" in another state, the District of Columbia, or territory of the United States, whose standards are not substantially similar to those of this state, provided that the applicant shall have practiced in that state for a minimum of 3 years after licensure; and provided further that the applicant would not be prohibited from being licensed pursuant to this chapter because of that applicant's criminal or administrative record, any impairment related to drugs or alcohol, or mental incompetence.

(64 Del. Laws, c. 159, § 2; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 89, § 1.)

§ 5214 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division of Professional Regulation in its service on behalf of the Board. At the beginning of each licensure biennium, the Division of Professional Regulation, or any other state agency acting in its behalf, shall compute, for each separate service or activity, the appropriate Board fees for the licensure biennium.

(64 Del. Laws, c. 159, § 2; 76 Del. Laws, c. 89, § 1.)

§ 5215 Issuance and renewal of licenses.

(a) The Board shall issue a license to each applicant, who meets the requirements of this chapter for licensure as a nursing home administrator and who pays the fee established under § 5214 of this title.

(b) Each license shall be renewed biennially, in such manner as is determined by the Division of Professional Regulation, and upon payment of the appropriate fee and submission of a renewal form provided by the Division of Professional Regulation, and proof that the licensee has met the continuing education requirements established by the Board.

(c) The Board, in its rules and regulations, shall determine the period of time within which a licensed nursing home administrator may still renew that administrator's own license, notwithstanding the fact that such licensee has failed to renew on or before the renewal date.

(d) A licensee, upon written request, may be placed in an inactive status in accordance with the Board's rules and regulations. Such person may reenter practice upon written notification to the Board of the intent to do so and completion of continuing education as required in the Board's rules and regulations. The renewal fee of such person shall be prorated according to the amount of time such person was inactive.

(64 Del. Laws, c. 159, § 2; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 89, § 1.)

§ 5216 Grounds for discipline.

(a) A practitioner licensed under this chapter shall be subject to disciplinary actions set forth in § 5218 of this title, if, after a hearing, the Board finds that the nursing home administrator:

(1) Has employed or knowingly cooperated in fraud or material deception in order to acquire a license as a nursing home administrator; has impersonated another person holding a license, or allowed another person to use that practitioner's own license, or aided or abetted a person not licensed as a nursing home administrator to represent himself or herself as a nursing home administrator;

(2) Has illegally, incompetently or negligently practiced nursing home administration;

(3) Has been convicted of any offense, which is substantially related to the practice of nursing home administration. A copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence therefore;

(4) Has excessively used or abused drugs either in the past 2 years or currently;

(5) Has engaged in an act of abuse, neglect, mistreatment or financial exploitation of a nursing home resident or patient;

(6) Has violated a lawful provision of this chapter, or any lawful regulation established thereunder;

(7) Has had that practitioner's own license as a nursing home administrator suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record; and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every person licensed as a nursing home administrator in this State shall be deemed to have given consent to the release of this information by the Board of Examiners of Nursing Home Administrators or other comparable agencies in another jurisdiction and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses;

(8) Has failed to notify the Board that the practitioner's own license as a nursing home administrator in another state has been subject to discipline, or has been surrendered, suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof; or

(9) Has a physical condition such that the performance of nursing home administration is or may be injurious or prejudicial to the public.

(b) Subject to the provisions of subchapter IV of Chapter 101 of Title 29, no license shall be restricted, suspended or revoked by the Board, and no practitioner's right to practice nursing home administration shall be limited by the Board until such practitioner has been given notice, and an opportunity to be heard, in accordance with the Administrative Procedures Act [Chapter 101 of Title 29].

(64 Del. Laws, c. 159, § 2; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 89, § 1.)

§ 5217 Complaints.

(a) All complaints shall be received and investigated by the Division of Professional Regulation in accordance with § 8735 of Title 29, and the Division shall be responsible for issuing a final written report at the conclusion of its investigation.

(b) When it is determined that an individual is engaging, or has engaged, in the practice of nursing home administration, or is using the title "nursing home administrator" or other title implying that the individual is competent to act as a "nursing home administrator" and is not licensed under the laws of this State, the Board shall apply to the Office of the Attorney General to issue a cease and desist order.

(64 Del. Laws, c. 159, § 2; 65 Del. Laws, c. 355, § 1; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 89, § 1.)

§ 5218 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 of the conditions or violations set forth in § 5216 of this title applies to a practitioner regulated by this chapter:

(1) Issue a letter of reprimand;

(2) Censure a practitioner;

(3) Place a practitioner on probationary status, and require the practitioner to:

a. Report regularly to the Board upon the matters, which are the basis of the probation;

b. Limit all practice and professional activities to those areas prescribed by the Board;

(4) Suspend any practitioner's license;

(5) Revoke any practitioner's license;

(6) Impose a monetary penalty not to exceed $500 for each violation.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies, which required such action, have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license.

(d) When a license has been suspended due to a disability of the licensee, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee is able to practice with reasonable skill and safety.

(64 Del. Laws, c. 159, § 2; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 96; 75 Del. Laws, c. 436, § 51; 76 Del. Laws, c. 89, § 1; 79 Del. Laws, c. 213, § 2.)

§ 5219 Hearing procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29, alleging violation of § 5216 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings shall be informal without use of rules of evidence. If the Board finds, by a majority vote of all members, that action or actions constituting grounds for discipline have been proven, the Board shall take such action permitted under this chapter, as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. The Board's decision shall be mailed immediately to the practitioner.

(c) If the practitioner is in disagreement with the action of the Board, that practitioner may appeal the Board's decision to the Superior Court within 30 days of service, or of the postmarked date of the copy of the decision mailed to the practitioner whichever is greater. Stays shall be granted in accordance with § 10144 of Title 29.

(64 Del. Laws, c. 159, § 2; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 89, § 1.)

§ 5220 Reinstatement of a suspended license; removal from probationary status.

(a) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension and/or the probation.

(b) Applicants for reinstatement must pay the appropriate fees and submit documentation required by the Board as evidence that all the conditions of a suspension and/or probation have been met. Proof that the applicant has met the continuing education requirements of this

chapter may also be required, as appropriate.

(c) [Repealed.]

(64 Del. Laws, c. 159, § 2; 74 Del. Laws, c. 262, § 97; 76 Del. Laws, c. 89, § 1; 82 Del. Laws, c. 8, § 20.)

Subchapter III

Other Provisions

§ 5221 Exemptions.

Nothing in this chapter shall be construed to prevent the practice of nursing home administration by persons registered with the Board and working under the direct supervision of a Delaware licensed nursing home administrator; such licensed nursing home administrator shall be responsible for the activities of the unlicensed person practicing nursing home administration in this State.

(64 Del. Laws, c. 159, § 2; 76 Del. Laws, c. 89, § 1.)

§ 5222 Penalty.

A person, not currently licensed as a nursing home administrator under this chapter, when guilty of engaging in the practice of nursing home administration, or using in connection with that person's own name, or otherwise assuming or using any title or description conveying, or tending to convey the impression that the person is qualified to practice nursing home administration, such offender shall be guilty of a misdemeanor. Upon the first offense, that person shall be fined not less than $500 or more than $1,000 for each offense. For a second or subsequent conviction, the fine shall be not less than $1,000 or more than $2,000 for each offense. Justice of the Peace Courts shall have jurisdiction over all violations of this chapter.

(64 Del. Laws, c. 159, § 2; 70 Del. Laws, c. 186, § 1; 76 Del. Laws, c. 89, § 1.)

Chapter 53
MASSAGE AND BODYWORK

Subchapter I

Board of Massage and Bodywork

§ 5301 Objectives.

The primary objective of the Board of Massage and Bodywork, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

The secondary objectives of the Board are to maintain minimum standards of practitioner competency and to maintain certain standards in the delivery of services to the public. In meeting its objectives the Board shall develop standards assuring professional competence; shall monitor complaints brought against practitioners regulated by the Board; shall adjudicate at formal hearings; shall promulgate rules and regulations; and shall impose sanctions where necessary against practitioners, both licensed or certified, or formerly licensed or certified.

(70 Del. Laws, c. 582, § 1.)

§ 5302 Definitions.

For purposes of this chapter:

(1) "Board" shall mean the State Board of Massage and Bodywork established in this chapter.

(2) "Division" shall mean the Division of Professional Regulation of the Department of State of Delaware.

(3) "Hybrid education" means a massage and bodywork program where certain hours must be completed in-person in a classroom setting and other hours may be completed through distance learning, as specified in the Board's rules and regulations.

(4) "Massage and bodywork therapist" shall mean a person who represents oneself to the public by any title or description of services incorporating the words "bodywork," "massage," "massage therapist," "massage therapy," "massage practitioner," "massagist," "masseur," "masseuse," or who engages in the practice of massage and bodywork for a fee, monetary or otherwise.

(5) a. "Massage establishment" means any place of business, including an office, clinic, facility, salon, or spa, where a person engages in the practice of massage and bodywork by doing any of the following:

1. Offering the practice of massage and bodywork and the practice of massage and bodywork is conducted on the premises of the business.

2. Representing itself to the public by any title or description of services incorporating the words "bodywork," "massage," "massage therapy," "massage practitioner," "massagist," "masseur," "masseuse," or other words identified by the Board in regulation.

b. "Massage establishment" does not include any of the following:

1. The residence of a therapist or an out call location which is not owned, rented, or leased by a massage therapist or massage establishment unless the location is advertised as the therapist's or establishment's place of business.

2. Any "facility" as defined in § 1131(4) of Title 16, any "hospital" as defined in § 1001 of Title 16, physician offices, physical therapy facilities, chiropractic offices, or athletic training facilities, whether or not they employ, contract with, or rent to massage therapists.

3. Institutions of secondary or higher education when massage therapy is practiced in connection with employment related to athletic teams.

(6) "Massage technician" shall mean a person, who is certified with the Board to perform certain functions within the practice of massage therapy, and who is authorized by the Board to use any title or description of services incorporating the words "bodywork," "massage," "massage practitioner," "massagist," "masseur," "masseuse," or "certified massage technician" but shall be prohibited from using the words "therapist" or "therapy."

(7) "Person" shall mean a corporation, company, association and partnership, as well as an individual.

(8) "Practice of massage and bodywork" shall mean a system of structured touch applied to the superficial or deep tissue, muscle, or connective tissue, by applying pressure with manual means. Such application may include, but is not limited to, friction, gliding, rocking, tapping, kneading, or nonspecific stretching, whether or not aided by massage oils or the application of hot and cold treatments. The practice of massage and bodywork is designed to promote general relaxation, enhance circulation, improve joint mobilization and/or relieve stress and muscle tension, and to promote a general sense of well-being.

(9) "Professional-in-charge" means a licensee who is responsible for the operation of a massage establishment, including ensuring that all employees are licensed, where required by law.

(10) "State" means any state of the United States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, and Guam; except that "this State" means the State of Delaware.

(11) "Substantially related" means the nature of the criminal conduct, for which the person was convicted, has a direct bearing on the

fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the practice of massage and bodywork.

(70 Del. Laws, c. 582, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 98; 75 Del. Laws, c. 88, § 17(2); 78 Del. Laws, c. 363, § 1; 81 Del. Laws, c. 104, § 1; 82 Del. Laws, c. 73, § 1; 84 Del. Laws, c. 384, § 1; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 68, § 38; 85 Del. Laws, c. 398, § 1.)

§ 5303 Board of Massage and Bodywork; appointments; qualifications; term; vacancies; suspension or removal; unexcused absences; compensation.

(a) There is created a state Board of Massage and Bodywork, which shall administer and enforce this chapter.

(b) The Board shall consist of 7 members appointed by the Governor, who are residents of this State: 4 professional members licensed under this chapter of whom at least 2 but not limited to 2 shall be massage therapists, at least 1 but not limited to 1 of whom shall be a certified massage technician, and 3 of whom shall be public members. The public members shall not be nor ever have been a massage therapist or technician, nor members of the immediate family of a massage therapist or technician, nor have been employed by a massage therapist or technician, nor have a material interest in the providing of goods and services to a massage therapist or technician, nor have been engaged in an activity directly related to massage. The public members shall be accessible to inquiries, comments and suggestions from the general public.

(c) Except as provided in subsection (d) of this section, each member shall serve a term of 3 years, and may succeed himself or herself for 1 additional term; provided, however, that where a member was initially appointed to fill a vacancy, such member may succeed himself or herself for only 1 additional full term. Any person appointed to fill a vacancy on the Board shall hold office for the remainder of the unexpired term of the former member. Each term of office shall expire on the date specified in the appointment; however, the Board member shall remain eligible to participate in Board proceedings unless and until replaced by the Governor.

(d) A person who has never served on the Board may be appointed to the Board for 2 consecutive terms; but, no such person shall thereafter be eligible for 2 consecutive appointments to the Board. No person, who has been twice appointed to the Board or who has served on the Board for 6 years within any 9-year period, shall again be appointed to the Board until an interim period of at least 1 year has expired since such person last served.

(e) Any act or vote by a person appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless such an amendment or revision amends this section to permit such an appointment.

(f) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetency or neglect of duty. A member subject to disciplinary hearing shall be disqualified from Board business until the charge is adjudicated or the matter is otherwise concluded. A Board member may appeal any suspension or removal to the Superior Court.

(g) No member of the Board, while serving on the Board, shall hold elective office in any professional association of massage or bodywork practitioners.

(h) The provisions set forth for "employees" in Chapter 58 of Title 29 shall apply to all members of the Board, and to all agents appointed or otherwise employed by the Board.

(i) Any member who is absent without adequate reason for 3 consecutive meetings, or fails to attend at least $^{1}/_{2}$ of all regular business meetings during any calendar year, shall be guilty of neglect of duty and automatically shall be considered to have resigned from the Board.

(j) Each member of the Board shall be reimbursed, for all expenses involved in each meeting, including travel, and in addition shall receive compensation per meeting attended in an amount determined by the Division in accordance with Del. Const. art. III, § 9.

(68 Del. Laws, c. 236, § 1; 70 Del. Laws, c. 186, § 1; 70 Del. Laws, c. 404, § 1; 70 Del. Laws, c. 582, § 1; 78 Del. Laws, c. 363, § 2; 81 Del. Laws, c. 85, § 28.)

§ 5304 Organization; meetings; officers; quorum.

(a) The Board shall hold regularly scheduled business meetings at least once in each quarter of a calendar year and at such times as the President deems necessary; or at the request of a majority of the Board members.

(b) The Board shall elect, in the same month of each year, a president, vice-president and secretary. Each officer shall serve for 1 year, and may succeed himself or herself for 1 additional term.

(c) A majority of the members shall constitute a quorum for the purpose of transacting business. The affirmative vote of at least 4 members of the Board is required to certify and license applicants or to discipline a certificate holder or licensee. All other actions will be by simple majority vote.

(d) Minutes of all meetings shall be recorded and copies shall be maintained by the Division. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared shall be made. The expense of preparing any transcript shall be incurred by the person requesting it.

(70 Del. Laws, c. 582, § 1; 70 Del. Laws, c. 186, § 1; 78 Del. Laws, c. 363, § 3; 81 Del. Laws, c. 79, § 40.)

§ 5305 Records.

The Division shall keep a register of all approved applications for license as massage and bodywork therapist and for certification as massage technician, all approved applications for licenses for massage establishments, and complete records relating to meetings of the

Board, examinations, rosters, changes and additions to the Board's rules and regulations, complaints, hearings and such other matters as the Board shall determine. Such records shall be prima facie evidence of the proceedings of the Board.

(70 Del. Laws, c. 582, § 1; 81 Del. Laws, c. 104, § 2.)

§ 5306 Powers and duties.

(a) The Board of Massage and Bodywork shall have authority to:

(1) Formulate rules and regulations, with appropriate notice to those affected; all rules and regulations shall be promulgated in accordance with the procedures specified in the Administrative Procedures Act of this State [Chapter 101 of Title 29]. Each rule or regulation shall implement or clarify a specific section of this chapter;

(2) Designate the application form to be used by all applicants, and process all applications;

(3) Designate the written examination to be taken by all persons applying for licensure as massage and bodywork therapists, subject to approval by the Director of the Division;

(4) If the examination is not otherwise available, to provide for the administration of all examinations, including notice and information to applicants. The Board shall adopt a nationally-prepared and administered massage and bodywork therapy examination, subject to approval by the Director of the Division;

(5) Evaluate the credentials of all persons applying for a license to practice massage and bodywork therapy in Delaware and of all persons applying for certification as massage technicians, in order to determine whether such persons meet the qualifications for licensing or certification set forth in this chapter;

(6) Grant licenses to, and renew licenses and certifications of, all persons who meet the qualifications for licensure and/or renewal of licenses; grant certificates to persons who meet the qualifications for massage technicians; and grant licenses to, and renew licenses for, massage establishments;

(7) Establish by rule and regulation continuing education standards required for license and certificate renewal;

(8) Evaluate certified records to determine whether an applicant for licensure or certification, who previously has been licensed, certified, or registered in another jurisdiction to practice massage and/or bodywork, has engaged in any act or offense that would be grounds for disciplinary action under this chapter; and whether there are disciplinary proceedings or unresolved complaints pending against such applicants for such acts or offenses;

(9) Refer all complaints from licensees and the public concerning licensed massage and bodywork therapists and certified massage technicians, or concerning practices of the Board or of the profession, to the Division for investigation pursuant to § 8735 of Title 29; and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint;

(10) Conduct hearings and issue orders in accordance with procedures established pursuant to this chapter, Chapter 101 of Title 29 and § 8735 of Title 29. Where such provisions conflict with the provisions of this chapter, this chapter shall govern. The Board shall determine whether or not a massage and bodywork therapist or massage technician shall be subject to a disciplinary hearing, and if so, shall conduct such hearing in accordance with this chapter and the Administrative Procedures Act [Chapter 101 of Title 29];

(11) When it has been determined, after a disciplinary hearing, that penalties or sanctions should be imposed, to designate and impose the appropriate sanction or penalty;

(12) Adopt rules and regulations concerning advertising by massage and bodywork therapists and massage technicians;

(13) Adopt rules and regulations setting forth unprofessional conduct by massage and bodywork therapists and massage technicians;

(14) Adopt, pursuant to the Board's rules and regulations, a client disclosure form, which shall be used by all certified massage technicians. The disclosure shall include, at the minimum, a statement that the person providing services is a certified massage technician, and not a licensed massage and bodywork therapist, and, by law, is not authorized to treat medically diagnosed conditions. The disclosure shall be provided to the client at the first session;

(15) Adopt rules and regulations setting forth the requirements pertaining to the licensure, maintenance, and standards of massage establishments; and

(16) Authorize agents of the Division to inspect any massage establishment.

(b) The Department of Health and Social Services shall have the authority to adopt rules and regulations pertaining to the sanitary controls of massage establishments.

(c) The Board of Massage and Bodywork shall promulgate regulations specifically identifying those crimes which are substantially related to the practice of massage and bodywork.

(70 Del. Laws, c. 582, § 1; 74 Del. Laws, c. 262, § 99; 78 Del. Laws, c. 363, § 4; 81 Del. Laws, c. 104, § 3.)

Subchapter II

License

§ 5307 License; certification required.

(a) No person shall engage in the practice of massage and bodywork therapy or hold himself or herself out to the public in this State as

being qualified to practice massage and bodywork therapy; or use in connection with that person's name, or otherwise assume or use, any title or description conveying or tending to convey the impression that the person is qualified to practice massage and bodywork therapy, unless such person has been duly licensed or certified under this chapter. Massage and bodywork therapists licensed under this chapter may practice massage and/or bodywork therapy on referral or prescription from a licensed medical or osteopathic physician or chiropractor as deemed appropriate by the referring physician or chiropractor. Massage technicians certified under this chapter are prohibited from practicing on referral or prescription from a licensed medical or osteopathic physician or chiropractor and from treating medically diagnosed conditions.

(b) Whenever a license or certificate to practice massage and bodywork in this State has expired or been suspended or revoked, it shall be unlawful for the person to practice massage and bodywork in this State.

(c) No person shall act as a massage technician, or hold himself or herself out as a massage technician, unless such person has been duly certified by the Board under this chapter. Massage technicians shall practice massage and/or bodywork on other than medically diagnosed conditions.

(d) *Massage establishment license; necessity. —*

No person, firm, corporation, partnership, or other legal entity shall operate, maintain, or use premises as a massage establishment without first having secured a massage establishment license from the Board.

(e) *Services rendered in unlicensed massage establishment, prohibition; exceptions. —*

No person shall offer or render any of the services encompassed within the definition of massage and bodywork in a place that is not licensed as a massage establishment. This section shall not apply to a duly licensed massage therapist or certified massage technician who practices massage or bodywork outside of a massage establishment.

(f) This chapter shall not apply to:

(1) Actions by any person, who is certified or licensed in this State by any other law, and who is engaged in and acting within the scope of the profession or occupation for which that person is certified or licensed;

(2) Actions by any person engaged in an occupation which does not require a certificate or certification, including, but not limited to, physical education teachers, athletic coaches, health or recreation directors, instructors at health clubs or spas, martial arts, water safety and dance instructors, or coaches, who is acting within the scope of activity for which such person is trained; and

(3) Any student of massage who is practicing within the scope of his or her course of study.

(70 Del. Laws, c. 582, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 108, § 1; 78 Del. Laws, c. 363, § 6; 81 Del. Laws, c. 104, § 4.)

§ 5308 Qualifications of applicant; report to Attorney General; judicial review.

(a) An applicant who is applying for licensure as a massage and bodywork therapist under this chapter must submit evidence, verified by oath and satisfactory to the Board, that all of the following apply to the applicant:

(1) Is at least 18 years of age.

(2) a. For an applicant who began a massage program before July 1, 2024, has completed 500 hours of supervised in-class study as a student in a school which trains massage and bodywork therapists and consists of a curriculum of no less than all of the following:

1. One hundred hours of anatomy and physiology.
2. Three hundred hours of technique and theory of massage or bodywork therapy.
3. Seventy-five hours of elective courses in the field of massage therapy.
4. Twenty-five hours of ethics, law, and contraindications.

b. For an applicant who began a massage program on or after July 1, 2024, has completed 625 hours of study, which may include hybrid education as permitted in the Board's rules and regulations, as a student in a school which trains massage and bodywork therapists and consists of a curriculum of no less than all of the following:

1. One hundred hours of anatomy and physiology.
2. Three hundred hours of technique and theory of massage or bodywork therapy.
3. Two hundred hours of elective courses in the field of massage therapy.
4. Twenty-five hours of ethics, law, and contraindications.

(3) Has achieved the passing score on a written, standardized, nationally-prepared and administered examination in massage and bodywork therapy; the passing score shall be as established by the testing agency. If the testing agency has not established a passing score, the Board in conjunction with the Division shall establish the passing score.

(4) Has passed a state-certified examination in cardiopulmonary resuscitation (CPR) training and possesses current CPR certification. Individuals who have lower limb amputee status are exempt from the requirement to possess current CPR certification.

(5) Has not engaged in any of the acts or offenses that would be grounds for disciplinary action under this chapter.

(6) Has no disciplinary proceedings or unresolved complaints pending against that person in any jurisdiction where the applicant has previously been or currently is licensed to practice massage and bodywork therapy.

(7) Has not been the recipient of any administrative penalties regarding that person's practice of massage and bodywork therapy, including any of the following:

a. Fines.

b. Formal reprimands.

c. License suspensions or revocation for a reason other than for nonpayment of license renewal fees.

d. Probationary limitations.

e. Entering into any "consent agreements" which contain conditions placed by a Board on that person's professional conduct and practice, including any voluntary surrender of a license. The Board may determine, after a hearing, whether such administrative penalty is grounds to deny licensure.

(8) Must not have any impairment related to drugs or alcohol or a finding of mental incompetence by a physician that would limit the applicant's ability to undertake that applicant's practice in a manner consistent with the safety of the public.

(9) Has not been convicted of a crime that is substantially related to the practice of massage and bodywork. In determining whether a crime is substantially related to the practice of massage and bodywork, the Board shall observe the limitations set forth under § 8735(x)(4) of Title 29, with the exception of a conviction for any felony sexual offense as defined under § 761 of Title 11 or unlawful sexual contact in the third degree as defined under § 767 of Title 11, which may be considered regardless of the passage of time since the date of conviction. If after consideration of the factors set forth under § 8735(x)(3) of Title 29 through a hearing or review of documentation the Board, by an affirmative vote of a majority of the quorum, or during the time period between Board meetings, the Board President or the President's designee, determines that granting a waiver would not create an unreasonable risk to public safety, the Board, Board President, or President's designee shall waive this paragraph (a)(9). A waiver may not be granted for a conviction of a felony sexual offense.

a.-e. [Repealed.]

(10) Notwithstanding the time limitation under § 8735(x)(4) of Title 29, has not been convicted of a felony sexual offense as defined under § 761 of Title 11.

(11) Has submitted, at the applicant's expense, fingerprints and other necessary information in order to obtain the following:

a. A report of the applicant's entire criminal history record from the State Bureau of Identification or a statement from the State Bureau of Identification that the State Central Repository contains no such information relating to that person.

b. A report of the applicant's entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544, 28 U.S.C. § 534. The State Bureau of Identification shall be the intermediary for purposes of this section and the Board of Massage and Bodywork shall be the screening point for the receipt of said federal criminal history records.

c. An applicant may not be licensed to practice as a massage therapist until the applicant's criminal history reports have been produced. An applicant whose record shows a prior criminal conviction that is substantially related to the practice of massage and bodywork may not be licensed by the Board unless a waiver is granted under paragraph (a)(9) of this section.

(b) Where the Board has found to its satisfaction that an applicant has been intentionally fraudulent, or that false information has been intentionally supplied, it shall report its findings to the Attorney General for further action.

(c) Where the application of a person has been refused or rejected and such applicant feels that the Board has acted without justification; has imposed higher or different standards for that applicant than for other applicants or licensees; or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(d) The Board shall grant a license to an applicant, who was previously licensed as a massage therapist in this State, and who has let that applicant's license lapse due to a failure to timely renew said license, subject to the applicant meeting the requirements of subsection (a) of this section, and the continuing education requirements as provided for in the Board's rules and regulations.

(e) Foreign-trained applicants must provide evidence of training and supervision essentially comparable to that cited in paragraph (a)(2) of this section.

(f) All individuals licensed to practice as massage therapists in this State shall be required to be fingerprinted by the State Bureau of Identification, at the licensee's expense, for the purposes of performing subsequent criminal background checks. Licensees shall submit by January 1, 2014, at the applicant's expense, fingerprints and other necessary information in order to obtain a criminal background check.

(70 Del. Laws, c. 582, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 289, §§ 1, 2; 78 Del. Laws, c. 363, § 7; 82 Del. Laws, c. 196, §§ ? 1, 2; 83 Del. Laws, c. 433, § 33; 84 Del. Laws, c. 384, § 2; 85 Del. Laws, c. 398, § 2.)

§ 5309 Massage technicians.

(a) After December 31, 2024, the Board may not issue an initial certification to practice as a certified massage technician.

(b) In order to remain certified, all certified massage technicians must renew their certificates as specified in § 5312(a) through (d) of this title.

(70 Del. Laws, c. 582, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 289, §§ 3-8; 75 Del. Laws, c. 130, § 1; 78 Del. Laws, c. 363, § 8; 84 Del. Laws, c. 388, § 1; 85 Del. Laws, c. 398, § 2.)

§ 5310 Reciprocity.

Upon payment of the appropriate fee and submission and acceptance of a written application on forms provided by the Board, the Board shall grant a license to each applicant who shall present proof of current licensure, in good standing, in another state, the District of Columbia or territory of the United States, and who, in addition:

(1) Meets the criteria for licensure in good standing as defined in § 5308(a)(5)-(10) of this title for all currently and previously held licenses and has complied with § 5308(a)(11) and (e) of this title;

(2) Has achieved the passing score on a written, standardized nationally-prepared and administered examination in massage or

bodywork therapy; the passing score shall be as established by the testing agency. If the testing agency has not established a passing score, the Board in conjunction with the Division shall establish the passing score;

(3) Has practiced massage and bodywork continually for 2 years immediately prior to making application;

(4) Possesses current CPR certification; and

(5) Is at least 18 years of age.

(70 Del. Laws, c. 582, § 1; 74 Del. Laws, c. 289, § 6; 78 Del. Laws, c. 363, § 9.)

§ 5311 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division of Professional Regulation in its service on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. The application fee shall not be combined with any other fee or charge. At the beginning of each calendar year, the Division of Professional Regulation, or any other state agency acting in its behalf, shall compute, for each separate service or activity, the appropriate Board fees for the coming year.

(70 Del. Laws, c. 582, § 1.)

§ 5312 Issuance and renewal of licenses; certification.

(a) The Board shall issue a license or certificate to each applicant who meets the requirements of this chapter for licensure as a massage and bodywork therapist or certification as a massage technician and who pays the fee established under § 5311 of this title.

(b) Each license or certificate shall be renewed biennially, in such manner as is determined by the Division of Professional Regulation, and upon payment of the appropriate fee and submission of a renewal form provided by the Division of Professional Regulation, and proof that the licensee or certificant has met the continuing education requirements established by the Board.

(c) The Board, in its rules and regulations, shall determine the period of time within which a licensed massage and bodywork therapist or certified massage technician may still renew such license or certificate, notwithstanding the fact that such licensee or certificant has failed to renew on or before the renewal date.

(d) Any licensee or certificate holder, upon written request, may be placed on inactive status. Any person who desires to reactivate that person's license or certificate shall complete a Board approved application form, submit a reactivation fee set by the Division, submit proof of current CPR certification, and submit evidence of compliance with continuing education requirements in accordance with the Board's rules and regulations.

(70 Del. Laws, c. 582, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 289, § 7; 78 Del. Laws, c. 363, § 10.)

§ 5313 Grounds for discipline.

(a) A practitioner licensed or certified under this chapter shall be subject to disciplinary actions set forth in § 5315 of this title, if, after a hearing, the Board finds that the massage and bodywork therapist or massage technician:

(1) Has employed or knowingly cooperated in fraud or material deception in order to acquire a license as a massage and bodywork therapist or certification as a massage technician; has employed or knowingly cooperated in fraud or material deception in order to acquire a massage establishment license; has impersonated another person holding a license or certification, or allowed another person to use the massage or bodywork license or massage technician certification, or aided or abetted a person not licensed as a massage or bodywork therapist or certified as a massage technician to represent that person as a massage or bodywork therapist or massage technician;

(2) Has been convicted of a crime that is substantially related to the practice of massage and bodywork, as set forth in the Board's rules and regulations; a copy of the record of conviction certified by the clerk of the court entering the conviction shall be conclusive evidence thereof;

(3) Has an impairment related to drugs or alcohol or a finding of mental incompetence by a physician that would limit the practitioner's ability to undertake his or her practice in a manner consistent with the safety of the public;

(4) Has violated a lawful provision of this chapter, or any lawful regulation established thereunder;

(5) Has had that practitioner's license as a massage and bodywork therapist or that practitioner's certificate as massage technician suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record; and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the acts defined in this chapter. Every person licensed as a massage and bodywork therapist or certified as a massage technician in this State shall be deemed to have given consent to the release of this information by the Board of Massage and Bodywork Therapy or other comparable agencies in another jurisdiction and to waive all objections to the admissibility of previously adjudicated evidence of such acts or offenses;

(6) Has failed to notify the Board that the practitioner's license as a massage and bodywork therapist or certificate as massage technician in another state has been subject to discipline, or has been surrendered, suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation shall be conclusive evidence thereof;

(7) Has engaged directly or indirectly in the division, transferring, assigning, rebating or refunding of fees received for professional services or who profits by means of a credit or other valuable consideration such as wages or an unearned commission, discount or

gratuity with any person who referred a patient or with any relative or business associate of the referring person. Nothing in this paragraph shall be construed as prohibiting the members of any regularly and properly organized business entity recognized by the Delaware law and comprised of massage therapists from making any division of their total fees among themselves as they determine by contract necessary to defray their joint operating costs; or

(8) Has knowingly employed or cooperated in the hiring or contracting for the services of, or, as the professional-in-charge of a massage establishment, leased space or otherwise entered into a contractual relationship with or permitted, any unlicensed person or persons required by this chapter to hold an unrestricted license to practice any of the professions regulated by this chapter; or

(9) Has been guilty of unprofessional conduct, as adopted in the rules and regulations, and which shall include departure from or the failure to conform to the national code of professional ethics and standards of acceptable massage and bodywork practices.

(b) Where a practitioner fails to comply with the Board's request that the practitioner attend a hearing, the Board may petition the Superior Court to order such attendance, and the said Court or any judge assigned thereto shall have jurisdiction to issue such order.

(c) Subject to the provisions of this chapter and subchapter IV of Chapter 101 of Title 29, no license shall be disciplined, restricted, suspended or revoked by the Board, and no practitioner's right to practice shall be limited by the Board, until such practitioner has been given notice and an opportunity to be heard in accordance with the Administrative Procedures Act [Chapter 101 of Title 29].

(70 Del. Laws, c. 582, § 1; 70 Del. Laws, c. 186, § 1; 71 Del. Laws, c. 108, §§ 2, 3; 74 Del. Laws, c. 262, § 100; 75 Del. Laws, c. 436, § 52; 77 Del. Laws, c. 199, § 40; 78 Del. Laws, c. 44, §§ 78, 79; 78 Del. Laws, c. 363, § 11; 81 Del. Laws, c. 104, § 5.)

§ 5314 Complaints.

(a) A practitioner or member of the public desiring to file a complaint against a practitioner or licensee, massage establishment, or certificate holder regulated by the Board shall file a written complaint with the Division of Professional Regulation. All complaints shall be received and investigated by the Division in accordance with the procedures as specified in § 8735 of Title 29. The Division shall be responsible for issuing a final written report at the conclusion of the investigation.

(b) Those complaints involving unsanitary conditions or other conditions in any massage establishment which may harm the health of any person on the premises shall be investigated by the Division of Public Health.

(70 Del. Laws, c. 582, § 1; 81 Del. Laws, c. 104, § 6.)

§ 5315 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that any of the conditions or violations set forth in § 5313 of this title applies to a practitioner regulated by this chapter.

(1) Issue a letter of reprimand;

(2) Place a practitioner on probationary status, and require the practitioner to:

a. Report regularly to the Board upon the matters which are the basis of the probation;

b. Limit all practice and professional activities to those areas prescribed by the Board; and/or

c. Continue or renew the practitioner's professional education until the required degree of skill has been attained in those areas which are the basis of the probation;

(3) Suspend any practitioner's license or certification;

(4) Revoke a practitioner's license or certification;

(5) Impose a monetary penalty not to exceed $500 for each violation.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies which required such action have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee, massage establishment, or certificant that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the person's license or certificate, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the Board chair or the Board chair's designee. An order temporarily suspending a license or certificate may not be issued unless the person or the person's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the person or the person's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended person requests a continuance of the hearing date. If the temporarily suspended person requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. A person whose license or certificate has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the person received notification of the decision to temporarily suspend the person's license or certificate.

(d) Where a licensee or certificant has been suspended due to a disability of the licensee or certificant, the Board, at a Board meeting, may reinstate such licensee or certificant if the Board is satisfied that the licensee or certificant is able to practice with reasonable skill and safety.

(e) As a condition of reinstatement of a suspended license, or removal from probationary status, the Board may impose such disciplinary or corrective measures as are authorized under this chapter.

(f) The Board shall permanently revoke the license or certificate of a person licensed as a massage and bodywork therapist or certified as a massage technician who is convicted of a felony sexual offense.

(70 Del. Laws, c. 582, § 1; 78 Del. Laws, c. 363, § 12; 79 Del. Laws, c. 213, § 2; 81 Del. Laws, c. 104, § 7.)

§ 5316 Hearing procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29, alleging violation of § 5313 of this title, the Board shall set a time and place to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) All hearings shall be informal without use of rules of evidence. If the Board finds, by a majority vote of all members, that the complaint has merit, the Board shall take such action permitted under this chapter as it deems necessary. The Board's decision shall be in writing and shall include its reasons for such decision. The Board's decision shall be mailed immediately to the practitioner.

(c) Where the practitioner is in disagreement with the action of the Board, the practitioner may appeal the Board's decision to the Superior Court within 30 days after the date of mailing of the decision. Upon such appeal the Court shall hear the evidence on the record. Stays shall be granted in accordance with § 10144 of Title 29.

(70 Del. Laws, c. 582, § 1; 78 Del. Laws, c. 363, § 13.)

§ 5317 Penalties.

(a) A person is guilty of a misdemeanor when the person does any of the following:

(1) Engages in the practice of massage or bodywork therapy or practices as a massage technician when not currently licensed as a massage or bodywork therapist or certified as a massage technician under this chapter.

(2) Uses in connection with the practitioner's own name, or otherwise assuming or using any title or description conveying, or tending to convey the impression that the practitioner is qualified to practice massage or bodywork therapy, or to act as a massage technician.

(b) For a first offense, the practitioner shall be fined not less than $100, nor more than $500 for each offense. For a second or subsequent conviction, the fine shall be not less than $500, nor more than $1,000 for each offense.

(c) Where a person unlawfully operates, manages, owns, or advertises for any massage establishment or place where massage and bodywork services are rendered, the person is guilty of a class A misdemeanor, and may be imprisoned for up to 1 year, receive a fine of up to $2,300, or both.

(d) A person who unlawfully removes a placard under § 5320 of this title is guilty of a class A misdemeanor, and may be imprisoned for up to 1 year, receive fine of up to $2,300, or both.

(e) Superior Court has jurisdiction over any violation under this section.

(70 Del. Laws, c. 582, § 1; 81 Del. Laws, c. 104, § 9; 85 Del. Laws, c. 229, § 1.)

§ 5318 Practice of massage and bodywork on minors.

(a) A parent or legal guardian shall be present when a person licensed or certified to practice massage and bodywork under this chapter provides services to a minor, regardless of the sex of the licensed or certified person and minor. The minor may decline the presence of a parent or legal guardian only with the written consent of the parent or legal guardian. The licensed or certified person shall confirm the identity of the parent or legal guardian, as provided in the Board's rules and regulations.

(b) When a minor is to receive services, the person licensed or certified to practice massage and bodywork under this chapter shall provide notice to the parent or legal guardian of the rights under subsection (a) of this section. The notice shall be provided in written form and shall be posted conspicuously in the location where services will be provided. The specific requirements for notice shall be set forth in the Board's rules and regulations.

(c) For the purposes of this section, "minor" is defined as a person less than 18 years of age.

(79 Del. Laws, c. 169, § 8.)

§ 5319 Qualifications of applicants for massage establishments.

(a) All massage establishments must be licensed pursuant to this chapter. Applications for licensure shall be submitted together with the required fees set by the Division of Professional Regulation.

(b) An application for massage establishment licensure shall identify the professional-in-charge and shall include notarized acknowledgement by the person identified as the professional-in-charge. At all times, the professional-in-charge shall be licensed pursuant to this chapter and shall hold a license in good standing as defined in this title. A licensee may serve as professional-in-charge for only 1 establishment at any given time, unless the licensee has sought and received a waiver. The Board shall be notified in writing of any change in the professional-in-charge within 10 business days of such change.

(c) Massage establishments shall employ only licensed massage and bodywork therapists or certified massage technicians to practice massage and bodywork.

(d) No massage establishment shall be used as or for a dormitory nor shall any licensee under this chapter permit any massage establishment to be so used.

(e) The Board shall establish by regulation the permissible operating hours of massage establishments, as well as the mechanisms to apply for a waiver. Services shall be rendered to the public in any massage establishment only during permissible operating hours when the

establishment is open and may be inspected by any agent of the Division.

(f) (1) All internal and external doors shall be kept unlocked during operating hours except as follows:

a. Restroom doors may be locked.

b. External doors may be locked if the massage establishment is a business entity owned by 1 individual and has no more than 1 employee or independent contractor.

c. Internal doors may be locked to protect confidential patient or business information.

(2) If the inspecting official requests access to doors locked under this subsection during an inspection, the doors must be opened immediately. A person who refuses to immediately open a locked door during an inspection is unlawfully operating or managing the massage establishment under § 5317(c) of this title.

(g) No professional-in-charge of a massage establishment may allow, authorize, or tolerate in that professional-in-charge's massage establishment any activity or behavior prohibited by the laws of the State including such laws proscribing acts of or promotion of prostitution, indecent exposure, lewdness or obscenity.

(h) Any conviction of any crime identified in paragraph (g) of this section occurring on or in connection with the massage establishment shall be grounds for revocation of the license of the establishment and no new license for the operation of a massage establishment on the same premises or to the same professional-in-charge thereafter shall be issued for a period of 1 year.

(i) A massage establishment license issued pursuant to this chapter shall be issued for a single, identified location and is not assignable or transferable.

(j) A massage establishment may not advertise for sexually explicit services or engage in any sexually explicit advertising. Any such advertising will be imputed to the professional-in-charge and is grounds for discipline of the massage establishment license and the professional-in-charge's license.

(k) The Board may establish by regulation additional requirements and prohibitions regarding the operation of massage establishments.

(81 Del. Laws, c. 104, § 10; 70 Del. Laws, c. 186, § 1; 85 Del. Laws, c. 229, § 1; 84 Del. Laws, c. 42, § 1.)

§ 5320 Unlicensed practice violations; penalties.

(a) A placard, as provided by the Attorney General, must be prominently displayed at any entrance of an establishment that has not obtained a valid license or has a license that is suspended, revoked, or expired. The placard may not be removed unless the removal is approved by the Division.

(b) Whenever, in the judgment of the Division, any person has engaged in or is about to engage in any acts or practices which constitute or will constitute a violation of any provision of this chapter or any rule, regulation or order issued thereunder, the Division may request the Attorney General to make application to the Court of Chancery for an order enjoining such acts or practices or for an order directing compliance and, upon a showing by the Division that such person has engaged or is about to engage in any such acts or practices, a permanent or temporary injunction, restraining order or other order may be granted.

(c) The unlawful operation, management, ownership, or advertisement of any massage establishment or place where massage and bodywork services are rendered is hereby deemed a public nuisance.

(81 Del. Laws, c. 104, § 10; 85 Del. Laws, c. 229, § 1.)

§ 5321 Inspections.

(a) An agent of the Division may enter and inspect during business hours, without prior notice, any massage establishment.

(b) An agent of the Division acting pursuant to subsection (a) of this section: may inspect and copy records of the establishment; may inspect within reasonable limits and in a reasonable manner the premises and all pertinent equipment; and may inspect other things therein, including records, files, papers, and facilities relating to violation of this chapter.

(c) If a massage establishment is located within a therapist's residence, an out call location, or is located within an office space shared with other businesses, an agent of the Division must have independent and sufficient legal justification before inspecting areas not used as a place of business for massage and bodywork by the establishment.

(81 Del. Laws, c. 104, § 10.)

Chapter 54
Delaware Revised Uniform Athlete Agents Act

§ 5401 Short title.

This chapter may be cited as the "Delaware Revised Uniform Athlete Agents Act."
(73 Del. Laws, c. 144, § 1; 83 Del. Laws, c. 528, § 1.)

§ 5402 Definitions.

For purposes of this chapter:

(1) "Agency contract" means an agreement in which a student athlete authorizes a person to negotiate or solicit on behalf of the athlete any of the following:

a. A professional-sports-services contract.

b. An endorsement contract.

c. A name, image, or likeness agreement.

(2) a. "Athlete agent" means an individual, whether or not registered under this chapter, who does any of the following:

1. Directly or indirectly recruits or solicits a student athlete to enter into an agency contract or, for compensation, procures employment or offers, promises, attempts, or negotiates to obtain employment for a student athlete as a professional athlete or member of a professional sports team or organization.

2. For compensation or in anticipation of compensation related to a student athlete's participation in athletics does any of the following:

A. Serves the athlete in an advisory capacity on a matter related to finances, business pursuits, or career management decisions, unless the individual is an employee of an educational institution acting exclusively as an employee of the institution for the benefit of the institution.

B. Manages the business affairs of the athlete by providing assistance with bills, payments, contracts, or taxes.

3. In anticipation of representing a student athlete for a purpose related to the athlete's participation in athletics does any of the following:

A. Gives consideration to the student athlete or another person.

B. Serves the athlete in an advisory capacity on a matter related to finances, business pursuits, or career management decisions.

C. Manages the business affairs of the athlete by providing assistance with bills, payments, contracts, or taxes.

b. "Athlete agent" does not include an individual who does any of the following:

1. Acts solely on behalf of a professional sports team or organization.

2. Is a licensed, registered, or certified professional and offers or provides services to a student athlete customarily provided by members of the profession, unless the individual does any of the following:

A. Also recruits or solicits the athlete to enter into an agency contract.

B. Also, for compensation, procures employment or offers, promises, attempts, or negotiates to obtain employment for the athlete as a professional athlete or member of a professional sports team or organization.

C. Receives consideration for providing the services calculated using a different method than for an individual who is not a student athlete.

(3) "Athletic director" means the individual responsible for administering the overall athletic program of an educational institution or, if an educational institution has separately administered athletic programs for male students and female students, the athletic program for males or the athletic program for females, as appropriate.

(4) "Educational institution" includes a public or private elementary school, secondary school, technical or vocational school, community college, college, and university.

(5) "Endorsement contract" means an agreement under which a student athlete is employed or receives consideration to use on behalf of the other party any value that the athlete may have because of publicity, reputation, following, or fame obtained because of athletic ability or performance.

(6) "Enrolled" means registered for courses and attending athletic practice or class. "Enrolls" has a corresponding meaning.

(7) "Intercollegiate sport" means a sport played at the collegiate level for which eligibility requirements for participation by a student athlete are established by a national association that promotes or regulates collegiate athletics.

(8) "Interscholastic sport" means a sport played between educational institutions that are not community colleges, colleges, or universities.

(9) "Licensed, registered, or certified professional" means an individual licensed, registered, or certified as an attorney, dealer in securities, financial planner, insurance agent, real estate broker or sales agent, tax consultant, accountant, or member of a profession, other than that of athlete agent, who is licensed, registered, or certified by the state or a nationally-recognized organization that licenses, registers, or certifies members of the profession on the basis of experience, education, or testing.

(10) “Name, image, or likeness” includes a symbol, word, name, or design that readily identifies a student athlete.

(11) “Name, image, or likeness agreement” means an express or implied agreement, oral or in a record, under which a third party provides name, image, or likeness compensation.

(12) “Name, image, or likeness compensation” means money or other thing of value provided by a third party in exchange for use of a student athlete’s name, image, or likeness.

(13) “Person” means an individual; estate; business or nonprofit entity; public corporation; government or governmental subdivision, agency, or instrumentality; or other legal entity.

(14) “Professional-sports-services contract” means an agreement under which an individual is employed as a professional athlete or agrees to render services as a player on a professional sports team or with a professional sports organization.

(15) “Record” means information that is inscribed on a tangible medium or that is stored in an electronic or other medium and is retrievable in perceivable form.

(16) “Recruit or solicit” means attempt to influence the choice of an athlete agent by a student athlete or, if the athlete is a minor, a parent or guardian of the athlete. “Recruit or solicit” does not include giving advice on the selection of a particular agent in a family, coaching, or social situation unless the individual giving the advice does so because of the receipt or anticipated receipt of an economic benefit, directly or indirectly, from the agent.

(17) “Registration” means registration as an athlete agent under this chapter.

(18) “Secretary” means the Secretary of the Department of State.

(19) “Sign” means, with present intent to authenticate or adopt a record, any of the following:

a. To execute or adopt a tangible symbol.

b. To attach to or logically associate with the record an electronic symbol, sound, or process.

(20) “State” means a state of the United States, the District of Columbia, Puerto Rico, the United States Virgin Islands, or any territory or insular possession subject to the jurisdiction of the United States.

(21) “Student athlete” means an individual who is eligible to attend an educational institution and engages in, is eligible to engage in, or may be eligible in the future to engage in, any interscholastic or intercollegiate sport. “Student athlete” does not include an individual permanently ineligible to participate in a particular interscholastic or intercollegiate sport for that sport.

(73 Del. Laws, c. 144, § 1; 73 Del. Laws, c. 329, § 67; 74 Del. Laws, c. 262, § 101; 78 Del. Laws, c. 376, § 1; 83 Del. Laws, c. 528, § 1.)

§ 5403 Secretary’s authority; procedure.

(a) The Administrative Procedures Act, Chapter 101 of Title 29, applies to this chapter. The Secretary may adopt rules and regulations under the Administrative Procedures Act to implement this chapter.

(b) By acting as an athlete agent in this State, a nonresident individual appoints the Secretary as the individual’s agent for service of process in any civil action in this State related to the individual acting as an athlete agent in this State.

(c) The Secretary may issue a subpoena for material that is relevant to the administration of this chapter.

(83 Del. Laws, c. 528, § 1; 73 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 262, § 102; 75 Del. Laws, c. 281, §§ 1, 2.)

§ 5404 Athlete agent; registration required; void contract.

(a) Except as otherwise provided in subsection (b) of this section, an individual may not act as an athlete agent in this State without holding a record of registration under this chapter.

(b) Before being granted a record of registration under this chapter, an individual may act as an athlete agent in this State for all purposes except signing an agency contract, if all of the following apply:

(1) A student athlete or another person acting on behalf of the athlete initiates communication with the individual.

(2) Not later than 14 days after an initial act that requires the individual to register as an athlete agent, the individual submits an application for registration as an athlete agent in this State.

(c) An agency contract resulting from conduct in violation of this section is void, and the athlete agent shall return any consideration received under the contract.

(73 Del. Laws, c. 144, § 1; 83 Del. Laws, c. 528, § 1.)

§ 5405 Registration as athlete agent; application; requirements; reciprocal registration.

(a) An applicant for registration as an athlete agent shall submit an application for registration to the Secretary in a form prescribed by the Secretary. The applicant must be an individual, and the application must be signed by the applicant under penalty of perjury. The application must contain at least the following:

(1) The name and date and place of birth of the applicant and all of the following contact information for the applicant:

a. The address of the applicant’s principal place of business.

b. Work and mobile telephone numbers.

c. Any means of communicating electronically, including a facsimile number, electronic-mail address, and personal and business or employer websites.

(2) The name of the applicant’s business or employer, as applicable, including for each business or employer, its mailing address,

telephone number, organization form, and the nature of the business.

(3) Each social media account with which the applicant or the applicant's business or employer is affiliated.

(4) Each business or occupation in which the applicant engaged within 5 years before the date of the application, including self-employment and employment by others, and any professional or occupational license, registration, or certification held by the applicant during that time.

(5) A description of all of the following related to the applicant:

a. The applicant's formal training as an athlete agent.

b. The applicant's practical experience as an athlete agent.

c. The applicant's educational background relating to the applicant's activities as an athlete agent.

(6) The name of each student athlete for whom the applicant acted as an athlete agent within 5 years before the date of the application or, if the athlete is a minor, the name of the parent or guardian of the athlete, together with the athlete's sport and last-known team.

(7) The name and address of each person that meets all of the following:

a. Is a partner, member, officer, manager, associate, or profit sharer or directly or indirectly holds an equity interest of 5% or greater of the athlete agent's business if it is not a corporation.

b. Is an officer or director of a corporation employing the athlete agent or a shareholder having an interest of 5% or greater in the corporation.

(8) A description of the status of any application by the applicant, or any person named under paragraph (a)(7) of this section, for a state or federal business, professional, or occupational license, other than as an athlete agent, from a state or federal agency, including any denial, refusal to renew, suspension, withdrawal, or termination of the license and any reprimand or censure related to the license.

(9) Whether the applicant, or any person named under paragraph (a)(7) of this section, has pleaded guilty or no contest to, has been convicted of, or has charges pending for, a crime that would be a felony if committed in this State and, if so, identification of all of the following:

a. The crime.

b. The law-enforcement agency involved.

c. If applicable, the date of the guilty plea, no contest plea, or conviction and the fine or penalty imposed.

(10) Whether, within 15 years before the date of application, the applicant, or any person named under paragraph (a)(7) of this section, has been a defendant or respondent in a civil proceeding, including a proceeding seeking an adjudication of incompetence and, if so, the date and a full explanation of each proceeding.

(11) Whether the applicant, or any person named under paragraph (a)(7) of this section, has an unsatisfied judgment or a judgment of continuing effect, including alimony or a domestic order in the nature of child support, which is not current at the date of the application.

(12) Whether, within 10 years before the date of application, the applicant, or any person named under paragraph (a)(7) of this section, was adjudicated bankrupt or was an owner of a business that was adjudicated bankrupt.

(13) Whether there has been any administrative or judicial determination that the applicant, or any person named under paragraph (a)(7) of this section, made a false, misleading, deceptive, or fraudulent representation.

(14) Each instance in which conduct of the applicant, or any person named under paragraph (a)(7) of this section, resulted in the imposition of a sanction, suspension, or declaration of ineligibility to participate in an interscholastic, intercollegiate, or professional athletic event on a student athlete or a sanction on an educational institution.

(15) Each sanction, suspension, or disciplinary action taken against the applicant, or any person named under paragraph (a)(7) of this section, arising out of occupational or professional conduct.

(16) Whether there has been a denial of an application for, suspension or revocation of, refusal to renew, or abandonment of, the registration of the applicant, or any person named under paragraph (a)(7) of this section, as an athlete agent in any state.

(17) Each state in which the applicant currently is registered as an athlete agent or has applied to be registered as an athlete agent.

(18) If the applicant is certified or registered by a professional league or players association, all of the following:

a. The name of the league or association.

b. The date of the certification or registration, and the date of expiration of the certification or registration, if any.

c. If applicable, the date of any denial of an application for, suspension or revocation of, refusal to renew, withdrawal of, or termination of, the certification or registration or any reprimand or censure related to the certification or registration.

(19) Any additional information required by the Secretary.

(b) Instead of proceeding under subsection (a) of this section, an individual registered as an athlete agent in another state may apply for registration as an athlete agent in this State by submitting all of the following to the Secretary:

(1) An application for registration as an athlete agent under subsection (a) of this section.

(2) A copy of the record of registration from the other state.

(c) The Secretary shall grant a record of registration to an individual who applies for registration under subsection (b) of this section if the Secretary determines all of the following:

(1) The registration requirements of the other state are substantially similar to or more restrictive than this chapter.

(2) The registration has not been revoked or suspended and no action involving the individual's conduct as an athlete agent is pending

against the individual or the individual's registration in any state.

(d) For purposes of implementing subsection (c) of this section, the Secretary shall do all of the following:

(1) Cooperate with national organizations concerned with athlete agent issues and agencies in other states which register athlete agents to develop a common registration form and determine which states have laws that are substantially similar to or more restrictive than this chapter.

(2) Exchange information, including information related to actions taken against registered athlete agents or their registrations, with those organizations and agencies.

(73 Del. Laws, c. 144, § 1; 83 Del. Laws, c. 528, § 1.)

§ 5406 Record of registration; issuance or denial; renewal.

(a) Except as otherwise provided in subsection (b) of this section, the Secretary shall grant a record of registration to an applicant for registration who complies with § 5405(a) of this title.

(b) The Secretary may refuse to grant a record of registration to an applicant for registration under § 5405(a) of this title if the Secretary determines that the applicant has engaged in conduct that significantly adversely reflects on the applicant's fitness to act as an athlete agent. In making the determination, the Secretary may consider whether the applicant has done any of the following:

(1) Pleaded guilty or no contest to, has been convicted of, or has charges pending for, a crime that would be a felony if committed in this State.

(2) Made a materially false, misleading, deceptive, or fraudulent representation in the application or as an athlete agent.

(3) Engaged in conduct that would disqualify the applicant from serving in a fiduciary capacity.

(4) Engaged in conduct prohibited by § 5413 of this title.

(5) Had a registration as an athlete agent suspended, revoked, or denied in any state.

(6) Been refused renewal of registration as an athlete agent in any state.

(7) Engaged in conduct resulting in imposition of a sanction, suspension, or declaration of ineligibility to participate in an interscholastic, intercollegiate, or professional athletic event on a student athlete or a sanction on an educational institution.

(8) Engaged in conduct that adversely reflects on the applicant's credibility, honesty, or integrity.

(c) In making a determination under subsection (b) of this section, the Secretary shall consider all of the following:

(1) How recently the conduct occurred.

(2) The nature of the conduct and the context in which it occurred.

(3) Other relevant conduct of the applicant.

(d) An athlete agent registered under subsection (a) of this section may apply to renew the registration by submitting an application for renewal in a form prescribed by the Secretary. The applicant shall sign the application for renewal under penalty of perjury and include current information on all matters required in an original application for registration or verify there is no material change in the information.

(e) An athlete agent registered under § 5405(c) of this title may renew the registration by proceeding under subsection (d) of this section or, if the registration in the other state has been renewed, by submitting to the Secretary an application for renewal in a form prescribed by the Secretary and the renewed registration from the other state. The Secretary shall renew the registration if the Secretary determines all of the following:

(1) The registration requirements of the other state are substantially similar to or more restrictive than this chapter.

(2) The renewed registration has not been suspended or revoked and no action involving the individual's conduct as an athlete agent is pending against the individual or the individual's registration in any state.

(f) A record of registration or renewal of a record of registration under this chapter is valid for not more than 2 years. The biennial registration period begins July 1 of each odd-numbered year and ends June 30 of the next odd-numbered year.

(73 Del. Laws, c. 144, § 1; 74 Del. Laws, c. 262, § 103; 75 Del. Laws, c. 436, § 53; 83 Del. Laws, c. 528, § 1.)

§ 5407 Suspension, revocation, or refusal to renew registration.

(a) The Secretary may limit, suspend, revoke, or refuse to renew a registration of an individual registered under § 5406(a) of this title for conduct that would have justified refusal to grant a record of registration under § 5406(b) of this title.

(b) The Secretary may suspend or revoke a registration of an individual registered under § 5405(c) of this title or renewed under § 5406(e) of this title for any reason for which the Secretary could have refused to grant or renew registration or for conduct that would justify refusal to grant a record of registration under § 5406(b) of this title.

(73 Del. Laws, c. 144, § 1; 83 Del. Laws, c. 528, § 1.)

§ 5408 Registration and renewal fees.

An application for registration or renewal of registration as an athlete agent must be accompanied by a fee in an amount set by the Secretary that approximates and reasonably reflects all costs necessary to defray the Secretary's expenses related to the administration of this chapter.

(73 Del. Laws, c. 144, § 1; 74 Del. Laws, c. 262, § 103; 75 Del. Laws, c. 436, § 53; 83 Del. Laws, c. 528, § 1.)

§ 5409 Required form of agency contract.

(a) An agency contract must be in a record signed by the parties.

(b) An agency contract must contain all of the following:

(1) A statement that the athlete agent is registered as an athlete agent in this State and a list of any other states in which the athlete agent is registered as an athlete agent.

(2) The amount and method of calculating the consideration to be paid by the student athlete for services to be provided by the agent under the contract and any other consideration the agent has received or will receive from any other source for entering into the contract or providing the services.

(3) The name of any person not listed in the agent's application for registration or renewal of registration which will be compensated because the athlete signed the contract.

(4) A description of any expenses the athlete agrees to reimburse.

(5) A description of the services to be provided to the athlete.

(6) The duration of the contract.

(7) The date of execution.

(c) Subject to subsection (g) of this section, an agency contract must contain a conspicuous notice in boldface type and in substantially the following form:

WARNING TO STUDENT ATHLETE
IF YOU SIGN THIS CONTRACT:
(1) YOU MAY LOSE YOUR ELIGIBILITY TO COMPETE AS A STUDENT ATHLETE IN YOUR SPORT;
(2) IF YOU HAVE AN ATHLETIC DIRECTOR, WITHIN 72 HOURS AFTER SIGNING THIS CONTRACT OR BEFORE THE NEXT SCHEDULED ATHLETIC EVENT IN WHICH YOU PARTICIPATE, WHICHEVER OCCURS FIRST, BOTH YOU AND YOUR ATHLETE AGENT MUST NOTIFY YOUR ATHLETIC DIRECTOR THAT YOU HAVE ENTERED INTO THIS CONTRACT AND PROVIDE THE NAME AND CONTACT INFORMATION OF THE ATHLETE AGENT; AND
(3) YOU MAY CANCEL THIS CONTRACT WITHIN 14 DAYS AFTER SIGNING IT. CANCELLATION OF THIS CONTRACT MAY NOT REINSTATE YOUR ELIGIBILITY AS A STUDENT ATHLETE IN YOUR SPORT.

(d) An agency contract must be accompanied by a separate record signed by the student athlete or, if the athlete is a minor, the parent or guardian of the athlete acknowledging that signing the contract may result in the loss of the athlete's eligibility to participate in the athlete's sport.

(e) A student athlete or, if the athlete is a minor, the parent or guardian of the athlete may void an agency contract that does not conform to this section. If the contract is voided, any consideration received from the athlete agent under the contract to induce entering into the contract is not required to be returned.

(f) At the time an agency contract is executed, the athlete agent shall give the student athlete or, if the athlete is a minor, the parent or guardian of the athlete a copy in a record of the contract and the separate acknowledgement required by subsection (d) of this section.

(g) If a student athlete is a minor, an agency contract must be signed by the parent or guardian of the athlete and the notice required by subsection (c) of this section must be revised accordingly.

(h) The notice required under subsection (c) of this section and the record required under subsection (d) of this section must be in a language in which the student athlete is fluent or, if the athlete is a minor, a language in which the parent or guardian of the athlete is fluent.

(73 Del. Laws, c. 144, § 1; 78 Del. Laws, c. 376, § 4; 83 Del. Laws, c. 528, § 1.)

§ 5410 Notice to educational institution.

(a) For purposes of this section, "communicating or attempting to communicate" means contacting or attempting to contact by an in-person meeting, a record, or any other method that conveys or attempts to convey a message.

(b) Not later than 72 hours after entering into an agency contract or before the next scheduled athletic event in which the student athlete may participate, whichever occurs first, the athlete agent shall give notice in a record of the existence of the contract to the athletic director of the educational institution at which the athlete is enrolled or at which the agent has reasonable grounds to believe the athlete intends to enroll.

(c) Not later than 72 hours after entering into an agency contract or before the next scheduled athletic event in which the student athlete may participate, whichever occurs first, the athlete shall inform the athletic director of the educational institution at which the athlete is enrolled that the athlete has entered into an agency contract and the name and contact information of the athlete agent.

(d) If an athlete agent enters into an agency contract with a student athlete and the athlete subsequently enrolls at an educational institution, the agent shall notify the athletic director of the institution of the existence of the contract not later than 72 hours after the agent knew or should have known the athlete enrolled.

(e) If an athlete agent has a relationship with a student athlete before the athlete enrolls in an educational institution and receives an athletic scholarship from the institution, the agent shall notify the institution of the relationship not later than 10 days after the enrollment if the agent knows or should have known of the enrollment and either of the following apply:

(1) The relationship was motivated in whole or part by the intention of the agent to recruit or solicit the athlete to enter an agency contract in the future.

(2) The agent directly or indirectly recruited or solicited the athlete to enter an agency contract before the enrollment.

(f) An athlete agent shall give notice in a record to the athletic director of any educational institution at which a student athlete is enrolled before the agent communicates or attempts to communicate with any of the following:

(1) The athlete or, if the athlete is a minor, a parent or guardian of the athlete to influence the athlete or parent or guardian to enter into an agency contract.

(2) Another individual to have that individual influence the athlete or, if the athlete is a minor, the parent or guardian of the athlete to enter into an agency contract.

(g) If a communication or attempt to communicate with an athlete agent is initiated by a student athlete or another individual on behalf of the athlete, the agent shall notify in a record the athletic director of any educational institution at which the athlete is enrolled. The notification must be made not later than 10 days after the communication or attempt.

(h) An educational institution that becomes aware of a violation of this chapter by an athlete agent shall notify all of the following of the violation:

(1) The Secretary.

(2) Any professional league or players association with which the institution is aware the agent is licensed or registered.

(73 Del. Laws, c. 144, § 1; 70 Del. Laws, c. 186, § 1; 83 Del. Laws, c. 528, § 1.)

§ 5411 Student athlete's right to cancel.

(a) A student athlete or, if the athlete is a minor, the parent or guardian of the athlete may cancel an agency contract by giving notice in a record of cancellation to the athlete agent not later than 14 days after the contract is signed.

(b) A student athlete or, if the athlete is a minor, the parent or guardian of the athlete may not waive the right to cancel an agency contract.

(c) If a student athlete, parent, or guardian cancels an agency contract, the athlete, parent, or guardian is not required to pay any consideration under the contract or return any consideration received from the athlete agent to influence the athlete to enter into the contract.

(73 Del. Laws, c. 144, § 1; 83 Del. Laws, c. 528, § 1.)

§ 5412 Required records.

(a) An athlete agent shall create and retain for 5 years records of all of the following:

(1) The name and address of each student athlete represented by the agent.

(2) Each agency contract entered into by the agent.

(3) The direct costs incurred by the agent in the recruitment or solicitation of each student athlete to enter into an agency contract.

(b) Records described in subsection (a) of this section are open to inspection by the Secretary during normal business hours.

(73 Del. Laws, c. 144, § 1; 78 Del. Laws, c. 376, § 5; 83 Del. Laws, c. 528, § 1.)

§ 5413 Prohibited conduct.

An athlete agent may not intentionally do any of the following:

(1) Give a student athlete or, if the athlete is a minor, a parent or guardian of the athlete materially false or misleading information or make a materially false promise or representation with the intent to influence the athlete, parent, or guardian to enter into an agency contract.

(2) Furnish anything of value to a student athlete or another individual, if to do so may result in loss of the athlete's eligibility to participate in the athlete's sport, unless all of the following applies:

a. The agent notifies the athletic director of the educational institution at which the athlete is enrolled or at which the agent has reasonable grounds to believe the athlete intends to enroll, not later than 72 hours after giving the thing of value.

b. The athlete or, if the athlete is a minor, a parent or guardian of the athlete acknowledges to the agent in a separate record that receipt of the thing of value may result in loss of the athlete's eligibility to participate in the athlete's sport.

(3) Initiate contact, directly or indirectly, with a student athlete or, if the athlete is a minor, a parent or guardian of the athlete, to recruit or solicit the athlete, parent, or guardian to enter an agency contract unless registered under this chapter.

(4) Fail to create, retain, or permit inspection of the records required by § 5412 of this title.

(5) Fail to register when required by § 5404 of this title.

(6) Provide materially false or misleading information in an application for registration or renewal of registration.

(7) Predate or postdate an agency contract.

(8) Fail to notify a student athlete or, if the athlete is a minor, a parent or guardian of the athlete, before the athlete, parent, or guardian signs an agency contract for a particular sport that the signing may result in loss of the athlete's eligibility to participate in the athlete's sport.

(9) Encourage another individual to do any of the acts described in paragraphs (1) through (8) of this section on behalf of the agent.

(10) Encourage another individual to assist any other individual in doing any of the acts described in paragraphs (1) through (8) of

this section on behalf of the agent.

(73 Del. Laws, c. 144, § 1; 78 Del. Laws, c. 376, § 6; 83 Del. Laws, c. 528, § 1.)

§ 5414 Criminal penalty.

(a) An athlete agent who violates § 5413 of this title is guilty of a class A misdemeanor. A first conviction of a violation of § 5413 of this title is punishable by a fine of not less than $500 nor more than $1,000 and imprisonment for not more than 1 year. A second or subsequent conviction of a violation of § 5413 of this title is punishable by a fine of not less than $1,000 nor more than $2,000 and imprisonment for not more than 1 year.

(b) The Superior Court has jurisdiction over a criminal violation of § 5413 of this title.

(73 Del. Laws, c. 144, § 1; 83 Del. Laws, c. 528, § 1.)

§ 5415 Civil remedy.

(a) An educational institution or student athlete may bring an action for damages against an athlete agent if the institution or athlete is adversely affected by an act or omission of the agent in violation of this chapter. An educational institution or student athlete is adversely affected by an act or omission of the agent only if, because of the act or omission, the institution or an individual who was a student athlete at the time of the act or omission and enrolled in the institution meets one or more of the following:

(1) Is suspended or disqualified from participation in an interscholastic or intercollegiate sports event by or under the rules of a state or national federation or association that promotes or regulates interscholastic or intercollegiate sports.

(2) Suffers financial damage.

(b) A plaintiff that prevails in an action under this section may recover damages, costs, and reasonable attorneys' fees. An athlete agent found liable under this section forfeits any right of payment for anything of benefit or value provided to the student athlete and shall refund any consideration paid to the agent by or on behalf of the athlete.

(c) A violation of this chapter is an unfair or deceptive merchandising practice for purposes of subchapter II of Chapter 25 of Title 6.

(73 Del. Laws, c. 144, § 1; 83 Del. Laws, c. 528, § 1.)

§ 5416 Civil penalty.

The Secretary may assess a civil penalty against an athlete agent not to exceed $50,000 for a violation of this chapter.

(73 Del. Laws, c. 144, § 1; 83 Del. Laws, c. 528, § 1.)

§ 5417 Uniformity of application and construction.

In applying and construing this chapter, consideration must be given to the need to promote uniformity of the law with respect to its subject matter among states that enact laws similar to this chapter.

(73 Del. Laws, c. 144, § 1; 83 Del. Laws, c. 528, § 1.)

§ 5418 Relation to the Electronic Signatures in Global and National Commerce Act.

This chapter modifies, limits, or supersedes the Electronic Signatures in Global and National Commerce Act, 15 U.S.C. § 7001 et seq., but does not modify, limit, or supersede § 101(c) of that act, 15 U.S.C. § 7001(c), or authorize electronic delivery of any of the notices described in § 103(b) of that act, 15 U.S.C. § 7003(b).

(73 Del. Laws, c. 144, § 1; 83 Del. Laws, c. 528, § 1.)

Chapter 55
BAIL ENFORCEMENT AGENTS

§ 5501 Purpose.

The Delaware General Assembly declares that it is in the best interest of the citizens of Delaware to require licensure of bail enforcement agents and their agents and employees to prevent unqualified individuals from endangering the public. Therefore, the purpose of this chapter is to promote, preserve and protect the public health, safety and welfare by regulating fugitive recovery. This chapter shall be liberally construed to accomplish this purpose.

(73 Del. Laws, c. 194, § 1.)

§ 5502 Definitions.

(a) "Bail bondsmen" shall mean the person or persons licensed by the Department of Insurance as a bail bonding agent.

(b) "Bail bondsmen association" shall mean any organization or entity that represents the bail bondsmen in the State.

(c) "Bail enforcement agent" ("BEA") as used within this chapter, shall mean any person or cooperative of persons, resident or nonresident, whose services or actions are performed for the purpose of capturing a fugitive, and including, but not limited to, any person who engages in the apprehension and return of persons who are released on bail and who have failed to appear at any stage of the proceedings to answer the charge before any state or federal court.

(d) "Bail enforcement agent association" shall mean any organization or entity that represents the BEA's in the State.

(e) "Bail Enforcement Board" ("Board") means the Delaware Board of Examiners of Bail Enforcement Agents.

(f) "Director" means the officer in charge of the Professional Licensing Section of the Division of State Police.

(73 Del. Laws, c. 194, § 1; 70 Del. Laws, c. 186, § 1; 6 Del. Laws, c. 398, § 2; 77 Del. Laws, c. 457, § 4.)

§ 5503 Board of Examiners of Bail Enforcement Agents.

(a) *Creation of the Board.* — The Delaware Board of Examiners of Bail Enforcement Agents is created for the protection of the general public and to carry out the functions and duties conferred on it by this chapter.

(b) All legal process and all documents required by law to be serviced or filed with the Board shall be served or filed with the Chairperson at the designated office herein also referred to as the Professional Licensing Section, Division of State Police. All official records of the Board or affidavits by the Chairperson as to the content of such records shall be prima facie evidence of all matters required to be kept by the Board.

(c) The Board will adhere to the Administrative Procedures Act (Chapter 101 of Title 29).

(d) The Board shall:

(1) Investigate alleged violations of the provision of this chapter and of any rules and regulations adopted by the Board;

(2) Promulgate all rules and regulations necessary in carrying out the provisions of this chapter; and

(3) Establish and enforce standards governing the safety and conduct of persons licensed under this chapter.

(77 Del. Laws, c. 457, § 4.)

§ 5504 Board membership and authority.

(a) The Board shall be composed of 9 members who shall be citizens of the State and shall be appointed by the Secretary of Safety and Homeland Security:

(1) The Superintendent of the Division of State Police or a designated representative, who shall be appointed by the Secretary of Safety and Homeland Security as Chairperson of the Board. The Chairperson shall serve on the Board at the pleasure of the Secretary.

(2) A representative of the Delaware Police Chiefs Council;

(3) The Insurance Commissioner or a designated representative;

(4) Two public members, 1 of whom is an attorney admitted to the Delaware Bar;

(5) Two members who have been engaged as bail enforcement agents for a period of 5 consecutive years.

(6) Two members who have been engaged as bail bondsmen for a period of 5 consecutive years.

(b) The public members appointed to the Board shall serve 2-year terms and the professional members shall serve 3-year terms. Members shall retain their appointment until such time as their successors are appointed or they are reappointed.

(c) A member may proxy no more than twice in a calendar year, and must provide the individual's name in advance of the meeting to the Chairperson. A proxy, under this subsection, shall have the same authority as the Board member. There must be a quorum of the Board in order to transact business. A simple majority of the total number of Board members must be present to constitute a quorum. Actions by the Board shall be by a majority of those present at Board meetings where a quorum has been established. All voting shall be done in person and at regular or special meetings of the Board.

(d) The Board shall meet quarterly or at such times to be decided by the majority of the Board. A majority of the Board constitutes a quorum to transact business.

(e) The Board shall, upon approval by the Secretary of Safety and Homeland Security, promulgate rules and regulations necessary in carrying out the provisions of this chapter, and shall establish such other general qualifications for licensure as the Board deems necessary.

The Board shall also have the power to suspend, revoke or place on probation any person required to be licensed under this chapter who violates any provisions of this chapter and/or who violates any rules and/or regulations promulgated by the Board. The Board may suspend, revoke, place on probation, fine any applicant who: has committed any act which could result in a felony conviction, or has committed any act that could result in a misdemeanor conviction which involves moral turpitude or a drug offense; or has practiced fraud, deceit or misrepresentation; or has made a material misstatement in any application or renewal for a license.

(f) It is grounds for removal from the Board if a member:

(1) Does not maintain, during the service on the Board, the qualifications required by paragraphs (a)(5) and (6) of this section;

(2) Does not attend at least 1/2 of the regularly scheduled meetings, held by the Board, in a calendar year, excluding meetings held when the person was not a member of the Board; or

(3) Is unable to discharge the members' duties for a substantial part of the term of which the member was appointed because of illness or disability.

(g) If the Director has knowledge that a potential ground for removal exists, the Director shall notify the Chairperson of the Board of the ground.

(h) The validity of an action of the Board is not affected by the fact that it was taken when a ground for removal of a member of the Board existed.

(77 Del. Laws, c. 457, § 4; 70 Del. Laws, c. 186, § 1.)

§ 5505 Emergency suspension.

(a) The Director shall be granted the power to impose an emergency suspension on any person licensed under this chapter if, in the opinion of the Director, that failure to take such action could jeopardize the public's safety and welfare.

(b) Any person whose license is suspended by the Director, under subsection (a) of this section shall be granted a full hearing, by the Board, within 10 days from the date that the request for a hearing is received by the Director, provided that the violating party request such a hearing, in writing, to the Director within 5 days of the suspension. With the consent of the person requesting a hearing, the hearing may be schedule at the next quarterly meeting of the Board.

(77 Del. Laws, c. 457, § 4.)

§ 5506 Prohibited conduct.

No person, other than a "law-enforcement officer" as defined by § 222 of Title 11 or an employee of any state court acting at the direction of any judge, commissioner or master of any state court, shall apprehend or detain a suspected fugitive on behalf of another person, including a principal on a bond, who has been released on bail as required by the terms of a bond or the bond has been revoked by court order, unless that person is licensed by the provisions of this chapter and its rules and regulations.

(73 Del. Laws, c. 194, § 1; 74 Del. Laws, c. 110, § 138; 77 Del. Laws, c. 457, § 4.)

§ 5507 Licensing.

(a) The promulgated rules and regulations shall implement the provisions of this chapter regarding the licensure and registration of bail enforcement agents, which may include the term of a license or registration and the qualifications of a licensee, and may charge a fee not to exceed $500 for each application for licensure and each renewal of an existing license.

(b) The Board shall determine all licensing fees to be assessed under this chapter, including application and renewal fees not to exceed the maximum fee permitted in subsection (a) of this section, except as otherwise set forth in this chapter. All fees and fines collected shall be deposited into the Bail Enforcement Regulatory Fund, which Fund shall be a revolving fund and moneys into the Fund shall not revert to the State General Fund. The funds shall be used to defray all expenses incurred in its administration of this chapter, including, but not limited to, background investigations, criminal history investigations and fingerprinting of an applicant and any investigation of any charge made against a licensee.

(c) No person shall be issued a license pursuant to this chapter unless that person submits their name, Social Security number, age, race, sex, date of birth, height, weight, hair and eye color, address of legal residence and such other information as may be necessary to obtain a report of the applicant's entire criminal history record from the State Bureau of Identification and a report of the applicants entire federal criminal history record pursuant to the Federal Bureau of Investigation appropriation of Title II of Public Law 92-544.

(d) An applicant, to be licensed under this chapter as a bail enforcement agent, must meet and maintain the following requirements:

(1) Must be at least 21 years of age;

(2) Must not have been convicted of any felony;

(3) Must not have been convicted of any misdemeanor involving moral turpitude or any charge or been involved in any conduct that may impair the performance of the bail enforcement agent and endanger public safety as determined by the Professional Licensing Section;

(4) Must not have been convicted of any misdemeanor involving the act of theft within the last 7 years;

(5) Must not have been convicted of any misdemeanor involving drug offenses within the last 7 years;

(6) Must not have been, as a juvenile, adjudicated as delinquent for conduct which, if committed by an adult, would constitute a felony, unless and until that person has reached their twenty-fifth birthday;

(7) Must not have been convicted, within the last 7 years, of any 2 of the following misdemeanors: offensive touching or assault III;

(8) Must not have been convicted of any offense involving the impersonation of a police officer or a person of trust as defined in Title 11;

(9) Must not have been convicted of any criminal offense involving organized gang activity as defined in Title 11;

(10) If served in the armed forces, must not have received a dishonorable discharge;

(11) Must not be a member or employee of any law-enforcement organization, as defined by the Police Officer Standards and Training Commission, or a member or employee of a law-enforcement organization of any other state or federal jurisdiction;

(12) Must meet and maintain the qualifications set and approved by the Board pursuant to this chapter and the rules and regulations as promulgated by the Board and approved by the Secretary of Safety and Homeland Security.

(e) Any person whose license has been suspended, revoked, denied or has been imposed a civil penalty, pursuant to § 5515 of this title, is entitled to a hearing before the Board.

(f) The Board may conduct a criminal history background check pursuant to the procedures set forth in Chapter 85 of Title 11 for the purposes of licensing any individual pursuant to this chapter.

(g) An applicant seeking renewal of their license, under this chapter as a bail enforcement agent, must meet and maintain the requirements pursuant to subsection (d) of this section.

(73 Del. Laws, c. 194, § 1; 74 Del. Laws, c. 110, § 138; 76 Del. Laws, c. 398, § 3; 77 Del. Laws, c. 457, § 4; 84 Del. Laws, c. 149, § 1.)

§ 5508 Change of address.

Notification shall be made to the Professional Licensing Section within 14 days after the change of any contact information, including but not limited to, address, phone number (home and cell), and E-mail address of any individual licensed under this chapter. Failure to do so may result in the suspension or revocation of a license.

(77 Del. Laws, c. 457, § 4.)

§ 5509 Identification card, license, and badge.

(a) Anyone required to be licensed under this chapter shall be issued, by the Professional Licensing Section, an identification card, license, and badge which shall expire and be renewable on the fourth anniversary date of the birth of the applicant next following the date of its issuance, unless the birth date is February 29, in which event the license shall expire and be renewable on February 28 every fourth year.

(b) BEA licenses issued prior to July 1, 2010, shall continue in force until the expiration thereof, unless there has been a violation of § 5507 of this title.

(77 Del. Laws, c. 457, § 4.)

§ 5510 Possession of identification card and badge.

Any person who has been issued an identification card and badge by the Professional Licensing Section shall be required to have such card and badge in their possession while in the performance of the person’s duties.

(77 Del. Laws, c. 457, § 4.)

§ 5511 Notification of arrest.

Anyone licensed under this chapter shall, excluding weekends and state holidays, notify the Director within 5 days of any arrest which could result in a misdemeanor or felony conviction. Failure to do so may result in the suspension or revocation of a license.

(77 Del. Laws, c. 457, § 4.)

§ 5512 Surrender of expired, revoked or suspended identification cards, licenses and badges; penalty.

Any person to whom an identification card, license and badge may be issued in accordance with this chapter shall surrender such items and all duplicate copies thereof, which have expired or have been revoked or suspended to the Professional Licensing Section of the Delaware State Police.

(77 Del. Laws, c. 457, § 4.)

§ 5513 Jurisdiction.

The Superior Court shall have jurisdiction over violations under this chapter.

(77 Del. Laws, c. 457, § 4.)

§ 5514 Violation of chapter as ground for revocation of identification card, license and badge.

A violation of this chapter shall be cause for revocation of any identification card, license and badge issued thereunder, notwithstanding that the same violation may constitute a misdemeanor or felony.

(77 Del. Laws, c. 457, § 4.)

§ 5515 Penalties.

(a) The Board shall have the power to impose a civil penalty upon any person required to be licensed under this chapter up to $200, per day, for operating without a valid license.

(b) Anyone performing the duties of a BEA pursuant to § 5502 of this title, who is not duly licensed under this chapter shall be guilty of

a class F felony.

(73 Del. Laws, c. 194, § 1; 77 Del. Laws, c. 457, § 4.)

Chapter 56
Constables

§ 5601 Objectives.

The primary objective of this chapter is to regulate the commission of constables employed with various public and private entities within the State and to ensure that proficiency standards for commissioned constables are maintained. In meeting its objectives, the Constable Board of Examiners shall develop standards assuring professional competence, shall conduct and adjudicate at formal hearings, shall promulgate rules and regulations, and shall impose sanctions where necessary and appropriate. The Board shall comply with the Administrative Procedures Act under Chapter 101 of Title 29.

(Code 1852, §§ 618-621; 11 Del. Laws, c. 194; 11 Del. Laws, c. 215; 11 Del. Laws, c. 365; 11 Del. Laws, c. 405; 11 Del. Laws, c. 591; 12 Del. Laws, c. 29; 12 Del. Laws, c. 42; 13 Del. Laws, c. 404; 14 Del. Laws, c. 26, § 1; 15 Del. Laws, c. 25; 16 Del. Laws, c. 333; 17 Del. Laws, c. 40, § 1; 17 Del. Laws, c. 41; 18 Del. Laws, c. 478, § 1; 20 Del. Laws, c. 404, § 1; 21 Del. Laws, c. 22, § 10; 21 Del. Laws, c. 205, §§ 1, 2; 22 Del. Laws, c. 54, § 10; 22 Del. Laws, c. 295, § 1; 24 Del. Laws, c. 95, § 1; Code 1915, §§ 1397-1400, 1400A, 1400B; 29 Del. Laws, c. 83, § 1; 29 Del. Laws, c. 84, § 1; 30 Del. Laws, c. 88, § 1; 32 Del. Laws, c. 81, § 1; 33 Del. Laws, c. 89, § 1; 36 Del. Laws, c. 124, § 1; 40 Del. Laws, c. 138, § 1; Code 1935, §§ 1565-1567; 10 Del. C. 1953, §§ 2701-2705; 49 Del. Laws, c. 233; 49 Del. Laws, c. 239; 53 Del. Laws, c. 295; 54 Del. Laws, c. 15, §§ 1, 2; 54 Del. Laws, c. 36; 55 Del. Laws, c. 85, § 32Q; 64 Del. Laws, c. 72, § 1; 65 Del. Laws, c. 433, § 1; 67 Del. Laws, c. 351, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 322, § 6[5]; 83 Del. Laws, c. 454, § 2.)

§ 5602 Definitions.

As used in this chapter:

(1) “Board” means the Constable Board of Examiners.

(2) “Commission” means authority issued from the Board empowering a person or persons named in an application to exercise jurisdiction, perform the duties, and exercise the office of a constable within this State.

(3) “Employer” means an individual, person, nonprofit organization, the State, county, or a municipality.

(4) “Firearm” means as defined in § 222 of Title 11.

(5) “Full service police agency” mean a police force or police agency of the State, county or municipality, that employs sworn police officers as defined in § 8401 of Title 11, and is responsible for the prevention and detection of crime and the enforcement of the laws of this State or other governmental units within this State.

(6) “Projectile weapon” means as defined in § 222 of Title 11.

(7) “Section” means the Professional Licensing Section of the Delaware State Police.

(8) “Superintendent” means the Superintendent of the Delaware State Police.

(83 Del. Laws, c. 454, § 2; 84 Del. Laws, c. 525, § 48.)

§ 5603 Constable Board of Examiners.

(a) There is created a Constable Board of Examiners which shall administer and enforce this chapter.

(b) The Board consists of 7 members:

(1) The Superintendent of the Delaware State Police or the Superintendent’s designee.

(2) The Director of Public Safety of the New Castle County Police or the Director’s designee.

(3) The Attorney General or the Attorney General’s designee.

(4) A representative from the Delaware Police Chiefs Council, or the representative’s designee.

(5) Three commissioned constables, 1 of which shall be employed by a higher education institution and 1 of which shall be employed by a healthcare institution. All 3 commissioned constables shall be appointed by the Governor.

(c) The Superintendent of the Delaware State Police, or the Superintendent’s designee, shall serve as the Chair of the Board.

(d) The term of each member, excluding the Chair, whose term is indefinite, will be for 3 years.

(e) The Board must hold a minimum of 1 regularly-scheduled meeting, each calendar year, and such other meetings as the Superintendent deems necessary or as requested by a majority of board members.

(f) A board member may be suspended or removed by a majority of the full Board for misfeasance, nonfeasance, or malfeasance. A board member may appeal any suspension or removal to the Superior Court.

(g) Actions of the Board may be taken by majority vote of those present at board meetings where a quorum has been established. A quorum is a majority of the Board. All voting shall be done in person at regular or special meetings of the Board.

(65 Del. Laws, c. 433, § 1; 67 Del. Laws, c. 351, § 2; 70 Del. Laws, c. 186, § 1; 83 Del. Laws, c. 454, § 2; 84 Del. Laws, c. 42, § 1.)

§ 5604 Duties and responsibilities of the Board.

The Board has the authority to:

(1) Promulgate, adopt and, from time to time, revise such rules, regulations, and standards not inconsistent with the law as may be necessary to enforce the provisions of this chapter.

(2) Review applications and grant commissions for constables upon review of an applicant’s background, criminal history, work

experience, and any other information the Board deems necessary.

(3) Conduct such further inquiry and investigation as the Board deems appropriate in order to satisfy itself of the good character, competency, and integrity of the applicants.

(4) Appoint and commission those applicants who have, as determined by the Board, satisfied the requirements of this chapter and its rules and regulations, and who are otherwise qualified to serve as constables.

(5) Establish minimum qualifications for applicants by rule or regulation, and yearly continuing legal and in-service training requirements for commissioned constables.

(6) Deny an applicant a commission, or suspend or revoke the commission of a constable, where the applicant or constable fails to meet required standards or violates this chapter, or violates a rule or regulation promulgated under this chapter.

(7) Promulgate rules and regulations for commissioned constables to carry and use, while on duty, batons, night sticks, chemical spray, conducted electrical weapons, canines, firearms, and projectile weapons.

(8) Establish standards and requirements for firearms training and training in the use of force, including the use of deadly force, for constables applying to the Board to carry firearms or projectile weapons while on duty. Constables who fail to meet the standards for carrying a firearm or projectile weapon or fail to successfully complete firearms or projectile weapons training are prohibited from carrying a firearm or projectile weapon while on duty.

(9) Grant or deny renewal applications. The Board has the authority to deny renewal of a constable's commission where the constable fails to meet the required standards of this chapter or violates a provision of this chapter, or any rule or regulation promulgated under this chapter.

(10) Conduct hearings for denial, suspension or revocation of a commission.

(11) Issue subpoenas to compel the attendance of witnesses, order discovery for the production of documents, and administer oaths to persons testifying at hearings.

(12) Establish reasonable application and renewal fees that equate with all costs necessary to defray the expenses of the Board.

(65 Del. Laws, c. 433, § 1; 67 Del. Laws, c. 351, § 2; 70 Del. Laws, c. 186, § 1; 83 Del. Laws, c. 454, § 2; 84 Del. Laws, c. 525, § 49.)

§ 5605 Duties of the Professional Licensing Section.

(a) The Professional Licensing Section shall do the following:

(1) Keep a register of all constable commission applications, including approvals and denials thereof, and of all renewal applications.

(2) Be responsible for recording and maintaining the minutes of all board meetings.

(3) Keep a complete record relating to board meetings, including but not limited to, rosters, amendments to the Board's rules and regulations, investigations, fees, hearings, and such other matters as the Board determines.

(b) The Professional Licensing Section may suspend, revoke, or issue an emergency suspension, of a commissioned constable who has been arrested, when the arrest may result in a felony or misdemeanor conviction, or where the commissioned constable has violated this chapter or any rule or regulation promulgated under this chapter.

(83 Del. Laws, c. 454, § 2.)

§ 5606 Commissions.

(a) All applicants must provide the Board with proof of employment with an employer and the required documentation, from the employer, as required under § 5607 of this title, prior to being commissioned or recommissioned as a constable.

(1) All commissioned constables must notify the Board immediately if their employment with the employer at the time of their original application or their renewal application is terminated.

(2) All employers that employ commissioned constables must notify the Board immediately if a constable in their employ is terminated.

(b) The Board shall have the authority to deny a commission for any constable whose employer fails to show that the proposed commission will be in aid and relief of full service police agencies and is necessary to protect life and property in circumstances where full service police agencies are unable to assist.

(c) No current sworn or civilian personnel employed by a Delaware law-enforcement organization, as defined by the Police Officer Standards and Training Commission, or current sworn or civilian member of a law-enforcement organization in any other state or federal jurisdiction shall be commissioned as a constable.

(d) No sheriff or deputy sheriff shall be commissioned as a constable for the benefit of the sheriff's office or the government of a county unless a request has been made by resolution of the appropriate county council or Levy Court.

(e) All applicants shall provide the State Bureau of Identification with their names, weight, hair color, eye color, current address, legal residence, and any other information necessary for the Bureau to obtain a report of an applicant's entire state criminal history and entire federal criminal history pursuant to the Federal Bureau of Investigation appropriation of Title II of U.S. Public Law 92-544.

(f) Upon appointment as a constable, the Professional Licensing Section shall issue commissioned constables a commission certificate and identification card. No commission certificate or identification card issued pursuant to this chapter shall be transferable.

(g) The term of office for all constables shall be 2 years from the date the constable was commissioned.

(h) Upon expiration of a constable's term of office, the constable may request that the Board renew their commission. Approval for

renewal shall be within the discretion of the Board.

(Code 1852, §§ 618-621; 11 Del. Laws, c. 194; 11 Del. Laws, c. 215; 11 Del. Laws, c. 365; 11 Del. Laws, c. 405; 11 Del. Laws, c. 591; 12 Del. Laws, c. 29; 12 Del. Laws, c. 42; 13 Del. Laws, c. 404; 14 Del. Laws, c. 26, § 1; 15 Del. Laws, c. 25; 16 Del. Laws, c. 333; 17 Del. Laws, c. 40, § 1; 17 Del. Laws, c. 41; 18 Del. Laws, c. 478, § 1; 20 Del. Laws, c. 404, § 1; 21 Del. Laws, c. 22, § 10; 21 Del. Laws, c. 205, §§ 1, 2; 22 Del. Laws, c. 54, § 10; 22 Del. Laws, c. 295, § 1; 24 Del. Laws, c. 95, § 1; Code 1915, §§ 1397-1400, 1400A, 1400B; 29 Del. Laws, c. 83, § 1; 29 Del. Laws, c. 84, § 1; 30 Del. Laws, c. 88, § 1; 32 Del. Laws, c. 81, § 1; 33 Del. Laws, c. 89, § 1; 36 Del. Laws, c. 124, § 1; 40 Del. Laws, c. 138, § 1; Code 1935, §§ 1565-1567; 10 Del. C. 1953, §§ 2701-2705; 49 Del. Laws, c. 233; 49 Del. Laws, c. 239; 53 Del. Laws, c. 295; 54 Del. Laws, c. 15, §§ 1, 2; 54 Del. Laws, c. 36; 55 Del. Laws, c. 85, § 32Q; 64 Del. Laws, c. 72, § 1; 65 Del. Laws, c. 433, § 1; 67 Del. Laws, c. 351, § 1; 70 Del. Laws, c. 186, § 1; 75 Del. Laws, c. 322, § 6[5]; 83 Del. Laws, c. 454, § 2; 84 Del. Laws, c. 149, § 15.)

§ 5607 Qualifications and training.

(a) All applicants and commissioned constables must meet all requirements of this chapter and all rules and regulations promulgated by the Board.

(b) Anyone who wishes to be commissioned under this chapter, must meet and maintain the following requirements:

(1) Must be a minimum of 21 years old.

(2) Must not have been convicted of a felony.

(3) Must not have been convicted of any misdemeanor within the last 7 years prior to the date of application, which involves a theft-related offense, drug offense, or offense involving moral turpitude, or any misdemeanor that, in the discretion of the Board, bears such a relationship to the performance of a commissioned constable as to disqualify the individual from receiving a commission; and,

a. The individual has had no more than 2 misdemeanor convictions during the individual's lifetime; and

b. The misdemeanor convictions did not occur during the individual's employment as a constable regulated under this chapter.

(4) Must not have been adjudicated delinquent for conduct as a juvenile, which if committed as adult would have constituted a felony.

(5) Must not have been dishonorably discharged if the individual is a veteran of any branch of the armed forces.

(6) May not be a current sworn or civilian employee of any Delaware law-enforcement organization, as defined by the Police Officer Standards and Training Commission, or current sworn or civilian employee of a law-enforcement organization in any other state or federal jurisdiction.

(7) Must be fingerprinted and provide the required identification information and personal description to the State Bureau of Investigation for purposes of the Bureau obtaining a complete state and federal criminal background report.

(8) Must provide written documentation, at the time of original application or renewal, from an employer, stating that the applicant is employed or has been offered employment with the employer, and the employer requires a commissioned constable to protect life and property in circumstances where public law enforcement can not assist.

(c) Commissioned constables shall receive such additional training or in-service education as required by the Board.

(65 Del. Laws, c. 433, § 1; 67 Del. Laws, c. 351, §§ 3, 4; 70 Del. Laws, c. 186, § 1; 74 Del. Laws, c. 372, § 1; 77 Del. Laws, c. 139, § 1; 78 Del. Laws, c. 266, § 23; 83 Del. Laws, c. 454, § 2; 84 Del. Laws, c. 149, § 9; 84 Del. Laws, c. 42, § 1.)

§ 5608 Oath.

Upon appointment, the Board shall issue to each constable a commission and the constable so appointed shall, before acting or performing duties as a constable, take and subscribe, before any officer authorized by the laws of this State to administer oaths, the oath or affirmation prescribed by § 1 of Article XIV of the Constitution of Delaware. The oath or affirmation shall not be recorded at the recorder of deeds.

(65 Del. Laws, c. 433, § 1; 67 Del. Laws, c. 351, § 7; 83 Del. Laws, c. 454, § 2.)

§ 5609 Powers and duties of constables.

A commissioned constable shall:

(1) Work within the lawful duties of such commissioned constable's employment to protect life and property, and preserve peace and good order.

(2) Exercise the same powers as peace officers and law-enforcement officers, including the power to direct, control, or regulate vehicle and pedestrian traffic, in order to protect life and property, while in the performance of the lawful duties of employment.

(3) Execute all lawful orders, warrants and other processes directed to the constable by any court or judge of this State.

(4) Notify the full service police agency, having primary jurisdiction over the location under a constable's scope of employment, upon the constable's exercise of lawful authority to make an arrest in the performance of the constable's duties. It shall be the responsibility of the full service police agency to undertake any necessary investigation and to comply with the reporting demands of the State Bureau of Identification.

(Code 1852, § 630; 12 Del. Laws, c. 86; 15 Del. Laws, c. 443; Code 1915, §§ 1398, 1412; 33 Del. Laws, c. 89, § 3; Code 1935, §§ 1565, 1579; 10 Del. C. 1953, §§ 2723, 2724; 65 Del. Laws, c. 433, § 1; 67 Del. Laws, c. 351, § 6; 70 Del. Laws, c. 186, § 1; 83 Del. Laws, c. 454, § 2; 84 Del. Laws, c. 42, § 1; 85 Del. Laws, c. 9, § 2.)

§ 5610 Badges.

A person who holds the office of constable shall have and display on proper demand a badge, approved by the Board, with the word "constable" engraved thereon. A constable may have and display such badge only while on duty and while in the performance of the lawful

duties of employment. The name of the person, firm, corporation, civic association, or governmental entity employing the constable shall be plainly inscribed on such badge. Only state agencies may use the State Seal on any constable uniform, equipment, or badge.

(22 Del. Laws, c. 78, §§ 1, 2; Code 1915, § 1417; Code 1935, § 1583; 10 Del. C. 1953, § 2727; 65 Del. Laws, c. 433, § 1; 70 Del. Laws, c. 186, § 1; 83 Del. Laws, c. 454, § 2.)

§ 5611 Jurisdiction.

Except as otherwise provided by law or limited by the Board the jurisdiction of each constable, commissioned under this chapter, shall extend throughout the State.

(Code 1852, § 630; 12 Del. Laws, c. 86; Code 1915, §§ 1398A, 1412; 33 Del. Laws, c. 89, § 3; 35 Del. Laws, c. 78, § 1; Code 1935, §§ 1565, 1579; 10 Del. C. 1953, §§ 2721, 2722; 55 Del. Laws, c. 85, § 32Q; 65 Del. Laws, c. 433, § 1; 83 Del. Laws, c. 454, § 2.)

§ 5612 Disciplinary proceedings; appeal.

(a) Subject to the provisions of this chapter and the rules and regulations promulgated by the Board, the Board may impose any of the following sanctions set forth in subsection (b) of this section if a finding has been made by the Board that a constable has engaged, or is engaging, in any of the following activities:

(1) Working as a constable without a commission.

(2) Failing to maintain training and in-service requirements.

(3) Has a felony or prohibited misdemeanor arrest or conviction under § 5607 of this title.

(4) Failing to notify the Board of termination of employment.

(5) Working outside the lawful duties of employment as a constable.

(6) Submitting false or fraudulent information on any application for commission.

(7) Failing to surrender a revoked identification card or badge.

(8) Failing to carry or display a board-approved constable badge during the scope of employment.

(9) Violating any provision of this chapter or any rule or regulation promulgated by the Board.

(b) *Disciplinary sanctions. —*

The Board may issue the following sanctions for violations under subsection (a) of this section:

(1) Permanent revocation of commission or identification card.

(2) Suspension of a commission.

(3) Issuance of a written reprimand.

(4) Denial of the issuance of a commission or identification card.

(5) Denial of an application to renew a commission or identification card.

(6) Issuance of an administrative penalty of no more than $100. The failure of a constable to pay the administrative penalty within 30 days after the penalty has been assessed shall be grounds for suspension or revocation of the constable's commission.

(7) Any other disciplinary sanction permitted by law.

(c) *Procedure. —*

(1) After receipt of written notice from the Section of the Board's proposal to deny, suspend or revoke a commission or identification card, the constable shall be afforded a hearing before the Board.

(2) The constable shall submit in writing, within 30 days from the date of receipt of the written notice of a proposal to deny, suspend or revoke, a request for a hearing before the Board.

(3) A hearing panel consisting of 3 members of the Board shall be convened to hear the appeal. The panel shall be comprised of the Chair of the Board or the Chair's designee, a board member from a police agency, and a board member from a constable agency.

(4) The constable shall appear in person and may be represented by counsel. The constable may present evidence, cross-examine witnesses, and obtain the issuance of subpoenas under subsection (d) of this section. The Delaware Rules of Evidence will apply to the presentation of evidence. A record shall be kept of all public hearings, a transcript of which shall be provided, at cost, upon request. All decisions shall be by a majority of the members of the hearing panel.

(d) The Board may issue subpoenas, sua sponte, or at the request of the constable, and order discovery in aid of investigations and hearings under this chapter. Subpoenas shall be signed by the Chair of the Board and may be served by any sheriff, deputy sheriff, constable or any member of the Board. Proof of receipt of the subpoena shall be made to the Board. Issuance of subpoenas and all discovery shall be subject to the same limitations as would apply in a civil action in the Superior Court. Provided, however, that subpoenas and discovery, in aid of investigation, are first to be reviewed by the Attorney General to determine whether there is reason to believe that there has been a violation of this chapter.

(Code 1852, § 623; Code 1915, § 1408; 33 Del. Laws, c. 89, § 1; 40 Del. Laws, c. 138, § 1; Code 1935, §§ 1565, 1567, 1575; 10 Del. C. 1953, § 2710; 50 Del. Laws, c. 36, § 1; 55 Del. Laws, c. 85, § 32S; 65 Del. Laws, c. 433, § 1; 67 Del. Laws, c. 351, § 5; 70 Del. Laws, c. 186, § 1; 79 Del. Laws, c. 370, § 1; 83 Del. Laws, c. 454, § 2.)

§ 5613 Judicial review.

(a) A constable aggrieved by a decision of the Board under § 5612 of this title may obtain a review of such decision by filing an appeal with the Superior Court no later than 30 days after the Board's decision.

(b) Any party to the proceeding before the Board's hearing panel may intervene in the Superior Court appeal process.

(c) No objections not raised before the Board's hearing panel shall be considered by the Superior Court, unless the failure or neglect to raise such objections is excused because of extraordinary circumstances or where the interests of justice so require.

(d) An appeal to the Superior Court shall be an appeal on the record.

(83 Del. Laws, c. 454, § 2.)

§ 5614 Exclusion from coverage.

Constables appointed pursuant to this chapter are not covered by the provisions of the Law Enforcement Officers' Bill of Rights under Chapter 92 of Title 11.

(65 Del. Laws, c. 433, § 1; 83 Del. Laws, c. 454, § 2; 70 Del. Laws, c. 186, § 1.)

Chapter 60
Provisions Applicable to Telehealth and Telemedicine

§ 6001 Definitions.

For purposes of this chapter:

(1) "Distant site" means a site at which a health-care provider legally allowed to practice in Delaware is located while providing health-care services by means of telemedicine.

(2) "Health-care provider" means any person authorized to deliver clinical health-care services by telemedicine and participate in telehealth pursuant to this chapter and regulations promulgated by the respective professional boards listed in § 6002 of this title.

(3) "Originating site" means a site in Delaware at which a patient is located at the time health-care services are provided to the patient by means of telemedicine or telehealth. Notwithstanding any other provision of law, insurers and providers may agree to alternative siting arrangements deemed appropriate by the parties.

(4) "Store and forward transfer" means the synchronous or asychronous transmission of a patient's medical information either to or from an originating site or to or from the provider at the distant site, but does not require the patient being present nor must it be in real time.

(5) "Telehealth" means the use of information and communications technologies consisting of telephones, remote patient monitoring devices or other electronic means which support clinical health care, provider consultation, patient and professional health-related education, public health, health administration, and other services as described in regulation.

(6) "Telemedicine" means a form, or subset, of telehealth, which includes the delivery of clinical health-care services by means of real time 2-way audio (including audio-only conversations, if the patient is not able to access the appropriate broadband service or other technology necessary to establish an audio and visual connection), visual, or other telecommunications or electronic communications, including the application of secure video conferencing or store and forward transfer technology to provide or support health-care delivery, which facilitates the assessment, diagnosis, consultation, treatment, education, care management and self-management of a patient's health care.

(83 Del. Laws, c. 52, § 4; 83 Del. Laws, c. 283, § 29.)

§ 6002 Authorization to practice by telehealth and telemedicine.

(a) Health-care providers licensed by the following professional licensing boards existing under this title are authorized to deliver health-care services by telehealth and telemedicine subject to the provisions of this chapter:

(1) The Board of Podiatry created pursuant to Chapter 5 of this title.

(2) The Board of Chiropractic created pursuant to Chapter 7 of this title.

(3) The Board of Medical Licensure and Discipline created pursuant Chapter 17 of this title.

(4) The State Board of Dentistry and Dental Hygiene created pursuant to Chapter 11 of this title.

(5) The Delaware Board of Nursing created pursuant to Chapter 19 of this title.

(6) The Board of Occupational Therapy Practice created pursuant to Chapter 20 of this title.

(7) The Board of Examiners in Optometry created pursuant to Chapter 21 of this title.

(8) The Board of Pharmacy created pursuant to Chapter 25 of this title.

(9) The Board of Mental Health and Chemical Dependency Professionals created pursuant to Chapter 30 of this title.

(10) The Board of Examiners of Psychologists created pursuant to Chapter 35 of this title.

(11) The State Board of Dietetics/Nutrition created pursuant to Chapter 38 of this title.

(12) The Board of Social Work Examiners created pursuant to Chapter 39 of this title.

(b) A professional licensing board listed in subsection (a) of this section may promulgate or revise rules applicable to health-care providers under the professional licensing board's jurisdiction to facilitate the provision of telehealth and telemedicine services consistent with this chapter.

(c) A health-care provider licensed in a state that has not adopted an interstate compact applicable to the health-care provider may only provide telehealth under this chapter if the health-care provider obtains an interstate telehealth registration from the Division of Professional Regulation. A health-care provider is eligible for an interstate telehealth registration only if all of the following requirements are continuously met:

(1) The health-care provider holds a valid, active license issued by another state's licensing authority or board.

(2) The health-care provider is licensed in good standing in all states in which the health-care provider is licensed.

(3) The health-care provider is not the subject of an administrative complaint which is currently pending before another state's licensing authority or board.

(4) The health-care provider is not currently under investigation by another state's licensing authority or board, or any authority in this State.

(d) A health-care provider who obtains an interstate telehealth registration under subsection (c) of this section consents and agrees to be subject to all of the following:

(1) The law of this State regarding the health-care provider's profession in this State, including all provisions of Title 11, Title 16, and this title, and all regulations of this State.

(2) The judicial system of this State, which includes consenting and agreeing to be subject to the personal jurisdiction of the courts of this State under Chapter 31 of Title 10.

(3) All profession conduct rules and standards incorporated into the practice act for the health-care provider's profession.

(4) The jurisdiction of the applicable licensing board in this State, including the board's complaint, investigation, and hearing process. Any discipline imposed by a licensing board in this State may be reported to the applicable National Practitioner Database, as well as to every jurisdiction in which the health-care provider holds a license.

(83 Del. Laws, c. 52, § 4; 83 Del. Laws, c. 484, § 1.)

§ 6003 Scope of practice; provider-patient relationship required.

(a) Except for the instances listed in this chapter, health-care providers may not deliver health-care services by telehealth and telemedicine in the absence of a health-care provider-patient relationship. A health-care provider-patient relationship may be established either in-person or through telehealth and telemedicine but must include all of the following:

(1) Thorough verification and authentication of the location and, to the extent possible, identity of the patient.

(2) Disclosure and validation of the provider's identity and credentials.

(3) Receipt of appropriate consent from a patient after disclosure regarding the delivery model and treatment method or limitations, including informed consent regarding the use of telemedicine technologies as required by paragraph (a)(5) of this section.

(4) Establishment of a diagnosis through the use of acceptable medical practices, such as patient history, mental status examination, physical examination (unless not warranted by the patient's mental condition), and appropriate diagnostic and laboratory testing to establish diagnoses, as well as identification of underlying conditions or contraindications, or both, for treatment recommended or provided.

(5) Discussion with the patient of any diagnosis and supporting evidence as well as risks and benefits of various treatment options.

(6) The availability of a distant site provider or other coverage of the patient for appropriate follow-up care.

(7) A written visit summary provided to the patient.

(b) Health-care services delivered by telehealth and telemedicine may be synchronous or asynchronous using store-and-forward technology. Telehealth and telemedicine services may be used to establish a provider-patient relationship only if the provider determines that the provider is able to meet the same standard of care as if the health-care services were being provided in-person.

(c) Treatment and consultation recommendations delivered by telehealth and telemedicine shall be subject to the same standards of appropriate practice as those in traditional (in-person encounter) settings. In the absence of a proper health-care provider-patient relationship, health-care providers are prohibited from issuing prescriptions solely in response to an internet questionnaire, an internet consult, or a telephone consult.

(83 Del. Laws, c. 52, § 4; 83 Del. Laws, c. 484, § 1.)

§ 6004 Practice requirements.

(a) A health-care provider using telemedicine and telehealth technologies to deliver health-care services to a patient must, prior to diagnosis and treatment, do at least 1 of the following:

(1) Provide an appropriate examination in-person.

(2) Require another Delaware-licensed health-care provider be present at the originating site with the patient at the time of the diagnosis.

(3) Make a diagnosis using audio or visual communication.

(4) Meet the standard of service required by applicable professional societies in guidelines developed for establishing a health-care provider-patient relationship as part of an evidenced-based clinical practice in telemedicine.

(b) After a health-care provider-patient relationship is properly established in accordance with this section, subsequent treatment of the same patient by the same health-care provider need not satisfy the limitations of this section.

(c) A health-care provider treating a patient through telemedicine and telehealth must maintain complete records of the patient's care and follow all applicable state and federal statutes and regulations for recordkeeping, confidentiality, and disclosure to the patient.

(d) Telehealth and telemedicine services shall include, if required by the applicable professional board listed in § 6002(a) of this title, use of the Delaware Health Information Network (DHIN) in connection with the practice.

(e) Nothing in this section shall be construed to limit the practice of radiology or pathology.

(83 Del. Laws, c. 52, § 4.)

§ 6005 Exceptions.

(a) Telehealth and telemedicine may be practiced without a health-care provider-patient relationship during:

(1) Informal consultation performed by a health-care provider outside the context of a contractual relationship and on an irregular or infrequent basis without the expectation or exchange of direct or indirect compensation.

(2) Furnishing of assistance by a health-care provider in case of an emergency or disaster when circumstances do not permit the establishment of a health-care provider-patient relationship prior to the provision of care if no charge is made for the medical assistance.

(3) Episodic consultation by a specialist located in another jurisdiction who provides such consultation services at the request of a licensed health-care professional.

(4) Circumstances which make it impractical for a patient to consult with the health-care provider in-person prior to the delivery of telemedicine services.

(b) A mental health provider, behavioral health provider, or social worker licensed in another jurisdiction who would be authorized to deliver health-care services by telehealth or telemedicine under this chapter if licensed in this State pursuant to Chapter 30 (Mental Health and Chemical Dependency Professionals), Chapter 35 (Psychologists), or Chapter 38 (Social Workers) of this title may provide treatment to Delaware residents through telehealth and telemedicine services. The Division of Professional Regulation shall require any out-of-state health-care provider practicing in this State pursuant to this section to complete a Medical Request Form and comply with any other registration requirements the Division of Professional Regulation may establish.

(83 Del. Laws, c. 52, § 4.)

Chapter 61
Elevator Mechanics

§ 6101 Purpose.

(a) The Board of Elevator Mechanics' primary objective, to which all other objectives and purposes are secondary, is to protect the general public, specifically those persons who are the direct recipients of services regulated by this chapter, from unsafe practices and from occupational practices which tend to reduce competition or fix the price of services rendered.

(b) The secondary objectives of the Board are to maintain minimum standards of competency and to maintain certain standards in the delivery of services to the public. In meeting its objectives, the Board will develop standards assuring professional competence, will monitor complaints brought against licensees regulated by the Board, will adjudicate at formal hearings, will promulgate rules and regulations, and will impose sanctions where necessary against licensees.

(83 Del. Laws, c. 469, § 1; 84 Del. Laws, c. 493, § 1.)

§ 6102 Definitions.

As used in this chapter:

(1) "Board" means the Board of Elevator Mechanics established in this chapter.

(2) "Division" means the Division of Professional Regulation.

(3) "Elevator mechanic" means an individual who is licensed by the Board to plan, estimate, layout, perform, or supervise the installation, erection, replacement, service, and repair of any elevator in any structure.

(4) "License" means the certificate issued by the Board.

(5) "Substantially related" means the nature of the criminal conduct for which the person was convicted has a direct bearing on the fitness or ability to perform 1 or more of the duties or responsibilities necessarily related to the work of an elevator mechanic.

(6) "Unlicensed practitioner" means any person who engages in the occupational practice of an elevator mechanic and has not been granted a license by the Board.

(83 Del. Laws, c. 469, § 1; 84 Del. Laws, c. 493, § 1.)

§ 6103 Board of Elevator Mechanics; appointments; composition; qualifications; term; vacancies; suspension or removal; unexcused absences; compensation.

(a) There is created a state Board of Elevator Mechanics, which shall administer and enforce this chapter.

(b) The Board shall consist of 5 members who are appointed by the Governor and are residents of the State.

(1) Four members must be licensed in the State as a master electrician special elevator or elevator mechanic, and must be composed of the following:

a. One individual who represents the interests of a major elevator, escalator, or moving walkway manufacturing company.

b. One individual who is primarily engaged in the business of elevator, escalator, or moving walkway installation, alteration, repair, or maintenance.

c. One individual who represents the interests of elevator inspection, consulting, or engineering firms.

d. One representative from a major labor organization that represents elevator mechanics and apprentices who work in the elevator industry.

(2) The fifth Board member must be a public member who is accessible to inquiries, comments, and suggestions from the general public, and who is not, nor has ever been, any of the following:

a. An elevator mechanic or a member of the immediate family of an elevator mechanic.

b. Employed by an elevator mechanic business.

c. The holder of a material financial interest in the providing of goods and services to elevator mechanics.

d. Engaged in an activity directly related to the provision of elevator mechanic services.

(c) Each member shall serve a term of 3 years, and may serve up to 2 consecutive terms. Each term of office shall expire on the date specified in the appointment; however, the board member will remain eligible to participate in board proceedings unless and until replaced by the Governor.

(d) Only an individual who has never served on the Board may be appointed to the Board for 2 consecutive terms. No individual who has been twice appointed to the Board or who has served on the Board for 6 years within a 9-year period, may be reappointed to the Board until an interim period of at least 1 term has expired since the individual last served.

(e) Any act or vote by an individual appointed in violation of this section shall be invalid. An amendment or revision of this chapter is not sufficient cause for any appointment or attempted appointment in violation of subsection (d) of this section, unless such an amendment or revision amends this section to permit such an appointment.

(f) A member of the Board shall be suspended or removed by the Governor for misfeasance, nonfeasance, malfeasance, misconduct, incompetency or neglect of duty. A member subject to a disciplinary hearing shall be disqualified from board business until the charge is adjudicated or the matter is otherwise concluded. A board member may appeal any suspension or removal to the Superior Court.

(g) Any member, who is absent without adequate reason for 3 consecutive meetings, or who fails to attend at least 1/2 of all regular business meetings during any calendar year, shall be guilty of neglect of duty.

(h) Each member of the Board shall be reimbursed for all expenses involved in each meeting, including travel, and in addition shall receive reasonable compensation per meeting attended in an amount determined by the Division.

(83 Del. Laws, c. 469, § 1; 70 Del. Laws, c. 186, § 1; 84 Del. Laws, c. 493, § 1.)

§ 6104 Organization; meetings; officers; quorum.

(a) The Board shall hold regularly-scheduled business meetings at least once in each quarter of a calendar year, and at such other times as the president deems necessary or as requested by a majority of the Board.

(b) The Board annually shall elect a president and vice-president. Each officer will serve for 1 year and may not succeed one's self for more than 2 consecutive terms.

(c) A majority of the members shall constitute a quorum for the purpose of transacting business.

(d) Minutes of all meetings must be recorded and the Division must maintain copies of the minutes. At any hearing where evidence is presented, a record from which a verbatim transcript can be prepared will be made. The person requesting the transcript will incur the cost of preparing any transcript.

(83 Del. Laws, c. 469, § 1; 84 Del. Laws, c. 42, § 1.)

§ 6105 Powers and duties.

(a) The Board of Elevator Mechanics has the authority to perform the following:

(1) Formulate rules and regulations with proper notice to those affected. All rules and regulations must be promulgated in accordance with the procedures specified in the Administrative Procedures Act under Chapter 101 of Title 29. Each rule or regulation shall implement or clarify a specific section of this chapter.

(2) Designate the application form to be used by all applicants and process all applications.

(3) Designate the written standardized examination, as approved by the Division and administered and graded by the testing service, to be taken by all persons applying for licensure.

(4) Evaluate the credentials of all individuals applying for a license in order to determine whether such individuals meet the qualifications for licensing set forth in this chapter.

(5) Grant licenses and renew licenses of all individuals who meet the qualifications for licensure.

(6) Establish rules and regulations concerning continuing education standards required for license renewal.

(7) Evaluate certified records to determine whether an applicant for licensure, who previously has been licensed, certified or registered in another jurisdiction as an elevator mechanic, has engaged in any act or offense that would be grounds for disciplinary action under this chapter, and whether there are disciplinary proceedings or unresolved complaints pending against such applicant for such acts or offenses.

(8) Refer all complaints from licensees and the public concerning a licensed elevator mechanic, or concerning practices of the Board, or of the profession, to the Division for investigation under § 8735 of Title 29 and assign a member of the Board to assist the Division in an advisory capacity with the investigation of the technical aspects of the complaint.

(9) Conduct hearings and issue orders in compliance with the Administrative Procedures Act under Chapter 101 of Title 29.

(10) Require, if necessary, that a licensed elevator mechanic take over the work done by an unlicensed elevator mechanic, or if the work is completed, that the work be inspected by an inspection agency, within 5 working days after receipt of the Board's request.

(11) Designate and impose the appropriate sanction or penalty, when the Board has determined, after a hearing, that penalties or sanctions should be imposed.

(b) [Repealed.]

(c) The Board shall promulgate regulations specifically identifying those crimes which are substantially related to the work of an elevator mechanic.

(83 Del. Laws, c. 469, § 1; 84 Del. Laws, c. 493, § 1.)

§ 6106 License required.

(a) No individual may engage in the practice of providing elevator services or hold oneself out to the public in this State as being qualified to act as a licensed elevator mechanic, or otherwise assume or use any title or description conveying or tending to convey the impression that the individual is qualified to act as a licensed elevator mechanic, unless such individual has been duly licensed under this chapter.

(b) Whenever a state license to practice as an elevator mechanic has expired or been suspended or revoked, it shall be unlawful for the individual to act as an elevator mechanic in this State.

(83 Del. Laws, c. 469, § 1; 84 Del. Laws, c. 42, § 1.)

§ 6107 Qualifications of applicant

(a) An applicant, who is applying for licensure as an elevator mechanic under this chapter, shall submit evidence, verified by oath and satisfactory to the Board, that such individual:

(1) An applicant shall have knowledge of elevator installation, maintenance and repair in the residential, commercial and industrial areas, and in addition must have at least 1 of the following:

a. Six years' full-time experience under the supervision of a licensed elevator mechanic.

b. Eight thousand hours of full-time experience under the supervision of a licensed elevator mechanic, plus 576 hours of related instruction, or other approved training verified by a certificate of completion of apprenticeship from a lawful, registered apprenticeship program of any state.

c. Four years' full-time experience under the supervision of a licensed elevator mechanic and 6,000 hours of technical training.

(2) After fulfilling the applicable experience and training requirements of this section, an applicant applying for licensure as an elevator mechanic must achieve a passing score on the written third-party witnessed standardized examination for licensure as determined by the Board in rules and regulations approved by the Division.

(3) Has not been the recipient of any administrative penalties regarding that individual's practice as an elevator mechanic, including, but not limited, to fines, formal reprimands, license suspensions or revocation (except for license revocations for nonpayment of license renewal fees), probationary limitations, and has not entered into any "consent agreements" which contain conditions placed by any state on that person's professional conduct and practice, including any voluntary surrender of a license. The Board may determine after a hearing whether such administrative penalty is grounds to deny licensure.

(4) Does not have any impairment related to drugs or alcohol that would limit the applicant's ability to act as an elevator mechanic in a manner consistent with the safety of the public.

(5) Does not have a criminal conviction record for an offense substantially related to providing elevator services. Applicants who have criminal conviction records must request appropriate authorization to provide information about the conviction directly to the Board. If, after considering the factors set forth under § 8735 (x)(3) of Title 29, through a hearing or review of documentation, the Board determines that granting a waiver would not create an unreasonable risk to public safety, the Board shall waive the requirements of this paragraph (a)(5).

(6) Has no disciplinary proceedings or unresolved complaints pending against in any jurisdiction where the applicant has previously been or currently is licensed or registered.

(b) All evidence of experience shall be submitted on written affidavit forms provided by the Board.

(c) All evidence of education shall be submitted by written certification from the educational institution attended.

(d) When the Board finds that an applicant has been intentionally fraudulent, or that false information has been intentionally provided, it shall report its findings to the Attorney General for further action.

(e) When an application has been refused or rejected and the applicant believes the Board has acted without justification, has imposed higher or different standards for the applicant than for other applicants or licensees, or has in some other manner contributed to or caused the failure of such application, the applicant may appeal to the Superior Court.

(f) An individual who is in possession of a master electrician special elevator license on or before October 14, 2022, is eligible for an elevator mechanic license without meeting the requirements set forth under paragraphs (a)(1) and (2) of this section.

(83 Del. Laws, c. 469, § 1; 84 Del. Laws, c. 493, § 1.)

§ 6108 Reciprocity.

(a) Upon payment of the appropriate fee and the submission and acceptance of an application, the Board shall grant a license to an applicant who presents proof of current licensure in good standing in another state, the District of Columbia, or territory of the United States whose standards for licensure are substantially similar to those of this State, as defined in § 6107(a) of this title.

(b) An individual with a license from a state with less stringent requirements than those of this State may obtain a license through reciprocity if the individual can prove to the satisfaction of the Board that the individual has worked in another jurisdiction or jurisdictions in the field for which the individual is seeking a license in Delaware for a minimum of 5 years after licensure.

(c) An applicant seeking licensure by reciprocity must submit evidence verified by oath or other certification that, in all states in which the applicant is or was licensed, the applicant's license is in good standing.

(84 Del. Laws, c. 493, § 1.)

§ 6109 Issuance and renewal of licenses.

(a) The Board must issue a license to each applicant who meets the requirements of this chapter for licensure as an elevator mechanic and who pays the applicable fee established.

(b) A license may be renewed biennially, in a manner as determined by the Division, upon payment of the appropriate fee and submission of an attestation, as set forth in the Board's rules and regulations, that the licensee has met the continuing education requirements established by the Board.

(c) The Board, in its rules and regulations, may determine the period of time within which a licensee may renew a license, notwithstanding the fact that such licensee failed to renew on or before the renewal date, provided, however, that such period shall not exceed 1 year.

(d) A licensee may be placed in an inactive status. An inactive licensee who desires to reactivate a license must submit a request for reactivation that includes a fee set by the Division and submit proof of fulfillment of continuing education requirements in accordance with

the rules and regulations of the Board.

(e) An applicant or licensee must notify the Division of a change of address or a change of any other information provided on the applicant or licensee's application, registration, or renewal within 30 days of the change.

(84 Del. Laws, c. 493, § 1.)

§ 6110 Fees.

The amount to be charged for each fee imposed under this chapter shall approximate and reasonably reflect all costs necessary to defray the expenses of the Board, as well as the proportional expenses incurred by the Division in its service on behalf of the Board. There shall be a separate fee charged for each service or activity, but no fee shall be charged for a purpose not specified in this chapter. At the beginning of each licensure biennium, the Division, or any other state agency acting on its behalf, shall compute, for each separate service or activity, the appropriate board fees for the licensure or biennium.

(83 Del. Laws, c. 469, § 1; 84 Del. Laws, c. 493, § 1.)

§ 6111 Grounds for discipline.

(a) A licensed elevator mechanic shall be subject to disciplinary actions under § 6113 of this title, if, after a hearing, the Board finds that the p licensee has:

(1) Employed, or knowingly cooperated in, fraud or material deception in order to acquire a license as an elevator mechanic, has impersonated another person holding a license, or allowed another person to use the practitioner's license, or aided or abetted a person not licensed as an elevator mechanic to represent oneself as a licensed elevator mechanic.

(2) Illegally, incompetently, or negligently provided elevator services.

(3) Performed service on an elevator, as defined in this title, without a license.

(4) Been convicted of any offense, the circumstances of which substantially relate to the work of an elevator mechanic. A certified court copy of a record of conviction shall be conclusive evidence of conviction.

(6) Engaged in an act of consumer fraud or deception.

(7) Violated this chapter or any rule or regulation established under this chapter.

(8) Had a license as an elevator mechanic suspended or revoked, or other disciplinary action taken by the appropriate licensing authority in another jurisdiction; provided, however, that the underlying grounds for such action in another jurisdiction have been presented to the Board by certified record, and the Board has determined that the facts found by the appropriate authority in the other jurisdiction constitute 1 or more of the disciplinary acts defined in this chapter. Every individual licensed as an elevator mechanic in this State, as a condition of licensure, has given consent to the release of disciplinary information by all other jurisdictions, and to waiver of all objections to the admissibility of previously adjudicated evidence of such disciplinary acts or offenses.

(9) Failed to notify the Board that their license as an elevator mechanic in another state has been subject to discipline, or has been surrendered, suspended or revoked. A certified copy of the record of disciplinary action, surrender, suspension or revocation in another state shall be considered as conclusive evidence.

(b) In accordance with Title 29, Chapter 101, no license shall be restricted, suspended or revoked by the Board, and no individual's right to practice as an elevator mechanic shall be limited by the Board, until the individual has been given notice, and an opportunity to be heard, in accordance with Title 29, Chapter 101.

(83 Del. Laws, c. 469, § 1; 84 Del. Laws, c. 493, § 1; 84 Del. Laws, c. 42, § 1.)

§ 6112 Complaints.

All complaints shall be received and investigated by the Division under § 8735 of Title 29 and the Division shall be responsible for issuing a final written report at the conclusion of its investigation.

(83 Del. Laws, c. 469, § 1; 84 Del. Laws, c. 493, § 1.)

§ 6113 Disciplinary sanctions.

(a) The Board may impose any of the following sanctions, singly or in combination, when it finds that 1 of the conditions or violations set forth in § 6111 of this title applies to an individual or licensee regulated by this chapter:

(1) Issue a letter of reprimand.

(2) Place an individual on probationary status, and require the individual to do 1 or more of the following:

a. Report regularly to the Board upon the matters, which are the basis of the probation.

b. Limit all practice and professional activities to those areas prescribed by the Board.

(3) Suspend a license.

(4) Revoke a license.

(5) Impose a monetary penalty as follows:

a. No more than $1,500 for each violation of § 6111(a)(2) and (a)(4) through (a)(9) of this title.

b. No less than $4,500 for violations of § 6111(a)(1) and (a)(3) of this title.

(b) The Board may withdraw or reduce conditions of probation when it finds that the deficiencies, which required such action have been remedied.

(c) In the event of a formal or informal complaint concerning the activity of a licensee that presents a clear and immediate danger to the public health, safety or welfare, the Board may temporarily suspend the individual's license, pending a hearing, upon the written order of the Secretary of State or the Secretary's designee, with the concurrence of the board president or the board president's designee. An order temporarily suspending a license may not be issued unless the individual or the individual's attorney received at least 24 hours' written or oral notice before the temporary suspension so that the individual or the individual's attorney may file a written response to the proposed suspension. The decision as to whether to issue the temporary order of suspension will be decided on the written submissions. An order of temporary suspension pending a hearing may remain in effect for no longer than 60 days from the date of the issuance of the order unless the temporarily suspended individual requests a continuance of the hearing date. If the temporarily suspended individual requests a continuance, the order of temporary suspension remains in effect until the hearing is convened and a decision is rendered by the Board. An individual whose license has been temporarily suspended pursuant to this section may request an expedited hearing. The Board shall schedule the hearing on an expedited basis, provided that the Board receives the request within 5 calendar days from the date on which the individual received notification of the decision to temporarily suspend the individual's license.

(83 Del. Laws, c. 469, § 1; 84 Del. Laws, c. 493, § 1.)

§ 6114 Hearing procedures.

(a) If a complaint is filed with the Board pursuant to § 8735 of Title 29 alleging a violation of this chapter, a time and place shall be set to conduct a hearing on the complaint. Notice of the hearing shall be given and the hearing shall be conducted in accordance with the Administrative Procedures Act, Chapter 101 of Title 29.

(b) Upon a decision or other final order of the Board, an elevator mechanic who disagrees with the action of the Board may appeal the Board's decision to the Superior Court within 30 days of service or of the postmarked date of the copy of the decision mailed to the elevator mechanic. Upon such appeal the Court shall hear the evidence on the record. Stays may be granted in accordance with § 10144 of Title 29.

(84 Del. Laws, c. 493, § 1.)

§ 6115 Reinstatement of a suspended license; removal from probationary status.

(a) As a condition to reinstatement of a suspended license, or removal from probationary status, the Board may reinstate such license if, after a hearing, the Board is satisfied that the licensee has taken the prescribed corrective actions and otherwise satisfied all of the conditions of the suspension or probation.

(b) Applicants for reinstatement must pay the appropriate fees and submit documentation required by the Board as evidence that all the conditions of a suspension or probation have been met. Proof that the applicant has met the continuing education requirements of this chapter may also be a required condition for reinstatement.

(83 Del. Laws, c. 469, § 1; 84 Del. Laws, c. 493, § 1.)

§ 6116 Duty to report.

(a) An owner, operator, manager, or supervisor of a business performing elevator services shall have a duty to report to the Board, if such owner, operator, manager, or supervisor has knowledge that an individual working for or under that owner's, operator's, manager's, or supervisor's supervision is performing elevator work and does not have the proper license under this chapter.

(b) The report required pursuant to this section must be made in writing to the Board within 10 days of the owner, operator, manager, or supervisor having the required knowledge and must contain the name of the person performing the elevator work without a license.

(c) An owner, operator, manager, or supervisor of a business performing elevator services must check to see if an employee or independent contractor has the proper license under this chapter before allowing such employee or independent contractor to perform work for such owner, operator, manager or supervisor.

(83 Del. Laws, c. 469, § 1; 70 Del. Laws, c. 186, § 1; 84 Del. Laws, c. 42, § 1; 84 Del. Laws, c. 493, § 1.)

§ 6117 Penalty; misdemeanor.

An individual not currently licensed as an elevator mechanic or exempt from licensure under this chapter, who is determined to be performing elevator work, or assuming or using a title or description conveying, or tending to convey, the impression that the individual is qualified to perform elevator work, shall be guilty of a misdemeanor. For a first offense, the individual shall be fined not less than $500 nor more than $1,500 for each offense. For a second or subsequent conviction, the fine shall be not less than $1,500 nor more than $2,300 for each offense. Justice of the Peace Courts shall have jurisdiction over all violations of this chapter.

(83 Del. Laws, c. 469, § 1; 84 Del. Laws, c. 493, § 1.)

§ 6118 Exemptions.

Nothing in this chapter shall be construed to prevent the performance of service and repair of any elevator in any structure by individuals working in a manufacturing or industrial facility, who are all of the following:

(1) Recognized by their company as a person responsible for repairs, maintenance, or service.

(2) [Repealed.]

(3) Working under the supervision of an elevator mechanic or a master electrician with a special elevator license.

(83 Del. Laws, c. 469, § 1; 70 Del. Laws, c. 186, § 1; 84 Del. Laws, c. 493, § 1.)